

Larysa Dyrszka

Thank you for the opportunity to submit comments.

The attachments include:

- L Dyrszka comments on proposed rules;;

- Comments on Maryland's fracking regs prepared by Earthjustice;

- supplementary materials from Maryland which include:

Ex. 1 Toward an Understanding of the Environmental and Public Health Impacts of Unconventional Natural Gas Development: A Categorical Assessment of the Peer-Reviewed Scientific Literature, 2009-2015 (Jake Hays and Seth B.C. Shonkoff, 2016)

Ex. 2 Environment America Research & Policy Center, Fracking by the Numbers: The Damage to Our Water, Land and Climate from a Decade of Dirty Drilling (April 2016)

Ex. 3 Hazardous Air Pollutants from Oil and Gas Development in the United States: A Systematic Review of the Peer-Reviewed Literature from 2012-2015 (Diane A. Garcia Gonzales and Seth B.C. Shonkoff, March 2016)

Ex. 4 Concerned Health Professionals of New York & Physicians for Social Responsibility, Compendium of Scientific, Medical, and Media Findings Demonstrating Risks and Harms of Fracking (Unconventional Gas and Oil Extraction (4th ed., Nov. 2016)

Ex. 5 MDNR, Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis (Feb. 2012)

Ex. 6 MDNR, BioNet: Biodiversity Conservation Network (Jan. 2016)

Ex. 7 Center for Biological Diversity, Petition to List 404 Aquatic, Riparian and Wetland Species From The Southeastern United States As Threatened Or Endangered Under The Endangered Species Act (April 2010).

Respectfully submitted,

Larysa Dyrszka MD



COMMENTS ON MDE FRACKING REGULATIONS

Engage Mountain Maryland

EXECUTIVE SUMMARY

Engage Mountain Maryland and a coalition of more than 50 Western Maryland businesses submit these comments to explain why the regulations proposed by the Maryland Department of the Environment (“MDE”) are inadequate to protect the people, economy, and environment of Western Maryland from the harmful effects of modern unconventional natural gas drilling, commonly known by the term for one of its most controversial techniques—hydraulic fracturing, or “fracking.”

Fracking is a dangerous industrial process that would seriously harm the region even if MDE’s regulations were stronger. It creates air, water, light, and noise pollution; requires massive supporting infrastructure, including pipelines and compressor stations; increases heavy-duty diesel truck traffic; and threatens the wholesale industrialization of our region. This would be a disaster for Western Maryland, a region known for its pristine wilderness and outdoor recreational opportunities, and for Garrett County in particular, whose economy is driven by tourism and whose tax base depends on the second-home market around Deep Creek Lake.

MDE’s current proposal largely replicates the midnight proposal rushed out by the O’Malley administration mere weeks before he left office in January 2015, with only a few changes. Reflecting this hurried and disjointed process, the regulations MDE has proposed now resemble a “to-do list” more than a meaningful regulatory proposal. They are replete with vague and undefined requirements, promises of future guidance, and provisions that put the oil and gas industry in charge of critical decisions. Some of the hardest issues are left to be resolved in decisions on individual permits, including methods for controlling toxic air pollution or storing dangerous chemicals. MDE is unlikely to have sufficient time or resources during the permit-review process to address all of these important issues, because the proposed regulations require MDE to approve or deny permit applications within only 30 days, with the possibility of a single 30-day extension. On other difficult issues, like how to conduct an environmental assessment or baseline water monitoring,

MDE's proposal is mostly devoid of specific requirements, promising only to figure it out later.

The proposed regulations also fail to account for advances in the scientific understanding of the risks posed by fracking. The regulations are based on studies and regulatory work conducted by the O'Malley administration during 2013 and 2014, predating over half of the peer-reviewed scientific literature that's now available. Despite this new evidence, which overwhelmingly attests to the risks associated with fracking, MDE's current proposal fails to update the standards proposed in 2014.

Instead, the current proposal actually weakens the prior proposal in several key respects. For instance, this new proposal removes the requirement for ambient air quality monitoring before, during, or after fracking, despite numerous studies in the last two years showing that toxic air emissions are released near fracking wells. The proposal also neuters the requirement of a Comprehensive Gas Development Plan, or CDP, which was supposed to be the key bulwark against over-industrialization, environmental harms, and other community-level impacts. The new CDP is essentially advisory and no longer even needs to be approved by MDE or the Department of Natural Resources. The weakening of the CDP leaves Garrett County, which lacks zoning authority, defenseless in the face of poorly planned development and conflicting land uses.

Fracking is a threat to Western Maryland's rural way of life. Fracking will mean sprawling industrial development, including large compressor stations, pipelines, and thousands of heavy-duty truck trips, altering the face of Western Maryland and placing significant strain on the region's existing roads and infrastructure. Increased congestion, noise, and air pollution in areas that people currently visit in search of tranquility and nature will drive away tourists and visitors and harm our local economy. Our property values will also decline, as even the threat of fracking has been shown to have significant impacts on home values, especially for homes that rely on groundwater for drinking.

The boom-and-bust cycle of fracking will exacerbate this economic and social disruption. Job growth during short-lived boom periods typically draws in non-local workers, who compete for housing and social services. Left in the wake of these workers is empty housing, a local economy that suddenly has far fewer customers, and environmental damage and disruption that will forever decrease the region's attractiveness to the tourists and visitors that once sustained its economy. Rural areas like Western Maryland need to cultivate economic diversity to survive, but fracking, like most extractive industries, is known to stifle other economic development, not stimulate it.

Fracking also poses serious health risks, including chemical hazards in the air and water, physical hazards like noise and radiation, and psychosocial stressors including those

related to public safety, the potential loss of property values, and the disruption of the existing social fabric. People near fracking have been shown to suffer from hormonal disruption, premature childbirth, low-birth weight, asthma, migraines, fatigue, sinus problems, skin rashes, and other illnesses, all at increased rates.

Fracking also poses a major threat to Western Maryland's high-quality water resources, which provide drinking water, support eco-tourism, and are critical to the survival of myriad rare, threatened, and endangered species. Fracking requires truly massive quantities of water, and produces equally massive quantities of waste water, putting Western Maryland's water resources at risk from water withdrawals and waste water disposal, as well as from land-use changes, pipeline construction, and spills. As Maryland agencies have previously recognized, Western Maryland's unique geology means that its groundwater is extremely vulnerable to chemical spills.

For all of these reasons, we believe that fracking is the wrong choice for Western Maryland, especially under MDE's proposed regulations.

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COMMENTS ON MDE FRACKING REGULATIONS

Prepared by Earthjustice on behalf of Engage Mountain Maryland

Submitted December 14, 2016

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I. WESTERN MARYLAND DOES NOT WANT FRACKING

These comments are submitted by Engage Mountain Maryland, represented by Earthjustice, and a coalition of 63 Western Maryland businesses who are deeply concerned about the harmful effects that hydraulic fracturing (“fracking”) will have if it goes forward in Maryland. This document explains why Western Maryland does not want fracking, describing at length how fracking poses unacceptable risks to the people, economy, and environment of the region.

The Western Marylanders submitting these comments do not believe the proposed regulations for fracking, published by the Maryland Department of the Environment (“MDE”) are adequate to protect their economy, rural environment, and personal safety from the harmful effects of fracking.¹ Fracking is a dangerous industrial process that would seriously harm the region even with better regulation. These regulations, the product of a rushed process, are replete with vague and undefined requirements, promises of future guidance, and provisions that put the gas industry in charge of critical decisions. MDE’s failed attempt to regulate fracking with this proposal only further illustrates the need for prohibitive legislation.

Those of us that live here understand that strong majorities of Western Maryland residents oppose the introduction of fracking to our community, a fact which has been confirmed over and over through surveys,² online engagement, and at public meetings.³ Fracking is a one-way street that will redefine this region of the state for decades to come. Western Maryland does not want to go down that road.

II. HOW FRACKING WILL CHANGE THE FACE OF WESTERN MARYLAND

As Maryland considers whether or not to allow fracking within its borders, it is important to consider the full scope of problems raised by modern, industrial gas drilling and not to be misled into thinking that the only difference from traditional drilling activities is the particular

¹ Specifically, these comments address the proposed amendments to Maryland’s Oil and Gas regulations, at 43 Md. Reg. 1293 (Nov. 14, 2016) and the proposed amendments regarding underground injection control, at 43 Md. Reg. 1361 (Nov. 28, 2016).

² Maryland Voter Support for a Ban on Fracking, *OpinionWorks LLC* (Oct. 25, 2016), <http://www.dontfrackmd.org/wp-content/uploads/2015/02/Maryland-Fracking-Ban-Poll-Memo-102516.pdf> (“The margin of support for a fracking ban is more than two-to-one in Garrett County, with 57% in favor of a ban and 27% opposed”).

well-stimulation technique. The difference between the gas drilling Western Maryland saw in the last century, and what is being proposed now, could not be more dramatic.

Fracking refers to a specific process of stimulating gas production in underground wells. This is only one part of a larger process that is often referred to as “unconventional” natural gas drilling and production. Colloquially, “fracking” is often used to refer to the whole process of unconventional natural gas drilling and production and many news articles and publications discussing “fracking” actually address the impacts of unconventional gas development more broadly.

A. Modern Well Drilling

Modern gas well drilling is a complex and industrialized process that involves intensive infrastructure development throughout the state or region where it occurs. Unlike historical gas drilling activities, modern wells in the Marcellus Shale region are drilled first vertically, up to 12,000 feet down and into the shale layer, and then horizontally for distances up to a mile or more. This process requires much more heavy equipment and supporting infrastructure than in historical gas drilling and also leads to significantly more waste production and pollution.

Modern drilling creates more noise and pollution, and may last as long as five weeks per well.⁴ Drilling in the Marcellus shale is now done with triple rotary rigs over 100 feet tall.⁵ Auxiliary equipment at the well pad includes industrial tanks, large fluid impoundments dug into the earth, generators (often diesel-powered and highly polluting), and hundreds of parked or idling trucks holding equipment and pumps to support the drilling and fracking process.⁶ The drilling process creates massive quantities of waste that must be disposed of, including drill cuttings and mud. Because of latent radioactivity deep within the earth, drill cuttings and other materials that come back out of the well may have dangerous levels of radioactivity.⁷

⁴ MDE, *Assessment of Risks from Unconventional Gas Well Development in the Marcellus Shale of Western Maryland* at D-2 (Jan. 20, 2015) (*hereinafter*, “RA”), http://www.mde.state.md.us/programs/Land/mining/marcellus/Pages/Risk_Assessment.aspx.

⁵ *Id.*

⁶ *Id.*; See also Sharon Dunn, “Fracking 101: Breaking down the most important part of today’s oil, gas drilling,” *The Greeley Tribune* (Oct. 14, 2016), <http://www.greeleytribune.com/news/local/fracking-101-breaking-down-the-most-important-part-of-todays-oil-gas-drilling>; FracTracker Alliance, *Explore a Fracking Operation – Virtually*, <https://www.fracktracker.org/resources/oil-and-gas-101/explore> (last visited Dec. 13, 2016).

⁷ EPA, *TENORM: Oil and Gas Production Wastes*, <https://www.epa.gov/radiation/tenorm-oil-and-gas-production-wastes> (last updated Oct. 27, 2016).

Radioactive radon gas can also make its way into people's homes as a result of drilling activities, posing an additional hazard for workers and for nearby residents, animals, and crops.⁸

Horizontal drilling techniques allow the consolidation of six or more wells on a single well pad, making the well pad into an industrial hotbed for extended periods of time as first one, then the next, then the next well is drilled there, then fracked, and then refracked stage by stage. Constructing the well pad involves clearing and grading a substantial swath of land so that it can support the drilling rig. Each individual well pad is estimated to encompass about 15 acres, including 4 for the pad itself and the remainder for supporting infrastructure that needs to be located at and around the site.⁹ MDE has acknowledged that the direct impacts of unconventional gas development "include the conversion of lands supporting forests, fields, and other natural resources to industrial use resulting from the well pad footprint and associated infrastructure such as roads, pipelines and compressor stations."¹⁰

The fracking stage, where the well is stimulated to increase production, typically involves between 3 and 5 million gallons of water. This water is mixed with a cocktail of highly toxic chemicals to facilitate the extraction process. Between 2005 and 2015, oil and gas wells fracked across the United States have used at least 5 billion pounds of hydrochloric acid, 1.2 billion pounds of petroleum distillates (including toxic and cancer-causing agents), and 445 million pounds of methanol (suspected of causing birth defects).¹¹ Creating the necessary pressure inside the gas well involves heavy machinery, as well. Noise from machinery used in the hydraulic fracturing process can reach approximately 72 decibels at a distance as far out as 2,000 feet.¹² That is similar to the noise you hear when operating a vacuum cleaner in your home, except it is sustained twenty-four hours a day over the course of four to five weeks.¹³

⁸ Johns Hopkins Bloomberg School of Public Health, *Increased Levels of Radon in Pennsylvania Homes Corresponds to Onset of Fracking* (April 9, 2015), <http://www.jhsph.edu/news/news-releases/2015/increased-levels-of-radon-in-pennsylvania-homes-correspond-to-onset-of-fracking.html>.

⁹ *Id.*

¹⁰ MDE, *Marcellus Shale Safe Drilling Initiative Study Part III Final Report Findings and Recommendations* at 53 (Dec. 19, 2014) (*hereinafter* "Rept. III").

¹¹ Environment America Research and Policy Center, *Fracking by the Numbers: The Damage to Our Water, Land and Climate from a Decade of Dirty Drilling* at 4 (April 2016) (*hereinafter* "Fracking by the Numbers").

¹² RA at F-8.

¹³ IAC Acoustics, *Comparative Examples of Noise Levels*, <http://www.industrialnoisecontrol.com/comparative-noise-examples.htm> (last visited Dec. 13, 2016).

To transfer all of this equipment, water, and chemicals to a well pad, it has been estimated that approximately 9,500 heavy-duty truck trips and over 3,500 light-duty truck trips per well pad are required.¹⁴ MDE noted during its studies that this extraordinary amount of truck traffic can be extremely disruptive to community character.¹⁵

During and after fracking, trucks are also needed to take equipment and wastes away from the well site, with risk of spills, exposures, and accidents both before and during transport. As pressure drives gas back up and out of the well, up to 30% of the injected fluids return to the surface as “produced water” and “flowback,” along with the gas that flows upwards and out of the well.¹⁶ Produced water is a toxic mix of the chemically-laden fracking waters mixed with salts dissolved into the water while it was deep underground. Like drill cuttings, produced water may be dangerously radioactive.¹⁷ MDE intends for companies to reuse much of the waste water, which will somewhat lessen the massive water requirements of the fracking process. However, recycling waste waters will concentrate their toxic and radioactive contents, creating heightened hazards for nearby residents.

B. Infrastructure Needed Throughout the Region

When people talk about bringing fracking to Western Maryland, they do not just mean the introduction of isolated well pads either. Due to the horizontal reach of modern wells, and to stimulation techniques like fracking, the volume of gas produced by modern wells is far larger than what was produced by gas wells of the past century. This means significantly more infrastructure is required to process and transfer gas for market. Well pads must be connected to a vast network of pipelines that spans the entire region. MDE estimates that 1.65 miles of

¹⁴ RA at C-3.

¹⁵ RA at C-8 (citing NTC Consultants. *Impacts on Community Character of Horizontal Drilling and High Volume Hydraulic Fracturing in Marcellus Shale and Other Low- Permeability Gas Reservoirs* (Feb. 18, 2011), <http://www.nyserda.ny.gov/-/media/Files/Publications/PPSER/NYSERDA/ng/NTC-Report.pdf>.

¹⁶ EPA, *Hydraulic Fracturing for Oil and Gas: Impacts from the Hydraulic Fracturing Water Cycle on Drinking Water Resources in the United States, Executive Summary* at 31 (Dec. 2016), https://www.epa.gov/sites/production/files/2016-12/documents/hfdwa_executive_summary.pdf (“[W]ells in the Marcellus Shale typically produce 10-30% of the volume injected in the first 10 years after hydraulic fracturing.”).

¹⁷ EPA, *TENORM: Oil and Gas Production Wastes*, <https://www.epa.gov/radiation/tenorm-oil-and-gas-production-wastes> (last updated Oct. 27, 2016).

gathering pipelines must be installed for each new well pad.¹⁸ Siting of gathering lines is not regulated.¹⁹

Gathering pipelines and other pipelines take the gas from wells to processing facilities and compressor stations. Compressor stations are large, engine-powered facilities that move the gas through the pipeline network and can be heard from thousands of feet away.²⁰ Unlike any one well site, compressor stations stay active and noisy for years or even decades.²¹ Natural gas processing plants, which could be sited in Western Maryland and elsewhere in Maryland, are enormous, industrial facilities that chemically distill the gas that comes out of wells to produce the purified gas products used by consumers.

In a nutshell, fracking Western Maryland means exploratory drilling around the region; thousands of heavy-duty diesel trucks traversing formerly quiet, rural roadways (at all times of day and night); road construction throughout the region to access these wells and to repair state roads damaged by the excessive truck traffic; flares, some as loud as jet engines, lighting up the night sky; hundreds of miles of interconnected gas and fluid pipelines; and large, industrial gas processing and compressor stations that can be heard and smelled from miles away. Unlike the construction of an individual factory or plant, fracking threatens the wholesale industrialization of Western Maryland.

¹⁸ RA at 16.

¹⁹ *Id.*

²⁰ Marie Cusick. “State regulators take a closer listen to gas compressor stations,” StateImpact Pennsylvania (Aug. 25, 2014), <https://stateimpact.npr.org/pennsylvania/2014/08/25/state-regulators-take-a-closer-listen-to-gas-compressor-stations>.

²¹ *Id.*

THIS THAT

Proponents of fracking have acknowledged that this issue has divided their community, unlike anything they've seen before. The divide will only deepen once fracking begins, and citizens are faced with it's devastation to natural habitats and the rural way of life.



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OR





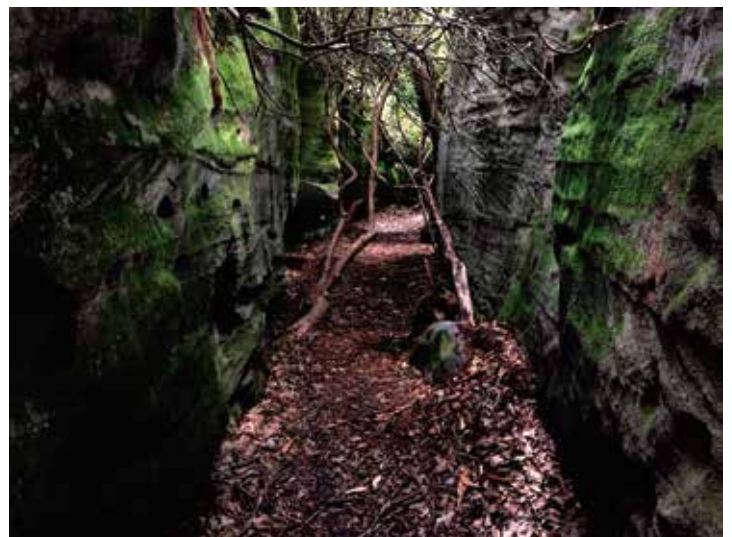
Muddy Creek Falls

Nature without fracking

Local residents boast about their hometown natural landmarks. From Muddy Creek Falls to New Germany State Park, kayaking on the Youghiogeny River, or fly fishing in one of three top rated trout streams. These local treasures are not taken for granted. They are enjoyed by those who call them their own along with hoards of visitors needing to bond with nature.

The natural instinct is to share these natural wonders with guests visiting from outside the county. There's a sense of pride in showing off nature's greatest gifts that humble the way of life Garrett Countian's covet.

Garrett County's recycling rate per-capita ranked among the highest in the state, reflecting a respect for the environment from residents. Recycling is not curbside pickup but requires sorting and personal transport to local collections points. A dedication to recycling is just one more example of local attitudes toward the environment.



Rock Maze, Oakland



Deep Creek Lake

Tourism without fracking

Deep Creek Lake welcomes tourist to Garrett County for all four seasons. It's a beacon to those who crave an escape from rural life and urban sprawl. Because of the water's notoriety, the whole region is now referred to as "Deep Creek" by Maryland and Pennsylvania media outlets. Guests enjoy fishing and water recreation on the lake.

The man-made lake was flooded in the 1920's to generate hydroelectric power. The McHenry Dam is still operational and issues controlled water releases to feed into the Youghiogheny River to the delight of kayakers and white water enthusiast in nearby Friendsville. An entire economy has been built around the "lake" to include dining, rental properties, vacation homes, marinas, outfitters, and related services.

The first ski slope in Maryland was built overlooking the majestic lake that firmly freezes over in January and February, allowing ice fisherman to dot the surface.



McHenry



Agriculture without fracking

Agriculture is an important part of Western Maryland's economy and culture. Pleasant Valley is home to multi-generational Amish and Mennonite farm families that continue to farm using traditional methods and horse drawn equipment. Many of them grow organically even though they don't seek certification. They supply fresh farm eggs and meats to local butchers and residents.

The farming traditions are enjoyed by a broader community. On Wednesdays and Saturdays, farmers bring their goods to market in downtown Oakland. It's a vibrant community event that attracts hundreds of weekly shoppers both locally and those visiting Deep Creek Lake.

An array of locally grown produce, baked goods, fruits, dairy, and meat products can all be purchased directly from the growers.



Oakland Farmer's Market



Deer hoof print, Doddridge County, WV

The footprint of fracking

Garrett County is a rural oasis from pollution and noise familiar to urban and suburban settings. Fracking would bring sweeping changes to the landscape and the environment of Western Maryland.

Engage Mountain Maryland hosted two fracking field trips to West Virginia, where fracking consumes small towns. Touring the heavily fracked counties opened eyes to the scale of devastation that accompanies big industry. A more subtle and symbolic image was captured on top of a buried water storage pool. A hoof impression from a deer oozed oily remains from below ground.

Even without the heavy industrial traffic that is required during drilling, the lasting effects of clear-cut landscapes, large-scale infrastructure, compressor stations, and pipeline will remain.

Remarkably, while on a day-long field trip, the group saw only one industry employee drawing water from a depleted stream.



Doddridge County, WV



Maryland

WITHOUT Fracking



Pennsylvania

WITH Fracking

The change from fracking

Changes that come with fracking are no longer a surprise. Neighboring states like Pennsylvania and West Virginia are examples that Maryland can learn from. Instead, the Maryland Department of the Environment has used these states as models for fracking regulations in their proposed regulations.

Our neighbors have been swallowed up by industrialization, losing masses of irreplaceable natural habitats and assets. Western Marylanders have grave concerns that their key assets, natural beauty, clean air, and fresh water could all be lost or crassly compromised, stripping away what makes the place they call home unique and desirable.

The predictability of an unsustainable fossil fuel extraction industry will eventually fade away taking with it the foundation of an existing sustainable economy centered on outdoor recreation and tourism.



Mobley, WV

III. THE INTERRUPTED DEVELOPMENT OF THESE REGULATIONS

MDE's proposed regulations for fracking reflect the rushed and disjointed process that produced them. It is easy to understand why this proposal reads more like a to-do list than an actual regulatory regime once one understands the process through which the proposal came about. The first draft of these regulations was rushed to press after an electoral surprise at the end of 2014. Since then, almost no changes have been made, except for a handful of targeted alterations that actually *weaken* critical provisions. Despite the fact that over 50% of the science on fracking's impacts has been developed in 2015 and 2016, there is nothing to indicate that recent studies or regulatory advancements have been incorporated or even considered.

Maryland's fracking story begins in 2009, when the first permit applications for unconventional natural gas production were filed in the state.²² The natural gas market was expanding rapidly at that time, and companies were eager to lease mineral rights wherever drillable shale plays could be found.²³ Between 2006 and 2011, drilling companies secured leases "on roughly a third of Garrett County," totaling approximately 131,000 acres.²⁴

²² MDE, Environmental Matters Committee, *Marcellus Shale Briefing* at 3 (Jan. 17, 2013).

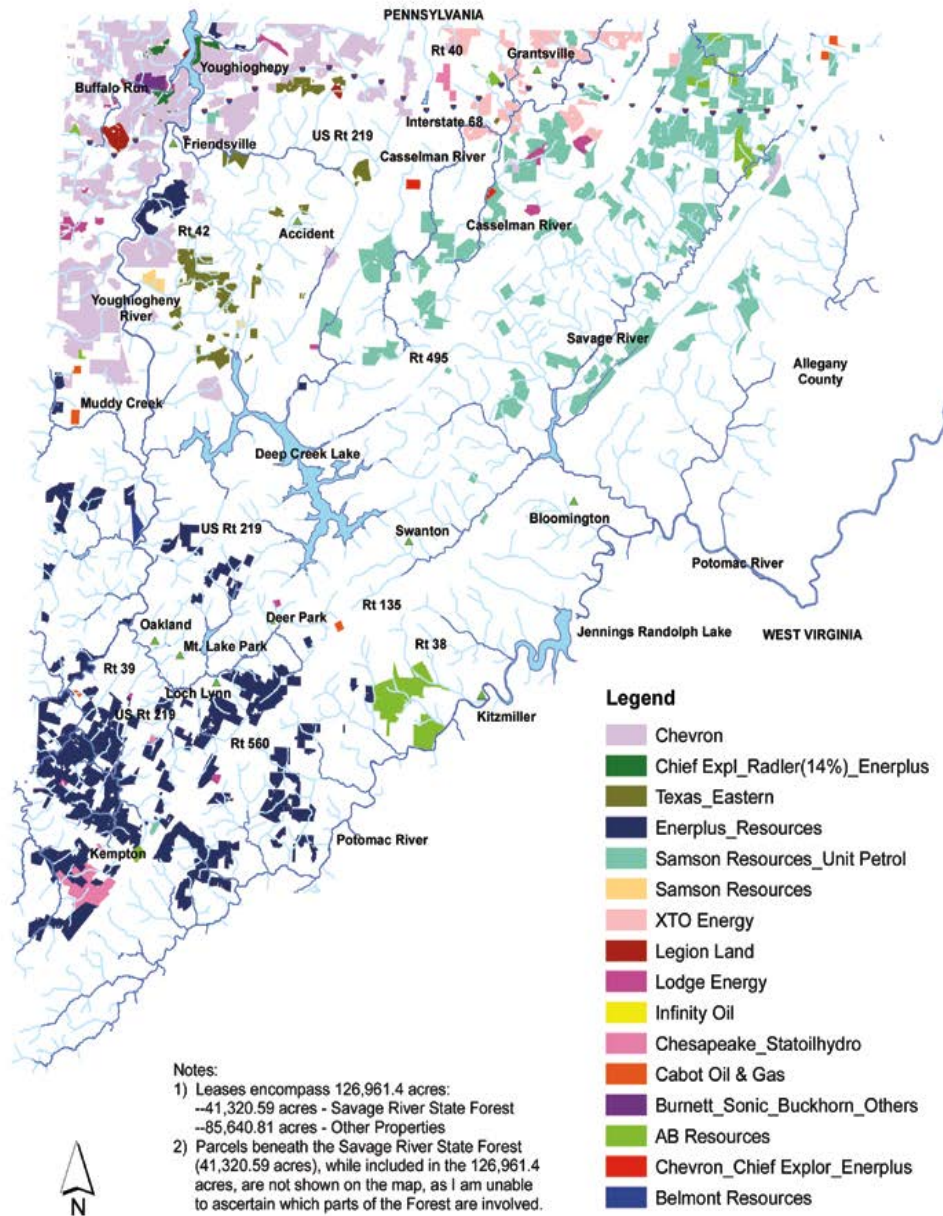
²³ *See id.* at 5 (showing prices in gas market between 2007 and 2011).

²⁴ Timothy B. Wheeler, "Fracking Debate Intensifies in Western Maryland," *The Baltimore Sun* (Jan. 17, 2015), <http://www.baltimoresun.com/features/green/blog/bs-md-fracking-garrett-20150109-story.html>.

MARCELLUS SHALE LEASES IN WESTERN MARYLAND

February 2012

Before the fracking moratorium was passed,
gas companies canvased Western Maryland to secure potential drilling sites.



MAP SOURCE: GARRETT COUNTY ECONOMIC DEVELOPMENT

Maryland citizens, including many in Western Maryland, pushed back. On June 6, 2011, then-Governor Martin O'Malley signed Executive Order 01.01.2011.11, halting the permitting process for existing leases and starting what became known as the Marcellus Shale Safe Drilling Initiative.²⁵ The purpose of the initiative was to “assist State policymakers and regulators in determining whether and how gas production from the Marcellus shale in Maryland can be accomplished without unacceptable risks of adverse impacts to public health, safety, the environment and natural resources.”²⁶

Governor O'Malley's Executive Order acknowledged that, “[a]s the use of hydraulic fracturing has increased, so have concerns about its potential impact on public health, safety, the environment and natural resources.” Studying these impacts was consistent with the legislative findings in Maryland's Oil and Gas law, which requires oil and gas drilling be conducted “in a manner that will minimize their effects on the surrounding environment,” and has long recognized that “proper evaluation of a project and the use of the most environmentally sound drilling and production methods are necessary to prevent adverse environmental consequences that would be detrimental to the general welfare, health, safety, and property interests of the citizens of the State.” Md. Code Ann., Envir. § 14-101 (Legislative Findings). The General Assembly has also recognized that “there are certain circumstances where oil and gas exploration or production should be prohibited, such as when these operations will have a significant adverse effect on the environment.” *Id.*

The Initiative was supposed to lay a scientific foundation for any discussion of whether to go forward with fracking and, if so, how to regulate it. The order required a three-phase study to evaluate: (1) the need for legislation to establish a revenue source or standards of liability; (2) best practices for unconventional natural gas production in Maryland; and (3) a final report to assess the risks of unconventional natural gas production in Maryland. Unfortunately, these studies were conducted at a time when the impacts of fracking were poorly understood. They were largely completed prior to the dramatic expansion in scientific research and the explosion of scientific papers published in 2014, 2015, and 2016. Although it has now been years since

²⁵ MD Department of Legislative Services, *Executive Orders 2011* at 28, <http://mgaleg.maryland.gov/Pubs/LegisLegal/2011-executive-orders.pdf>.

²⁶ *Id.* at 31.

Maryland completed its studies, none of them have been revisited to incorporate this recent science.

Phase one of the Safe Drilling Study was completed in December 2011. Phase two was completed in July 2014. Many of the regulatory ideas MDE adopted in its phase two study were based on “best management practices” recommended by two scientists from the University of Maryland Center for Environmental Science’s Appalachian Laboratory in a study they published on February 18, 2013 (“the Appalachian Lab Study”).

The regulatory process was interrupted by the November 2014 gubernatorial elections. Governor O’Malley’s Democratic successor was opposed by the unapologetically pro-fracking Larry Hogan. During the election, Hogan referred to the Safe Drilling Initiative as intentional foot-dragging and called fracking an “economic gold mine.”²⁷ On November 4, 2014, Hogan won the election, casting sudden uncertainty on MDE’s regulatory plans.

Little time remained for O’Malley’s administration to ensure Western Marylanders would receive protection from the negative impacts of fracking. On December 19, 2014, MDE published the final version of phase three, the impact assessment. Then, on January 9, 2015 – about two weeks before leaving office, and only two months after the election – the O’Malley administration pushed out a last-minute set of proposed fracking regulations. The final risk assessment that MDE was working on, to study risks that would remain if *all* of the proposed best management practices were implemented, had not even been completed yet and was published about two weeks later, on the day before O’Malley left office.²⁸

The January 9th proposal was full of filler provisions and placeholders—vague statements, promises to fill in parts later, and invitations to the oil and gas industry to self-regulate. In many cases, O’Malley’s proposed regulations *discussed* key issues but did not actually establish concrete standards or protective requirements. Instead, the proposal relies on standards like “rigorous” leak detection and “more frequent” monitoring, terms that sound strict,

²⁷ “‘There you go again’: How Brown and Hogan did in the last debate,” *Maryland Reporter* (Oct. 19, 2014), <http://marylandreporter.com/2014/10/19/there-you-go-again-how-brown-and-hogan-did-in-the-last-debate>.

²⁸ RA. Importantly, the Risk Assessment did not quantify any of the risks and made myriad assumptions to fill in the blanks left by the vague best management practices it was assessing. For example, the Risk Assessment never specified what control technologies it was assuming companies would use to limit air emissions when it assessed the risks related to air pollution. For one critique of the RA, see *Comments from Kate Konschnik, Environmental Policy Initiative, to Brigid Kenney, MDE RE: Risk Assessment* (Nov. 11, 2014), <http://environment.law.harvard.edu/wp-content/uploads/2015/08/review-maryland-marcellus-shale-risk-assessment.pdf>.

but that are likely to be difficult or impossible to enforce in practice, because they are too indefinite.

When Governor Hogan took office, he halted the regulatory process. The reason we have a regulatory proposal today is because, in response to the Hogan administration, Maryland's General Assembly enacted a two-year moratorium on fracking that required MDE to complete regulations by October 1, 2016.²⁹ Although this gave MDE a year and a half to finish the regulatory work started by the O'Malley administration, it appears little was done until this past summer. At that point, the agency announced plans to *weaken* four key aspects of the O'Malley proposal, while leaving the rest of the proposal essentially unchanged.³⁰ As we explain in detail below, especially in section V of these comments, none of the filler provisions were updated with meaningful requirements. None of the vague and undefined requirements were defined or replaced with specifics. No guidance was issued to fulfill the promises MDE had made in its initial proposal.

IV. THE REGULATIONS IGNORE ALL RECENT SCIENCE ON FRACKING

Public understanding of the safety risks posed by fracking has grown dramatically in recent years. At the time MDE's studies were completed, relatively little was known about fracking's impacts. New York State, which was also studying fracking at the time, concluded that too little was known about the impacts of fracking and too many dangers were present for the state to go forward with the practice.³¹

Today, "more than 80 percent of all of the peer-reviewed literature that is relevant to assessing the environmental, socioeconomic, and public health impacts of shale and tight gas development has been published since January 2013."³² The charts below illustrate the explosion

²⁹ See Md. Code § 14-107; see also Md. Laws Chs. 480, 481.

³⁰ MDE, *Issue Papers for Stakeholder Input* (June 2016) (*hereinafter* "Issue Papers"), http://www.mde.state.md.us/programs/Land/mining/marcellus/Documents/Issue_Papers_Combined.pdf.

³¹ New York State Department of Health, *A Public Health Review of High Volume Hydraulic Fracturing for Shale Gas Development* (Dec. 17, 2014), http://www.health.ny.gov/press/reports/docs/high_volume_hydraulic_fracturing.pdf. In the words of New York State Health Commissioner Dr. Howard Zucker, "[I]t is clear from the existing literature and experience that HVHF activity has resulted in environmental impacts that are potentially adverse to public health. Until the science provides sufficient information to determine the level of risk to public health from HVHF and whether the risks can be adequately managed, HVHF should not proceed in New York State."

³² Concerned Health Professionals of New York & Physicians for Social Responsibility, *Compendium of scientific, medical, and media findings demonstrating risks and harms of fracking (unconventional gas and oil extraction)* (4th ed.) at 4 (Nov. 17, 2016) (*hereinafter* "Compendium"), <http://concernedhealthny.org/compendium>.

of scientific knowledge over the past few years. Nearly one-quarter of the now more than 900 available studies were published in just the first nine months of 2016.³³

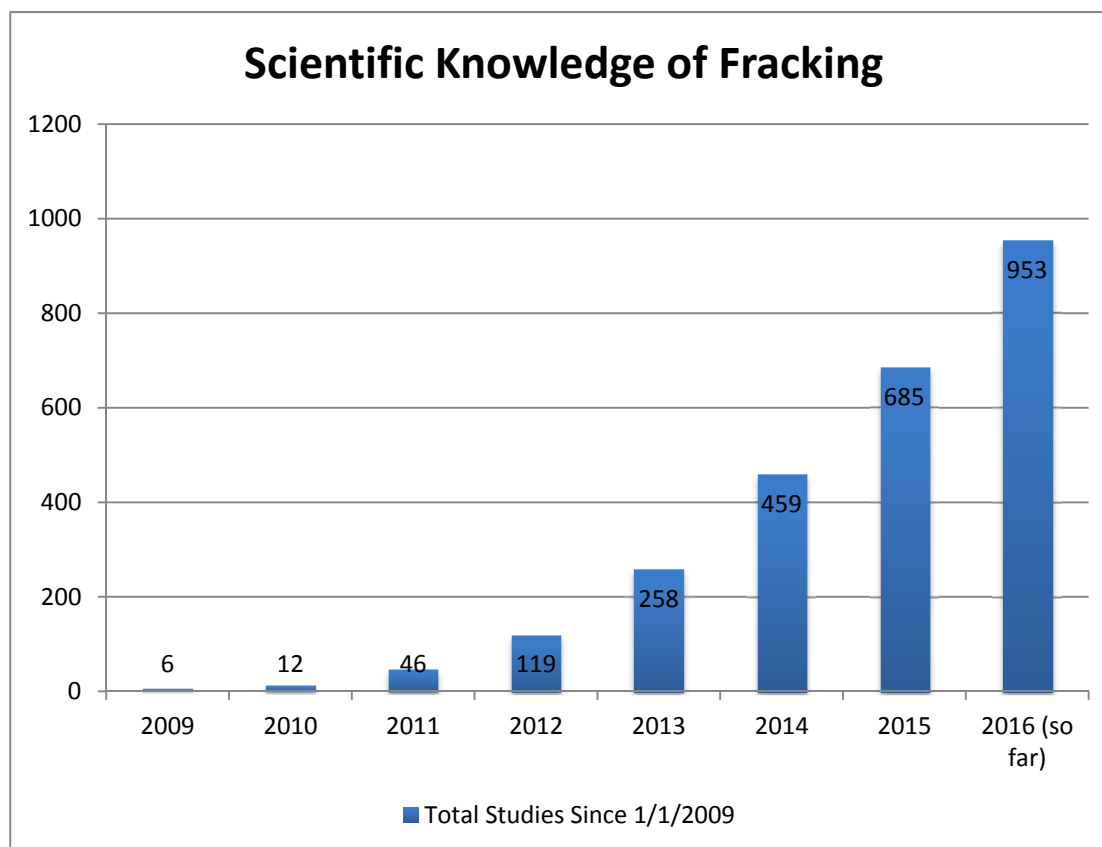


Figure 1: PSE Healthy Energy, *PSE Study Citation Database*, <http://www.psehealthyenergy.org/site/view/1180>; see also Jake Hays and Seth Shonkoff, *Toward an Understanding of the Environmental and Public Health Impacts of Unconventional Natural Gas Development: A Categorical Assessment of the Peer-Reviewed Scientific Literature, 2009-2015*, *PLOS One* (April 20, 2016), <http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0154164>.

Since the start of 2015, when the O'Malley proposal was released, there have been approximately 494 studies published, or just over 50% of all studies. Almost all of the studies were completed after the time the best management practices (which this current regulatory proposal is still based on) were recommended by the Appalachian Lab Study in February 2013.

³³ Compendium at 4.

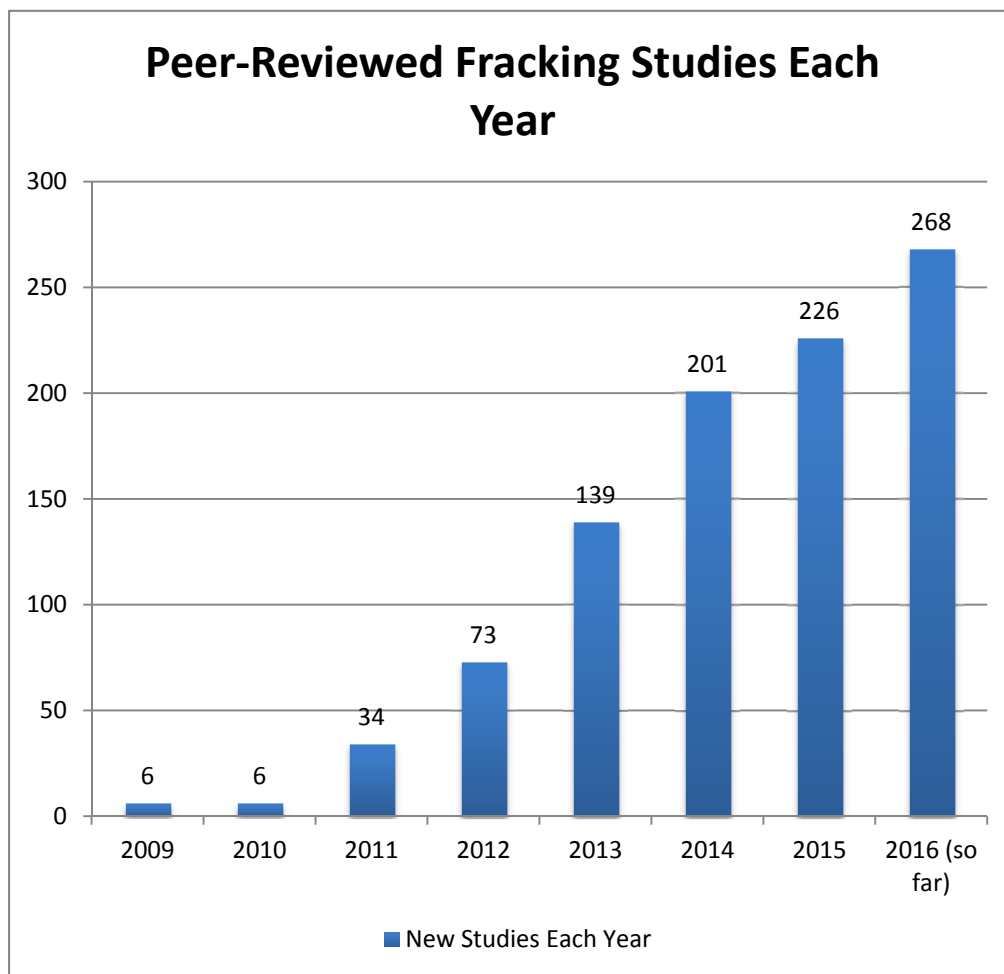


Figure 2: PSE Healthy Energy, *PSE Study Citation Database*, <http://www.psehealthyenergy.org/site/view/1180>; see also Jake Hays and Seth Shonkoff, Toward an Understanding of the Environmental and Public Health Impacts of Unconventional Natural Gas Development: A Categorical Assessment of the Peer-Reviewed Scientific Literature, 2009-2015, *PLOS One* (April 20, 2016), <http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0154164>.

The current proposal fails to take account of these recent scientific developments, which overwhelmingly counsel against authorizing fracking. A late-2015 review of the published, peer-reviewed literature concluded that “69 percent of original research studies on water quality found potential for, or actual evidence of, water contamination; 87 percent of original research studies on air quality found elevated air pollutant emissions; and 84 percent of original research studies on human health risks found signs of harm or indication of potential harm.”³⁴ Every year, it

³⁴ Compendium at 4 (citing Jake Hays and Seth Shonkoff, Toward an Understanding of the Environmental and Public Health Impacts of Unconventional Natural Gas Development: A Categorical Assessment of the Peer-

becomes increasingly clear that fracking is dangerous to human health and safety, despite many states' attempts to regulate it.

V. SPECIFIC IMPACTS OF FRACKING AND MDE'S FAILURE TO CONTROL THEM

MDE's proposal reads like a to-do list, not a true regulatory proposal. Using undefined and broadly ambiguous terms like "safely," "more frequent," and "periodic" the agency avoids setting any meaningful or enforceable standards. In other places, the proposed regulations do not even go this far and offer only a promise that MDE will, at some point in the future, come up with standards or guidance to address a key issue. These promises were initially made back when O'Malley's midnight proposal was rushed out, but MDE still has not followed through. In many key respects, the regulations empower the oil and gas industry to regulate itself, asking industry to develop its own plans that "consider" certain factors but otherwise can be whatever industry desires. As the many examples that follow will show, these regulations give residents no assurances whatsoever that Western Maryland will be protected.

A. The Proposed Regulations Provide No Assurances of Community-Level Protection

When MDE and the Maryland Department of Natural Resources ("MDNR") examined the risk to Western Maryland's people and environment, they relied on the Comprehensive Development Plan ("CDP") provisions of the O'Malley proposal to provide protections to communities impacted by fracking. The CDP was supposed to be the key bulwark against over-industrialization, environmental harms, and other community-level impacts, and MDE recognized that a strong CDP requirement was particularly important to address landscape-level impacts and cumulative impacts. In MDE's current proposal, however, the CDP has become essentially advisory and no longer even needs to be approved by either MDE or MDNR.

The CDP requirements ask companies to forecast their development plans five years into the future and submit a plan that will "avoid, to the extent possible, the surface impacts associated with the applicant's planned development, minimize the surface impacts that cannot be avoided, and mitigate the remaining impacts." 43 Md. Reg. 1293, 1301 at § .12.

Unfortunately, there are few actual constraints on what a company's plan looks like. The CDP must be submitted for comments to the public and to certain state and local agencies, but all the

company needs to do is “consider” each comment. 43 Md. Reg. 1302 at § .13(F)(1). Companies do not need to respond to the comments or explain why they chose to disregard them. Even comments from agencies carry no weight. The company “may” make changes to the draft CDP, or it may not. *Id.* at § .13(G). Then the company “shall publish the final CDP on its website”, before even submitting its drilling application to MDE. *Id.* at § .13(H). No agency approval is needed for the CDP.

Moreover, the CDP is undermined by its own exceptions. The point of the CDP is to predict community-scale impacts and give the public and government some input into development planning. Unfortunately, the first wells to be drilled throughout the region are likely to be exploratory wells (also known as “wildcat wells”) and these are exempt from the CDP requirement. 43 Md. Reg. 1305 at § .22(A). Once these wells are drilled, they will operate as a foot in the door because, with horizontal drilling, it is much more efficient to share well pads instead of building new ones for each well. Companies will have every reason to place additional wells in whatever location was already selected for the exploratory site – a decision made prior to the CDP.

Additionally, because exploratory wells are often not connected to any pipeline infrastructure when first built, if they “succeed” and hit gas then they can produce flares for up to 30 days while the escaping gas is burned to reduce certain air pollutants while creating others. 43 Md. Reg 1311 at § .47. Flares can be as loud as jet engines and will light up the night sky for miles around.

Furthermore, even though operators get to write their own CDPs, there are major exceptions to the requirement to follow them. First, while the CDP need only address five years of operations, they remain effective for ten years, meaning that operators can engage in five years of unplanned oil and gas development. In addition, operators are free to drill additional wells and change the order and timing of well construction without any public process except posting the change on their website and notifying MDE. 43 Md. Reg at § .13(I). Overall, the CDP provisions in the proposal will do little to actually constrain how industry behaves or to ensure the peace and safety of Western Marylanders living near fracking activities.

The other critical parts of MDE’s proposed regulations for protecting the environment have received even less attention from the agency. The environmental assessment and baseline

monitoring requirements are illustrative of the fill-it-in-later approach that MDE adopts throughout its proposal.

Environmental assessments and proper baseline monitoring are critical for understanding the potential impacts of a gas-drilling project and properly planning to avoid and mitigate those impacts from the beginning. They must be carefully planned with an understanding of the types of problems that will likely occur, so that the right data is available to detect those problems and to prevent or repair them, if possible.

Instead, MDE's proposal simply states that MDE "shall develop guidance for the environmental assessment and the baseline monitoring, including sampling design, monitoring protocols, quality assurance and quality control criteria, and specifications for analysis and data submission." 43 Md. Reg. 1304 at § .19(C). No meaningful regulation is provided at all, and the baseline monitoring described is limited to water monitoring (omitting air quality). The eventual guidance needs to address common aspects of any monitoring plan or assessment – "sampling design," "monitoring protocols," and so on – but the regulations do not specify any specific criteria for these components that would establish a particular *level* of data quality (*e.g.*, no minimum number of monitors, minimum number of samples, etc.). *Id.*

MDE does require that environmental assessments minimally include a "discussion and evaluation of the possible ecological, aesthetic, historic, cultural, economic, social, or health impacts of the planned drilling and operating activity." 43 Md. Reg. 1297 at § .02(B)(27). Without any guidance yet proposed on *how* the gas industry should go about considering such factors, Western Marylanders have little assurance that these values will truly be protected. A successful environmental assessment also depends on proper baseline monitoring and evaluation of the area, and thus requires well-developed regulations defining the baseline monitoring process.

As it stands, the regulations do not even mention *what* the baseline monitoring would test for. Presumably the promise of future "guidance" is intended to address these concerns, but MDE has not yet developed guidance and it is unclear whether they have even thought about what such guidance would look like. These are critical questions and cannot be deferred, because the answer depends on a careful and scientific evaluation of the risks posed by fracking. The only changes that MDE has made since the O'Malley proposal are: (1) to *reduce* the required timeframe for baseline monitoring to one year, despite the Department of Natural Resources

explaining that two years of data are necessary to account for annual variations;³⁵ and (2) to *remove* the requirement that baseline monitoring include air data, the importance of which shall be discussed in the following section on health impacts.

In short, MDE has kicked the can down the road and failed to actually develop regulations to govern two of the most important parts of this regulatory proposal for ensuring environmental protection during fracking development. The agency's proposals for baseline monitoring and environmental assessments are, at best, no more than placeholders. The CDP, for its part, has become merely advisory and gives neither the public nor the government any ability to ensure that fracking development be rational and consistent with other uses or to control industrial fracking development in any way.

B. Impacts to Western Maryland's Rural Character

Garrett County has little ability to protect itself from community level impacts, especially because it lacks zoning authority.³⁶ Throughout its regulatory process, MDE has assumed zoning is a local matter and that counties with fracking can use zoning to protect their interests. This is not so in Garrett County. The CDP program is thus essential, yet, as explained above, is at best an advisory requirement following the Hogan Administration's revisions. The CDP no longer needs to be approved by MDE or DNR and companies have complete discretion whether or not to make changes in response to comments received from these agencies or from the public. 43 Md. Reg. 1301-1302 at § .13. In addition to the environmental impacts described above, residents of Western Maryland will face significant changes to their quality of life due to truck traffic, noise, and the development of other gas-related infrastructure throughout the region.

1. Truck Traffic

³⁵ See MDE, Marcellus Shale Safe Drilling Initiative Study Part II Interim Final Best Practices at 50 (July 2014) (*hereinafter*, "Rept. II") ("DNR emphasizes that a minimum of 2 years of pre-development baseline data is necessary to evaluate the condition and characteristics of aquatic resources, particularly the living resources, since statewide monitoring experience demonstrates there is great variability on a seasonal and annual basis.").

³⁶ Zoning is used by counties around the country to limit where industrial activities can take place, or to control the nuisances of industrial activity such as noise and light pollution. Zoning is essential for protecting the character and quality of life of a community when industrial activities are proposed. Only the few and far-between incorporated municipalities in the county have any ability to restrict the spread of fracking within their borders. Otherwise, Garrett County is at the whim of industry and will be unable to protect its bucolic charm from piecemeal industrialization.

Of critical concern is truck traffic. Thousands of truck trips means that key roads will suffer extremely heavy and persistent traffic, with tremendous noise and air pollution impacts as a result. The roads in Garrett County do not have sound walls like major highways. The local roads in Garrett County are designed for low traffic volumes and thus, will likely experience significant damage from truck traffic.³⁷ Large state roads may be built to sustain truck traffic, but local roads are not.³⁸ However, even the large state roads that may already exist in parts of the region are not typically designed to handle thousands of heavy truck trips, and can suffer damage from the increased truck traffic that fracking operations will bring to the area.³⁹ A New York study analyzing fracking's impacts on rural communities noted that road damage "could range from minor fatigue cracking (i.e., alligator cracking) to significant potholes, rutting, and complete failure of the road structure," and that the extra truck traffic "would also result in extra required maintenance for other local road structures, such as bridges, traffic devices, and storm water runoff structures."⁴⁰

Road maintenance, repair, and upgrading will put a significant strain on county budgets. Already, road work and road maintenance eat up a large percentage of Garrett County's revenues, crowding out other needs like education, public safety, and economic diversification initiatives. "Public works," which is mostly road-related expenditures, represents almost 21% of the county budget, and roads are the biggest line item in the "capital projects" category, which makes up another 4.5%.⁴¹ Even if money is found to improve and maintain roads, the road infrastructure needed to support the oil and gas extraction process will mean significant construction and land use changes, increasing forest loss and creating sediment pollution.⁴²

Further, there is a significant risk of accidents and spills during transport and unloading.⁴³ MDE's proposals for limiting the risks inherent in using thousands of heavy-duty truck trips to

³⁷ RA at C-6.

³⁸ *Id.*

³⁹ *Id.*

⁴⁰ New York State Department of Environmental Conservation, *Final Supplemental Generic Environmental Impact Statement* at 6-313 (2015), http://www.dec.ny.gov/docs/materials_minerals_pdf/fsgeis2015ch6b.pdf.

⁴¹ Garrett County Government, Fiscal Year 2016 Budget (June 16, 2015), <https://www.garrettcounty.org/resources/commissioners/pdf/Budgets/budget16/FY16-Approved-Budget.pdf>.

⁴² RA at C-11.

⁴³ RA at D-5; *see also* "Contamination in North Dakota linked to fracking spills [press release]," *Duke University Nicholas School of the Environment* (April 27, 2016), <https://nicholas.duke.edu/about/news/ContaminationinNDLinkedtoFrackingSpills> (detailing widespread water and soil contamination due to accidental spills); Brian Drollette & Desiree Plata. "Hydraulic fracturing components in

transfer chemicals and water are all conditioned on whether or not the requirements are “practicable.” See 43 Md. Reg. 1307 at § 33(C). In other words, these are not firm requirements, but invitations to the oil and gas industry to weigh health and safety against the inconvenience and cost they might impose on industry. For instance, one option is “[i]mproving the roads to be used so that damage to the roadways is minimized.” *Id.* at § .33(C)(2). This should be a requirement, not a suggestion. The maintenance and repair costs that the county will endure are considerable, and MDE needs to propose an effective means to ensure that leasing companies pay these costs and not the county.

Some of the options for reducing truck traffic are presented as little more than thought experiments. For instance, one subsection states that “[i]f proven to be safe and effective and to have less impact” a company could reduce truck impacts by establishing a centralized facility to prepare, mix, and pressurize fracturing fluid (with noise and air pollution controls) and then could deliver the water, proppant, and additives to the well pad via pipes. *Id.* at § .33(C)(4). This sounds promising, but is an admission on its face that MDE has no idea whether this would actually be “safe,” “effective,” or have any “less impact” than other options.

MDE’s task was study the impacts itself and then propose concrete regulations based on scientific evidence showing what the most protective and effective requirements would be. This proposal makes clear that the agency has failed in its task.

2. Noise

As anyone living in a state where fracking takes places will tell you, the noise from drilling activities and fracking operations is considerable. As discussed above, the noise from fracking-related infrastructure, like compressor stations, can be even worse and can persist for many years.

MDE’s proposal requires drilling companies to “reduce noise to the lowest practicable level,” but neglects to define what such a level would be. 43 Md. Reg. 1311 at § .48(A). Without setting a numeric standard, this requirement will be difficult, if not impossible, to enforce. The noise regulations also require operators to come up with another “plan.” The noise plan must result in the “lowest practicable noise impact” from the choice of energy source, and the operator

Marcellus groundwater likely from surface operations, not wells,” *The Conversation* (Oct. 12, 2015), <http://theconversation.com/hydraulic-fracturing-components-in-marcellus-groundwater-likely-from-surface-operations-not-wells-48873> (describing study showing that chemicals detected in groundwater wells were due to spills at the ground surface, not from transport from deep shale formations).

must use and maintain “appropriate” noise reduction devices on all equipment. MDE defines neither “practicable” nor “appropriate,” leaving industry with discretion to fill in the blanks.

The noise from truck traffic will also be substantial, but MDE does not regulate this directly. Like many states, Maryland has standards for vehicle noise in place but these are not designed to protect rural communities from the noise of heavy-duty truck traffic going past their homes. These are the same standards that apply to highway traffic and all other traffic in the state, and provide no special consideration for the thousands of truck trips passing rural homes in communities where fracking will take place.

3. Compressor Stations, Processing Facilities, and Waste Water Treatment Plants

MDE’s proposed regulations do not in any way address compressor stations or processing facilities. The regulations also fail to address centralized water and chemical facilities, despite mentioning these possibilities in the proposed trucking regulations discussed above. Thus, the regulations cannot protect communities from the noise, smells, or industrial sprawl generated by these types of facilities.

C. Impacts to Western Maryland’s Economy

MDE’s regulations are unable to provide any protection to the economy of Western Maryland. It is also notable that MDE’s economic analysis in the preface to these proposed regulations ignores the real impacts of fracking. Instead, MDE presumes fracking will take place and frames the regulations as having only a positive impact on affected communities, relying perhaps on the theory something is better than nothing. Yet by ignoring the real impacts of their decision to allow fracking to go forward, MDE sets itself up for failure by drafting regulations that fail to address many of the economic impacts fracking is known to have on rural communities. Garrett County is particularly vulnerable to fracking’s economic impacts due to its unique reliance on tourism and on property taxes from the Deep Creek Lake area.

Fracking, like many extractive industries, follows a “boom and bust” process in rural communities.⁴⁴ Due to the pace of extraction, fracking’s boom can be especially short-lived, while it is still followed by a long and painful bust. Many workers come from out of state to regions that are starting to frack, quickly driving up housing prices and displacing residents and

⁴⁴ Rept. III at 72.

tourists. In many cases, job growth from drilling mostly benefits non-local workers.⁴⁵ Studies have shown that such rapid population shifts can increase crime and drug abuse within rural communities ill-equipped to handle them. Once well construction activities slow, however, few jobs remain for fracking workers and many leave the area. The “vast majority of employment generated” by natural gas extraction is concentrated in the drilling phase, and is short-lived.⁴⁶ Left in the wake of these workers is empty housing, a local economy that suddenly has far fewer customers, and environmental damage and disruption that will forever decrease the region’s attractiveness to the tourists and visitors that once sustained its economy.

1. Tourism and Fracking

Tourism, an industry premised on the region’s natural beauty, tranquility, and distance from industrial disturbances like fracking, is a primary economic driver in Garrett County. Over 1.2 million tourists come to Garrett County each year.⁴⁷ Tourism in Garrett County takes the form of both a second-home and rental market around Deep Creek Lake and also diverse eco-tourism and nature-based businesses like lodges, kayaking trips, hunting and fishing activities, and so on. Over half of the county’s budget is generated by property taxes near Deep Creek Lake.⁴⁸ A majority of sales tax revenue in the area is also generated by tourism, and the top two industry sectors in Garrett County in terms of employment are retail trade and accommodation and food services, both hallmarks of a tourist-rich economy.⁴⁹ Tourism is driving economic growth in the region, as well. The economic impacts study commissioned by MDE noted that in 2012-2013, tourism-induced sales tax revenue increased 6.3 percent in Garrett County and 7.3 percent in Allegany County, while statewide sales tax revenue increased only 0.8 percent.⁵⁰ In July, August, and September of 2016, tourism sales tax revenues in Garrett County grew 5.8%

⁴⁵ See, e.g., Andrew Rumbach, *Natural Gas Drilling in the Marcellus Shale: Potential Impacts on the Tourism Economy of the Southern Tier* at 24, http://www.fe.doe.gov/programs/gasregulation/authorizations/2013_applications/sc_economic_impact_13_161_LN_G_9_29_14/Ex_20_STC_RumbachMarcellusTourism.pdf; see also Rept. III at 72 (discussing how to handle the “influx of workers”).

⁴⁶ Andrew Rumbach, *Natural Gas Drilling in the Marcellus Shale: Potential Impacts on the Tourism Economy of the Southern Tier* at 24, http://www.fe.doe.gov/programs/gasregulation/authorizations/2013_applications/sc_economic_impact_13_161_LN_G_9_29_14/Ex_20_STC_RumbachMarcellusTourism.pdf.

⁴⁷ Garrett County, *Tourism and Recreation*, <http://www.gcedonline.com/tourism-recreation> (last visited Dec. 14, 2016).

⁴⁸ RESI at 28.

⁴⁹ RESI at 28.

⁵⁰ RESI at 80.

while the rest of Maryland only saw a growth of 3.0%.⁵¹ Garrett County's lodging sales tax revenue collections grew 7.8% during this time, while the rest of Maryland grew only 5.5%. Similar growth occurred during the previous fiscal year as well.

Tourists are currently drawn to Garrett County because of its scenic beauty, natural areas, and rural charm. The introduction of fracking to the region and the concomitant environmental stressors will change the face of the region, as well as its reputation. The tourism industry will suffer, and Garrett County will suffer with it.⁵² The ongoing noise and light pollution from fracking activities, the extensive truck traffic, the smells from diesel engine fuel used by the trucks and on the well pads, and the towering drilling rigs would ruin the picturesque landscape tourists seek out. As spills and other accidents occur, too, resultant news stories will ruin the region's reputation, undermining the appeal to potential visitors. At the same time, while the influx of a large migrant workforce will increase demand for hotel rooms, it will hurt other local businesses as recreation seekers and tourists will have a difficult time finding affordable accommodations.⁵³ Drilling phase workers are unlikely to purchase homes or avail themselves of long term accommodations, given the transitory nature of their work. As a result, "even a few thousand workers can overwhelm the carrying capacity of rural communities and quickly tie up hotel rooms," even in much larger cities.⁵⁴ While MDE has acknowledged this problem, it has not proposed any solutions.⁵⁵ A sudden lack of accommodations for tourists and visitors will starve many local businesses in Garrett County of the typical clientele they depend on for revenue.

⁵¹ Deep Creek Vacations, *Deep Creek Lake, Garrett County, Maryland Experience Highest Tourism Revenue in State*, <http://www.ilovedeepcreek.com/jays-blog/deep-creek-lake-garrett-county-maryland-experience-highest-tourism-revenue-state> (last visited Dec. 14, 2016).

⁵² S. Christopherson & N. Rightor. How shale gas extraction affects drilling localities: Lessons for regional and city policy makers. *Journal of Town & City Management* at 12-13 (2011), http://greenchoices.cornell.edu/resources/publications/drilling/Effects_on_Drilling_Localities.pdf. See also T.B. Kellison, et al. Fracking & parkland: Understanding the impact of hydraulic fracturing on public park usage (2015), http://plaza.ufl.edu/tkellison/_/Fracking.html.

⁵³ Rept. III at 72; see also S. Christopherson & N. Rightor. How shale gas extraction affects drilling localities: Lessons for regional and city policy makers. *Journal of Town & City Management* at 11 (2011), http://greenchoices.cornell.edu/resources/publications/drilling/Effects_on_Drilling_Localities.pdf

⁵⁴ Andrew Rumbach, Natural Gas Drilling in the Marcellus Shale: Potential Impacts on the Tourism Economy of the Southern Tier at 10, http://www.fe.doe.gov/programs/gasregulation/authorizations/2013_applications/sc_economic_impact_13_161_LN_G_9_29_14/Ex_20_STC_RumbachMarcellusTourism.pdf.

⁵⁵ See e.g., Rept. III at Part M.

The Heritage Area Management Plan adopted for Garrett County confirms the importance of scenic values, natural areas, and tranquility for Western Maryland's future economic development. The approved plan, which has a goal of "enhancing preservation and developing heritage tourism infrastructure" in order to promote "economic development related to heritage tourism," recognizes that "[v]isitors to Garrett County come to experience the wild regions of Western Maryland and the County is one of the State's premiere sites for eco-tourism."⁵⁶ It also recognizes that Garrett County's "natural resources and scenic viewsheds" are a "primary draw" to the area, "highlighting the need for protection so that they can be enjoyed by future generations."⁵⁷ MDE's decision to authorize fracking throughout Garrett County, including within this Heritage Area, and MDE's failure to adopt stronger protections, are inconsistent with the objectives of the Heritage Area and with the approved management plan.

2. Property Values

Property values would also be negatively impacted if fracking proceeds in Western Maryland, a severe risk to Garrett County which is heavily dependent on property taxes for its revenue. In a testament to the long-lasting deleterious effects of creating gas drilling infrastructure, one study contracted by MDE noted that property values *still* suffer by 7-8% for homes within a half-mile of fifty-year-old *conventional* gas wells in the region that have long since been plugged and abandoned.⁵⁸ Modern well infrastructure is even more disruptive.

More recent studies have estimated that the mere *threat* of fracking within one mile can reduce the value of ground-water dependent homes (which include many in Garrett County) by up to 24%.⁵⁹ Other studies have also shown significant net losses in property value, even when factoring in the value of potential lease payments, ranging from 6.5% losses within 1.5 kilometers of a wellpad to 13.9% losses within 1 kilometer.⁶⁰ Even putting aside its impact on

⁵⁶ Garrett County Heritage Area Management Plan Steering Committee, *Garrett County Heritage Area Management Plan* (June 2011), https://www.garrettcountry.org/resources/planning-land-development/pdf/Comprehensive%20Planning/HeritageAreaMgtPlan%202011_FINAL.pdf.

⁵⁷ *Id.*

⁵⁸ RESI at 56.

⁵⁹ Lucija Muehlenbachs, et al. The Housing Market Impacts of Shale Gas Development, *American Economic Review* (Dec. 2015), "9 Things That Will Trash Your Home's Value," *Business Insider*, <http://www.businessinsider.com/what-hurts-home-value-2013-5#just-the-threat-of-fracking-drives-home-values-down-by-24-6>; Sean Cockerham, "Fracking can hurt property values of nearby homes with wells, study suggests," *McClatchy DC* (Nov. 6, 2012), <http://www.mcclatchydc.com/news/nation-world/national/article24739855.html>.

⁶⁰ Lucija Muehlenbachs et al., *The Housing Market Impacts of Shale Gas Development*, AMERICAN ECONOMIC REVIEW, 2015, 105(12): 3633–3659, available at <http://pubs.aeaweb.org/doi/pdfplus/10.1257/aer.20140079>.

the county's revenues, declining property values can have severe and direct impacts on individuals and families. For many people, their homes represent the largest share of their personal wealth and declining home values can significantly impact their financial wellbeing.

D. Health Impacts to Residents of Western Maryland

Residents of Western Maryland living near fracking operations “will be exposed to multiple chemical hazards . . . physical hazards (noise, radiation), and a host of psychosocial stressors including those related to public safety, potential loss of property values, disruption and division of existing social fabric, crime, among others.”⁶¹ MDE's proposed regulations will not protect the people of Western Maryland from these impacts. Many of the greatest impacts from fracking will be felt most directly by those people in Western Maryland who live near well pads and fracking infrastructure and by the few local workers able to find employment during the short boom phase of gas development.

In many cases the residents exposed will be those living on adjacent tracts of property who have no say in the conduct of the industrial operations next door, but who must live daily with the toxic air emissions, constant risk of water contamination or explosions, and the ongoing industrial commotion and noise that will be taking place next to their formerly-tranquil homes. For the few Western Marylanders able to find temporary employment in the oil and gas industry, the risks will be substantial since gas field workers are on the front lines when it comes to toxic exposures and have some of the highest fatality rates in the nation.

The range of health impacts that could result from fracking are of significant concern to the residents of Western Maryland. To give a brief overview, fracking operations have been associated with increased rates of cancer and numerous other health harms for nearby residents. A recent study by the Yale School of Public Health confirmed that “numerous carcinogens involved in the controversial practice of hydraulic fracturing have the potential to contaminate air and water in nearby communities.”⁶² Many of the chemicals used during the fracking process are endocrine-disrupting chemicals, which have been shown to disturb hormone function in both

⁶¹ University of Maryland School of Public Health, *Potential Public Health Impacts of Natural Gas Development and Production in the Marcellus Shale in Western Maryland* at xxiv (July 2014) (*hereinafter*, “HIA”).

⁶² Denise Meyer, “Fracking Linked to Cancer-Causing Chemicals, New YSPH Study Finds,” *Yale School of Public Health* (Oct. 24, 2016), <http://publichealth.yale.edu/news/article.aspx?id=13714>; Elise Elliott et al. Unconventional oil and gas development and risk of childhood leukemia: Assessing the evidence, *Science of the Total Environment* (Oct. 23, 2016), <http://www.sciencedirect.com/science/article/pii/S0048969716322392>.

males and females.⁶³ Exposure to endocrine disruptors is particularly harmful during pregnancy and fetal development as even a low-level of exposure at this stage has been linked to birth defects in newborns.⁶⁴ Additionally, pregnant women who reside near active fracking operations in Pennsylvania were found to have a forty percent higher risk of giving birth prematurely and a thirty percent increased chance of having their pregnancy labeled “high-risk” by their obstetrician.⁶⁵ Fracking operations are also correlated with an increase in asthma attacks, which has been attributed to the air pollution and stress that comes from proximity to these operations.⁶⁶ A study from the Johns Hopkins Bloomberg School of Public Health linked severe fatigue and migraine headaches to fracking operations.⁶⁷ Increased rates of hospitalization are also associated with the arrival of fracking activities.⁶⁸ When New York State was evaluating whether to allow fracking, the likelihood that adverse health outcomes would occur with the onset of fracking and the uncertainty that mitigation measures could actually be effective led the Health Commissioner to recommend that fracking not be allowed in New York at all.⁶⁹

One of the most important regulatory mechanisms for reducing health impacts to residents is the setback requirement. MDE has proposed a setback of 1,000 feet from occupied

⁶³ “Fracking chemicals exposure may harm fertility in female mice” [press release], Eurekalert (Aug. 25, 2016), https://www.eurekalert.org/pub_releases/2016-08/tes-fce081916.php (describing study that found a link between “chemical exposure and adverse reproductive and developmental outcomes in female mice” even in mice exposed to the lowest dose of chemicals); Endocrine Society. “Fracking chemicals tied to reduced sperm count in mice,” *ScienceDaily* (Oct. 14, 2015), <https://www.sciencedaily.com/releases/2015/10/151014134533.htm> (describing study that found that male mice exposed to chemicals commonly used in fracking operations had reduced sperm counts and elevated levels of testosterone in their blood, which “may have implications for the fertility of men living in regions with dense oil and/or natural gas production.”).

⁶⁴ Mary Ann Mercer. “Are We Fracking Away Our Health?” *The Huffington Post* (Oct. 27, 2016), http://www.huffingtonpost.com/mary-anne-merc/are-we-fracking-away-our-_b_12675264.html.

⁶⁵ “Study: fracking industry wells associated with premature birth,” *Johns Hopkins Bloomberg School of Public Health* (Oct. 8, 2015), <http://www.jhsph.edu/news/news-releases/2015/study-fracking-industry-wells-associated-with-premature-birth.html>.

⁶⁶ L. Song and N. Kusnetz. “Increased asthma attacks tied to exposure to natural gas production,” *Inside Climate News* (July 18, 2016), <https://insideclimatenews.org/news/18072016/asthma-study-marcellus-shale-pennsylvania-natural-gas-fracking>.

⁶⁷ Deirdre Fulton. “Fatigue, Migraines Linked to Fracking as Case Builds for National Ban,” *Common Dreams* (Aug. 25, 2016), <http://www.commondreams.org/news/2016/08/25/fatigue-migraines-linked-fracking-case-builds-national-ban>.

⁶⁸ Z. Schlanger. “Living near fracking wells linked to increased hospitalization rates,” *Newsweek* (July 15, 2015), <http://www.newsweek.com/living-near-fracking-wells-linked-increased-hospitalization-rates-354093> (describing study that found a “27 percent higher rate of cardiology hospitalizations” in areas with the most fracking wells than in fracking-free areas).

⁶⁹ New York State Department of Health, *A Public Health Review of High Volume Hydraulic Fracturing for Shale Gas Development* (Dec. 17, 2014), http://www.health.ny.gov/press/reports/docs/high_volume_hydraulic_fracturing.pdf.

buildings, homes, and schools. However, recent events and studies have demonstrated the need for a significantly larger protective area. This is especially true in region's like Garrett County, where a plethora of abandoned gas wells from the last century will increase the risk of blowout events. A blowout in Tioga County in 2012 occurred when a drilling operation got too close to an abandoned well that had been there since 1932.⁷⁰ This blowout required a one-mile evacuation zone and plans had to be made for a two-mile evacuation zone in case the well could not be brought under control.⁷¹ Other accidents occur simply because of the high-risk nature of fracking operations. A blowout in Wyoming County in 2013, likely due to equipment malfunction, required a 1500 foot evacuation zone.⁷² In April of 2012, an operator lost control of a gas well in the Niobrara Shale of Wyoming, requiring the evacuation of 67 residents within a 2.5 mile radius.⁷³ Vapor plumes from some wells can extend in excess of a mile around gas wells, too, with toxic sulfur compounds found at levels high enough to cause health impacts.⁷⁴

The Health Impact Assessment commissioned by MDE also acknowledged increased cancer risks for residents within a half mile (2,640 feet) of gas wells.⁷⁵ Another study noted in the Health Impact Assessment found that expecting mothers within one kilometer (3,280 feet) of gas wells in Colorado were more likely to deliver prematurely or have low birth weight babies.⁷⁶ Mothers within 2.5 kilometers of gas wells in Pennsylvania experienced similar hazards.⁷⁷ The HIA also documented an increase in “throat & nasal irritation, sinus problems, eye burning, severe headaches, persistent cough, skin rashes, and frequent nose bleeds” among residents living within 1,500 feet of a gas well.⁷⁸ These conclusions likely underestimate the risks, because the Health Impact Assessment did not adequately study long-term impacts.

⁷⁰ Scott Detrow, “Perilous Pathways: Abandoned Wells Don’t Factor Into Pennsylvania’s Permitting Process,” *StateImpact Pennsylvania* (Oct. 12, 2012), <https://stateimpact.npr.org/pennsylvania/2012/10/12/perilous-pathways-abandoned-wells-dont-factor-into-pennsylvanias-permitting-process/>.

⁷¹ Marsha Haley, et al. Adequacy of Current State Setbacks for Directional High-Volume Hydraulic Fracturing in the Marcellus, Barnett, and Niobrara Shale Plays, *Environmental Health Perspectives* at 15 (Feb. 19, 2016) (*hereinafter* “setback study”), <http://ehp.niehs.nih.gov/wp-content/uploads/advpub/2016/2/ehp.1510547.acco.pdf>.

⁷² *Id.*

⁷³ *Id.*

⁷⁴ *Id.* at 15-16.

⁷⁵ HIA at 36.

⁷⁶ HIA at 36-37.

⁷⁷ HIA at 37.

⁷⁸ *Id.*

MDE's proposed setback fails to address these risks. It is revealing that MDE's discussion of the setback in the issue papers released during summer 2016 focused solely on the setbacks' importance for protecting residents from water contamination, ignoring these other classes of risk altogether.⁷⁹

1. Limited Healthcare and Emergency Response Capacity in Western Maryland

Residents of Western Maryland would have a uniquely hard time diagnosing and managing health conditions caused by their proximity to fracking activities. Western Maryland's rural character means that residents often have to travel a great distance to obtain medical services and that health infrastructure is not always readily available. The Health Impact Assessment for shale gas drilling determined that Garrett County is a medically underserved area, and that it has a shortage of primary care providers and other health professionals.⁸⁰ Allegany County, where the remaining shale leases would be located, has similar vulnerabilities and is also designated a medically underserved area with a shortage of health professionals.⁸¹ Even with recent upgrades to Garrett County Memorial Hospital, it is unlikely that the county's healthcare infrastructure could handle the sudden influx of out-of-state workers and the frequency of industrial accidents and exposures that accompany fracking.

Furthermore, Western Maryland has limited emergency response capacity in the event of catastrophic events. Firefighting and other emergency services in both Allegany and Garrett Counties are staffed primarily by volunteers and lack dedicated funding.⁸² The fire department in Garrett County has already had a hard time recruiting volunteers in recent years but would need to expand considerably to be ready to handle industrial accidents at fracking sites throughout the county.⁸³ MDE identified Western Maryland's limited response capabilities in its impact assessment, but nothing has been changed in the intervening years.⁸⁴ Without a dedicated, well-trained, and well-funded emergency response staff, the county will not be able to adequately react to the types of catastrophic events, such as spills, fires, and explosions, that are all too common in communities with fracking.

⁷⁹ Issue Papers at 20.

⁸⁰ HIA at 69-70.

⁸¹ *Id.*

⁸² Rept. III at 78-79.

⁸³ *Id.*

⁸⁴ *Id.*

MDE’s proposed regulations require that fracking companies identify “specially trained and equipped personnel who will respond to a well blowout, fire, or other incident that personnel at the site cannot manage. These specially trained and equipped personnel shall be capable of arriving at the site within 24 hours of the incident.” 43 Md. Reg. 1312 at § .50(I) (emphasis added). Emergency personnel who arrive the next day (*i.e.*, up to 24 hours later) are just a cleanup crew. This provision is inadequate to limit the potentially catastrophic damage from fracking-related emergencies.

2. Toxic Air Emissions

Some of the greatest dangers to residents are posed by toxic air emissions from fracking wells and infrastructure. Sources of toxic air pollution include the wells themselves, but also evaporation of the chemicals used during fracking, diesel-powered engines and trucks, leaks from equipment, and evaporation from fracking wastes, among others. Air pollution has been of increasing concern in communities around the country that have been impacted by fracking. Since Maryland’s BMP study was done and the O’Malley proposal was later published, there have been numerous new studies on the dangers of air pollution from fracking and related infrastructure developments. This is one reason why recent regulatory activity by the federal government has put a significant emphasis on leak detection and repair programs and air pollution control technologies.⁸⁵ Discouragingly, MDE’s changes to the O’Malley proposal have only *weakened* already-insufficient air quality protections for residents by eliminating monitoring requirements for air pollution. MDE proposes to rely entirely on periodic air quality monitoring in only two locations, leaving people elsewhere in the county and the region in the dark about what dangerous pollutants they are breathing. MDE does not even claim that monitoring in two locations is sufficient to assure that air pollution will not reach dangerous levels closer to wells and other fracking infrastructure, and it clearly is not sufficient since some of the toxic pollutants released at fracking wells only travel a few miles. Moreover, none of the vague provisions that were included in the O’Malley proposal have been fleshed out in the last year and a half since that proposal was written. Since they remain in the current proposal, all of these flaws will be discussed below.

⁸⁵ See *e.g.*, EPA, Oil and Natural Gas Sector: Emission Standards for New, Reconstructed, and Modified Sources, 81 Fed. Reg. 35,824 (June 3, 2016); EPA, Control Techniques Guidelines for the Oil and Natural Gas Industry (Oct. 2016), available at <https://www.epa.gov/sites/production/files/2016-10/documents/2016-ctg-oil-and-gas.pdf> ; EPA, *Leak Detection and Repair: A Best Practices Guide*, <https://www.epa.gov/sites/production/files/2014-02/documents/ldarguide.pdf>.

The Health Impact Assessment concluded that air pollution is likely to have a “high” impact on public health in Western Maryland if fracking is introduced to the region.⁸⁶ The study identified findings in peer-reviewed journals linking exposure to air pollution associated with fracking to “increased risk of sub-chronic health effects, adverse birth outcomes including congenital heart defects and neural tube defects, as well as higher prevalence of symptoms such as throat & nasal irritation, sinus problems, eye burning, severe headaches, persistent cough, skin rashes, and frequent nose bleeds among respondents living within 1500 feet of [fracking] facilities compared to those who lived >1500 feet.”⁸⁷ A more recent compilation of health studies, assembled by PSE Healthy Energy identified extensive evidence of highly toxic hazardous air pollutants at fracking operations around the country.⁸⁸

Of particular concern are the “BTEX” compounds: benzene, toluene, ethylbenzene, and xylene. BTEX compounds and other volatile organic compounds commonly found near oil and gas production sites have been linked to “several serious human health issues including neurological damage, poor birth outcomes, respiratory concerns, and various cancers, among other health effects.”⁸⁹ A systematic review by PSE Healthy Energy found 36 hazardous air pollutants near oil and natural gas development from 31 peer-reviewed studies published between October 1, 2012, through October 31, 2015.⁹⁰ A study conducted by Coming Clean documented evidence of BTEX and other hazardous chemicals in the blood and urine of community members living near fracking wells.⁹¹

Fracking equipment also produces a lot of nitrogen dioxide and volatile organic compounds, two types of harmful gases that interact in the presence of sunlight to produce ground-level ozone pollution. Ozone is a gas that is helpful when found high up in the atmosphere (the ozone layer), but when it is formed near the ground it can actually be quite harmful to human health. Ground-level ozone also travels great distances, meaning that ozone formed in Western Maryland can impact the rest of Maryland, as well as other states along the

⁸⁶ HIA at xx.

⁸⁷ *Id.*

⁸⁸ PSE Healthy Energy, Hazardous Air Pollutants from Oil and Gas Development in the United States: A Systematic Review of the Peer-Reviewed Literature from 2012-2015 (March 2016) (*hereinafter* “PSE Lit. Review”), <https://www.regulations.gov/document?D=EPA-HQ-OAR-2010-0505-7683>.

⁸⁹ *Id.* at 18.

⁹⁰ *Id.*

⁹¹ Coming Clean, *When the Wind Blows: Tracking Toxic Chemicals in Gas Fields and Impacted Communities* at 5 (June 2016), <http://comingcleaninc.org/assets/media/documents/When%20the%20Wind%20Blows.pdf>.

eastern seaboard. Parts of Maryland are still in non-attainment for ozone. In fact, Maryland has recently requested urgent action from EPA to crack down on ozone precursor emissions from other states.⁹² MDE's near-simultaneous adoption of weak regulations for fracking within the state, with its associated air pollution, is ironic.

The proposed regulations are inadequate to protect Western Maryland residents from these air-pollution risks. The final risk assessment published by MDE on January 20, 2015, admitted a "high probability of air emissions" during all phases of fracking, even with all of the regulations proposed by the O'Malley administration in place.⁹³ It further conceded that MDE had "insufficient data or modeling information to reasonably determine consequences," and that there was "uncertainty about which specific control technologies would be employed."⁹⁴ MDE has done nothing to resolve these gaps and uncertainties. The proposed regulations reflect this lack of knowledge.

MDE proposes to require that operators use "top-down best available technology" to control air emissions, but the regulations fail to define this term. 43 Md. Reg. 1308 at § .36. In fact, the regulations make clear that MDE will determine what this is at an unspecified, later date. *See id.* at § .36(C). Based on past statements by MDE, it seems like MDE actually plans to let industry propose what technology it will use and then "MDE will analyze top-down [Best Available Technology] demonstrations from applicants and approve the applicants [Best Available Technology] determination before a permit is issued."⁹⁵ It is worth noting here that MDE's proposed regulations only give the agency 30 days to review permit applications, with a possible extension of 30 more days if MDE so requests. 43 Md. Reg. 1304 at § .17(E), (F). MDE needs to comprehensively review the available control technology ahead of permit applications (*i.e.*, when developing its regulations) and issue regulations that require whatever technology actually is best.

Instead of detailed conclusions regarding what technology will truly keep Western Marylanders safe, the proposed regulations look more like an aspirational to-do list for the agency. To be fair, a few helpful items are included, like a requirement for low-bleed or no-bleed

⁹² Letter from Secretary Ben Grumbles to Administrator Gina McCarthy, http://news.maryland.gov/mde/wp-content/uploads/sites/6/2016/11/MD_126_Petition_Final_111616.pdf (Nov. 16, 2016).

⁹³ RA at 2.

⁹⁴ *Id.* at 2-3.

⁹⁵ *See* MDE, *Marcellus Shale Safe Drilling Initiative Study Part II Interim Final Best Practices* at 42 (July 2014) (*hereinafter* "Rept. II").

pneumatic controllers (these terms still need to be defined, though, with precise bleed limits). But for the most part, the proposal consists of vague and hopeful-sounding items like “[i]mproved compressor maintenance to reduce emissions;” “[a] rigorous leak detection and repair program;” and “[a] pipeline inspection, maintenance, and repair program.” 43 Md. Reg. 1308 at § .36(C). None of these “requirements” are defined or explained.

The leak detection and repair (“LDAR”) program is especially important and has played a central role in recent federal rulemakings, which are now subject to litigation and to political uncertainty. LDAR is one of the most important measures companies can take to minimize toxic air emissions and greenhouse gas leaks from equipment.⁹⁶ Unfortunately, MDE has provided hardly any regulation to flesh out what its LDAR program will look like. The proposal asks companies to submit their own written plan for leak detection and repair, which will be approved as part of the permitting process. The plan has to “address” various considerations like “training,” “more frequent monitoring,” and “repairing leaking components,” but no actual details are offered. 43 Md. Reg. 1308 at § .39(B). But nowhere does MDE specify how often companies must conduct inspections or how large a leak must be before companies are obligated to repair them. MDE says repairs must be made “promptly,” but fails to define the term.

3. Waste Disposal Issues

When gas wells are stimulated with the hydraulic fracturing process, millions of gallons of water are injected into the well along with tens of thousands of gallons of chemicals.⁹⁷ Fracking proponents emphasize that less than 1% of what’s injected into the ground is chemicals, but 1% of the 3-5 million gallons is a lot of chemicals. Some of these are highly potent toxins and endocrine disruptors, too. Furthermore, when injected fluids, drilling muds, or other materials penetrate deep into the earth, they can become radioactive from exposure to underground isotopes.

Drill cuttings – small rock particles that are sheared off during drilling, much like sawdust during carpentry – are known to potentially be radioactive because they can originate from radioactive elements within the shale formation and must be handled very carefully. MDE’s

⁹⁶ EPA, *Leak Detection and Repair: A Best Practices Guide* at 2, <https://www.epa.gov/sites/production/files/2014-02/documents/ldarguide.pdf>.

⁹⁷ Christopher D. Kassotis, et al. Endocrine-Disrupting Chemicals and Oil and Natural Gas Operations: Potential Environmental Contamination and Recommendations to Assess Complex Environmental Matters, *Environmental Health Perspectives* (Aug. 27, 2015), <http://ehp.niehs.nih.gov/wp-content/uploads/advpublish/2015/8/ehp.1409535.acco.pdf>.

proposed regulations for dealing with radioactivity require that equipment and wastes likely to be exposed to radiation must be tested by a qualified consultant for radioactivity. 43 Md. Reg. 1313 at § .54(G). MDE states that “[i]f cuttings show no level of radioactivity beyond background as established by a qualified consultant obtained by the operator, and the material meets all criteria established by [MDE], including sulfates and salinity,” then MDE may permit on-site disposal of the cuttings. *Id.* at § .54(H).

MDE has not defined any of the additional “criteria” for drill cuttings or other potentially radioactive materials. Criteria necessary to protect the health and safety of residents should be determined now and specified in the regulations. Furthermore, there is no reason why the level of radiation considered safe enough to justify on-site disposal should vary between drilling companies. MDE should have identified a safe level – what it considers to be background – and set a numeric standard in its regulations to ensure residents are protected from dangerous radioactive waste. This number is too important to leave undefined.

It is also critical to ensure the safe disposal of flowback and produced water, the fluids that come back up to the surface when a well is drilled and once it starts producing gas. These fluids are highly toxic, containing a mix of fracking chemicals and underground shale particles that may be radioactive. MDE has prohibited the spreading of this flowback and produced water on land, and claims to have prohibited its disposal in underground injection wells, too. 43 Md. Reg. 1312 at § .54; 43 Md. Reg. 1361, 1362 § .04(B). Additionally, MDE has required that waste waters only be sent to waste water treatment facilities specifically permitted to accept that water. 43 Md. Reg. 1312-1313 at § .54(B). Many Western Marylanders have been led to believe that fracking waste waters would therefore be sent out of state for treatment and/or injection elsewhere. But the proposed regulations may not be as protective as commonly believed.

Whether MDE intended this or not, the proposed regulations could be read to allow injection of fracking waste waters into underground reservoirs, abandoned conventional gas wells, or other repositories around the state. MDE’s proposed regulations for waste waters state that a person “may not dispose of drilling fluids, hydraulic fracturing fluid, flowback, or other fluid brought to the surface in connection with natural gas storage operations or conventional oil or natural gas production,” in an underground injection well in Maryland. *Id.* at § .54(D). Produced water, which is specifically mentioned in other parts of the proposed waste water provisions is conspicuously absent from this list. When words are mentioned in one place and

then left out elsewhere in a document, it is traditionally assumed by courts that this omission is intentional and meaningful.⁹⁸ MDE’s proposed provision also seems to specifically leave out unconventional natural gas production activities, which is the term that applies to all modern fracking activities.

Furthermore, the underground injection well ban that MDE proposed on November 28, to complement the revised oil and gas regulations, bans only the injection of “hazardous waste.” Natural gas exploration and production wastes, including all fracking wastes, have been specifically exempted from the definition of “hazardous waste” in Maryland and federal law.⁹⁹

The proposed injection well regulations also ban the construction of underground injection wells for oil and gas wastes (so called “Type II” wells). But by failing to ban the injection of these wastes into existing underground repositories, MDE has left a large hole in its regulatory regime. Western Maryland is dotted with abandoned gas wells that could conceivably be used to store these wastes, and MDE’s proposal does not ban the use of already existing underground wells. Using these old wells to store wastes would be disastrous, given that many of them were drilled decades ago when well technology was not nearly as advanced. These loopholes could expose Western Marylanders to enormous risks.

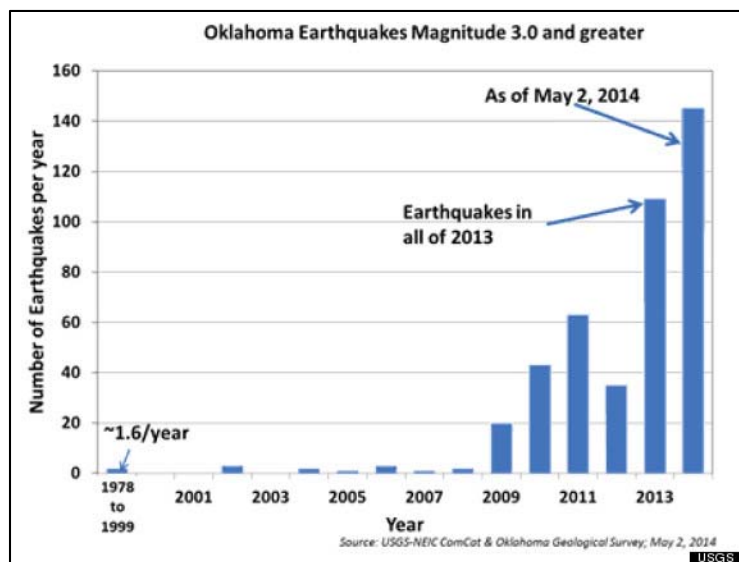


Figure 3: “Oklahoma Earthquake Risk Prompts Rare Warning,” *The Huffington Post* (May 6, 2014), http://www.huffingtonpost.com/2014/05/05/oklahoma-earthquake-risk_n_5269280.html.

⁹⁸ See, e.g., *Hamdan v. Rumsfeld*, 548 U.S. 557, 578, 126 S. Ct. 2749, 2765, 165 L. Ed. 2d 723 (2006); *Keene Corp. v. United States*, 508 U.S. 200, 208, 113 S. Ct. 2035, 2040, 124 L. Ed. 2d 118 (1993).

⁹⁹ Md. Code Regs. 26.13.02.04-1 (exempting “Drilling fluids, produced waters, and other wastes associated with the exploration, development, or production of crude oil, natural gas, or geothermal energy” from the definition of hazardous waste); see also EPA, *Proper Management of Oil and Gas Exploration and Production Waste*, <https://www.epa.gov/hw/proper-management-oil-and-gas-exploration-and-production-waste> (last updated April 26, 2016).

It is especially important to unambiguously prohibit underground injection of fracking wastes now that this practice has been positively linked to earthquakes in other parts of the United States. A 2015 article reported that nearly two dozen peer-reviewed studies had concluded there was a connection between the underground disposal of fracking waste waters and earthquakes.¹⁰⁰ Oklahoma, which used to experience hardly any earthquakes, experienced 6,720 earthquakes during 2015.¹⁰¹ The increase in earthquakes has followed the expansion of fracking development in the state.

4. Water Contamination

Fracking has been linked to numerous instances of surface and groundwater contamination. Western Maryland is acutely vulnerable to groundwater contamination from industrial activities like fracking, due to its unique geology and many residents' reliance on groundwater wells for drinking water. An estimated two-thirds of Garrett county residents obtain drinking water from individual groundwater supplies.¹⁰² There are over 14,200 drinking water wells in Garrett County serving a population of only about 30,000.¹⁰³ Fifty-eight percent of these wells are located near gas leases obtained prior to the permitting moratorium.¹⁰⁴ Groundwater is also the primary source for public drinking water systems in Garrett County.¹⁰⁵ Undeveloped properties are also vulnerable to groundwater contamination. In most cases their future development, and thus their value, depends on a safe supply of drinking water.

Groundwater can become contaminated through surface spills or leaks that seep into groundwater or through failures of the underground steel and cement casings that the industry uses to isolate gas wells, which allows fracking fluids to escape directly into groundwater. An advisory committee convened by MDE recognized in 2008 that “[g]round water in some parts of Maryland, particularly in limestone regions, is extremely vulnerable to contamination from the

¹⁰⁰ “Exploring the Link Between Earthquakes and Oil and Gas Disposal Wells,” *StateImpact Pennsylvania*, <https://stateimpact.npr.org/oklahoma/tag/earthquakes> (last visited Dec. 13, 2016).

¹⁰¹ *Id.*

¹⁰² RA at G-4.

¹⁰³ HIA at 136.

¹⁰⁴ *Id.*

¹⁰⁵ *Id.*

surface, including spills.”¹⁰⁶ MDE has also acknowledged that, at the time it proposed the O’Malley regulations, “a comprehensive study of the causes of spills ha[d] not been done.”¹⁰⁷ Water contamination events can be catastrophic for residents. Records from Pennsylvania’s Department of Environmental Protection demonstrate over 280 instances of private well water contamination related to fracking operations since 2007.¹⁰⁸ On the other hand, a Pennsylvania nonprofit has identified over 1,200 complaints of drinking water contamination in 17 out of 40 counties in Pennsylvania.¹⁰⁹ In 2014, a torn liner in a fracking waste water impoundment in Pennsylvania lead to unquantified amounts of groundwater contamination and necessitated the removal of up to 15,000 tons of contaminated soil.¹¹⁰ In 2015, a waste water pipeline spilled nearly 3 million gallons of fracking wastes into a creek that feeds into the Missouri River, a drinking water source for Williston, North Dakota.¹¹¹ In 2014, a fire broke out at a fracking site in Ohio, trucks began to explode, and “more than 25,000 gallons of chemicals, diesel fuel, and other compounds were released during the accident,” much of which flowed into a tributary of the Ohio River, which also supplies drinking water for many towns.¹¹²

One EPA report estimated 1.3 spills on or near well pads for every 100 fracked wells in Colorado and estimates in Pennsylvania have ranged from 0.4 to 12.2 spills per 100 fracked wells.¹¹³ The likelihood of spills on a per-well pad basis is significantly higher because of the modern trend towards clustering multiple wells at a single well pad and then using horizontal drilling to expand their reach outward once underground.

¹⁰⁶ Advisory Committee on the Management and Protection of the State’s Water Resources, *Water for Maryland’s Future: What We Must Do Today* at 10 (July 1, 2008).

¹⁰⁷ Rept. III at 31.

¹⁰⁸ Pennsylvania Department of Environmental Protection. *Water Supply Determination Letters*, http://files.dep.state.pa.us/OilGas/BOGM/BOGMPortalFiles/OilGasReports/Determination_Letters/Regional_Determination_Letters.pdf.

¹⁰⁹ Joshua B. Pribanic and Melissa Troutman. “Public Herald 30-Month Report Finds DEP Fracking Complaint Investigations Are ‘Cooked’ and Shredded,” *Public Herald* (Sept. 15, 2015), <http://publicherald.org/public-herald-30-month-report-finds-dep-fracking-complaint-investigations-are-cooked/>.

¹¹⁰ Mike Jones and Scott Beveridge. “DEP: Jon Day impoundment contaminated groundwater,” *Observer Reporter* (June 10, 2014), <http://www.observer-reporter.com/apps/pbcs.dll/article?AID=/20140610/NEWS01/140619952>.

¹¹¹ Ernest Scheyder. “Millions of gallons of saltwater leak into North Dakota creek,” *Reuters* (Jan. 22, 2015), <http://www.reuters.com/article/us-usa-north-dakota-spill-idUSKBN0KV1ZR20150123>.

¹¹² Mariah Blake. “Halliburton Fracking Spill Mystery: What Chemicals Polluted an Ohio Waterway?” *Mother Jones* (July 24, 2014), <http://www.motherjones.com/politics/2014/07/halliburton-ohio-river-spill-fracking>.

¹¹³ Fracking by the Numbers at 11; Brantley, et al., “Water Resource Impacts during Unconventional Shale Gas Development: the Pennsylvania Experience,” *International Journal of Coal Geology* (June 2014); Rahm, et al., “Shale gas operator violations in the Marcellus and what they tell us about water resources risks,” *Energy Policy* (July 2015).

Despite advances in technology and evolving regulatory requirements, casing failures – that is, failures of the cement and steel layers that insulate modern gas wells – remain ubiquitous. One recent study found that the rate of failure among fracking operations was 6.2%, or a little over one in every twenty wells.¹¹⁴ Notably, the failure rate for unconventional gas wells (*i.e.*, modern, fracked wells) was six times higher than the failure rate in conventional gas wells.¹¹⁵ Another study by scientists at Cornell reported a failure rate over 40% for unconventional gas wells during a seven-year period.¹¹⁶

Groundwater can be protected through comprehensive regulation of fluids at the well pad, and through detailed testing and verification of cement and casing integrity within the well. MDE’s proposed regulations have a few good things to offer in these areas, but also have a few very notable problems.

One such problem lies in MDE’s regulations that limit the use of impoundments to “fresh water.” Impoundments are large holes that are used to store fluids and are sometimes lined with plastic sheeting to insulate groundwater. MDE has limited the use of impoundments to only “fresh water” storage. 43 Md. Reg. 1306 at § .29. Unfortunately, MDE has defined “fresh water” based only on its “total dissolved solids,” which is a measure of dissolved minerals, metals, and ionic substances in the water, such as salt or dissolved iron. 43 Md. Reg. 1297 at § .02(B)(32). This definition entirely ignores whether or not hydrocarbons or other potentially toxic organic chemicals are dissolved in the water.

Prior to fracking, various chemicals are added to water to create the fracking fluid used to mobilize gas molecules from the shale. MDE’s proposal thus allows industry to mix its fracking fluids in the same impoundments where water is stored prior to fracking. Because MDE is assuming only fresh water will be placed in these impoundments, its regulations do not provide for adequate lining of impoundments, leak detection, or water level monitoring to even partially mitigate the risks of storing toxic chemicals in impoundments. *See* 43 Md. Reg. 1306 at § .29. Standardized Maryland pond construction guidelines are not adequate to handle highly toxic and sometimes corrosive fracking chemicals. Without adequate protections, there is considerable risk

¹¹⁴ Robert B. Jackson, “The integrity of oil and gas wells,” *PNAS* (July 29, 2014), <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4121783/>.

¹¹⁵ *Id.*

¹¹⁶ Anthony R. Ingraffea, et al., “Assessment and risk analysis of casing and cement impairment in oil and gas wells in Pennsylvania, 2000-2012,” *PNAS* (June 30, 2014), <http://www.pnas.org/content/111/30/10955.full>.

that dangerous chemicals will seep into Western Maryland's groundwater through the base of the impoundment, as a result of spills and runoff when ponds overflow during rainstorms or industrial accidents.

MDE's proposed regulations also fail to set meaningful standards for the storage of non-liquid chemicals. The proposed regulation simply states that non-liquid chemicals should be "stored safely" and protected from contact with precipitation or water. What it means to be stored safely is not specified, leaving this issue to the discretion of the oil and gas industry.

MDE has also proposed regulations to require fracking companies to provide residents with drinking water in the event of contamination. 43 Md. Reg. 1314 at § .59. This is based on the presumptive impact area law passed by the General Assembly in . 2012. However, this provision adds a requirement that if it is shown that "contamination was not the result of activities relating to the gas well or that the contamination existed before the commencement of activities allowed by the permit and was not worsened by those activities," then the fracking company can require the property owner to reimburse it for the cost of all drinking water provided. 43 Md. Reg. 1315 at § .59C(4)(b) It is grossly unfair to impose this cost on residents and property owners that may already be suffering from contaminated water, particularly given that MDE could instead require the party that causes the contamination to reimburse the company. This proposed provision puts vulnerable families at risk of becoming financially liable to fracking companies whenever their water is contaminated and the company starts providing replacements. Many residents will not want to take this risk, and will end up without the protection that would otherwise be afforded by the presumptive impact area law. MDE has completely subverted the General Assembly's intent.

5. Trade Secrets Make it Harder for Residents to Protect Their Health

All of the health risks that MDE leaves Western Marylanders to face will be compounded by MDE's willingness to let the gas industry keep secret the identities and quantities of chemicals used in its fracking fluids. MDE proposes to allow fracking companies to "attest[]" that the contents of their fracking fluids are "trade secrets," without any review of this attestation by the agency. 43 Md. Reg. 1307 at § .31. When community members do not know what compounds they are being potentially exposed to, they have no way to monitor exposures. Laboratory tests and monitoring equipment must all be tailored to the particular types of chemicals expected – it is extremely difficult to just test a sample of air, water, or blood and see

what is in it. Concerned citizens who know what to test for could ask their doctors to take blood or urine samples, for instance, or could purchase third-party air monitoring equipment to capture air samples and submit them to independent labs for analysis. These are critical tools for residents who are experiencing health impacts from fracking and need to diagnose the cause of their suffering. Residents are also unable to take preventative action by testing their water wells, because they will not know what to test for.

E. Impacts to the Environment

The natural environment is one of Western Maryland's most valuable resources, attracting tourists, retirees, and all manner of outdoors enthusiasts to the area. Many small businesses depend on the region's beauty to attract customers to the region. As MDE has recognized in the past, Western Maryland "has some of the state's most important natural areas and offers diverse outdoor recreational opportunities that rely on exceptionally high value natural resources."¹¹⁷ In one analysis, MDE estimated that "[m]ore than 350,000 acres of Garrett County have at least one priority resource area designation" due to their ecological or environmental importance.¹¹⁸ Less than 10% of the areas leased by drilling companies in 2012 did not overlap with at least one of these areas.¹¹⁹

Maryland's GreenPrint program, which assessed the ecological network throughout Maryland to determine priority areas for ecological conservation, determined that Garrett County had the greatest amount of GreenPrint resources of any Maryland county.¹²⁰ Seventy-seven percent of the county has been mapped under the program as being of high ecological value, yet only about thirty percent has any sort of conservation status or protection.¹²¹ Allegany County ranks fourth in the state for GreenPrint resources.¹²² Sixty-five percent of the county's land has been identified having high ecological value, yet only forty-two percent has any special

¹¹⁷ Rept. III at 52.

¹¹⁸ Maryland Department of Natural Resources ("MDNR"), *Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis* at 20 (Feb. 27, 2012), http://www.mde.state.md.us/programs/Land/mining/marcellus/Documents/Meetings/MAC_NaturalResourcesAnalysis.pdf.

¹¹⁹ *Id.*

¹²⁰ Rept. III at 52.

¹²¹ *Id.*; see also MDNR, Land Acquisition and Planning, <http://dnr.maryland.gov/land/Pages/Green-Infrastructure-Mapping.aspx>.

¹²² *Id.*

conservation status.¹²³ These highly important ecological areas, important habitat for rare, threatened and endangered species, will stand in the path of the oil and gas industry if fracking goes forward. MDE has estimated in 2012 that “[w]ell site footprints alone may directly impact up to 5,155 acres of” GreenPrint resources in Garrett County, while overall development could potentially impact “[m]ore than 42,417 acres” of these “‘best of the best’” natural resources.”¹²⁴ MDE’s proposed regulations offer no protection at all to most of this ecologically valuable area. For a subset of these areas—those that are classified as “special conservation areas”—the regulations direct MDE to consult to MDNR, but they do not allow any additional time for this consultation, and the deadline for action on permits is so short that meaningful consultation may prove impossible. Further, the proposed regulations do not give MDNR—the agency with wildlife expertise—any authority to act in the application and permitting process, nor do they require MDE to take any action, even if “necessary” to protect the special conservation area. In those circumstances, MDE “may” take action. 43 Md. Reg. at § .19(h).

Western Maryland also has a large number of Tier II stream segments, which are designated high quality waters. This includes the Youghiogheny River,¹²⁵ a water source which provides drinking water to the town of Friendsville, and has for years attracted whitewater rafters to the region.¹²⁶ In its 2012 analysis, MDE expected impacts to approximately 22 of 33 Tier II stream reaches in Garrett County.¹²⁷

These high quality waters are particularly sensitive to the type of land use changes that will occur from the construction of gathering pipelines at each new well pad, which would then cross streams and disrupt stream habitat.¹²⁸ These pristine waters are one of Western Maryland’s most valuable resources and not only provide support for a diverse ecosystem but also draw

¹²³ *Id.*

¹²⁴ MDNR, *Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis* at 13 (Feb. 27, 2012), http://www.mde.state.md.us/programs/Land/mining/marcellus/Documents/Meetings/MAC_NaturalResourcesAnalysis.pdf.

¹²⁵ MDE, *High Quality (Tier II) Waters in Garrett County* (March 2010), <http://www.deepcreekanswers.com/maps/HighQualityWaters.pdf>.

¹²⁶ Candus Thomson. “Gushing in Garrett,” *The Baltimore Sun* (June 2, 2007), http://articles.baltimoresun.com/2007-06-02/sports/0706020118_1_artificial-whitewater-western-maryland-garrett.

¹²⁷ MDNR, *Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis* at 19 (Feb. 27, 2012), http://www.mde.state.md.us/programs/Land/mining/marcellus/Documents/Meetings/MAC_NaturalResourcesAnalysis.pdf.

¹²⁸ Rept. III at 53.

tourists to the region for recreational activities, such as fishing and boating.¹²⁹ Water resources are critical to many of the tourism businesses in Garrett County and access to this vital and limited resource would be severely threatened by the introduction of an industry like fracking.¹³⁰

MDE and DNR have recognized that land use changes associated with fracking have a strong potential to negatively impact water quality, with cascading effects for ecosystems and natural resources. The Departments have concluded that “cumulative surface development” should be maintained at less than 2 percent in high-value watersheds, and that this limit on land-use change should be adopted as a performance measure and enforced through Comprehensive Development Plans, citing “evidence that aquatic habitat and aquatic diversity become degraded by stormwater runoff well before the percentage of impervious surface reaches 10%[,] that brook trout are almost never found in watersheds where impervious surface exceeded 4%,” and that loss of some species, “particularly stream salamanders, can occur in watersheds with only 0.3% impervious surface.”¹³¹ The high-quality watersheds in Garrett and Allegany Counties are at risk of severe degradation from increasing surface development associated with fracking.

Fracking a single well typically requires 3-5 million gallons of water. Permit applications received by MDE between 2009 and 2011 estimated *daily* usage of 20,000 gallons per well during drilling, and total usage per well of 3-7 million gallons during high-volume fracking.¹³² Drilling companies typically withdraw water directly from nearby water sources, like streams or rivers, to minimize transport costs. Withdrawing such large quantities of water can adversely impact aquatic ecosystems and can render streams and rivers unfit for tourist and recreational uses like fishing and boating.¹³³

Before finalizing regulations authorizing fracking, MDE should have completed a more comprehensive assessment of risks to water resources and water ecosystems in Western Maryland, particularly risks associated with the massive water withdrawals that fracking will bring. Maryland agencies have long recognized the need for the Fractured-Rock Water Supply

¹²⁹RA at G-3.

¹³⁰ Regional Economic Studies Institute, *Impact Analysis of the Marcellus Shale Safe Drilling Initiative* at 90 (Sept. 22, 2014) (*hereinafter*, “RESI”) (describing water as “one of the most widely used and scarce resources shared between existing businesses and residents in Western Maryland.”).

¹³¹ MDE and MDNR, *Marcellus Shale Safe Drilling Initiative Study: Draft Partial Response to Comments on Draft Best Practices Report* at 10 (March 29, 2014).

¹³² RA at G-1.

¹³³ *Id.* at G-2.

Study which would “provide tools for predicting the seasonal impacts of ground water withdrawal from fractured-rock on the water resource and on the health of stream biota.”¹³⁴ The Fractured-Rock area of Maryland refers to the unique geology of the area and encompasses Garrett and Allegany Counties.

MDE and MDNR have recognized that the Fractured-Rock Study is needed to “provide water managers, policy makers, and planners with a sound basis for determining how much water is potentially available for future growth, and help them weigh the costs and benefits of current water management practices against alternatives.”¹³⁵ “Water regulators, planners, and policy makers need to know how much water can be withdrawn from wells and streams without causing adverse impacts to the resource or other users. Studying the effects of water withdrawals may indicate that the cumulative impacts of increased population growth combined with other consumptive uses are resulting in adverse impacts.”¹³⁶ Such a study would also “highlight specific areas of Maryland’s fractured-rock terrain that are more susceptible to adverse impacts from consumptive uses.”¹³⁷ Water withdrawals are known to “reduce base flows and decrease habitat availability for aquatic species.”¹³⁸ “Reduced water volume also increases the concentration of pollutants, posing another threat to species.”¹³⁹ “Water managers and planners need better information about when a water withdrawal is likely to impact the stream ecology.”¹⁴⁰

MDE’s regulations will fail to prevent or minimize the impacts from water withdrawals. MDE’s regulations require that, “if practicable,” operators “shall arrange to acquire water for drilling and hydraulic fracturing from one or more permanent or semi-permanent water supply access points with large capacity and storage options” to decrease the risks related to withdrawals. 43 Md. Reg. 1308 at § .34. Whether a water body is considered a “permanent” or

¹³⁴ Advisory Committee on the Management and Protection of the State’s Water Resources, *Water for Maryland’s Future: What We Must Do Today* (July 1, 2008).

¹³⁵ USGS, MDNR & MDE, *Sustainability of Water Resources in the Fractured-Rock Area of Maryland* (2009), <https://pubs.usgs.gov/fs/2009/3009/pdf/FS2009-3009.pdf>.

¹³⁶ *Id.*

¹³⁷ *Id.*

¹³⁸ Center for Biological Diversity, *Petition to List 404 Aquatic, Riparian and Wetland Species From The Southeastern United States As Threatened Or Endangered Under The Endangered Species Act* at 11 (April 20, 2010), https://www.fws.gov/southeast/candidateconservation/pdf/Petition_404Aquatic.pdf.

¹³⁹ *Id.*

¹⁴⁰ USGS, MDNR & MDE, *Sustainability of Water Resources in the Fractured-Rock Area of Maryland* (2009), <https://pubs.usgs.gov/fs/2009/3009/pdf/FS2009-3009.pdf>.

“semi-permanent” water supply has little to do with minimizing the impacts of withdrawing millions of gallons of water from it. Moreover, there is no indication that such sources are numerous enough in Western Maryland to accommodate the extreme volumes of water necessary for fracking over a period of years, and this regulation will dry up and become meaningless as soon as it is no longer “practicable” to comply.

Furthermore, MDE and MDNR do not have the monitoring network necessary to assess the health of Western Maryland’s streams, rivers, and groundwater on an ongoing basis, and would be unable to detect when impacts were starting to occur. An MDE Advisory Committee noted in 2008 that “Maryland’s current monitoring network is inadequate to assess the health of its water resources in all areas of the State, with its varied and complex natural settings. A broader and more reliable network of monitoring locations is needed for stream flows, ground water levels and water quality parameters.”¹⁴¹ Their Final Report concluded that “[i]t is . . . essential to conduct the Coastal Plain Aquifer Study and the Fractured Rock Water Supply Study.”¹⁴² The Final Report further noted that it is “critical” that MDE’s decisions regarding water use in Western Maryland use a methodology “adequate to ensure that seasonal variations, drought conditions, cumulative withdrawals and differing use scenarios do not adversely impact aquifers, streams or stream biota.”¹⁴³

MDE cannot rely on its water appropriation regulations to protect these streams and associated resources. As MDE’s Advisory Committee noted in 2008, the water appropriation regulations do not afford any special protection to Tier II and Tier III streams. “Methods and standards for data collection, analysis, monitoring and flow-preservation thresholds designed to protect Tier II and Tier III waters” are still missing.¹⁴⁴ These safeguards are even more necessary to address the unique threats of large-scale hydraulic fracturing.¹⁴⁵

As the MDE Advisory Committee explained:

Water appropriation permits routinely contain conditions limiting the average daily withdrawal and provisions requiring a permittee to report an estimate of the total water use for each month of the

¹⁴¹ Advisory Committee on the Management and Protection of the State’s Water Resources, *Water for Maryland’s Future: What We Must Do Today* at 11 (July 1, 2008).

¹⁴² *Id.*

¹⁴³ *Id.* at 22.

¹⁴⁴ *Id.* at 29.

¹⁴⁵ See Rept. III at 47 (“While any freshwater withdrawal could have an impact, unconventional gas development is unusual in that it requires large volumes within a compressed time frame.”)

preceding calendar year. Some water systems have submitted this information late or not at all, and this has handicapped MDE in its efforts to manage the resource and evaluate applications for new permits. Furthermore, recordkeeping violations undermine the integrity of any regulatory program. Currently, MDE must go to court to obtain penalties against violators of water appropriation laws or permits. Judicial enforcement actions are very time consuming and resource-intensive for MDE and its legal staff, and are therefore usually reserved only for egregious violations and behavior.¹⁴⁶

As the Final Report concluded, “A regulatory program that depends largely on self-monitoring and reporting will not be successful if there is no effective sanction against those who fail to comply.”¹⁴⁷ Further, MDE does not require applicants for water appropriation permits to do any assessment of risks to stream ecology, or to survey for endangered species that might be affected. The form provided by MDE requires applicants only to specify whether they know or don’t know of any.

MDE should have gathered additional information on the relationship between water withdrawals, stream flows, and species survival to inform its development of these fracking regulations. As the USGS has recognized, “the relations between streamflow and biological taxa are not well understood. A framework for the necessary analyses has been developed... for the different flow-ecology thresholds (fig. 5), but the relation between hydrologic alteration and ecological condition varies greatly and is not yet defined for streams in Maryland.”¹⁴⁸ MDE and MDNR even committed to “develop additional scientific guidance for monitoring and assessing potential ecological impacts to sensitive streams as a result of water withdrawals.”¹⁴⁹ But now MDE is finalizing these fracking regulations without having followed through. Without this understanding, MDE cannot protect Western Maryland’s people and environment from the adverse impacts of fracking on water resources.

¹⁴⁶ Advisory Committee on the Management and Protection of the State’s Water Resources, *Water for Maryland’s Future: What We Must Do Today* at 30 (July 1, 2008) (emphasis added).

¹⁴⁷ *Id.*

¹⁴⁸ USGS, MDE & MDNR, *A Science Plan for a Comprehensive Assessment of Water Supply in the Region Underlain by Fractured Rock in Maryland* at 6 (2012), <https://pubs.usgs.gov/sir/2012/5160/pdf/sir2012-5160-508.pdf>.

¹⁴⁹ Rept. III at 16.

Western Maryland also has vast forests that provide habitat to wildlife and attract many tourists and outdoor adventurers seeking to hike, camp, hunt, or explore Maryland's wilderness. Large-scale development of fracking will mean installation of pipelines all over the region, fragmenting these forests and disrupting streams, further reducing viable habitat for Western Maryland's wildlife. In particular, when forests are segmented by the pipelines, it reduces the amount of "deep forest interior" that certain species, especially birds, require for feeding and reproduction.¹⁵⁰ Stream crossings will disrupt aquatic habitat and can also lead to increased bank erosion and sedimentation of waterways.¹⁵¹ MDE proposes to mitigate forest impacts through the incorporation by reference of Pennsylvania's guidelines for gas development in state forests, but those guidelines are not protective. 43 Md. Reg. 1299 at § .03(A). To the contrary, they expressly state it is "paramount" that department staff "recognize and understand the rights of private subsurface owners and not require specific actions."¹⁵²

F. Impacts to Endangered Species

Western Maryland has a high concentration of rare, threatened, and endangered species, including the northern goshawk, green salamander, summer sedge, Indiana bat, southern water shrew, and eastern hellbenders. Many are found within "Irreplaceable Natural Areas," a Maryland GreenPrint designation that means that the habitat and species in the area will not recover if they are lost due to surface development.¹⁵³ There are also "many aquatic species, including Brook trout, that require cool, clean and aerated streams in order to thrive and reproduce," and are therefore imperiled by fracking in various ways.¹⁵⁴ These stream dependent-species are likely to be harmed by spills, water withdrawals, and declines in water quality from land use change. For rare, threatened, and endangered species, these negative impacts may jeopardize their survival in the state. The scientific information that is available suggests that several species listed as endangered in Maryland are vulnerable to harm from fracking. By failing to fully assess these risks to species and the measures necessary to protect these species in

¹⁵⁰ *Id.* at 53.

¹⁵¹ *Id.*

¹⁵² Pennsylvania Department of Conservation and Natural Resources, *Guidelines for Administering Oil and Gas Activity on State Forest Lands* at 5 (2013) (emphasis added), http://www.dcnr.state.pa.us/cs/groups/public/documents/document/dcnr_20028601.pdf.

¹⁵³ Rept. III at 52-53.

¹⁵⁴ Rept. III at 47.

developing the proposed fracking regulations, including consulting with MDNR on measures to protect species, and by failing to adopt stronger protections, MDE has failed to ensure that fracking authorized under the proposed regulations will not jeopardize the continued existence of these species.¹⁵⁵ One is the Eastern Hellbender. According to MDNR, the Hellbender is a large aquatic salamander that can grow as large as two feet.¹⁵⁶ Hellbenders prefer clear, fast-flowing streams, and are pollution intolerant. Today, according to MDNR, their Maryland range is limited to mountain streams and rivers of Garrett County. “Because hellbenders are sensitive to water quality changes, they are threatened by anything that reduces water quality or flow of their streams: sedimentation from erosion, mine run-off, dam construction, pollution.”¹⁵⁷ Hellbenders are known to be harmed by “forestry, road construction, and urban development.”¹⁵⁸ Even in Great Smoky Mountains National Park, hellbenders are threatened by habitat loss and degradation: “Salamander populations have been eliminated within [Great Smoky Mountain National Park] streams downstream from road building and areas where road fill was utilized in projects near streams.”¹⁵⁹

Another endangered Maryland species that is vulnerable to the effects of fracking is the Southern Water Shrew, also called the West Virginia Shrew, whose range is confined to the Appalachian-Allegheny Mountain chain. According to the Pennsylvania Natural Heritage Program, the Southern Water Shrew depends on small mountain streams for its diet. Environmental stresses that are damaging brook trout populations are also “seriously erod[ing] the aquatic food base needed to sustain” the shrew.¹⁶⁰

MDE’s changes to the CDP requirements, outlined in section V.A. above, effectively preclude meaningful action to protect species. Without opportunity to review—and, if necessary, disapprove—a comprehensive plan, MDE will be left to consider impacts and risks to species on

¹⁵⁵ See MD Nat. Res. Code § 10-2A-06(c).

¹⁵⁶ MDNR, *Rare, Threatened, and Endangered Animal Fact Sheet*, http://dnr2.maryland.gov/wildlife/Pages/plants_wildlife/rte/rteanimalfacts.aspx?AID=Hellbender (last visited on Dec. 14, 2016).

¹⁵⁷ *Id.*

¹⁵⁸ Center for Biological Diversity, *Petition to List 404 Aquatic, Riparian and Wetland Species From The Southeastern United States As Threatened Or Endangered Under The Endangered Species Act* at 269 (April 20, 2010), https://www.fws.gov/southeast/candidateconservation/pdf/Petition_404Aquatic.pdf.

¹⁵⁹ *Id.* at 270.

¹⁶⁰ Pennsylvania Natural Heritage Program, *Southern Water Shrew Fact Sheet*, <http://www.naturalheritage.state.pa.us/factsheets/11436.pdf>.

a wellpad by wellpad basis, at the permit approval stage. MDE will have neither the information nor the time, in the context of that process, to adequately assess and address population-level threats to rare, threatened, and endangered species.

Brook trout themselves are not currently listed as endangered or threatened in Maryland, “[b]ut unless steps are taken to reverse brook trout population decline, the species could be at risk of becoming regionally threatened within three to four decades.”¹⁶¹ In addition, according to MDNR, “Brook trout are considered a biological indicator species because they represent a whole suite of unique aquatic and terrestrial organisms that occupy and share the same habitat. Loss of brook trout from a system indicates negative changes to the habitat and overall system.”¹⁶²

MDE and MDNR, through their participation in the Chesapeake Bay Program, have identified Priority Brook Trout Conservation Strategies, including protecting remaining highly functional wild brook trout habitat “from detrimental changes in land use and water use practices.”¹⁶³ Maryland also has a statewide Brook Trout Fishery Management Plan, developed in 2006 by the Fisheries Service, which has the goal of expanding brook trout habitat through conservation and reintroduction in Western Maryland streams.¹⁶⁴ MDNR has found that land use change is a serious threat to brook trout. “Loss and alteration of habitat is the biggest threat to brook trout resources. In many situations, developers, county regulatory agencies and state agencies have inadequate knowledge and understanding of how development and other anthropogenic activities impact brook trout populations.”¹⁶⁵ “In watersheds where human land use exceeds 18% brook trout populations cannot survive. If impervious surface area is greater than 0.5% in a watershed brook trout will typically be extirpated.”¹⁶⁶

¹⁶¹ Chesapeake Bay Program, *Brook Trout*, http://www.chesapeakebay.net/managementstrategies/strategy/brook_trout (last visited Dec. 14, 2016).

¹⁶² MDNR, *Maryland Brook Trout Fisheries Management Plan* at 7-8 (2006) http://dnr2.maryland.gov/fisheries/Documents/MD_Brook_Trout_management_plan.pdf.

¹⁶³ Chesapeake Bay Program, *Brook Trout Outcome Management Strategy 2015-2025* at 2, http://www.chesapeakebay.net/documents/22040/2d_brook_trout_6-24-15_ff_formatted.pdf

¹⁶⁴ MDNR, *Maryland Brook Trout Fisheries Management Plan* at 7-8 (2006) http://dnr2.maryland.gov/fisheries/Documents/MD_Brook_Trout_management_plan.pdf.

¹⁶⁵ *Id.* at 42.

¹⁶⁶ *Id.* at 7.

Currently, “[o]f the remaining 151 streams where brook trout populations are found [today in Maryland], over half are in westernmost Garrett County, the least developed area of Maryland.”¹⁶⁷ Furthermore, only 3 subwatersheds in Maryland are considered “intact” for brook trout. All are located in Western Maryland, and thus at risk from land use change, spills, and water withdrawals associated with fracking. One analysis by MDE estimated that nearly 1 in 4 leases held by drilling companies in 2012, and “an associated 22,000+ acres” of gas development activity may affect trout habitat and fishing areas.¹⁶⁸

VI. CONCLUSION: THE IMPORTANCE OF CONCRETE, ENFORCEABLE REGULATIONS

MDE has written these proposed regulations in a way that defers many of the hardest and most critical decisions to be resolved in future guidance or during the permitting process. This has the effect of keeping these decisions away from public scrutiny. Additionally, it will make these regulations nearly impossible to enforce because the regulations themselves are devoid of meaning.

Everything depends on the permit. But MDE has set up a system that fast-tracks the approval process for these permits, despite packing all of these critical decisions into that process. MDE allows itself only 30 days to approve or deny a permit, with the possibility of a single 30 day extension should MDE so request. 43 Md. Reg. 1304 at § .17(E),(F). MDE does not have the option of working with the company to improve its application, and is instead locked into either granting the permit or denying it and preparing to face a legal appeal.

Because MDE has provided so few specific requirements in this proposal, and left industry with so much discretion to fill in the blanks, evaluating a permit requires MDE to consider the merits and quality of every applicant’s: environmental assessment, baseline monitoring, comprehensive development plan, construction and operation plan (§ .23), stormwater management plan (§ .25), sediment and erosion control plan (§ .26), transportation plan (§ .33), demonstrations of best available technology for various types of air pollution control equipment (§ .36), “rigorous” leak detection and repair plan (§ .39), casing and cementing plan (§ .40), integrity and pressure testing plan (§ .41), power plan to address noise

¹⁶⁷ *Id.*

¹⁶⁸ MDNR, *Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis* at 26 (Feb. 27, 2012), http://www.mde.state.md.us/programs/Land/mining/marcellus/Documents/Meetings/MAC_NaturalResourcesAnalysis.pdf.

(§ .48), spill prevention and emergency response plan (§ .50), invasive species plan (§ .52), and drilling and operating reclamation plan (§ .53). There is no way that MDE is going to be able to thoughtfully analyze each of these in the short time frame provided to it.

The permits will mirror the regulations. Because MDE has provided so few requirements for what each of these plans actually require, the permits it approves can be equally vague. This makes permit evaluation easy for the agency, and makes enforcement all but impossible. MDE's resources have been cut over the past several years and its enforcement record in other areas has been abysmal.¹⁶⁹ There is no reason to think the agency will dedicate any more resources to enforcing these fracking regulations.

Importantly for industry, even if MDE does determine a permit has been violated, the proposed regulations cap the maximum penalty that MDE can impose at \$50,000, hardly a fraction of the profits that fracking companies can obtain from a single well. 43 Md. Reg. 1315 at § .61. The performance bond that MDE can require to ensure compliance with its permits is also capped at this amount, rendering it equally toothless in the face of gas industry profits. 43 Md. Reg. 1313 at § .55.

In summary, these proposed regulations fail to protect Western Marylanders from fracking's many pernicious impacts to our health, economy, environment, and way of life. Western Maryland does not want fracking. If the state decides to let fracking go forward, we sincerely hope that the state will consider recent scientific evidence on fracking's impacts and draft a new set of regulations that have at least some chance of protecting our community.

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¹⁶⁹ Robert L. Glicksman and Yee Huang. *Failing the Bay: Clean Water Act Enforcement in Maryland Falling Short*, Center for Progressive Reform (April 2010), http://scholarship.law.gwu.edu/cgi/viewcontent.cgi?article=1681&context=faculty_publications; see also Yee Huanh, "New CPR Report Finds Maryland Failing to Enforce Clean Water Act," Center for Progressive Reform Blog (April 8, 2010), <http://www.progressivereform.org/CPRBlog.cfm?idBlog=CED9A96D-A8AE-BCC4-4829D9749EB1E55B>.

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Andrea Carla Tesar, LMT	McHenry Shop 'n Save
Animal Crackers Pet Grooming	MEB Associates
Bear Creek Traders	Moonshadow
Bello's Portraits	Mountain Flour Bakery
Blamble Electric	Mountain Maryland Kennels, LLC
Blue Moon Rising	Off Lake Realty & Rentals
Brenda's Pizzeria	Perkin's
Cabin Fever Antiques & Collectibles	Pinnacle Deep Creek, LLC
Chanteclair Farm	Precision Rafting
CLS Ventures, Inc.	Red House School Antiques
County Parson Honey	Robin Bissell, MD
DCL Mountain Maids & Home Services	Rock Excavating & General Services
DCL Spas & Tubs	Russell Bounds, Agent, Railey Realty
Deep Creek Fitness	Salt and Pepper Studios
Deep Creek Lavender Farm	Sincell Publishing
Deep Creek Pottery	Sounds Fun DJs
Eagle Rock Construction	Spring Creek Outfitters
Eloqui, Inc.	The Alley
Eye For Art Gallery & Studio	The Gallagher Group, LLC
Farmhouse Fete	The Republican Newspaper
FireFly Farms	Thomas B. Dabney, Attorney
FS Auto Trading	Trader's Coffee House
Garrett Events	Tressler's Garage
Gatto Electric	Taylor-Made Deep Creek Vacations & Rentals
Gatto Home Services	
Glass Unlimited	
Harvest Moon Catering	
Harvest Moon Market	
High Mountain Sports	
Hughie's Ice Cream	
IASO Healing Arts Studio	
Joint Training Facility	

EXHIBIT LIST

- Ex. 1 **Toward an Understanding of the Environmental and Public Health Impacts of Unconventional Natural Gas Development: A Categorical Assessment of the Peer-Reviewed Scientific Literature, 2009-2015 (Jake Hays and Seth B.C. Shonkoff, 2016)**
- Ex. 2 **Environment America Research & Policy Center, *Fracking by the Numbers: The Damage to Our Water, Land and Climate from a Decade of Dirty Drilling* (April 2016)**
- Ex. 3 **Hazardous Air Pollutants from Oil and Gas Development in the United States: A Systematic Review of the Peer-Reviewed Literature from 2012-2015 (Diane A. Garcia Gonzales and Seth B.C. Shonkoff, March 2016)**
- Ex. 4 **Concerned Health Professionals of New York & Physicians for Social Responsibility, *Compendium of Scientific, Medical, and Media Findings Demonstrating Risks and Harms of Fracking (Unconventional Gas and Oil Extraction* (4th ed., Nov. 2016)**
- Ex. 5 **MDNR, Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis (Feb. 2012)**
- Ex. 6 **MDNR, BioNet: Biodiversity Conservation Network (Jan. 2016)**
- Ex. 7 **Center for Biological Diversity, Petition to List 404 Aquatic, Riparian and Wetland Species From The Southeastern United States As Threatened Or Endangered Under The Endangered Species Act (April 2010)**

Exhibit 1

RESEARCH ARTICLE

Toward an Understanding of the Environmental and Public Health Impacts of Unconventional Natural Gas Development: A Categorical Assessment of the Peer-Reviewed Scientific Literature, 2009-2015

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Abstract

The body of science evaluating the potential impacts of unconventional natural gas development (UNGD) has grown significantly in recent years, although many data gaps remain. Still, a broad empirical understanding of the impacts is beginning to emerge amidst a swell of research. The present categorical assessment provides an overview of the peer-reviewed scientific literature from 2009–2015 as it relates to the potential impacts of UNGD on public health, water quality, and air quality. We have categorized all available original research during this time period in an attempt to understand the weight and direction of the scientific literature. Our results indicate that at least 685 papers have been published in peer-reviewed scientific journals that are relevant to assessing the impacts of UNGD. 84% of public health studies contain findings that indicate public health hazards, elevated risks, or adverse health outcomes; 69% of water quality studies contain findings that indicate potential, positive association, or actual incidence of water contamination; and 87% of air quality studies contain findings that indicate elevated air pollutant emissions and/or atmospheric concentrations. This paper demonstrates that the weight of the findings in the scientific literature indicates hazards and elevated risks to human health as well as possible adverse health outcomes associated with UNGD. There are limitations to this type of assessment and it is only intended to provide a snapshot of the scientific knowledge based on the available literature. However, this work can be used to identify themes that lie in or across studies, to prioritize future research, and to provide an empirical foundation for policy decisions.

our adherence to PLOS ONE policies on sharing data and materials.

Introduction

Shale and tight gas development (known to nontechnical stakeholders as “fracking” and referred to herein as unconventional natural gas development, UNGD) continues to be the focus of controversy. Amidst economic and geopolitical considerations, the potential environmental and public health impacts of UNGD have received substantial attention in policy, media, and public debates. Claims of ground water contamination and adverse health outcomes have been widely cited and disputed, but what does the science actually show?

While research continues to lag behind the rapid scaling of UNGD, there has been a surge of peer-reviewed scientific papers published in the past several years (Fig 1). By the end of 2015, over 80% of the peer reviewed scientific literature on shale and tight gas development has been published since January 1, 2013 and over 60% since January 1, 2014. This suggests an emerging understanding of the environmental and public health implications of UNGD in the scientific community. Yet, although numerous hazards and risks have been identified in studies to date, many data gaps remain. Notably, while there is now a far more substantive body of science than there was several years ago, there is still only a limited amount of epidemiology that explores associations between risk factors and health outcomes in human populations [1].

In this assessment we provide an overview, a current snapshot, of the scientific knowledge on potential environmental public health hazards, elevated risks, and outcomes associated with the development of shale and tight gas. We include only published, peer-reviewed literature available on the subject. More nuanced and systematic peer-reviewed public health review articles that provide greater levels of appraisal and analysis with in-depth narrative are available [2–4]. This particular assessment is intended to provide a broad understanding of the scientific literature in order to support the following goals:

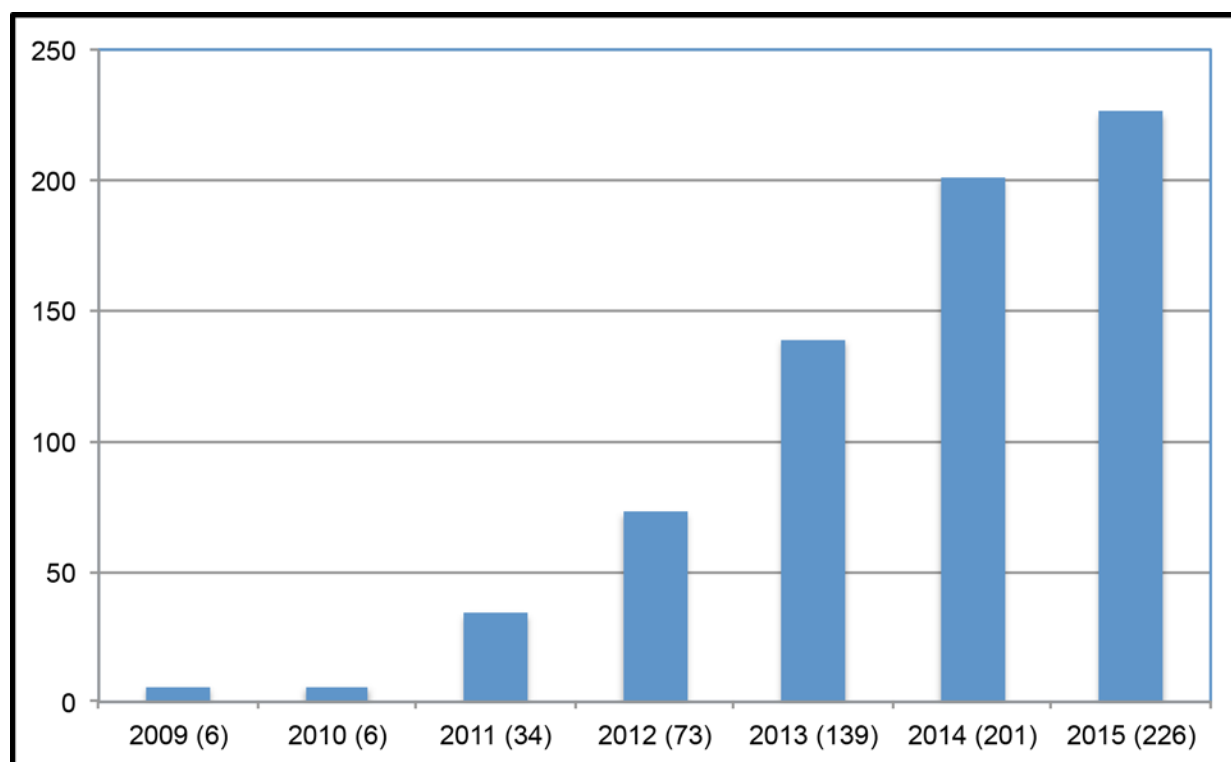


Fig 1. Number of publications that assess the impacts of UNGD per year, 2009–2015. At least 685 papers have been published in peer-reviewed scientific journals that are relevant to assessing the impacts of UNGD. The number of papers published per year has continually risen and at least 226 were published in 2015 alone.

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- To understand the weight and direction of the scientific literature
- To provide comprehensive lists of studies in a field
- To identify themes that lie in or across individual studies
- To map and categorize existing literature for further review
- To prioritize future research and investigations

As activities continue to expand, counties, states, and nations are in a unique position to learn from experiences and scientific assessments conducted where UNGD is already underway [5,6]. While responsible energy policies require more than empirical data inputs [7,8], legislative and regulatory activities will benefit from the emerging body of science on the environmental and public health implications of UNGD. This assessment can be viewed as a summary of the peer-reviewed literature in order to help facilitate research efforts and inform policy discussions at the federal, state, and local levels.

Methods

Database assemblage and review

This assessment was conducted using the PSE Database on Shale and Tight Gas Development (available at: <http://psehealthyenergy.org/site/view/1180> and referred to herein as the PSE Database). This near exhaustive collection of peer-reviewed scientific literature on the impacts of UNGD is divided into 12 topics: air quality, climate, community, ecology, economics, general, health, regulation, seismicity, waste/fluids, water quality, and water usage. We assembled this database over three years using a number of search strategies, including the following:

- Systematic searches in scientific databases across multiple disciplines:
 - PubMed (<http://www.ncbi.nlm.nih.gov/pubmed/>)
 - Web of Science (<http://www.webofknowledge.com>)
 - ScienceDirect (<http://www.sciencedirect.com>)
- Searches in existing collections of scientific literature on unconventional natural gas development, such as the Marcellus Shale Initiative Publications Database at Bucknell University (<http://www.bucknell.edu/script/environmentalcenter/marcellus>), complemented by Google (<http://www.google.com>) and Google Scholar (<http://scholar.google.com>)
- Manual searches (hand-searches) of references included in peer-reviewed studies and government reports that directly pertain to unconventional natural gas development.

For scientific literature search engines we used a combination of Medical Subject Headings (MeSH)-based and keyword strategies, which included the following terms as well as relevant combinations thereof:

shale gas, shale, hydraulic fracturing, fracking, drilling, natural gas, air pollution, methane, water pollution, health, public health, water contamination, fugitive emissions, air quality, climate, seismicity, waste, fluids, economics, ecology, water usage, regulation, community, epidemiology, Marcellus, Barnett, Fayetteville, Haynesville, Denver-Julesberg Basin, unconventional gas development, and environmental pathways.

Our database and this assessment excluded technical papers on UNGD not applicable to determining its potential impacts. Examples of literature that we excluded are engineering papers on optimal drilling strategies, petroleum reservoir evaluations, estimation algorithms of absorption capacity, patent efficacy assessments, and fracture models designed to inform stimulation techniques. Because our assessment is limited to papers subjected to external peer-review, it did not include government reports, environmental impact statements, policy briefs, white papers, law review articles, or other grey literature. Our assessment also excluded studies on some forms of UNGD, such as coalbed methane/coal seam gas as well as other forms of fossil fuel extraction that specifically exclude shale and/or tight gas development (e.g., tarsands, oil shale, etc.). While we are sure that we include the vast majority and certainly the most seminal studies on the environmental public health dimensions of UNGD in leading scientific journals, it is possible that a small number of publications are missing. As such, we refer to the literature database as *near* exhaustive.

The PSE Database has been used and reviewed by academics, experts, and government officials throughout the United States and internationally and has been subjected to public and professional scrutiny before and after this assessment. It represents the most comprehensive public collection of peer-reviewed scientific literature on shale and tight gas development available. Again, many of the publications in this database are discussed in greater detail in published review articles [2–4] and government reports [9,10].

Scope of assessment

Definitions. There has been significant confusion about the environmental dimensions of UNGD (often termed “fracking”) because of the lack of uniform, well-defined terminology and boundaries of analysis [11]. The public and the media often use the term “fracking” as an umbrella term to refer to the entirety of UNGD (and often other forms of oil and gas development), including processes such as land clearing, well stimulation, hydrocarbon production, storage and transportation, and waste disposal. On the other hand, the oil and gas industry and many in the scientific community generally use the term as shorthand for one particular type of well stimulation method used to enhance the production of oil and natural gas: hydraulic fracturing.

The PSE Database and this assessment are focused on UNGD in its entirety, and not only the method of well stimulation. Environmental and public health assessments that include only the latter should have a limited role in policy discussions. In order to understand the environmental and public health dimensions of UNGD any reasonable approach must engage beyond a narrow view of only the well stimulation process of hydraulic fracturing, especially when the scientific literature indicates that other UNGD processes warrant greater concern. As such, the boundaries of our assessment include scientific literature on hydraulic fracturing *and* the associated operations and ancillary infrastructure required to develop and distribute unconventional natural gas. Although we use the term UNGD to refer to shale and tight gas development, some of the studies included in this report may either include data from, or be applicable to, other forms of UNGD enabled by hydraulic fracturing. Again, those focused solely on coal seam gas are beyond the scope of this assessment.

Inclusion and exclusion criteria. The temporal focus of this assessment was between 1 January 2009 and 31 December 2015 in order to capture what we believe to be the entirety of the published peer reviewed science on environmental public health dimensions of UNGD for this time period. We did not include papers released in 2015 ahead of print that will be published in 2016. We included original studies that evaluate environmental and public health hazards, risks, and impacts of UNGD, narrowly defined as shale or tight gas development (Table 1).

Table 1. Inclusion and Exclusion Criteria.

	Included	Excluded
Type of unconventional fossil fuel development	shale gas, tight gas	coal bed methane (coal seam gas), tar sands (oil sands), shale oil, shale (tight) oil*
Type of publication	scientific, peer-reviewed, original research	review articles, commentaries, government reports, environmental impact statements, white papers, law review articles, and other grey literature
Date of publication	published between 2009 and 2015	published prior to 2009 or since January 1, 2016
Type of original research	re: hazards, risks, and/or impacts to public health, water quality, and air quality	re: hazards, risks, and/or impacts to climate, community, ecology, economics, regulation, seismicity, water usage; baseline data; research methodology; technical papers (optimal drilling strategies, estimation algorithms of absorption capacity, etc.)

* Some of the air quality studies in Western oil and gas fields included unconventional fossil fuel development types other than shale and tight gas.

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The majority of publications in the PSE Database are not considered in this assessment and we excluded the following topics: climate, community, ecology, economics, regulation, seismicity, waste/fluids, and water usage. Although many of these topics also have public health implications (e.g., climate change, economics, water usage, etc.), we have focused this assessment on original research that directly pertains to 1) public health, 2) water quality, and 3) air quality. We excluded some studies that may be located in the three topics used in this assessment, such as those that only provide baseline data or address research methods but fail to assess hazards, risks, or associated impacts.

As previously mentioned, we restricted the studies included in this assessment to those published from 1 January 2009 through 31 December 2015. There are studies on conventional forms of oil and natural gas development that are relevant to the public health dimensions of UNGD, but to maintain greater consistency we excluded those prior to 2009 from the assessment. For example, we did not include a study published in *The Lancet* that examined the association between testicular cancer and employment in agriculture and oil and gas development published in 1984 [12].

Relatedly, the scope of some of the studies we included in this assessment may go beyond shale and tight gas and could potentially include other forms of both conventional and unconventional oil and gas development. For instance, some of the top-down, field-based air pollution studies that gauge leakage rates and emission factors in Western oil and gas fields [13,14]. We included studies not exclusively related to UNGD only when the focus of the studies is relevant and they were published within our specified timeframe. For instance, studies that measured VOC emissions in a region with shale gas development as well as other forms of conventional and unconventional oil and gas development were included in this assessment.

Lastly, we only included original research in our assessment. We considered research original if it measured potential or actual health outcomes or complaints and air quality and water quality assessments related to UNGD. We excluded literature that attempted to determine public opinion or that considered methods for future research agendas.

Categorical framework

We have created binary categories for each topic in an attempt to identify and group studies in an intuitive way that focuses on the indication of what might be considered to be a relevant or significant impact. Some of the studies categorized belong in more than one topic. For instance, studies that contain data that are relevant to both air quality and public health are included in both of these topics [15–17].

As with any scientific analysis there is also a qualitative component in our operational definitions and methods of categorization (Table 2). It is possible that some may disagree as to

Table 2. Categorical Framework.

Topics	Categories	
	A	B
Public Health	Findings that indicate public health hazards, elevated risks, or adverse health outcomes	Findings that indicate no significant public health hazards, elevated risks, or adverse health outcomes
Water Quality	Findings that indicate potential, positive association, or actual incidence of water contamination	Findings that indicate minimal potential, no association, or rare incidence of water contamination
Air Quality	Findings that indicate elevated air pollutant emissions and/or atmospheric concentrations	Findings that indicate no significantly elevated air pollutant emissions and/or atmospheric concentrations

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what constitute findings that indicate a public health hazard or elevated risk. To address this concern we have listed specific criteria of what would qualify a study for inclusion in a particular category within each relevant section below. Examples include statistically significant positive associations between UNGD or a particular health outcome or measurements documented above recommended air quality standards. In some cases, the relative significance of an impact related to UNGD is based on the interpretation of the evidence by the authors of the study. Readers may also refer to the tables included in the appendix for citations and categorization of the studies, which are listed alphabetically by author in each topic ([S1 Appendix](#)).

Our approach often does not account for various nuances in the results of particular studies. For instance, some studies may contain findings of both positive associations and no associations between UNGD and particular health outcomes. In our assessment we chose to include a study with any positive finding or indication of a particular impact in Category A. As such, a study that found an association between UNGD and health endpoint X, but no association with health endpoints Y and Z, would still be included in Category A.

Public Health. Studies that assess public health risks and endpoints, including epidemiologic investigations, continue to be particularly limited compared to studies of public health hazards. To date, most of the peer-reviewed health oriented publications are commentaries and literature reviews. In this topic we included original research that considers the question of public health in the context of UNGD. Of course, empirical findings in other categories such as air quality and water quality are relevant to public health. However, in this topic we only include those studies that directly consider the health of human populations and individuals as well as studies that examine animal health as they can provide sentinel information for human health risks.

In this topic we consider research “original” if it measures potential or actual health outcomes or complaints (i.e., not health research that only attempts to determine public opinion or consider methods for future research agendas). In addition to epidemiology, we included studies in this topic that focus primarily on environmental monitoring, but which also contain significant discussion about public health risks or outcomes [[15,18,19](#)]. In some of these cases, we have cross-listed the study within the water or air quality topic.

For the public health topic, we placed a study in category A or B based on whether or not it provided evidence, documentation, or acknowledgment of any of the following that are attributed to UNGD:

- A positive association with at least one adverse health outcome (e.g., birth defects, hospitalization)
- A positive association with a known human health risk (e.g., elevated benzene concentrations)

- Increased health risks from exposure to pollutant emissions
- A positive association with reported health symptoms in randomized survey proximity analysis
- Self-reported health symptoms or complaints in humans or animals;
- Toxicological concerns in the context of protective limitations (e.g., monitoring impediments)
- Explicit health concerns based on documented environmental contamination (e.g., endocrine disruption chemicals, high PAH levels in ambient air, etc.)

Water Quality. The allocation of water quality studies to binary categories is more complex than those focused on human health in that some rely on empirical field measurements, while others explore mechanisms for contamination or use modeled data to assess or predict water quality risks. Some of these studies explored only one aspect of UNGD, such as waste disposal or the well stimulation process enabled by hydraulic fracturing. These studies did not always indicate whether or not UNGD as a whole is associated with water contamination and are therefore limited in their utility for gauging water quality impacts. Nonetheless, we included all original research, including modeling studies as well as those that consider contamination mechanisms and/or exposure pathways. We excluded studies that explored only evaluative methodology or baseline assessments prior to UNGD as well as papers that only comment on or review previous studies. Here we were only concerned with actual findings in the field or modeling studies that specifically address the risk or potential occurrence of water contamination.

For this topic, we placed a study in category A or B based on whether or not it provided evidence, documentation, or acknowledgment of any of the following that are attributed to UNGD:

- A positive association with water contamination (e.g., proximity analysis showing increased concentrations of methane, heavy metals, salinity, etc.)
- Elevated surface or groundwater pollutant concentrations resulting from fluid releases or wastewater treatment/disposal
- Plausible contamination pathways and potential for water quality impacts from risk assessment/analysis of failure mechanism (e.g., casing and cement impairment)
- Plausible contamination pathways and potential for water quality impacts from modeling or geochemical evidence
- Water quality impacts based on analysis of microbial communities
- A significant quantity of reported incidents of water contamination relative to development activity

Air Quality. The papers included in the air quality assessment are those that specifically address air pollutant emissions and atmospheric concentrations from UNGD at either a local or regional scales. These papers primarily include measurements of local and regional emissions and atmospheric concentrations of non-methane volatile organic compounds, hazardous air pollutants, and tropospheric ozone attributable to upstream natural gas, and sometimes oil, activities since atmospheric measurements usually account for both.

Although methane is a precursor to global background tropospheric ozone concentrations we excluded studies that focus exclusively on methane emissions from this topic. We do, however, include studies that measure emissions of methane *and* non-methane volatile organic compounds (VOC), given the known health-damaging dimensions of a number of VOCs (i.e., benzene, toluene, ethylbenzene, xylene, 1,3 butadiene, acetaldehyde, etc.) and the role of light alkane VOCs in the production of the respiratory irritant, tropospheric (ground-level) ozone. We included a few studies that explore the public health risks associated with air pollutant emissions in both the air and the public health categories.

For this topic, we placed a study in category A or B based on whether or not it provided evidence, documentation, or acknowledgment of any of the following that are attributed to UNGD:

- Measurement(s) or estimation(s) of emissions or atmospheric concentration in excess of recommended air quality standards (e.g., NAAQS, federal ozone standards, etc.)
- Emission estimates that are significantly elevated above state emission inventory estimates
- Public health risks due to toxic air emissions or ambient air concentrations
- Measurement of emissions and/or atmospheric concentrations highly elevated over regional background

Results

Public Health

Based on our criteria, we included 31 original research studies relevant to UNGD and public health hazards, risks, and health outcomes. Of these 31 studies, 26 (84%) contain findings that indicate public health hazards, elevated risks, or adverse public health outcomes and 5 (16%) contain findings that indicate no significant public health hazards, elevated risks, or adverse health outcomes associated with UNGD ([Fig 2](#)). The vast majority of all papers on this topic indicate the need for additional study, particularly large-scale, quantitative epidemiologic research.

Water Quality

Based on our criteria, we included 58 original research studies relevant to shale gas development and water quality. Of these 58 studies, 40 (69%) have findings that indicate potential, positive association, or actual incidence of water contamination associated with UNGD, while 18 (31%) have findings that indicate minimal potential, no association, or rare incidence of water contamination ([Fig 2](#)).

Air Quality

Based on our criteria, we included 46 original research studies relevant to questions involving associations between UNGD and air pollutant emissions and atmospheric air pollutant concentrations. Of these 46 studies, 40 (87%) have findings that indicate that UNGD increased air pollutant emissions and/or atmospheric concentrations, while 6 (12%) of the studies contain findings that provide no indication of significantly elevated air pollutant emissions and/or atmospheric concentrations ([Fig 2](#)).

Discussion

In this assessment, we reviewed the findings of original peer-reviewed research that evaluates associations between UNGD and air quality, water quality, and public health to determine the direction of the scientific literature. For each topic we found that the majority of original

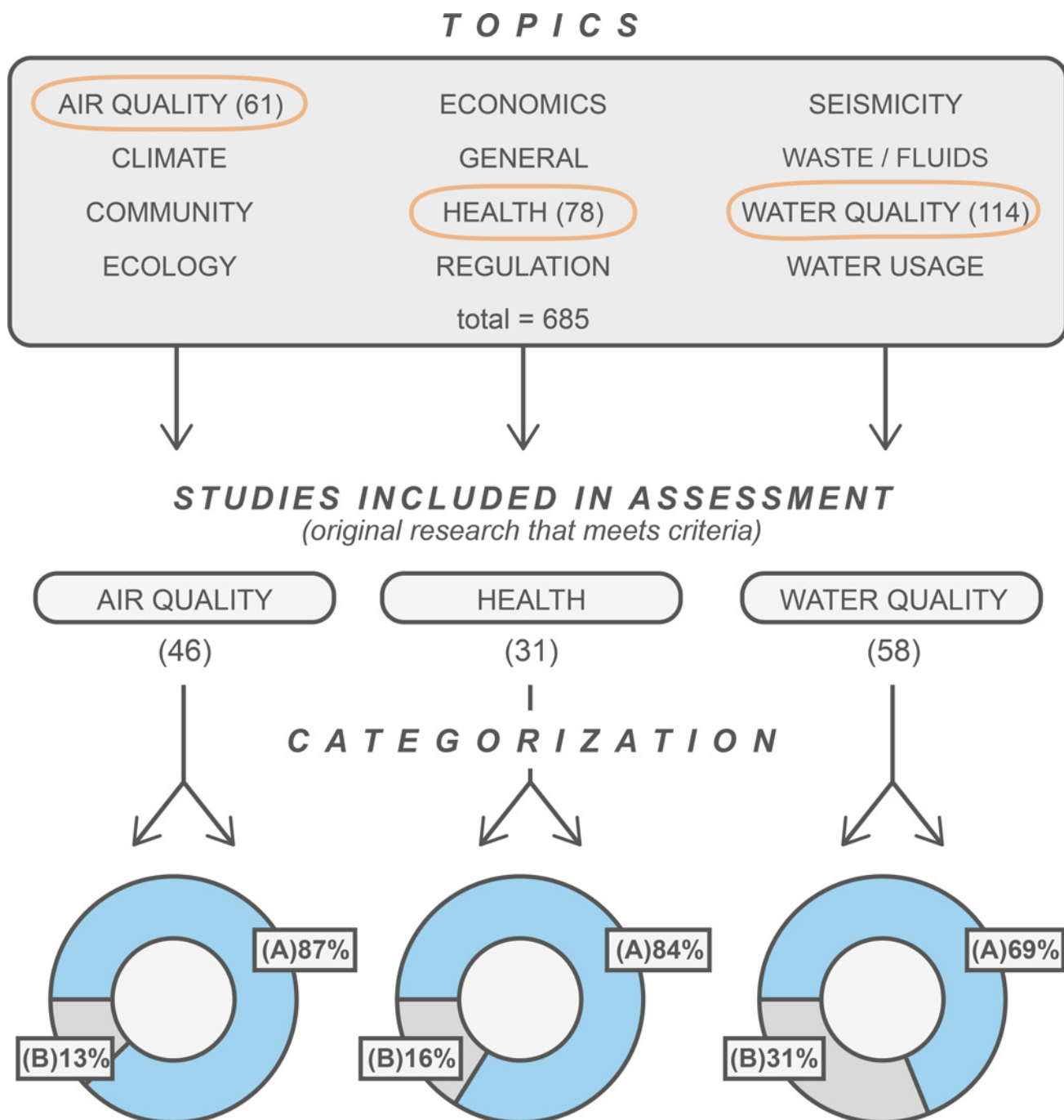


Fig 2. Selection Process and Results. This assessment draws from the peer-reviewed literature for three topics in the PSE Database: Air Quality, Health, and Water Quality. Of the 61 publications in air quality, 46 met our criteria; of the 78 publications in health, 31 met our criteria; and of the 114 publications in water quality, 58 met our criteria. From here we placed the original research that met our criteria into one of two categories (see [Table 2](#)). Our results indicate that 84% of public health studies contain findings that indicate public health hazards, elevated risks, or adverse health outcomes, 69% of water quality studies contain findings that indicate potential, positive association, or actual incidence of water contamination, and 87% of air quality studies contain findings that indicate elevated air pollutant emissions and/or atmospheric concentrations.

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research indicate hazards, elevated risks, or potential impacts from UNGD on the outcome of interest. These results suggest that UNGD may contribute to an environmental public health burden, which is consistent with numerous scientific review articles and government reports.

A review of the research included in this assessment can help identify themes that emerge in study design, methodology, hypotheses, scope, findings, and recommendations. With regard to the latter, one theme that continually emerged was a recommendation for additional empirical investigations to better understand the risks to water, air, and public health presented by UNGD. Other themes included the recognized need among researchers for baseline studies to allow for before and after comparative assessments and longitudinal data to determine potential short- and long-term impacts.

Numerous data gaps on the environmental and public health impacts of UNGD exist, many of which have already been recognized in the scientific literature. Several notable data gaps are worth mentioning, however, and the following remain largely unknown: the extent to which the presence of stray-gas in aquifers indicates the potential for chemical contamination from hydraulic fracturing fluids; changes in well integrity failure rates over time; the legacy effects and relative contribution of air pollutants emissions from aging and abandoned wells; exposure data to characterize the frequency, duration, and degree of exposure to various stressors; community health risks from physical hazards (e.g., light and noise); and the overall magnitude of human-health risks.

The need for quantitative epidemiological research on this subject is widely recognized in the scientific community, but it is difficult to conduct until exposure parameters are better determined and reported cases of health outcomes are analyzed. Many epidemiological studies are expensive, time consuming, and often rely on data that are difficult to obtain. The fact that potential exposures would have taken place before background data could be collected only complicates the issue. Although there is quite a bit of evidence of hazards and elevated risks, drawing conclusions about the magnitude of health burdens attributable to UNGD remains difficult from an epidemiological perspective.

Limitations

There are limitations to this assessment that relate to both its methods and the interpretation of its findings. As previously mentioned, the type of binary categorization we used may not account for the nuances of findings in many of these studies. Relatedly, this type of categorization effectively ranks the quality of the studies included in this article equally, despite clear differences in the weight and merit that should be ascribed to each study, based on either its design or interpretation of the evidence. Our work, however, was not intended to provide commentary on the quality of each study since here we are primarily concerned with the overall weight of the evidence. The quality and subsequent weight that should be given to a particular study are influenced by a number of factors, such as its design, methodology, and execution. We have only broadly surveyed original research across three different topics, including, but not limited to, qualitative epidemiology, risk analysis, in situ measurements, and modeling studies. There are strengths and weaknesses with each empirical method and it was not our aim to consider these attributes on an individual basis. Ultimately, this assessment relied on the peer-reviewed process itself in its consideration of the quality of the work. While not all peer-reviewed studies are of equal merit, this appeared to be the most simple, useful, and appropriate standard for quality control and consideration given our purposes.

Our selection criteria influence the categorization process and certain data inputs are gained or lost by our decisions to include or exclude particular type of studies. By only including original research on air quality, water quality, and public health, we are not accounting for all of the studies that may be pertinent to each topic (e.g., the existence or absence of elevated public health hazards, etc.). For instance, climate change, water usage, and economic gains may all influence environmental and public health outcomes. We have excluded these topics from our

analysis and have chosen to focus only on the three that have consistently received the most attention among environmental public health researchers. Additionally, by not including government reports that do not appear in peer-reviewed journals we may be missing useful data and analysis that can inform UNGD public health implications as well as air and water quality concerns.

The majority of studies included in this assessment were conducted to determine whether or not adverse effects from UNGD exist. These types of investigations may, by their very nature, produce reporting or design bias. This is an inherent limitation of the scientific discipline; scientists are not immune from value judgments that shape research and scientific reasoning, including hypotheses to be tested, boundaries of analysis, and interpretation of evidence. Biases are difficult to account for in this context and we have chosen to rely on the peer-review process in this determination.

Furthermore, while the PSE Database is—to our best knowledge—exhaustive, our literature search may not have captured every relevant peer-reviewed scientific paper. Some journal articles are not always available in electronic databases or may be captured at a later time. As UNGD continues to gain the attention of the scientific community in other parts of the world, more and more research on the subject has been published in relatively obscure journals that may not be readily available. While we are confident that our MeSH-terms account for nearly all of the research on this topic, there is a possibility that some studies that use different or less traditional terminology may have been missed. We did our best to account for what may not have been initially discovered in an online database with manual searches of the scientific literature over a several year period.

Differences in geography, geology, petroleum reservoir type, and regulatory regime may also render some studies less relevant when interpreted across geographic space. Our assessment is only concerned with current empirical evidence in the peer-reviewed literature and we do not consider different regulatory regimes that could potentially influence environmental and public health outcomes in positive or negative ways. For instance, technological improvements such as universal deployment of reduced emission completions may mitigate some existing air pollutant emission issues.

Despite its limitations, our assessment provides a general understanding of the weight of the scientific evidence of possible impacts arising from UNGD that are relevant to environmental public health. It demonstrates that the weight of the scientific literature indicates that there are hazards and elevated risks to human health as well as possible adverse health outcomes.

Finally, it must be understood that all forms of energy production and industrial processing have environmental impacts. Our assessment is only focused on assessing the available science on the environmental and public health dimensions of the development of natural gas from shale and tight formations. We make no claims about the level of impact that should be tolerated by society—these are ultimately value judgments that incorporate more than empirical findings.

Supporting Information

S1 Appendix. List of studies included and excluded in assessment by topic.
(DOCX)

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Author Contributions

Conceived and designed the experiments: JH. Performed the experiments: JH SBCS. Analyzed the data: JH SBCS. Contributed reagents/materials/analysis tools: JH SBCS. Wrote the paper: JH SBCS.

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Exhibit 2



Fracking by the Numbers

The Damage to Our Water, Land and Climate
from a Decade of Dirty Drilling



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Fracking by the Numbers

The Damage to Our Water, Land and Climate from a Decade of Dirty Drilling



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Layout: Harriet Eckstein Graphic Design

Cover: *Fracking-related activity has turned this well pad in western Pennsylvania into an industrial site.*
Doug Duncan/USGS

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Executive Summary

The combination of two technologies—hydraulic fracturing and horizontal drilling—has enabled the oil and gas industry to engage in an effort to unlock oil and gas in underground rock formations across the United States. “Fracking,” however, has also led to tremendous environmental harm and put the health and safety of communities across the country at risk.

Since 2005, according to industry and state data, **more than 137,000 fracking wells have been drilled or permitted in more than 20 states**, but the scale of fracking’s impact on our environment can be difficult to grasp. This report quantifies some of the key environmental and public health-related impacts triggered by fracking during the technology’s decade-long spread across the country. To protect the public and our environment, states should take action to ban fracking, or, failing that, to ensure that oil and gas companies are held to the highest level of environmental performance, transparency and accountability.

Toxic Chemicals and Health

Fracking uses vast quantities of chemicals known to harm human health. According to industry-reported data in the FracFocus database, oil and gas wells fracked across the U.S. between 2005 and 2015 used at least:

- 5 billion pounds of hydrochloric acid, a caustic acid (p. 22-24);
- 1.2 billion pounds of petroleum distillates, which can irritate the throat, lungs and eyes; cause dizziness and nausea; and can include toxic and cancer-causing agents; and
- 445 million pounds of methanol, which is suspected of causing birth defects.
- The exact identities of many other chemicals are unknown because they are kept secret as proprietary information.

People living or working nearby can be exposed to these chemicals if they enter drinking water after a spill or if they become airborne.

- A recent analysis by researchers at the Yale School of Public Health identified 157 chemicals used in fracking that are toxic; the toxicity of 781 other fracking chemicals examined by the researchers is unknown (p. 10).
- A 2014 study by scientists at Lawrence Berkeley National Laboratory reported that an estimated 10 percent of chemicals used in fracking fluid are known to be toxic to humans or aquatic life (p. 10).

Table ES-1. National Environmental and Public Health-Related Impacts of Fracking

Fracking Wells since 2005	At least 137,000
Water Used since 2005	At least 239 billion gallons
Toxic Wastewater Produced in 2014, selected states	At least 14 billion gallons
Land Directly Damaged since 2005	679,000 acres
Global Warming Pollution from Well Completions in 2014 (methane)	At least 5.3 billion pounds

Wastewater and Drinking Water Supplies

Fracking threatens drinking water supplies. Across the country, fracking wastewater has leaked from retention ponds or escaped from faulty disposal wells, putting drinking water at risk. Wastewater from fracked wells includes not only the toxic chemicals injected into the well but also naturally occurring radioactive materials that can be brought to the surface.

- Fracking wells produced at least 14 billion gallons of wastewater in 2014. Wastewater production data is not available in some of the states with the most wells, including Texas and North Dakota, meaning that the total amount of fracking wastewater produced in the United States is higher than is estimated here (p. 21-22).
- Pennsylvania regulators have confirmed at least 260 instances of private well contamination from fracking operations since 2005, a number that is likely a severe underestimate (p. 10).
- Data from fracking wells in Pennsylvania from 2010 to 2012 show a 6 to 7 percent rate of well failure due to compromised structural integrity (p. 11).

Water Consumption

Fracking requires huge volumes of water for each well—water that is often needed for other uses or to maintain healthy aquatic ecosystems.

- At least 239 billion gallons of water have been used in fracking since 2005, an average of 3 million gallons per well (p. 24-25).
- Water used in fracking becomes unsuitable for most uses other than fracking another well.
- Farmers can be particularly impacted by the oil and gas industry's demand for freshwater, especially in drought-stricken regions of the country. In one water auction in Colorado in 2012, oil and gas companies paid up to \$3,300 for an acre-foot of water, as much as 100 times what farmers typically pay (p. 13).

Global Warming

Methane from fracking operations adds global warming pollution to the atmosphere.

- Bringing new fracked wells into production in 2014 released at least 5.3 billion pounds of methane. That's equivalent to annual global warming emissions from 22 coal-fired power plants (p. 25).
- Methane, a global warming pollutant 86 times more powerful than carbon dioxide over the course of 20 years, is released at multiple steps during fracking, including during hydraulic fracturing and well completion, and in the processing and transport of gas to end users.

Destruction of Natural Landscapes

Well pads, new access roads, pipelines and other infrastructure built for fracking turn forests and rural landscapes into industrial zones.

- Infrastructure to support fracking has directly damaged at least 679,000 acres of land since 2005, an area slightly smaller than Yosemite National Park (p. 25-26).
- As well pads, roads, pipelines and other gas infrastructure replace forests and farmland, the nation loses wildlife habitat and the remaining wild areas are increasingly fragmented and inhospitable to wildlife. For example, the mule deer population in Wyoming's Pinedale Mesa declined 40 percent from 2001 to 2015, a period of extensive gas development (p. 16).
- Well operators are supposed to restore damaged landscapes after drilling operations are complete, but full restoration is nearly impossible, especially as oil and gas producers struggle financially and may lack the resources to fund land restoration.

Other Impacts

Other public health threats from fracking include air pollution and earthquakes.

- Air pollution from fracking contributes to the formation of ozone “smog,” which reduces lung function among healthy people, triggers asthma attacks, and has been linked to increases in school absences, hospital visits and premature death. Other air pollutants from fracking and the fossil fuel-fired machinery used in fracking have been linked to cancer and other serious health effects.
- The injection of fracking wastewater into underground wells has been linked to earthquakes in several states. In 2014, residents in the central and eastern U.S. felt 659 earthquakes, compared to an average of just 21 per year from 1973 to 2008, according to the U.S. Geological Survey (p. 19).

To address the environmental and public health

threats from fracking across the nation, states should prohibit fracking. No plausible system of regulation appears likely to address the scale and severity of fracking’s impacts.

In places where fracking does continue to take place:

- Fracking should be subject to all relevant environmental laws. Federal policymakers must close the loopholes exempting fracking from key provisions of our nation’s environmental laws.
- Our most important natural areas should be kept off limits. Federal officials should ban fracking on our public lands, including national parks, national forests, and sources of drinking water.

Table ES-2. Estimated Impacts of Fracking, Selected States. Data are cumulative impacts since 2005, except where noted.

State	Wells Fracked	Hydrochloric Acid Used (thousand pounds)	Methanol Used (thousand pounds)	Wastewater Produced in 2014 (million gallons)	Water Consumed (million gallons)	Methane Released from Well Completion in 2014 (million pounds)	Land Disturbed (acres)
Arkansas	6,496	142,406	2,025	unavailable	11,290	144	22,858
California	3,405	1,034	489	1,057	237	140	15,940
Colorado	22,615	68,663	10,042	3,139	19,142	395	105,866
Louisiana	2,883	15,136	2,045	unavailable	4,880	50	16,010
New Mexico	4,318	70,798	4,403	8,592	3,132	125	35,273
North Dakota	8,224	82,198	88,168	unavailable	14,891	517	33,718
Ohio	1,594	105,447	1,942	313	7,771	136	9,118
Oklahoma	7,421	455,225	17,147	unavailable	19,582	546	41,210
Pennsylvania	9,233	1,806,032	5,396	1,821	24,732	295	52,813
Texas	54,958	2,148,789	302,501	unavailable	120,215	2,521	257,272
Utah	4,949	35,926	1,414	unavailable	916	186	35,478
West Virginia	2,670	64,134	1,174	unavailable	7,651	88	15,272
Wyoming	7,277	18,074	5,870	70	2,528	116	29,836
TOTAL	137,743	5,038,953	444,786	14,993	239,166	5,340	679,148

Defining “Fracking”

In this report, when we refer to the impacts of “fracking,” we include impacts resulting from all of the activities needed to bring a gas or oil well into production using high-volume (more than 100,000 gallons of water) hydraulic fracturing, to operate that well, and to deliver the gas or oil produced from that well to market. The oil and gas industry often uses a more restrictive definition of “fracking” that includes only the actual moment in the extraction process when rock is fractured—a definition that obscures the broad changes to environmental, health and community conditions that result from the use of fracking in oil and gas extraction.

- The oil and gas industry—not taxpayers, communities or families—should pay the costs of damage caused by fracking. Policymakers should require robust financial assurance from fracking operators at every well site.
- The public’s right to know about fracking’s environmental damage must be respected. More complete data on fracking should be collected and made available to the public, enabling us to understand the full extent of the harm that fracking causes to our environment and health.

Introduction

In 2013, Environment America Research & Policy Center and Frontier Group released an analysis of the cumulative impacts of fracking. Fracking is the relatively new process of injecting water, chemicals and sand into horizontal wells under high pressure to crack rock and release oil and gas. Our 2013 report filled a gap in public knowledge by showing how fracking's damage is not limited to just a few drinking water wells that produce flammable water. Our report documented how much water fracking wells had consumed and how much wastewater they had produced, how much air and global warming pollution fracking had released, and how many acres of habitat had been damaged in more than 20 states.

Though our report compiled data that hadn't been presented before, it was an incomplete accounting of fracking's impacts, because data about fracking were incomplete and difficult to obtain. More information has become available since that 2013 report, though it is far from complete and the number of fracked wells has greatly increased.

Now, more than two years later, we present an updated and more complete assessment of the

impacts of fracking across the country. In addition to updated data on the number of fracked wells in each state, water consumption, wastewater production, global warming pollution and habitat damage, the new accounting includes information about the toxic chemicals used in each state. That's because industry-reported data on some of the chemicals used in fracking are now available for analysis, offering the opportunity to better understand the environmental and public health risks of fracking.

While this new report is a more comprehensive telling of fracking's consequences, gaps remain in our knowledge. Not all states require disclosure of which wells are fracked, or what chemicals have been used. Reported information is often incomplete or inaccurate, preventing analysis of a large subset of wells.

This report synthesizes the best data currently available about fracking, updating and expanding on our previous report. We hope it inspires members of the public and policymakers to take decisive action to address the destruction caused by fracking.

Fracking Poses Grave Threats to the Environment and Public Health

Over the past decade, the oil and gas industry has used hydraulic fracturing to extract oil and gas from previously inaccessible rock formations deep underground. The use of high-volume hydraulic fracturing—colloquially known as “fracking”—has expanded dramatically from its origins in the Barnett Shale region of Texas a decade ago to tens of thousands of wells nationwide today.

Roughly half of U.S. states, stretching from New York to California, sit atop shale or other rock

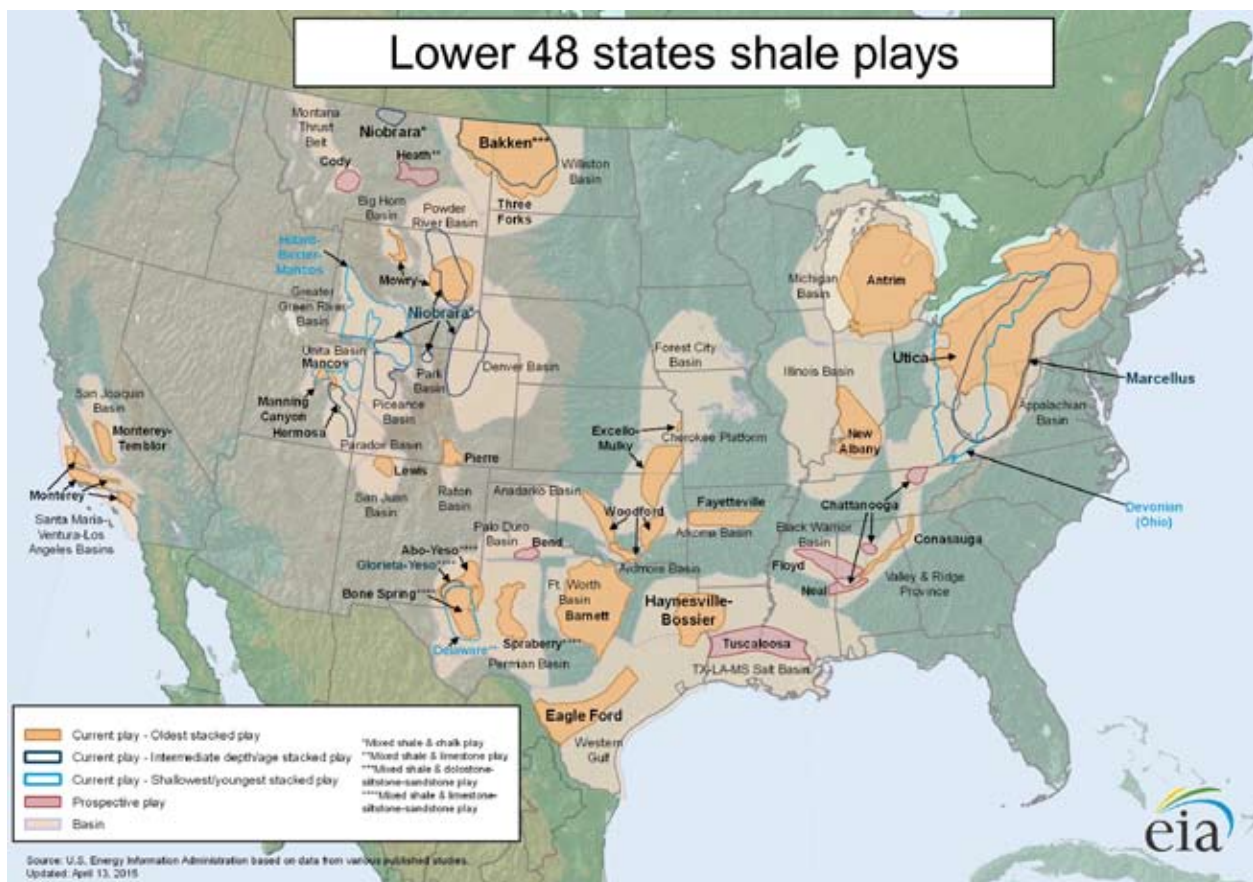
formations with the potential to produce oil or gas using fracking. (See Figure 1.)

Fracking has unleashed oil and gas drilling in many of these shale formations—posing severe threats to the environment and public health.

Contaminating Drinking Water

Fracking has polluted both groundwater and surface waterways such as rivers, lakes and streams. Fracking pollution can enter our

Figure 1. Shale Gas and Oil Plays¹



waterways at several points in the process—including surface leaks and spills of fracking fluid, well blowouts, the escape of methane and other contaminants from the well itself into groundwater, and the long-term migration of contaminants underground.

Fracking operations have contaminated water supplies across the country. In January 2015, 3 million gallons of fracking wastewater leaked out of a pipe in western North Dakota, contaminating two creeks that feed into the Missouri River, a source of drinking water for nearby towns.² In Ohio, in 2014 about 16,000 gallons of oil-based lubricant leaked into a tributary of the Ohio River, which supplies drinking water to millions of people.³

Pennsylvania regulators have confirmed at least 260 instances of private well contamination from fracking operations since 2005, though the real number is likely higher. Independent journalists and documentary filmmakers at *Public Herald* have identified 2,309 complaints of pollution of private water wells from 17 out of the 40 Pennsylvania counties where fracking takes place.⁴

Spills and Leaks of Fracking Fluids

Toxic substances in fracking chemicals and wastewater are associated with a variety of negative health effects. Chemical components of fracking fluids, for example, have been linked to cancer, endocrine disruption and neurological and immune system problems.⁵ Researchers at the Yale School of Public Health analyzed more than 1,000 chemicals found in fracking fluid and wastewater. Toxicity data were not available for three-quarters of the chemicals; of the chemicals for which toxicity data were available, 65 percent were toxic.⁶ In other words, at least 15 percent of the chemicals used in fracking are toxic. A 2014 study by scientists at Lawrence Berkeley National Laboratory reported that around 10 percent of chemicals used in fracking fluid are known to be toxic to humans or aquatic life.⁷

Spills of toxic fracking chemicals present a threat to public health and the environment.

For example, a 2014 study of surface and groundwater samples from heavily-fracked Garfield County, Colorado, revealed elevated levels of endocrine-disrupting chemicals linked to infertility, birth defects and cancer.⁸

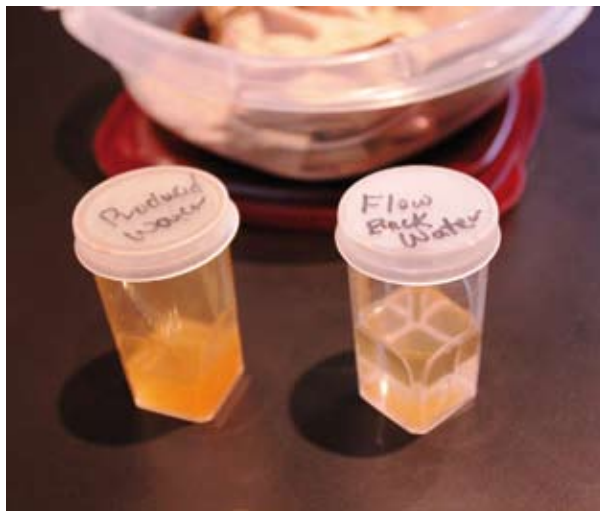
There are many pathways by which fracking fluids can contaminate drinking water supplies. Spills from trucks, leaks from other surface equipment or wastewater impoundments, and well blowouts can release polluted water to groundwater and surface water. Such spills, leaks and other incidents are common:

- In June 2014 in Amwell Township, Pennsylvania, a torn liner for a wastewater impoundment resulted in a leak that contaminated 15,000 tons of soil with chlorine. Further testing revealed groundwater contamination.⁹



Fracking Fluids Spill into Buckeye Creek, Doddridge County, West Virginia, 2009; Photo by Ed Wade Jr. and Wetzel County Action Group

- Researchers with the Environmental Protection Agency have identified 457 spills in 11 states caused by fracking operations from 2006 to 2012, and concluded that this likely undercounts the actual number of fracking-related spills.¹⁰
- A 2013 EPA report estimated that there are 1.3 spills on or near well pads for every 100 fracked wells in Colorado.¹¹ In Pennsylvania, spill rate estimates range from 0.4 to 12.2 per 100 fracked wells.¹²



Samples of produced water and flowback water from fracking. Photo: “Dr. Helen Boylan Breaks Down Fracking,” WCN 24/7, CC BY-NC 2.0 <https://creativecommons.org/licenses/by-nc/2.0/>

Leakage of Methane and Other Contaminants from Fracking Wells

Water contamination can also occur underground. A study by researchers at Duke University showed that Pennsylvania residential drinking water wells located close to fracking wells were more likely to be contaminated with methane, with faulty fracking well casings as a likely source.¹³ Data from fracking wells in Pennsylvania from 2010 to 2012 show a 6 to 7 percent rate of well failure due to compromised structural integrity.¹⁴ Earlier this year, a jury convicted a company of contaminating drinking water wells in Dimock Township,

Pennsylvania, with methane after drilling wells that were faulty.¹⁵

Other pollutants can travel underground, too. A recent analysis of 550 groundwater samples drawn from aquifers overlying the Barnett Shale formation of Texas found elevated levels of 10 different metals as well as 19 different chemical compounds associated with hydraulic fracturing, including benzene, toluene, ethylbenzene and xylene.¹⁶ Another study found higher concentrations of arsenic, selenium and strontium in drinking water wells in the region, perhaps the result of the disturbance fracking creates underground.¹⁷

Leakage of Toxic Fracking Waste

The wastewater produced from fracking wells contains pollutants both from fracking fluids and from natural sources underground. Wastewater returns to the surface in huge volumes—both as “flowback” immediately after fracking and “produced water” over a longer period while a well is producing oil or gas. Yet fracking operators have no safe, sustainable way of dealing with this toxic waste. The approaches that drilling companies have devised for dealing with wastewater can pollute waterways:

- Waste pits can fail. A 2015 review of drilling waste management in four states in the Marcellus and Utica Shale regions revealed inadequate oversight by regulators, leading to several incidents of contamination.¹⁸ In 2014, a court fined a Pennsylvania operator \$4.15 million after it failed to contain spilled fracking wastewater from six impoundments, which spread to surrounding soil and water.¹⁹ In Ohio, where no specific regulations govern the use of pits and impoundments to dispose of fracking waste, inspectors have attributed at least 63 incidents of water contamination to the improper construction or maintenance of these facilities.²⁰
- Drilling companies may deliberately dump wastewater, spread it on roads to control dust or melt ice, or use it for irrigation.²¹ Pollutants

from the water can then contaminate local waterways. For example, in Pennsylvania in 2010, XTO Energy, an ExxonMobil subsidiary, was charged with dumping more than 5,000 gallons of wastewater onto the ground at a well site in Lycoming County, polluting a nearby stream.²²

- Drilling companies can mistakenly dump waste into drinking water sources. State officials in California have admitted allowing oil companies to dump nearly three billion gallons of drilling wastewater into clean water aquifers due to a “paperwork” error. Regulators subsequently found elevated levels of nitrate, arsenic and thallium in half of the samples tested.²³
- Deep disposal wells are a common destination for fracking waste, but these wells can fail over time, allowing the wastewater and its pollutants to mix with groundwater or surface water.²⁴ For example, fracking wastewater injected into a disposal well contaminated the Cenozoic Pecos Alluvium Aquifer near Midland, Texas.²⁵
- Pressure from injection wells may cause underground rock layers to crack, accelerating the migration of wastewater into drinking water aquifers. For example, at two injection wells in Ohio, toxic chemicals pumped underground in the 1980s, supposedly secure for at least 10,000 years, migrated into a well within 80 feet of the surface over the course of two decades.²⁶ Investigators believe that excessive pressure within the injection well caused the rock to fracture, allowing chemicals to escape.



Fracking waste in Kern County, CA. Photo: Sarah Craig/Faces of Fracking, CC BY-NC-ND 2.0, <https://creativecommons.org/licenses/by-nc-nd/2.0/>

Consuming Scarce Water Resources

Each well that is fracked requires hundreds of thousands or millions of gallons of water, depending on the formation and the depth and length of any horizontal portion of the well. Unlike most industrial uses of water, in which water returns to the water cycle for further use, water used in fracking typically cannot be cleaned up for a broad range of other uses. Water used in fracking either remains in the well, is “recycled” (used in the fracking of new wells), or is disposed of in deep injection wells, where it is unavailable to recharge aquifers. Thus, fracking takes billions of gallons out of the water supply annually.

In some areas, fracking makes up a significant share of overall water demand. Texas’ Eagle Ford Shale oil play used nearly 18 billion gallons of water in 2013, roughly 16 percent of the area’s total water consumption.²⁷

Demand for water by oil and gas companies has harmed farmers and local communities. For example, the municipal water supply went dry in Barnhart, Texas, in 2013, after excessive water withdrawals for fracking compounded the effects of a years-long drought.²⁸ Across the Permian Basin, companies have drilled wells and purchased well water drawn from the Edwards-Trinity-Plateau Aquifer, drying up water supplies for residential and agricultural use.

Competition for limited water resources from fracking can increase water prices for farmers and communities—especially in arid western states. In 2012, in parts of Colorado, oil and gas companies paid up to \$3,300 for an acre-foot of water. Ranchers and farmers, who paid between \$30 and \$100 for the same amount of water in previous years, were unable to match the rates paid by oil and gas companies, threatening farmers’ ability to continue to grow food.²⁹

Nationally, nearly half of all fracking wells are located in regions with very limited water supplies. A 2013 study by Ceres, a coalition of business and environmental interests, found that 47 percent of fracked oil and gas wells were

located in regions with “high” or “extremely high” water stress and that more than 55 percent of wells fracked from 2011 to 2013 were located in areas experiencing drought.³⁰ Ninety-six percent of wells in California and 97 percent of wells in Colorado were located in regions of high or extremely high water stress, where 40 to over 80 percent of available surface and groundwater was already allocated for other uses.³¹

Endangering Public Health with Air Pollution

Air pollution from fracking threatens the health of people living and working close to the wellhead, as well as those far away. Children, the elderly and those with respiratory diseases are especially at risk.

Fracking produces air pollution as the well is drilled and fracked and gas is vented or flared. Emissions from trucks carrying water and materials to well sites, as well as from compressor stations and other fossil fuel-fired machinery, contribute to air pollution. Well operations, storage of gas liquids, and other activities related to fracking add to the pollution toll.

Making Local Residents Sick

People who live close to fracking sites are exposed to a variety of air pollutants including volatile organic compounds (VOCs) such as benzene, xylene and toluene. These chemicals can cause a wide range of health problems—from eye irritation and headaches to asthma and cancer.³²

A 2014 Colorado study linked prenatal exposure to fracking chemicals in the air, specifically toluene, xylenes, and benzene, to higher rates of birth defects. Researchers found that the risk of giving birth to infants with congenital heart or neural tube defects increases with proximity to natural gas extraction sites. In fact, children of mothers living within 10 miles of gas wells were 30 percent more likely to be born with congenital heart disease and twice as likely to have a neural

tube defect.³³ A large number of people may be at risk of such effects: As of 2013, more than 15 million people in the U.S. lived within one mile of a natural gas well drilled since 2000, and in some areas, such as Johnson County, Texas, 99.5 percent of residents lived within one mile.³⁴

VOCs are not the only air pollutants released during natural gas extraction. A 2015 study measured levels of polycyclic aromatic hydrocarbons (PAHs) such as benzo[a]pyrene, fluoranthene and benzo[b]fluoranthene around Ohio fracking sites and found that concentration of PAHs was higher closer to wells. Researchers estimated that the excess lifetime cancer risk is over 30 percent higher for people living within one tenth of a mile of a natural gas extraction site than for those who resided more than a mile away.³⁵

Exposing Workers to Unsafe Pollution

Workers at drilling sites also suffer from health impacts. In 2013, the National Institute for Occupational Safety and Health (NIOSH) found that workers at 15 out of 17 drilling sites in Colorado and Wyoming were exposed to hazardous levels of airborne benzene when they opened the hatches of tanks on top of fracking wells to measure flowback, a routine step in the drilling process. According to the Centers for Disease Control, benzene exposure can cause severe damage to the nervous system, kidneys, liver, blood and the immune system.³⁶ Between 2010 and 2014, NIOSH attributed nine worker deaths to acute hydrocarbon exposure from oilfield vapors.³⁷

Fracking workers also face an increased risk of lung disease as a result of inhaling silica dust from sand injected into wells. Nearly half of 116 tested air samples from fracking sites in Arkansas, Colorado, North Dakota, Pennsylvania and Texas contained levels of silica that exceeded the Occupational Safety and Health Administration's (OSHA) legal limit for workplace exposure. Nine percent of the samples exceeded the legal limit for silica by a factor of 10, exceeding the threshold at which half-face respirators can effectively protect workers.³⁸

Regional Air Pollution Threats

Fracking also produces a variety of pollutants that contribute to regional air pollution problems. VOCs and nitrogen oxides (NO_x) emitted from gas formations or equipment used in drilling contribute to the formation of ozone "smog," which reduces lung function among healthy people, triggers asthma attacks, and has been linked to increases in school absences, hospital visits and premature death.³⁹

The extent of the air pollution problem from fracking is not fully known. A 2014 investigation by the Center for Public Integrity revealed inadequate emissions monitoring by the state of Texas at the Eagle Ford Shale formation, where there are more than 7,000 completed oil and gas wells. The 20,000 square mile area has only five air monitors, all located on the formation's fringes where emissions are much lower. The investigation also found that punishment is minimal to nonexistent for violations of emissions standards. Of 164 documented violations between 2010 and November 2013, the Texas Commission on Environmental Quality issued fines in only two cases.⁴⁰

Exacerbating Global Warming

Global warming is a profound threat to virtually every aspect of nature and human civilization –disrupting the functioning of ecosystems, increasing the frequency and violence of extreme weather, and ultimately jeopardizing health, food production, and water resources for Americans and people across the planet.

Fracking's primary impact on the climate is through the release of methane, which is a far more potent contributor to global warming than carbon dioxide. Over a 100-year timeframe, a pound of methane has 34 times the heat-trapping effect of a pound of carbon dioxide.⁴¹ Methane is even more potent relative to carbon dioxide at shorter timescales, at least 86 times more over a 20-year period.

Intentional venting of gas and accidental gas leaks release substantial amounts of methane into the

atmosphere. Multiple studies have found high methane leakage rates at fracked wells.

- Aircraft-based air sampling over Colorado's Front Range allowed researchers from the University of Colorado Boulder (CU Boulder), the National Oceanic and Atmospheric Administration (NOAA), and the University of California, Davis to estimate that 4.1 percent of natural gas produced in the area escapes into the atmosphere.⁴²
- In southwestern Pennsylvania, an area with extensive fracking activity, researchers from Purdue, Cornell, CU Boulder, Penn State and NOAA estimated that 7 percent of natural gas produced in the region escapes to the atmosphere.⁴³
- A 2014 analysis of satellite measurements of air composition found that as a proportion of total energy production, methane emissions comprised 10.1 percent over the Bakken formation and 9.1 percent over the Eagle Ford Shale.⁴⁴

Not every study has found high levels of methane leakage from fracking wells, but those that have found lower levels of leakage often suffer from questionable assumptions or methodologies. One widely-cited study conducted by a team from the University of Texas, Austin, that found very low methane leakage rates drew upon a small number of wells that had been selected by oil and gas companies with an incentive to minimize estimates of leakage.⁴⁵ That same study drew from Environmental Protection Agency emissions estimates that have been found to greatly underestimate emissions.⁴⁶ Other studies have made overly optimistic assumptions about total lifetime production from each gas well, lowering the calculated life-cycle emissions of electricity produced from natural gas.⁴⁷

The conclusion from all these studies is that in some cases, fracking clearly releases large volumes of methane to the atmosphere. Controlling methane emissions from fracking wells may be technically possible, but it has not been the norm at America's oil and gas wells. (The first

state to adopt methane control requirements was Colorado, which took action in 2014.⁴⁸ The federal government didn't adopt standards until mid-2015, and those are just for new wells.⁴⁹)

In addition, other elements of the natural gas transmission, storage and distribution system that is fed by fracking can leak large amounts of methane. A failing storage well in Southern California's Aliso Canyon released more than 100,000 tons of methane, the largest methane leak in U.S. history.⁵⁰

Damaging America's Natural Heritage

Fracking transforms rural and natural areas into industrial zones. This development threatens national parks and national forests, damages the integrity of landscapes and habitats, and contributes to water pollution problems that threaten aquatic ecosystems.

Before drilling can begin, land must be cleared of vegetation and leveled to accommodate drilling equipment, gas collection and processing equipment, and vehicles. Additional land must be cleared for roads to the well site, as well as for any pipelines and compressor stations needed to deliver gas to market. According to a 2015 analysis of the areas of the Marcellus Shale in Pennsylvania most suitable for fracking, the development of natural gas infrastructure results in 17 to 23 acres of land cover disturbance per well pad. This could reduce the amount of interior forest, at least 300 feet from the forest edge, by 5 to 10 percent across the region studied.⁵¹

As oil and gas companies expand fracking activities, national parks, national forests and other iconic landscapes are increasingly at risk. Places the industry is seeking to open for fracking include:

- **White River National Forest** – This 2.3 million acre area in the Rocky Mountains includes mountain peaks, clear trout streams, aspen groves and wildlife habitat. Not only is it a destination for hikers, campers and other outdoor enthusiasts, but it is also a vital

part of the local economy. While federal policymakers have taken important steps towards preventing future drilling in some portions of the White River National Forest, there are currently 65 leases held by oil and gas companies in roadless areas.⁵²

- **Desolation Canyon** – This remote canyon on the Green River in eastern Utah is one of the nation’s premier river-rafting destinations, framed by red rock sandstone and surrounded by wildlands. The Bureau of Land Management has given initial approval to 1,300 oil and gas wells in the area around Desolation Canyon.⁵³ If those wells are drilled, pipelines, wellheads and access roads would undermine the wilderness characteristics of the area.⁵⁴
- **Delaware River Basin** – This basin, which spans New Jersey, New York, Pennsylvania and

Delaware, is home to three national parks and provides drinking water to 15 million people.⁵⁵ If efforts to lift the current moratorium on fracking in the area succeed, one study predicts that 4,000 new wells could be fracked there. This could damage over 100,000 acres of land, cause erosion, reduce the water supply and potentially contaminate nearby drinking water.⁵⁶

The disruption and fragmentation of natural habitat can put wildlife at risk. In Wyoming, for example, extensive gas development in the Pinedale Mesa region has coincided with a significant reduction in the region’s population of mule deer, which declined by 40 percent between 2001 and 2015.⁵⁷ In northwest Colorado, scientists found that gas drilling operations decreased the number of mule deer living on land deemed essential for the species’ wintertime survival by



The Bureau of Land Management has given initial approval for 1,300 fracked oil and gas wells in the area around Desolation Canyon, a development that could lead to destruction of the region’s wilderness characteristics. Photo: Ray Bloxham/SUWA



Even after drilling equipment has been removed, the well pad fragments habitat. Photo: Joshua Doubek, CC BY-SA 3.0, <http://creativecommons.org/licenses/by-sa/3.0>

between 25 and 50 percent. Deer stayed about a half mile away from well pads, likely trying to avoid the loud machines and bright lights.⁵⁸

Birds may also be vulnerable, especially those that depend on grassland habitat. Species such as the northern harrier, snowy owl, rough-legged hawk and American kestrel rely on 30 to 100 acres of undisturbed grassland for breeding or wintering habitat.⁵⁹ Roads, pipelines and well pads for fracking may fragment grassland into segments too small to provide adequate habitat.

The clearing of land for well pads, roads and pipelines may threaten aquatic ecosystems by increasing sedimentation of nearby waterways and decreasing shade. A study by the Academy of Natural Sciences of Drexel University found

an association between increased density of gas drilling activity and degradation of ecologically important headwater streams.⁶⁰

Water contamination related to fracking can cause fish to die. For example, after fracking equipment failed at an Ohio site in 2014, a fire broke out, causing trucks to explode and thousands of gallons of toxic chemicals to leak into an Ohio River tributary. More than 70,000 fish died as a result.⁶¹

Imposing Costs on Communities

Fracking operations add traffic congestion and stretch local demand for public services in communities, imposing a wide range of costs on area residents.

Ruining Roads, Straining Services

As a result of its heavy use of publicly available infrastructure and services, fracking imposes both immediate and long-term costs on taxpayers. Throughout the initial period of construction and drilling, fracking operations add between 7,000 and 10,000 single truck journeys per well pad.⁶² This puts stress on roadways and bridges not constructed to handle such volumes of heavy traffic. Analysts at the RAND Corporation estimate that Pennsylvania roads sustain \$13,000 to \$23,000 worth of damage for each fracked well.⁶³

Risks to Local Businesses, Homeowners and Taxpayers

Fracking imposes damage on the environment, public health and public infrastructure, with significant economic costs, especially in the long run after the initial rush of drilling activity has ended.

Pollution, stigma and uncertainty about the future implications of fracking can depress the prices of nearby properties. One study found Pennsylvania homes that depend on private groundwater lost an average of \$33,214 in value when a shale well was drilled within nine-tenths of a mile.⁶⁴

Fracking also has the potential to affect agriculture, both directly through damage to livestock from exposure to fracking fluids, and indirectly through economic changes that undermine local agricultural economies. A Cornell University study found dozens of cases in six states in which farm animals exposed to fracking fluids suffered illness, death or reproductive issues. One farmer reported that about 70 of his cows died after wastewater from nearby gas drilling was released into the pond from which they drank. Surviving cows subsequently delivered an unusually high number of stillborn or stunted calves.⁶⁵

Governments are sometimes forced to spend tax money to clean up orphaned wells—wells that were never properly closed and whose owners, in many cases, no longer exist as functioning

business entities. Though oil and gas companies face a legal responsibility to plug wells and reclaim drilling sites, they have a track record of leaving the public holding the bag.⁶⁶ For example, Texas alone has nearly 10,000 orphaned oil and gas wells, in addition to an unknown number of unrecorded old, unidentified wells.⁶⁷ The state has spent more than \$230 million to plug orphaned wells.

States lack the resources to deal with all the orphaned wells. In 2014, Pennsylvania plugged only 48 wells from a list of 8,371 orphans.⁶⁸ Ohio has managed to finance the plugging of 40 to 50 wells per year, though the state likely has thousands of wells that need attention.⁶⁹

Threatening Public Safety

Fracking harms public safety by increasing traffic in rural areas where roads are not designed for such high volumes, by creating an explosion risk from methane, and by increasing earthquake activity.

Increasing traffic—especially heavy truck traffic—has contributed to an increase in traffic crashes and fatalities. In northern Pennsylvania, vehicle crash rates were significantly higher in counties where unconventional gas drilling took place.⁷⁰ In Texas, per capita traffic fatalities have risen 18 percent over the last decade in counties with extensive fracking activity.⁷¹ Around the Eagle Ford Shale play in southern Texas, traffic fatalities increased by 48 percent from 2008 to 2013, compared with a statewide decrease of 3 percent.⁷²

Methane contamination of well water poses a risk of explosion if the gas builds up. In 2014, four members of a family living right outside the Barnett Shale in Texas suffered severe burns when their water wellhead exploded. The family alleges that nearby drilling caused methane to leak into the well which sparked the explosion.⁷³ In 2014, an accident at an Ohio fracking site released flammable pollutants that emergency management officials feared could cause an explosion. Roughly 400 residents were evacuated from their homes in Jefferson County.⁷⁴



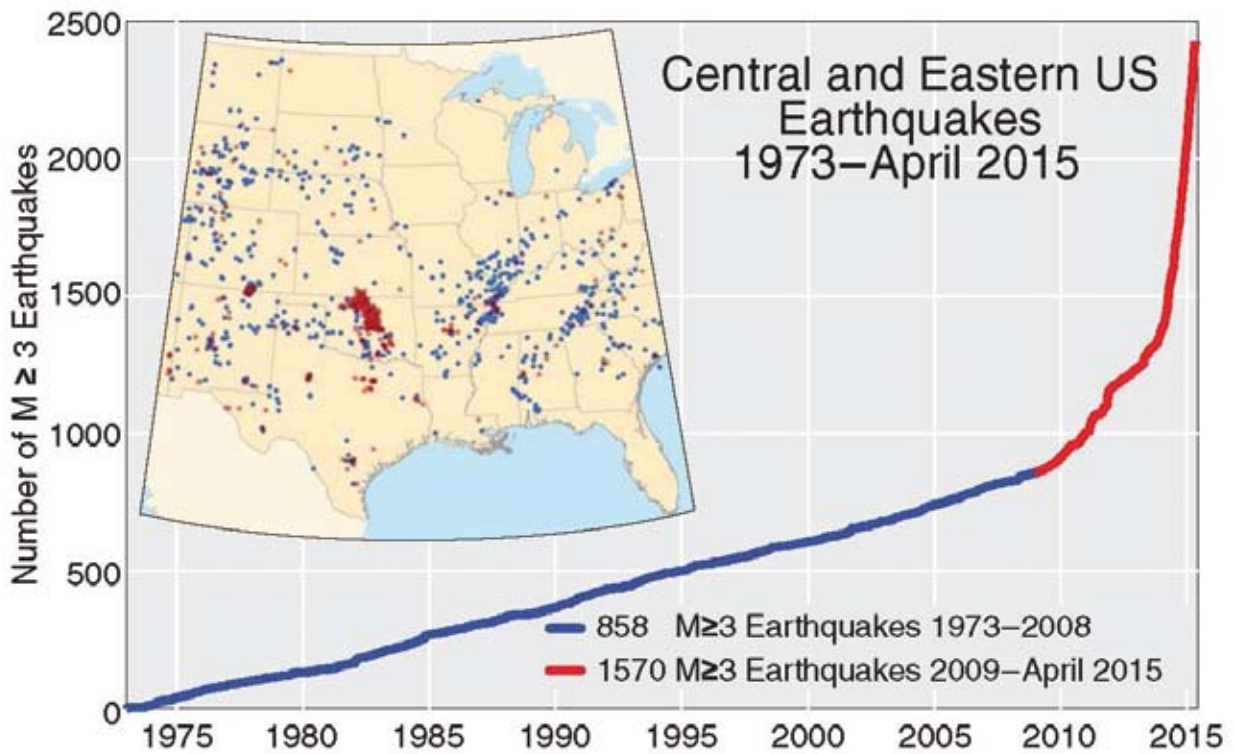
Earthquake damage in Oklahoma in 2011 linked to underground wastewater injection. Photo: Brian Sherrod/United States Geological Survey

Another public safety hazard stems from earthquakes triggered by injection well wastewater disposal, which can increase pressure on faults. Such induced quakes have happened in Arkansas, Colorado, Kansas, Ohio, Oklahoma and Texas.⁷⁵

According to the U.S. Geological Survey, the average number of earthquakes of magnitude 3

and larger in the central and eastern states rose from 21 per year between from 1973 through 2008 to 99 per year between 2009 and 2013.⁷⁶ This annual rate continues to rise: in 2014, these regions experienced 659 earthquakes of magnitude 3 or greater.⁷⁷ Oklahoma has been particularly hard hit, with seismic activity 40 times greater since 2008 than in previous years.⁷⁸

Figure 1. USGS Chart Shows Earthquakes Are More Common with Increase in Fracking⁷⁹



Tallying the State and National Impacts of Fracking

Fracking imposes numerous costly impacts on our environment and public health. This report updates our previous estimates of several key environmental and economic impacts of hydraulic fracturing.

Quantifying the cumulative impacts of fracking at a state or national scale is made difficult, in part, by differing definitions and data collection practices for unconventional drilling used in the states. These variations in data make it difficult to isolate high-volume fracking from other practices. To address this challenge, we collected data on unconventional drilling targets (shale gas, shale oil, and tight-gas sands) and practices (horizontal and directional drilling) to ensure the comprehensiveness of the data. Where possible, we then narrowed the data to include only those wells using high-volume hydraulic fracturing involving more than 100,000 gallons of water between January 2005 and June 2015.

The data presented in the following sections come from multiple sources, including state databases, estimates from knowledgeable state employees, and information provided by oil and gas companies to a national website. As a result, the quality of the data varies and figures may not be directly comparable from state to state. Nonetheless, the numbers paint a picture of the extensive environmental and public health damage caused by fracking.

Wells Fracked by State

The most basic measure of fracking's scope is a tally of how many fracking wells have been drilled. In addition, having an accurate count of wells by state offers a basis for estimating specific impacts to water, air and land.

Fracking has occurred in more than 20 states (see Table 2), involving more than 137,000 wells. In the eastern U.S., Pennsylvania reports the most fracking wells since 2005, with more than 9,000 wells tapping into the Marcellus and Utica shales. More than 8,000 fracking wells have been drilled in North Dakota to produce oil from the Bakken formation. Other states with the most fracking include Colorado and Texas.

Wastewater Produced

While there are many ways in which fracking can contaminate drinking water, one of the most serious threats comes from the millions of gallons of toxic wastewater produced by fracking, which can leak into water supplies.

Fracked wells produced at least 14 billion gallons of wastewater in 2014. Table 3 shows how much wastewater has been produced from fracking wells in selected states where water production data is available. These estimates are for wastewater only, and do not include other toxic

Table 1. National Environmental and Public Health-Related Impacts of Fracking

Fracking Wells since 2005	At least 137,000
Water Used since 2005	At least 239 billion gallons
Toxic Wastewater Produced in 2014, selected states	At least 14 billion gallons
Land Directly Damaged since 2005	679,000 acres
Global Warming Pollution from Well Completions in 2014 (methane)	At least 5.3 billion pounds

Table 2. Estimate of Fracking Wells by State

State	Wells Fracked Since 2005
Alabama	49
Arkansas	6,496
California	3,405
Colorado	22,615
Kansas	810
Louisiana	2,883
Michigan	49
Mississippi	103
Montana	539
Nebraska	5
Nevada	4
New Mexico	4,318
North Dakota	8,224
Ohio	1,594
Oklahoma	7,421
Pennsylvania	9,233
South Dakota	2
Tennessee	30
Texas	54,958
Utah	4,949
Virginia	108
West Virginia	2,670
Wyoming	7,277
TOTAL	137,743

Table 3. Wastewater from Fracking in 2014

State	Million gallons
Alabama	49
California	1,057
Colorado	3,139
New Mexico	8,592
Ohio	313
Pennsylvania	1,821
Wyoming	70

wastes from fracking, such as drilling muds and drill cuttings.

The tremendous volume of wastewater generated is difficult to dispose of. Injection wells for wastewater have caused earthquakes in several states, some of which are repositories for wastewater from neighboring states. For example, in 2014, Ohio injection wells accepted 693 million gallons of oil and gas wastewater, more than 3.5 times the amount of waste injected in 2009.⁸⁰ (See map of Ohio disposal wells.) Much of this waste comes from Pennsylvania and West Virginia.⁸¹

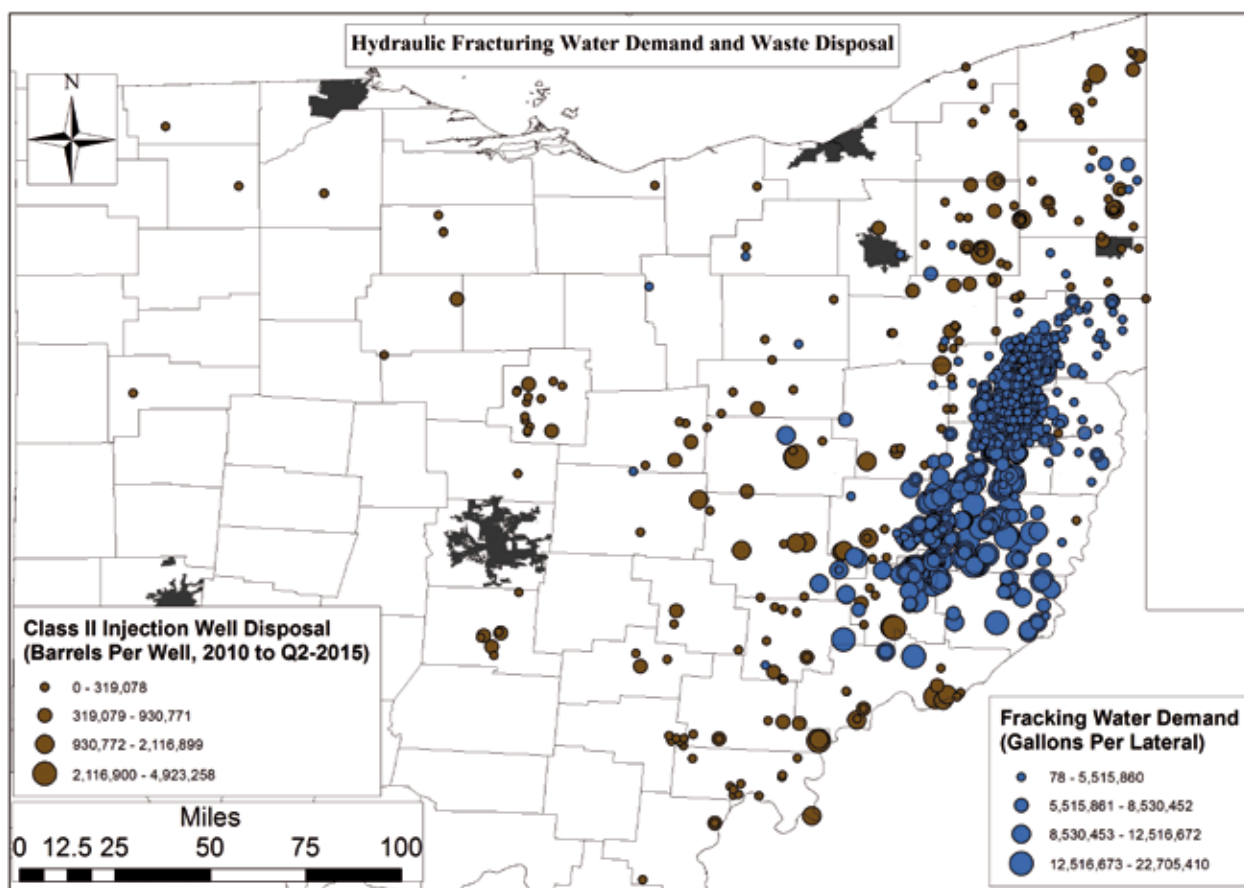
Waste from oil and gas fracking is exempt from the hazardous waste provisions of the federal Resource Conservation Recovery Act (RCRA), exacerbating the toxic threats posed by fracking wastewater. For other industries, the threats posed by toxic waste have been at least reduced due to the adoption of the RCRA, which provides a national framework for regulating hazardous waste. In other industries, illegal dumping is reduced by cradle-to-grave tracking and criminal penalties. Health-threatening practices such as open waste pits, disposal in ordinary landfills, and road spreading are prohibited.

Chemicals Used

Fracking fluid consists of water mixed with chemicals and sand that is pumped underground to frack wells. Though in percentage terms, chemicals are a small component of fracking fluid, the total volume of chemicals used is immense. Should they enter the air or drinking water, they present a threat to human health. According to an analysis of the industry-supported FracFocus database, some of the most common chemicals include:

- Hydrochloric acid, a caustic acid.⁸²
- Methanol, which is suspected to cause birth defects.⁸³
- Petroleum distillates, which can irritate the throat, lungs and eyes, and cause dizziness

Figure 2. Map of Ohio Fracking Water Use and Wastewater Disposal



Fracking wastewater is disposed into Class II injection wells in Ohio. Greenish-brown circles are wells receiving wastewater, measured in barrels of wastewater; blue circles represent water consumption at fracked wells, measured in gallons. Data mapped by Ted Auch at FracTracker Alliance.

- and nausea.⁸⁴ This category of chemical can include toxic and cancer-causing agents.⁸⁵
 - Benzene, a cancer-causing chemical that evaporates easily, creating an inhalation risk.⁸⁶
 - Naphtha, a central nervous system depressant. Long-term exposure to naphtha may cause cancer.⁸⁷
 - Formaldehyde, a carcinogen that can cause nose, throat and eye irritation, and increase the risk of asthma.⁸⁸
 - Ethylene glycol, a central nervous system depressant that can also cause heart, respiratory and kidney damage.⁸⁹ Low-level exposure can cause eye and respiratory irritation.
 - Sodium hydroxide, a highly corrosive chemical that is particularly hazardous to workers and anybody exposed to an undiluted spill.⁹⁰
- These toxic substances can enter drinking water supplies from the well, well pad or in the wastewater disposal process.

Table 4. Chemicals Reported by Industry in FracFocus, Selected States (thousand pounds)

State	Hydrochloric Acid*	Methanol	Petroleum Distillates	Ethylene Glycol	Benzene	Formaldehyde	Naphtha/ Naphthalene	Total Identified Chemicals
California	1,034	489	4,560	379	0	0	48	77,497
Colorado	68,663	10,042	55,872	4,804	3	443	8,090	1,668,654
New Mexico	70,798	4,403	34,013	2,401	5	19	3,251	833,430
North Dakota	82,198	88,168	87,948	21,556	762	2	12,508	3,891,405
Pennsylvania	1,806,032	5,396	50,194	5,421	0	36	3,013	2,186,034
Texas	2,148,789	302,501	751,153	82,852	161	70	91,704	10,436,835

* Hydrochloric acid is hydrogen chloride in water. The concentration of hydrogen chloride varies from one fracking operation to another.

^ Total identified chemicals excludes water, quartz, sand and products explicitly identified as proppants. It may still include water used as part of a chemical solution and proppants not explicitly identified as such.

Nationally, fracking operators have reported to FracFocus that they have used 5 billion pounds of hydrochloric acid, 1.2 billion pounds of petroleum distillate, and 400 million pounds of methanol. The specific mix of fracking chemicals used varies from region to region. For example, no benzene use was reported in Ohio, Pennsylvania or West Virginia, where most fracking occurs in the Marcellus Shale. Total reported benzene use in Colorado was a fraction of what was reported in North Dakota, even though Colorado has more wells.

Water Used

Fracking has used at least 239 billion gallons of water across the nation, according to industry-reported data in FracFocus. (See Table 5.) Most of that is freshwater from surface or groundwater sources, with only a small share consisting of brackish water or treated and re-used water from other frack jobs.⁹¹

The greatest total water consumption occurred in Texas, with half the reported national total. Other states with high water use include Pennsylvania,

Oklahoma, Colorado and North Dakota. On average, each well fracked in Colorado uses the same amount of water as 20 Denver-area households use in a year.⁹²

As with chemical use, there is regional variation in water usage per well. Fracking in Ohio,

Table 5. Water Used, 2005 to mid-2015, Selected States

State	Total Water Use (million gallons)	Average Water Use Per Well (gallons)
California	237	117,879
Colorado	19,142	2,326,439
New Mexico	3,132	1,363,390
North Dakota	14,891	2,941,093
Ohio	7,771	7,324,137
Oklahoma	19,582	3,062,622
Pennsylvania	24,732	5,532,851
Texas	120,215	3,276,787
West Virginia	7,651	7,743,438
National Total	239,166	3,073,209

Pennsylvania and West Virginia uses more water per well than fracking in other regions. California wells report using a very low volume of water. Water use tends to be higher in horizontally drilled wells, especially those with long lateral arms, and gas wells, on average, require more water than do oil wells. Water use per well is lower in shale plays that can be tapped with vertical or directional wells.⁹³

Methane Pollution Released

Completion of fracking wells released approximately 5.3 billion pounds of methane into the atmosphere in 2014. That's equivalent to the annual global warming emissions from 22 coal-fired power plants or 17 million passenger vehicles.⁹⁴ (See Table 6.) In California in 2014, newly completed wells released an estimated 140 million pounds of methane in the course of

normal operations, approximately 70 percent of the amount leaked from the failing gas storage well in Porter Ranch.⁹⁵

This estimate is very conservative. It only counts emissions that occur as a well is brought into production, not emissions from ongoing operation of wells, or the processing or transportation of gas. It is also based on "bottom-up" estimates of emissions from various stages of fracking, an approach that has been shown to underestimate emissions, perhaps because average emission estimates for each piece of equipment fail to account for the fact that just a few pieces of faulty equipment can release inordinate amounts of methane.⁹⁶

In North Dakota, for example, satellite measurements detected a large increase in methane in 2009 to 2011 compared to 2006 to 2008, which researchers attribute to an increase in fracking.⁹⁷ Emissions were 2.2 billion pounds per year higher by the 2009 to 2011 period than in the earlier period. That emissions rate is more than four times higher than our 2014 estimate for North Dakota.

Table 6. Methane Emissions from Wells Completed in 2014

State	Methane (pounds)	Equivalent to emissions from this many cars
California	139,782,500	453,836
Colorado	395,476,950	1,284,006
Kansas	38,063,850	123,583
Louisiana	49,891,600	161,984
Mississippi	9,892,300	32,118
Montana	24,945,800	80,992
New Mexico	124,944,050	405,659
North Dakota	517,195,250	1,679,192
Ohio	135,696,550	440,570
Oklahoma	546,011,950	1,772,752
Pennsylvania	295,478,700	959,339
Texas	2,520,816,100	8,184,404
Utah	185,803,200	603,252
Wyoming	115,911,950	376,335
TOTAL	5,339,906,550	17,337,224

Acres of Land Damaged

Nationally, land directly damaged for fracking totals at least 679,000 acres. This estimate includes the amount of land that has been cleared for roads, well sites, pipelines and related infrastructure in each state. In comparison, Yosemite National Park encompasses 760,000 acres.⁹⁸

However, the total amount of habitat and landscape affected by fracking is much greater. A study of fracking development in Pennsylvania estimated that forest fragmentation affected more than twice as much land as was directly impacted by development.⁹⁹ A single well-pad can mar a vista seen from miles around.

The number of wells drilled on a single well pad has risen over time, meaning that newer wells on average have a smaller impact than older

wells. As of mid-2013, more than half of new wells were drilled on multi-well pads, up sharply from 2009.¹⁰⁰ Drilling practices also vary from one shale play to another. In Texas' Barnett shale, more than 80 percent of wells were drilled on multi-well pads by mid-2013, versus 10 percent in the Permian Basin that straddles the Texas-New Mexico border.¹⁰¹

Fracking activity in Colorado damaged at least 100,000 acres, a conservative estimate given that the Niobrara Shale that is the target of much drilling in Colorado likely has fewer multi-well pads than the national average.¹⁰² That's roughly equal to the area within Denver city limits.¹⁰³

Table 7. Land Damaged for Fracking, Selected States

State	Acres
Colorado	105,866
New Mexico	35,273
North Dakota	33,718
Ohio	9,118
Pennsylvania	52,813
Texas	257,272
West Virginia	15,272

Recommendations

Across the country, fracking is contaminating drinking water, damaging open space, and warming our climate. Yet despite ample evidence of the dangers that fracking poses to the environment and our health, the practice continues in states across the country, and remains largely exempt from parts of the laws designed to protect people from dangerous air and water pollution, including the Safe Drinking Water Act, the Clean Water Act, the Clean Air Act and the Resource Conservation Recovery Act.¹⁰⁴

Fracking is so dangerous to the environment, public health and the climate that we should phase out the practice altogether. In the meantime, we should not allow fracking to begin in additional regions and should enact baseline protections for communities where it is already occurring.

Ban Fracking Wherever Possible

Scientists agree that in order to avoid the worst impacts of global warming, we must keep the vast majority of fossil fuels in the ground.¹⁰⁵ That means here in the U.S., the extensive oil and gas reserves that the federal government has identified as extractable with fracking should not be tapped.¹⁰⁶ To protect the climate, the federal government should stop issuing new leases for fracking and other forms of fossil fuel development on our public lands.

State governments should ban fracking as Vermont and New York have done. Vermont became the first state to ban fracking in 2012.¹⁰⁷ Maryland passed a 2.5 year-long ban on fracking in 2015.¹⁰⁸ New York banned fracking in 2015.¹⁰⁹ (The Empire State still accepts wastewater from states such as Pennsylvania, which it often then

spreads over roads to melt ice, raising public health concerns.¹¹⁰) States bordering areas with heavy fracking activity should bar the disposal of fracking waste so they will not become dumping grounds for fracking operations.

Several U.S. cities and counties have passed local laws against fracking.¹¹¹ Pittsburgh outlawed fracking within city limits in 2010.¹¹² In 2012, Boulder County and Fort Collins, Colorado, imposed moratoriums on new fracking permits.¹¹³ New Mexico's Mora County became the first U.S. county to ban fracking in 2013, fearing contamination of drinking water wells; the ban was overturned by a federal judge in 2015.¹¹⁴ More recently in California, the City of Los Angeles passed a moratorium on fracking and Santa Cruz County and the City of Beverly Hills banned the practice entirely.¹¹⁵

In November 2014, voters in several communities banned fracking, including in Denton, Texas, which sits atop the Barnett Shale and is home to more than 275 fracked wells.¹¹⁶ The voter-approved ban on fracking in Denton succeeded a year after the Dallas City Council passed a set of tough drilling regulations, including a ban on drilling within 1,500 feet of homes.¹¹⁷ Unfortunately, the state of Texas subsequently enacted legislation that overturns these bans and prohibits local communities from protecting themselves from fracking.¹¹⁸

Targeted efforts to protect national parks and other public lands that supply drinking water to millions of residents have kept some important areas off limits to fracking. For example, after Ohio residents wrote letters protesting fracking in Wayne National Forest, the sole drinking water source for 70,000 people, the Bureau of Land Management changed its rules to prevent fracking

there.¹¹⁹ Pennsylvania has banned new fracking on state lands.¹²⁰

Given the scale and severity of fracking's impacts, banning fracking is the prudent and necessary course to protect the environment and public health. At a minimum, state officials should allow cities, towns and counties to protect their own citizens through local bans and restrictions on fracking.

Furthermore, if we are to address the problem of global warming, oil and gas use must decline quickly and dramatically. Even heavily regulated and perfectly executed fracking is a threat to our climate. Fossil fuel reserves must stay in the ground.

Enact Baseline Protections to Protect Communities and Lands on the Frontlines of Fracking

Where fracking is already happening, state and federal officials must take action to hold the oil and gas industry to the highest standards of environmental protection, transparency and accountability.

- Congress should close the loopholes that exempt fracking from key provisions of federal environmental laws. These include the Safe Drinking Water Act, the Clean Water Act and the Clean Air Act.
- Federal and state governments should protect treasured open spaces and vital drinking water supplies from the risks of fracking. Fracking should not be allowed on public lands, including national parks, national forests or watersheds that supply drinking water.
- Policymakers should end the most dangerous drilling practices and insist that drillers

minimize their impacts on the environment. Fracking operators should no longer be allowed to use open waste pits for holding wastewater. The use of toxic chemicals should not be allowed in fracking fluids. Operators should be required to meet aggressive water use reduction goals and to recycle wastewater.

- Federal and state policymakers should act to slash methane leaks from all steps in the oil and gas production process. The federal government should implement strong limits on new oil and gas facilities, and adopt new rules to address emissions from existing fossil fuel sources.
- State and federal officials should ensure that the oil and gas industry—rather than taxpayers, communities or families—pays the costs of the damage caused by fracking by requiring robust financial assurance, such as bonds, from operators at every well site.
- The public has a right to know how fracking operations are affecting the environment and public health. The data currently available on fracking are inconsistent, incomplete and difficult to analyze. To remedy this, oil and gas companies should be required to report all fracking wells drilled, all chemicals used, amount of water used, and volume of wastewater produced and toxic substances therein. Reporting should occur into an accessible, national database, with chemical use data provided 90 days before drilling begins.

The rapid spread of fracking across America in the last decade has caused widespread harm to our environment and our health. By limiting fracking and ensuring that all oil and gas production is tightly regulated, the nation can take the first steps toward healing the damage.

Appendix A.

Total Impacts of Fracking

Data are cumulative impacts since 2005, except where noted.

State	Wells Fracked	Hydrochloric Acid Used (thousand pounds)	Methanol Used (thousand pounds)	Wastewater Produced in 2014 (million gallons)	Water Consumed (million gallons)	Methane Released from Well Completion in 2014 (million pounds)	Land Disturbed (acres)
Alabama	49	378	4	unavailable	9	5	153
Arkansas	6,496	142,406	2,025	unavailable	11,290	144	22,858
California	3,405	1,034	489	1,057	237	140	15,940
Colorado	22,615	68,663	10,042	3,139	19,142	395	105,866
Florida	1	unavailable	unavailable	unavailable	unavailable	0	5
Kansas	810	13,113	513	unavailable	738	38	4,498
Louisiana	2,883	15,136	2,045	unavailable	4,880	50	16,010
Michigan	49	4,105	17	unavailable	92	0	238
Mississippi	103	872	803	unavailable	473	10	572
Montana	539	5,809	788	unavailable	793	25	2,210
Nebraska	5	66	16	unavailable	6	0	23
Nevada	4	26	0	unavailable	1	1	19
New Mexico	4,318	70,798	4,403	8,592	3,132	125	35,273
North Dakota	8,224	82,198	88,168	unavailable	14,891	517	33,718
Ohio	1,594	105,447	1,942	313	7,771	136	9,118
Oklahoma	7,421	455,225	17,147	unavailable	19,582	546	41,210
Pennsylvania	9,233	1,806,032	5,396	1,821	24,732	295	52,813
South Dakota	2	unavailable	unavailable	unavailable	unavailable	0	9
Tennessee	30	unavailable	unavailable	unavailable	unavailable	0	140
Texas	54,958	2,148,789	302,501	unavailable	120,215	2,521	257,272
Utah	4,949	35,926	1,414	unavailable	916	186	35,478
Virginia	108	575	25	unavailable	10	1	618
West Virginia	2,670	64,134	1,174	unavailable	7,651	88	15,272
Wyoming	7,277	18,074	5,870	70	2,528	116	29,836
TOTAL	137,743	5,038,953	444,786	14,993	239,166	5,340	679,148

Unavailable means data were not available from FracFocus or from state sources. See methodology for details.

Appendix B.

FracFocus Water Use, by State

The data below are based on an analysis of information reported in FracFocus. Records for thousands of wells had to be excluded because of incomplete or questionable data. See methodology for full details.

State	Water consumed (million gallons)	Wells included in water analysis	Water per well (gallons)
Alabama	9	128	72,993
Arkansas	11,290	2,613	4,320,520
California	237	2,008	117,879
Colorado	19,142	8,228	2,326,439
Florida	unavailable	unavailable	unavailable
Kansas	738	419	1,760,735
Louisiana	4,880	1,281	3,809,729
Michigan	92	15	6,164,517
Mississippi	473	65	7,272,824
Montana	793	378	2,097,964
Nebraska	6	3	1,991,927
Nevada	1	4	135,820
New Mexico	3,132	2,297	1,363,390
North Dakota	14,891	5,063	2,941,093
Ohio	7,771	1,061	7,324,137
Oklahoma	19,582	6,394	3,062,622
Pennsylvania	24,732	4,470	5,532,851
South Dakota	unavailable	unavailable	unavailable
Tennessee	unavailable	unavailable	unavailable
Texas	120,215	36,687	3,276,787
Utah	916	2,955	309,997
Virginia	10	57	176,742
West Virginia	7,651	988	7,743,438
Wyoming	2,528	2,639	957,857
TOTAL	239,166	77,823	3,073,209

Unavailable means no usable data were reported for the state in FracFocus. See methodology for details.

Appendix C.

FracFocus Chemical Use, by State

The data below are based on an analysis of information reported in FracFocus. Records for thousands of wells had to be excluded because of incomplete or questionable data. See methodology for full details. Data are in thousands of pounds.

State	Hydrochloric Acid*	Methanol	Petroleum Distillates	Ethylene Glycol	Benzene	Formaldehyde	Naphtha/ Naphthalene	Total Identified Chemicals
Alabama	378	4	156	4	0	0	1	1,817
Arkansas	142,406	2,025	8,487	800	0	0	1	199,980
California	1,034	489	4,560	379	0	0	48	77,497
Colorado	68,663	10,042	55,872	4,804	3	443	8,090	1,668,654
Florida	UNA	UNA	UNA	UNA	UNA	UNA	UNA	UNA
Kansas	13,113	513	683	190	0	1	345	58,941
Louisiana	15,136	2,045	46,395	1,629	0	0	10,415	696,208
Michigan	4,105	17	300	18	0	0	177	12,106
Mississippi	872	803	2,078	199	19	0	2,568	48,630
Montana	5,809	788	5,207	859	46	11	691	123,687
Nebraska	66	16	2	36	0	0	3	377
Nevada	26	0	0	1	0	0	2	3,025
New Mexico	70,798	4,403	34,013	2,401	5	19	3,251	833,430
North Dakota	82,198	88,168	87,948	21,556	762	2	12,508	3,891,405
Ohio	105,447	1,942	46,039	1,732	0	10	4,276	345,560
Oklahoma	455,225	17,147	68,512	7,262	0	7	11,584	114,4762
Pennsylvania	1,806,032	5,396	50,194	5,421	0	36	3,013	2,186,034
South Dakota	UNA	UNA	UNA	UNA	UNA	UNA	UNA	UNA
Tennessee	UNA	UNA	UNA	UNA	UNA	UNA	UNA	UNA
Texas	2,148,789	302,501	751,153	82,852	161	70	91,704	10,436,835
Utah	35,926	1,414	5,546	5,736	0	3	2,581	1,426,605
Virginia	575	25	63	1	0	0	0	31,954
West Virginia	64,134	1,174	27,334	1,680	0	25	814	181,239
Wyoming	18,074	5,870	11,265	1,095	0	0	9,164	1,337,141
TOTAL	5,038,953	444,786	1,206,586	138,767	997	626	161,236	23,262,096

Unavailable (UNA) means no usable data were reported for the state in FracFocus. See methodology for details.

* Hydrochloric acid is hydrogen chloride in water. The concentration of hydrogen chloride varies from one fracking operation to another.

^ Total identified chemicals excludes water, quartz, sand and products explicitly identified as proppants. It may still include water used as part of a chemical solution and proppants not explicitly identified as such.

Methodology

This report seeks to estimate the cumulative impacts of fracking for oil and gas in the United States. We attempted to limit the scope of the data included in the report to wells using high-volume hydraulic fracturing with horizontal drilling, because that new technology has the greatest environmental impacts. We focused on wells fracked since January 2005, when the modern fracking methods responsible for the environmental damage we discuss in this report were first widely applied in the U.S. However, the definition of and data collection practices for unconventional drilling vary significantly from state to state, making it difficult—and in some cases impossible—to limit our study only to those wells that have been developed using high-volume fracking.

To ensure that our estimates included the most comprehensive data possible, we began by collecting—largely from state oil and gas regulators, as described below—data on unconventional drilling targets and practices (excluding acidization). Where possible, we then narrowed the data to include only those wells using high-volume hydraulic fracturing involving more than 100,000 gallons of water and/or directional/horizontal drilling so as to focus on drilling techniques that have come into use in the past decade. In many states, the information needed to identify these wells was lacking. In those states, we included all wells using unconventional drilling practices in the data. Below, we explain what types of drilling are included in the data for each state.

Counting the Number of Wells in Each State

To count the number of high-volume fracked wells in each state, we relied on the FracFocus database managed by the Groundwater Protection Council and the Interstate Oil and Gas Compact Commission, and each state's record of drilled wells.

Count from FracFocus: By definition, wells listed in FracFocus are fracked, and the database includes information on water use, enabling us to identify high-volume wells. For our state-by-state count of wells, we removed wells that reported using less than 100,000 gallons of water, and resolved internal inconsistencies in the state names and codes used in the database.

Count from state databases: High-volume fracked wells are not as easy to identify in state databases. Some states identify hydraulically fractured wells, but don't indicate the volume of water used, in which case we counted all wells. Other states don't explicitly identify hydraulically fractured wells but do indicate which wells are horizontally or directionally drilled. We assume these are fracked wells. Information on data and analysis methods used for each state is presented below.

Combined count from FracFocus and state databases: In many cases, state databases include wells not included in FracFocus, and vice versa. State databases may include records for wells fracked in the years before FracFocus was created, and FracFocus may include wells not yet reported to the state. We reconciled these two sources to obtain a more accurate total for each state, removing duplicates and using FracFocus to identify which wells in state records used low volumes of water. Details of this analysis for each state are below.

Alabama

A list of permit numbers for 49 Alabama wells that have been fracked since 2005 was obtained from Elbert Patterson, Petroleum Engineer, State Oil and Gas Board of Alabama, personal communication, 28 August 2015.

Data on produced water are not available for download—they must be looked up well by well—and were not collected for this report.

Arkansas

A list of permitted wells with permit status dates from January 2005 through June 2015 was obtained from Arkansas Oil and Gas Commission, <ftp://www.aogc2.state.ar.us/>, 15 September 2015. Horizontally drilled wells are designated by an “H” in the state-issued well number.

We used permitted wells as a proxy for the number of wells that have been drilled, because about 99 percent of all permitted wells are drilled, per James Vinson, Webmaster, Arkansas Oil and Gas Commission, personal communication, 8 September 2015.

No reliable data on produced water from horizontally drilled wells in Arkansas were available.

California

We combined two data sources to estimate the number of high-volume fracked wells in California.

Data on the total number of drilled wells were obtained from the California Department of Conservation, Division of Oil, Gas, and Geothermal Resources (DOGGR) website, accessed 15 September 2015 at www.consrv.ca.gov/dog/maps/Pages/GISMapping2.aspx. We used the excel file titled “all wells,” which was posted on 23 July 2015 and includes wells drilled through 21 June 2015. Within this file, we counted the number of directional and horizontal hydraulically fractured wells with spud dates between January 2005 and June 2015, of which there were more than 10,000. This includes many low-volume wells.

To narrow this list, we queried FracFocus, to which California does not require well operators to report. FracFocus includes fewer than 1,000 high-volume fracked wells, a count that is likely incomplete because reporting is voluntary.

The true number of high-volume fracked wells in California, therefore, is likely between 1,000 and 10,000. To estimate the true figure, we calculated the percentage of wells in each county reported to FracFocus that are high-volume, and applied that percentage to the number of horizontally and directionally drilled wells by county in the DOGGR database. This resulted in an estimate of slightly more than 3,000 high-volume fracked wells.

Water production information was obtained from California Department of Conservation, Division of Oil, Gas, and Geothermal Resources, *California Production 2014*, accessed 21 January 2016 at ftp://ftp.consrv.ca.gov/pub/oil/new_database_format. We included 2014 production from known high-volume fracked wells.

Colorado

Data on fracked wells in Colorado come from two sources.

Since April 2012, Colorado has required that well operators report fracking activity to FracFocus. From April 2012 to June 2015, 6,206 high-volume fracked wells were reported to FracFocus.

Before April 2012, there is no firm data on the number of fracked wells in Colorado. Based on conversations with staff at the Colorado Oil and Gas Commission (including Diana Burn, Eastern Colorado Engineering Supervisor, Colorado Oil and Gas Commission, personal communication, 4 September 2013), we estimated the number of fracked wells before April 2012 by counting spud dates of wells in Weld, Boulder, Garfield and Mesa counties. A list of all wells in Colorado was obtained from Colorado Oil & Gas Conservation Commission, Colorado Oil and Gas Information System (COGIS), *2015 Production Report*, downloaded 17 October 2015, from cogcc.state.co.us/data.html#/cogis.

Water production data are for 2014 production from high-volume wells identified in FracFocus from April 2012 onward, and in Weld, Boulder, Garfield and Mesa counties in COGIS from January 2005 through March 2012.

Florida

Florida has only one permitted fracking well and it is currently not producing, per Levi Sciara, Engineer, Florida Department of Environmental Protection, personal communication, 7 August 2015.

Illinois

Illinois has not had any permit applications filed for high volume hydraulic fracturing, per Doug Shutt, Oil and Gas Resource Management Supervisor, Illinois Department of Natural Resources, personal communication, 14 August 2015. The FracFocus database lists one fracked well in Wayne County that had used over 100,000 gallons of water. We do not have production information for this well.

Indiana

A list of hydraulically fracked wells was acquired by using the Department of Natural Resources Well Search tool and filtering for “Hydraulically Fracked Wells Only,” accessed at www.in.gov/dnr/dnroil, 20 July 2015. The state of Indiana does not include any date information for wells in this dataset.

FracFocus does not contain data on any Indiana wells.

Kansas

We counted the number of high-volume fracked wells in Kansas using a combination of FracFocus data and information from the state.

Kansas has required reporting to FracFocus since December 2013. From then through June 2015, there were 218 high-volume fracked wells in the state.

For 2005 through November 2013, data on “horizontal or slant wells” in Kansas were obtained from the Kansas Geological Survey’s List of Oil and Gas wells, accessed at chasm.kgs.edu, 10 September 2015. There were 592 wells with spud dates during our selected time period.

We were unable to obtain data on water production.

Kentucky

Kentucky has only three high-volume fracked wells (using over 80,000 gallons of fluid at any one stage, or over 320,000 gallons of fluid in aggregate), per Brandon Nuttall, Kentucky Geological Survey, personal communication, 7 August 2015. In Kentucky, horizontal wells are not typically fractured with water but with a nitrogen/foam fracturing substance instead.

Louisiana

We counted the number of high-volume fracked wells in Louisiana using a combination of FracFocus data and information from the state.

Louisiana does not require reporting to FracFocus. Nonetheless, there were 1,653 high-volume fracked wells reported from the beginning of 2011 through June 2015.

A list of completed horizontally drilled wells since 2005 was obtained from Sharron Allement, Mineral Production Specialist, Louisiana Department of Natural Resources, Office of Conservation Engineering and Administrative Division, personal communication, 4 August 2015. We counted wells completed between January 2005 and December 2010, and added it to the figure obtained from FracFocus.

Louisiana does not track wastewater production volumes.

Michigan

The Michigan Department of Environmental Quality website states that 49 active permits have been issued for high volume (greater than 100,000 gallons) horizontal wells in Michigan since 2008, accessed at www.michigan.gov/documents/deq, 7 August 2015. Fracking was not closely tracked or regulated before 2008 (Mark Snow, Supervisor, Permits and Bonding Unit, personal communication, 21 July 2015).

Mississippi

Mississippi did not require permits for fracked wells until 2013 and thus data from previous years are incomplete, per David Snodgrass, Inspector and Geologist, Mississippi State Oil and Gas Board, personal communication, 7 August 2015. We obtained a list of wells approved for “frack jobs” and permitted since March 2013.

Montana

Montana does not require reporting to the FracFocus database. However, we opted to use FracFocus data instead of data collected by Montana because state data likely include a number of coalbed methane wells. FracFocus included 540 high-volume fracked wells.

A tally of new horizontal and recompleted horizontal wells in Montana Board of Oil and Gas Conservation, *Horizontal Well Completion Count*, accessed at www.bogc.dnrc.mt.gov, 14 August 2015, turned up 1,359 wells, including some coalbed methane wells.

Water production information was not available.

Nebraska

Nebraska does not track which wells are fracked. FracFocus shows five high-volume fracked wells have been drilled in Nebraska. Nebraska has only two horizontal wells, per Bill Sydow, Director of the Nebraska Oil and Gas Conservation Commission, personal communication, 27 July 2015.

Water production numbers for horizontal wells were not available.

Nevada

Nevada has only four fracked wells, three of which are exploratory, per Richard Perry, Programs Manager, Nevada Commission on Mineral Resources, Division of Minerals, Oil, Gas, and Geothermal, personal communication, 10 August 2015. Data from FracFocus indicate they are high volume wells. The three exploratory wells are not producing and there are no available production data for the fourth.

New Mexico

New Mexico does not track which wells are fracked, nor does it require reporting to FracFocus. We calculated the number of fracked wells by combining two sources: the state’s list of horizontally drilled wells, and wells voluntarily reported to FracFocus.

Data on the number of horizontally drilled wells were used as a proxy for fracked wells. Data were obtained from the New Mexico Energy, Minerals and Natural Resources Department, Oil Conservation Division, *OCD Data and Statistics*, accessed at www.emnrd.state.nm.us/OCD/statistics.html, 11 September 2015. Horizontally-drilled wells are designated by an “H” at the end of the well name. One well with status “never drilled” was excluded from our count.

From FracFocus, we identified fracked wells in New Mexico. We combined the state list of wells with the FracFocus list of wells and excluded any known low-volume wells.

Water production data for 2014 were obtained from the GO-TECH Petroleum website, accessed 21 January 2016 at gotech.nmt.edu/gotech/Petroleum_Data/allwells.aspx.

North Dakota

Data on all horizontal wells were obtained from the North Dakota Industrial Commission, Department of Mineral Resources, Oil and Gas Division website, *Bakken Horizontal Wells by Producing Zone*, accessed at www.dmr.nd.gov/oilgas/bakkenwells.asp, 14 September 2015. We assumed that all horizontal wells are fracked and that all fracking in the state happens in the Bakken Shale. Because spud dates were not listed, we instead used completion dates between January 2005 and June 2015 in each of six producing zones (Middle Bakken, Three Forks, Middle Bakken/Three Forks, Lodgepole, Upper Bakken Shale and Lodgepole/Middle Bakken formations).

North Dakota does not track annual water production.

Ohio

For Ohio, we included data for wells drilled in both the Marcellus and Utica/Point Pleasant shales from Ohio Department of Natural Resources, Division of Oil & Gas Resources, *Cumulative Permitting Activity*, accessed at oilandgas.ohiodnr.gov/shale#SHALE, 10 September 2015. There were 1,565 Utica/Point Pleasant wells with a status of “drilled,” “drilling,” or “producing” and 29 Marcellus shale wells.

Production data for 2014 were obtained from Ohio Department of Natural Resources, Division of Oil & Gas Resources, *Oil & Gas Well Production*, accessed at oilandgas.ohiodnr.gov/production, 21 January 2016.

Oklahoma

Oklahoma requires drillers to report to FracFocus and does not maintain a separate list of fracked wells. We used FracFocus data. Water production data were not available.

Pennsylvania

Data for all unconventional wells with spud dates between January 2005 and June 2015 were obtained from Pennsylvania Department of Environmental Protection, *Oil and Gas Reports: SPUD Data Report*, www.portal.state.pa.us, 9 January 2016.

Data on water production in 2014 from Pennsylvania’s unconventional wells came from the Pennsylvania Department of Environmental Protection, *PA DEP Oil & Gas Reporting Website—Statewide Data Downloads by Reporting Period*, accessed at www.paoilandgasreporting.state.pa.us/publicreports/Modules/DataExports/DataExports.aspx, 9 January 2016. Our wastewater tally included “Drilling Fluid Waste,” “Fracing Fluid Waste” and “Produced Fluid.”

South Dakota

Only two wells have been hydraulically fractured in South Dakota in the past ten years (one in 2009 and one in 2011), per Lucy Dahl of the South Dakota Department of Environment and Natural Resources, Minerals and Mining Program, personal communication, 11 August 2015.

Water production information is not available for either of these wells.

Tennessee

Data on fracked wells in Tennessee came from Ron Clendening, Geologist, Oil & Gas Contacts, Division of Geology, Tennessee Department of the Environment and Conservation, personal communication, 8 July 2013 and 11 August 2015.

We were unable to obtain an estimate of wastewater production.

Texas

Texas began keeping track of fracking wells in February 2012. Separately, data are available on fracked wells from 2005 to 2009. To estimate the number of fracked wells in the intervening years, we relied on multiple data sources.

- 2005-2009: We assume that from 2005 through 2009, the bulk of fracking activity in Texas occurred in the Barnett Shale and was barely beginning elsewhere. A total of 8,746 new horizontal wells were drilled in the Barnett Shale from 2005 through 2009, per *Powell Barnett Shale Newsletter*, 18 April 2010, as cited in Zhongmin Wang and Alan Krupnick, *A Retrospective Review of Shale Gas Development in the United States*, Resources for the Future, 2013. The Eagle Ford Shale was first drilled in 2008 and by 2009 there were 107 producing oil and gas wells, per Texas Railroad Commission, *Eagle Ford Information*, accessed at www.rrc.state.tx.us/eagleford, 3 September 2013.
- 2010: Nearly 40 percent of wells drilled in 2010 were fracked using more than 100,000 gallons of water, per Table 7 of Jean-Philippe Nicot et al., Bureau of Economic Geology, Jackson School of Geosciences, University of Texas at Austin, for the Texas Water Development Board, *Current and Projected Water Use in the Texas Mining and Oil and Gas Industry*, June 2011. We multiplied 39.7 percent times the 8,133 “new drill dry/completions” in 2010, per Railroad Commission of Texas, *Summary of*

Drilling, Completion and Plugging Reports, accessed at www.rrc.state.tx.us/data/drilling/drillingsummary/index.php, 19 July 2013.

- January 2011 through January 2012: We calculated the number of fracking wells in this period by multiplying the number of wells drilled by an estimate of the percentage of those wells that were fracked. The number of “new drill dry/completions” came from Railroad Commission of Texas, *Summary of Drilling, Completion and Plugging Reports*, accessed at www.rrc.state.tx.us/data/drilling/drillingsummary/index.php, 3 September 2013. We interpolated between 2010 and February 2012 using the percentage of wells that were fracked using the 2010 estimate of 39.7 percent, described above, and the percent fracked from February 2012 to April 2013. Beginning in February 2012, drilling companies in Texas have been required to report their drilling activities to FracFocus. 14,762 wells were fracked in Texas in that period that used more than 100,000 gallons of water. This number of wells equals 75 percent of all “new drill dry/completions” in the same period in Railroad Commission of Texas, *Summary of Drilling, Completion and Plugging Reports*, accessed at www.rrc.state.tx.us/data/drilling/drillingsummary/index.php, 3 September 2013.
- From February 2012 through June 2015, FracFocus lists 37,788 high-volume frack jobs.

Texas does not track water production.

Utah

We counted the number of high-volume fracked wells in Utah using a combination of FracFocus data and information from the state.

Utah has required reporting to FracFocus since November 2012. We counted the number of high-volume fracked wells from November 2012 through June 2015.

For earlier data, we counted horizontally or directionally drilled wells with completion dates

from January 2005 through October 2012 in Department of Natural Resources, Utah Division of Oil, Gas & Mining, *Wells Completed*, oilgas.ogm.utah.gov/Data_Center, 21 September 2015. It is likely that all horizontally or directionally drilled wells have been fracked, per Brad Hill, Permitting Manager, Utah Division of Oil, Gas & Mining, Department of Natural Resources, personal communication, 21 September 2015. We excluded coalbed methane wells.

We were unable to obtain single-year data on produced water.

Virginia

We counted all wells of type “horizontal gas” or “horizontal gas w/ PL” with completion dates between January 2005 and June 2015, listed by the Virginia Department of Mines, Minerals, and Energy Division of Gas and Oil Information System, Drilling Report, accessed at www.dmme.virginia.gov, 14 September 2015.

We were unable to obtain data on produced water in Virginia.

West Virginia

We combined data from three sources to estimate the number of high-volume fracked wells in West Virginia.

We counted 1,231 completed permit records from January 2005 to December 2011 for drilling targeting the Marcellus Shale, data downloaded from West Virginia Department of Environmental protection, *Oil and Gas Well Search*, apps.dep.wv.gov/oog/wellsearch_new.cfm, accessed 22 September 2015. Per Melanie Hinkins, Permitting Technician, Department of Environmental Protection, Office of Oil and Gas, we can assume that all wells drilled in the Marcellus Shale have been fracked and that all pre-2012 fracking has primarily occurred in the Marcellus Shale, personal communication, 22 September 2015. We excluded wells marked with status “never drilled.” This count may include low-volume wells. In 2012, West Virginia mandated the reporting of all horizontally drilled wells—defined as “any well site, other than a coalbed methane well, drilled

using a horizontal drilling method, and which disturbs three acres or more of surface, excluding pipelines, gathering lines and roads, or utilizes more than two hundred ten thousand gallons of water in any thirty day period.” Data on these “H6A” issued permits came from West Virginia Department of Environmental Protection, Office of Oil and Gas, *22-6A Permit Issuance Monthly Report*, accessed at www.dep.wv.gov/oil-and-gas/Horizontal-Permits, 11 September 2015. Because this definition is narrower than parameters applied to other state counts, it likely results in an undercount of high-volume fracked wells.

In July 2013, West Virginia began requiring reporting to FracFocus. We counted 692 fracked wells that used more than 100,000 gallons of water from July 2013 through June 2015.

Wyoming

Our count of fracked wells in Wyoming relies on completed well data from the Wyoming Oil and Gas Conservation Commission website database, accessed 21 September 2015 at wogcc.state.wy.us/online_stats_bk/main_menu.cfm. We included all horizontal oil wells in Wyoming and gas wells (any drilling configuration) in Sublette and Sweetwater counties with completion dates between January 2005 and June 2015. Horizontally-drilled oil wells likely have been hydraulically fractured, but directional and vertical oil wells likely have not, per Frank Ingham, Engineer with the Wyoming Oil and Gas Conservation Commission, personal communication, 21 September 2015. Gas wells in Sublette and Sweetwater counties have been hydraulically fractured, but the fracking status of gas wells in other counties is not known.

We were able to obtain wastewater production data by county, and reported production for Sublette and Sweetwater counties only, because the vast majority of wells in those counties are fracked.

Methane Emissions

Methane emissions were calculated based on well completions by state in 2014. The number of wells completed in each state, calculated as

described in the previous section, was multiplied by estimated methane emissions from well completion. We assumed 85 percent of gas is vented, not flared, during completion, and that methane emissions are 115,000 kilograms per completion, per James Littlefield et al., “Using Common Boundaries to Assess Methane Emissions,” *Journal of Industrial Ecology*, doi: 10.1111/jiec.12394, 14 January 2016.

The EPA data on which this element of the Littlefield et al. calculation is based assumes the same emission rate for well completions and workovers, per U.S. Environmental Protection Agency, *Greenhouse Gas Emissions Reporting from the Petroleum and Natural Gas Industry: Background Technical Support Document*, 2011, 88. EPA estimates the amount of natural gas in flowback water is 9,175 Mcf per well, based on studies suggesting natural gas in flowback water could be as low as 700 or as high as 20,000 Mcf.

Our estimate has two limitations of note. First, it does not include methane emissions from pipelines, compressor stations, and condensate tanks, or carbon dioxide emissions from equipment used to produce gas. Second, it may not accurately reflect emissions from fracked shale wells that produce oil rather than gas. The data we obtained on well completions do not distinguish between wells fracked for oil versus gas and therefore we have chosen to apply this estimate for unconventional gas wells to all wells. In 2013, we spoke with two experts in the field who believed at the time that, given the lack of better data on emissions from oil wells, it was reasonable to assume that fracked oil wells had substantial methane emissions. We made that same assumption in this calculation because better data were not available.

Land Disturbed

Estimated landscape impacts are the result of calculating the number of single-well pads versus multi-well pads, estimating the acres affected by each type of pad, and adding in an assumption about acres affected for support infrastructure.

Information on the number of wells that have been drilled on single-well pads versus multi-well pads came from Kevin Thuot, DrillingInfo, *On the Launch Pad: The Rise of Pad Drilling*, 4 February 2014, archived at web.archive.org/web/20160210231226/http://info.drillinginfo.com/launch-pad-rise-pad-drilling/. That source provides quarterly data for nine different shale plays. We used the highest quarterly estimate of the percent of wells drilled on multi-well pads from 2013 from each play, a conservative decision for two reasons. First, some plays experienced large quarterly variations in the number of wells drilled on multi-well pads, and second, a smaller percentage of wells drilled before 2013 were on multi-well pads. For states that tap multiple plays or for states that tap a play not listed by our source, we made a conservative estimate.

We assumed multi-well pads hold two wells each in Ohio, Pennsylvania, Virginia and West Virginia, per New York State Department of Environmental Conservation, *Final Supplemental Generic Environmental Impact Statement on the Oil, Gas and Solution Mining Regulatory Program: Regulatory Program for Horizontal Drilling And High-Volume Hydraulic Fracturing to Develop the Marcellus Shale and Other Low-Permeability Gas Reservoirs*, April 2015, 6-78. Wells in other states are assumed to hold an average of four wells per multi-well pad, per Richard Mason, DrillingInfo, *The Next Evolutionary Step in Pad Drilling*, 5 February 2015, archived at web.archive.org/web/20160212001520/http://info.drillinginfo.com/pad-drilling-2-point-0/.

We assume single-well pads are four acres in most states, based on estimates from multiple sources that well pads cover three to five acres. See, for example, Chesapeake Energy, *Shale Operators Overview* (powerpoint), no date, archived at web.archive.org/web/20160215212754/https://jfs.ohio.gov/owd/Initiatives/Docs/Chesapeake-Ohio-Basic-Drilling.pdf; and WPX Energy, *WPX Working on a 36-Well Pad in the Piceance* (press release), no date, archived at web.archive.org/

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Multi-well pads are assumed to cover seven acres, per Brian Hicks, Energy & Capital, *Multi-Well Pad Will Sink OPEC*, 13 December 2012, archived at web.archive.org/save/http://www.energyandcapital.com/articles/multi-well-pad/2892. This is lower than the estimate in Erik Bauss, "Modern Well Development Technology Produces Big Time Environmental Benefit for Michigan," *EnergyInDepth*, 24 October 2012, archived at [web/20160212002525/http://energyindepth.org/national/modern-well-development-technology-produces-big-time-environmental-benefits-for-michigan/](http://web.archive.org/web/20160212002525/http://energyindepth.org/national/modern-well-development-technology-produces-big-time-environmental-benefits-for-michigan/).

Other infrastructure, such as roads and pipelines, are assumed to affect an additional 5.7 acres per pad in states tapping into the Marcellus Shale, per New York State Department of Environmental Conservation, *Final Supplemental Generic Environmental Impact Statement on the Oil, Gas and Solution Mining Regulatory Program: Regulatory Program for Horizontal Drilling And High-Volume Hydraulic Fracturing to Develop the Marcellus Shale and Other Low-Permeability Gas Reservoirs*, April 2015, 6-77. In all other states, other infrastructure was assumed to disturb 4.75 acres, per U.S. Department of the Interior, Bureau of Land Management, Colorado State Office, Northwest Colorado Office, White River Field Office, *Draft Resource Management Plan Amendment and Environmental Impact Statement for Oil and Gas Development*, August 2012.

Water and Chemical Use

Assembling the Data

FracFocus.org offers the most comprehensive database of water and chemicals that have been used in frack jobs. The website is run by the Groundwater Protection Council, a non-profit association of state regulators of oil and gas drilling, and the Interstate Oil and Gas Compact Commission, a multi-state association.¹²¹ The site itself is funded by the oil and gas industry.¹²²

FracFocus.org has significant drawbacks:

- Companies enter the data themselves directly, and are not subject to verification or validation of their entries.¹²³
- Companies are allowed to withhold information on chemicals used in fracking by classifying them as trade secrets.¹²⁴
- Reporting to the database is not mandatory in all states, and in states where it currently is mandatory, reporting didn't begin until years after fracking started.

Nevertheless, it is the best and most complete data available. In early 2015, for the first time, FracFocus made some of its data available for bulk download.¹²⁵

To assemble the data for this report, several vintages of FracFocus data had to be accessed and brought together in a single database. When FracFocus launched in January 2011, disclosure forms were submitted on paper or via electronic PDF, and were neither submitted nor later entered into the system in a machine-readable format. This format was called "FracFocus 1.0."¹²⁶

Starting in November 2012, a new format, called "FracFocus 2.0," was made available for data entry. That included chemical data in machine-readable format, but was not the mandatory submission format until May 31, 2013.¹²⁷ Only after May 31, 2013, was "FracFocus 2.0" the sole format for submitting disclosure data.¹²⁸

As a result, the bulk-downloadable data available from FracFocus included only summary information (without chemical disclosure) for records submitted in the "FracFocus 1.0." For data submitted in "FracFocus 2.0" format, the chemical disclosure data were available in the bulk download.¹²⁹

To include in our analysis the data submitted in the "FracFocus 1.0" format required the incorporation of data released by the U.S. Environmental Protection Agency, which in March 2015 published an analysis of all the "FracFocus 1.0" data, as provided to the agency directly by FracFocus.¹³⁰

The EPA conducted quality-assurance processes, but not real-world-comparison data validation, before releasing the data used in its analysis to the public.¹³¹

FracFocus.org Data

We downloaded a bulk data package from FracFocus.org in September 2015. The three data files were processed through Microsoft SQL Server software according to the instructions provided on the FracFocus website and archived at web.archive.org/web/20150520202149/http://data.fracfocus.org/DigitalDownload/FracFocus-SQLtoAccess.pdf.

The three data files were joined according to the instructions provided on the FracFocus website and archived at web.archive.org/web/20150520202248/http://fracfocus.org/data-download, though at one point we used a "left join" instead of an "inner join" to avoid losing data.

U.S. Environmental Protection Agency Data

The EPA-processed data from the "FracFocus 1.0" format were downloaded from www2.epa.gov/hfstudy/epa-project-database-developed-fracfocus-1-disclosures on 6 May 2015.

The "QAWell" table and "QAIngredient" table in the EPA data were queried to assemble all records of all wells and the ingredient information associated with those wells.

Determining Chemical Use at Fracked Wells

The FracFocus and EPA data have multiple records for each frack job, detailing each chemical used in that job. In total, the data for 142,000 frack jobs at 100,000 wells were located in 3.5 million records.

We selected frack jobs begun between January 1, 2005 and June 30, 2015, and then took several measures to ensure reliable data.

In Step 1, we excluded records that listed a chemical on a frack job's reporting form but no ingredient concentration in the final composition of the fracking fluid.

For Step 2, we wanted only reliable records regarding base fluid water usage, the primary component of fracking fluid that carries sand and chemicals. We excluded records with either too much or too little base fluid water concentration. Specifically, we excluded records from frack jobs where:

- the base fluid water maximum concentration was indicated in the data as exceeding 101 percent of the job's fracking fluid, or
- the base fluid water maximum concentration was indicated in the data as being less than 50 percent of the job's fracking fluid.

In Step 3, we looked for reliable records regarding ingredient usage. FracFocus requires reporting of each ingredient's *maximum* percentage concentration in fracking fluid. If a fracking fluid's composition was significantly modified during the course of a fracking job, all the ingredients' maximum concentrations, when added together, could, therefore, exceed 100 percent. Using these numbers would likely result in overestimates of chemical amounts.

If a report was incomplete, the sum of the disclosed ingredients' maximum concentrations could be far below 100 percent. With no way to know the concentrations of the missing ingredients, we assumed they, if reported, could potentially bring the total above 100 percent. Using these numbers, therefore, could result in overestimates of the amounts of those chemicals that were reported.

To ensure we were basing our analysis on fracking fluids whose composition was completely reported and substantially uniform throughout the entire frack job, we excluded records from frack jobs where:

- the total component maximum concentration was indicated in the data as exceeding 101 percent of the job's fracking fluid,¹³² or

Table M-1. Quantifying Data Validation Exclusions

Source	Starting Point: All records for frack jobs at all wells	Step 1: Records with nonzero ingredient concentration in HF fluid	Percent kept	Step 2: Records within reasonable water base fluid concentration limits	Percent kept from previous step	Step 3: Records within reasonable ingredient concentration limits	Percent kept from previous step	Percent kept overall
EPA – FracFocus 1.0	1,169,023	927,525	80%	828,103	89%	776,487	94%	66%
FracFocus bulk download (FracFocus 2.0)	2,338,564	2,249,300	98%	2,116,919	94%	1,873,857	89%	80%
Total	3,507,587	3,176,825	92%	2,945,022	93%	2,650,344	90%	76%

- the total component maximum concentration was indicated in the data as being less than 95 percent of the job's fracking fluid.¹³³

These steps left for analysis 2.65 million records from 81,000 frack jobs at 78,000 wells. Our analysis, therefore, was conducted on 76 percent of available records, describing 57 percent of frack jobs at 78 percent of wells, suggesting that the estimates of chemical use in this report likely significantly understate total chemical use in fracking.

As Table M-1 shows, 80 percent of the records from the FracFocus bulk download had valid data usable in this analysis. And following EPA's data quality efforts, 66 percent of the data downloaded from the EPA were usable.¹³⁴ This may be because the EPA's analysis depended first on converting PDF files into a machine-readable database format, a process that can easily introduce errors, such as by placing data values in incorrect fields.

Calculating Chemical Amounts

To calculate the amount of each chemical used, we first calculated each frack job's total mass. The source data included both gallons of water used and the percentage by mass of water in the fracking fluid as a whole. As the mass of a gallon of water is a known value, this allows determination of the total mass of the fracking fluid.

Records detailing water use were those where the Chemical Abstracts Service Registry number was 7732-18-5, or where the trade name, purpose or ingredient name included the words "water," "base," "carrier," "H2O" and the like. The exact list of criteria is available upon request. Many fracking jobs had multiple such records, because water is an ingredient in many fracking additives. For example, in 15 percent hydrochloric acid, the remaining 85 percent is water.

Many fracking jobs, however, also had multiple records indicating substances used in the "base fluid" or "carrier fluid." Using only the larger of these to calculate the total mass would result in an erroneously high mass result, which would in

turn lead to erroneously high chemical amounts. To ensure a conservative result for the total mass calculation, we used the sum of all percentage by mass for water records.

For data on chemicals, rather than water, we made the following assumptions:

- We assumed that the listed name of the chemical correctly identifies the chemical. We did not check the CAS number against the listed name of the chemical in the FracFocus database.
- Some chemicals, such as hydrochloric acid, are solutions that include water. Because of how the data are reported in FracFocus, the water component could not be systematically determined and thus data reported in this paper for those chemicals may incorporate water used for dilution.
- Fracking operations may use ceramics and other synthetic materials in addition to or in place of sand, but do not consistently identify them as solid proppants as opposed to liquid substances. Our tally of chemicals includes some of these solid proppants.

For each fracking job, each ingredient's percentage by mass was multiplied by the job's total mass, to arrive at a mass of that ingredient in that job. Chemical masses were then added across fracking jobs, by state, to arrive at a total of each chemical used in fracking.

This method is the same as that used by media organizations in Texas and Ohio to determine amounts of chemicals used in fracking wells in those states.¹³⁵

This method makes four key assumptions:

- It assumes that the quantity listed in the base fluid amount is, in fact, for water. In FracFocus 1.0 data submissions this field had varying labels, referring to "base," "fluid" or "water," with operators asked to identify whether the base fluid was water or something else.

In 93 percent of records in FracFocus 1.0, the base fluid was water.¹³⁶ The assumption that this field referred to water was used by the U.S. Environmental Protection Agency when calculating cumulative water volumes.¹³⁷ In FracFocus 2.0 data, this distinction is clearer: One field is labeled “TotalBaseWaterVolume” and another is labeled “TotalBaseNonWaterVolume.” Our analysis used the “TotalBaseWaterVolume” field for calculating the total job mass.

- It assumes the value given for the concentration of the chemical ingredient in the total hydraulic fracturing fluid in percentage by mass remained constant throughout the frack job. Companies may vary their chemical compositions over the course of a frack job. However, FracFocus only asks them to report the maximum concentration, meaning there is no way to identify periods of time when the concentration might have been below that maximum level.

- It uses only the field indicating the percentage of the chemical ingredient in the overall fracking fluid. Another field provides the percentage of the chemical ingredient in an additive—if an acid is in solution, for example, it would say the solution was 50 percent acid. The labeling on the FracFocus forms and in its documentation is clear that these two fields are unrelated and do not need to be factored together.
- It assumes the water used in fracking jobs is fresh, weighing 8.33 pounds per gallon.¹³⁸ Fresh water was selected because it is the most conservative assumption: If this model had assumed brackish water was used, the amounts of chemicals would have been higher, by 0.03 percent.¹³⁹

The chemical totals we use in this report are the sums of the data reported in FracFocus and EPA databases; the results are not extrapolated to represent any additional wells.

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Exhibit 3

Hazardous Air Pollutants from Oil and Gas Development in the United States

A Systematic Review of the Peer-Reviewed Literature from 2012-2015

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About PSE Healthy Energy, Inc.

PSE Healthy Energy is a non-profit energy science and policy research institute dedicated to supplying evidence-based, scientific and technical information and resources on the public health, environmental and climate dimensions of energy production and use. Our work predominantly focuses on unconventional oil and gas development, renewable energy, and energy storage. The mission of PSE Healthy Energy is to bring scientific transparency and clarity to energy policy discussions, helping to level the playing field for citizens, advocacy groups, the media, agency staff, and elected officials by generating, translating, and disseminating scientific information. No other interdisciplinary collaboration of physicians, scientists, and engineers exists to focus specifically on health and sustainability at the intersection of energy science and policy.

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1. Introduction

1.1. Overview

The current body of scientific literature suggests that upstream oil and gas development processes emit numerous air pollutants including hazardous air pollutants, methane, particulate matter (PM), aromatic hydrocarbons, aldehydes, and nitrogen oxides, many of which are also precursors to tropospheric ozone. Studies suggest that emission rates, absolute mass and subsequent atmospheric concentrations of air pollutants vary by compound, and may differ across geographic space and by oil and gas development operator. Risks of adverse human health outcomes depend upon the toxicity of each HAP individually and synergistically with respect to exposures to multiple pollutants as well as the concentration, variability and route of exposure of those pollutants. HAPs carry particular risks due to their potential for multiple negative human health effects.

Hazardous air pollutants (HAPs) are atmospheric pollutants known or suspected to cause cancer or other serious health effects. There are currently a total of 187 air pollutants listed for regulation as HAPs under the Clean Air Act, 42 U.S.C. § 7412(b)(1). A number of HAPs have been measured and monitored for near oil and gas operations as primary pollutants; however, some HAPs – including formaldehyde and acetaldehyde – are also secondary pollutants formed from emissions of precursor compounds in the atmosphere.¹ In studies of oil and gas development, various HAPs are found in ambient air in and near airsheds that contain oil and natural gas development. The HAPs most frequently observed and attributable to oil and gas development include benzene, toluene, ethylbenzene and xylene (BTEX), and formaldehyde, although these are also the most frequently monitored and as such these observations may be biased.² During the oil and gas development process, potential sources of HAP emissions occur from point, mobile, fugitive, or area sources.

This report is a systematic review of the scientific literature on hazardous air pollutant (HAP) emissions and atmospheric concentrations attributable to oil and natural gas development within the United States published between October 1, 2012 and October 31, 2015. We report findings on the sources, magnitudes, and patterns of HAP emissions, resultant atmospheric concentrations, and available information on human exposures and health risks and impacts. The goal of this report is to provide a clear understanding of the state of the scientific literature on this topic to inform future research priorities and to assist in policy and regulatory decisionmaking.

2. Background Information on Hazardous Air Pollutants Attributable to Oil and Gas Development

2.1. Emission Sources

Although the processes and equipment may vary from one well and associated infrastructure site to another, the general phases of oil and gas development remain the same. In general terms, phases include the following: (1) well site preparation; (2) well

spudding and drilling; (3) well stimulation and/or enhanced oil recovery; (4) well completion; (5) hydrocarbon production; and (6) well plugging and abandonment. Although these phases usually occur in this order, it is not uncommon for wells to undergo well stimulation or enhanced oil recovery several times prior to being plugged and abandoned. Each of these phases is associated with several processes that utilize a variety of equipment that are known to be potential HAP emission sources.

2.2. Approaches to Air Quality Monitoring

2.2.1. Top-down and Bottom-up Monitoring

Top-down air pollution measurements are ambient measurements designed to assess emissions at a regional level. Studies using top-down monitoring methods tend to focus on greenhouse gas and ozone precursor emissions over a large geographic area that includes multiple wells pads and other potential sources, but some top-down studies have also assessed emissions and atmospheric concentrations of benzene, formaldehyde and other HAPs.³⁵ Top-down measurements can be collected via flyovers, tethered balloons, and towers, as well as a single fixed ground monitor or an existing network like the Texas Commission on Environmental Quality (TCEQ) monitoring network, a monitoring system consisting of 102 monitoring sites across Texas designed to monitor regional air quality including 146 hazardous air pollutants. Bottom-up measurements consist of ground-level air quality data that aim to characterize emissions from a specific source such as a single well pad, condensate tanks, or compressor stations, which can then be used to extrapolate aggregate emissions or estimate health risks.

Alternatively, studies that employ bottom-up monitoring methods utilize a variety of monitoring devices including fixed summa canisters, mobile laboratories, and passive air badges that can be collected by air quality researchers, occupational workers, or community members. The collection of local-level emissions, aggregated to predict regional-level emissions can provide insightful information about potential sources; however, this method can be limited by well site access and infrastructure that is often not a problem during top-down emission monitoring.

2.2.2. Direct and Indirect Measurement Approaches to HAP Exposures

Direct HAP exposure measurements collect air pollutant concentration data on or near a potential receptor (e.g., a house, school, or a person) via personal monitoring, biological monitoring, or biomarkers. These methods allow for more fine-scaled exposure assessments by characterizing pollutants at the receptor prior to uptake (personal monitoring) or within the body (biological monitoring and biomarkers of exposure). Indirect air quality measurements can be collected at various locations around the source without the presence of a receptor (e.g., a house, school, or other area where people congregate or otherwise may be exposed).

When compared to direct monitoring, indirect monitoring provides less accurate measurement of exposure, however, it provides researchers with less resource-intensive monitoring options. In the absence of both direct and indirect monitoring, emission

estimates can be extrapolated from preexisting datasets. In oil and natural gas emissions research, emissions estimates have been used for both bottom-up and top-down emissions monitoring approaches.

2.3. Health Risks

HAPs are associated with multiple cancer and non-cancer health outcomes and have been measured at levels near oil and natural gas development that exceed health-based standards. A literature review by Colborn et al. (2011) found multiple chemicals identified during gas development operations that are known mutagens, carcinogens, or irritants, affecting skin, eyes, and other sensory organs, as well as the respiratory and gastrointestinal systems.³ In 2012, McKenzie et al. estimated health risks for exposures to 78 hydrocarbons measured at various distances from residents.⁴ The researchers found that residents that live within half a mile from active gas development infrastructure during well completions were at greater risk for health effects than residents living further than half a mile from these operations. Compared to those living further, residents living within half a mile from a well had at least a 1.67 times higher cancer risk and a 2.5 times higher hazard index (HI). This increase in risk and hazard equates to a 6 in a million compared to 10 in a million cancer risk and HI of 0.4 and 1, respectively.

In a community-based participatory research study, community members in Park County, Wyoming collected the highest ambient concentrations of BTEX 25 meters from a separator.⁵ In these samples, benzene and xylene reached 110,000 $\mu\text{g}/\text{m}^3$, toluene reached 270,000 $\mu\text{g}/\text{m}^3$, and ethylbenzene reached 17,000 $\mu\text{g}/\text{m}^3$. Benzene was roughly 4,000x higher than the acute CalEPA reference exposure limit (REL), 3,800x over the ATSDR acute minimal risk level (MRL), approximately 680x over OSHA's general industry permissible exposure limit (PEL) for maximum peak above ceiling (10 minutes), and exceeded IRIS cancer risks (1/10,000).⁵ Toluene, ethylbenzene, and xylenes, also exceeded ATSDR MRLs at the chronic, intermediate, or acute levels. Pollution logs recorded with this sample described several acute symptoms including tightness in the neck, sore throat, burning eyes, and headache. Furthermore, samples collected five meters from a compressor station identified elevated benzene concentrations of 35 $\mu\text{g}/\text{m}^3$, which exceeds chronic, intermediate, and acute ATSDR MRLs and the EPA IRIS excess cancer risk (1/100,000). Formaldehyde was measured as far as 790 meters from a compressor station at elevated levels (61 $\mu\text{g}/\text{m}^3$) that exceeded the chronic, intermediate, and acute ATSDR MRLs and EPA IRIS excess cancer risk (1/10,000).

Occupational studies have identified occupational exposure risks near hydraulic fracturing fluids and natural gas liquid storage tanks¹¹ and from open air pits under certain modeling scenarios that exceeded NIOSH RELs. Furthermore, benzene concentrations downwind of the tanks and in worker break areas were also elevated, suggesting that elevated occupational hazards at well pads may be indicative of elevated nearby community exposures as well.

Pregnant women and children are particularly vulnerable to HAP and other air pollutant exposures. Multiple studies have found an association between a range of adverse effects of air pollutants on children's respiratory symptoms and lung function. A growing body

of research suggests proximity and density of oil and gas development is associated with various adverse birth outcomes including low birth weight, small for gestational age, and increased risk for preterm birth.^{6–8} Additional preliminary research has reported similar findings between proximity and density of oil and natural gas development and adverse birth outcomes.^{9,10}

3. Methods: Systematic Review of Hazardous Air Pollutants in the Oil and Gas Development Sector

All studies included in this report were gathered from three online databases including: (1) Web of Science, (2) PubMed, and (3) the near exhaustive PSE Healthy Energy Database on Shale and Tight Gas Development (accessible at: <http://www.psehealthyenergy.org/site/view/1180>). We conducted a search on both the Web of Science and PubMed databases to identify scientific papers related to hazardous air pollutant (HAP) emissions from all upstream¹ oil and gas development published between October 1, 2012 and October 31, 2015 within the United States. A list of our keyword search terms is available in Appendix A.

Our initial search yielded 529 journal articles in Web of Science (limited to US only) and 250 journal articles in PubMed. Studies that potentially focused on HAP emissions related to upstream oil and gas development in the United States were selected based on titles and abstracts to yield 53 journal articles from Web of Science and 19 from PubMed.

After thoroughly reading the content of the remaining studies, we then eliminated articles if they met the following criteria:

- (1) The study did not provide emissions estimates, direct measurements, and/or indirect measurements of HAP concentrations in ambient air².
- (2) The study was not explicit to the up-stream oil and gas development process.
- (3) The journal and/or article were not accessible.

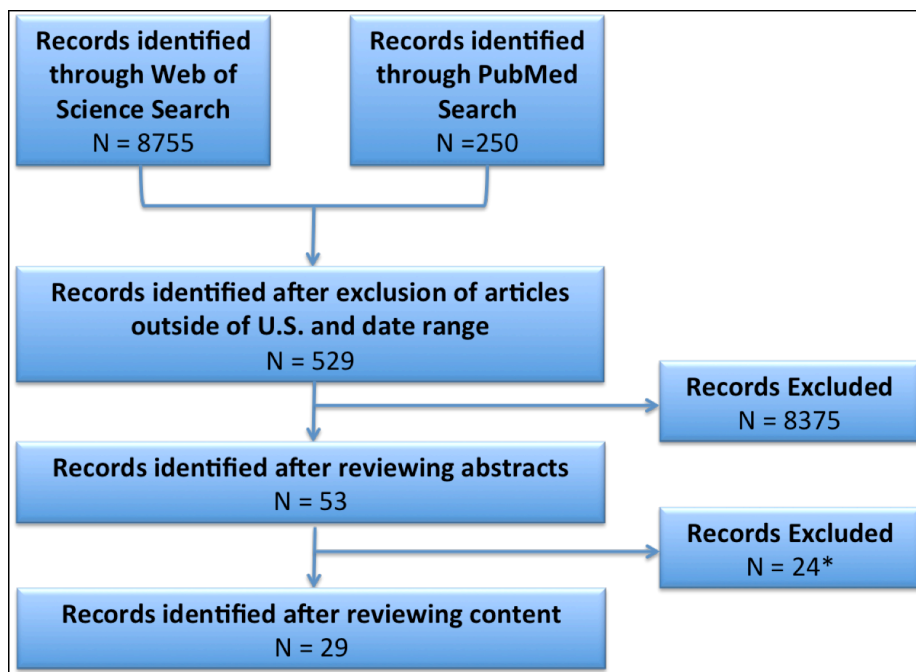
After eliminating the remaining journal articles based on the previously listed criteria, and deleting duplicates between the two databases, 29 articles remained. The remaining 29 articles were compared against the near-exhaustive database of peer-reviewed literature on shale and tight gas development compiled and maintained by the energy science and policy institute, PSE Healthy Energy. From this comparison, we included

¹ Upstream oil and natural gas activities include all exploration and production activities including site exploration and pad preparation, drilling, stimulation, completion, production, plugging, and abatement. This does not include the transportation, storage, or wholesale of refined petroleum products.

² In the absence of individual HAP measurements, total volatile organic compounds (VOCs), total non-methane hydrocarbons (NMHC), or total polycyclic aromatic hydrocarbons (PAHs) were included as a surrogate measurement.

two additional journal articles that met our inclusion criteria. Figure 1 below shows a flowchart describing our literature inclusion and exclusion process. Please note that two more studies were included from consulting the PSE Healthy Energy Shale Gas Database as mentioned previously. For a full description of the systematic review process please see Appendix A.

Figure 1. Flowchart of literature inclusion and exclusion process



*One article was excluded because it could not be located beyond the abstract

4. Results of the Systematic Review

We identified a total of 31 peer reviewed journal papers, published between 1 October 2012 and 31 October 2015 that analyzed air quality degradation from oil and gas development due to emissions of HAPs within the United States. A list of these studies, their location, the HAP and HAP surrogates (e.g., total VOCs) measured, and their potential sources can be found in Appendix A.

Studies include analyses and/or estimates of HAP emissions and atmospheric concentrations from the oil and gas development process in ten different states and one district including: Arkansas, Colorado, Maryland, New York, Ohio, Pennsylvania, Texas, Utah, Washington DC, West Virginia, and Wyoming. Among our collected articles, the Marcellus Shale, located in the Northeastern United States, was the most measured

hydrocarbon development region, followed by the Barnett Shale in Texas and the Denver-Julesburg Basin in Colorado.

Of the 31 studies we reviewed, a total of 53 HAPs with CAS registry numbers and one metal (mercury)³ were examined. A variety of point sources were measured from specific equipment, during certain oil and natural gas development activities, and at various stages of development. The main point sources measured on and near well pads were both compressor stations and condensate tanks and their ancillary infrastructure. Several monitoring and analytical approaches were employed including, but not limited to tracer compounds, source apportionment, and principle components analysis to identify potential HAP sources from local and regional-level ambient air quality data.

From each of these studies, we extracted the most appropriate and probable emission source, where appropriate, in Appendix B. In some cases, the measured HAP was found at concentrations below the level of detection (LOD) for the sample and/or could not be linked to a probable source. Potential emission sources identified in the literature include equipment (e.g. dehydrators, condensate tanks, separators, etc.), activities (e.g. flashings, flaring, gauging flowback tanks, etc.), development stages (e.g. drilling, well stimulation, production, etc.), facilities (e.g. water treatment and recycling center, oil storage facility, gas processing plant etc.), and areas (e.g. dense oil and gas development regions). To streamline the organization of sources, we grouped the identified sources into larger, general categories, which are described in detail in the supplemental material.

Due to the heterogeneity of the data collection methods, reported units, and health standards, not all results were commensurable and comparable. Therefore, our review attempts to interpret the results in a format that can be easily assessed across methodologies. Appendix B provides a summary of these emissions and is separated by bottom-up and top-down measurements and emissions (see Appendix B for more details).

4.1. Description of HAP Emissions

We identified a total of 54 compounds from the US EPA HAP list that were reported in the peer reviewed literature included in this report. Of the 54 compounds assessed in the existing literature, 38 of these compounds were found at concentrations above the LOD of equipment and laboratories used. The remaining 16 HAPs listed in the reviewed literature were either: 1) not found at levels above the sampling LOD; or 2) the concentrations/results were not reported in the literature and, thus, we could not confirm the outcome. The majority of each of these HAPs was examined in only one study and therefore their association with oil and natural gas development should not be dismissed. For example, acrylonitrile was examined in one study, which sampled at the same location in Colorado over the course of one year.¹³ Although acrylonitrile was not found above the sample LOD (1 ppbv), concentrations below 1 ppbv may have been present. Furthermore, due to the variability of upstream oil and natural gas processes, results from this study should not be extrapolated to represent potential findings for other studies, at

³ The EPA's list of HAPs does not have a CAS registry number associated with mercury compounds.

other sites across Colorado, or around facilities in other states. A summary of these undetected HAPs and/or HAPs with no listed measurements are provided in Appendix C.

The most commonly monitored HAPs include BTEX and hexane. Benzene was the single most commonly monitored HAP, listed in 23 studies. Reported concentration units varied by study (e.g. ppb, ppm, weight fraction, micrograms per cubed meter, etc.) and thus not all studies were easily comparable. We, however, converted units of mass per volume and parts per billion (or million) by volume for the most commonly monitored compounds and provide the results in Appendix B. The highest recorded measured concentrations of BTEX compounds among the off-site studies were found in the Macey et al. (2014) and Brantley et al. (2015) near-source studies. Both studies found concentrations that exceeded health based standards.

The highest concentrations of BTEX were on a well pad site and attributable to component-level emissions in the Denver-Julesburg Basin (Brantley et al. 2015).¹³ Please see Appendix B for all values. Condensate thief hatches were one of the most frequently observed leak location and BTEX concentrations were similar to uncontrolled emissions measurements.

It is important to note that there is evidence that alkanes (e.g. methane, butane, ethane) and other compounds may act as primary precursors for formation of HAPs. For example, formaldehyde can be produced indirectly through photochemical oxidation of precursors that are released from combustion processes and, under certain conditions, indirect production of formaldehyde may be greater than direct emissions.^{14,15} In areas remote from hydrocarbon emissions, the oxidation of methane is the dominant source of formaldehyde.¹⁶ Studies that measure or estimate HAP emissions without accounting for light alkanes may underestimate the total impact of HAPs on human health.

This systematic review focuses on monitoring and estimating emissions and atmospheric concentrations of primary HAPs but does not include an in-depth discussion of HAP precursors. While central to the question of HAP formation and atmospheric concentrations, this has been a largely overlooked area of research.

4.2. Potential Sources of HAP Emissions

This section summarizes the various studies conducted on HAP measurements and/or estimates near oil and natural gas development identified from our database search. These studies include a collection of top-down and bottom-up measurements and estimates at the regional, local, and personal level. Not all reported HAPs were associated with a potential source; however, we included this information where appropriate. The following section describes emission sources by oil and gas development phase and associated equipment.

4.2.1. Phase Emissions

4.2.1.1. Well Drilling

In a hypothetical case study based on observed conditions in the shale gas development in Washington County, Pennsylvania, Brown et al. (2015) estimated 400 grams per minute of VOC emissions during a five-month drilling stage.¹⁷ These estimates exceed all other stages including hydraulic fracturing, flares and finishing, producing well pad, and compressor station, except estimated emissions from processing stations. Emissions were characterized as frequent episodes of low to moderate VOC exposures with instances of extreme exposures; emission patterns that are consistent with residents' reports of periodic odors and health effects. Peak values, defined as two standard deviations above the mean concentration value, were estimated to occur approximately 26 times during a five-month drilling stage with a maximum 6-hour peak VOC value of 465. These estimates are reflective of Colborn et al. (2014), which found the most chemical detections during the first four-months of drilling;¹² however, mobile monitoring from a subsequent study did not find elevated levels of VOCs.¹⁸

In Caulton et al. (2014), researchers found significant emission of methane during the drilling phase of natural gas wells in Pennsylvania.¹⁹ The theory promulgated by the researchers was that the gases were sourced from drilling through intermediate geological formations, such as coal seams on the way down to the target geological formation (the Marcellus Shale). While Caulton and colleagues only sought to quantify methane emissions, it is possible that drilling may also be a source of associated VOCs and HAPs if they are co-located and mixed with the methane in the subsurface.

4.2.1.2. Well Stimulation

Over a 15-day period, Brown et al. (2015) estimated VOC emissions from the hydraulic fracturing phase to reach 160 grams per minute with a maximum 6-hour peak VOC value of 186.¹⁷ Within the reviewed literature, we did not identify any studies that measured HAP emissions directly from the well stimulation phase, however; we did find studies that measured oil and natural gas development over extended periods (including the well stimulation phase) or in regions where well stimulation occurred concurrently with wells in various phases of development. For example, Colborn et al. (2014) conducted weekly sampling in the same location over the course of a year to include air quality samples before, during, and after development of a natural gas well pad.¹² In this study, the researchers found that peak emission events did not coincide with the well stimulation phase unless drilling at other wells sites also occurred simultaneously (possibly contributing to the peak concentrations). At the regional level, Swarthout et al. (2015) measured methanol, acetaldehyde, and acetic acid at elevated mixing ratios near oil and gas development, which were identified as possible additive compounds used during well stimulation phases.²⁰

4.2.1.3. Well Completions

Operational venting of natural gas hydrocarbons that are not processed for the market may be mitigated using flares to reduce harmful greenhouse gases. Despite the benefits, flaring can release a large number of VOCs into the air, including HAPs. Additionally, non-operational leaks at the wellhead may emit multiple chemicals at concentrations well above normal development processes. Brown et al. (2015) estimated 300 grams per minute of VOC emissions during a five-month flaring and finishing stage.¹⁷ A total of 30

peak values were estimated during a five-month flaring and finishing stage with a maximum 6-hour peak VOC value of 349. Point source measurements of a “rusty” wellhead found elevated levels of BTEX, hexane, styrene, and 2,2,4-trimethylpentane; these levels were significantly lower than concentrations from nearby condensate tanks.¹³ Wellhead gas leaks have been found to emit significantly high concentrations of multiple chemicals above short-term health air monitoring comparison values including benzene and ethylbenzene concentrations of 15,000 ppbv, xylenes and toluene around 20,000 ppbv, and hexane over 300,000 ppbv.²¹

4.2.1.4. Oil and Gas Production

During the production phase, natural gas is collected from the well and processed for use. Various stages, from raw to processed liquid and/or natural gas hydrocarbons, may be stored at the well pad or processing plant in preparation for commercial distribution. Researchers estimated 25 individual peak emission episodes with a maximum 6-hour peak VOC value of 435 over a three month production period.¹⁷ These 6-hour peak values were higher than estimated values for the hydraulic fracturing phase, the flaring and finishing phase, and the compressor stations. Regional production phase estimates of VOCs in the Marcellus Shale for the year 2009 were 0.9 and 4.0 tones per well for dry and well development wells, respectively.²²

4.2.1.5. Oil and Gas Processing

To meet purification standards, natural gas is processed through the separation of both naturally occurring and additive compounds including non-methane hydrocarbons, hydrogen sulfide, natural gas liquids, and other impurities. The purification process may occur at the well pad or at a processing plant. Using an estimated 1,500 grams per minute of VOC emissions from a processing station, a 450 maximum 6-hour peak and a total of 99 peak values of VOCs were estimate over the course of a year.¹⁷ These 6-hour peak values are higher than all other phases, with the exception of the drilling phase.

4.2.2. Potential Equipment and Activity Sources

4.2.2.1. Compressors

Natural gas-fired compressors used to raise and maintain gas pressure emit various VOCs. Wellhead compressors are used more often on aged wells to raise the pressure of the produced gas required in the gathering line, while compressor stations maintain gas pressure in gas transmission lines. In the table below, we summarize HAP emissions from the studies that included emission estimates from compressors.

HAPs Estimated ⁴	HAPs Detected ⁵	Study

⁴ HAPs included in this column were estimated via non-field sampling.

NA	BTEX, hexane, styrene, formaldehyde, 1,3-butadiene, carbon disulfide, propionaldehyde, acetaldehyde, 2,2,4-trimethylpentane	Zielinska et al. (2014)
VOCs	NA	Roy et al. (2014)
NA	Mercury	Lan et al. (2014)
NA	BTEX, formaldehyde, hexane, toluene, 1,3-butadiene	Macey et al. (2014)
NA	BTEX	Rich et al. (2014)
VOCs	NA	Brown et al. (2014)

Research Summary. Using an estimated 300 grams per minute of VOC emissions from a hypothetical compressor station in Pennsylvania, a 169 maximum 6-hour peak and a total of 118 peak values of VOCs were estimated during a 1-year producing phase.¹⁷ In this hypothetical scenario, when compared to all other stages (drilling, hydraulic fracturing, flaring, producing, and production), compressor related emissions are associated with the most number of peak values over a given timeframe. Regional emission estimates using a process-level inventory in the Marcellus Shale reported a total of 1.0 tons of VOCs per billion cubic feet emitted from compressor stations.²² It is unknown what fraction of these VOCs are HAPs.

Symptom-driven sampling (a proxy for possible peak emission events) prompted grab and passive samplers deployment in Arkansas and Pennsylvania.⁵ These samples detected elevated levels of several compounds within 790 meters of a compressor stations including BTEX, hexane, toluene, formaldehyde, and 1,3-butadiene. Further field samples near compressor stations detected additional compounds including styrene, propionaldehyde, 2,2,4-trimethylpentane, acetaldehyde, and elevated levels of mercury (963 ppqv) in ambient air samples.^{23,24}

4.2.2.2. Flowback and Produced Water Storage

In cases of hydraulic fracturing and well-stimulation-enabled oil and gas development, approximately 5 to 50 percent of the well stimulation fluids returns to the surface after injection.²⁵ These flowback and recovered fluids are a known source of VOCs,²⁶ naturally occurring radioactive nucleotides,²⁷ and other naturally occurring and additive chemical constituents that may pose a potential human health risk via inhalation when stored in open-air pits or vented from tanks. Investigations across several states by the Committee on Energy and Commerce and the US EPA identified 750 chemicals additives and 1,076 other chemical constituents, that have been reported to have been used in hydraulic

⁵ HAPs included in this column were measured during the study and usually provided as concentrations.

fracturing fluids.^{28,29} A number of these chemicals are also HAPs and other air pollutants. In the table below, we summarize HAP emissions from the studies that included emission estimates from flowback and produced water storage.

Potential Sources	HAPs Estimated	HAPs Detected	Study
Flowback	NA	Benzene	Esswein et al. (2014)
Discharge Canal	NA	Benzene, hexane	Macey et al. (2014)
Flowback Pits	BTEX, methyl chloroform, ethylene dichloride, propylene dichloride, 1,2,4-trichlorobenzene, carbon tetrachloride, vinyl chloride	NA	Bloomdahl et al. (2014)
Evaporation Pond	NA	VOCs	Warneke et al. (2014)
Produced water tank	NA	BTEX, styrene, 2,2,4-trimethylpentane	Brantley et al. (2015)

Research Summary. Occupational studies identified risks of occupational exposures near fracturing fluid storage tanks¹¹ and from open air flowback and produced water holding ponds under certain modeling scenarios.²⁶ In particular, gauging flowback or production tanks exceeded the NIOSH REL of 0.1 ppm as a full-shift time weighted average (TWA) in 15 of the 17 samples.¹¹ Mean benzene TWA exposures in workers gauging tanks were statistically significant ($p < 0.01$) compared to those who did not gauge the tanks. Furthermore, benzene levels downwind of the tanks and in worker break areas were also elevated. Methanol and naphthalene were also detected in air samples collected on occupational workers and in workers areas but were below NIOSH REL. In a non-occupational study, point source emissions collected during mobile monitoring in the Uintah Basin, found elevated VOC concentrations downwind from a recently hydraulically re-fractured well and evaporation pond.³⁰ In particular, methanol was found in especially high mixing ratios, often over 100 ppbv, near evaporation ponds. Elevated worker exposures also suggest that atmospheric concentrations of air pollutants may be elevated in nearby communities.

4.2.2.3. Storage Tanks

Storage tanks are used to store and hold various liquids produced during the production and transmission of oil and natural gas development. Natural gas condensate, or low-density hydrocarbon liquid mixture, is often stored within tanks at the well pad or adjacent facilities. Flash emissions occur when pressurized hydrocarbon liquids are transferred to a storage tank from a separator. Routine maintenance and procedures (e.g. gauging) release fugitive emissions that can increase health risks at worksites that could also put nearby communities at risk. Furthermore, operational errors may increase

emissions via faulty equipment or open hatches that can increase risks to nearby communities. In the table below, we summarize HAP emissions from the studies that included emission estimates from storage tanks.

Potential Sources	HAP Measured	Study
Condensate tanks	Toluene	Warneke et al. (2014)
Condensate tanks	BTEX, 1,3-butadiene, hexane, styrene, acetaldehyde, formaldehyde, propionaldehyde, 2,2,4-trimethylpentane	Zielinska et al. (2014)
Condensate tanks	BTEX, hexane, styrene, 2,2,4-trimethylpentane	Brantley et al. (2014)

Research Summary. A study conducted using mobile sampling in the Barnett Shale region found higher concentrations of total NMHCs near condensate tanks compared to samples collected around compressor stations or local freeways.²⁴ In one case, the total NMHCs were 337 times higher than the total NMHCs at a compressor station (15,044.4 and 44.6 $\mu\text{g}/\text{m}^3$, respectively). A total of 12 HAPs were found at detectable levels near condensate tanks including BTEX, formaldehyde, acetaldehyde, propionaldehyde, 2,2,4-trimethylpentane, 1,3-butadiene, hexane, and styrene. One sample was collected in the presence of a condensate tank servicing truck, so the reflected emissions concentrations may have been influenced by vehicle emissions, in particular 2,2,4-trimethylpentane. A subsequent mobile laboratory and stationary measurements collected found toluene and other aromatics at highest concentrations downwind of condensate and water tanks.³¹ In particular, toluene and methanol mixing ratios were recorded over 40 and 500 ppbv, respectively.

A component-level emissions study was conducted on several well pads located in the Denver-Julesburg Basin revealing condensate storage tank emissions are highly variable and can be an important source of HAPs, even when control measures are present.¹³ HAPs included in this study were BTEX, hexane, and 2,2,4-trimethylpentane. In the Denver-Julesburg Basin, condensate tanks with six tons or greater of emissions per year are required to be controlled to 95% by either flaring or vapor recovery pollution control practices. Emissions that exceed these levels are referred to as leaks or fugitives. In this study, the composition of condensate tank leaks was found to have the mean molecular weight of 41.0 g/mol; thief hatches were one of the most frequently observed leak locations on the tanks, indicating that the systems may not have been optimally controlled. Most peak HAP emissions were identified on condensate tank thief hatches during a flash event. During one condensate tank flash event, a reading at a thief hatch recorded over 1,800 ppm of benzene, over 7,300 ppm of hexane, and over a 1,000 ppm of toluene. The remaining values can be found in Appendix B. When compared to uncontrolled emissions from condensate tanks in other studies, these measurements were either lower or not significantly different.

4.2.2.4. Pneumatic Devices and Pumps.

In the table below, we summarize HAP emissions from the studies that included emission estimates from pneumatic devices and pumps.

Potential Sources	HAPs Estimated	HAPs Measured	Study
Pneumatic Devices (wet and dry gas)	VOCs	NA	Roy et al. (2014)
Pneumatic devices	NA	VOCs	Warneke et al. (2015)

Research Summary. Pneumatic devices are powered mechanically by high-pressure natural gas and can be used for a variety of wellhead processes. An inventory analysis of process-level emissions in the Marcellus Shale region estimated that there were 0.5 tons of VOC emissions from dry gas pneumatics and 3.3 tons of VOC emissions from wet gas pneumatics per producing well in 2009.²² The mobile laboratory measurements confirm the results from an emissions inventory: the main VOC source categories from individual point sources are dehydrators, oil and condensate tank flashing and pneumatic devices and pumps. Raw natural gas is emitted from the pneumatic devices and pumps and heavier VOC mixes, which are likely to contain HAPs, are emitted from the tank flashings.³¹

4.2.2.5. Glycol Dehydrators

Potential Sources	HAPs Estimated	HAPs Measured	Study
Dehydration	NA	BTEX	Field et al. (2015)
Dehydrators	NA	BTEX, styrene, hexane, 2,2,4-trimethylpentane	Brantley et al. (2015)

Research Summary. Glycol dehydrators separate water from the natural gas hydrocarbons in preparation for efficient storage and transport of natural gas. Within the Pinedale Anticline development in Utah, a winter 2011 inventory identified natural gas dehydration as a dominant source of BTEX, accounting for approximately 50 tons of emissions.² Mobile laboratory measurements in Horse Pool, Utah identified dehydrators as one of the main VOC source categories from individual point sources; however, aromatic emissions mainly came from associated condensate tanks.³¹ During the Uintah Basin Winter Ozone Study, spikes in formaldehyde were found to correlate with methanol, a compound used in dehydration and de-icing during extraction, thus, providing a potential source of formaldehyde emissions.³² Pad-level emissions were measured from a dehydrator and found emissions of BTEX, hexane, styrene, and 2,2,4-trimethylpentane although only one air quality sample was collected.¹³

4.2.2.6. Separators

Separators remove water from the natural gas hydrocarbons and may release aromatic

organic chemicals into the atmosphere in the process from various equipment parts.

Potential Sources	HAPs Estimated	HAPs Measured	Study
Separators	NA	BTEX, hexane, cumene	Macey et al. (2014)
Separators	NA	BTEX, hexane, styrene, 2,2,4-trimethylpentane	Brantley et al. (2015)

Research Summary. Brantley et al. (2015) identified BTEX, styrene, acetylene, hexane, and 2,2,4-trimethylpentane emissions from separator equipment. A separator that was identified as “rusty” recorded elevated emissions with values 47x higher than equipment described as “good”. For example, acetylene was recorded at 3,389 ppb in a “rusty” separator and 71.43 ppb in a “good” separator.¹³ In a community-based participatory research study in Wyoming, concentrations of BTEX, hexane, and cumene were detected within 55 meters of a separator. Benzene was detected at levels (110,000 $\mu\text{g}/\text{m}^3$) that exceeded chronic, intermediate, and acute health standards and exceeded acceptable excess cancer risk rates.⁵ Furthermore, levels of toluene (1,400 $\mu\text{g}/\text{m}^3$), ethylbenzene (1,200 $\mu\text{g}/\text{m}^3$), mixed xylenes (4,100 $\mu\text{g}/\text{m}^3$), and hexane (22,000 $\mu\text{g}/\text{m}^3$) were also found at concentrations that exceeded either chronic and/or intermediate health standards.

5. Research Considerations

Most studies we evaluated in this systematic review identified several specific research limitations that varied depending, partially, on study design. These limitations include the inability to: (1) monitor all possible HAPs associated with oil and gas development; (2) measure or assess exposure from multiple HAP sources, pollutants, and exposure pathways; (3) generalize the data to other regions, scenarios, etc.; and (4) accurately characterize emissions from sources due to spatial and temporal restrictions. These limitations are described in more detail below.

5.1. Unmeasured HAPs

In general, air pollutant emissions from oil and natural gas development have been understood for some time. VOCs, PAHs, criteria pollutants, and other transportation related emissions near well pads have been well documented in the literature, yet the full range of emissions and their volumes remain elusive. Several researchers have expressed concern over the inability to access the entire inventory of chemicals and compounds utilized during oil and natural gas development and/or have suggested further studies on an expanded list of chemicals.^{5,20,21} Excluding all potentially emitted HAPs in the suite of measured pollutants may lead to underestimates of exposure.

5.2. Multiple Exposures Sources, Pollutants, and Pathways

HAP exposures from oil and gas development may originate from multiple sources and activities. For example, flowback operators have been found to be exposed to benzene

concentrations during gauging activities but may also be exposed during other non-work activities as elevated levels were also found near break tents.¹¹

Residential exposures may also originate from multiple oil and gas emission points, thus increasing the potential human health risks associated with air pollutant exposures. Pollutant mixtures are a key element needed to address public health concerns regarding emissions sourced from oil and gas development, as a large number of chemical constituents are released together during multiple activities. While cumulative air quality impacts may be difficult to assess in full as the extent and severity of risk vary significantly within and between geologies, oil and gas types, and across regulatory regimes, these impacts should be addressed and cannot justifiably be ignored.³³ The potential for cumulative and synergistic effects from exposures to these compounds, which may generate additional risks to public health, is an issue that requires further evaluation to assure public health concerns are appropriately considered. There is also a concern for multiple exposure pathways of HAPs including through inhalation, dermal contact, and ingestion, which are not accounted for in the literature, but still should be considered and not left out of scientific and regulatory considerations.²¹

5.3. Generalizability of Results

Emissions of HAPs from oil and natural gas development vary across geographic regions. Therefore, relevant differences should be considered and it may not always be appropriate to generalize results across oil and gas plays or, in some cases, even within the same oil and gas field. For example, unique signatures of low nonalkane VOC emissions in some parts of the Marcellus Shale were not reflected in other oil and natural gas regions.¹⁸ Particularly, studies in the Marcellus Shale region did not observe the same elevated levels of light aromatic compounds (e.g., benzene and toluene) identified by previous research in Colorado^{34,35} and Utah.³⁰ Goetz and colleagues (2014) note that the Marcellus basin does not have associated oil deposits and therefore nonalkane VOCs are not expected to be widely present. In a study of VOCs in Colorado, light alkanes were found at higher levels in Weld County, Colorado compared to the Anadarko Basin (Texas, Oklahoma, Kansas, and eastern New Mexico), suggesting that varying natural gas well densities, compositions, production volumes, or production practices may affect overall emissions from oil and natural gas development.³⁶

These differences may also be seen within a region that contains both oil and gas deposits. For example, the Barnett Shale contains both “wet” and “dry” gas areas where the “dry” gas areas typically have lower concentrations of natural gas liquids and as such fewer air toxics emissions compared to the “wet” gas areas.³⁷ In the Uintah Basin there are approximately 8,000 gas and 2,000 oil wells in operation, ground measurements of oil well pads were, on average, found to emit heavier compounds compared to gas wells.³⁰ Dynamic and varying geological formations, varying regulations across states, and unique operational processes may also affect generalizability or require modifications to apply information between regions, sites, or operators.¹¹ Therefore, consideration of potential geographic differences should be recognized when evaluating the potential relevance of the results from one study for other regions or between different types of oil and gas operations within the same field.

5.4. Spatial and Temporal Representativeness

Recent research suggests that peak emissions (rather than average emissions concentrations) may better capture certain risks to human health,^{5,38–40} and intermittent 24-hour sampling may underestimate hazards to human populations near emission sources.^{17,41} Therefore, it is important to identify appropriate temporal and spatial scales when conducting air pollution monitoring and human health assessments associated with emissions from oil and natural gas development. The exact distance from an emission source where air quality returns to background concentrations has yet to be established and is unlikely to be identifiable or generalizable with certainty, due in part to geographic, meteorological, and other differences. However, some evidence suggests that VOC concentrations between ½ mile to several kilometers from oil and natural gas development has resulted in concentrations of these pollutants that are similar to background concentrations.⁴²

Symptom-based and health assessment data has provided insightful information about potential exposures to health damaging air pollution at varying distances from oil and natural gas development. Symptom-based studies in Pennsylvania on residents living near oil and natural gas fields indicated that symptoms were most prevalent for respondents living within 1,500 feet from natural gas development infrastructure,^{42,43} and a health impact assessment identified greater health risks for residents living within ½ mile from an active well pad compared to those living further than ½ mile.⁴⁴ Unfortunately, most of the health-related studies discussed in this systematic review of HAPs utilized regional-scale air pollution data,^{20,21,37} which may greatly underestimate the actual human health risks in closer proximity to emission sources of oil and gas development infrastructure. Notably, there are also no studies in the peer-reviewed literature that explore human health risks attributable to HAP and other air pollutant emissions beyond ½ mile from oil and gas development.

5.5. Additional Considerations

In this section, we discuss additional considerations that were determined to be relevant to HAPs, exposures, and human health hazards and risk.

5.5.1.1. Meteorological Variables

Meteorological variables including, but not limited to temperature, humidity, surface wind speed and direction, precipitation, radiation, and evaporation in combination with air pollutant emissions influence overall air quality. Researchers often depend on meteorological data as model inputs to more accurately predict air quality. Excluding meteorological and geographical data in an analysis may result in biased estimates. In an assessment of occupational exposures from flowback, adjusting the wind speed to 1 mph increased the risk values by a factor of 10.²⁶ Ambient air quality monitoring along transects through emissions sources identify higher concentrations in the downwind compared to the upwind direction; emphasizing the importance of wind direction during

field sampling.²⁴ Seasonal and diurnal variations may also affect ambient air quality as winds, snow cover, and mixing patterns affect the behavior of air toxics and resulting human exposures.^{12,17,24,45} These effects also have been demonstrated at the regional scale where elevated ethane concentrations have been found in relatively undeveloped states located hundreds of kilometers downwind of regions that contain dense oil and gas development.⁴⁶

5.5.1.2. Top-down and Bottom-up Emissions Discrepancies

It is important to note that recent research has found inconsistencies between field-based (top-down) and inventory based (bottom-up) methane and other air pollutant emission estimates from oil and natural gas systems. Petron et al. (2014) found that their field-based estimates of non-methane hydrocarbons attributable to oil and natural gas sources are at least twice as large as available bottom-up emission inventory estimates.⁴⁷ In particular, benzene estimates from top-down emissions (173 ± 64 kg/h) from oil and natural gas operations were seven times higher than the Colorado Department of Public Health and Environment inventory (25.2 kg/h). Top-down estimates of benzene emissions from oil and natural gas operation may be as large or even larger than vehicle emissions in Weld County, Colorado. Pétron and colleagues stress the importance of further research on benzene emissions to better understand these discrepancies and track the substantial “missing” sources of benzene and potentially other hazardous air pollutants in oil and natural gas production and processing operations.⁴⁷

5.5.2. Flares and Flaring Activities

While HAP emissions from flaring is not specific to oil and natural gas development, flaring has been extensively explored in several studies that examined more general industrial flaring.^{48–50} Industrial flares and their associated research may not be specific to the oil and gas industry, but it is clear that VOCs and HAPs are emitted from these processes. Additional research is needed that focuses primarily on flares used during upstream oil and natural gas development.

6. Discussion and Recommendations

HAPs are air pollutants that cause or may cause cancer or other serious health effects that are listed for regulation by statute in section 112(b)(1) of the Clean Air Act.⁵¹ BTEX compounds and other VOCs commonly found near oil and gas production sites have been linked to several serious human health issues including neurological damage, poor birth outcomes, respiratory concerns, and various cancers, among other health effects.⁵² The total number of HAPs associated with oil and natural gas development is not clear, however, the Committee on Energy and Commerce identified 25 chemical additives that were indicated as components of 627 different products (Table S4).²⁹ Our systematic review found 36 HAPs near oil and natural gas development from 31 peer-reviewed studies published between October 1, 2012 through October 31, 2015. There remain 133 HAPs that were not included in the suite of the literature reviewed in this report and, thus, further investigation is needed to ascertain if these HAPs are not associated with oil and gas development or have simply not been monitored for in the study designs.

Although some preliminary epidemiology has recently been published, there remains a critical lack of epidemiological studies that examine the relationship between HAP emissions from the oil and gas sector and adverse health outcomes. Recent epidemiological research found inpatient prevalence rates and adverse health outcomes are significantly associated with well density of and proximity to natural gas development in Pennsylvania.^{7,53} Studies in Colorado and Pennsylvania identified statistically significant associations between well density and distance from oil and gas development and increased incidence of birth defects such as spina bifida and congenital heart defects⁸ and pre-term (premature) births.⁵⁴ Another birth outcome study identified increased incidence of preterm birth among mothers close to and living in high densities of gas development in Pennsylvania.⁶

The majority of studies that have evaluated HAPs from oil and gas development have focused on the regional scale, however regional-level health assessments often fail to characterize the often more elevated exposures and associated health symptoms experienced by communities located nearby oil and gas development,^{20,37} of which some studies have identified through community-based monitoring.⁵ Unknown variability in rates of emissions, chemical compositions of HAP and other constituents, and varying exposure levels and patterns, create complex environmental exposure conditions capable of inducing multiple physiological responses and non-specific health complaints that may be difficult for health care providers to evaluate. A growing body of research has also shown an association between the proximity and density of oil and natural gas well pads and adverse health outcomes among vulnerable populations.⁶⁻⁸ The uncertainties of the presence and absence of particular HAPs, the unpredictability of emission patterns, and the potential for disproportionate exposures and associated health effects among vulnerable populations suggest that conservative regulatory approaches are warranted. We provide our recommendations in the following section.

6.1. Recommendations and Future Research

In this section, we summarize recommendations from the peer-reviewed research on HAPs included in this review that most effectively addresses the potential human health impacts. In the case where a clear recommendation was not made, yet sufficient scientific concern was noted in the literature, we developed recommendations based on addressing these concerns.

Characterizing HAPs. The studies identified in the current systematic review include measurements for only 29 percent of the US EPA list of 187 HAPs. There is an obvious need for additional research into the association between individual HAPs and all phases of the upstream oil and natural gas development process. Without an understanding of the chemical composition of emissions from this sector, it is difficult to determine which HAPs are of highest concern. Several sources outside of the peer-reviewed literature have provided insights into this data gap. Based on a prior assessment of 990 facilities in the United States, the US EPA identified several HAPs that were emitted in the largest quantities including: carbonyl sulfide, hexane, toluene, benzene, xylenes, ethylbenzene.⁵⁵

In a technical review performed in California, acetaldehyde, 1,3-butadiene, and formaldehyde were also identified as compounds driven by upstream oil and natural gas sources and, thus, considered essential indicator HAPs.⁵⁶ We recommend further testing of all possible HAPs at various local and point sources to thoroughly characterize potential human health risks from HAPs.

Although hydrogen sulfide is not listed as a hazardous air pollutant, and therefore not within the scope of the current systematic review, it was measured in several of the reviewed studies^{5,11,21} and is a strong air toxic. Hydrogen sulfide emissions associated with oil and gas development have been measured at elevated concentrations near point sources including 9 ppmv downwind of storage tanks during mobile monitoring⁵⁷ and 66,000 $\mu\text{g}/\text{m}^3$ 15 meters from a discharge canal via grab sample.⁵ Serious human health impacts are associated with the inhalation of hydrogen sulfide and its presence within the ambient air near several oil and natural gas studies is worth noting and is a reasonable basis for further investigation.

Emissions from Separators, Compressors and Storage Tanks. Within the non-occupational air quality monitoring literature identified in this systematic review, the largest concentration of benzene (110 mg/m^3) was collected by a community member 25 meters from a separator in Park County Wyoming⁵. This concentration of benzene was higher than an onsite canister sample ($\sim 48 \text{ mg}/\text{m}^3$) near a leaking well in the Barnett Shale.²¹ Occupational studies in the Denver-Julesburg Basin found the highest concentrations of benzene ($\sim 6,019 \text{ mg}/\text{m}^3$) at condensate tanks thief hatches during flash events.¹³ Compressors were associated with the largest number of HAPs found at detectable levels in the ambient air including: BTEX, 1,3-butadiene, acetaldehyde, acetonitrile, 2,2,4-trimethylpentane, formaldehyde, methanol, hexane, propionaldehyde, styrene, and total mercury.^{5,18,23,24} These results provide evidence that separators, compressors, and condensate tanks are an important source of HAPs emissions.

Total VOCs were estimated highest (22 tons per well) during the development phase of a wet gas well in the Marcellus Shale.²² Results of the study by Roy et al. (2014) indicated that 21 tons of VOC emissions were estimated per wet gas well during completion and flowback activities, which includes the removal of debris, liquids, and inert gases used to stimulate gas production. This value was a factor of five higher than VOC emission estimates from dry gas wells. When Roy et al. (2014) ran future predictions of VOC emissions from the oil and gas development sector in Pennsylvania for the year 2020, VOC emissions from these activities declined by a factor of four under a scenario of stricter controls that required green completions. Roy et al. (2014) predict that with strict green completions controls in place, VOC emissions will be dominated by sources associated with gas production including condensate tanks and compressor stations. These results highlight the important regional differences between emissions and the importance of targeted air toxics control strategies.

HAP Emission Control Recommendations: There is a lack of relevant literature about HAP emissions control technologies and procedures near oil and natural gas development. The bulk of the literature discusses overall VOC emission control measures

without directly addressing the effect on subcategories or individual compounds including individual HAPs. There is little evidence to suggest that VOC control technologies adequately limit HAP emissions. While we make recommendations for control technologies focused on general VOC emissions, additional research is needed to ensure these control technologies are sufficient for all relevant HAPs. In general, based on the evidence of the current systematic review, we recommend control measures for separators, compressors, and condensate tanks.

Separators may contain pneumatic devices that are powered mechanically by high-pressure natural gas and, thus, are a potential source of VOC and HAP emissions. In the Uinta Basin, replacing high-bleed with low-bleed controllers was estimated to reduce VOC emissions over a six-year period by 78 percent.⁵⁸ Marcellus shale VOC emissions from condensate tanks are significantly reduced by the implementation of control technologies including flaring and the use of vapor recovery units (VRUs).²² Alternative gauging procedures or improved tanks can limit fugitive emissions from hatches on top of storage tanks and infrared cameras have been successfully deployed to identify leaks of hydrocarbons and VOCs from storage tanks over large areas during flyovers.²¹ Additional research would be useful to consider all oil and gas development pollution controls and understand the effect of available and in-use control technologies on HAP emissions.

Future Research

Episodic Peak Emissions. The frequency, intensity, and variability of HAP exposures associated with oil and natural gas development vary over time. A recent study found that over a 14-month period, nearby residents may be exposed to as many as 83 individual peak emission episodes.¹⁷ Research that measures emissions over short time intervals or continuously is highly recommended and future emission control strategies should focus on limiting the intensity and frequency of these peak emission events. Based upon these findings, emission event reporting requirements are recommended to better understand and characterize peak emissions and their associated sources. Future research should increase the temporal and spatial representativeness of oil and natural gas emissions. Symptom-directed sampling via community-based research can improve air quality through a focus on potential peak emissions events.⁵

Source Apportionment. Future research on emissions activities may benefit from the monitoring of several specific tracers to identify the specific phase or activity during collection. The correlations between propane, acetonitrile, and dimethyl sulfide warrant further study as they may also prove to be useful tracers for emissions of HAPs from different stages of oil and gas development.³⁶ Additionally, ethane could be used as a tracer species of hydrocarbon production emissions.⁴² Finally, acetonitrile, a compound produced during incomplete combustion of plant matter, is a common tracer for biomass burning and have been associated with emissions from natural gas and combustion emissions near oil and gas development activities.³⁶

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Appendix A: Details of Literature Inclusion and Exclusion Process

Key Words Used for Searches:

1. Boolean Statement With Keywords Describing Operations:

"unconventional gas development" OR "natural gas" OR "hydraulic fracturing"
OR "unconventional oil and gas" OR "natural gas development" OR "oil and gas
development" OR "oil and natural gas" OR "fracking" OR "unconventional
natural gas development" OR "hydraulic fracking" or "UNGD" or "fracturing"

2. Boolean Statement With Keywords Describing The HAPS Of Interest:

"hazardous air pollutants" OR "hazardous air pollutant" OR "haps" OR "hap" OR
"voc" OR "volatile organic compounds" OR "vocs" OR "volatile organic
compound" OR "volatile organics " OR "Acetaldehyde" OR "Acetamide" OR
"Acetonitrile" OR "Acetophenone" OR "2-Acetylaminofluorene" OR "Acrolein"
OR "Acrylamide" OR "Acrylic acid" OR "Acrylonitrile" OR "Allyl chloride" OR
"4-Aminobiphenyl" OR "Aniline" OR "o-Anisidine" OR "Asbestos" OR
"Benzene" OR "Benzidine" OR "Benzotrachloride" OR "Benzyl chloride" OR
"Biphenyl" OR "Bis(2-ethylhexyl)phthalate" OR "DEHP" OR
"Bis(chloromethyl)ether" OR "Bromoform" OR "1,3-Butadiene" OR "Calcium
cyanamide" OR "Captan" OR "Carbaryl" OR "Carbon disulfide" OR "Carbon
tetrachloride" OR "Carbonyl sulfide" OR "Catechol" OR "Chloramben" OR
"Chlordane" OR "Chlorine" OR "Chloroacetic acid" OR "2-Chloroacetophenone"
OR "Chlorobenzene" OR "Chlorobenzilate" OR "Chloroform" OR "Chloromethyl
methyl ether" OR "Chloroprene" OR "Cresols" OR "Cresylic acid" OR "o-Cresol"
OR "m-Cresol" OR "p-Cresol" OR "Cumene" OR "2,4-D, salts and esters" OR
"DDE" OR "Diazomethane" OR "Dibenzofurans" OR "1,2-Dibromo-3-
chloropropane" OR "Dibutylphthalate" OR "1,4-Dichlorobenzene(p)" OR "3,3-
Dichlorobenzidine" OR "Dichloroethyl ether" OR "Bis(2-chloroethyl)ether" OR
"1,3-Dichloropropene" OR "Dichlorvos" OR "Diethanolamine" OR "N,N-Diethyl
aniline" OR "N,N-Dimethylaniline" OR "Diethyl sulfate" OR "3,3-
Dimethoxybenzidine" OR "Dimethyl aminoazobenzene" OR "3,3'-Dimethyl
benzidine" OR "Dimethyl carbamoyl chloride" OR "Dimethyl formamide" OR
"1,1-Dimethyl hydrazine" OR "Dimethyl phthalate" OR "Dimethyl sulfate" OR
"4,6-Dinitro-o-cresol" OR "2,4-Dinitrophenol" OR "2,4-Dinitrotoluene" OR "1,4-
Dioxane" OR "1,4-Diethyleneoxide" OR "1,2-Diphenylhydrazine" OR
"Epichlorohydrin" OR "1-Chloro-2,3-epoxypropane" OR "1,2-Epoxybutane" OR
"Ethyl acrylate" OR "Ethyl benzene" OR "Ethyl carbamate" OR "Urethane" OR
"Ethyl chloride" OR "Chloroethane" OR "Ethylene dibromide" OR
"Dibromoethane" OR "Ethylene dichloride" OR "1,2-Dichloroethane" OR
"Ethylene glycol" OR "Ethylene imine" OR "Aziridine" OR "Ethylene oxide" OR
"Ethylene thiourea" OR "Ethylidene dichloride" OR "1,1-Dichloroethane" OR
"Formaldehyde" OR "Heptachlor" OR "Hexachlorobenzene" OR
"Hexachlorobutadiene" OR "Hexachlorocyclopentadiene" OR
"Hexachloroethane" OR "Hexamethylene-1,6-diisocyanate" OR
"Hexamethylphosphoramide" OR "Hexane" OR "Hydrazine" OR "Hydrochloric
acid" OR "Hydrogen fluoride" OR "Hydrofluoric acid" OR "Hydroquinone" OR

"Isophorone" OR "Lindane" OR "Maleic anhydride" OR "Methanol" OR "Methoxychlor" OR "Methyl bromide" OR "Bromomethane" OR "Methyl chloride" OR "Chloromethane" OR "Methyl chloroform" OR "1,1,1-Trichloroethane" OR "Methyl hydrazine" OR "Methyl iodide" OR "Iodomethane" OR "Methyl isobutyl ketone" OR "Hexone" OR "Methyl isocyanate" OR "Methyl methacrylate" OR "Methyl tert butyl ether" OR "4,4-Methylene bis(2-chloroaniline)" OR "Methylene chloride" OR "Dichloromethane" OR "Methylene diphenyl diisocyanate" OR "MDI" OR "4,4'-Methylenedianiline" OR "Naphthalene" OR "Nitrobenzene" OR "4-Nitrobiphenyl" OR "4-Nitrophenol" OR "2-Nitropropane" OR "N-Nitroso-N-methylurea" OR "N-Nitrosodimethylamine" OR "N-Nitrosomorpholine" OR "Parathion" OR "Pentachloronitrobenzene" OR "Quintobenzene" OR "Pentachlorophenol" OR "Phenol" OR "p-Phenylenediamine" OR "Phosgene" OR "Phosphine" OR "Phosphorus" OR "Phthalic anhydride" OR "Polychlorinated biphenyls" OR "Aroclors" OR "1,3-Propane sultone" OR "beta-Propiolactone" OR "Propionaldehyde" OR "Propoxur" OR "Baygon" OR "Propylene dichloride" OR "1,2-Dichloropropane" OR "Propylene oxide" OR "1,2-Propylenimine" OR "2-Methyl aziridine" OR "Quinoline" OR "Quinone" OR "Styrene" OR "Styrene oxide" OR "2,3,7,8-Tetrachlorodibenzo-p-dioxin" OR "1,1,2,2-Tetrachloroethane" OR "Tetrachloroethylene" OR "Perchloroethylene" OR "Titanium tetrachloride" OR "Toluene" OR "2,4-Toluene diamine" OR "2,4-Toluene diisocyanate" OR "o-Toluidine" OR "Toxaphene" OR "chlorinated camphene" OR "1,2,4-Trichlorobenzene" OR "1,1,2-Trichloroethane" OR "Trichloroethylene" OR "2,4,5-Trichlorophenol" OR "2,4,6-Trichlorophenol" OR "Triethylamine" OR "Trifluralin" OR "2,2,4-Trimethylpentane" OR "Vinyl acetate" OR "Vinyl bromide" OR "Vinyl chloride" OR "Vinylidene chloride" OR "1,1-Dichloroethylene" OR "Xylenes" OR "o-Xylenes" OR "m-Xylenes" OR "p-Xylenes" OR "Antimony" OR "Arsenic" OR "Beryllium" OR "Cadmium" OR "Chromium" OR "Cobalt" OR "Coke Oven Emissions" OR "Cyanide" OR "Glycol ethers" OR "Lead" OR "Manganese" OR "Mercury" OR "Fine mineral fibers" OR "Nickel" OR "Polycyclic Organic Matter" OR "Radionuclides" OR "radon" OR "Selenium"

Boolean statements #1 and #2 were connected with the AND Boolean operator to search all relevant journal articles.

Table Appendix A-1. Final List Of Articles Identified From Web Search And PSE Database

	Article	Source
1	Edwards, P. M. <i>et al.</i> Ozone photochemistry in an oil and natural gas extraction region during winter: simulations of a snow-free season in the Uintah Basin, Utah. <i>Atmospheric Chem. Phys. Discuss.</i> 13 , 7503–7552 (2013)	Web of Science/ PubMed
2	Gilman, J. B., Lerner, B. M., Kuster, W. C. & de Gouw, J. A. Source Signature of Volatile Organic Compounds from Oil and Natural Gas Operations in Northeastern Colorado. <i>Environ. Sci. Technol.</i> 47 ,	Web of Science/ PubMed

	1297–1305 (2013)	
3	Swarthout, R. F., Russo, R. S., Zhou, Y., Hart, A. H. & Sive, B. C. Volatile organic compound distributions during the NACHTT campaign at the Boulder Atmospheric Observatory: Influence of urban and natural gas sources. <i>J. Geophys. Res. Atmospheres</i> 118 , 10,614–10,637 (2013)	Web of Science/ PubMed
4	Pekney, N. J. <i>et al.</i> Measurement of atmospheric pollutants associated with oil and natural gas exploration and production activity in Pennsylvania's Allegheny National Forest. <i>J. Air Waste Manag. Assoc.</i> 64 , 1062–1072 (2014)	Web of Science/ PubMed
5	Warneke, C. <i>et al.</i> Volatile organic compound emissions from the oil and natural gas industry in the Uinta Basin, Utah: point sources compared to ambient air composition. <i>Atmos Chem Phys Discuss</i> 14 , 11895–11927 (2014)	Web of Science/ PubMed
6	Zielinska, B., Campbell, D. & Samburova, V. Impact of emissions from natural gas production facilities on ambient air quality in the Barnett Shale area: a pilot study. <i>J. Air Waste Manag. Assoc.</i> 64 , 1369–1383 (2014)	Web of Science/ PubMed
7	Colborn, T., Schultz, K., Herrick, L. & Kwiatkowski, C. An Exploratory Study of Air Quality Near Natural Gas Operations. <i>Hum. Ecol. Risk Assess. Int. J.</i> 20 , 86–105 (2014)	Web of Science/ PubMed
8	Rich, A., Grover, J. P. & Sattler, M. L. An exploratory study of air emissions associated with shale gas development and production in the Barnett Shale. <i>J. Air Waste Manag. Assoc.</i> 64 , 61–72 (2014)	Web of Science/ PubMed
9	Roy, A. A., Adams, P. J. & Robinson, A. L. Air pollutant emissions from the development, production, and processing of Marcellus Shale natural gas. <i>J. Air Waste Manag. Assoc.</i> 64 , 19–37 (2014)	Web of Science/ PubMed
10	Bunch, A. G. <i>et al.</i> Evaluation of impact of shale gas operations in the Barnett Shale region on volatile organic compounds in air and potential human health risks. <i>Sci. Total Environ.</i> 468–469 , 832–842 (2014)	Web of Science/ PubMed
11	Helmig, D. <i>et al.</i> Highly Elevated Atmospheric Levels of Volatile Organic Compounds in the Uintah Basin, Utah. <i>Environ. Sci. Technol.</i> 48 , 4707–4715 (2014)	Web of Science/ PubMed
12	Zavala-Araiza, D., Sullivan, D. W. & Allen, D. T. Atmospheric Hydrocarbon Emissions and Concentrations in the Barnett Shale Natural Gas Production Region. <i>Environ. Sci. Technol.</i> 48 , 5314–5321 (2014)	Web of Science/ PubMed
13	Petron, G. <i>et al.</i> A new look at methane and nonmethane hydrocarbon emissions from oil and natural gas operations in the Colorado Denver-Julesburg Basin. <i>J. Geophys. Res.-Atmospheres</i> 119 , 6836–6852 (2014)	Web of Science/ PubMed
14	Macey, G. P. <i>et al.</i> Air concentrations of volatile compounds near oil and gas production: a community-based exploratory study. <i>Environ. Health</i> 13 , 82 (2014)	Web of Science/ PubMed
15	Bloomdahl, R., Abualfaraj, N., Olson, M. & Gurian, P. L. Assessing worker exposure to inhaled volatile organic compounds from Marcellus Shale flowback pits. <i>J. Nat. Gas Sci. Eng.</i> 21 , 348–356 (2014)	Web of Science/ PubMed

16	Thompson, C. R., Hueber, J. & Helmig, D. Influence of oil and gas emissions on ambient atmospheric non-methane hydrocarbons in residential areas of Northeastern Colorado. <i>Elem. Sci. Anthr.</i> 2 , 000035 (2014)	Web of Science/ PubMed
17	Ahmadov, R. <i>et al.</i> Understanding high wintertime ozone pollution events in an oil- and natural gas-producing region of the western US. <i>Atmospheric Chem. Phys.</i> 15 , 411–429 (2015)	Web of Science/ PubMed
18	Field, R. A., Soltis, J., McCarthy, M. C., Murphy, S. & Montague, D. C. Influence of oil and gas field operations on spatial and temporal distributions of atmospheric non-methane hydrocarbons and their effect on ozone formation in winter. <i>Atmospheric Chem. Phys.</i> 15 , 3527–3542 (2015)	Web of Science/ PubMed
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22	Brown, D. R., Lewis, C. & Weinberger, B. I. Human exposure to unconventional natural gas development: A public health demonstration of periodic high exposure to chemical mixtures in ambient air. <i>J. Environ. Sci. Health Part A</i> 50 , 460–472 (2015)	Web of Science/ PubMed
23	Vinciguerra, T. <i>et al.</i> Regional air quality impacts of hydraulic fracturing and shale natural gas activity: Evidence from ambient VOC observations. <i>Atmos. Environ.</i> 110 , 144–150 (2015)	Web of Science/ PubMed
24	Goetz, J. D. <i>et al.</i> Atmospheric Emission Characterization of Marcellus Shale Natural Gas Development Sites. <i>Environ. Sci. Technol.</i> (2015). doi:10.1021/acs.est.5b00452	Web of Science/ PubMed
25	Brantley, H. L., Thoma, E. D. & Eisele, A. P. Assessment of volatile organic compound and hazardous air pollutant emissions from oil and natural gas well pads using mobile remote and on-site direct measurements. <i>J. Air Waste Manag. Assoc.</i> 1995 65 , 1072–1082 (2015)	Web of Science/ PubMed
26	Ethridge, S. <i>et al.</i> The Barnett Shale: from problem formulation to risk management. <i>J. Unconv. Oil Gas Resour.</i> 11 , 95–110 (2015)	Web of Science/ PubMed
27	Lan, X. <i>et al.</i> Atmospheric Mercury in the Barnett Shale Area, Texas: Implications for Emissions from Oil and Gas Processing. <i>Environ. Sci. Technol.</i> 49 , 10692–10700 (2015)	Web of Science/ PubMed
28	Steinzor, N., Subra, W. & Sumi, L. Investigating links between shale gas development and health impacts through a community survey project in Pennsylvania. <i>New Solut. J. Environ. Occup. Health Policy</i> NS 23 , 55–83 (2013)	Web of Science/ PubMed
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	Hydraulic Fracturing. <i>J. Occup. Environ. Hyg.</i> 10 , 347–356 (2013)	
30	Rappenglück, B. <i>et al.</i> Strong wintertime ozone events in the Upper Green River basin, Wyoming. <i>Atmos Chem Phys</i> 14 , 4909–4934 (2014)	PSE Database
31	Paulik, L. B. <i>et al.</i> Impact of natural gas extraction on PAH levels in ambient air. <i>Environ. Sci. Technol.</i> (2015). doi:10.1021/es506095e	PSE Database

Appendix B. Summary of Hazardous Air Pollutant Results by Study

Here we present the summary of hazardous air pollutant results in the peer-reviewed studies that we included in this systematic review.

In order to categorize HAP emission sources, we made assumptions based upon what was reported in each study. The concentration values for HAPs (or their surrogates) identified in the articles were categorized as either estimated or measured values. Estimated values were concentrations or volumes of HAPs that were not directly measured. These values were usually estimated through various models and provided at the regional or national level. Measured values were collected via an air quality monitor and the data was provided as a weight fraction, volume, parts per, or other. When available, measured and estimated values were extracted from the article or the supplemental material and included in our tables. Mean values include the average values of all samples over time and/or time weighted averaged values of a single or multiple samples. When values were described in the text, these values were also extracted and added to our table. Values were not extracted from graphs or figures. Values were also grouped according to their potential sources. Sources were assigned to a value if they were described in the text. To keep the potential sources categories within a manageable size, detailed sources were re-categorized into general groups. These are described in more detail below in Table Appendix B-1.

Table Appendix B-1: Categories And Sub-Categories Of HAP Emission Sources Included

General Categories	Included Sub-categories
Completion	Emissions measured from well heads, leaking well heads, flaring and finishing activities, and completion activities of wet and dry wells
Compressor	Includes all descriptions of compressors and compressor stations
Dehydrator	Includes all descriptions of dehydrators (with pneumatic controls)
Drilling	Includes all measurements and estimates of drilling phase activities
Dry gas wells	Includes all regional-level measurements near dry gas fields
Flowback and produced water	Includes personal-level monitoring measurements of occupational workers near general flowback material and working on flowback tanks, measurements of ambient air near evaporation ponds and discharge canals, estimates of emissions from flowback pits, and point source measurements from produced water tanks

Dry gas well	Includes all local-level measurements near dry gas wells
Mobile	Includes all measurements and estimates of emissions from drill rigs, frac pumps, trucks, and work-over rigs
O&G well pads	Includes all regional and local-level estimates and measurements from general oil and gas well pads
Pneumatics	Includes regional-level estimates of emissions from pneumatic devices at wet and dry wells
Processing	Includes regional and local-level measurements and estimates from processing activities
Production	Includes all regional and local-level measurements and estimates from production activities of dry and well wells
Separator	Includes measurements from separators, their valves and connection parts
Storage tanks	Includes point source emissions measurements and measurements of ambient air near condensate, water, and methanol tanks
Well stimulation	Includes estimates of emissions during well stimulation activities
Wet and dry gas wells	Includes description of regional-level measurements near wet and dry gas well fields
Wet gas well	Includes description of regional-level measurements near wet gas well fields

Appendix C. HAP Concentrations not Detected or not Discussed in The Studies Included in the Literature Review

Reported HAPs	CAS ID #	Articles
1. methyl bromide (bromomethane)	74839	Bunch et al. (2014), Colborn et al. (2014)
2. ethyl chloride (chloroethane)	75003	Colborn et al. (2014)
3. bromoform	75252	Colborn et al. (2014)
4. 1,1-dichloroethane	75343	Bunch et al. (2014), Colborn et al. (2014)
5. 1,1-dichloroethylene	75354	Bunch et al. (2014)
6. propylene oxide	75569	Colborn et al. (2014)
7. benzyl chloride	100447	Colborn et al. (2014)
8. acrolein	107028	Bunch et al. (2014), Colborn et al. (2014), Zielinska et al. (2014)
9. allyl chloride	107051	Colborn et al. (2014)
10. acrylonitrile	107131	Colborn et al. (2014)
11. vinyl acetate	108054	Colborn et al. (2014)
12. methyl isobutyl ketone (hexone)	108101	Bunch et al., Colborn et al. (2014)
13. 1,4-dioxane (1,4-diethyleneoxide)	123911	Colborn et al. (2014)
14. chloroprene	126998	Bunch et al. (2014)
15. vinyl bromide	593602	Colborn et al. (2014)
16. methyl tert-butyl ether	163404 4	Bunch et al. (2014), Colborn et al. (2014)

Appendix D: Summary of HAPs for Estimated and Measured Result Details Derived from the Peer-Reviewed Studies Included in

Table Appendix D-1. Summary of HAPs Estimated (Not Directly Measured) Result Details Derived from the Peer-Reviewed Studies Included in This Systematic Review

Location		Potential Source	HAPs Estimated	CAS	Mean*	SD	Median	Min	Max	Weight Fraction
Local-Level Estimates										
Brown et al. (2015)		well stimulation	VOCs	NA	NA	NA	NA	NA	NA	0.00007
Pennsylvania (Marcellus Shale)		drilling	VOCs	NA	NA	NA	NA	NA	NA	0.00018
		completion	VOCs	NA	NA	NA	NA	NA	NA	0.00087
		production	VOCs	NA	NA	NA	NA	NA	NA	0.00002
		compressor	VOCs	NA	NA	NA	NA	NA	NA	NA
		processing	VOCs	NA	NA	NA	NA	NA	NA	NA
Personal-Level Estimates										
Bloomdahl et al. (2014)										
Pennsylvania (Marcellus Shale)		flowback and produced water storage	methyl chloroform/1,1,1-trichloroethane	71556	0.81 mcg/m ³	NA	NA	NA	2.5 ppb	NA
		flowback and produced water storage	1,1,2-trichloroethane	79005	0.76 mcg/m ³	NA	NA	NA	8.4 ppb	NA
		flowback and produced water storage	ethylene dichloride (1,2-dichloroethane)	107062	0.94 mcg/m ³	NA	NA	NA	4.9 ppb	NA
		flowback and produced water storage	propylene dichloride (1,2-dichloropropane)	78875	0.79 mcg/m ³	NA	NA	NA	NA	NA
		flowback and produced water storage	1,2,4-trichlorobenzene	120821	0.53 mcg/m ³	NA	NA	NA	>3 ppbv	NA
		flowback and produced water storage	benzene	71432	2.3 mcg/m ³	NA	NA	NA	>10 ppbv	NA
		flowback and produced water storage	carbon tetrachloride	56235	0.83 mcg/m ³	NA	NA	NA	>500 ppbv	NA
		flowback and produced water storage	ethyl benzene	100414	0.74 mcg/m ³	NA	NA	NA	>40 ppbv	NA
		flowback and produced water storage	styrene	100425	0.75 mcg/m ³	NA	NA	NA	1.1 ppmv	NA
		flowback and produced water storage	vinyl chloride	75014	1.8 mcg/m ³	NA	NA	NA	>100 ppbv	NA
		flowback and produced water storage	mixed xylenes	1330207	5.9 mcg/m ³	NA	NA	NA	>100 ppbv	NA
		flowback and produced water storage	toluene	108883	4.04 mcg/m ³	NA	NA	NA	<250 ppbv	NA
Regional-Level Estimates										
Helming et al. (2014)										
Utah (Utah Basin)		O&G well pads	benzene	71432	NA	NA	NA	NA	4.0 ppb	NA
		O&G well pads	toluene	108883	NA	NA	NA	NA	1.6 ppb	NA
Petron et al. (2014)										
Denver-Julesburg Basin (Weld, Colorado)		O&G well pads	benzene	71432	NA	NA	NA	NA	12 ppbv	NA
Roy et al. (2014)										
Marcellus Shale		mobile	VOCs	NA	NA	NA	NA	NA	1.6 ppbv	NA
		mobile	VOCs	NA	NA	NA	NA	NA	2.2 ppbv	NA
		mobile	VOCs	NA	NA	NA	NA	NA	0.61 ppbv	NA
		completion	VOCs	NA	NA	NA	NA	NA	0.19 ppbv	NA
		completion	VOCs	NA	NA	NA	NA	NA	548 ppbv	NA
		pneumatics	VOCs	NA	NA	NA	NA	NA	503 ppbv	NA
		pneumatics	VOCs	NA	NA	NA	NA	NA	NA	NA
		compressor	VOCs	NA	NA	NA	NA	NA	13,900 ppbv	NA

Location	Potential Source	HAPs Estimated	CAS	Mean*	SD	Median	Min	Max	Weight Fraction
	mobile	VOCs	NA	NA	NA	NA	NA	4.160 pptv	NA
	mobile	VOCs	NA	NA	NA	NA	NA	4.1 pptv	NA
	mobile	VOCs	NA	NA	NA	NA	NA	297 pptv	NA
	completion	VOCs	NA	NA	NA	NA	NA	1,050 pptv	NA
	completion	VOCs	NA	NA	NA	NA	NA	201 pptv	NA
	pneumatics	VOCs	NA	NA	NA	NA	NA	1,620 pptv	NA
	pneumatics	VOCs	NA	NA	NA	NA	NA	865 pptv	NA
	compressor	VOCs	NA	NA	NA	NA	NA	306 pptv	NA
	drilling/stimulation/completion	VOCs	NA	NA	NA	NA	NA	4,120 pptv	NA
	drilling/stimulation/completion	VOCs	NA	NA	NA	NA	NA	2.4 ppb	NA
	production	VOCs	NA	NA	NA	NA	NA	2.5 ppb	NA
	production	VOCs	NA	NA	NA	NA	NA	4.4 ppb	NA
	drilling/stimulation/completion	VOCs	NA	NA	NA	NA	NA	5.0 ppb	NA
	drilling/stimulation/completion	VOCs	NA	NA	NA	NA	NA	5.7 ppb	NA
	production	VOCs	NA	NA	NA	NA	NA	10 ppb	NA
	production	VOCs	NA	NA	NA	NA	NA	NA	NA
Zavala-Araiza et al. (2014)									
Texas (Barnett Shale)	O&G well pads	VOCs	NA	NA	NA	NA	NA	12.1 ppb	NA
Ahmadov et al. (2015)									
Utah	O&G well pads	toluene	108883	NA	NA	0.63 ppb	NA	~1 to <40 ppbv	NA
Utah	O&G well pads	toluene	108883	NA	NA	4.01 ppb	NA	NA	NA
Utah	O&G well pads	mixed xylenes	1330207	NA	NA	0.32 ppb	NA	>=500 ppbv	NA
Utah	O&G well pads	formaldehyde	50000	NA	NA	1.66 ppb	NA	>=500 ppbv	NA
Utah	O&G well pads	formaldehyde	50000	NA	NA	1.32 ppb	NA	NA	NA
Utah	O&G well pads	acetaldehyde	75070	NA	NA	4.85 ppb	NA	NA	0
Utah	O&G well pads	acetaldehyde	75070	NA	NA	4.41 ppb	NA	NA	0.02916
Utah	O&G well pads	acetaldehyde	75070	NA	NA	0.42 ppb	NA	NA	0.00189
Oswald et al. (2015)									
Utah (Uintah Basin)	O&G well pads	VOCs	NA	NA	NA	NA	NA	NA	0.00175
Utah (Uintah Basin)	O&G well pads	VOCs	NA	NA	NA	NA	NA	NA	0.00313
Regional and Local-Level Estimates									
Lan et al. (2014)									
Texas	compressor	THg (total mercury)	METAL	NA	NA	NA	NA	NA	NA

*Includes total weighted averages (TWA)

Table Appendix D-2. Summary of HAPs Measured (Directly Measured in the Field) Result Details Derived from the Peer-Reviewed Studies Included in This Systematic Review

Local-level Measurements	Location	Potential Source	HAPs Measured	CAS	Mean ^a	SD	Median	Min	Max	Weight Fraction	Other Measurements
Steinzor et al. (2013)	Pennsylvania	O&G well pads	methyl chloride (chloroethane)	74873	NA	NA	NA	1.0 mcg/m ³	1.66 mcg/m ³	NA	NA
		O&G well pads	carbon tetrachloride	56235	NA	NA	NA	0.4 mcg/m ³	0.76 mcg/m ³	NA	NA
		O&G well pads	toluene	108883	NA	NA	NA	0.68 mcg/m ³	7.9 mcg/m ³	NA	NA
		O&G well pads	n-hexane	110543	NA	NA	NA	3.03 mcg/m ³	7.04 mcg/m ³	NA	NA
		O&G well pads	benzene	71432	NA	NA	NA	0.31 mcg/m ³	1.5 mcg/m ³	NA	NA
		O&G well pads	methylene chloride (dichloromethane)	75092	NA	NA	NA	1.9 mcg/m ³	32.62 mcg/m ³	NA	NA
		O&G well pads	tetrachloroethylene (perchloroethylene)	127184	NA	NA	NA	0.12 mcg/m ³	10.85 mcg/m ³	NA	NA
		O&G well pads	ethyl benzene	100414	NA	NA	NA	0.27 mcg/m ³	1.5 mcg/m ³	NA	NA
		O&G well pads	trichloroethylene	79016	NA	NA	NA	0.17 mcg/m ³	5.37 mcg/m ³	NA	NA
		O&G well pads	m/p-xylene	108383/106423	NA	NA	NA	0.92 mcg/m ³	5.2 mcg/m ³	NA	NA
		O&G well pads	o-xylene	95476	NA	NA	NA	0.39 mcg/m ³	1.9 mcg/m ³	NA	NA
		O&G well pads	ethylene dichloride (1,2-dichloroethane)	107062	NA	NA	NA	0.64 mcg/m ³	0.64 mcg/m ³	NA	NA
		Colborn et al. (2014)	methyl chloroform/1,1,1-trichloroethane	71556	ND	ND	ND	ND	ND	NA	NA
		Colorado (Garfield County)	1,1,2,2-tetrachloroethane	79345	ND	ND	ND	ND	ND	NA	NA
			1,1,2-trichloroethane	79005	ND	ND	ND	ND	ND	NA	NA
			1,1-dichloroethane	75343	ND	ND	ND	ND	ND	NA	NA
			1,2,4-trichlorobenzene	120821	ND	ND	ND	ND	ND	NA	NA
			1,2-dibromoethane/ethylene dibromide	106934	ND	ND	ND	ND	ND	NA	NA
			ethylene dichloride (1,2-dichloroethane)	107062	ND	ND	ND	ND	4.3 ppbv	NA	spikes=4
			propylene dichloride (1,2-dichloropropane)	78875	ND	ND	ND	ND	3.0 ppbv	NA	spikes=4
			1,3-butadiene	106990	ND	ND	ND	ND	1,730.0 ppbv	NA	spikes=4
			1,4-dichlorobenzene	106467	ND	ND	ND	ND	0.7 ppbv	NA	spikes=6
			1,4-dioxane (1,4-dioxine oxide)	123911	ND	ND	ND	ND	1.1 ppbv	NA	spikes=3
			2,2,4-trimethylpentane	540841	ND	ND	ND	ND	30.6 ppbv	NA	spikes=4
			acrolein	107028	ND	ND	ND	ND	0.9 ppbv	NA	spikes=0
			acrylonitrile	107131	ND	ND	ND	ND	0.7 ppbv	NA	spikes=0
			allyl chloride	107051	ND	ND	ND	ND	0.3 ppbv	NA	spikes=0
			benzyl chloride	100447	ND	ND	ND	ND	2.4 ppbv	NA	spikes=6
			boron form	75252	ND	ND	ND	ND	0.2 ppbv	NA	spikes=1
		O&G well pads	methyl bromide (bromomethane)	74839	ND	ND	ND	ND	6.08 ppbv	NA	spikes=4
		O&G well pads	carbon disulfide	75150	ND	ND	ND	ND	NA	NA	NA
		O&G well pads	carbon tetrachloride	56235	ND	ND	ND	ND	NA	NA	NA
		O&G well pads	chlorobenzene	108907	ND	ND	ND	ND	NA	NA	NA
		O&G well pads	ethyl chloride (chloroethane)	75003	ND	ND	ND	ND	NA	NA	NA
		O&G well pads	chloroform	67663	ND	ND	ND	ND	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	O&G well pads	methyl chloride (chloromethane)	74873	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	hexachlorobutadiene 2,2,4	87683	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	(trimethyl)pentane (isooctane)	540841	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	methyl isobutyl ketone (hexone)	108101	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	methyl tert-butyl ether	1634044	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	o-xylene	95476	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	propylene oxide	75569	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	tetrachloroethylene (perchloroethylene)	127184	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	trichloroethylene	79016	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	vinyl acetate	108054	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	vinyl bromide	593602	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	vinyl chloride	75014	ND	ND	ND	ND	NA	NA	NA
	O&G well pads	toluene	108883	1.2 ppbv	0.9	NA	0.4 ppbv	NA	NA	NA
	O&G well pads	n-hexane	110543	0.9 ppbv	0.6	NA	0.3 ppbv	NA	NA	NA
	O&G well pads	methylene chloride (dichloromethane)	75092	206.2 ppbv	357.4	NA	2.7 ppbv	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	0.4 ppbv	0.2	NA	0.2 ppbv	NA	NA	NA
	O&G well pads	benzene	71432	0.5 ppbv	0.2	NA	0.3 ppbv	NA	NA	NA
	O&G well pads	methanol	67561	18.3 ppbv	5.6	NA	12.1 ppbv	NA	NA	NA
	O&G well pads	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	cumene/isopropylben- zene	98828	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	zene	50000	1 ppbv	0.5	NA	0.3 ppbv	NA	NA	NA
	O&G well pads	formaldehyde	50000	0.1 ppbv	0	NA	0.1 ppbv	NA	NA	NA
	O&G well pads	propionaldehyde	123386	3.01 ppbv	1.44	NA	0.81 ppbv	NA	NA	NA
	O&G well pads	naphthalene	91203							
Macey et al. (2014)										
Wyoming (Park County)	separator	benzene	71432	110,000 mcg/m3	NA	NA	NA	NA	NA	NA
	compressor	benzene	71432	35 mcg/m3	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	benzene	71432	23 mcg/m3	NA	NA	NA	NA	NA	NA
	compressor	formaldehyde	50000	46 mcg/m3	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	n-hexane	110543	6.5 mcg/m3	NA	NA	NA	NA	NA	NA
	compressor	n-hexane	110543	770 mcg/m3	NA	NA	NA	NA	NA	NA
	compressor	mixed xylenes	1330207	22 mcg/m3	NA	NA	NA	NA	NA	NA
	compressor	toluene	108883	89 mcg/m3	NA	NA	NA	NA	NA	NA
	separator	n-hexane	110543	1,200,000 mcg/m3	NA	NA	NA	NA	NA	NA
	separator	toluene	108883	2,700,000 mcg/m3	NA	NA	NA	NA	NA	NA
	separator	ethyl benzene	100414	17,000 mcg/m3	NA	NA	NA	NA	NA	NA
	separator	mixed xylenes	1330207	110,000 mcg/m3	NA	NA	NA	NA	NA	NA
	8.6 mcg/m3 (97.5th percentile)									
	NA									
	NA									
	NA									
Wyoming (Fremont County)	separator	benzene	71432	2,200 mcg/m3	NA	NA	NA	NA	NA	8.04 mcg/m3 (97.5th percentile)
	separator	benzene	71432	31 mcg/m3	NA	NA	NA	NA	NA	9.2 mcg/m3 (97.5th percentile)
	separator	toluene	108883	1,400 mcg/m3	NA	NA	NA	NA	NA	8.4 mcg/m3 (97.5th percentile)
	separator	ethyl benzene	100414	1,200 mcg/m3	NA	NA	NA	NA	NA	6.8 mcg/m3 (97.5th percentile)
	separator	mixed xylenes	1330207	4,100 mcg/m3	NA	NA	NA	NA	NA	26 mcg/m3 (97.5th percentile)
	separator	n-hexane	110543	22,000 mcg/m3	NA	NA	NA	NA	NA	8.6 mcg/m3 (97.5th percentile)
NA	separator	n-hexane	110543	30 mcg/m3	NA	NA	NA	NA	NA	7.6 mcg/m3 (97.5th percentile)
	separator	toluene	108883	12 mcg/m3	NA	NA	NA	NA	NA	7.7 mcg/m3 (97.5th percentile)
	separator	cumene/isopropylben- zene	98828	11 mcg/m3	NA	NA	NA	NA	NA	12 mcg/m3 (97.5th percentile)
	separator	n-hexane	110543	200 mcg/m3	NA	NA	NA	NA	NA	89 mcg/m3 (97.5th percentile)
Arkansas	mobile	toluene	108883	12 mcg/m3	NA	NA	NA	NA	NA	37 mcg/m3 (97.5th percentile)
	compressor	formaldehyde	50000	23 - 48 mcg/m3	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
Pennsylvania	compressor	1,3-butadiene	106990	8.5 mg/m ³	NA	NA	NA	NA	NA	NA
	NA	toluene	108883	11 mg/m ³	NA	NA	NA	NA	NA	NA
	compressor	ethyl benzene	108883	56 mg/m ³	NA	NA	NA	NA	NA	NA
	compressor	mixed xylenes	100414	30 mg/m ³	NA	NA	NA	NA	NA	NA
	compressor	formaldehyde	1330207	45 mg/m ³	NA	NA	NA	NA	NA	NA
	compressor	formaldehyde	50000	61 mg/m ³	NA	NA	NA	NA	NA	NA
	other	benzene	71432	5.7 mg/m ³	NA	NA	NA	NA	NA	NA
	other	n-hexane	110543	350 mg/m ³	NA	NA	NA	20.1 ppbv	NA	NA
	other	toluene	108883	8.2 mg/m ³	NA	NA	NA	16.0 ppbv	NA	NA
	NA	toluene	108883	6.7 mg/m ³	NA	NA	NA	274.4 ppbv	NA	NA
Colorado (Weld County)	NA	chlorobenzene	108907	8.3 mg/m ³	NA	NA	NA	4.3 ppbv	NA	NA
	NA	toluene	108883	7.1 mg/m ³	NA	NA	NA	37.9 ppbv	NA	NA
	NA	chlorobenzene	108907	37 mg/m ³	NA	NA	NA	1.8 ppbv	NA	NA
	NA	n-hexane	110543	13 mg/m ³	NA	NA	NA	0.1 ppbv	NA	NA
Ohio	NA	toluene	108883	19 mg/m ³	NA	NA	NA	7.1 ppbv	NA	NA
	NA	mixed xylenes	1330207	11 mg/m ³	NA	NA	NA	NA	NA	NA
Thompson et al. (2014)	Colorado	O&G well pads	n-hexane	110543	1.12 ppbv	0.82	0.79 ppbv	0.56 ppbv	3.24 ppbv	NA
		O&G well pads	benzene	71432	0.57 ppbv	0.22	0.57 ppbv	0.17 ppbv	0.96 ppbv	NA
		O&G well pads	toluene	108883	0.43 ppbv	0.19	0.44 ppbv	0.11 ppbv	0.84 ppbv	NA
		O&G well pads	ethyl benzene	100414	0.05 ppbv	0.03	0.05 ppbv	0.02 ppbv	0.10 ppbv	NA
		O&G well pads	m/p-xylene	108383/106423	0.17 ppbv	0.11	0.15 ppbv	0.03 ppbv	0.41 ppbv	NA
Warnde et al. (2014)	Utah (Utah Basin)	O&G well pads	o-xylene	95476	0.06 ppbv	0.04	0.04 ppbv	0.01 ppbv	0.14 ppbv	NA
		O&G well pads	benzene	71432	NA	NA	NA	NA	NA	NA
		O&G well pads	toluene	108883	NA	NA	NA	NA	NA	NA
		storage tanks	methanol	67561	NA	NA	NA	NA	NA	NA
		storage tanks	toluene	108883	NA	NA	NA	NA	NA	NA
		O&G well pads	methanol	67561	NA	NA	NA	NA	NA	NA
		flowback and produced water storage	methanol	67561	NA	NA	NA	NA	NA	NA
		O&G well pads	toluene	108883	>10 ppbv	NA	NA	NA	NA	NA
		Oil well pad	benzene	67561	>=1 to <100 ppbv	NA	NA	NA	NA	NA
		Oil well pad	toluene	71432	>0.1 to <2 ppbv	NA	NA	NA	NA	NA
		Oil well pad	toluene	108883	>0.1 to <2 ppbv	NA	NA	NA	NA	NA
		Gas well pad	methanol	67561	>= 100 ppbv	NA	NA	NA	NA	NA
		Gas well pad	benzene	71432	>= 100 ppbv	NA	NA	NA	NA	NA
		Gas well pad	toluene	108883	>= 100 ppbv	NA	NA	NA	NA	NA
		Gas well pad	toluene	108883	>= 100 ppbv	NA	NA	NA	NA	NA
Zielinska et al. (2014)	Texas (Barnett Shale)	storage tanks	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA
		storage tanks	n-hexane	110543	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	NA	NA
		storage tanks	toluene	108883	NA	NA	NA	NA	NA	NA
		storage tanks	ethyl benzene	100414	NA	NA	NA	NA	NA	NA
		storage tanks	o-xylene	95476	NA	NA	NA	NA	NA	NA
		storage tanks	m/p-xylene	108383/106423	NA	NA	NA	NA	NA	NA
		storage tanks	styrene	100425	NA	NA	NA	NA	NA	NA
		storage tanks	carbon disulfide	75150	NA	NA	NA	NA	NA	NA
		storage tanks	NMHC	NA	15,044.4 mg/m ³	NA	NA	NA	NA	NA
		storage tanks	formaldehyde	50000	2.4692 mg/m ³	NA	NA	NA	NA	NA
		storage tanks	acetaldehyde	75070	1.4492 mg/m ³	NA	NA	NA	NA	NA
		storage tanks	propionaldehyde	123386	0.2525 mg/m ³	NA	NA	NA	NA	NA
		storage tanks	acrolein	107028	0 mg/m ³	NA	NA	NA	NA	NA
		storage tanks	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA
		storage tanks	n-hexane	110543	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA
		storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	NA	NA	NA	NA	NA	0.00025	NA
	storage tanks	ethyl benzene	108883	NA	NA	NA	NA	NA	0.01281	NA
	storage tanks	o-xylene	100414	NA	NA	NA	NA	NA	0.00981	NA
	storage tanks	m/p-xylene	95476	NA	NA	NA	NA	NA	0.01349	NA
	storage tanks	styrene	108383/106423	NA	NA	NA	NA	NA	0.02532	NA
	storage tanks	carbon disulfide	100425	NA	NA	NA	NA	NA	0.00288	NA
	storage tanks	benzene	75150	NA	NA	NA	NA	NA	0.003	NA
	storage tanks	1,3-butadiene	NA	5.518.8 mcg/m3	NA	NA	NA	NA	0.00698	NA
	storage tanks	acetaldehyde	50000	3.5360 mcg/m3	NA	NA	NA	NA	0.0003	NA
	storage tanks	propionaldehyde	75070	2.8820 mcg/m3	NA	NA	NA	NA		NA
	storage tanks	acrolein	123386	0.4520 mcg/m3	NA	NA	NA	NA	NA	NA
	storage tanks	1,3-butadiene	107028	0 mcg/m3	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	106990	NA	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	110543	NA	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane	71432	NA	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	540841	NA	NA	NA	NA	NA	0.0002	NA
	storage tanks	ethyl benzene	108883	NA	NA	NA	NA	NA	0.01675	NA
	storage tanks	o-xylene	100414	NA	NA	NA	NA	NA	0.01275	NA
	storage tanks	m/p-xylene	95476	NA	NA	NA	NA	NA	0.01257	NA
	storage tanks	styrene	108383/106423	NA	NA	NA	NA	NA	0.01724	NA
	storage tanks	carbon disulfide	100425	NA	NA	NA	NA	NA	0.00195	NA
	storage tanks	1,3-butadiene	75150	NA	NA	NA	NA	NA	0.00214	NA
	storage tanks	1,3-butadiene	107028	128.9 mcg/m3	NA	NA	NA	NA	0.0048	NA
	storage tanks	acetaldehyde	50000	NA	NA	NA	NA	NA	0.0067	NA
	storage tanks	propionaldehyde	75070	NA	NA	NA	NA	NA	NA	NA
	storage tanks	acrolein	123386	NA	NA	NA	NA	NA	NA	NA
	storage tanks	1,3-butadiene	107028	NA	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	106990	NA	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	110543	NA	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane	71432	NA	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	540841	NA	NA	NA	NA	NA	0 weigh	NA
	storage tanks	ethyl benzene	108883	NA	NA	NA	NA	NA	0.02501	NA
	storage tanks	o-xylene	100414	NA	NA	NA	NA	NA	0.00148	NA
	storage tanks	m/p-xylene	95476	NA	NA	NA	NA	NA	0.00206	NA
	storage tanks	styrene	108383/106423	NA	NA	NA	NA	NA	0.00238	NA
	storage tanks	carbon disulfide	100425	NA	NA	NA	NA	NA	0.00011	NA
	storage tanks	benzene	75150	NA	NA	NA	NA	NA	0.0002	NA
	storage tanks	1,3-butadiene	107028	47.3 mcg/m3	NA	NA	NA	NA	0.00085	NA
	storage tanks	acetaldehyde	50000	2.2647 mcg/m3	NA	NA	NA	NA	0.00002	NA
	storage tanks	propionaldehyde	75070	1.3847 mcg/m3	NA	NA	NA	NA	NA	NA
	storage tanks	acrolein	123386	0.3547 mcg/m3	NA	NA	NA	NA	NA	NA
	storage tanks	1,3-butadiene	107028	0 mcg/m3	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	106990	NA	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	110543	NA	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane	71432	NA	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	540841	NA	NA	NA	NA	1.27 mcg/m3	NA	NA
	storage tanks	ethyl benzene	108883	NA	NA	NA	NA	1.57 mcg/m3	NA	NA
	storage tanks	o-xylene	100414	NA	NA	NA	NA	0.18 mcg/m3	NA	NA
	storage tanks	m/p-xylene	95476	NA	NA	NA	NA	0.86 mcg/m3	NA	NA
	storage tanks	styrene	108383/106423	NA	NA	NA	NA	0.36 mcg/m3	NA	NA
	storage tanks	carbon disulfide	100425	NA	NA	NA	NA	1.45 mcg/m3	NA	NA
	storage tanks	1,3-butadiene	75150	NA	NA	NA	NA	0.04 mcg/m3	NA	NA
	storage tanks	acetaldehyde	50000	47.3 mcg/m3	NA	NA	NA	2.34 mcg/m3 (+/-0.05)	NA	NA
	storage tanks	propionaldehyde	75070	2.5840 mcg/m3	NA	NA	NA	1.07 mcg/m3 (+/-0.02)	NA	NA
	storage tanks	acrolein	123386	1.9273 mcg/m3	NA	NA	NA	0.04 mcg/m3	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	compressor	propionaldehyde	123386	0.4227 mg/m3	NA	NA	NA	1.64 mg/m3	NA	NA
	compressor	acrolein	107028	0 mg/m3	NA	NA	NA	1.27 mg/m3	NA	NA
	compressor	1,3-butadiene	106990	NA	NA	NA	NA	1.57 mg/m3	NA	NA
	compressor	n-hexane	110543	NA	NA	NA	NA	0.18 mg/m3	NA	NA
	compressor	benzene	71432	NA	NA	NA	NA	0.86 mg/m3	NA	NA
		2,2,4-trimethylpentane								
	compressor	e	540841	NA	NA	NA	NA	0.36 mg/m3	NA	NA
	compressor	toluene	108883	NA	NA	NA	NA	NA	NA	173 ± 64 kg/h
	compressor	ethyl benzene	100414	NA	NA	NA	NA	592 ppbv	NA	NA
	compressor	o-xylene	95476	NA	NA	NA	NA	2.58 ppbv	NA	NA
	compressor	m/p-xylene	108383/106423	NA	NA	NA	NA	5.33 ppbv	NA	NA
	compressor	styrene	100425	NA	NA	NA	NA	1 ppbv	NA	NA
	compressor	carbon disulfide	75150	NA	NA	NA	NA	113 ppbv	NA	NA
	compressor	NMHC	NA	71 mg/m3	NA	NA	NA	2.6 ppbv	NA	NA
	compressor	formaldehyde	50000	2.9220 mg/m3	NA	NA	NA	43.4 ppbv	NA	NA
	compressor	acetaldehyde	75070	1.9593 mg/m3	NA	NA	NA	2.06 ppbv	NA	NA
	compressor	propionaldehyde	123386	0.4620 mg/m3	NA	NA	NA	2.43 ppbv	NA	NA
	compressor	acrolein	107028	0 mg/m3	NA	NA	NA	276 ppbv	NA	NA
	compressor	1,3-butadiene	106990	NA	NA	NA	NA	13.5 ppbv	NA	NA
	compressor	n-hexane	110543	NA	NA	NA	NA	60.9 ppbv	NA	NA
	compressor	benzene	71432	NA	NA	NA	NA		NA	NA
		2,2,4-trimethylpentane								
	compressor	e	540841	NA	NA	NA	NA	221 ppbv	NA	NA
	compressor	toluene	108883	NA	NA	NA	NA	39.4 ppbv	NA	NA
	compressor	ethyl benzene	100414	NA	NA	NA	NA	103 ppbv	NA	NA
	compressor	o-xylene	95476	NA	NA	NA	NA	36.7 ppbv	NA	NA
	compressor	m/p-xylene	108383/106423	NA	NA	NA	NA	30.3 ppbv	NA	NA
	compressor	styrene	100425	NA	NA	NA	NA	17 ppbv	NA	NA
	compressor	carbon disulfide	75150	NA	NA	NA	NA	1.4 ppbv	NA	NA
	compressor	NMHC	NA	44.6 mg/m3	NA	NA	NA	4.43 ppbv	NA	NA
	compressor	formaldehyde	50000	3.1093 mg/m3	NA	NA	NA	NA	NA	0.5 tons/well in 2009 (0.1 - 1.8)
										0.25 tons/well in 2009 (0.07 - 0.7)
	compressor	acetaldehyde	75070	1.9647 mg/m3	NA	NA	NA	NA	NA	
	compressor	propionaldehyde	123386	0.3153 mg/m3	NA	NA	NA	NA	NA	0.4 tons/well in 2009 (0.02-2.2)
	compressor	acrolein	107028	0 mg/m3	NA	NA	NA	NA	NA	3.8 tons/well in 2009 (0.002-29)
										21 tons/well in 2009 (0.009-145)
	storage tanks	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA	
	storage tanks	n-hexane	110543	NA	NA	NA	NA	NA	NA	0.5 tons/well in 2009 (0.08-0.8)
	storage tanks	benzene	71432	NA	NA	NA	NA	NA	NA	3.3 tons/well in 2009 (2.4-4.4)
		2,2,4-trimethylpentane								
	storage tanks	e	540841	NA	NA	NA	NA	NA	NA	1.0 ton/BCF in 2009 (0.3-0)
	storage tanks	toluene	108883	NA	NA	NA	NA	NA	NA	0.1 tons/well in 2020 (0.02-0.5)
										0.14 tons/well in 2020 (0.03-0.5)
	storage tanks	ethyl benzene	100414	NA	NA	NA	NA	NA	NA	
	storage tanks	o-xylene	95476	NA	NA	NA	NA	NA	NA	0.2 tons/well in 2020 (0.01-1.2)
										1.01 tons/well in 2020 (0.0005-8.3)
	storage tanks	m/p-xylene	108383/106423	NA	NA	NA	NA	NA	NA	5.5 tons/well in 2020 (0.02-37.5)
	storage tanks	styrene	100425	NA	NA	NA	NA	NA	NA	
	storage tanks	carbon disulfide	75150	NA	NA	NA	NA	NA	NA	0.1 tons/well in 2020 (0.02-0.2)
	storage tanks	NMHC	NA	1.5676 mg/m3	NA	NA	NA	NA	NA	0.8 ton/well in 2020 (0.5-1)

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	storage tanks	formaldehyde	50000	1.9020 mg/m ³	NA	NA	NA	NA	NA	0.4 tons/BCE in 2020 (0.06-1.0)
	storage tanks	acetaldehyde	75070	0.8920 mg/m ³	NA	NA	NA	NA	NA	5.0 tons/well in 2009 (0.3-30)
	storage tanks	propionaldehyde	123386	0.2800 mg/m ³	NA	NA	NA	NA	NA	22 tons/well in 2009 (0.4-145)
	storage tanks	acrolein	107028	0 mg/m ³	NA	NA	NA	NA	NA	0.9 tons/well in 2009 (0.3-1.9)
	storage tanks	benzene	71432	NA	NA	NA	0.57 mg/m ³	NA	NA	4.0 tons/well in 2009 (2.6-6)
	storage tanks	toluene	108883	NA	NA	NA	0.80 mg/m ³	NA	NA	1.3 tons/well in 2020 (0.2-5.4)
	storage tanks	ethyl benzene	100414	NA	NA	NA	0.10 mg/m ³	NA	NA	5.6 tons/well in 2020 (10 ⁻³ -3.36)
	storage tanks	m/p-xylene	108383/106423	NA	NA	NA	0.41 mg/m ³	NA	NA	0.2 tons/well in 2020 (0.04-0.6)
	storage tanks	o-xylene	95476	NA	NA	NA	0.16 mg/m ³	NA	NA	1.0 tons/well in 2020 (0.6-1.5)
	storage tanks	n-hexane	110543	NA	NA	NA	1.12 mg/m ³	NA	NA	NA
	storage tanks	1,3-butadiene	106990	NA	NA	NA	0.02 mg/m ³	NA	NA	NA
	storage tanks	formaldehyde	50000	NA	NA	NA	64 mg/m ³ (-0.06	NA	NA	NA
	storage tanks	acetaldehyde	75070	NA	NA	NA	75 mg/m ³ (-0.01	NA	NA	NA
	compressor	1,3-butadiene	106990	0.02 mg/m ³	NA	NA	NA	4.90 mg/m ³	NA	NA
	compressor	n-hexane	110543	1.42 mg/m ³	NA	NA	NA	7.28 mg/m ³	NA	NA
	compressor	benzene	71432	0.68 mg/m ³	NA	NA	NA	28.4 mg/m ³	NA	NA
	compressor	toluene	108883	0.99 mg/m ³	NA	NA	NA	54.3 mg/m ³	NA	NA
	compressor	ethyl benzene	100414	0.1 mg/m ³	NA	NA	NA	10.1 mg/m ³	NA	NA
	compressor	m/p-xylene	108383/106423	0.51 mg/m ³	NA	NA	NA	41.1 mg/m ³	NA	NA
	compressor	o-xylene	95476	0.19 mg/m ³	NA	NA	NA	NA	NA	NA
Goetz et al. (2015)										
Pennsylvania (Marcellus Shale)	compressor	acetonitrile	75058	NA	NA	NA	0.4 ppbv	NA	NA	NA
	production	acetonitrile	75058	NA	NA	NA	0.4 ppbv	NA	NA	NA
	drilling	acetonitrile	75058	NA	NA	NA	0.4 ppbv	NA	NA	NA
	completion	acetonitrile	75058	NA	NA	NA	0.4 ppbv	NA	NA	NA
	compressor	benzene	71432	NA	NA	NA	0.7 ppbv	NA	NA	NA
	production	benzene	71432	NA	NA	NA	0.7 ppbv	NA	NA	NA
	drilling	benzene	71432	NA	NA	NA	0.7 ppbv	NA	NA	NA
	completion	benzene	71432	NA	NA	NA	0.7 ppbv	NA	NA	NA
	compressor	toluene	108883	NA	NA	NA	0.3 ppbv	NA	NA	NA
	production	toluene	108883	NA	NA	NA	0.3 ppbv	NA	NA	NA
	drilling	toluene	108883	NA	NA	NA	0.3 ppbv	NA	NA	NA
	completion	toluene	108883	NA	NA	NA	0.3 ppbv	NA	NA	NA
	compressor	methanol	67561	NA	NA	NA	3.8 ppbv	NA	NA	NA
	production	methanol	67561	NA	NA	NA	3.8 ppbv	NA	NA	NA
	drilling	methanol	67561	NA	NA	NA	3.8 ppbv	NA	NA	NA
	completion	methanol	67561	NA	NA	NA	3.8 ppbv	NA	NA	NA
	compressor	acetaldehyde	75070	NA	NA	NA	1.8 ppbv	NA	NA	NA
	production	acetaldehyde	75070	NA	NA	NA	1.8 ppbv	NA	NA	NA
	drilling	acetaldehyde	75070	NA	NA	NA	1.8 ppbv	NA	NA	NA
	completion	acetaldehyde	75070	NA	NA	NA	1.8 ppbv	NA	NA	NA
Paulik et al. (2015)										
Ohio (Ulrica Basin)	O&G well pads	PAH (including naphthalene)	NA	390 ng/m ³	NA	NA	NA	NA	NA	NA
	O&G well pads	PAH (including naphthalene)	NA	300 ng/m ³	NA	NA	NA	NA	NA	NA
	O&G well pads	PAH (including naphthalene)	NA	270 ng/m ³	NA	NA	NA	NA	NA	NA
Brantley et al. (2015)										
Denver-Julesburg Basin	storage tanks	n-hexane	110543	800914.96 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	166439.63 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane	540841	26931.89 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	199318.74 ppb	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	storage tanks	ethyl benzene	100414	3684.28 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	50816.39 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	1041.67 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	7962.13 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	48568.37 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	16512.43 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	3304.5 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	10943.75 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	361.15 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	2130.35 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	120.23 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	506.84 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	93582.84 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	26535.14 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	separator	e	540841	4099.08 ppb	NA	NA	NA	NA	NA	NA
	separator	toluene	108883	17236.51 ppb	NA	NA	NA	NA	NA	NA
	separator	ethyl benzene	100414	566.84 ppb	NA	NA	NA	NA	NA	NA
	separator	m/p-xylene	108383/106423	5213.1 ppb	NA	NA	NA	NA	NA	NA
	separator	styrene	100425	216.87 ppb	NA	NA	NA	NA	NA	NA
	separator	o-xylene	95476	1273.23 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	1246412.81 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	388467.76 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	75193.32 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	212267.25 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	5189.66 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	41732.9 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	2637.13 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	10724.51 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	6299.97 ppb	NA	NA	NA	NA	NA	NA
	separator	benzene	71432	193504 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	separator	e	540841	428.16 ppb	NA	NA	NA	NA	NA	NA
	separator	toluene	108883	1018.37 ppb	NA	NA	NA	NA	NA	NA
	separator	ethyl benzene	100414	47.26 ppb	NA	NA	NA	NA	NA	NA
	separator	m/p-xylene	108383/106423	330.48 ppb	NA	NA	NA	NA	NA	NA
	separator	styrene	100425	21.67 ppb	NA	NA	NA	NA	NA	NA
	separator	o-xylene	95476	102.58 ppb	NA	NA	NA	NA	NA	NA
	separator	n-hexane	110543	4206314.43 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	1266029.67 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	267009.92 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	505741.12 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	8570.57 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	79016.45 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	4244.09 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	27430.82 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	2591583.23 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	783934.64 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	164636.65 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	313849.51 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	3704.02 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	49119.97 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	3704.02 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	18340.73 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	83628.46 ppb	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	storage tanks	benzene 2,2,4-trimethylpentan e	71432	27939.24 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	540841	5552.47 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	17523.25 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	728.07 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	108383/106423	108383/106423	5647.63 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	334.27 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	1546.25 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	2176.5948 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	77769.56 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentan e	540841	14635.43 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	43652.72 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	1881.92 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	14068.36 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	886.99 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	4026.39 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	191698.39 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	56662.8 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentan e	540841	11025.29 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	27118.39 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	1142.63 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	9385.5 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	533.06 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	2664.3 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	126769 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	36853 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentan e	540841	7128 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	17656 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	644 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	5315 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	336 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	1480 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	4750.76 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	125712 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentan e	540841	260.52 ppb	NA	NA	NA	NA	NA	NA
	dehydrator	toluene	108883	856.25 ppb	NA	NA	NA	NA	NA	NA
	dehydrator	ethyl benzene	100414	31.14 ppb	NA	NA	NA	NA	NA	NA
	dehydrator	m/p-xylene	108383/106423	276.28 ppb	NA	NA	NA	NA	NA	NA
	dehydrator	styrene	100425	14.42 ppb	NA	NA	NA	NA	NA	NA
	dehydrator	o-xylene	95476	76.83 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	289315.42 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	97477.6 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentan e	540841	21646.05 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	56390.34 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	1980.91 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	13571.84 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	849.19 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	151.04 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	52.92 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	238.38 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentan e	540841	59.36 ppb	NA	NA	NA	NA	NA	NA
	completion	toluene	108883	411.52 ppb	NA	NA	NA	NA	NA	NA
	completion	ethyl benzene	100414	39.69 ppb	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	completion	m/p-xylene	108383/106423	209.6 ppb	NA	NA	NA	NA	NA	NA
	completion	styrene	100425	39.69 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	39.69 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	13494.73 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	3884.72 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	756.77 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	1866.85 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	73.67 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	591.9 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	28.72 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	166.6 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	86784.72 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	30159.23 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	6046.45 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	19873.08 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	724.47 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	7754.85 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	387.72 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	1825.2 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	859919.5 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	258047.38 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	51475.09 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	280174.17 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	10786.87 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	12661.19 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	3759.18 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	21492.42 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	4916.33 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	1416.08 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	separator	e	540841	270.21 ppb	NA	NA	NA	NA	NA	NA
	separator	toluene	108883	1598.05 ppb	NA	NA	NA	NA	NA	NA
	separator	ethyl benzene	100414	72.79 ppb	NA	NA	NA	NA	NA	NA
	separator	m/p-xylene	108383/106423	906.67 ppb	NA	NA	NA	NA	NA	NA
	separator	styrene	100425	25.46 ppb	NA	NA	NA	NA	NA	NA
	separator	o-xylene	95476	216.53 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	1939375.32 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	555022.29 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	105598.72 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	533052.83 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	19468.78 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	233189.97 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	7072.81 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	47648.8 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	7383021.21 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	1884206.38 ppb	NA	NA	NA	NA	NA	NA
		2,2,4-trimethylpentan								
	storage tanks	e	540841	296153.96 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	1025540.53 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	15880.8 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	159478.96 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	15880.8 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	15880.8 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	50.09 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	39311.71 ppb	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	6541.4 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	44340.77 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	827.24 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	108383/106423	11202.12 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	100425	193.59 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	95476	1874.88 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	110543	109467.67 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane	71432	24765.15 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	e	540841	3995.11 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	27777.81 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	468.71 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	5891.45 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	99.65 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	938.03 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	499581.34 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	145535.87 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	e	540841	35486.04 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	55477.92 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	3149 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	14470.84 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	1727.35 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	7228.82 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	4917231.27 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	1360961.7 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	e	540841	321149.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	300854.57 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	37784.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	37784.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	37784.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	37784.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	426396.42 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	114442.18 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	e	540841	25379.96 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	42774.94 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	2352.59 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	10311.51 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	926.15 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	4109.56 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	931692 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	250298 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	e	540841	55518 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	89090 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	3487 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	18830 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	100425	1809 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	7432 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	4030.71 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	365907.44 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	e	540841	59125.66 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	toluene	108883	196753.91 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	100414	3023.04 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	108383/106423	22884.29 ppb	NA	NA	NA	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	storage tanks	styrene	100425	3023.04 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	95476	3023.04 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m-xylene	110543	330.45 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	114766.46 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	29630.86 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	45067.08 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	2643.32 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	108383/106423	13599.85 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	100425	1602.12 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	95476	5365.51 ppb		NA	NA	NA	NA	NA	NA
	flowback and produced water storage	n-hexane	110543	30077.95 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	benzene	71432	21135.65 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	2,2,4-trimethylpentane								
	flowback and produced water storage	toluene	540841	9336.17 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	ethyl benzene	108883	23881.9 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	m/p-xylene	100414	3060.12 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	styrene	108383/106423	9129.43 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	o-xylene	100425	1101.62 ppb	NA	NA	NA	NA	NA	NA
	flowback and produced water storage	95476	2781.98 ppb		NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	24293.26 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	1184889.7 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	302375.62 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	362583.06 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	18219.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	108383/106423	51927.67 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	100425	18219.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	95476	18219.95 ppb		NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	1144.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	543990.94 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	139237.18 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	230532.19 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	17031.88 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	108383/106423	67824.03 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	100425	7210.65 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	95476	27852.77 ppb		NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	5717406.45 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	1623278.19 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	401979.8 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	534693.71 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	12646.74 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	108383/106423	83619.87 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	100425	14901.06 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	95476	47014.67 ppb		NA	NA	NA	NA	NA	NA
	storage tanks	n-hexane	110543	27.95 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	benzene	71432	18069.74 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	2,2,4-trimethylpentane								
	storage tanks	toluene	540841	4856.77 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	ethyl benzene	108883	9677.22 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	m/p-xylene	100414	1258.46 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	styrene	108383/106423	3885.08 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	o-xylene	100425	363.92 ppb	NA	NA	NA	NA	NA	NA
	storage tanks	95476	1571.22 ppb		NA	NA	NA	93 ppbv	NA	NA
Personal-level Measurements										
Esswein et al. (2014)										

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
Colorado and Wyoming	flowback and produced water storage	benzene	71432	NA	NA	NA	0.007 ppm	ND	NA	NA
	flowback and produced water storage	benzene	71432	NA	NA	NA	0.11 ppm	ND	NA	NA
	flowback and produced water storage	benzene	71432	NA	NA	NA	0.02 ppm	ND	NA	NA
	flowback and produced water storage	benzene	71432	NA	NA	NA	0.004 ppm	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.3 ppm	0.07	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.11 ppm	0.005	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.15 ppm	0.015	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.37 ppm	0.11	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.02 ppm	NA	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.01 ppm	0.03	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.05 ppm	0.04	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.05 ppm	0.02	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.06 ppm	0.02	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	0.004 ppm	NA	NA	NA	ND	NA	NA
	flowback and produced water storage	naphthalene	91203	NA	NA	NA	NA	ND	NA	NA
	flowback and produced water storage	benzene	71432	NA	NA	NA	NA	ND	NA	NA

Regional and Local-level Measurements

Lan et al. (2014)	compressor processing	THg (total mercury)	METAL	NA	NA	NA	NA	NA	NA	7.9 kg/yr
	processing	THg (total mercury)	METAL	NA	NA	NA	NA	392 ppbv	NA	NA
Ethridge et al. (2015)		THg (total mercury)	METAL	NA	NA	NA	NA	NA	NA	0.3 kg/yr
Texas	O&G well pads	benzene	71432	NA	NA	NA	0.07 ppbv	29 ppbv	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	0.01 ppbv	130 ppbv	NA	NA
	O&G well pads	m/p-xylene	108383/106423	NA	NA	NA	0.02 ppbv	190 ppbv	NA	NA
	O&G well pads	n-hexane	110543	NA	NA	NA	0.005 ppbv	39 ppbv	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	NA	0.005 ppbv	120 ppbv	NA	NA
	O&G well pads	toluene	108883	NA	NA	NA	0.06 ppbv	15,000 ppbv	NA	NA
	completion	benzene	71432	NA	NA	NA	NA	20,000 ppbv	NA	NA
	completion	m/p-xylene	108383/106423	NA	NA	NA	NA	310,000 ppbv	NA	NA
	completion	n-hexane	110543	NA	NA	NA	NA	0.01 ppbv	NA	NA
	completion	o-xylene	95476	NA	NA	NA	NA	21,000 ppbv	NA	NA
	completion	toluene	108883	NA	NA	NA	NA	95 ppbv	NA	NA
	O&G well pads	benzene	71432	NA	NA	NA	0.11 ppbv	80 ppbv	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	0.005 ppbv	980 ppbv	NA	NA
	O&G well pads	m/p-xylene	108383/106423	NA	NA	NA	0.01 ppbv	400 ppbv	NA	NA
	O&G well pads	n-hexane	110543	NA	NA	NA	0.005 ppbv	210 ppbv	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	NA	0.005 ppbv	710 ppbv	NA	NA
	O&G well pads	toluene	108883	NA	NA	NA	0.07 ppbv	290 ppbv	NA	NA
	O&G well pads	benzene	71432	NA	NA	NA	0.005 ppbv	80 ppbv	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	0.005 ppbv	980 ppbv	NA	NA
	O&G well pads	m/p-xylene	108383/106423	NA	NA	NA	0.005 ppbv	1,700 ppbv	NA	NA
	O&G well pads	n-hexane	110543	NA	NA	NA	0.005 ppbv	210 ppbv	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	NA	0.005 ppbv	710 ppbv	NA	NA
	O&G well pads	toluene	108883	NA	NA	NA	0.005 ppbv	617 ppbv	NA	0.03 (mode)
	O&G well pads	benzene	71432	0.19 ppbv	0.2	0.13 ppbv	NA	3.41 ppbv	NA	0.025 (mode)
	O&G well pads	ethyl benzene	100414	0.05 ppbv	0.09	0.03 ppbv	NA	13.4 ppbv	NA	0.10 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.16 ppbv	0.28	0.07 ppbv	NA	9.54 ppbv	NA	0.025 (mode)
	O&G well pads	n-hexane	110543	0.31 ppbv	0.37	0.19 ppbv	NA	4.78 ppbv	NA	0.025 (mode)
	O&G well pads	o-xylene	95476	0.06 ppbv	0.09	0.03 ppbv	NA	173 ppbv	NA	0.0028 (mode)
	O&G well pads	toluene	108883	0.48 ppbv	1.02	0.27 ppbv	NA	16.5 ppbv	NA	0.03 (mode)
	O&G well pads	benzene	71432	0.19 ppbv	0.21	0.14 ppbv	NA	8.8 ppbv	NA	0.025 (mode)

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	O&G well pads	ethyl benzene	100414	0.072 ppbv	0.12	0.036 ppbv	NA	29.9 ppbv	NA	0.025 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.24 ppbv	0.12	0.036 ppbv	NA	9.37 ppbv	NA	0.082 (mode)
	O&G well pads	n-hexane	110543	0.25 ppbv	0.29	0.11 ppbv	NA	8.87 ppbv	NA	0.025 (mode)
	O&G well pads	o-xylene	95476	0.088 ppbv	0.44	0.042 ppbv	NA	76.4 ppbv	NA	0.14 (mode)
	O&G well pads	toluene	108883	0.57 ppbv	1.06	0.29 ppbv	NA	2.52 ppbv	NA	0.033 (mode)
	O&G well pads	benzene	71432	0.15 ppbv	1.28	0.11 ppbv	NA	1.28 ppbv	NA	0.02 (mode)
	O&G well pads	ethyl benzene	100414	0.028 ppbv	0.02	0.025 ppbv	NA	4.6 ppbv	NA	0.025 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.073 ppbv	0.11	0.041 ppbv	NA	43.9 ppbv	NA	0.025 (mode)
	O&G well pads	n-hexane	110543	0.5 ppbv	1.1	0.19 ppbv	NA	1.58 ppbv	NA	0.09 (mode)
	O&G well pads	o-xylene	95476	0.028 ppbv	0.03	0.025 ppbv	NA	26.2 ppbv	NA	0.09 (mode)
	O&G well pads	toluene	108883	0.25 ppbv	0.39	0.15 ppbv	NA	4.09 ppbv	NA	0.033 (mode)
	O&G well pads	benzene	71432	0.11 ppbv	0.08	0.087 ppbv	NA	0.95 ppbv	NA	0.025 (mode)
	O&G well pads	ethyl benzene	100414	0.024 ppbv	0.01	0.025 ppbv	NA	2.73 ppbv	NA	0.025 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.052 ppbv	0.06	0.026 ppbv	NA	74.5 ppbv	NA	0.033 (mode)
	O&G well pads	n-hexane	110543	0.30 ppbv	0.74	0.15 ppbv	NA	0.53 ppbv	NA	0.025 (mode)
	O&G well pads	o-xylene	95476	0.025 ppbv	0.01	0.025 ppbv	NA	8.91 ppbv	NA	0.028 (mode)
	O&G well pads	toluene	108883	0.15 ppbv	0.17	0.097 ppbv	NA	5.37 ppbv	NA	0.14 (mode)
	O&G well pads	benzene	71432	0.16 ppbv	0.11	0.14 ppbv	NA	2.67 ppbv	NA	0.025 (mode)
	O&G well pads	ethyl benzene	100414	0.025 ppbv	0.03	0.024 ppbv	NA	9.1 ppbv	NA	0.025 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.08 ppbv	0.13	0.056 ppbv	NA	93.9 ppbv	NA	0.17 (mode)
	O&G well pads	n-hexane	110543	0.71 ppbv	1.15	0.45 ppbv	NA	3.47 ppbv	NA	0.025 (mode)
	O&G well pads	o-xylene	95476	0.027 ppbv	0.04	0.025 ppbv	NA	22.1 ppbv	NA	0.10 (mode)
	O&G well pads	toluene	108883	0.26 ppbv	0.04	0.20 ppbv	NA	8.63 ppbv	NA	0.033 (mode)
	O&G well pads	benzene	71432	0.13 ppbv	0.04	0.11 ppbv	NA	3.49 ppbv	NA	0.025 (mode)
	O&G well pads	ethyl benzene	100414	0.028 ppbv	0.04	0.025 ppbv	NA	13.61 ppbv	NA	0.025 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.076 ppbv	0.17	0.051 ppbv	NA	18.5 ppbv	NA	0.033 (mode)
	O&G well pads	n-hexane	110543	0.24 ppbv	0.35	0.15 ppbv	NA	4.77 ppbv	NA	0.025 (mode)
	O&G well pads	o-xylene	95476	0.03 ppbv	0.06	0.025 ppbv	NA	22.89 ppbv	NA	0.028 (mode)
	O&G well pads	toluene	108883	0.22 ppbv	0.27	0.16 ppbv	NA	1.73 ppbv	NA	0.03 (mode)
	O&G well pads	benzene	71432	0.13 ppbv	0.11	0.10 ppbv	NA	0.74 ppbv	NA	0.025 (mode)
	O&G well pads	ethyl benzene	100414	0.08 ppbv	0.12	0.025 ppbv	NA	2.52 ppbv	NA	0.025 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.22 ppbv	0.27	0.13 ppbv	NA	3.70 ppbv	NA	0.03 (mode)
	O&G well pads	n-hexane	110543	0.22 ppbv	0.27	0.13 ppbv	NA	0.64 ppbv	NA	0.025 (mode)
	O&G well pads	o-xylene	95476	0.03 ppbv	0.04	0.025 ppbv	NA	7.15 ppbv	NA	0.05 (mode)
	O&G well pads	toluene	108883	0.24 ppbv	0.34	0.13 ppbv	NA	1.06 ppbv	NA	0.033 (mode)
	O&G well pads	benzene	71432	0.14 ppbv	0.13	0.10 ppbv	NA	0.36 ppbv	NA	0.012 (mode)
	O&G well pads	ethyl benzene	100414	0.033 ppbv	0.04	0.21 ppbv	NA	1.28 ppbv	NA	0.027 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.098 ppbv	0.13	0.052 ppbv	NA	3.79 ppbv	NA	0.033 (mode)
	O&G well pads	n-hexane	110543	0.24 ppbv	0.29	0.14 ppbv	NA	0.43 ppbv	NA	0.015 (mode)
	O&G well pads	o-xylene	95476	0.035 ppbv	0.04	0.021 ppbv	NA	3.94 ppbv	NA	0.09 (mode)
	O&G well pads	toluene	108883	0.31 ppbv	0.38	0.17 ppbv	NA	1.24 ppbv	NA	0.21 (mode)
	O&G well pads	benzene	71432	0.27 ppbv	0.16	0.24 ppbv	NA	0.72 ppbv	NA	0.16 (mode)
	O&G well pads	ethyl benzene	100414	0.10 ppbv	0.07	0.08 ppbv	NA	1.98 ppbv	NA	0.34 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.22 ppbv	0.19	0.16 ppbv	NA	1.04 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.16 ppbv	0.13	0.12 ppbv	NA	0.5 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.1 ppbv	0.07	0.08 ppbv	NA	3.66 ppbv	NA	0.26 (mode)
	O&G well pads	toluene	108883	0.45 ppbv	0.42	0.32 ppbv	NA	0.82 ppbv	NA	0.19 (mode)
	O&G well pads	benzene	71432	0.25 ppbv	0.13	0.24 ppbv	NA	0.42 ppbv	NA	0.16 (mode)
	O&G well pads	ethyl benzene	100414	0.09 ppbv	0.05	0.07 ppbv	NA	0.9 ppbv	NA	0.11 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.18 ppbv	0.12	0.15 ppbv	NA	1.13 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.22 ppbv	0.16	0.18 ppbv	NA	0.3 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.08 ppbv	0.06	0.06 ppbv	NA	10.92 ppbv	NA	0.23 (mode)
	O&G well pads	toluene	108883	0.40 ppbv	0.56	0.31 ppbv	NA	3.31 ppbv	NA	0.14 (mode)
	O&G well pads	benzene	71432	0.23 ppbv	0.22	0.19 ppbv	NA	0.43 ppbv	NA	0.16 (mode)
	O&G well pads	ethyl benzene	100414	0.08 ppbv	0.06	0.05 ppbv	NA	1.93 ppbv	NA	0.34 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.17 ppbv	0.16	0.11 ppbv	NA	85.76 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.68 ppbv	3.29	0.18 ppbv	NA	0.48 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.10 ppbv	0.07	0.08 ppbv	NA	1.93 ppbv	NA	0.13 (mode)
	O&G well pads	toluene	108883	0.39 ppbv	1.9	0.22 ppbv	NA	12.79 ppbv	NA	0.14 (mode)

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	O&G well pads	benzene	71432	0.25 ppbv	0.64	0.18ppbv	NA	8.18 ppbv	NA	0.16 (mode)
	O&G well pads	ethyl benzene	100414	0.11 ppbv	0.36	0.06 ppbv	NA	32.05 ppbv	NA	0.34 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.25 ppbv	1.43	0.10 ppbv	NA	79.94 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.40 ppbv	3.11	0.10 ppbv	NA	10.31 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.13 ppbv	0.44	0.09 ppbv	NA	40.27 ppbv	NA	0.08 (mode)
	O&G well pads	toluene	108883	0.40 ppbv	2.14	0.16 ppbv	NA	0.52 ppbv	NA	0.14 (mode)
	O&G well pads	benzene	71432	0.19 ppbv	0.09	0.18 ppbv	NA	0.16 ppbv	NA	0.16 (mode)
	O&G well pads	ethyl benzene	100414	0.07 ppbv	0.05	0.04 ppbv	NA	0.54 ppbv	NA	0.34 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.12 ppbv	0.1	0.08 ppbv	NA	0.84 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.14 ppbv	0.11	0.10 ppbv	NA	0.17 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.08 ppbv	0.06	0.04 ppbv	NA	9.49 ppbv	NA	0.10 (mode)
	O&G well pads	toluene	108883	0.23 ppbv	0.45	0.17 ppbv	NA	4.54 ppbv	NA	0.16 (mode)
	O&G well pads	benzene	71432	0.24 ppbv	0.21	0.20 ppbv	NA	0.53 ppbv	NA	0.16 (mode)
	O&G well pads	ethyl benzene	100414	0.07 ppbv	0.06	0.05 ppbv	NA	1.54 ppbv	NA	0.34 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.12 ppbv	0.13	0.08 ppbv	NA	0.78 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.13 ppbv	0.09	0.10 ppbv	NA	0.51 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.08 ppbv	0.06	0.06 ppbv	NA	25.22 ppbv	NA	0.17 (mode)
	O&G well pads	toluene	108883	0.27 ppbv	1.05	0.22 ppbv	NA	3.84 ppbv	NA	0.14 (mode)
	O&G well pads	benzene	71432	0.20 ppbv	0.18	0.18 ppbv	NA	0.23 ppbv	NA	0.04 (mode)
	O&G well pads	ethyl benzene	100414	0.07 ppbv	0.06	0.07 ppbv	NA	1.10 ppbv	NA	0.34 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.11 ppbv	0.13	0.05 ppbv	NA	1.74 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.10 ppbv	0.09	0.10 ppbv	NA	0.37 ppbv	NA	0.17 (mode)
	O&G well pads	o-xylene	95476	0.09 ppbv	0.07	0.07 ppbv	NA	11.18 ppbv	NA	0.09 (mode)
	O&G well pads	toluene	108883	0.15 ppbv	0.5	0.11 ppbv	NA	1.09 ppbv	NA	0.14 (mode)
	O&G well pads	benzene	71432	0.17 ppbv	0.08	0.16 ppbv	NA	0.19 ppbv	NA	0.03 (mode)
	O&G well pads	ethyl benzene	100414	0.04 ppbv	0.03	0.03 ppbv	NA	0.54 ppbv	NA	0.05 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.04 ppbv	0.06	0.06 ppbv	NA	3.47 ppbv	NA	0.55 (mode)
	O&G well pads	n-hexane	110543	0.81 ppbv	0.52	0.65 ppbv	NA	0.21 ppbv	NA	0.02 (mode)
	O&G well pads	o-xylene	95476	0.05 ppbv	0.04	0.03 ppbv	NA	0.55 ppbv	NA	0.15 (mode)
	O&G well pads	toluene	108883	0.17 ppbv	0.08	0.15 ppbv	NA	0.35 ppbv	NA	0.10 (mode)
	O&G well pads	benzene	71432	0.18 ppbv	0.07	0.17 ppbv	NA	0.13 ppbv	NA	0.03 (mode)
	O&G well pads	ethyl benzene	100414	0.04 ppbv	0.04	0.03 ppbv	NA	0.32 ppbv	NA	0.02 (mode)
	O&G well pads	m/p-xylene	108383/106423	0.06 ppbv	0.05	0.04 ppbv	NA	0.53 ppbv	NA	0.10 (mode)
	O&G well pads	n-hexane	110543	0.13 ppbv	0.09	0.10 ppbv	NA	0.13 ppbv	NA	0.13 (mode)
	O&G well pads	o-xylene	95476	0.07 ppbv	0.05	0.04 ppbv	NA	0.46 ppbv	NA	0.09 (mode)
	O&G well pads	toluene	108883	0.15 ppbv	0.09	0.12 ppbv	NA	756 ppbv	NA	NA

Regional-level Measurements

Edwards et al. (2013)										
Utah (Utman Basin)										
	O&G well pads	VOCs	NA	2.30 ppbv	NA	NA	NA	NA	NA	NA
Gilman et al. (2013)										
BAO Tower (Weid County, Colorado)										
	O&G well pads	n-hexane	110543	1.1 ppbv	1.3 (1 sigma)	0.6 ppbv	0.014 ppbv	NA	NA	NA
	O&G well pads	benzene	71432	0.29 ppbv	0.21 (1 sigma)	0.23 ppbv	0.075 ppbv	NA	NA	NA
	O&G well pads	toluene	108883	0.30 ppbv	0.29 (1 sigma)	0.21 ppbv	0.016 ppbv	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	0.11 ppbv	0.10 (1 sigma)	0.075 ppbv	0.005 ppbv	NA	NA	NA
	O&G well pads	o-xylene	95476	0.03 ppbv	0.03 (1 sigma)	0.023 ppbv	<LOD	NA	0.03382	NA
Swarthout et al. (2013)										
BAO Tower (Weid County, Colorado)										
	O&G well pads	carbonyl sulfide	463581	411 ppv	32 ppv	411 ppv	324 ppv	NA	0.00207	NA
	O&G well pads	acetonitrile	75058	139 ppv	80 ppv	113 ppv	36 ppv	NA	0.00218	NA
	O&G well pads	formaldehyde	50000	965 ppv	NA	NA	NA	NA	0.000395	NA
	O&G well pads	methanol	67561	4.660 ppv	2.240 ppv	4.300 ppv	875 ppv	NA	0.00011	NA
	O&G well pads	acetaldehyde	75070	703 ppv	584 ppv	520 ppv	59 ppv	NA	0.0003	NA
	O&G well pads	styrene	100425	5 ppv	5 ppv	3 ppv	1 ppv	NA	0.000143	NA
	O&G well pads	o-xylene	95476	23 ppv	26 ppv	13 ppv	1 ppv	NA	0	NA
	O&G well pads	m/p-xylene	108383/106423	74 ppv	91 ppv	40 ppv	2 ppv	NA	NA	NA
	O&G well pads	ethyl benzene	100414	17 ppv	19 ppv	10 ppv	1 ppv	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	O&G well pads	toluene	108883	190 ppbv	190 ppbv	126 ppbv	10 ppbv	NA	NA	NA
	O&G well pads	benzene	71432	186 ppbv	126 ppbv	146 ppbv	39 ppbv	NA	NA	NA
		2,2,4-trimethylpentane								
	O&G well pads	e	540841	44 ppbv	43 ppbv	29 ppbv	3 ppbv	NA	NA	NA
Lan et al. (2014) Texas	O&G well pads	n-hexane	110543	528 ppbv	620 ppbv	288 ppbv	7 ppbv	NA	NA	NA
	O&G well pads	THg (total mercury)	METAL	140 ppqv	NA	138 ppqv	73 ppqv	963 ppqv	NA	NA
Pekney et al. (2014) Pennsylvania	Oil well pads	n-hexane	110543	0.12 ppb	NA	NA	NA	NA	0.00026	NA
	Oil well pads	benzene	71432	0.4 ppb	NA	NA	NA	NA	0.03694	NA
	Oil well pads	toluene	108883	1.6 ppb	NA	NA	NA	NA	0.00811	NA
	Oil well pads	ethyl benzene	100414	0.35 ppb	NA	NA	NA	NA	0.06342	NA
	Oil well pads	m/p-xylene	108383/106423	0.75 ppb	NA	NA	NA	NA	0.02471	NA
	Oil well pads	styrene	100425	2.1 ppb	NA	NA	NA	NA	0.00482	NA
	Oil well pads	o-xylene	95476	NA	NA	NA	NA	NA	0.00661	NA
	Oil well pads	n-hexane	110543	0.7 ppb	NA	NA	NA	NA	0.01712	NA
	O&G well pads	benzene	71432	0.2 ppb	NA	NA	NA	NA	0.00066	NA
	O&G well pads	toluene	108883	1.9 ppb	NA	NA	NA	NA	NA	NA
	O&G well pads	ethyl benzene	100414	0.2 ppb	NA	NA	NA	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	0.6 ppb	NA	NA	NA	NA	NA	NA
	O&G well pads	styrene	100425	1.3 ppb	NA	NA	NA	NA	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	NA	NA	NA	NA	NA
Rappengluck et al. (2014) Wyoming (Green River Basin)	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	2.180 ppbv	NA	NA
	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	1.995 ppbv	NA	NA
	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	1.182 ppbv	NA	NA
	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	0.9260 ppbv	NA	NA
	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	1.346 ppbv	NA	NA
	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	1.105 ppbv	NA	NA
	O&G well pads	formaldehyde	50000	NA	NA	NA	NA	1.20 ppbv	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	2.20 ppbv	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	2.00 ppbv	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	1.00 ppbv	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	1.60 ppbv	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	1.40 ppbv	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	NMHC	NA	NA	NA	NA	NA	NA	NA	NA
Rich et al. (2014) Texas (Barnett Shale)	compressor	benzene	71432	18.53 ppbv	83.75	0.89 ppbv	0.6 ppbv	NA	NA	NA
	O&G well pads	chloroform	67663	0.45 ppbv	0.46	0.3 ppbv	0.2 ppbv	NA	NA	NA
		methyl chloride								
	O&G well pads	(chloromethane)	74873	0.68 ppbv	0.71	0.6 ppbv	0.25 ppbv	NA	NA	NA
		ethylene dichloride								
	O&G well pads	(1,2-dichloroethane)	107062	0.34 ppbv	0.15	0.3 ppbv	0.2 ppbv	NA	NA	NA
		methylene chloride								
	O&G well pads	(dichloromethane)	75092	0.34 ppbv	0.15	0.3 ppbv	0.2 ppbv	NA	NA	NA
	compressor	ethyl benzene	100414	4.42 ppbv	16.03	0.53 ppbv	0.2 ppbv	NA	NA	NA
	O&G well pads	hexachlorocyclopentadiene	87683	0.73 ppbv	0.46	0.7 ppbv	0.25 ppbv	NA	NA	NA
	O&G well pads	styrene	100425	1.91 ppbv	6.22	0.37 ppbv	0.2 ppbv	NA	NA	NA
		1,1,2,2-tetrachloroethane								
	O&G well pads	tetrachloroethylene	79345	0.37 ppbv	0.28	0.3 ppbv	0.2 ppbv	NA	NA	NA
		(perchloroethylene)								
	O&G well pads	toluene	127184	0.33 ppbv	0.39	0.3 ppbv	0.2 ppbv	NA	NA	NA
	compressor	1,2,4-trichlorobenzene	108883	48.77 ppbv	NA	2.55 ppbv	0.34 ppbv	NA	NA	NA
	O&G well pads	trichloroethylene	120821	1.12 ppbv	1.93	0.67 ppbv	0.28 ppbv	NA	NA	NA
	O&G well pads	m/p-xylene	79016	1.58 ppbv	8.48	0.3 ppbv	0.2 ppbv	NA	NA	NA
	compressor	m/p-xylene	108383/106423	15.69 ppbv	43.1	1.68 ppbv	0.25 ppbv	NA	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	compressor	o-xylene	95476	3.19 ppbv	6.7	0.83 ppbv	0.2 ppbv	NA	NA	NA
	O&G well pads	carbon disulfide	75150	11.75 ppbv	20.5	4 ppbv	0.7 ppbv	0.92 mg/m3	NA	NA
	O&G well pads	carbonyl sulfide	463561	4.22 ppbv	7.1	1.41 ppbv	0.3 ppbv	NA	NA	NA
	O&G well pads	naphthalene	91203	2.5 ppbv	4.27	1.4 ppbv	0.3 ppbv	NA	NA	NA
	O&G well pads	2,2,4-trimethylpentane	540841	1.95 ppbv	2.29	1.4 ppbv	0.3 ppbv	NA	NA	NA
	O&G well pads	n-hexane	110543	2.46 ppbv	4.81	1.4 ppbv	0.3 ppbv	NA	NA	NA
	O&G well pads	1,4-dichlorobenzene	106467	0.55 ppbv	0.66	0.3 ppbv	0.2 ppbv	NA	NA	NA
Zavala-Ariza et al (2014)										
Texas (Barnett Shale)		benzene	71432	0.51 ppbc	NA	0.44 ppbc	NA	ND	NA	NA
		benzene	71432	0.79 ppbc	NA	0.68 ppbc	NA	ND	NA	NA
Field et al. (2015)										
Wyoming (Sublette)		n-hexane	110543	0.6 ppbv	NA	0.3 ppbv	NA	NA	NA	NA
	O&G well pads	benzene	71432	1.0 ppbv	NA	0.6 ppbv	NA	NA	NA	NA
	O&G well pads	toluene	108883	2.8 ppbv	NA	1.7 ppbv	NA	NA	NA	NA
	O&G well pads	ethyl benzene	100414	0.2 ppbv	NA	0.2 ppbv	NA	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	1.2 ppbv	NA	0.7 ppbv	NA	NA	NA	NA
	O&G well pads	styrene	100425	0.2 ppbv	NA	0.2 ppbv	0 ppbv	NA	NA	24,383 tpy (2012)
	O&G well pads	1,3-butadiene	106990	0 ppbv	NA	0 ppbv	0 ppbv	NA	NA	21,618 tpy (2018)
	O&G well pads	o-xylene	95476	0.3 ppbv	NA	0.2 ppbv	NA	360 ppbv	NA	89 ppbv
Koss et al. (2015)										
Utah (Utah Basin)		benzene	71432	3.3 ppbv	1.92 ppbv	NA	NA	360 ppbv	NA	96 ppbv
	O&G well pads	toluene	108883	4 ppbv	2.76 ppbv	NA	NA	252 ppbv	NA	98 ppbv
	O&G well pads	acetaldehyde	75070	4.27 ppbv	2.39 ppbv	NA	NA	2081 ppbv	NA	82 ppbv
	O&G well pads	methanol	67561	44.9 ppbv	33.4 ppbv	NA	NA	550 ppbv	NA	139 ppbv
	O&G well pads	formaldehyde	50000	3.71 ppbv	1.49 ppbv	NA	NA	1,546 ppbv	NA	458 ppbv
	O&G well pads	n-hexane	110543	8.32 ppbv	4.65 ppbv	NA	NA	795 ppbv	NA	119 ppbv
Swartout et al. (2015)										
Pennsylvania (Marcellus Shale)		benzene	71432	NA	NA	75 ppbv	34 ppbv	371 ppbv	NA	133 ppbv
	O&G well pads	benzene	71432	NA	NA	92 ppbv	53 ppbv	489 ppbv	NA	137 ppbv
	O&G well pads	n-hexane	110543	NA	NA	83 ppbv	13 ppbv	146 ppbv	NA	39 ppbv
	O&G well pads	n-hexane	110543	NA	NA	48 ppbv	18 ppbv	161 ppbv	NA	43 ppbv
	O&G well pads	n-hexane	110543	NA	NA	69 ppbv	65 ppbv	206 ppbv	NA	39 ppbv
	O&G well pads	toluene	108883	NA	NA	381 ppbv	22 ppbv	61 ppbv	NA	18 ppbv
	O&G well pads	toluene	108883	NA	NA	91 ppbv	61 ppbv	38 ppbv	NA	12 ppbv
	O&G well pads	toluene	108883	NA	NA	120 ppbv	31 ppbv	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	NA	NA	29 ppbv	3 ppbv	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	NA	NA	36 ppbv	13 ppbv	NA	NA	NA
	O&G well pads	m/p-xylene	108383/106423	NA	NA	26 ppbv	5 ppbv	NA	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	13 ppbv	2 ppbv	NA	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	13 ppbv	7 ppbv	NA	NA	NA
	O&G well pads	o-xylene	95476	NA	NA	8 ppbv	3 ppbv	NA	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	NA	17.82 ppbv	NA	NA
	O&G well pads	ethyl benzene	100414	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	styrene	100425	NA	NA	NA	NA	1.77 ppbv	NA	NA
	O&G well pads	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	methanol	67561	NA	NA	NA	NA	NA	NA	NA
	O&G well pads	methanol	67561	NA	NA	NA	NA	0.3 ppbv	NA	2 peaks /15 days
	O&G well pads	methanol	67561	8.82 ppbv	NA	8.96 ppbv	5.73 ppbv	186 ug/m3	NA	76 peaks/5 months
	O&G well pads	acetaldehyde	75070	NA	NA	NA	NA	465 ug/m3	NA	30 peaks/5 months
	O&G well pads	acetaldehyde	75070	NA	NA	NA	NA	349 ug/m3	NA	25 peaks/3 months
	O&G well pads	acetaldehyde	75070	1.29 ppbv	NA	1.3 ppbv	0.88 ppbv	425 ug/m3	NA	118 peaks/1 year
	O&G well pads	acetonitrile	75058	NA	NA	NA	NA	169 ug/m3	NA	

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
Vinjeputra et al. (2015)	O&G well pads O&G well pads	acetone acetonitrile	75058 75058	NA 0.27 ppbv	NA NA	NA 0.27 ppbv	NA 0.23 ppbv	450 ug/m3 2.15 ppbC	NA NA	99 peaks/ year NA
Maryland (Marcellus Shale)	O&G well pads	TNMOCS	NA	NA	NA	99 ppbC	65 ppbC	145 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	78 ppbC	50 ppbC	115 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	65 ppbC	45 ppbC	107 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	68 ppbC	47 ppbC	126 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	74 ppbC	45 ppbC	100 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	65 ppbC	45 ppbC	85 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	50 ppbC	30 ppbC	100 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	55 ppbC	35 ppbC	80 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	50 ppbC	35 ppbC	80 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	55 ppbC	35 ppbC	100 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	65 ppbC	40 ppbC	75 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	45 ppbC	30 ppbC	80 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	50 ppbC	35 ppbC	65 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	33 ppbC	25 ppbC	80 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	45 ppbC	40 ppbC	70 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	33 ppbC	25 ppbC	60 ppbC	NA	NA
Washington DC (Marcellus Shale)	O&G well pads	TNMOCS	NA	NA	NA	33 ppbC	26 ppbC	190 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	80 ppbC	33 ppbC	100 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	46 ppbC	23 ppbC	75 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	40 ppbC	25 ppbC	55 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	30 ppbC	24 ppbC	95 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	50 ppbC	25 ppbC	100 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	50 ppbC	25 ppbC	75 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	40 ppbC	30 ppbC	75 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	35 ppbC	20 ppbC	70 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	37 ppbC	23 ppbC	65 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	28 ppbC	18 ppbC	55 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	25 ppbC	13 ppbC	45 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	25 ppbC	10 ppbC	125 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	65 ppbC	25 ppbC	5 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	16 ppbC	5 ppbC	77 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	38 ppbC	20 ppbC	130 ppbC	NA	NA
Maryland (Marcellus Shale)	O&G well pads	TNMOCS	NA	NA	NA	78 ppbC	48 ppbC	70 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	30ppbC	14 ppbC	62 ppbC	NA	NA
	O&G well pads	TNMOCS	NA	NA	NA	40ppbC	23 ppbC	3.5 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.75 ppbC	0.95 ppbC	2.8 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.4 ppbC	0.85 ppbC	2.3 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.1 ppbC	0.6 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.0 ppbC	0.5 ppbC	2 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.0 ppbC	0.6 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.9 ppbC	0.6 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.85 ppbC	0.6 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.85 ppbC	0.6 ppbC	1.4 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.7 ppbC	0.4 ppbC	1.35 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.0 ppbC	0.5 ppbC	3.5 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.7 ppbC	0.6 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.0 ppbC	0.5 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.0 ppbC	0.5 ppbC	1.35 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.7 ppbC	0.6 ppbC	1.9 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.1 ppbC	0.6 ppbC	1.75 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	1.1 ppbC	0.75 ppbC	0.9 ppbC	NA	NA
	O&G well pads	benzene	71432	NA	NA	0.60 ppbC	0.35 ppbC	1 ppbC	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
Bunch et al. (2014)	O&G well pads	Benzene	71432	NA	NA	0.65 ppbC	0.49 ppbC	NA	NA	NA
Texas (Barnett Shale)	Wet gas wells	1,3-butadiene 2,2,4-trimethylpentane	106990	NA	NA	NA	NA	NA	NA	NA
	Wet gas wells	cumene/isopropylbenzene	540841	NA	NA	NA	NA	NA	NA	NA
	Wet gas wells	styrene	98828	NA	NA	NA	NA	NA	NA	NA
	Wet gas wells	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	Wet gas wells	benzene	71432	0.528 mg/m ³	NA	0.45 mg/m ³	0.085 mg/m ³	2.49 mg/m ³	NA	NA
	Wet gas wells	ethyl benzene	100414	0.110 mg/m ³	NA	0.09 mg/m ³	0.016 mg/m ³	1.17 mg/m ³	NA	NA
	Wet gas wells	m/p-xylene	108383/106423	0.346 mg/m ³	NA	0.23 mg/m ³	0.027 mg/m ³	8.38 mg/m ³	NA	NA
	Wet gas wells	n-hexane	110543	2.667 mg/m ³	NA	1.53 mg/m ³	0.182 mg/m ³	23.44 mg/m ³	NA	NA
	Wet gas wells	o-xylene	95476	0.114 mg/m ³	NA	0.09 mg/m ³	0.005 mg/m ³	1.56 mg/m ³	NA	NA
	Wet gas wells	toluene	108883	1.018 mg/m ³	NA	0.78 mg/m ³	0.065 mg/m ³	8.37 mg/m ³	NA	NA
	Wet and dry gas wells	1,1,2,2-tetrachloroethane	79345	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	1,1,2-trichloroethane	79005	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	1,1-dichloroethane	75343	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	1,1-dichloroethylene	75354	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	propylene dichloride (1,2-dichloropropane)	78875	NA	NA	NA	NA	8.05 mg/m ³	NA	NA
	Wet and dry gas wells	1,3-butadiene	106990	NA	NA	NA	NA	2.25 mg/m ³	NA	NA
	Wet and dry gas wells	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	13.4 mg/m ³	NA	NA
	Wet and dry gas wells	acrolein	107028	NA	NA	NA	NA	52.5 mg/m ³	NA	NA
	Wet and dry gas wells	methyl bromide (bromomethane)	74839	NA	NA	NA	NA	3.08 mg/m ³	NA	NA
	Wet and dry gas wells	carbon disulfide	75150	NA	NA	NA	NA	98.7 mg/m ³	NA	NA
	Wet and dry gas wells	carbon tetrachloride	56235	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	chlorobenzene	108907	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	chloroform	67663	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	methyl chloride (chloromethane)	74873	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	chloroprene	126998	NA	NA	NA	NA	27.6 mg/m ³	NA	NA
	Wet and dry gas wells	methylene chloride (dichloromethane)	75092	NA	NA	NA	NA	15.2 mg/m ³	NA	NA
	Wet and dry gas wells	1,2-dibromoethane/ethylene dibromide	106934	0.42 mg/m ³	NA	NA	0.08 mg/m ³	59.1 mg/m ³	NA	NA
	Wet and dry gas wells	ethylene dichloride (1,2-dichloroethane)	107062	NA	NA	NA	NA	65.3 mg/m ³	NA	NA
	Wet and dry gas wells	cumene/isopropylbenzene	98828	NA	NA	NA	NA	20.7 mg/m ³	NA	NA
	Wet and dry gas wells	methyl chloroform/1,1,1-trichloroethane	71556	NA	NA	NA	NA	86.3 mg/m ³	NA	NA
	Wet and dry gas wells	methyl isobutyl ketone (hexone)	108101	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	methyl tert-butyl ether	1634044	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	tetrachloroethylene (perchloroethylene)	127184	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	trichloroethylene	79016	NA	NA	NA	NA	13.1 mg/m ³	NA	NA
	Wet and dry gas wells	vinyl chloride	75014	NA	NA	NA	NA	1.53 mg/m ³	NA	NA
	Wet and dry gas wells	benzene	71432	0.664 mg/m ³	NA	0.51 mg/m ³	0.16 mg/m ³	11.8 mg/m ³	NA	NA
	Wet and dry gas wells	ethyl benzene	100414	0.176 mg/m ³	NA	0.17 mg/m ³	0.043 mg/m ³	263 mg/m ³	NA	NA
	Wet and dry gas wells	m/p-xylene	108383/106423	0.541 mg/m ³	NA	0.26 mg/m ³	0.043 mg/m ³	2.29 mg/m ³	NA	NA

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	Wet and dry gas wells	n-hexane	110543	2.675 mcg/m ³	NA	0.92 mcg/m ³	0.106 mcg/m ³	33.6 mcg/m ³	NA	NA
	Wet and dry gas wells	o-xylene	95476	0.190 mcg/m ³	NA	0.17 mcg/m ³	0.043 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	toluene	108853	1.030 mcg/m ³	NA	0.64 mcg/m ³	0.188 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	cumene/isopropylbenzene	98828	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	Wet and dry gas wells	benzene	71432	0.525 mcg/m ³	NA	0.41 mcg/m ³	0.011 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	ethyl benzene	100414	0.120 mcg/m ³	NA	0.10 mcg/m ³	0.005 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	m/p-xylene	108383/106423	0.310 mcg/m ³	NA	0.18 mcg/m ³	0.016 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	n-hexane	110543	1.711 mcg/m ³	NA	0.69 mcg/m ³	0.094 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	o-xylene	95476	0.115 mcg/m ³	NA	0.09 mcg/m ³	0.005 mcg/m ³	NA	NA	NA
	Wet and dry gas wells	toluene	108853	0.943 mcg/m ³	NA	0.58 mcg/m ³	0.049 mcg/m ³	NA	NA	NA
	Dry gas wells	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	cumene/isopropylbenzene	98828	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	benzene	71432	0.409 mcg/m ³	NA	0.35 mcg/m ³	0.064 mcg/m ³	0.54 mcg/m ³	NA	NA
	Dry gas wells	ethyl benzene	100414	0.125 mcg/m ³	NA	0.10 mcg/m ³	0.005 mcg/m ³	NA	NA	NA
	Dry gas wells	m/p-xylene	108383/106423	0.315 mcg/m ³	NA	0.19 mcg/m ³	0.011 mcg/m ³	NA	NA	NA
	Dry gas wells	n-hexane	110543	0.806 mcg/m ³	NA	0.46 mcg/m ³	0.041 mcg/m ³	NA	NA	NA
	Dry gas wells	o-xylene	95476	0.136 mcg/m ³	NA	0.10 mcg/m ³	0.005 mcg/m ³	NA	NA	NA
	Dry gas wells	toluene	108853	0.784 mcg/m ³	NA	0.54 mcg/m ³	0.043 mcg/m ³	NA	NA	NA
	Dry gas wells	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	cumene/isopropylbenzene	98828	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	benzene	71432	0.341 mcg/m ³	NA	0.27 mcg/m ³	0.011 mcg/m ³	2.08 mcg/m ³	NA	NA
	Dry gas wells	ethyl benzene	100414	0.099 mcg/m ³	NA	0.09 mcg/m ³	0.005 mcg/m ³	1.17 mcg/m ³	NA	NA
	Dry gas wells	m/p-xylene	108383/106423	0.217 mcg/m ³	NA	0.15 mcg/m ³	0.005 mcg/m ³	2.52 mcg/m ³	NA	NA
	Dry gas wells	n-hexane	110543	1.086 mcg/m ³	NA	0.51 mcg/m ³	0.012 mcg/m ³	2.75 mcg/m ³	NA	NA
	Dry gas wells	o-xylene	95476	0.101 mcg/m ³	NA	0.09 mcg/m ³	0.005 mcg/m ³	1.17 mcg/m ³	NA	NA
	Dry gas wells	toluene	108853	0.513 mcg/m ³	NA	0.29 mcg/m ³	0.005 mcg/m ³	4.03 mcg/m ³	NA	NA
	Dry gas wells	1,1,2,2-tetrachloroethane	79345	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	1,1,2-trichloroethane	79005	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	1,1-dichloroethane	75343	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	1,1-dichloroethylene	75354	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	propylene dichloride (1,2-dichloropropane)	78875	NA	NA	NA	NA	6.81 mcg/m ³	NA	NA
	Dry gas wells	1,3-butadiene	106990	NA	NA	NA	NA	5.35 mcg/m ³	NA	NA
	Dry gas wells	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	18.2 mcg/m ³	NA	NA
	Dry gas wells	acrolein	107028	NA	NA	NA	NA	24.9 mcg/m ³	NA	NA
	Dry gas wells	methyl bromide (bromomethane)	74839	NA	NA	NA	NA	5.11 mcg/m ³	NA	NA
	Dry gas wells	carbon disulfide	75150	NA	NA	NA	NA	57.6 mcg/m ³	NA	NA
	Dry gas wells	carbon tetrachloride	56235	NA	NA	NA	NA	28 mcg/m ³	NA	hourly: 0.0053-28 mcg/m ³ (range); annual average: 0.25-0.77 (range)

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	Dry gas wells	chlorobenzene	108907	NA	NA	NA	NA	NA	NA	hourly: 0.0054-15 mcg/m3 (range) annual average: 0.10-0.35 mcg/m3 (range)
	Dry gas wells	chloroform	67663	NA	NA	NA	NA	NA	NA	hourly: 0.0054-59 mcg/m3 (range) annual average: 0.21-0.41 mcg/m3 (range)
	Dry gas wells	methyl chloride (chloromethane)	74873	NA	NA	NA	NA	NA	NA	hourly: 0.0117-263 mcg/m3 (range) annual average: 0.79-2.67 mcg/m3 (range)
	Dry gas wells	chloroprene	126998	NA	NA	NA	NA	NA	NA	hourly: 0.0054-21 mcg/m3 (range) annual average: 0.09-0.35 mcg/m3 (range)
	Dry gas wells	methylene chloride (dichloromethane)	75092	NA	NA	NA	NA	NA	NA	hourly: 0.0054-653 mcg/m3 (range) annual average: 0.48-2.29 mcg/m3 (range)
	Dry gas wells	1,2-dibromoethane/ethylene dibromide	106934	0.33 mcg/m3	NA	NA	0.23 mcg/m3	11 mcg/m3	NA	24-hr: 0.064-11 mcg/m3 (range) annual average: 0.52-1.15 mcg/m3 (range)
	Dry gas wells	ethylene dichloride (1,2-dichloroethane)	107062	NA	NA	NA	NA	NA	NA	24-hr: 0.043-1.9 mcg/m3 (range) annual average: 0.15-0.45 mcg/m3 (range)
	Dry gas wells	cumene/isopropylbenzene	98828	NA	NA	NA	NA	NA	NA	24-hr: 0.043-8.4 mcg/m3 (range) annual average: 0.42-1.02 mcg/m3 (range)
	Dry gas wells	methyl chloroform 1,1,1-trichloroethane	71556	NA	NA	NA	NA	NA	NA	24-hr: 0.035-302 mcg/m3 (range) annual average: 0.38-9.11 mcg/m3 (range)
	Dry gas wells	methyl isobutyl ketone (hexone)	108101	NA	NA	NA	NA	NA	NA	24-hr: 0.43-21 mcg/m3 (range) annual average: 0.13-0.39 mcg/m3 (range)
	Dry gas wells	methyl tert-butyl ether	1634044	NA	NA	NA	NA	NA	NA	24-hr: 0.113-191 mcg/m3 (range) annual average: 1.03-5.01 mcg/m3 (range)
	Dry gas wells	styrene	100425	NA	NA	NA	NA	0.59 ppm	NA	NA
	Dry gas wells	tetrachloroethylene (perchloroethylene)	127184	NA	NA	NA	NA	0.17 ppm	NA	NA
	Dry gas wells	vinyl chloride	75014	NA	NA	NA	NA	0.50 ppm	NA	NA
	Dry gas wells	benzene	71432	0.815 mcg/m3	NA	0.77 mcg/m3	0.319 mcg/m3	NA	NA	NA
	Dry gas wells	ethyl benzene	100414	0.301 mcg/m3	NA	0.26 mcg/m3	0.043 mcg/m3	NA	NA	NA
	Dry gas wells	m-p-xylene	108383/106423	0.676 mcg/m3	NA	0.52 mcg/m3	0.087 mcg/m3	NA	NA	NA
	Dry gas wells	n-hexane	110543	0.967 mcg/m3	NA	0.70 mcg/m3	0.282 mcg/m3	NA	NA	NA
	Dry gas wells	o-xylene	95476	0.263 mcg/m3	NA	0.22 mcg/m3	0.043 mcg/m3	NA	NA	NA
	Dry gas wells	toluene	108883	1.308 mcg/m3	NA	1.17 mcg/m3	0.264 mcg/m3	NA	NA	NA
	Dry gas wells	1,3-butadiene	106990	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	2,2,4-trimethylpentane	540841	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	cumene/isopropylbenzene	98828	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	styrene	100425	NA	NA	NA	NA	NA	NA	NA
	Dry gas wells	benzene	71432	0.585 mcg/m3	NA	0.44 mcg/m3	0.043 mcg/m3	NA	NA	NA
	Dry gas wells	ethyl benzene	100414	0.172 mcg/m3	NA	0.10 mcg/m3	0.005 mcg/m3	NA	NA	NA
	Dry gas wells	m-p-xylene	108383/106423	0.535 mcg/m3	NA	0.30 mcg/m3	0.016 mcg/m3	NA	NA	NA
	Dry gas wells	n-hexane	110543	1.046 mcg/m3	NA	0.62 mcg/m3	0.070 mcg/m3	NA	NA	NA
	Dry gas wells	o-xylene	95476	0.186 mcg/m3	NA	0.20 mcg/m3	0.005 mcg/m3	NA	NA	NA
	Dry gas wells	toluene	108883	1.511 mcg/m3	NA	0.87 mcg/m3	0.081 mcg/m3	NA	NA	NA
	Wet and dry gas wells	benzene	71432	NA	NA	NA	0.0053 mcg/m3	NA	NA	NA
	Wet and dry gas wells	ethyl benzene	100414	NA	NA	NA	NA	NA	NA	1.6 ± 0.4 × 10 ⁻⁶ kg yr ⁻¹
	Wet and dry gas wells	m-p-xylene	108383/106423	NA	NA	NA	NA	NA	NA	2.0 ± 0.5 × 10 ⁻⁶ kg yr ⁻¹

Location	Potential Source	HAPs Measured	CAS	Mean*	SD	Median	Min	Max	Weight Fraction	Other Measurements
	Wet and dry gas wells	n-hexane	110543	NA	NA	NA	NA	NA	NA	25,300 tons/year natural gas (2011)
	Wet and dry gas wells	o-xylene	95476	NA	NA	NA	NA	24.53 ppbc	NA	90%ile = 1.1; 99%ile = 2.02 ppbc
	Wet and dry gas wells	toluene	108883	NA	NA	NA	NA	51.78 ppbc	NA	90%ile = 1.39; 99%ile = 2.53 ppbc
	Wet and dry gas wells	benzene	71432	NA	NA	NA	0.064 mcg/m3	ND	NA	NA
	Wet and dry gas wells	ethyl benzene	100414	NA	NA	NA	NA	ND	NA	NA
	Wet and dry gas wells	m/p-xylene	108383/106423	NA	NA	NA	NA	ND	NA	NA
	Wet and dry gas wells	n-hexane	110543	NA	NA	NA	NA	ND	NA	NA
	Wet and dry gas wells	o-xylene	95476	NA	NA	NA	NA	ND	NA	NA
	Wet and dry gas wells	toluene	108883	NA	NA	NA	NA	ND	NA	NA

*Includes total weighted averages (TWA)

ND - non-detects

Exhibit 4



**COMPENDIUM OF SCIENTIFIC, MEDICAL, AND MEDIA FINDINGS
DEMONSTRATING RISKS AND HARMS OF FRACKING
(UNCONVENTIONAL GAS AND OIL EXTRACTION)**

Fourth Edition

November 17, 2016



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Foreword to the Fourth Edition

The *Compendium of Scientific, Medical, and Media Findings Demonstrating Risks and Harms of Fracking* (the Compendium) is a fully referenced compilation of the evidence outlining the risks and harms of fracking. Bringing together findings from the scientific and medical literature, government and industry reports, and journalistic investigation, it is a public, open-access document that is housed on the websites of Concerned Health Professionals of New York (www.concernedhealthny.org) and Physicians for Social Responsibility (www.psr.org).

The release of the first edition of the Compendium by Concerned Health Professionals of New York in July 2014 coincided with a meteoric rise in the publication of new scientific studies about the risks and impacts of fracking. Hence, a second edition was released five months later, in December 2014. This updated version included dozens of new studies that further explicated the recurrent problems, data gaps, and ongoing uncertainties that natural gas and oil extraction via hydraulic fracturing brings with it.

Almost concurrently, on December 17, 2014, the New York State Department of Health (NYS DOH) released its own long-awaited review of the health impacts of fracking. This 186-page document served as the foundation for a statewide ban on high-volume hydraulic fracturing (HVHF), announced by New York Governor Andrew Cuomo on the same day. The conclusions of the NYS DOH public health review largely aligned with our own. In the words of New York State Health Commissioner Dr. Howard Zucker, “[I]t is clear from the existing literature and experience that HVHF activity has resulted in environmental impacts that are potentially adverse to public health. Until the science provides sufficient information to determine the level of risk to public health from HVHF and whether the risks can be adequately managed, HVHF should not proceed in New York State.” (See footnote 402.)

Released in October 2015, the third edition of the Compendium was compiled as a joint effort with Physicians for Social Responsibility and included more than 100 new peer-reviewed publications on the impacts of fracking. In addition, the third edition included the results of four multi-volume government reports on the impacts of fracking that had been issued in the United States: one from the U.S. Environmental Protection Agency that focuses on water; two from California that examine a wide array of impacts; and, from New York, the Department of Environmental Conservation’s Findings Statement that—together with the final environmental impact statement on fracking—implemented New York’s ban and incorporates the NYS DOH public health review into a larger analysis of the environmental and economic impacts of fracking. According to the Findings Statement, “Even with the implementation of an extensive suite of mitigation measures...the significant adverse public health and environmental impacts from allowing high-volume hydraulic fracturing to proceed under any scenario cannot be adequately avoided or minimized to the maximum extent practicable....” (See footnote 294.)

Since its original release, the three earlier editions of the Compendium have been used and referenced all over the world. The Compendium has been twice translated into Spanish: independently in 2014 by a Madrid-based environmental coalition, followed by an official translation of the third edition, which was funded by the Heinrich Böll Foundation and launched at a press conference in Mexico City in May 2016. The Compendium has been used in the European Union, South Africa, the United Kingdom, Australia, Mexico, and South America.

This fourth edition of the Compendium is being finalized as a landmark United Nations climate treaty, the Paris Agreement, goes into force. Recognizing climate change as a grave threat to public health and safety, the Paris Agreement establishes as a key goal the need to limit global temperature increases to less than 2° Celsius. As such, it articulates a new vision for energy by compelling nations to monitor their greenhouse gas emissions and set increasingly ambitious targets and timetables to reduce them. Under the treaty, concrete policy plans to achieve these objectives are left up to individual nation states. For its part, the United States, which ratified the Paris Agreement on September 3, 2016, has pledged to reduce its greenhouse gas emissions 26-28 percent by 2025, as compared to 2005 levels. Research published last September shows that our nation is on track to miss this target, in large part because of increasing emissions of methane, which is a powerful heat-trapping gas.^{1,2} As documented in a new federal inventory of greenhouse gases, methane leaks from U.S. oil and gas operations are higher than previously estimated, as are total U.S. methane emissions, which increased by more than 30 percent between 2002 and 2014.³ Indeed, as revealed by both satellite and ground observations, our nation's methane emissions are responsible for 30-60 percent of the recent upsurge in global atmospheric methane concentrations. (See footnotes 608, 609). Most of this excess methane, as is revealed by a study published in September 2016 in the *Proceedings of the National Academy of Sciences*, represents fugitive emissions from U.S. oil and gas operations.^{4,5,6} These and other emerging data, described further in the pages that follow, indicate that fracking, an enabler of these trends, is incompatible with climate stability and the goal of rapid decarbonization that it requires.

This new iteration of the Compendium arrives on the heels of another major event that has put methane in the spotlight: the Aliso Canyon gas storage blowout in California. A key component of fracked gas infrastructure, Aliso Canyon is a 3,600-acre underground natural gas storage facility located within a mile of the affluent community of Porter Ranch, which sprang up after the gas storage field opened in 1972. The Aliso Canyon blowout that began on October 23, 2015 resulted in an uncontrolled leak that lasted for four months, released 100,000 tons of methane

¹ Greenblatt, J. R. & Wei, M. (2016). Assessment of the climate commitments and additional mitigation policies of the United States. *Nature Climate Change*. doi: 10.1038/nclimate3125

² Mooney, C. (2016, September 26). The U.S. is on course to miss its emissions goals, and one reason is methane. *The Washington Post*. Retrieved from https://www.washingtonpost.com/news/energy-environment/wp/2016/09/26/the-u-s-is-on-course-to-miss-its-emissions-goals-and-one-reason-is-methane/?utm_term=.4296c92ce0fa

³ U.S. Environmental Protection Agency. (2016, April 15). *Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2014*. EPA 430-R-16-002. Retrieved from <https://www3.epa.gov/climatechange/Downloads/ghgemissions/US-GHG-Inventory-2016-Main-Text.pdf>

⁴ Rice, A., L., Butenhoff, C. L., Teama, D. G., Röger, F. H., Khalil, M., A., & Rasmussen, R. A. (2016). Atmospheric methane isotopic record favors fossil sources flat in 1980s and 1990s with recent increase. *Proceedings of the National Academy of Sciences*, 113(39). doi:10.1073/pnas.1522923113

⁵ Harvey, C. (2016, September 13). Scientists may have solved a key mystery about the world's methane emissions. *The Washington Post*. Retrieved from https://www.washingtonpost.com/news/energy-environment/wp/2016/09/13/the-answer-to-the-global-methane-mystery-fossil-fuels-a-study-finds/?postshare=9211473782277274&tid=ss_tw&utm_term=.c51388f0c97d

⁶ von Kaenel, C. (2016, September 13). Debate rises over real source of higher methane emissions. *Scientific American*. Retrieved from <https://www.scientificamerican.com/article/debate-rises-over-real-source-of-higher-methane-emissions/>

and became the largest ever natural gas leak in U.S. history.⁷ The leak—so massive that its methane plume was visible from space by circling NASA satellites—prompted the evacuation and relocation of 8,300 households at a cost of \$500 million. At this writing—a full year after the accident began—Aliso Canyon is still closed as its 114 wells are tested for integrity. Twenty-six wells have, so far, passed the required tests; the majority of wells are considered problematic and have been isolated.⁸ In October 2016, a federal task force issued a report containing 44 recommendations intended to prevent another Aliso Canyon-style disaster. Chief among them is a phase-out of “single point of failure” designs.⁹

Even as we compiled entries for this fourth edition, the authors of the Compendium continued to see evidence of, and appreciate, how young our knowledge base still is. As is revealed in the study citation database maintained by PSE Healthy Energy, more than 80 percent of all of the peer-reviewed literature that is relevant to assessing the environmental, socioeconomic, and public health impacts of shale and tight gas development has been published since January 2013. Indeed, nearly one-quarter of the now more than 900 available studies were published in the first nine months of 2016 alone.¹⁰ The vast majority of the literature reveals both potential and actual problems. Specifically, as demonstrated by PSE’s statistical analysis of the body of scientific literature available from 2009-2015—which, at the date of publication included 685 peer-reviewed papers—69 percent of original research studies on water quality found potential for, or actual evidence of, water contamination; 87 percent of original research studies on air quality found elevated air pollutant emissions; and 84 percent of original research studies on human health risks found signs of harm or indication of potential harm.¹¹

As a response to this proliferating evidence of the risks and harms of fracking—augmented by increasing concern about the many uncertainties remaining—various countries, states, and municipalities have instituted bans and moratoria, with many prohibitions announced in 2015 and 2016 (although some local bans were subsequently rescinded by state or national governments).

France banned fracking in July 2011 and Bulgaria in January 2012. The state of Vermont banned fracking in May 2012. Following New York’s ban on high volume hydraulic fracturing in December 2014, Scotland became the first country in Great Britain to impose a formal moratorium on fracking, after an expert panel concluded that more study of fracking’s risks is

⁷ California Air Resources Board (2016, October 21). Determination of total methane emissions from Aliso Canyon natural gas leak incident. Retrieved from https://www.arb.ca.gov/research/aliso_canyon/aliso_canyon_methane_emissions-arb_final.pdf

⁸ Nemec, R. (2016, Oct. 6). Majority of wells at closed California gas storage field still problematic. *Natural Gas Intel*. Retrieved from http://www.naturalgasintel.com/articles/108004-majority-of-wells-at-closed-california-gas-storage-field-still-problematic#.V_e55oDoyoM.facebook

⁹ U.S. Department of Energy and U.S. Department of Transportation’s Pipeline and Hazardous Materials Safety Administration. (2016, October 18). Ensuring safe and reliable underground natural gas storage: final report of the interagency task force on natural gas storage safety. Retrieved from <http://energy.gov/sites/prod/files/2016/10/f33/Ensuring%20Safe%20and%20Reliable%20Underground%20Natural%20Gas%20Storage%20-%20Final%20Report.pdf>

¹⁰ PSE Healthy Energy. <http://www.psehealthyenergy.org/site/view/1180>

¹¹ Hays, J. & Shonkoff, S. B. C. (2016). Toward an understanding of the environmental and public health impacts of shale gas development: an analysis of the peer-reviewed scientific literature, 2009-2015. *PLOS One*, 11(4), e0154164. doi: 10.1371/journal.pone.0154164

needed. (In 2016, as part of the ongoing moratorium process, the government of Scotland released a series of reports that reconfirmed the evidence for potential contamination of air and water, threats to worker health from silica dust exposure, risks to the health of nearby residents, and further noted that the pursuit of unconventional oil and gas extraction would make more difficult Scotland's goal of meeting its climate targets on greenhouse gas emissions.^{12, 13}) Wales followed in February 2015 when its government declared a moratorium on fracking "until it is proven safe." The Canadian province of New Brunswick declared a moratorium for similar reasons in March 2015. In May 2015, the state of Maryland overwhelmingly passed a two-and-a-half-year moratorium, largely based on concerns about health impacts. In June 2015, citing concerns about noise impacts and the industrialization of rural landscape, the county of Lancashire in northwest England halted plans for what would have been a major British fracking operation; years previously, two wells—the first and only pair ever drilled in Lancashire—had suffered well integrity failures and caused earthquakes. However, in October 2016, the national government overturned Lancashire's ban. Similarly, a fracking ban passed by the city of Denton, Texas in November 2014 was invalidated in June 2015 by a new state law, pushed by the oil and gas industry, that prohibits Texas municipalities from passing local bans. In July 2015, the Dutch government banned all shale gas fracking until 2020 on the grounds that "research shows that there is uncertainty" about impacts. In September 2015, Northern Ireland and the Spanish region of Castile La Mancha both effectively halted fracking via planning policies.

In a December 2015 vote in favor of a report, *Towards a European Energy Union*, the plenary of the European Parliament affirmed the incompatibility of shale gas extraction via hydraulic fracturing with the European Union's commitment to decarbonization and acknowledged public concerns about the environmental and health impacts of fracking. Falling short of an outright EU-wide moratorium on fracking, the report states that "it is questionable whether hydraulic fracturing can be a viable technology in the European Union."¹⁴

In Florida, 32 counties and 48 cities have either banned fracking outright or passed resolutions opposing it. In March 2016, a bill that would have pre-empted local bans and opened the state to fracking was voted down in a Florida legislative committee. Conversely, in May, the Colorado Supreme Court struck down local fracking bans in the cities of Fort Collins and Longmont. Also, in May, New Brunswick extended its moratorium on fracking "indefinitely," citing unresolved problems with the disposal of fracking wastewater, and in the Canadian province of Newfoundland and Labrador, where a moratorium has been in place since 2013, a government-appointed panel recommended that fracking remain "paused," citing data gaps and unresolved questions about the underlying geology. In June, Germany adopted a moratorium on "unconventional fracking" until 2021 but will permit exploratory drilling research projects. Also in June, Butte County, California banned fracking, and, in July, Alameda County became the fifth county in California to ban fracking. (Santa Cruz, San Benito, and Mendocino counties,

¹² Health Protection Scotland. (2016, November). *A health impact assessment of unconventional oil and gas in Scotland*, vol. 1. Retrieved from <http://www.hps.scot.nhs.uk/resourcedocument.aspx?resourceid=3102>

¹³ Committee on Climate Change. (2016, August). *Scottish unconventional oil and gas: compatibility with Scottish greenhouse gas emissions targets*. Retrieved from <http://www.gov.scot/Resource/0050/00509324.pdf>

¹⁴ Committee on Industry, Research and Energy. (2015, November 24). *Report on Towards a European Energy Union*, A8-0341/2015. Retrieved from <http://www.europarl.europa.eu/sides/getDoc.do?pubRef=-//EP//TEXT+REPORT+A8-2015-0341+0+DOC+XML+V0//EN>

banned fracking in 2014.) In August, the Australian state of Victoria declared a permanent ban on fracking on the grounds that the risks outweigh any potential benefits. In September, a California judge, arguing that the agency had failed to consider the dangers of fracking, struck down a bid by the U.S. Bureau of Land Management to open one million acres of public land in central California for oil drilling. Four counties in Ohio (Medina, Portage, Athens, and Meigs) gathered signatures sufficient for ballot initiatives in November that would have banned fracking, fracking waste disposal, and associated infrastructure, including a major pipeline. However, Ohio Secretary of State Jon Husted struck the measures from the ballot on technical grounds. Also by ballot in November, Monterey County became the sixth county in California to ban fracking, along with all new oil drilling. Monterey's 1,200 existing oil wells will remain in operation.

In sum, as evidence of the environmental and public health costs accumulate, legislative bodies are increasingly apprehensive about fracking.

Introduction

Over the past decade, directional drilling has been combined with high-volume hydraulic fracturing and clustered multi-well pads as novel technologies for extracting dispersed oil and natural gas, primarily from shale formations. As this unconventional extraction method (collectively known as “fracking”) has pushed into more densely populated areas of the United States, as fracking operations have increased in frequency and intensity, and, as the transport of extracted materials has expanded, a significant body of evidence has emerged to demonstrate that these activities are dangerous to people and their communities in ways that are difficult—and may prove impossible—to mitigate. Risks include earthquakes and adverse impacts on water, air, agriculture, public health and safety, property values, climate stability, and economic vitality.

Researching these complex, large-scale industrialized activities—and the ancillary infrastructure that supports them—takes time and has been hindered by institutional secrecy. Nonetheless, research is gradually catching up to the last decade's surge in unconventional oil and gas extraction from shale. A growing body of peer-reviewed studies, accident reports, and investigative articles has detailed specific, quantifiable evidence of harm and has revealed fundamental problems with the entire life cycle of operations associated with unconventional drilling and fracking. Industry studies, as well as independent analyses, indicate inherent engineering problems including uncontrolled and unpredictable fracturing, induced seismicity, extensive methane leakage, and well casing and cement impairments that cannot be prevented with currently available materials and technologies.

Earlier scientific predictions and anecdotal evidence are now bolstered by empirical data, confirming that the public health risks from unconventional gas and oil extraction are real, the range of adverse environmental impacts wide, and the negative economic consequences considerable. Our examination of the peer-reviewed medical and public health literature uncovered no evidence that fracking can be practiced in a manner that does not threaten human health.

Despite this emerging body of knowledge, industry secrecy and government inaction continue to thwart scientific inquiry, leaving many potential problems—especially cumulative, long-term risks—unidentified, unmonitored, and largely unexplored. This problem is compounded by non-disclosure agreements, sealed court records, and legal settlements that prevent families and their doctors from discussing injuries and illness. As a result, no quantitative and comprehensive inventory of human hazards yet exists.

The long-entrenched problem of secrecy shows no sign of resolving. The identity of chemicals used in fracking fluids remains proprietary and lies beyond the reach of federal right-to-know legislation that govern other industries. The nation's largest public database on chemicals used in fracking operations, FracFocus, operates on a voluntary basis, and, while 23 states have adopted it to serve as a *de facto* chemical disclosure registry, its data has, over time, become increasingly less, rather than more, comprehensive and transparent. As documented in a 2016 study by a Harvard University team, rates of withheld information and claims of trade secrecy have increased since Fracfocus was first launched in 2011. (See footnotes 882, 883.)

The incomplete picture created by lack of transparency notwithstanding, the evidence to date indicates that fracking operations pose severe threats to health, both from water contamination and from air pollution. In the United States, more than two billion gallons of fluid are injected daily under high pressure into the earth with the purpose of enabling oil and gas extraction via fracking or, after the fracking is finished, to flush the extracted wastewater down any of the 187,570 disposal wells across the country that accept oil and gas waste. All of those two billion daily gallons of fluid is toxic, and it all passes through our nation's groundwater aquifers on its way to the deep geological strata below where it demonstrably raises the risk for earthquakes. In the air around drilling and fracking operations and their attendant infrastructure, researchers have measured strikingly high levels of toxic pollutants, including the potent carcinogen benzene and the chemical precursors of ground-level ozone (smog). In some cases, concentrations of fracking-related air pollutants in communities where people live and work exceed federal safety standards. Research shows that air emissions from fracking can drift and pollute the air hundreds of miles downwind. (See footnotes 77, 79.)

About one-third of the natural gas inventory in the United States is used to generate electricity, and, enabled by fracking, natural gas has, as of 2016, exceeded coal as the nation's leading source of electricity and has now exceeded the emissions of carbon dioxide from coal.¹⁵ With hydraulically fractured wells now producing more than two-thirds of U.S. natural gas and half of U.S. crude oil, fracking's "unconventional" techniques can no longer be considered atypical nor can the question of their public health risks be considered inconsequential.¹⁶ Drilling and fracking operations and their ancillary infrastructure have profoundly altered Earth's landscape. The flare stacks and artificial lights from major shale plays are visible from space,¹⁷ as is the upward buckling of Earth's surface that is caused by the high-pressure injection of fracking

¹⁵ Magill, B. (2016, May 6). Fracking hits milestone as natural gas use rises in U.S. *Climate Central*. Retrieved from <http://www.climatecentral.org/news/fracking-milestone-as-natural-gas-use-rises-20330>

¹⁶ U.S. Energy Information Administration. (2016, May 5). Hydraulically fractured wells provide two-thirds of U.S. natural gas production. *Today in Energy*. Retrieved from <https://www.eia.gov/todayinenergy/detail.php?id=26112>

¹⁷ NASA Earth Observatory. (2016, March 23). Shale revolution: as clear as night and day. Retrieved from <http://earthobservatory.nasa.gov/IOTD/view.php?id=87725&src=eo-iotd>

waste water into disposal wells, a practice that has been linked to earthquakes.¹⁸ With at least 15 million Americans already living within a mile of a fracking well that has been drilled since 2000, and with 8.6 million people served by a drinking water source that is located within a mile from an unconventional well, understanding the potential for exposure and accompanying adverse impacts is a fundamental public health necessity. (See footnote 176.)

About this Report

The Compendium is a fully referenced compilation of the significant body of scientific, medical, and journalistic findings demonstrating risks and harms of fracking. Organized to be accessible to public officials, researchers, journalists, and the public at large, the Compendium succinctly summarizes key studies and other findings relevant to the ongoing public debate about unconventional methods of oil and gas extraction. The Compendium should be used by readers to grasp the scope of the information about both public health and safety concerns and the economic realities of fracking that frame these concerns. The reader who wants to delve deeper can consult the reviews, studies, and articles referenced. In addition, the Compendium is complemented by a fully searchable, near-exhaustive citation database of peer-reviewed journal articles pertaining to shale gas and oil extraction that was developed by PSE Healthy Energy and which is housed on its website (<http://www.psehealthyenergy.org/site/view/1180>).

For this fourth edition of the Compendium, as before, we collected and compiled findings from three sources: articles from peer-reviewed medical or scientific journals; investigative reports by journalists; and reports from, or commissioned by, government agencies. Peer-reviewed articles were identified through databases such as PubMed and Web of Science, and from within the PSE Health Energy database. We included review articles when such reviews revealed new understanding of the evidence. Our entries briefly describe studies that documented harm or risk of harm associated with fracking, summarizing the principal findings. Entries do not include detailed results or a critique of the strengths and weaknesses of each study. Because much of medicine's early understanding of new diseases and previously unsuspected epidemiological correlations comes through assessment of case reports, we have included published case reports and anecdotal reports when they are data-based and verifiable. The studies and investigations referenced in the dated entries catalogued in *Compilation of Studies & Findings* are current through mid-July 2016. Some just-published papers are described above and also below in *Emerging Trends*. Hence, the footnoted citations here in the front matter represent studies and articles that are not referenced in the Compendium itself or which appeared "as we go to press," that is, after our mid-July cut-off date for inclusion as an entry.

Within the compiled entries, we have also provided references to articles appearing in the popular press that described the findings of the corresponding peer-reviewed study. For this purpose, we sought out articles in the popular literature that expertly and plainly reported on studies that were highly technical, especially if those articles included comments by principal investigators on the significance of their findings. In such cases, footnotes for the peer-reviewed

¹⁸ Coglean, A. (2016, September 22). You can see fracking's impact on Earth's surface from space. *New Scientist*. Retrieved from <https://www.newscientist.com/article/2106886-you-can-see-frackings-impact-on-earths-surface-from-space/>

study and the matching popular article appear together in one entry. We hope these tandem references will make the findings more accessible to lay readers. Acronyms are spelled out the first time they appear in each section.

News articles appearing as individual entries signify investigative reports by journalists conducting original research. While advocacy organizations have compiled many useful reports on the impacts of fracking, these generally do not appear in our Compendium. We also excluded papers that focused purely on methodologies or instrumentation. For some sources, cross-referenced footnotes are provided, as when wide-ranging government reports or peer-reviewed papers straddled two or more topics.

This fourth edition of the Compendium continues to exist within a moving stream of data. The number of peer-reviewed publications on the impacts of fracking doubled between 2011 and 2012 and then doubled again between 2012 and 2013. More studies were published in 2014 than in 2009, 2010, 2011, and 2012 combined. The number of studies published in 2015 surpassed those published in 2014 by ten percent.¹⁹ Of the more than 200 peer-reviewed studies published thus far in 2016, 44 constitute original research on health, water quality, and air quality.

In our review of the data, seventeen compelling themes emerged; these serve as the organizational structure of the Compendium. The document opens with sections on two of the most acute threats—air pollution and water contamination—and ends with medical and scientific calls for more study and transparency. Readers will notice the ongoing upsurge in reported problems and health impacts, making each section top-heavy with recent data. In accordance, the Compendium is organized in reverse chronological order within sections, with the most recent information first.

The Compendium focuses on topics most closely related to the public health and safety impacts of unconventional gas and oil drilling and fracking. Additional risks and harms arise from associated infrastructure and industrial activities that necessarily accompany drilling and fracking operations. A detailed accounting of all these ancillary impacts is beyond the scope of this document. Nevertheless, beginning with the third edition, we included a section on infrastructure that focuses on compressor stations, pipelines, wastewater recycling facilities, and silica sand mining operations as emerging issues of concern. In this fourth edition, health risks from infrastructure are explored in even greater depth, and, in light of the ongoing Aliso Canyon disaster, have been expanded to include risks from gas storage. Many other relevant concerns—namely, oil trains, cryogenic and liquefaction facilities, processing and fractionation complexes, import/export terminals—are not included here. We hope to take up these issues in future editions. Similarly, this edition of the Compendium does not examine the harms and risks posed by other forms of unconventional oil and gas extraction, such as matrix acidizing (which releases oil and gas by dissolving rock with strong acids), cyclic steaming (which uses pressurized, superheated water to release oil), microwave extraction (which points microwave beams into shale formations to liquefy oil), and artificial lift (which uses gasses, chemicals, or pumps to extract natural gas).

¹⁹ PSE Healthy Energy (2016, April 20). The science on shale gas development infographic. Retrieved from http://www.psehealthyenergy.org/data/PSE_FrackingStudy_Summary_Infographic_4-20-2016_00.jpg

Given the rapidly expanding body of evidence related to the harms and risks of unconventional oil and gas extraction, we plan to continue revising and updating the Compendium approximately every year. It is a living document, housed on the websites of Concerned Health Professionals of New York and Physicians for Social Responsibility, which serves as an educational tool in important ongoing public and policy dialogues.

The Compendium is generally a volunteer project and has no dedicated funding; it was written utilizing the benefit of the experience and expertise of numerous health professionals and scientists who have been involved in this issue for years.

We thank our external readers for their comments and suggestions: Casey Crandall, Barbara Gottlieb, Robert Gould, MD; Jake Hays, MA; Lee Ann Hill, MPH; Robert Howarth, PhD; Anthony Ingraffea, PhD, PE; Adam Law, MD; Ryan Miller, Pouné Saberi, MD, MPH; Seth Schonkoff, PhD, MPH.

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About Concerned Health Professionals of New York

Concerned Health Professionals of New York (CHPNY) is an initiative by health professionals, scientists, and medical organizations for raising science-based concerns about the impacts of fracking on public health and safety. CHPNY provides educational resources and works to ensure that careful consideration of the science and health impacts are at the forefront of the fracking debate. <http://concernedhealthny.org>

About Physicians for Social Responsibility

Working for more than 50 years to create a healthy, just, and peaceful world for both present and future generations, Physicians for Social Responsibility (PSR) uses medical and public health expertise to educate and advocate on urgent issues that threaten human health and survival, with the goals of reversing the trajectory towards climate change, protecting the public and the environment from toxic chemicals, and addressing the health consequences of fossil fuels. PSR was founded by physicians concerned about nuclear weapons, and the abolition of nuclear weapons remains central to its mission.

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*Note that for the purposes of this compendium, the terms “fracking” and “drilling and fracking” refer to the entire unconventional oil and gas extraction and distribution process, from well site preparation to transport, distribution, and waste disposal and all associated infrastructure, including pipelines and compressor stations. Not every aspect of this process is fully addressed in the Compendium.

Emerging Trends

1) Growing evidence shows that regulations are simply not capable of preventing harm.

Studies reveal inherent problems in the natural gas extraction process, such as well integrity failures caused by aging or the pressures of fracking itself, and in the waste disposal process. These issues can lead to water contamination, air pollution with carcinogens and other toxic chemicals, earthquakes, and a range of environmental and other stressors wrought on communities. Some of fracking’s many component parts—which include the subterranean geological landscape itself—are simply not controllable. Compounding the problem, the number of wells and their attendant infrastructure continue to proliferate, creating burgeoning cumulative impacts.

The injection of extreme volumes of fluids—now typically three to five million gallons or more per well—create significant deformations in the shale that are translated upwards, a mile or more, to the surface. Along the way, these “pressure bulbs” can impact in unpredictable ways faults and fissures in the overlying rock strata, including strata that intersect fresh water aquifers. Such pressure waves may mobilize contaminants left over from previous drilling and mining activities. (See footnotes 188, 189.) No set of regulations can obviate these potential impacts to groundwater. The state of California determined that fracking can have “significant and unavoidable” impacts on air quality, including driving pollutants above levels that violate air quality standards. (See footnote 70.) Similarly in northeastern Colorado, ambient levels of atmospheric hydrocarbons continued to increase even with tighter emission standards. (See footnote 83.) Well sites leak far more methane and toxic vapors than previously understood, they continue to leak long after they are decommissioned and abandoned, and the leakage rate is wildly variable, with four percent of wells nationwide responsible for fully half of all drilling and fracking-related methane emissions. Predicting which wells will become superemitters is not possible, according to a 2016 survey of 8,000 wells using helicopters and infrared cameras. Further, much of this leakage is engineered into the design, as when vapors are vented through release valves in order to regulate pressure. (See footnotes 604, 605.) Similarly, no set of regulations can eliminate earthquake risks. In the words of a recent article in *Texas Journal of Oil, Gas, and Energy Law*, “earthquakes sometimes occur when subsurface formations are properly fractured....[T]he risk of earthquake damage is not substantially mitigated by the exercise of due care when fracking fluids are injected into the ground.” (See footnote 438.)

2) Fracking threatens drinking water. Cases of drinking water sources contaminated by drilling and fracking activities, as well as associated waste disposal, are now proven. The U.S. Environmental Protection Agency’s (EPA) draft assessment of fracking’s impacts on drinking water resources confirmed specific instances of water contamination caused by drilling and fracking-related activities and identified the various pathways by which this contamination has occurred. According to the EPA, documented cases of drinking water contamination have resulted from spills of fracking fluid and fracking wastewater; discharge of fracking waste into

rivers and streams; and underground migration of fracking chemicals, including gas, into drinking water wells. In August 2016, a scientific advisory panel to the EPA further underscored these findings by challenging a misleading statement in the press release accompanying this draft report that claimed, despite multiple lines of evidence explicated in the report itself, that impacts on drinking water were neither “systemic” nor “widespread.”²⁰ Independently, researchers working in Texas found 19 different fracking-related contaminants—including cancer-causing benzene—in hundreds of drinking water samples collected from the aquifer overlying the heavily drilled Barnett Shale, thereby documenting widespread water contamination. In Pennsylvania, a solvent used in fracking fluid was found in drinking water wells near drilling and fracking operations known to have well casing problems. In California, state regulators admitted that they had mistakenly allowed oil companies to inject drilling wastewater into aquifers containing clean, potable water. (See footnotes 170, 174, 178.)

3) Drilling and fracking emissions contribute to toxic air pollution and smog (ground-level ozone) at levels known to have health impacts. In Colorado’s heavily drilled Front Range, new research shows that volatile organic compounds from drilling and fracking operations are responsible for 17 percent of locally produced ozone. (See footnote 57.) Colorado has exceeded federal ozone limits for the past decade, a period that corresponds to a boom in oil and gas drilling.²¹ Living near drilling and fracking operations significantly increases asthma attacks for residents of Pennsylvania, according to a new Johns Hopkins University study of 35,000 medical records. Those who lived near a higher number of active gas wells were 1.5 to 4 times more likely to suffer from asthma attacks compared to those who live farther away, with the closest group having the highest risk. (See footnotes 384, 385.) The New York State Department of Environmental Conservation determined that fracking could increase ozone levels in downwind areas of the state, potentially impacting the ability to maintain air quality that meets ozone standards. (See footnote 294.) Two independent reports from California determined that fracking occurs disproportionately in areas already suffering from serious air quality problems and can drive ozone and other federally regulated air pollutants to levels that violate air quality standards. (See footnotes 69, 70.) This increased air pollution and smog formation poses a serious risk to all those already suffering from respiratory issues, such as children with asthma. With an average of 203 high-ozone days a year, intensely fracked Kern County, California, is the fifth-most ozone-polluted county in the nation, according to the American Lung Association. Several studies this year have documented a sharp uptick in atmospheric ethane attributable to emissions from oil and gas wells. This trend reverses a previous, decades-long decline; if this rate continues, U.S. ethane levels are expected to hit 1970s levels in about three years. Ethane is a potent precursor to ground-level ozone.²²

²⁰ U.S. Environmental Protection Agency Science Advisory Board. (2016, August 11). SAB review of the EPA’s draft assessment of the potential impacts of hydraulic fracturing for oil and gas on drinking water resources. EPA-SAB-16-005. Retrieved from [https://yosemite.epa.gov/sab/sabproduct.nsf/LookupWebReportsLastMonthBOARD/BB6910FEC10C01A18525800C00647104/\\$File/EPA-SAB-16-005+Unsigned.pdf](https://yosemite.epa.gov/sab/sabproduct.nsf/LookupWebReportsLastMonthBOARD/BB6910FEC10C01A18525800C00647104/$File/EPA-SAB-16-005+Unsigned.pdf)

²¹ Boiko-Weyrauch, A. (2016, Oct. 5). Ozone, asthma and the oil and gas connection. *Inside Energy*. Retrieved from <http://insideenergy.org/2016/10/05/ozone-asthma-and-the-oil-and-gas-connection/>

²² Robbins, J. (2016, October 16). In new ozone alert, a warning of harm to plants and to people. *Yale Environment* 360. Retrieved from http://e360.yale.edu/feature/ground_level_ozone_harming_plants_humans/3044/

4) Public health problems associated with drilling and fracking, including reproductive impacts and occupational health and safety problems, are increasingly well documented.

Among residents living near drilling and fracking operations, documented adverse health indicators variously include exacerbation of asthma, as describe above, and increased rates of hospitalization, ambulance runs, emergency room visits, self-reported respiratory problems and rashes, motor vehicle fatalities, trauma, and drug abuse. A study published in August 2016 by Johns Hopkins University researchers demonstrated that Pennsylvania residents with the highest exposure to active fracked gas wells were nearly twice as likely to experience a combination of migraine headaches, chronic nasal and sinus symptoms, and severe fatigue.²³ As is documented in a set of studies published in September, an emerging body of evidence shows harm to reproductive health from exposure to oil and gas operations, at least some of which may be linked to the dozens of known endocrine-disrupting chemicals used in hydraulic fracturing.^{24, 25} Earlier studies have shown associations with infant deaths, high-risk pregnancies, and low birthweight. A 2015 study found a 40 percent increase in the risk of preterm birth among infants born to mothers who live nearby active drilling and fracking sites in Pennsylvania. (See footnote 389.) As we go to press, a Yale University research team reports that carcinogens involved in fracking operations have the potential to contaminate both air and water in nearby communities in ways that may increase the risk of childhood leukemia. The team identified 55 known or possible carcinogens that may be released into air and water from fracking operations. Of these, 20 are linked to leukemia or lymphoma.^{26, 27}

Among workers, risks include both toxic exposures and accidents. Benzene has been detected in the urine of wellpad workers in Colorado and Wyoming. The National Institute for Occupational Safety and Health named oil and gas extraction industry workers among those at risk for silicosis, an incurable lung disease caused by exposure to silica dust, from the silica sand that is used extensively in fracking operations. Fatality rates among workers in the oil and gas extraction sector in North Dakota were seven times the national fatality rates in this industry, which itself has more deaths from fires and explosions than any other private industry. An increase in workplace deaths has accompanied the fracking boom in West Virginia. Nationwide, the number of fatal work injuries in oil and gas extraction industries reached a new high in 2014, according to the most recent analysis from the Bureau of Labor Statistics.

5) Natural gas is a bigger threat to the climate than previously believed. Methane is a much more potent greenhouse gas than formerly appreciated. The Intergovernmental Panel on Climate

²³ Tustin, A. W., Hirsch, A. G., Rasmussen, S. G., Casey, J. A., Bandeen-Roche, K. & Schwartz, B. S. (2016). Associations between unconventional natural gas development and nasal and sinus, migraine headache, and fatigue symptoms in Pennsylvania. *Environmental Health Perspectives*. Advance online publication. doi: 10.1289/EHP281

²⁴ Balise, V. D., Meng, C-X., Cornelius-Green, J. N., Kassotis, C. D., Kennedy, R., & Nagel, S. C. (2016). Systematic review of the association between oil and natural gas extraction processes and human reproduction. *Fertility and Sterility*, 106(4). doi: 10.1016/j.fertnstert.2016.07.1099

²⁵ Kassotis, C. D., Bromfield, J. J., Klemp, K. C., Meng, C-X., Wolfe, A., Zoeller, R. T., . . . Nagel, S. C. (2016). Adverse reproductive and developmental health outcomes following prenatal exposure to a hydraulic fracturing chemical mixture in female C57Bl/6 Mice. *Endocrinology*, 157(9). doi: 10.1210/en.2016-1242

²⁶ Elliot, E. G., Trihn, P., Ma, X., Leaderer, B. P., Ward, M. H., & Deziel, N. C. (2017). Unconventional oil and gas development and risk of childhood leukemia. *Science of the Total Environment*, 576. doi: 10.1016/j.scitotenv.2016.10.072

²⁷ Yale News. (2016, October 24). Fracking linked to cancer-causing chemicals, new YSPH study finds. Retrieved from <https://publichealth.yale.edu/news/article.aspx?id=13714>

Change now estimates that, over a 20-year time frame, methane can, pound for pound, trap 86 times more heat than carbon dioxide and is 34 times more potent a greenhouse gas over a 100 year period. (See footnote 655.) Further, real-world leakage rates greatly exceed earlier estimates. In the heavily drilled Barnett Shale of northeastern Texas, methane emissions were shown to be 50 percent higher than the EPA had estimated. Fracking operations and associated infrastructure contribute 71 to 85 percent of the methane emissions in the region. Researchers discovered that much of these emissions originated not from accidental leaks but from losses that are inherent to the design of the machinery or to normal operating use and are, therefore, not possible to mitigate. (See footnotes 713, 714.) Methane leakage at the levels now being documented (by multiple approaches in measurement and modeling) negates and outweighs previously hypothesized benefits from burning methane instead of coal in most existing power plants and, more profoundly, makes the urgent task of limiting global warming to below levels called for in the Paris climate agreement increasingly difficult. As we go to press, a new discussion paper in *Earth System Dynamics* reports that “the growth rate of climate forcing due to human-caused greenhouse gases increased over 20% in the past decade mainly due to resurging growth of atmospheric CH₄ [methane].”²⁸ Published almost simultaneously, a new inventory of worldwide methane emissions from various sources finds that methane emissions from the fossil fuel industry are 20-60 percent higher than previously thought.²⁹ This discovery, based on isotopic fingerprinting of methane sources, has prompted researchers to call for revisions to current climate prediction models and for a renewed emphasis on reducing methane emissions as a necessary tool for combating climate change.³⁰

6) Earthquakes are a consequence of drilling and fracking-related activities in many locations. Several major studies now confirm a causal link between the injection of fracking wastewater in disposal wells and earthquake swarms. A new study using satellite-based radar imagery provides proof that the migration of fracking wastewater into faults increased pressures in ways that triggered a 4.8 magnitude earthquake in east Texas in 2012, while another documents the rupture of a fault plane that set off a 4.9 magnitude earthquake in Kansas in 2014 immediately following a rapid increase in fracking wastewater injection nearby.^{31, 32} The number of earthquakes of magnitude 3.0 or higher has skyrocketed in Oklahoma since the advent of the fracking boom, with fewer than two per year before 2009 and more than 900 in 2015 alone. In 2016, the frequency of seismic events in Oklahoma declined (with 580 earthquakes at this writing), but the size of individual events is increasing. Thus far in 2016, Oklahoma has

²⁸ Hansen, J., Sato, M., Kharecha, P., von Schuckmann, K., Beerling, D. J., Cao, J. . . . Smith, P. (2016). Young people’s burden: requirement of negative CO₂ emissions. *Earth System Dynamics*, in review. doi: 10.5194/esd-2016-42

²⁹ Schwietzke, S., Sherwood, O. A., Bruhwiler, L. M. P., Miller, J. B., Etiope, G., Dlugokencky E. J., . . . Tans, P. P. (2016). Upward revision of global fossil fuel methane emissions based on isotope database. *Nature*, 538. doi: 10.1038/nature19797

³⁰ Vaughan, A. (2016, October 5). Fossil fuel industry’s methane emissions far higher than thought. *Guardian*. Retrieved from <https://www.theguardian.com/environment/2016/oct/05/fossil-fuel-industrys-methane-emissions-far-higher-than-thought>

³¹ Shirzaei, M., Ellsworth, W. L., Tiampo, K. F., Gonzalez, P. J., & Manga, M. (2016). Surface uplift and time-dependent seismic hazard due to fluid injection in eastern Texas. *Science*, 353(6306). doi: 10.1126/science.aag0262

³² Choy, G. L. Rubenstein, J. L., Yeck, W. L., McNamara, D. E. Mueller, C. S., & Boyd, O. S. (2016). A rare moderate-sized (M_w 4.9) earthquake in Kansas: rupture process of the Milan, Kansas, earthquake of 12 November 2014 and its relationship to fluid injection. *Seismological Research Letters*, 87. doi: 10.1785/0220160100

experienced three earthquakes of magnitude 5.0 or higher.³³ The 5.8 earthquake that struck near Pawnee on September 3, 2016 was the strongest in Oklahoma's history.³⁴ Felt by residents in five states, the Pawnee quake prompted a state of emergency declaration and an order from state regulators to shut down 67 wastewater disposal wells in the area.³⁵ As we go to press, the EPA has recommended a moratorium on the underground injection of fracking wastewater in certain earthquake-prone parts of Oklahoma because regulations have not worked to solve the problem.³⁶ On November 6, 2013, a magnitude 5.0 earthquake struck Cushing, Oklahoma near the site of the nation's largest oil hub, where 60 million barrels of crude oil are stored. The quake injured one, damaged more than 40 buildings, closed a school, and triggered evacuations. Oil infrastructure was not damaged.³⁷ Recent evidence shows that the process of fracking itself can trigger small earthquakes, as several confirmed cases demonstrate. (See footnotes 432-438.)

7) Fracking infrastructure poses serious potential exposure risks to those living near it.

Drilling and fracking activities are temporary operations, but compressor stations are semi-permanent facilities that pollute the air 24 hours a day as long as gas is flowing through the pipeline. Day-to-day emissions from compressor stations are highly episodic and can create periods of potentially extreme exposures. In a similar way, flare stacks at drilling and fracking sites can contribute significantly to hazardous air pollution. Emissions from flare stacks typically include carbon monoxide, nitrogen oxides, benzene, formaldehyde and xylene, but levels of these smog-forming compounds are seldom measured directly.³⁸ In October 2016, the EPA acknowledged that its 33-year-old formula for estimating emissions from flaring operations requires revision as it may significantly underestimate levels of health-damaging air pollutants.³⁹ In the Upper Midwest, Wisconsin residents living near silica sand mining operations that service the fracking industry reported dust exposure and respiratory problems. Silica dust is a known cause of silicosis and lung cancer.

Fracking infrastructure in the United States also includes 400 underground gas storage facilities in 31 states, with scant federal oversight and aging equipment. The recent four-month leak at the fifth largest facility, Aliso Canyon in California, resulted in an uncontrollable array of chemical exposures to a large suburban population and became the worst methane leak in U.S. history. A major pollution source even before the October 2015 blow-out, Aliso Canyon exposed residents in the region to benzene spikes, high ongoing odorant releases, hydrogen sulfide at levels far

³³ Strain, M. (2016, November 13). Earthquake 101: What's causing them? More concern for Cushing? How long will they continue? *Tulsa World*. Retrieved from http://www.tulsaworld.com/earthquakes/earthquake-what-s-causing-them-more-concern-for-cushing-how/article_66abec78-9773-5b90-948d-9d81f1713af1.html

³⁴ U.S. Geological Survey. (2016, September 3). M5.8 – 14 km NW of Pawnee, Oklahoma. Retrieved from <http://earthquake.usgs.gov/earthquakes/eventpage/us10006jxs#executive>

³⁵ Oklahoma Corporation Commission. (2016, September 12). Latest action regarding Pawnee area (press release). Retrieved from <https://www.occeweb.com/News/2016/09-12-16Pawnee%20Advisory.pdf>

³⁶ Soraghan, M. (2016, October 7). EPA suggests partial disposal moratorium in Okla. *E&E EnergyWire*. Retrieved from <http://www.eenews.net/energywire/stories/1060043991>

³⁷ Philips, M. (2016, November 8). Why Oklahoma can't turn off its earthquakes. *Bloomberg Businessweek*.

Retrieved from <http://www.bloomberg.com/news/articles/2016-11-08/why-oklahoma-can-t-turn-off-its-earthquakes>

³⁸ Hasemyer, D. (2016, October 13). EPA agrees that its emissions estimates from flaring may be flawed. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/12102016/epa-natural-gas-oil-drilling-flaring-emissions-estimates-flawed-fracking>

³⁹ United States District Court for the District of Columbia. (2016, October 16). Air Alliance Houston, et al. v. Gina McCarthy, Administrator, United States Environmental Protection Agency. Consent decree. Case 1:16-cv-01998. Retrieved from <https://www.documentcloud.org/documents/3127584-Consent-Decree-on-Flares.html>

above average urban levels, and many other contaminants of concern. Residents reported symptoms including headaches, nosebleeds, eye irritation, and nausea. Thousands were evacuated, and contaminated house dust became a contentious issue. Measurements of airborne contaminants during the leak were intermittent and contain major gaps. The community still awaits the initiation of a mandated health study, which, independent researchers say, must include attention to sub-chronic, cumulative exposures. (See footnotes 733-766.)

8) Drilling and fracking activities can bring naturally occurring radioactive materials to the surface. Exposure to increased radiation levels from fracking materials is a risk both for workers and for residents. A study demonstrated that radon levels in Pennsylvania homes rose since the advent of the fracking boom, and buildings in heavily drilled areas had significantly higher radon readings than areas without well pads—a difference that did not exist before 2004. University of Iowa researchers documented a variety of radioactive substances including radium, thorium, and uranium in fracking wastewater and determined that their radioactivity increased over time; they warned that radioactive decay products can potentially contaminate recreational, agricultural, and residential areas. The New York State DEC’s Findings Statement noted that naturally occurring radioactive materials (NORM) are brought to the surface “in the cuttings, flowback water and production brine. . . . [T]he build-up of NORM in pipes and equipment has the potential to cause a significant adverse impact because it could expose workers handling pipes, for cleaning or maintenance, to increased radiation levels.” (See footnotes 294, 306-329.)

9) The risks posed by fracking in California are unique. One in every eight Americans lives in California, and hydraulic fracturing in California is practiced differently than in other states, making its risks different, as well. California is the only state that allows fracking waste to be held in unlined, open pits, which creates risks for both air and groundwater contamination. Wells are more likely to be vertical rather than horizontal, and the oil-containing rock layer is shallower. Hence, much less water is used per well for fracking as compared to other states. However, the fracking fluid used is much more chemically concentrated, the fracking zones are located closer to overlying aquifers, and the risk of a fracture reaching groundwater is higher. Most new fracking operations in California take place in areas with a long history of oil extraction, most notably in San Joaquin Valley within Kern County. A high density of old and abandoned wells in that area provides potential leakage pathways, should fractures intersect with them. And although fracking requires considerably less water per well in California, it takes place disproportionately in areas of severe water shortages and can compete with municipal and agricultural needs for freshwater. (See footnote 69.)

Fracking in California is concentrated in two areas, both of which face unique potential risks to human health. One, Kern County, serves as a top producer of the nation’s food crops, yet it hosts the highest density of drilling and fracking operations in the state. These factors project fracking’s impacts onto geographically distant populations, especially in cases when wastewater is diverted for use in crop irrigation and livestock watering. The other area in California where fracking is concentrated, the Los Angeles Basin, is located directly under one of the most populous cities in the world. About 1.7 million people in Los Angeles live or work within one mile of an active oil or gas well. California does not currently limit how close drilling and fracking operations can be from residences or schools.

In 2014, the discovery that companies had, for years, been wrongly allowed to inject fracking waste directly into California’s freshwater aquifers—including some used as drinking water

sources—led to the closing of 56 disposal wells. (Astonishingly, state and federal regulators are now considering whether to expand California’s aquifer exemption program that legalizes the dumping of oil and gas waste into groundwater reserves.⁴⁰) The combination of ongoing drought and lack of disposal options has resulted in the diversion of fracking wastewater to farmers for irrigation of crops, raising concerns about contaminated water potentially affecting food crops and draining into groundwater. Investigative reports in 2015 revealed that Chevron Corporation piped 21 million gallons of recycled oil and gas wastewater per day to farmers for crop irrigation. Tests showed the presence of several volatile organic compounds, including acetone, which is linked, in lab studies, to kidney, liver, and nerve damage. (See footnotes 569-571.)

Food is a troubling possible exposure route to fracking chemicals, about which little is known. As we go to press, a research team from Lawrence Berkeley National Laboratory, University of California Berkeley, and University of the Pacific released preliminary results from a first-ever hazard assessment of chemicals used in California oil drilling operations that reuse wastewater for livestock watering and other agricultural purposes in the San Joaquin Valley. This evaluation, compiled as a technical report by PSE Healthy Energy and Lawrence Berkeley National Laboratory, revealed that more than one-third of the 173 chemicals used are classified as trade secret and their identities are therefore unknown. Of the remainder, ten are classified as either carcinogenic or possibly carcinogenic in humans, 22 are classified by the state of California as toxic air contaminants, and 14 had no ecotoxicity or mammalian toxicity data available. “It is difficult or impossible to estimate risks to consumers, farmworkers or the environment,” the authors concluded, “when identification of chemical additives remains in trade secret form and/or lacks toxicity and environmental profile information.”⁴¹ (See footnotes 565-588.)

10) The economic instabilities of fracking further exacerbate public health risks. Real-life challenges to the industry’s arguments that fracking is good business are becoming more apparent. Independent economic analyses show that the promise of job creation has been greatly hyped, with many jobs going to out-of-area workers. With the arrival of drilling and fracking operations, communities have experienced steep increases in rates of crime, including sex trafficking, sexual assault, drunk driving, drug abuse, and violent victimization—all of which carry public health consequences, especially for women. Social costs include strain on law enforcement, municipal services, and road damage. Economic analyses have found that drilling and fracking threaten property values and can diminish tax revenues for local governments. Additionally, drilling and fracking pose an inherent conflict with mortgages and property insurance due to the hazardous materials used and the associated risks.

In the past year, the tempo of drilling and fracking operations in the United States has fluctuated markedly. In March 2016, the number of working gas rigs fell to its lowest level since record-

⁴⁰ Lustgarten, A. (2016, August 30). California and EPA poised to expand pollution of potential drinking water reserves. *ProPublica*. Retrieved from <https://www.propublica.org/article/california-epa-poised-expand-pollution-of-potential-drinking-water-reserves>

⁴¹ Shonkoff, S. B. C., Stringfellow, W. T., & Domen, J. K. (2016, September). Hazard assessment of chemicals additives used in oil field that reuse produced water for agricultural irrigation, livestock watering, and groundwater recharge in the San Joaquin Valley of California: preliminary results. Retrieved from http://psehealthyenergy.org/data/Preliminary_Results_13267_Disclosures_FINAL.pdf

keeping began in 1987.⁴² Although oversupply and plunging oil and gas prices have dramatically slowed the shale boom, this recent downturn has not always translated into less risk and exposure to harm for those living in frontline communities. For example, even as the pace of drilling slowed nationwide in 2015, injections of fracking wastewater increased in Ohio by 15 percent. In an interview with the *Columbus Dispatch*, an industry spokesperson said that operators are drilling wells with longer lateral pipelines to access more gas or oil per well.⁴³ Hence, more water is required per well, and more wastewater will be generated even as the pace of drilling slows. Indeed, according to nationwide data provided to investors, the average amount of water used to frack a single well has more than doubled since 2013 from 2.6 million gallons per well to 5.3 million gallons in 2016, due to longer laterals and more intensive fracking. The consequence for drought-stricken regions is increasing water stress even as the drill rig count declines. Fifty-seven percent of hydraulically fractured oil and gas wells in the United States are located in water-stressed areas.⁴⁴ Likewise, the 2016 slowdown in oil and gas drilling has not translated into decreased demand for silica sand, which is used to prop open the hydraulically fractured cracks. Instead, the move toward “mega-fracking,” which creates many more fractures per well, has driven up the average volume of sand used per well and thus overall demand.⁴⁵

The unstable economic fundamentals of the industry as a whole have multiple consequences for public health and safety. The low price of oil and gas, coupled with short-lived well production, has led companies drilling shale to reduce the value of their assets by billions of dollars, creating shortfalls that are largely filled through asset sales and increasing debt load. Falling prices means that interest payments are consuming revenue of many smaller companies, raising concerns that revenue losses will lead to cut-backs in safety measures. Thus, the fundamental economic uncertainties of shale gas and oil production further exacerbate the risks of fracking to public health and safety. As we go to the press, the rate of active shale gas drilling in the United States was, once again, on the upswing.⁴⁶

11) Fracking raises issues of environmental justice. Inequalities in opportunities to participate in environmental decision-making and uneven impacts of environmental hazards along racial and socioeconomic lines are signature issues of environmental justice. Although not yet fully characterized, emerging evidence reveals that, in several regions where fracking is practiced, wellpads and associated infrastructure are disproportionately sited in non-white and low-income communities. A pattern of racially biased permitting was recently documented in the heavily fracked Eagle Ford area of southern Texas where a public health research team from University of California, Los Angeles and the University of North Carolina showed that disposal wells for

⁴² U.S. Energy Information Administration. (2016, March 16). Rig count at historic low, but gas production still high. *Natural Gas Weekly Update*. Retrieved from: http://www.eia.gov/naturalgas/weekly/archivenew_ngwu/2016/03_17/

⁴³ Arenschield, L. (2016, March 7). Injections of wastewater rise in Ohio despite lull in fracking. *Columbus Dispatch*. Retrieved from <http://www.dispatch.com/content/stories/local/2016/03/07/injections-of-wastewater-rise-in-ohio-despite-lull-in-fracking.html>

⁴⁴ Ceres. (2016, October 6). An investor guide to hydraulic fracturing and water stress. Retrieved from <https://www.ceres.org/issues/water/shale-energy/investor-guide-to-fracking-water-risk/investor-guide-to-hydraulic-fracturing-water-stress>

⁴⁵ Stafford, J. (2016, September 19). Frack sand: the unsung hero of the OPEC oil war. *OilPrice.com*. Retrieved from <http://oilprice.com/Energy/Energy-General/Frack-Sand-The-Unsung-Hero-Of-The-OPEC-Oil-War.html>

⁴⁶ Gronewold, N. (2016, October 24). Natural gas: rigs are returning to shale fields. *Energy Wire*. Retrieved from <http://www.eenews.net/energywire/2016/10/24/stories/1060044690>

fracking wastewater were more than twice as common in areas where residents are more than 80 percent people of color than in majority white communities.⁴⁷ Since 2007, more than 1,000 waste disposal wells have been permitted in the Eagle Ford Shale region where groundwater is the primary source of drinking water.⁴⁸ Another recent study looked at economic disparities in the intensely drilled northern Texas city of Denton and found that those benefiting most from Denton's mineral wealth tended to live elsewhere, while the environmental burdens remained local and fell hardest on those who did not have a voice in mineral-leasing decisions. "Nonmineral owners are essentially excluded from the private decisions, as the mineral owners not only receive the direct monetary benefits, but also hold a great deal of state-sanctioned power to decide if and how [shale gas development] proceeds."⁴⁹

Poor communities of color are disproportionately affected by drilling activities in California. Of Los Angeles residents living within a quarter mile of a well, more than 90 percent are people of color. In November 2015, civic groups led by youth sued the city of Los Angeles for racial discrimination based on allegations of a preferential permitting process and unequal regulatory enforcement for oil wells located in neighborhoods of color. Together, these differential practices have resulted in a higher concentration of wells with fewer environmental protections in black and Latino communities.⁵⁰ Another study found a higher concentration of drilling and fracking operations in impoverished communities throughout the state of Pennsylvania as well as in localized areas of West Virginia, but it did not find differences with respect to race. "The results demonstrate that the environmental injustice occurs in areas with unconventional wells in Pennsylvania with respect to the poor population."⁵¹

12) Health care professionals are increasingly calling for bans or moratoria until the full range of potential health hazards from fracking are understood. In May 2015, the Medical Society of the State of New York passed a resolution recognizing the potential health impacts of natural gas infrastructure and pledging support for a governmental assessment of the health and environmental risks associated with natural gas pipelines. (See footnote 720.) The American Medical Association (AMA) adopted a similar resolution that supports legislation requiring all levels of government to seek a comprehensive Health Impact Assessment regarding the health and environmental risks associated with natural gas pipelines. (See footnote 719.) In May 2016, Physicians for Social Responsibility called for a ban on fracking, stating, "We cannot stay healthy in an unhealthy environment. Nor can we survive indefinitely on a planet growing hotter and more prone to extreme, unpredictable and destructive weather. These factors impel PSR to

⁴⁷ Johnston, J. E., Werder, E. & Sebastian, D. (2016). Wastewater disposal wells, fracking, and environmental justice in southern Texas. *American Journal of Public Health*, 106(3). doi: 10.2105/AJPH.2015.303000

⁴⁸ Bienkowski, B. (2016, February 3). Poor, minorities carry the burden of frack waste in South Texas. *Environmental Health News*. Retrieved from: <http://www.environmentalhealthnews.org/ehs/news/2016/feb/fracking-waste-eagle-ford-texas-hispanic-environmental-justice>

⁴⁹ Fry, M., Briggie, A., Kincaid, J. (2015). Fracking and environmental (in)justice in a Texas city. *Ecological Economics*, 117. doi: 10.1016/j.ecolecon.2015.06.012

⁵⁰ Reyes, E.A. (2015, November 6). Environmental advocates sue L.A., accusing it of "rubber stamping" oil drilling plans. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/lanow/la-me-ln-lawsuit-oil-drilling-20151106-story.html>

⁵¹ Ogneva-Himmelberger, Y., & Huang, L. (2015). Spatial distribution of unconventional gas wells and human populations in the Marcellus Shale in the United States: vulnerability analysis. (2015). *Applied Geography*, 60, 165-174. doi: 10.1016/j.apgeog.2015.03.011

call for a ban on fracking and for a rapid transition to cleaner, healthier, carbon-free sources of energy.”⁵² In July 2016, the UK health professional organization Medact released an updated assessment of the potential health impacts of shale fracking in England, concluding that the United Kingdom should abandon its policy to encourage shale gas extraction, and urged an “indefinite moratorium” on fracking. (See footnote 878.) In October 2016, group of health care professionals in Massachusetts called for an immediate moratorium on major new natural gas infrastructure until the impact of these projects on the health of the communities affected can be adequately determined through a Comprehensive Health Impact Assessment.⁵³ The group noted that the operation of natural gas facilities risks human exposures to toxic, cancer-causing, and radioactive pollution due to the presence of naturally co-occurring contaminants, toxic additives to the hydraulic fracturing process used to produce much of the country’s natural gas supply, and through the operation of transmission pipelines.⁵⁴ Also in October, in a unanimous vote of the society’s 300-member House of Delegates, the Pennsylvania Medical Society called for a moratorium on new shale gas drilling and fracking in Pennsylvania and an initiation of a health registry in communities with pre-existing operations.^{55, 56}

Other U.S. medical groups calling for bans or moratoria include Chesapeake PSR and the Alliance of Nurses for Healthy Environments. Concerned Health Professionals of New York, which provided science for the successful effort to ban fracking in New York State, has inspired affiliations of like-minded public health scientists and health care providers that are now advocating for moratoria or bans on fracking in various other regions. These include Concerned Health Professionals of Maryland, Concerned Health Professionals of Ireland, and Concerned Health Professionals of Neuquén, Argentina.

⁵² Physicians for Social Responsibility (2016, May 13). PSR position statement calling for a ban on hydraulic fracturing. Retrieved from <http://www.psr.org/assets/pdfs/psr-fracking-policy.pdf>

⁵³ Massachusetts Health Care Professionals Against Fracked Gas (2016, October). Call for a moratorium on natural gas projects undergoing construction or review in the Commonwealth of Massachusetts. Retrieved from <http://mhcpafg.org/>

⁵⁴ Massachusetts Health Care Professionals Against Fracked Gas. (2016, February 20). The role of comprehensive health impact assessment in evaluating natural gas infrastructure proposals in Massachusetts. Retrieved from <http://mhcpafg.org/>

⁵⁵ Pennsylvania Medical Society (2016, October 23). Resolution 16-206: Pennsylvania Medical Society support for a moratorium on fracking. Retrieved from https://www.pamedsoc.org/PAMED_Downloads/HODAEC/16-206.pdf

⁵⁶ Hopey, D. (2016, October 28). Doctors call for a state ban on drilling and fracking. *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/local/region/2016/10/27/Doctors-group-calls-for-moratorium-on-fracking-in-Pennsylvania/stories/201610270226>

Compilation of Studies & Findings

Air pollution

Air pollution associated with fracking is a grave concern with a range of impacts. Researchers have documented dozens of air pollutants from drilling and fracking operations that pose serious health hazards. Areas with substantial drilling and fracking build-out show high levels of ground-level ozone (smog), striking declines in air quality, and, in several cases, increased rates of health problems with known links to air pollution. Air sampling surveys find high concentrations of volatile organic compounds (VOCs), especially carcinogenic benzene and formaldehyde, both at the wellhead and at distances that exceed legal setback distances from wellhead to residence. In some cases, VOC concentrations exceeded federal safety standards by several orders of magnitude. Evidence implicates the U.S. shale gas boom in the recent global spike in atmospheric ethane. Drilling and fracking operations in North Dakota's Bakken oil and gas field alone contribute two percent of global ethane emissions and directly impact air quality across North America. Ethane is both a greenhouse gas and a precursor for ozone formation.

- July 23, 2016 – A study conducted at the Boulder Atmospheric Observatory examined sources of summertime ozone formation (smog) in Colorado's Front Range and found that 17 percent of locally created ozone was created by volatile organic compounds (VOCs) from drilling and fracking operations.⁵⁷ Colorado has exceeded the federal ozone standard for the past nine years, a period of time that corresponds to a boom in oil and gas drilling in the Wattenberg Gas Field where the number of active wells has nearly doubled.⁵⁸
- June 13, 2016 – Between 2009 and 2014, ethane emissions in the Northern Hemisphere increased by about 400,000 tons annually, the bulk of it from North American oil and gas activity, according to research by an international team led by the University of Colorado Boulder.⁵⁹ After peaking in the 1970s, global ethane emissions began declining, primarily due to stricter air quality emission controls. In 2009, however, that downward trend reversed itself. "About 60 percent of the drop we saw in ethane levels over the past 40 years has already been made up in the past five years.... If this rate continues, we are on track to return to the maximum ethane levels we saw in the 1970s in only about three more years. We rarely see changes in atmospheric gases that quickly or dramatically,"

⁵⁷ McDuffie, E.E., Edwards, P.M., Gilman, J.B., Lerner, B.M., Dubé, W.P., Trainer, M., . . . Brown, S.S. (2016). Influence of oil and gas emissions on summertime ozone in the Colorado Northern Front Range. *Journal of Geophysical Research: Atmospheres*. doi: [10.1002/2016JD025265](https://doi.org/10.1002/2016JD025265)

⁵⁸ University of Colorado at Boulder. (2016, August 8). Accounting for ozone: Study first to quantify impact of oil and gas emissions on Denver's ozone problem. *ScienceDaily*. Retrieved from <https://www.sciencedaily.com/releases/2016/08/160808123832.htm>

⁵⁹ Helmig, D., Rossabi, S., Hueber, J., Tans, P., Montzka, S. A., Masarie, K., . . . Pozzer, A. (2016). Reversal of global atmospheric ethane and propane trends largely due to US oil and natural gas production. *Nature Geoscience*, 9, 490–495. doi: [10.1038/ngeo2721](https://doi.org/10.1038/ngeo2721)

said lead researcher Detlev Helmig.⁶⁰ Samples were collected from locations around the world, but the largest increases in ethane were documented over areas of heavy oil and gas activity in the central and eastern United States. Ethane contributes to the creation of ground-level ozone pollution (smog), a known human health hazard. The authors noted that “... ozone production from these emissions has led to air quality standard exceedances in the Uintah Basin, Utah, and Upper Green River Basin, Wyoming, [oil and natural gas] regions.” Two scientists not involved in the study published an accompanying commentary, concluding, “There is a danger that these non-methane hydrocarbon emission changes can offset emission policies and controls aimed at reducing ozone concentrations,” and “[t]hese oil and gas operations are threatening to reverse what had been an important success story: decades of declining air pollution in North America.”⁶¹ (See also the entry dated April 2, 2016 in Threats to the Climate System.)

- June 1, 2016 – Existing data on air pollutants emitted from drilling and fracking operations “support precautionary measures to protect the health of infants and children,” according to a review by a team of researchers (members of which include co-authors of this Compendium). Researchers focused on exposures to ozone, particulate matter, silica dust, benzene, and formaldehyde—all of which are associated with drilling and fracking operations—noting that all are linked to adverse respiratory health effects, particularly in infants and children. Benzene, for example, emitted from gas wells, production tanks, compressors, and pipelines, is a carcinogen also linked to serious respiratory outcomes in infants and children, including pulmonary infections in newborns. As the authors emphasized, this review did not consider other air pollutants commonly associated with drilling and fracking activities, namely hydrogen sulfide, polycyclic aromatic hydrocarbons, and oxides of nitrogen. Although improved exposure assessment, air monitoring, and long-term studies are still lacking, existing evidence was sufficient for the authors to “strongly recommend precautionary measures at this time.”⁶²
- April 26, 2016 – About two percent of global ethane emissions originate from the Bakken shale oil and gas field, which, according to research led by University of Michigan researchers, emits 250,000 tons of ethane per year.⁶³ “Two percent might not sound like a lot, but the emissions we observed in this single region are 10 to 100 times larger than reported in inventories. They directly impact air quality across North America. And they’re sufficient to explain much of the global shift in ethane concentrations,” according

⁶⁰ Helmig, D. & Scott, J. (2016, June 13). Global ethane concentrations rising again, says study. *News Center University of Colorado Boulder*. Retrieved from <http://www.colorado.edu/news/releases/2016/06/13/global-ethane-concentrations-rising-again-says-study>

⁶¹ Hakola, H. & Hellén, H. (2016). The return of ethane. *Nature Geoscience*, 9, 475-476. doi: 10.1038/ngeo2736

⁶² Webb, E., Hays, J., Dyrszka, L., Rodriguez, B., Cox, C., Huffling, K., & Bushkin-Bedient, S. (2016). Potential hazards of air pollutant emissions from unconventional oil and natural gas operations on the respiratory health of children and infants. *Reviews on Environmental Health*, 31(2), 225-243. doi: 10.1515/reveh-2014-0070

⁶³ Kort, E. A., Smith, M. L., Murray, L. T., Gvakharia, A. Brandt, A. R., Peischl, J., . . . Travis, K. (2016). Fugitive emissions from the Bakken shale illustrate role of shale production in global ethane shift. *Geophysical Research Letters*, 43, 4617–4623. doi: 10.1002/2016GL068703

to Eric Kort, first author of the study.⁶⁴ Ethane is a gas that affects climate and decreases air quality. As a greenhouse gas, ethane is the third-largest contributor to human-caused climate change. Ethane contributes to ground-based ozone pollution as it breaks down and reacts with sunlight to create smog. This surface-level ozone is linked to respiratory problems, eye irritation, and crop damage. Global ethane levels were decreasing until 2009, leading the researchers to suspect that the U.S. shale gas boom may be responsible for the global increase in levels since 2010.

- April 5, 2016 – Helicopter-based infrared camera surveys of more than 8,000 oil and gas wells in seven U.S. regions found that well pads emit considerably more methane and volatile organic compounds (VOCs) than captured by earlier inventories. Moreover, these emissions were widely and unpredictably variable from site to site and from well to well. Over 90 percent of total airborne emissions from well pads originated with vents and hatches on aboveground storage tanks.⁶⁵ The inability to predict which well sites were “superemitters” (meaning that they leaked into the air more than 200 cubic feet of methane and volatile organic compounds per hour) implies that continuous, site-specific monitoring is required to regulate methane leaks from drilling and fracking operations. In a comment about the findings to *InsideClimate News*, Cornell University engineer Anthony Ingraffea, who was not an author of the paper, said, “It makes regulation very difficult. If you have all these possible sites where you can have leaks, you can never have enough inspectors with all the right equipment being in all the right places at all the right times. It’s too complex a system.”⁶⁶
- February 19, 2016 – Legally enforced minimal distances between well sites and residences are based on political compromises rather than peer-reviewed science and “may not be sufficient to reduce potential threats to human health in areas where hydraulic fracturing occurs,” according to the findings of an interdisciplinary team including medical professionals and other researchers. The team incorporated geography, current regulations, historical records of blowout incidents and evacuations, thermal modeling, direct air pollution measurement, and vapor cloud modeling within the Marcellus (PA), Barnett (TX), and Niobrara (Northeastern and Northwestern Colorado and parts of Wyoming, Kansas, and Nebraska) Shale regions. The authors focused solely on well sites and excluded pipelines and compressor stations, which limited the data on explosions and evacuations and restricted air pollution results. Even so, the results showed that current natural gas well setbacks in the three areas “cannot be considered sufficient in all cases to protect public health and safety.” People living within setback distances are potentially vulnerable to thermal injury during a well blowout, and they are

⁶⁴ Moore, C. S. & Human K. (2016, April 26). One oil field a key culprit in global ethane gas increase. *Michigan News*. Retrieved from <http://ns.umich.edu/new/multimedia/videos/23735-one-oil-field-a-key-culprit-in-global-ethane-gas-increase>

⁶⁵ Lyon, D.R., Alvarez, R.G., Zavala-Araiza, D., Brandt, A.R., Jackson, R.B., & Hamburg, S.P. (2016). Aerial surveys of elevated hydrocarbon emissions from oil and gas production sites. *Environmental Science & Technology* 50(9). doi: 10.1021/acs.est.6b00705

⁶⁶ McKenna, P. (2016, April 8). Researchers find no shortcuts for spotting wells that leak the most methane. *InsideClimate News*. Retrieved from: <https://insideclimatenews.org/news/07042016/big-methane-leaks-superemitters-oil-gas-production-climate-change-edf>

also susceptible to exposures of benzene and hydrogen sulfide at levels above those known to cause health risks.⁶⁷

- August 1, 2015 – “[C]linicians should be aware of the potential impact of fracking when evaluating their patients,” concluded a team writing on behalf of the Occupational and Environmental Health Network of the American College of Chest Physicians. Their article stated that the over 200,000 U.S. workers employed by well-servicing companies “... are exposed to silica, diesel exhaust, and [volatile organic compounds], and, at some sites, hydrogen sulfide and radon, raising concerns about occupational lung diseases, including silicosis, asthma, and lung cancer.” The authors went on to say, “[i]n addition to occupational exposures, workers and nearby residents are also exposed to air pollutants emitted from various stages of fracking, including nitrogen oxides (NO_x), VOCs, ozone, hazardous air pollutants, methane, and fine particulate matter.” Authors pointed to several recent reversals in progress on air quality owed to fracking-related activity, including significant emissions of nitrogen oxides, a precursor of ozone, and spikes in fine particulate matter in fracking-intensive areas of Pennsylvania.⁶⁸
- July 9, 2015 – The California Council on Science and Technology, in collaboration with the Lawrence Berkeley National Laboratory, released the second and third volumes of an extensive, peer-reviewed assessment of fracking in California. Air quality impacts are the focus of volume 2, chapter 3. The assessment found that current inventory methods underestimate methane and volatile organic chemical emissions from oil and gas operations and that fracking occurs in areas of California—most notably in the San Joaquin Valley and South Coast Air Basins—that already suffer from serious air quality problems. Further, no experimental studies of air emissions from drilling and fracking operations have ever been conducted in California. Although California has well-developed air quality inventory methods, they are “not designed to estimate well stimulation emissions directly, and it is not possible to determine well stimulation emissions from current inventory methods.”⁶⁹
- July 1, 2015 – In accordance with California Senate Bill No. 4, the California Division of Oil, Gas, and Geothermal Resources released a three-volume environmental impact report on oil and gas well stimulation treatments in the state (which, in California, include fracking along with acidizing and other unconventional extraction technologies that break up oil- or gas-containing rock). The Division determined that fracking and related operations can have “significant and unavoidable” impacts on air quality, including

⁶⁷ Haley, M., McCawley, M., Epstein, A. C., Arrington, B., Bjerke, E. F. (2016). Adequacy of current state setbacks for directional high-volume hydraulic fracturing in the Marcellus, Barnett, and Niobrara Shale plays. *Environmental Health Perspectives*. Advance online publication. doi: 10.1289/ehp.1510547

⁶⁸ Evans, R. B., Prezant, D., & Huang, Y. C. (2015). Hydraulic fracturing (fracking) and the Clean Air Act. *Chest*, 148(2), 298-300. doi: 10.1378/chest.14-2582

⁶⁹ Brandt, A., Millstein, D., Jin, L., & Englander, J. (2015, July 9). Air quality impacts from well stimulation. In: California Council on Science and Technology, *An Independent Scientific Assessment of Well Stimulation in California*, volume 2, chapter 3. Retrieved from <http://ccst.us/publications/2015/vol-II-chapter-3.pdf>

increasing ozone and other federally regulated pollutants to levels that violate air quality standards or that would make those violations worse.^{70, 71}

- May 29, 2015 – Each of stage of the drilling and fracking process “... has distinct operations that occur and particular sets of air emissions that may affect the respiratory tract,” wrote West Virginia University researcher Michael McCawley. Some states do have setback requirements, which “... may provide a margin of safety for fire and explosions but [do] not necessarily assure complete dilution or negligible exposure from air emissions.” His paper described the specific air contaminants associated with respiratory effects for each stage of operations. For example, the actual fracking stage potentially emits diesel exhaust, VOCs, particulate matter, ozone precursors, silica, and acid mists. McCawley reviewed the health effects linked to each of the contaminant types. Though many long-term effects may not yet be apparent in shale gas regions, “[a]t a minimum, one would expect to see similar rates of respiratory disease to that found near highways with heavy traffic flow.”⁷²
- April 21, 2015 – In a study funded by the electric power industry, a research team found that fracking had diminished air quality in rural areas downwind of gas sites in two heavily drilled Pennsylvania counties but that concentrations of volatile organic compounds were not as high as expected based on results in other states. Methane levels were higher than previous research had found.⁷³ The extent to which the results can be generalized to the Marcellus basin as a whole, the authors emphasized, remains uncertain.⁷⁴
- April 15, 2015 – In a review of the literature, Colorado researchers demonstrated that four common chemical air pollutants from drilling and fracking operations—benzene, toluene, ethylbenzene, and xylene (BTEX)—are endocrine disruptors commonly found in ambient air that have the ability to interfere with human hormones at low exposure levels, including at concentrations well below EPA recommended exposure limits. Among the health conditions linked to ambient level exposures to the BTEX family of air pollutants: sperm abnormalities, reduced fetal growth, cardiovascular disease, respiratory

⁷⁰ California Department of Conservation, Division of Oil, Gas, and Geothermal Resources (2015, July 1). *Analysis of Oil and Gas Well Stimulation Treatments in California, Volume II*. Retrieved from http://www.conservation.ca.gov/dog/SB4DEIR/Pages/SB4_DEIR_TOC.aspx

⁷¹ Cart. J. (2015, July 1). State issues toughest-in-the-nation fracking rules. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/lanow/la-me-ln-state-issues-fracking-rules-20150701-story.html>

⁷² McCawley, M. (2015). Air contaminants associated with potential respiratory effects from unconventional resource development activities. *Seminars in Respiratory and Critical Care Medicine* 36(3), 379-387. doi: 10.1055/s-0035-1549453

⁷³ Phillips, S. (2015, May 19). Study: lower than expected air pollutants detected at Marcellus drilling sites. *State Impact Pennsylvania*. Retrieved from <https://stateimpact.npr.org/pennsylvania/2015/05/19/study-lower-than-expected-air-pollutants-from-gas-drilling-sites/>

⁷⁴ Goetz, J. D., Floerchinger, C., Fortner E. C., Wormhoudt, J., Massoli, P., Knighton, W. B., . . . DeCarlo, P.F. (2015). Atmospheric emission characterization of Marcellus Shale natural gas development sites. *Environmental Science & Technology*, 49, 7012-20. doi: 10.1021/acs.est.5b00452

dysfunction, and asthma.⁷⁵ “This review suggests that BTEX may...have endocrine disrupting properties at low concentrations, presenting an important line of inquiry for future research. BTEX are used globally in consumer products, and are released from motor vehicles and oil and natural gas operations that are increasingly in close proximity to homes, schools, and other places of human activity.”⁷⁶

- March 26, 2015 – Fracking can pollute air hundreds of miles downwind from the well pad, according to the results of a study from University of Maryland. Researchers took hourly measurements of ethane in the air over Maryland and the greater Washington, DC area, where fracking does not occur, and compared them to ethane data from areas of West Virginia, Pennsylvania, and Ohio where it does. They found month-to-month correlations, indicating that the ethane pollution in the air over Maryland appears to be coming from drilling and fracking operations in these other states. Ethane, a minor component of natural gas, rose 30 percent in the air over the Baltimore and Washington DC area since 2010, even as other air pollutants declined in concentration. By contrast, no increase in ethane levels were found in Atlanta, Georgia, which is not downwind of fracking operations.^{77, 78} Given this evidence for widespread ethane leakage, the paper’s lead author asked how much methane and other, more reactive emissions might be escaping from wells, noting that “a substantial amount of hydrocarbons” are emitted as a result of flowback procedures following the fracturing process.⁷⁹
- February 27, 2015 – A team of researchers from University of Texas, funded in part by the gas industry, examined ozone (smog) production resulting from natural gas extraction and use in Texas. Previous research by this team had found that the increased use of natural gas for generating electricity, as a replacement for coal, contributed to overall reductions in daily maximum ozone concentrations in northeastern Texas. By contrast, the results of this study found an increase in ozone in the Eagle Ford Shale area of south Texas. The Eagle Ford Shale is upwind from both Austin and San Antonio.⁸⁰ A potent greenhouse gas, methane is also a precursor for ground-level ozone and hence a contributor to smog formation.

⁷⁵ Bienkowski, B. (2015, April 15). Scientists warn of hormone impacts from benzene, xylene, other common solvents. *Environmental Health News*. Retrieved from

<http://www.environmentalhealthnews.org/ehs/news/2015/apr/endocrine-disruption-hormones-benzene-solvents>

⁷⁶ Bolden, A. L., Kwiatkowski, C. F., & Colborn, T. (2015). New look at BTEX: are ambient levels a problem? *Environmental Science & Technology*, 49, 5261-76. doi: 10.1021/es505316f

⁷⁷ Vinciguerra, T. Yao, S., Dadzie, J., Chittmans, A., Deskins, T., Ehrman, S., & Dickerson, R. R. (2015). Regional air quality impacts of hydraulic fracturing and shale natural gas activities: evidence from ambient VOC observations. *Atmospheric Environment*, 110, 144-50. doi: 10.1016/j.atmosenv.2015.03.056

⁷⁸ Valentine, K. (2015, April 30). Fracking wells could pollute the air hundreds of miles away. *ClimateProgress*. Retrieved from <http://thinkprogress.org/climate/2015/04/30/3653252/fracking-air-pollution-downwind/>

⁷⁹ Levine, F. & Tune, L. (2015, April 30). Emissions from natural gas wells may travel far downwind. *University of Maryland: UMD Right Now*. Retrieved from <http://www.umdrightnow.umd.edu/news/emissions-natural-gas-wells-may-travel-far-downwind>

⁸⁰ Pacsi, A. P., Kimura, Y., McGaughey, G., McDonald-Buller, E. C., & Allen, D. T., Regional ozone impacts of increased natural gas use in the Texas power sector and development in the Eagle Ford Shale. *Environmental Science & Technology*, 49, 3966-73. doi: 10.1021/es5055012

- January 16, 2015 – Researchers from a number of universities, including the University of New Hampshire and Appalachian State University, used a source apportionment model to estimate the contribution of natural gas extraction activities to overall air pollution, including ozone, in heavily drilled southwest Pennsylvania. This regional air sampling effort demonstrated significant changes in atmospheric chemistry from drilling and fracking operations there. The researchers found that drilling and fracking operations may affect compliance with ozone standards.⁸¹
- November 20, 2014 – The Texas Commission on Environmental Quality confirmed high levels of benzene emissions and other volatile organic compounds around an oil and gas facility in the Eagle Ford Shale. Symptoms reported by local residents were consistent with those known to be associated with exposure to such chemicals.⁸²
- November 14, 2014 – A University of Colorado at Boulder research team found that residential areas in intensely drilled northeastern Colorado have high levels of fracking-related air pollutants, including benzene. In some cases, concentrations exceed those found in large urban centers and are within the range of exposures known to be linked to chronic health effects. According to the study, “High ozone levels are a significant health concern, as are potential health impacts from chronic exposure to primary emissions of non-methane hydrocarbons (NMHC) for residents living near wells.” The study also noted that tighter regulations have not resulted in lower air pollution levels, “Even though the volume of emissions per well may be decreasing, the rapid and continuing increase in the number of wells may potentially negate any real improvements to the air quality situation.”⁸³
- October 30, 2014 – A research team assembled by University at Albany Institute for Health and the Environment identified eight highly toxic chemicals in air samples collected near fracking and associated infrastructure sites across five states: Arkansas, Colorado, Pennsylvania, Ohio, and Wyoming. The most common airborne chemicals detected included two proven human carcinogens (benzene and formaldehyde) and two potent neurotoxins (hexane and hydrogen sulfide). In 29 out of 76 samples, concentrations far exceeded federal health and safety standards, sometimes by several orders of magnitude. Further, high levels of pollutants were detected at distances exceeding legal setback distances from wellheads to homes. Highly elevated levels of formaldehyde, for example, were found up to a half-mile from a wellhead. In Arkansas, seven air samples contained formaldehyde at levels up to 60 times the level known to

⁸¹ Swarthout, R. F., Russo, R.S., Zhou, Y., Miller, B.M., Mitchell, B., Horsman, E., . . . Sive, B.C. (2015). Impact of Marcellus Shale natural gas development in southwest Pennsylvania on volatile organic compound emissions and regional air quality. *Environmental Science & Technology*, 49, 3175-84. doi: 10.1021/es504315f

⁸² Davis, B. (2014, November 20). TCEQ memo proves toxic chemicals are being released in the Eagle Ford Shale. *KENS 5 Eyewitness News*. Retrieved from <http://www.kens5.com/story/news/investigations/i-team/2014/11/20/benzene-oil-toxic-fumes/70020596/>

⁸³ Thompson C. R., Hueber J., & Helmig D. (2014). Influence of oil and gas emissions on ambient atmospheric non-methane hydrocarbons in residential areas of Northeastern Colorado. *Elementa: Science of the Anthropocene*, 2. doi: 10.12952/journal.elementa.000035

raise the risk for cancer.⁸⁴ “This is a significant public health risk,” said lead author David O. Carpenter, MD, in an accompanying interview: “Cancer has a long latency, so you’re not seeing an elevation in cancer in these communities. But five, 10, 15 years from now, elevation in cancer is almost certain to happen.”⁸⁵

- October 21, 2014 – Responding to health concerns by local residents, a research team from University of Cincinnati and Oregon State University found high levels of air pollution in heavily drilled areas of rural Carroll County, Ohio. Air monitors showed 32 different hydrocarbon-based air pollutants, including the carcinogens naphthalene and benzo[a]pyrene.⁸⁶ The researchers plan additional monitoring and analysis.
- October 21, 2014 – Using a mobile laboratory designed by the National Oceanic and Atmospheric Administration (NOAA), a research team from the University of Colorado at Boulder, the NOAA Earth System Research Laboratory, and the Karlsruhe Institute of Technology looked at air pollution from drilling and fracking operations in Utah’s Uintah Basin. The researchers found that drilling and fracking emit prodigious amounts of volatile organic air pollutants, including benzene, toluene, and methane, all of which are precursors for ground-level ozone (smog). Multiple pieces of equipment on and off the well pad, including condensate tanks, compressors, dehydrators, and pumps, served as the sources of these emissions. This research shows that drilling and fracking activities are the cause of the extraordinarily high levels of winter smog in the remote Uintah basin—which regularly exceed air quality standards and rival that of downtown Los Angeles.⁸⁷
- October 2, 2014 – A joint investigation by *InsideClimate News* and the Center for Public Integrity found that toxic air emissions wafting from fracking waste pits in Texas are unmonitored and unregulated due to federal exemptions that classify oil and gas field waste as non-hazardous.⁸⁸
- October 1, 2014 – In a major paper published in *Nature*, an international team led by the National Oceanic and Atmospheric Administration demonstrated that exceptionally high emissions of volatile organic compounds (VOCs) explain how drilling and fracking operations in Utah’s Uintah Basin create extreme wintertime ozone events even in the

⁸⁴ Macey, G. P., Breech, R., Chernaik, M., Cox, C., Larson, D., Thomas, D., & Carpenter, D. O. (2014). Air concentrations of volatile compounds near oil and gas production: a community-based exploratory study. *Environmental Health*, 13(82). doi: 10.1186/1476-069X-13-82

⁸⁵ Neuhauser, A. (2014, October 30). Toxic chemicals, carcinogens skyrocket near fracking sites. *U.S. News and World Report*. Retrieved from <http://www.usnews.com/news/articles/2014/10/30/toxic-chemicals-and-carcinogens-skyrocket-near-fracking-sites-study-says>

⁸⁶ Environmental Health Sciences Center, Oregon State University. (2014). List of 62 PAH analyzed in Carroll County, OH. Retrieved from <http://ehsc.oregonstate.edu/air/62PAH>

⁸⁷ Warneke, C., Geiger, F., Edwards, P. M., Dube, W., Pétron, G., Kofler, J., . . . Roberts, J. M. (2014). Volatile organic compound emissions from the oil and natural gas industry in the Uintah Basin, Utah: oil and gas well pad emissions compared to ambient air composition. *Atmospheric Chemistry and Physics*, 14, 10977-10988. doi: 10.5194/acp-14-10977-2014

⁸⁸ Hasemyer, D. & Hirji, Z. (2014, October 2). Open piles offer cheap disposal for fracking sludge, but health worries mount. *InsideClimate News* and the Center for Public Integrity. Retrieved from <http://www.publicintegrity.org/2014/10/02/15826/open-pits-offer-cheap-disposal-fracking-sludge-health-worries-mount>

absence of abundant ultraviolet light and water vapor, which are typically required to produce ground-level ozone (smog). Current air pollution trends in the United States are toward lower nitrogen oxides from urban sources and power generation, but increasing methane and VOCs from oil and gas extraction activities threaten to reverse decades of progress in attaining cleaner air. According to the study, the consequences for public health are “as yet unrecognized.”⁸⁹

- September 6, 2014 – As part of a comparative lifecycle analysis, a British team from the University of Manchester found that shale gas extracted via fracking in the United Kingdom would generate more smog than any other energy source evaluated (coal, conventional and liquefied gas, nuclear, wind, and solar). Leakage of vaporous organic compounds during the necessary removal of hydrogen sulfide gas, along with the venting of gas both during drilling and during the process of making the well ready for production, were major contributors. “In comparison to other technologies, shale gas has high [photochemical smog]. In the central case, it is worse than solar PV, offshore wind and nuclear power by factors of 3, 26 and 45, respectively. Even in the best case, wind and nuclear power are still preferable (by factors of 3.3 and 5.6 respectively).”⁹⁰
- September 2014 – ShaleTest Environmental Testing conducted ambient air quality tests and gas-finder infrared video for several children’s play areas in North Texas that are located in close proximity to shale gas development. The results showed a large number of compounds detected above the Method Reporting Limit (the minimum quantity of the compound that can be confidently determined by the laboratory). Air sampling found three known/suspected carcinogens, and a number of other compounds associated with significant health effects. Benzene results from Denton, Dish, and Fort Worth are particularly alarming since they exceeded the long-term ambient air limits set by the Texas Commission on Environmental Quality, and benzene is a known carcinogen. “Benzene was found at all but one sampling location This is particularly noteworthy as benzene is a known carcinogen (based on evidence from studies in both people and lab animals), AND because it exceeds [levels above which effects have the potential to occur.]”⁹¹
- August 24, 2014 – A *Salt Lake City Tribune* investigation found that evaporation from 14 fracking waste pits in western Colorado has added tons of toxic chemicals to Utah’s air in the last six years. Further, the company responsible operated with no permit, underreported its emissions and provided faulty data to regulators.⁹²

⁸⁹ Edwards, P. M., Brown, S. S., Roberts, J. M., Ahmadov, R., Banta, R. M., deGouw, J.A., . . . Zamora, R. (2014). High winter ozone pollution from carbonyl photolysis in an oil and gas basin. *Nature*, 514(7522), 351-354. doi: 10.1038/nature13767

⁹⁰ Stamford, L. & Azapagic, A. (2014). Life cycle environmental impacts of UK shale gas. *Applied Energy*, 134, 506-518. doi: 10.1016/j.apenergy.2014.08.063

⁹¹ ShaleTest Environmental Testing. (2014, September). Project playground: Cleaner air for active kids. Retrieved from <http://www.shaletest.org/wp-content/uploads/2014/09/ProjectPlaygroundPatagoniaReport-5-1.pdf>

⁹² Maffly, B. (2014, August 24). Utah grapples with toxic water from oil and gas industry. *Salt Lake City Tribune*. Retrieved from <http://www.sltrib.com/sltrib/news/58298470-78/danish-flats-ponds-company.html>

- August 2014 – A four-part investigation by the *San Antonio Express-News* found that natural gas flaring in the Eagle Ford Shale in 2012 contributed more than 15,000 tons of volatile organic compounds and other contaminants to the air of southern Texas—which is roughly equivalent to the pollution that would be released annually by six oil refineries. No state or federal agency is tracking the emissions from individual flares.⁹³
- June 26, 2014 – Public health professionals at the Southwest Pennsylvania Environmental Health Project reported significant recurrent spikes in the amount of particulate matter in the air inside of residential homes located near drilling and fracking operations. Captured by indoor air monitors, the spikes tend to occur at night when stable atmospheric conditions hold particulate matter low to the ground. Director Raina Ripple emphasized that spikes in airborne particulate matter are likely to cause acute health impacts in community members. She added, “What the long-term effects are going to be, we’re not certain.”⁹⁴
- May 8, 2014 – Researchers at the National Oceanic and Atmospheric Administration (NOAA) found high levels of methane leaks as well as benzene and smog-forming volatile organic compounds in the air over oil and gas drilling areas in Colorado. Researchers found methane emissions three times higher than previously estimated and benzene and volatile organic compound levels seven times higher than estimated by government agencies. The *Denver Post* noted that Colorado’s Front Range has failed to meet federal ozone air quality standards for years.⁹⁵
- April 26, 2014 – A Texas jury awarded a family \$2.8 million because, according to the lawsuit, a fracking company operating on property nearby had “created a ‘private nuisance’ by producing harmful air pollution and exposing [members of the affected family] to harmful emissions of volatile organic compounds, toxic air pollutants and diesel exhaust.” The family’s 11-year-old daughter became ill, and family members suffered a range of symptoms, including “nosebleeds, vision problems, nausea, rashes, blood pressure issues.”⁹⁶ Because drilling did not occur on their property, the family had initially been unaware that their symptoms were caused by activities around them.
- April 16, 2014 – Reviewing the peer-review literature to date of “direct pertinence to the environmental public health and environmental exposure pathways,” a U.S. team of researchers concluded: “[a] number of studies suggest that shale gas development

⁹³ Hiller, J. & Tedesco, J. (2014, August). Up in flames: Flare in Eagle Ford Shale wasting natural gas. *San Antonio Express News*. Retrieved from: <http://www.expressnews.com/business/eagleford/item/Up-in-Flames-Day-1-Flares-in-Eagle-Ford-Shale-32626.php>

⁹⁴ McMahon, J. (2014, June 26). Air pollution spikes in homes near fracking wells. *Forbes*. Retrieved from <http://www.forbes.com/sites/jeffmcmahon/2014/06/26/air-pollution-spikes-in-homes-near-fracking-wells/>

⁹⁵ Finley, B. (2014, May 8). Scientists flying over Colorado oil boom find worse air pollution. *The Denver Post*. Retrieved from http://www.denverpost.com/environment/ci_25719742/scientists-flying-over-colorado-oil-boom-find-worse

⁹⁶ Morris, J. (2014, April 26). Texas family plagued with ailments gets \$3M in 1st-of-its-kind fracking judgment. *CNN*. Retrieved from <http://www.cnn.com/2014/04/25/justice/texas-family-wins-fracking-lawsuit/>

contributes to levels of ambient air concentrations known to be associated with increased risk of morbidity and mortality.”⁹⁷

- April 11, 2014 – A modeling study commissioned by the state of Texas made striking projections about worsening air quality in the Eagle Ford Shale. Findings included the possibility of a 281 percent increase in emissions of volatile organic compounds (VOCs). Some VOCs cause respiratory and neurological problems; others, like benzene, are also carcinogens. Another finding was that nitrogen oxides—which react with VOCs in sunlight to create ground-level ozone, the main component of smog—increased 69 percent during the peak ozone season.⁹⁸
- March 29, 2014 – Scientists warn that current methods of collecting and analyzing emissions data do not accurately assess health risks. Researchers with the Southwest Pennsylvania Environmental Health Project showed that methods do not adequately measure the intensity, frequency, or durations of community exposure to the toxic chemicals routinely released from drilling and fracking activities. They found that exposures may be underestimated by an order of magnitude, mixtures of chemicals are not taken into account, and local weather conditions and vulnerable populations are ignored.⁹⁹
- March 27, 2014 – University of Texas research pointed to “potentially false assurances” in response to community health concerns in shale gas development areas. Dramatic shortcomings in air pollution monitoring to date include no accounting for cumulative toxic emissions or children’s exposures during critical developmental stages, and the potential interactive effects of mixtures of chemicals. Chemical mixtures of concern include benzene, toluene, ethylbenzene, and xylenes.^{100, 101}
- March 13, 2014 – Volatile organic compounds (VOCs) emitted in Utah’s heavily drilled Uintah Basin led to 39 winter days exceeding the EPA’s eight-hour National Ambient Air Quality Standards level for ozone pollutants the previous winter. “Levels above this threshold are considered to be harmful to human health, and high levels of ozone are known to cause respiratory distress and be responsible for an estimated 5,000 premature deaths in the U.S. per year,” according to researchers at the University of Colorado. Their observations “reveal a strong causal link between oil and gas emissions, accumulation of

⁹⁷ Shonkoff, S. B., Hays, J., & Finkel, M. L. (2014). Environmental public health dimensions of shale and tight gas development. *Environmental Health Perspectives*, 122, 787–795. doi: 10.1289/ehp.1307866

⁹⁸ Morris, J., Song, L., & Hasemayer, D. (2014, April 11). Report: Air quality to worsen in Eagle Ford shale. *The Texas Tribune*. Retrieved from <http://www.texastribune.org/2014/04/11/report-air-quality-worsen-eagle-ford-shale/>

⁹⁹ Brown, D., Weinberger, B., Lewis, C., & Bonaparte, H. (2014). Understanding exposure from natural gas drilling puts current air standards to the test. *Reviews on Environmental Health*, 29(4), 277-92. doi: 10.1515/reveh-2014-0002

¹⁰⁰ Rawlins, R. (2013). Planning for fracking on the Barnett shale: Urban air pollution, improving health based regulation, and the role of local governments. *Virginia Environmental Law Journal*, 31, 226-306. Retrieved from http://www.velj.org/uploads/1/2/7/0/12706894/2._rawlins_-_barnett_shale.pdf

¹⁰¹ University of Texas at Austin. (2014, March 27). Air pollution and hydraulic fracturing: Better monitoring, planning and tracking of health effects needed in Texas. Retrieved from <http://www.utexas.edu/news/2014/03/27/hydraulic-fracturing-texas/>

air toxics, and significant production of ozone in the atmospheric surface layer.”¹⁰² Researchers estimated that total annual VOC emissions at the fracking sites are equivalent to those of about 100 million cars.¹⁰³

- March 3, 2014 – In a report summarizing “the current understanding of local and regional air quality impacts of natural gas extraction, production, and use,” a group of researchers from NOAA, Stanford, Duke, and other institutions described what is known and unknown with regard to air emissions including greenhouse gases, ozone precursors (volatile organic compounds and nitrogen oxides), air toxics, and particulates. Crystalline silica was also discussed, including as a concern for people living near well pads and production staging areas.¹⁰⁴
- February 18, 2014 – An eight-month investigation by the *Weather Channel*, the *Center for Public Integrity*, and *InsideClimate News* into fracking in the Eagle Ford Shale in Texas revealed that fracking is “releasing a toxic soup of chemicals into the air.” They noted very poor monitoring by the state of Texas and reported on hundreds of air complaints filed relating to air pollution associated with fracking.¹⁰⁵
- December 18, 2013 – An interdisciplinary group of researchers in Texas collected air samples in residential areas near shale gas extraction and production, going beyond previous Barnett Shale studies by including emissions from the whole range of production equipment. They found that most areas had “atmospheric methane concentrations considerably higher than reported urban background concentrations,” and many toxic chemicals were “strongly associated” with compressor stations.¹⁰⁶
- December 10, 2013 – Health department testing at fracking sites in West Virginia revealed dangerous levels of benzene in the air. Wheeling-Ohio County Health Department Administrator Howard Gamble stated, “The levels of benzene really pop out. The amounts they were seeing were at levels of concern. The concerns of the public are validated.”¹⁰⁷

¹⁰² Helmig, D., Thompson, C. R., Evans, J., Boylan, P., Hueber, J., & Park, J. (2014). Highly elevated atmospheric levels of volatile organic compounds in the Uintah Basin, Utah [Abstract]. *Environmental Science & Technology*, 48(9), 4707-4715. doi: 10.1021/es405046r

¹⁰³ Lockwood, D. (2014, March 25). Harmful air pollutants build up near oil and gas fields. *Chemical & Engineering News*. Retrieved from <http://cen.acs.org/articles/92/web/2014/03/Harmful-Air-Pollutants-Build-Near.html>

¹⁰⁴ Moore, C. W., Zielinska, B., Petron, G., & Jackson, R. B. (2014). Air impacts of increased natural gas acquisition, processing, and use: A critical review. *Environmental Science & Technology*. doi: 10.1021/es4053472

¹⁰⁵ Morris, J., Song, L., & Hasemayer, D. (2014, February 18). Fracking the Eagle Ford Shale. *The Weather Channel*. Retrieved from <http://stories.weather.com/fracking>

¹⁰⁶ Rich, A., Grover, J. P., & Sattler, M. L. (2014). An exploratory study of air emissions associated with shale gas development and production in the Barnett Shale. *Journal of the Air & Waste Management Association*, 64(1), 61-72. doi: 10.1080/10962247.2013.832713

¹⁰⁷ Junkins, C. (2013, December 10). Health dept. concerned about benzene emissions near local gas drilling sites. *The Intelligencer, Wheeling News-Register*. Retrieved from <http://www.theintelligencer.net/page/content.detail/id/593209/Health-Dept--Concerned-About-Benzene-Emissions-Near-Local-Gas-Drilling-Sites.html?nav=510>

- October 11, 2013 – Air sampling before, during, and after drilling and fracking of a new natural gas well pad in rural western Colorado documented the presence of the toxic solvent methylene chloride, along with several polycyclic aromatic hydrocarbons (PAHs) at “concentrations greater than those at which prenatally exposed children in urban studies had lower developmental and IQ scores.”¹⁰⁸
- September 19, 2013 – In Texas, air monitoring data in the Eagle Ford Shale area revealed potentially dangerous exposures of nearby residents to hazardous air pollutants, including cancer-causing benzene and the neurological toxicant, hydrogen sulfide.¹⁰⁹
- September 13, 2013 – A study by researchers at the University of California at Irvine found dangerous levels of volatile organic compounds in Canada’s “Industrial Heartland” where there are more than 40 oil, gas, and chemical facilities. The researchers noted high levels of hematopoietic cancers (leukemia and non-Hodgkin’s lymphoma) in men who live closer to the facilities.¹¹⁰
- April 29, 2013 – Using American Lung Association data, researchers with the Environmental Defense Fund determined that air quality in rural areas with fracking was worse than air quality in urban areas.¹¹¹
- March 2013 – A review of regional air quality damages in parts of Pennsylvania in 2012 from Marcellus Shale development found that air pollution was a significant concern, with regional damages ranging from \$7.2 to \$32 million in 2011.¹¹²
- February 27, 2013 – In a letter from Concerned Health Professionals of New York to Governor Andrew Cuomo, a coalition of hundreds of health organizations, scientists, medical experts, elected officials, and environmental organizations noted serious health concerns about the prospects of fracking in New York State, making specific note of air pollution.¹¹³ Signatory organizations included the American Academy of Pediatrics of New York, the American Lung Association of New York, and Physicians for Social

¹⁰⁸ Colborn, T., Schultz, K., Herrick, L., & Kwiatkowski, C. (2014). An exploratory study of air quality near natural gas operations. *Human and Ecological Risk Assessment: An International Journal*, 20(1), 86-105. doi: 10.1080/10807039.2012.749447

¹⁰⁹ Wilson, S., Sumi, L., & Subra, W. (2013, September 19). Reckless endangerment while fracking the Eagle Ford shale. *Earthworks*. Retrieved from http://www.earthworksaction.org/library/detail/reckless_endangerment_in_the_eagle_ford_shale#.UkGi-4Y3uSo.

¹¹⁰ Simpson, I. J., Marrero, J. E., Batterman, S. & Blake, D. R. (2013) Air quality in the Industrial Heartland of Alberta, Canada and potential impacts on human health. *Atmospheric Environment*, 81, 702-709. doi: 10.1016/j.atmosenv.2013.09.017

¹¹¹ Grossman, D. (2013, April 29). Clean air report card: CO, WY Counties get F's due to oil and gas pollution. *Environmental Defense Fund*. Retrieved from <http://blogs.edf.org/energyexchange/2013/04/29/clean-air-report-card-co-wy-counties-get-fs-due-to-oil-and-gas-pollution/#sthash.FXRv6Nxi.dpuf>

¹¹² Litovitz, A., Curtright, A., Abramzon, S., Burger, N., & Samaras, C. (2013). Estimation of regional air-quality damages from Marcellus Shale natural gas extraction in Pennsylvania. *Environmental Research Letters*, 8(1). doi: 10.1088/1748-9326/8/1/014017

¹¹³ Concerned Health Professionals of NY. (2013, February 27). Letter to Governor Cuomo. Retrieved from <http://concernedhealthny.org/letters-to-governor-cuomo/>

Responsibility. The New York State Medical Society, representing 30,000 medical professionals, has issued similar statements.¹¹⁴

- January 2, 2013 – A NOAA study identified emissions from oil and gas fields in Utah as a significant source of pollutants that contribute to ozone problems.¹¹⁵ Exposure to elevated levels of ground-level ozone is known to worsen asthma and has been linked to respiratory illnesses and increased risk of stroke and heart attack.¹¹⁶
- December 3, 2012 – A study linked a single well pad in Colorado to more than 50 airborne chemicals, 44 of which have known health effects.¹¹⁷
- July 18, 2012 – A study by the Houston Advanced Research Center modeled ozone formation from a natural gas processing facility using accepted emissions estimates and showed that regular operations could significantly raise levels of ground-level ozone (smog) in the Barnett Shale in Texas and that gas flaring further contributed to ozone levels.¹¹⁸
- March 19, 2012 – A Colorado School of Public Health study found air pollutants near fracking sites linked to neurological and respiratory problems and cancer.^{119, 120} The study, based on three years of monitoring at Colorado sites, found a number of “potentially toxic petroleum hydrocarbons in the air near gas wells including benzene, ethylbenzene, toluene, and xylene.” Lisa McKenzie, PhD, MPH, lead author of the study and research associate at the Colorado School of Public Health, said, “Our data show that it is important to include air pollution in the national dialogue on natural gas development that has focused largely on water exposures to hydraulic fracturing.”¹²¹

¹¹⁴ Campbell, J. (2013, April 17). Fracking roundup: Gas prices up; Medical society wants moratorium. *Politics on the Hudson*. Retrieved from <http://polhudson.lohudblogs.com/2013/04/17/fracking-roundup-gas-prices-up-medical-society-wants-moratorium/>

¹¹⁵ Tollefson, J. (2013). Methane leaks erode green credentials of natural gas. *Nature*, 493(7430), 12-12. doi: 10.1038/493012a

¹¹⁶ American Lung Association. (2013). American Lung Association state of the air 2013 - Ozone pollution. Retrieved from <http://www.stateoftheair.org/2013/health-risks/health-risks-ozone.html>

¹¹⁷ Colborn, T., Schultz, K., Herrick, L., & Kwiatkowski, C. (2014). An exploratory study of air quality near natural gas operations. *Human and Ecological Risk Assessment: An International Journal*, 20(1), 86-105. doi: 10.1080/10807039.2012.749447

¹¹⁸ Olaguer, E. P. (2012). The potential near-source ozone impacts of upstream oil and gas industry emissions. *Journal of the Air & Waste Management Association*, 62(8), 966-977. doi: 10.1080/10962247.2012.688923

¹¹⁹ Kelly, D. (2012, March 19). Study shows air emissions near fracking sites may pose health risk. *University of Colorado Denver*. Retrieved from <http://www.ucdenver.edu/about/newsroom/newsreleases/Pages/health-impacts-of-fracking-emissions.aspx>

¹²⁰ McKenzie, L. M., Witter, R. Z., Newman, L. S., & Adgate, J. L. (2012). Human health risk assessment of air emissions from development of unconventional natural gas resources. *Science of the Total Environment*, 424, 79-87. doi: 10.1016/j.scitotenv.2012.02.018

¹²¹ Banerjee, N. (2012, March 20). Study: 'Fracking' may increase air pollution health risks. *Los Angeles Times*. Retrieved from <http://articles.latimes.com/2012/mar/20/local/la-me-gs-fracking-increases-air-pollution-health-risks-to-residents-20120320>

- December 12, 2011 – Cancer specialists, cancer advocacy organizations, and health organizations summarized the cancer risks posed by all stages of the shale gas extraction process in a letter to New York Governor Andrew Cuomo.¹²²
- October 5, 2011 – More than 250 medical experts and health organizations reviewed the multiple health risks from fracking in a letter sent to New York Governor Andrew Cuomo.¹²³
- April 21, 2011 – *Environment & Energy (E&E)* reported that ozone levels exceeding federal health standards in Utah’s Uintah Basin, as well as wintertime ozone problems in other parts of the Intermountain West, stem from oil and gas extraction. Levels reached nearly twice the federal standard, potentially dangerous even for healthy adults to breathe. Keith Guille, spokesman for the Wyoming Department of Environmental Quality, said, “We recognize that definitely the main contributor to the emissions that are out there is the oil and gas industry....”¹²⁴
- March 8, 2011 – The Associated Press reported that gas drilling in some remote areas of Wyoming caused a decline of air quality from pristine mountain air to levels of smog and pollution worse than Los Angeles on its worst days, resulting in residents complaining of watery eyes, shortness of breath, and bloody noses.¹²⁵
- November 18, 2010 – A study of air quality in the Haynesville Shale region of east Texas, northern Louisiana, and southwestern Arkansas found that shale oil and gas extraction activities contributed significantly to ground-level ozone (smog) via high emissions of ozone precursors, including volatile organic compounds and nitrogen oxides.¹²⁶ Ozone is a key risk factor for asthma and other respiratory and cardiovascular illnesses.^{127, 128, 129, 130}

¹²² Physicians, Scientists & Engineers for Healthy Energy. (2011, December 12). Appeal to Gov. Cuomo to consider cancer risks re: High volume hydraulic fracturing for natural gas [Letter to A. Cuomo].

¹²³ Physicians, Scientists & Engineers for Healthy Energy. (2011, October 5). Letter to Governor Cuomo [Letter to A. Cuomo].

¹²⁴ Streater, S. (2011, April 21). Air pollution: Winter ozone problem continues to mystify regulators, industry. *E&E Publishing, LLC*. Retrieved from <http://www.eenews.net/stories/1059948108>

¹²⁵ Gruver, M. (2011, March 8). Wyoming is beset by a big-city problem: Smog. *USA Today*. Retrieved from http://usatoday30.usatoday.com/money/industries/energy/2011-03-08-natural-gas-ozone-wyoming_N.htm

¹²⁶ Kembell-Cook, S., Bar-Ilan, A., Grant, J., Parker, L., Jung, J., Santamaria, W., . . . Yarwood, G. (2010). Ozone impacts of natural gas development in the Haynesville Shale. *Environmental Science & Technology*, 44(24), 9357-9363. doi: 10.1021/es1021137

¹²⁷ U.S. Environmental Protection Agency. (2013). Integrated science assessment for ozone and related photochemical oxidants. Retrieved from <http://www.epa.gov/ncea/isa/ozone.htm>

¹²⁸ Shah, A. S., Lee, K. K., McAllister, D. A., Hunter, A., Nair, H., Whiteley, W., . . . Mills, N. L. (2015). Short term exposure to air pollution and stroke: systematic review and meta-analysis. *British Medical Journal*, 24(1295). doi: 10.1136/bmj.h1295

¹²⁹ Shah, A. S., Langrish, J. P., Nair, H., McAllister, D. A., Hunter, A., L., Donaldson, K., . . . Mills, N. L. (2013). Global association of air pollution and heart failure: a systematic review and meta-analysis. *The Lancet*, 382(9897), 1039-1048. doi: 10.1016/S0140-6736(13)60898-3.

¹³⁰ Myers, O., Flowers, H., Kang, H., Bedrick, E., Whorton, B., Cui, X., & Stidley, C. A. (2007). The association between ambient air quality ozone levels and medical visits for asthma in San Juan County. New Mexico

- September 2010 – A health assessment by the Colorado School of Public Health for gas development in Garfield County, Colorado determined that air pollution will likely “be high enough to cause short-term and long-term disease, especially for residents living near gas wells. Health effects may include respiratory disease, neurological problems, birth defects and cancer.”^{131, 132}
- January 27, 2010 – Of 94 drilling sites tested for benzene in air over the Barnett Shale, the Texas Commission on Environmental Quality discovered two well sites emitting what they determined to be “extremely high levels” and another 19 emitting elevated levels.¹³³

Water contamination

The so-called “Halliburton loophole” that is part of the 2005 Energy Policy Act exempts hydraulic fracturing from most provisions of the Safe Drinking Water Act. As a result, the oil and gas sector is the only U.S. industry that is allowed to inject known hazardous materials near, or directly into, underground drinking water aquifers. At the same time, in most states where fracking occurs, routine monitoring of groundwater aquifers near drilling and fracking operations is not required nor are companies compelled to fully disclose the identity of chemicals used in fracking fluid, their quantities, or their fate once injected underground. Scientific inquiry is hampered by this secrecy. Nevertheless, emerging evidence reveals that drilling and fracking inherently threaten groundwater and have polluted drinking water sources. A range of studies from across the United States presents irrefutable evidence that groundwater contamination occurs as a result of fracking activities and is more likely to occur close to well pads. In Pennsylvania alone, more than 240 private drinking water wells have been contaminated or have dried up as the result of drilling and fracking operations over a seven-year period. A 2016 study by Stanford University researchers confirmed and extended earlier findings by the EPA that fracking and fracking-related operations have contaminated underground drinking water sources in Pavillion, Wyoming. The U.S. Agency for Toxic Substances and Disease Registry has determined that the chemical contamination of some private water wells in Dimock, Pennsylvania posed health risks and made the water unsuitable for drinking. Of the 1,000 different chemicals that are known ingredients in fracking fluid, an estimated 100 are known endocrine disruptors and act as reproductive and developmental toxicants.

Department of Health, Environmental Health Epidemiology Bureau Epidemiology and Response Division. Retrieved from <http://www.nmenv.state.nm.us/aqb/4C/Documents/SanJuanAsthmaDocBW.pdf>

¹³¹ Witter, R., McKenzie, L., Towle, M., Stinson, K., Scott, K., Newman, L., & Adgate, J. (2010). Health impact assessment for Battlement Mesa, Garfield County Colorado. *Colorado School of Public Health*. Retrieved from <http://www.garfield-county.com/public-health/documents/1%20%20Complete%20HIA%20without%20Appendix%20D.pdf>

¹³² Battlement Mesa HIA/EHMS. (2013, November 30). Retrieved from <http://www.garfield-county.com/environmental-health/battlement-mesa-health-impact-assessment-draft2.aspx>

¹³³ The Associated Press. (2010, January 27). Texas agency finds high benzene levels on Barnett Shale. Retrieved from http://www.nola.com/business/index.ssf/2010/01/texas_agency_finds_high_benzen.html

Injection wells for disposal of fracking waste also pose demonstrable threats to drinking water aquifers and surface water. Overall, the number of well blowouts, spills, and cases of surface water contamination from waste pits and other sources has steadily grown. Wastewater spills have caused widespread contamination of streams in North Dakota. In both West Virginia and Colorado, streams near fracking or waste disposal operations showed elevated levels of endocrine-disrupting activity, raising larger concerns about similar impacts on surface water across the nation. Municipal sewage treatment plants are not capable of treating fracking waste; disposal of fracking waste through them can encourage the formation of carcinogenic byproducts during chlorination. In February 2016, the Government Accountability Office concluded in a report to Congress that the Environmental Protection Agency had failed to provide sufficient oversight for injection wells receiving fracking waste. The disposal of fracking wastewater remains a problem without a safe, viable solution.

- July 11, 2016 – An interdisciplinary team led by University of Colorado researchers found methane in 42 water wells in the intensely drilled Denver-Julesburg Basin where high volume, horizontal fracking operations began in 2010. By examining isotopes and gas molecular ratios, the researchers determined that the gas contaminating these wells was thermogenic in origin, rather than microbial, and therefore had migrated up into the groundwater from underlying oil- and gas-containing shale. The steady rate of well contamination over time—two cases per year from 2001 to 2014—suggests that well failures, rather than the process of hydraulic fracturing itself, was the mechanism that created migration pathways for the stray gas to reach drinking water sources. Of the 42 affected wells, 11 had already been identified by state regulators as suffering from “barrier failures.”¹³⁴ Duke University geochemist Avner Vengosh, who was not an author of the paper, commented on the study in an accompanying article in *Inside Climate News*: “The bottom line here is that industry has denied any stray gas contamination: that whenever we have methane in a well, it is always preexisting. The merit of this is that it’s a different oil and gas basin, a different approach, and it’s saying that stray gas could happen.” In this same article, *Inside Climate News* reported that national standards for well construction do not exist nor are there laws governing the type of cement that is used to seal the wellbore and prevent leaks.¹³⁵
- May 24, 2016 – The U.S. Agency for Toxic Substances and Disease Registry (ATSDR) conducted a public health evaluation using groundwater data gathered in 2012 by the U.S. Environmental Protection Agency (EPA) from 64 private drinking water wells in Dimock, Pennsylvania where natural gas drilling and fracking activities began in 2008 and where residents began reporting problems with their water shortly thereafter. The agency found that water samples collected from 27 Dimock wells contained contaminants “at levels high enough to affect human health.” These included methane, salts, organic chemicals, and arsenic. In 17 wells, levels of methane were high enough to create risk of

¹³⁴ Sherwood, O. A., Rogers, J. D., Lackey, G., Burke, T. L., Osborn, S. G. & Ryan, J. N. (2016). Groundwater methane in relation to oil and gas development and shallow coal seams in the Denver-Julesburg Basin of Colorado. *Proceedings of the National Academy of Sciences* 113(30). doi: 10.1073/pnas.1523267113

¹³⁵ Banerjee, N. (2016, July 11). Colorado fracking study blames faulty wells for contamination. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/11072016/water-contamination-near-colorado-fracking-tied-well-failures>

fire or explosion.¹³⁶ Methane levels were not assessed in wells prior to the start of fracking activities in the area. Hence, the study is limited by lack of pre-drilling baseline data, and investigators did not attempt to determine the source of the contaminants. However, in its focus on identifying health impacts, ATSDR's evaluation is a more comprehensive study than that conducted four years earlier by the EPA and calls into question its earlier, more reassuring conclusions.^{137, 138}

- May 9, 2016 – Sampling downstream of a fracking wastewater disposal facility in West Virginia, a U.S. Geological Survey (USGS) team documented changes in microbial communities and found evidence indicating the presence of fracking waste in water and sediment samples collected from Wolf Creek in West Virginia. Specifically, the researchers documented increased concentrations of barium, bromide, calcium, sodium, lithium, strontium, iron, and radium downstream of the disposal well.¹³⁹ In a *Washington Post* story about this study, lead author Denise Akob said that the key take-away message “is really that we’re demonstrating that facilities like this can have an environmental impact.”¹⁴⁰ (This study was done in collaboration with Susan Nagel’s team, which studied endocrine-disrupting activity in this same stream. See entry below for April 6, 2016.)
- April 30, 2016 – As part of an investigation based on aerial photographs taken by emergency responders during spring 2016 flooding, the *El Paso Times* documented plumes and sheens of chemicals from tipped-over storage tanks and inundated oil wells and fracking sites entering rivers and streams. “Many of the photos shot during Texas’ recent floods show swamped wastewater ponds at fracking sites, presumably allowing wastewater to escape into the environment—and potentially into drinking-water supplies.”¹⁴¹
- April 27, 2016 – Using geochemical and isotopic tracers to identify the unique chemical fingerprint of Bakken region brines, a Duke University study found that accidental spills of fracking wastewater have contaminated surface water and soils throughout North

¹³⁶ U.S. Agency for Toxic Substances and Disease Registry (2016, May 24). *Health Consultation: Dimock Groundwater Site*. Retrieved from

http://www.atsdr.cdc.gov/hac/pha/DimockGroundwaterSite/Dimock_Groundwater_Site_HC_05-24-2016_508.pdf

¹³⁷ Lustgarten, A. (2016, June 9). Federal report appears to undercut EPA assurances on water safety in Pennsylvania. *ProPublica*. Retrieved from <https://www.propublica.org/article/federal-report-appears-to-undercut-epa-assurances-water-safety-pennsylvania>

¹³⁸ U.S. Environmental Protection Agency. (2012, July 25). EPA completes drinking water sampling in Dimock, Pa. [news release]. Retrieved from

<https://yosemite.epa.gov/opa/admpress.nsf/0/1A6E49D193E1007585257A46005B61AD>

¹³⁹ Akob, D. M., Mumford, A. C., Orem, W. H., Engle, M. A., Klinges, J. G., Kent, D. B., & Cozzarelli, I. M. (2016). Wastewater disposal from unconventional oil and gas development degrades stream quality at a West Virginia injection facility. *Environmental Science and Technology*, 50(11). doi: 10.1021/acs.est.6b00428

¹⁴⁰ Fears, D. (2016, May 11). This mystery was solved: scientists say chemicals from fracking wastewater can taint fresh water nearby. *The Washington Post*. Retrieved from https://www.washingtonpost.com/news/energy-environment/wp/2016/05/11/this-mystery-was-solved-scientists-say-chemicals-from-fracking-wastewater-can-taint-fresh-water-nearby/?utm_term=.c27045b60338

¹⁴¹ Schladen, M. (2016, April 30). Flooding sweeps oil, chemicals into rivers. *El Paso Times*. Retrieved from <http://www.elpasotimes.com/story/news/2016/04/30/flooding-sweeps-oil-chemicals-into-rivers/83671348/>

Dakota where more than 9,700 wells have been drilled in the past decade. Contaminants included salts as well as lead, selenium, and vanadium. In the polluted streams, levels of contaminants often exceeded federal drinking water guidelines. Soils at spill sites showed elevated levels of radium.¹⁴² The study concluded that “inorganic contamination associated with brine spills in North Dakota is remarkably persistent, with elevated levels of contaminants observed in spill sites up to 4 years following the spill events.” In a comment about this study, lead author and Duke University geochemist Avner Vengosh said, “Until now, research in many regions of the nation has shown that contamination from fracking has been fairly sporadic and inconsistent. In North Dakota, however, we find it is widespread and persistent, with clear evidence of direct water contamination from fracking.”¹⁴³

- April 6, 2016 – A research team led by Susan Nagel at the University of Missouri traced a spike in endocrine-disrupting activity in a West Virginia stream, Wolf Creek, to an upstream facility that stores fracking wastewater. Levels detected downstream of the waste facility were above levels known to create adverse health effects and alter the development of fish, amphibians, and other aquatic organisms. Endocrine-disrupting compounds were not elevated in upstream sections of the creek.^{144, 145} (See also entry for May 9, 2016 above.)
- March 29, 2016 – A study by Stanford University scientists determined that fracking and related oil and gas operations have indeed contaminated drinking water in the town of Pavillion, Wyoming where residents have long complained about foul-tasting water. The researchers found substances in the water that match those used in local fracking operations or found in nearby pits used for the disposal of drilling waste. Chemical contaminants included benzene, a known carcinogen, and toluene, a neurotoxicant. Possible mechanisms for contamination include defective cement well casings; spills and leaks from disposal pits; and underground migration of chemicals into aquifers from the fracked zone, which, in this area, is quite shallow. Also, in the Pavillion area, operators sometimes fracked directly into underground sources of water.¹⁴⁶ One of the authors of this study, Dominic DiGiulio, was also a lead scientist on the EPA’s earlier aborted investigation of Pavillion’s drinking water. (See entry for December 6, 2015 below.) In an interview about his new research, DiGiulio said that his findings raise concerns about similar water pollution in other heavily fracked regions. “Pavillion isn’t geologically

¹⁴² Lauer, N. E., Harkness, J. S., & Vengosh A. (2016). Brine spills associated with unconventional oil development in North Dakota. *Environmental Science & Technology*, 50(10). doi: 10.1021/acs.est.5b06349

¹⁴³ Nicholas School of the Environment, Duke University. (2016, April 27). Contamination in North Dakota linked to fracking spills [press release]. Retrieved from <https://nicholas.duke.edu/about/news/ContaminationinNDLinkedtoFrackingSpills>

¹⁴⁴ Kassotis, C. D., Iwanowicz, L. R., Akob, D. M., Cozzarelli, I. M., Mumford, A. C., Orem, W. H., & Nagel, S. C. (2016). Endocrine disrupting activities of surface water associated with West Virginia oil and gas industry wastewater disposal site. *Science of the Total Environment* 557-558. doi: 10.1016/j.sci.tenv.2016.03.113

¹⁴⁵ Bienkowski, B. (2016, April 6). In W. Virginia, frack wastewater may be messing with hormones. *Environmental Health News*. Retrieved from <http://www.environmentalhealthnews.org/ehs/news/2016/april/in-w.-virginia-frack-wastewater-may-be-messing-with-hormones>

¹⁴⁶ DiGiulio, D. C. & Jackson, R. B. (2016). Impact to underground sources of drinking water and domestic wells from production well stimulation and completion practices in the Pavillion, Wyoming, Field. *Environmental Science & Technology*, 50(8). doi: 10.1021/acs.est.5b04970

unique in the West, and I'm concerned about the Rocky Mountain region of the U.S. The impact on [underground drinking water sources] could be fairly extensive. Pavillion is like a canary in a coal mine and we need to look at other fields."¹⁴⁷ Co-author Rob Jackson noted, "There are no rules that would stop a company from doing this anywhere else."¹⁴⁸

- February 22, 2016 – Relying on voluntary disclosures reported to the FracFocus registry and a list compiled by the U.S. Congress, a German team surveyed the physiochemical properties of chemicals used in hydraulic fracturing fluid to evaluate their environmental fate and potential toxicity. Common ingredients included those known to contaminate groundwater, such as solvents, as well as those known to react strongly with other chemicals, such as biocides and strong oxidants, indicating that almost certainly, new chemical products are formed during the process of fracking and its aftermath. Hence, non-toxic additives could potentially react with other substances to create harmful byproducts. The authors conclude that a comprehensive assessment of risks would require an unabridged list of the chemical additives used for fracking, and they call for full disclosure.^{149,150}
- February 9, 2016 – An investigation of water contamination in the Barnett Shale by ABC-affiliate station WFAA in Dallas found numerous violations by operators who ignored regulations that require sealing vertical well pipes with a cement sheath to protect groundwater from stray gas and other vapors that might escape and migrate upwards into overlying aquifers. The WFAA report said that the Texas Railroad Commission, which oversees drilling and fracking operations in Texas, has failed to respond to alleged violations of a rule that requires cement seals around steel well casings in geological zones where drilling has penetrated layers of rock containing oil and gas deposits.¹⁵¹
- February 8, 2016 – An investigation by the *Columbus Dispatch* revealed that the amount of water that operators use for hydraulic fracturing in Ohio gas wells increased steadily from 2011 to 2015. The total amount of water increased, as did the volume of water used per well—from an average of 5.6 million gallons per well in 2011 to 7.6 million in 2014. The reason is that the horizontally drilled holes beneath each well have become longer, and these require more water during the fracking process.¹⁵²

¹⁴⁷ Banerjee, N. (2016, March 29). Fracking study finds toxins in Wyoming town's groundwater and raises broader concerns. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/29032016/fracking-study-pavillion-wyoming-drinking-water-contamination-epa>

¹⁴⁸ Jordan, R. (2016, March 29). Stanford researchers show fracking's impact to drinking water sources. *Stanford News*. Retrieved from <http://news.stanford.edu/2016/03/29/pavillion-fracking-water-032916/>

¹⁴⁹ Elsner, M. & Hoelzer, K. (2016). Quantitative survey and structural classification of hydraulic fracturing chemicals reported in unconventional gas production. *Environmental Science & Technology*, 50(7). doi:10.1021/acs.est.5b02818

¹⁵⁰ Phys.Org. (9 March 2016). How to get a handle on potential risks posed by fracking fluids. Retrieved from <http://phys.org/news/2016-03-potential-posed-fracking-fluids.html>

¹⁵¹ Shipp, B. (2016, February 9). Drilling records suggest lax state enforcement. WFAA, Dallas. Retrieved from <http://www.wfaa.com/mb/news/local/investigates/rules-ignored-water-fouled-in-barnett-shale/38337835>

¹⁵² Arenschiold, L. (2016, February 8). Drillers using more water to frack Ohio shale. *The Columbus Dispatch*. Retrieved from <http://www.dispatch.com/content/stories/local/2016/02/07/drillers-using-more-water-to-frack-ohio-shale.html>

- February 2016 – In a lengthy account to Congress on the status of the underground waste injection well program that is overseen by the EPA, the U.S. Government Accountability Office (GAO) reported that the agency “has not consistently conducted oversight activities necessary to assess whether state and EPA-managed programs are protecting underground sources of drinking water” from contamination by fracking waste. Specifically, the GAO took the EPA to task for failure to require well-specific inspections, collect data on enforcement actions, review permitting requirements by state regulatory agencies, or analyze the resources the agency would need to do all the above to adequately oversee the Underground Injection Control program. The GAO noted that it had once before, in 2014, previously found the EPA negligent in its responsibilities to monitor drinking water sources for possible contamination with fracking waste.¹⁵³ (See entry below for September 23, 2014.)
- January 6, 2016 – Yale School of Public Health researchers analyzed more than 1,021 chemicals either used in fracking fluid or created during the process of hydraulic fracturing. They found that 781 of these chemicals lacked basic toxicity data. Of the 240 that remained, 157 were reproductive or developmental toxicants. These included arsenic, benzene, cadmium, formaldehyde, lead, and mercury.¹⁵⁴ Commenting on this study, lead author Nicole Deziel said, “This evaluation is a first step to prioritize the vast array of potential environmental contaminants from hydraulic fracturing for future exposure and health studies. Quantification of the potential exposure to these chemicals, such as by monitoring drinking water in people’s homes, is vital for understanding the public health impact of hydraulic fracturing.”¹⁵⁵
- December 15, 2015 – A research team led by geologist Mukul Sharma from Dartmouth College discovered that chemical reactions between fracking fluid and rock can contribute to the toxicity of fracking wastewater. Specifically, the researchers found that fracking fluid can chemically react with the fractured shale in ways that cause barium, a toxic metal, to leach from clay minerals in the Marcellus Shale.^{156, 157}
- December 6, 2015 – The *Casper Star Tribune* investigated the EPA’s decision to transfer its study of possible fracking-related drinking water contamination in Pavillion, Wyoming to a state agency in 2013. Preliminary data from the EPA suggested that drilling and fracking operations had contaminated drinking water supplies. To date, the

¹⁵³ U.S. Government Accountability Office. (2016, February). *Drinking Water: EPA Needs to Collect Information and Consistently Conduct Activities to Protect Underground Sources of Drinking Water*. GAO-16-281. Retrieved from <http://gao.gov/assets/680/675439.pdf>

¹⁵⁴ Elliot, E. G., Ettinger, A. S., Leaderer, B. P., Bracken, M. B., Deziel, N. (2016). A systematic evaluation of chemicals in hydraulic-fracturing fluids and wastewater for reproductive and developmental toxicity. Advance online publication. *Journal of Exposure Science & Environmental Epidemiology*. doi: 10.1038/jes.2015.81

¹⁵⁵ Greenwood, M. (2016, January 6). Toxins found in fracturing fluid and wastewater, study shows. *Yale News*. Retrieved from: <http://news.yale.edu/2016/01/06/toxins-found-fracturing-fluids-and-wastewater-study-shows>

¹⁵⁶ Renock, D., Landis, J. D., & Sharma, M. (2016). Reductive weathering of black shale and release of barium during hydraulic fracturing. *Applied Geochemistry*, 65. doi: 10.1016/j.apgeochem.2015.11.001

¹⁵⁷ Dartmouth College. (15 December 2015). Fracking plays active role in generating toxic metal wastewater, study finds. *Science Daily*. Retrieved from <https://www.sciencedaily.com/releases/2015/12/151215134653.htm>

state study has found no definitive link between drilling and water contamination. Interviews with officials and documents obtained under the Freedom of Information Act revealed that the EPA had bowed to political pressure from state officials and industry representatives and that Wyoming regulators narrowed the scope of the study considerably and conducted little fieldwork.¹⁵⁸ (See also entry above for March 29, 2016.)

- November 19, 2015 – The Science Advisory Board (SAB) for the U.S. Environmental Protection Agency reviewed the EPA’s June 2015 draft assessment of fracking’s impacts on drinking water, and challenged some of the summary statements that accompanied it, saying that they were over-generalized and not always aligned with the data in the report itself. Specifically, the SAB said, in a draft review, that the data cited by the report were too limited to support the headlined claim in the executive summary that drinking water impacts were neither “widespread” nor “systemic.” The SAB also critiqued the study for downplaying local impacts in its conclusions, noting that these impacts can sometimes be severe.¹⁵⁹
- October 19, 2015 – A six-month investigation by *Penn Live* found long-standing “systemic failures” on the part of the Pennsylvania Department of Environmental Protection to enforce regulations governing drilling and fracking operations. Lack of oversight and reliance on industry self-policing have been the hallmarks of Marcellus Shale development for the past ten years, in violation of Pennsylvanians’ constitutional right to clean air and water. Among the findings of this investigation: chronically leaking wastewater impoundments for which no fines or notices were issued to the operator; laboratory coding systems designed to obscure possible detections of certain chemical contaminants in residents’ drinking water; and lack of inspections at well sites.¹⁶⁰
- October 13, 2015 – An international team of researchers found detectable levels of multiple organic chemical contaminants in private drinking water wells in northeastern Pennsylvania where fracking is practiced. One of the compounds was a known additive of fracking fluid. Chemical fingerprinting and noble gas isotopes were used to determine if the contaminants most likely originated from surface spills at the well site or via upward transport from the shale itself. The organic pollutants found in the water did not contain chemical markers—certain elements and salts—that would indicate migration from deep geological strata. The authors concluded that “the data support a transport mechanism...to groundwater via accidental release of fracturing fluid chemicals derived

¹⁵⁸ Storrow, B. (2015, December 6). Pavillion today an EPA in retreat, a narrow state inquiry and no answers. *Caspar Star Tribune*. Retrieved from http://trib.com/business/energy/pavillion-today-an-epa-in-retreat-a-narrow-state-inquiry/article_403f84de-830c-5558-9f3f-ea48fd48d7ca.html?utm_medium=social&utm_source=facebook&utm_campaign=user-share

¹⁵⁹ Banerjee, N. (2015, November 19). EPA finding on fracking’s water pollution disputed by its own scientists. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/19112015/fracking-water-pollution-epa-study-natural-gas-drilling>

¹⁶⁰ Woodwell, C. (2016, October 19). Pa. regulators fail to protect environment during Marcellus Shale boom. *Penn Live*. Retrieved from http://www.pennlive.com/midstate/index.ssf/2015/10/state_regulators_fail_to_prote.html

from the surface rather than subsurface flow of these fluids from the underlying shale formation.”^{161, 162}

- September 23, 2015 – A team of researchers, examining how natural gas drilling and fracking operations across the nation affect creeks, streams and rivers, developed a predictive model and vulnerability index for surface water. They found that “all shale plays, regardless of location, had a suite of catchments that spanned highly degraded to those that are less altered and naturally sensitive to alteration.” Surface water in Pennsylvania’s Marcellus Shale region is classified by this model as vulnerable to fracking-related impacts because of steep slopes and loose, erodible soils within the watersheds.¹⁶³
- July 30, 2015 – As reported by the *Los Angeles Times*, unlined waste pits and hillside spraying of oil-field wastewater have contaminated groundwater in Kern County, California. Five of six monitoring wells in the 94-acre waste site showed high levels of salt, boron, and chloride, but it is not known how far and fast the contaminated plume has traveled.¹⁶⁴
- July 21, 2015 – By surveying records for 44,000 wells fracked between 2010 and 2013, researchers from Stanford University, Duke University, and Ohio State University attempted a first-ever assessment of the range of depths at which fracking occurs across the United States. They found that many wells are shallower than widely presumed.¹⁶⁵ As the authors noted, vertical fractures are able to propagate 2,000 feet upward, and hence, “shallow hydraulic fracturing often has greater potential risks of contamination than deeper hydraulic fracturing does.” This study showed that drinking water sources may be more vulnerable from upward migration of fracking contaminants than previously presumed. Surprisingly, the researchers found no strong relationship between depth and the volume of water and chemicals used for fracking. Many wells were both shallow and water-intensive, with significant variation in water use from state to state.¹⁶⁶

¹⁶¹ Drollette, B. D., Hoelzer, K., Warner, N. R., Darrah, T. H., Karatum, O., O’Connor, M. P. ... Plata, D. L. (2015). Elevated levels of diesel range organic compounds in groundwater near Marcellus gas operations are derived from surface activities. *Proceedings of the National Academy of Sciences*, 112(43). doi: 10.1073/pnas.1511474112

¹⁶² Drollette B. D. & Plata, D. A. (2015, October 13). Hydraulic fracturing components in Marcellus groundwater likely from surface operations, not wells. *Phys.Org*. Retrieved from <http://phys.org/news/2015-10-hydraulic-fracturing-components-marcellus-groundwater.html>

¹⁶³ Entrekin, S. A., Maloney, K. O., Kapo, K. E., Walters, A. W., Evan-White, M. A., & Klemow, K. M. (2015). Stream vulnerability to widespread and emergent stressors: a focus on unconventional oil and gas. *PLoS One*, 10(9). doi:10.1371/journal.pone.0137416

¹⁶⁴ Cart, J. (2015, July 30). Central valley wastewater disposal to continue despite contamination. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/california/la-me-oil-waste-pits-20150731-story.html>

¹⁶⁵ Jordon, R. (2015, July 21). Shallow fracking raises questions for water, new Stanford research shows. *Stanford University* [press release]. Retrieved from http://news.stanford.edu/news/2015/july/fracking_water-jackson-072115.html

¹⁶⁶ Jackson, R. B., Lowry, E. R., Pickle, A., Kang, M., DiGiullo, D., & Zhao, K. (2015). The depths of hydraulic fracturing and accompanying water use across the United States. *Environmental Science & Technology*, 49(15). 8969–8976. doi: 10.1021/acs.est.5b01228

- July 9, 2015 – A multi-volume report from the California Council of Science and Technology (CCST) found threats to groundwater in California from several parts of the fracking lifecycle, most notably from toxic wastewater. First, wastewater from California fracking operations is sometimes used for crop irrigation, in which case contaminants may seep from the surface of agricultural areas into groundwater. Second, nearly 60 percent of fracking wastewater in California is disposed of in unlined, open-air pits, a practice that is banned in almost all other states. There are 900 such waste disposal pits in the state, most of which are located in Kern County. Third, for many years, fracking wastewater in California has been mistakenly sent, via injection wells, directly into protected aquifers containing clean freshwater.¹⁶⁷ California's Division of Oil, Gas and Geothermal Resources allowed fracking wastes to be injected into aquifers that it believed were exempt from the U.S. Safe Drinking Water Act. Conceding this mistake, the agency has shut down 23 injection wells for fracking waste disposal and established a two-year timetable for phasing out other wells injecting waste into aquifers that should have been protected.¹⁶⁸ Fracking also threatens California's groundwater resources through water consumption, according to the CCST study. While this volume of water represents a small percentage of overall annual water consumption in California, fracking-related water use is, the study noted, disproportionately concentrated in areas of the state already suffering from water shortages. Further drawdowns of these aquifers may interfere with agricultural and municipal water needs.¹⁶⁹ In addition, because the oil-containing rock layers in California are located closer to the surface than in other states, the state's groundwater is potentially vulnerable to chemical contamination through vertical faults and fissures and via old and abandoned wells. The absence of evidence for direct contamination of groundwater by fracking, the study concluded, reflects absence of investigation rather than evidence of safety.¹⁷⁰
- June 30, 2015 – The U.S. Geological Survey (USGS) released the first nationwide map of water usage for hydraulic fracturing. It shows wide geographic and temporal variation in the amount of water used to frack a single well. In general, gas wells consume more water per well (5.1 million gallons on average) than oil wells (4 million gallons). Median annual water volumes needed to frack a single horizontal oil or gas well increased dramatically—by a factor of 25 or more—between 2000 and 2014. A typical gas or oil well that is horizontally fracked now requires between six and eight Olympic-sized swimming pools of water. In 2014, the majority (58 percent) of new hydraulically

¹⁶⁷ Shonkoff, S. B. C., Jordan, P., Hays, J., Stringfellow, W. T., Wettstein, Z. S., Harrison, R., Sandelin, W., & McKone, T. E. (2015, July 9). Volume II, Chapter 6: Potential impacts of well stimulation on human health in California. In: *An Independent Scientific Assessment of Well Stimulation in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from <http://ccst.us/publications/2015/vol-II-chapter-6.pdf>

¹⁶⁸ Baker, D. R. (2015, July 16). U.S. likely to bar oil-waste dumping into 10 California aquifers. *San Francisco Chronicle*. Retrieved from <http://www.sfchronicle.com/business/article/U-S-likely-to-bar-oil-waste-dumping-into-10-6389677.php>

¹⁶⁹ Stringfellow, W. T., Cooley H., Varadharajan, C., Heberger, M., Reagan, M. T., Domen, J.K., . . . Houseworth, J. E. (2015, July 9). Volume II, Chapter 2: Impacts of well stimulation on water resources. In: *An Independent Scientific Assessment of Well Stimulation in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from <http://ccst.us/publications/2015/vol-II-chapter-2.pdf>

¹⁷⁰ Long, J. C. S, Birkholzer, J. T., & Feinstein, L. C. (2015, July 9). Summary report. In: *An Independent Scientific Assessment of Well Stimulation in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from: <http://ccst.us/publications/2015/2015SB4summary.pdf>

fracked oil and gas wells were horizontally drilled. The watersheds where the most water was consumed for hydraulic fracturing are mostly located in southern or southwestern states and correspond to the following shale formations: the Eagle Ford and Barnett Shales in Texas; the Haynesville-Bossier Shale in Texas and Louisiana; the Fayetteville Shale in Arkansas; the Tuscaloosa Shale in Louisiana and Mississippi; and the Woodford Shale in Oklahoma. The Marcellus and Utica Shales—which underlie watersheds in parts of Ohio, Pennsylvania, West Virginia, and New York—were also in the top seven water-consuming shale plays in the United States.¹⁷¹

- June 26, 2015 – A decade-long USGS study of 11,000 public drinking water wells in California—nearly all the groundwater used for public supply—found high levels of potentially toxic contaminants in about 20 percent of the wells, affecting about 18 percent of the state’s population.¹⁷² Although the study did not specifically investigate contaminants from oil and gas extraction, it does provide evidence for farm irrigation draining into groundwater, raising questions about the possible contamination of drinking water aquifers from the reuse of fracking wastewater for crop irrigation.¹⁷³
- June 16, 2015 – A University of Texas research team documented widespread drinking water contamination throughout the heavily drilled Barnett Shale region in northern Texas. The study, which analyzed 550 water samples from public and private water wells, found elevated levels of 19 different hydrocarbon compounds associated with fracking (including the carcinogen benzene and the reproductive toxicant, toluene), detections of methanol and ethanol, and strikingly high levels of 10 different metals.¹⁷⁴ “In the abstract, we can’t state that unconventional oil and gas techniques are responsible,” the lead author, Zachariah Hildenbrand, said in a media interview. “But when you get into areas where drilling is happening, you find more instances of contamination. It’s not coincidental. There are causes for concern.”¹⁷⁵
- June 5, 2015 – The U.S. Environmental Protection Agency’s (EPA) long-awaited 600-page draft report on the potential impacts of fracking for drinking water resources confirmed specific instances of drinking water contamination linked to drilling and fracking activities. The report also identified potential mechanisms, both above and below ground, by which drinking water resources can be contaminated by fracking. In

¹⁷¹ Gallegos, T. J., Varela, B. A., Haines, S. S., & Engle, M. A. (2015). Hydraulic fracturing water use variability in the United States and potential environmental implications. *Water Resources Research*. Accepted author manuscript. doi: 10.1002/2015WR017278

¹⁷² Belitz, K., Fram, M. S., & Johnson, T. D. (2015). Metrics for assessing the quality of groundwater used for public supply, CA, USA: equivalent-population and area. *Environmental Science & Technology*, 9(14), 8330–8338. doi: 10.1021/acs.est.5b00265

¹⁷³ Knickmeyer E., & Smith, S. (2015, July 15). Study finds contaminants in California public-water supplies. Associated Press. Retrieved from <http://abcnews.go.com/Health/wireStory/study-finds-contaminants-california-public-water-supplies-32476456>

¹⁷⁴ Hildenbrand, Z. L., Carlton, D. D., Fontenot, B. E., Meik, J. M., Walton, J.L., Taylor, J. T., . . . Schug, K.A. (2015) A comprehensive analysis of groundwater quality in the Barnett Shale region. *Environmental Science & Technology*, 49(13), 8254-8262. doi: 10.1021/acs.est.5b01526

¹⁷⁵ McPhate, C. (2015, June 18). New study reveals potential contamination. *Denton Record-Chronicle*. Retrieved from <http://www.dentonrc.com/local-news/local-news-headlines/20150618-new-study-reveals-potential-contamination.ece>

some cases, drinking water was contaminated by spills of fracking fluid and wastewater. In other cases, “[b]elow ground movement of fluids, including gas ... have contaminated drinking water resources.” The EPA investigators documented 457 fracking-related spills over six years but acknowledged that they do not know how many more may have occurred. Of the total known spills, 300 reached an environmental receptor such as surface water or groundwater. The EPA also conceded that insufficient baseline drinking water data and a lack of long-term systematic studies limited the power of its findings. The EPA investigation confirmed a number of specific instances where these potential mechanisms did indeed lead to drinking water contamination. An assertion in the EPA’s accompanying press release that it had not found “widespread, systemic impacts to drinking water resources” was quoted out of context by many media sources as proof that fracking poses little threat to drinking water. To the contrary, this report confirmed that drilling and fracking activities have contaminated drinking water in some cases and acknowledged that it cannot ascertain how widespread the problem was due to insufficient data.¹⁷⁶ EPA Science Advisor Thomas A. Burke later clarified that the report does not show that fracking is safe. Burke said, “That is not the message of this report. The message of this report is that we have identified vulnerabilities in the water system that are really important to know about and address to keep risks as low as possible.”¹⁷⁷

- May 19, 2015 – A Pennsylvania State University research team documented the presence of a fracking-related solvent, 2-n-Butoxyethanol, in the drinking water from three homes in Bradford County, Pennsylvania, as part of an investigation of private drinking water wells near drilling and fracking operations that contained methane and foam. This finding represents the first fully documented case of a commonly used fracking chemical entering a drinking water source. “The most likely explanation of the incident is that stray natural gas and drilling or [hydrofracking] compounds were driven ~1-3 km along shallow to intermediate depth fractures to the aquifer used as a potable water source.”¹⁷⁸ In an accompanying *New York Times* story, lead author Susan Brantley described the geology in northern Pennsylvania “as being similar to a layer cake with numerous layers that extend down thousands of feet to the Marcellus Shale. The vertical fractures are like knife cuts through the layers. They can extend deep underground, and can act like superhighways for escaped gas and liquids from drill wells to travel along, for distances greater than a mile away.”¹⁷⁹
- May 15, 2015 – A research team from the University of Colorado Boulder and California State Polytechnic Institute developed a model for identifying which fracking fluid

¹⁷⁶ U.S. EPA. (2015). *Assessment of the potential impacts of hydraulic fracturing for oil and gas on drinking water resources* (External review draft). U.S. Environmental Protection Agency, Washington, DC, EPA/600/R-15/047, 2015. Retrieved from <http://cfpub.epa.gov/ncea/hfstudy/recordisplay.cfm?deid=244651>

¹⁷⁷ Ward Jr., K. (2015, June 7). EPA says new study doesn’t show fracking is safe. *Charleston Gazette*. Retrieved from <http://www.wvgazettemail.com/article/20150607/GZ01/150609432>

¹⁷⁸ Llewellyn G. T., Dorman, F., Westland, J. L., Yoxtheimer, D., Grieve, P., Sowers, T., . . . Brantley, S. L. (2015). Evaluating a groundwater supply contamination incident attributed to Marcellus Shale gas development. *Proceedings of the National Academies of Science*, 112, 6325-30. doi: 10.1073/pnas.1420279112/-/DCSupplemental

¹⁷⁹ St. Fleur, N. (2015, May 4). Fracking chemicals detected in Pennsylvania drinking water. *The New York Times*. Retrieved from http://www.nytimes.com/2015/05/05/science/earth/fracking-chemicals-detected-in-pennsylvania-drinking-water.html?_r=0#addendums

chemicals are most likely to contaminate drinking water. Of 996 fracking fluid compounds known to be in use, researchers screened 659 of them for their ability to persist, migrate, and reach groundwater aquifers over a short time scale. Of the fifteen compounds so identified, two were commonly used in fracking operations: naphthalene and 2-butoxyethanol. Both are ingredients in surfactants and corrosion inhibitors. The authors noted that 2-butoxyethanol has been detected in drinking water in a heavily fracked area of Pennsylvania. Exposure to 2-butoxyethanol has been linked to birth defects in animals. Naphthalene is a possible human carcinogen that is toxic to red blood cells and contributes to kidney and liver damage. Researchers did not consider the impact of mixtures, interactions between contaminants, or chemical transformations during the fracking or flowback process and noted, “the need for data on the degradation of many compounds used in fracturing fluids under conditions relevant for groundwater transport.”¹⁸⁰

- May 7, 2015 – A survey of streams in Arkansas, led by the University of Central Arkansas, found alterations in macroinvertebrate communities to be related to drilling and fracking operations in the Fayetteville Shale. Fracking activity near streams was associated with greater sediment and more chlorophyll. “This study suggests that land disturbance from gas development affected stream communities.”¹⁸¹
- April 20, 2015 – A USGS team analyzed water brought to the surface during natural gas extraction at 13 fracked wells in northern Pennsylvania. They found large variability in the volatile organic compounds and microorganisms in the water samples from different wells. Organic chemical contaminants included benzene, toluene, and perchloroethylene, chloroform, and methylene chloride. The presence of microbes was associated with concentrations of benzene and acetate. Despite the addition of biocides during the fracking process, hydrogen sulfide-producing bacteria were present at culturable levels, along with methogenic and fermenting bacteria. The source of these microorganisms was not determined. “Therefore, we cannot exclude the possibility that these microorganisms are native to the shale formation and reactivated by [hydrofracking] activities, as their physiology does not indicate a terrestrial surficial source.”¹⁸²
- April 8, 2015 – A University of Colorado Boulder research team’s analysis of the organic chemicals found in liquid waste that flowed out of gas wells in Colorado after they had been fracked revealed the presence of many fracking fluid additives, including biocides, which are potentially harmful if they leak into groundwater. According to the authors, treatment of fracking wastewater must include aeration, precipitation, disinfection, a biological treatment to remove dissolved organic matter, and reverse osmosis

¹⁸⁰ Rogers, J. D., Burke, T. L., Osborn, S. G., & Ryan, J. N. (2015). A framework for identifying organic compounds of concern in hydraulic fracturing fluids based on their mobility and persistence in groundwater. *Environmental Science & Technology Letters*, 2, 158-64.

¹⁸¹ Johnson, E., Austin, B. J., Inlander, E., Gallipeau, C., Evans-White, M. A., & Entrekin, S. (2015). Stream macroinvertebrate communities across a gradient of natural gas development in the Fayetteville Shale. *Science of the Total Environment*, 530-531, 323-32. doi: 10.1016/j.scitotenv.2015.05.027

¹⁸² Akob, D. M., Cozzarelli, I. M., Dunlap, D. S., Rowan, E. L., & Lorah, M. M. (2015). Organic and inorganic composition and microbiology of produced waters from Pennsylvania shale gas wells. *Applied Geochemistry*, in press, corrected proofs online April 20. doi: 10.1016/j.apgeochem.2015.04.011

desalination in order for it to be appropriate for non-fracking uses, such as crop irrigation.¹⁸³

- March 18, 2015 – Using a new stream-based monitoring method, a team of scientists with USGS, Pennsylvania State University, and University of Utah found elevated levels of methane in groundwater discharging into a stream near drilling and fracking operations in Pennsylvania. In this same area, several private water wells contained high levels of methane as a result of gas migration near a gas well with a defective casing. The monitoring technique used by the scientists allowed them to demonstrate that the source of the methane was shale gas from the Middle Devonian period, which is the kind of gas found in the Marcellus Shale.¹⁸⁴ Researcher Susan Brantley said, “I found it compelling that using this new method for a reconnaissance of just 15 streams in Pennsylvania, we discovered one instance of natural gas entering the stream, perhaps from a nearby leaking shale gas well.”¹⁸⁵
- March 12, 2015 – A team led by geologist Donald Siegel of Syracuse University found no relationship between methane levels in drinking water wells and proximity to oil or gas wells in a heavily fracked area of northeastern Pennsylvania.¹⁸⁶ However, Siegel failed to reveal in his paper — as is required by the journal — that he had received industry funding from the Chesapeake Energy Corporation. Subsequently, the journal published a lengthy correction that revealed that Chesapeake had not only privately funded the lead author but had provided the baseline groundwater data set. A second author was revealed to be a former employee of Chesapeake, and another had worked as a consultant in the energy sector.¹⁸⁷
- March 3, 2015 – A Duquesne University study of private drinking water wells in an intensely drilled southwestern Pennsylvania community compared pre-drill and post-drill data on water quality and found changes in water chemistry that coincided with the advent of drilling and fracking activities. Levels of chloride, iron, barium, strontium, and manganese were elevated. In some cases, concentrations exceeded health-based maximum contaminant levels. Methane was detected in most houses tested. Surveys of

¹⁸³ Lester, Y., Ferrer, I., Thurman, E. M., Sitterley, K. A., Korak, J. A., Aiken, G., & Linden, K. G. (2015). Characterization of hydraulic fracturing flowback water in Colorado: Implications for water treatment. *Science of the Total Environment*, 512-513, 637-644. doi: 10.1016/j.scitotenv.2015.01.043

¹⁸⁴ Heilweil, V. M., Grieve, P. L., Hynek, S. A., Brantley, S. L., Solomon, D. K., & Risser, D. W. (2015). Stream measurements locate thermogenic methane fluxes in groundwater discharge in an area of shale-gas development. *Environmental Science & Technology*, 49, 4057-4065. doi: 10.1021/es503882b

¹⁸⁵ U.S. Geological Survey. (2015, April 1). New stream monitoring method locates elevated groundwater methane in shale-gas development area. Retrieved from

http://www.usgs.gov/newsroom/article.asp?ID=4176&from=rss&utm_source=dlvr.it&utm_medium=facebookhttp://www.readcube.com/articles/10.1002%2F2014WR016382?r3_referer=wol&tracking_action=preview_click&show_c_checkout=1&purchase_site_license=LICENSE_DENIED_NO_CUSTOMMER#.VaPKNYsqdyA

¹⁸⁶ Siegel, D. I., Azzolina, N. A., Smith, B. J., Perry, A. E., & Bothun, R. L. (2015). Methane concentrations in water wells unrelated to proximity to existing oil and gas wells in northeastern Pennsylvania. *Environmental Science & Technology*, 49, 4106-12. doi: 10.1021/es505775c

¹⁸⁷ Siegel, D. I., Azzolina, N. A., Smith, B. J., Perry, A. E., & Bothun, R. L. (2015). Correction to Methane concentrations in water wells unrelated to proximity to existing oil and gas wells in northeastern Pennsylvania. *Environmental Science & Technology*, 49, 4106-12. doi: 10.1021/es505775c

residents revealed widespread complaints about changes in water quality that began after drilling and fracking operations commenced. Violation records from the Pennsylvania Department of Environmental Conservation uncovered possible pathways for water contamination. The researchers concluded that alterations of local hydrology caused by the injection of large volumes of hydraulic fracturing fluids may have mobilized contaminants left over from legacy oil, gas, and mining operations as well as opened pathways for the migration of fracking fluids themselves.¹⁸⁸

- March 3, 2015 – A research team from Duquesne University reviewed the evidence for environmental impacts to air and water from activities related to shale gas extraction in Pennsylvania and explored potential mechanisms for contamination of air and water related to the drilling and fracking process itself. Among them: deformations of the shale bedrock caused by the injection of large volumes of fluid result in “pressure bulbs” that are translated through rock layers and can impact faults and fissures, so affecting groundwater.¹⁸⁹
- February 23, 2015 – The arrival of drilling and fracking activities coincided with an increase in salinity in a creek that drains public land in a semi-arid region of Wyoming, determined a USGS study. The dissolved minerals associated with the rise in salinity matched those found in native soil salts, suggesting that disturbance of naturally salt-rich soils by ongoing oil and gas activities, including pipeline, road, and wellpad construction, was the culprit. “As [shale gas and oil] development continues to expand in semiarid lands worldwide, the potential for soil disturbance to increase stream salinity should be considered, particularly where soils host substantial quantities of native salts.”¹⁹⁰
- February 14, 2015 – A review by a *Dickinson Press* news reporter of disposal well files and more than 2,090 mechanical integrity tests revealed that North Dakota frack waste injection wells were often leaky and that state regulators continued to allow fluid injection into wells with documented structural problems even though the wells did not meet EPA guidelines for well bore integrity. Officials with the North Dakota Division of Oil and Gas said they had primary enforcement responsibilities and that EPA guidance did not apply to these wells. The investigation noted, “... a review of state and federal documents, as well as interviews with geologists, engineers, environmental policy experts and lawyers who have litigated under the Safe Drinking Water Act, suggests the agency is loosely interpreting guidance and protocols that are meant to maintain the multiple layers of protection that separate aquifers from the toxic saltwater.” *The Dickinson Press*

¹⁸⁸ Alawattegama, S. K., Kondratyuk, T., Krynock, R., Bricker, M., Rutter, J. K., Bain, D. J., & Stolz, J. F. (2015). Well water contamination in a rural community in southwestern Pennsylvania near unconventional shale gas extraction. *Journal of Environmental Science and Health, Part A: Toxic/Hazardous Substances and Environmental Engineering*, 50, 516-528. doi: 10.1080/10934529.2015.992684

¹⁸⁹ Lampe, D. J. & Stolz, J. F. (2015). Current perspectives on unconventional shale gas extraction in the Appalachian Basin. *Journal of Environmental Science and Health, Part A: Toxic/Hazardous Substances and Environmental Engineering*, 50(5), 434-446. doi: 10.1080/10934529.2015.992653

¹⁹⁰ Bern, C. R., Clark, M. L., Schmidt, T. S., Nolloway, J. M., & McDougal, R. R. (2015). Soil disturbance as a driver of increased stream salinity in a semiarid watershed undergoing energy development. *Journal of Hydrology*, 524, 123-136. doi: doi.org/10.1016/j.jhydrol.2015.02.020

is the daily newspaper for Stark County in southwest North Dakota.¹⁹¹

- February 11, 2015 – The *Los Angeles Times* analyzed self-reported testing results on fracking wastewater that California drillers were required to submit to the state. Samples of wastewater collected from 329 fracked oil wells found that virtually all—98 percent—contained benzene at levels that exceeded standards for permissible concentrations in drinking water. This finding likely underrepresents the extent of the problem, according to the newspaper investigation, because many operators failed to comply with reporting requirements. The discovery that fracking wastewater is high in benzene is particularly alarming in light of the admission by the state of California that it had inadvertently allowed frack waste disposal directly into aquifers containing clean water that could potentially be used for drinking. Those wells are now the subject of federal and state review.¹⁹²
- February 1, 2015 – An investigation of the chemical make-up of fracking fluid found that the compositions of these mixtures vary widely according to region and company, making the process of identifying individual compounds difficult. Classes of hydrocarbon-based chemicals include solvents, gels, biocides, scale inhibitors, friction reducers, and surfactants. Chemical analysis identified around 25 percent of the organic compounds that are believed to be present in fracking fluid and that are necessary to test for in identifying groundwater and drinking water contamination.¹⁹³ Dr. Imma Ferrer, lead author, explained in a *Science Daily* article about her research that “[b]efore we can assess the environmental impact of the fluid, we have to know what to look for.”¹⁹⁴
- January 30, 2015 – A USGS review of national water quality databases found that insufficient data exist to understand the impact of fracking on drinking water.¹⁹⁵ In a media interview, lead author Zack Bowen said, “There are not enough data available to be able to assess the potential effects of oil and gas development over larger geographic areas.”¹⁹⁶

¹⁹¹ Brown, A. (2015, February 14). Lacking integrity? State regulatory officials don’t follow EPA guidance on saltwater disposal wells. *The Dickinson Press*. Retrieved from <http://www.thedickinsonpress.com/energy/bakken/3679507-lacking-integrity-state-regulatory-officials-dont-follow-epa-guidance>

¹⁹² Cart. J. (2015, February 11). High levels of benzene found in fracking waste water. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/california/la-me-fracking-20150211-story.html#page=1>

¹⁹³ Ferrer, I. & Thurman, E.M. (2015), Chemical constituents and analytical approaches for hydraulic fracturing waters. *Trends in Environmental Analytical Chemistry*, 5, 18-25, doi: 10.1016/j.teac.2015.01.003

¹⁹⁴ Elsevier. (2015 April 8). Fracking fluids contain potentially harmful compounds if leaked into groundwater. *ScienceDaily*. Retrieved from http://www.sciencedaily.com/releases/2015/04/150408090323.htm?utm_source=feedburner&utm_medium=email&utm_campaign=Feed%3A+sciencedaily%2Fearth_climate%2Frecycling_and_waste+%28Recycling+and+Waste+News+-+

¹⁹⁵ Bowen, Z. H., Oeisner, G. P., Cade, B., Gallegos, T. J., Farag, A. M., Mott, D. N., . . . Varela, B. A. (2015). Assessment of surface water chloride and conductivity trends in areas of unconventional oil and gas development—why existing national data sets cannot tell us what we would like to know. *Water Resources Research*, 51, 704-15. doi: 10.1002/2014WR016382

¹⁹⁶ Phillips, S. (2015, March 3). USGS: fracking water quality data “scarce.” *StateImpact Pennsylvania*. Retrieved from <https://stateimpact.npr.org/pennsylvania/2015/03/03/usgs-fracking-water-quality-data-scarce/>

- January 21, 2015 – A team of researchers from the USGS and Virginia Tech University established that petroleum-based hydrocarbons can break down underground in ways that promote the leaching of naturally occurring arsenic into groundwater. Arsenic is a known human carcinogen that causes bladder, lung, and skin cancer. Elevated levels of arsenic in drinking water represent a public health threat.¹⁹⁷ Researchers found that arsenic concentrations in a hydrocarbon plume can reach 23 times the current drinking water standard of 10 micrograms per liter. The authors of the study said that the metabolism of carbon-rich petroleum products by subterranean microbes is involved in a complex geochemical process that leads to mobilization of arsenic into aquifers.¹⁹⁸
- January 14, 2015 – Researchers from Duke University, Dartmouth College, and Stanford University found high levels of iodide, bromide, and ammonium in samples of wastewater from fracking operations in both the Marcellus and Fayetteville Shales. These same chemicals were present when fracking wastewater was discharged into rivers and streams at three treatment sites in Pennsylvania and during an accidental spill in West Virginia. Iodide and bromide are known to create toxic disinfection byproducts when downstream water is subsequently chlorinated for drinking water. In water, ammonium can convert to ammonia, which is toxic to aquatic life. The authors noted that this is the first study to identify ammonium and iodide as widespread in fracking waste discharges.¹⁹⁹ In an interview with the *Pittsburgh Post-Gazette*, lead author Avner Vengosh said that the findings raise new concerns about the environmental and health impacts of wastewater from drilling and fracking operations.²⁰⁰
- November 27, 2014 – An interdisciplinary team of researchers found methane contamination in drinking water wells located in eight areas above the Marcellus Shale in Pennsylvania and the Barnett Shale in Texas, with evidence of declining water quality in the Barnett Shale area. By analyzing noble gases and their isotopes (helium, neon, argon), the investigators were able to isolate the origin of the fugitive methane in drinking water. The results implicate leaks through cement well casings as well as via naturally occurring cracks and fissures in the surrounding rock.²⁰¹ In a related editorial, one of the study's authors, Robert Jackson, called on the EPA to reopen its aborted investigation into

¹⁹⁷ U.S. Geological Survey (2015, January. 26). Natural breakdown of petroleum underground can lace arsenic into groundwater. Retrieved from http://www.usgs.gov/newsroom/article.asp?ID=4110&from=rss&utm_source=dlvr.it&utm_medium=facebook#.VavGXIsqdyA

¹⁹⁸ Cozzarelli, I. M. Schreiber, M. D., Erickson, M. L., & Ziegler, B. A. (2015). Arsenic cycling in hydrocarbon plumes: secondary effects of natural attenuation. *Groundwater*. doi: 10.1111/gwat.12316

¹⁹⁹ Harkness, J. S., Dwyer, G. S., Warner, N. R., Parker, K. M., Mitch, W. A., & Vengosh, A. (2015). Iodide, bromide, and ammonium in hydraulic fracturing and oil and gas wastewaters: environmental implications. *Environmental Science & Technology*, 49, 1955-63. doi: 10.1021/es504654n

²⁰⁰ Hopey, D. (2015, January 15). Study: high levels of pollutants from drilling waste found in Pa. rivers. *Pittsburgh Post-Gazette*. Retrieved from <http://powersource.post-gazette.com/powersource/latest-oil-and-gas/2015/01/14/Study-High-levels-of-pollutants-from-drilling-waste-found-in-Pennsylvania-rivers-shale/stories/201501140143>

²⁰¹ Darrah, T. H., Vengosh, A., Jackson, R. B., Warner, N. R., & Poreda, R. J. (2014). Noble gases identify the mechanisms of fugitive gas contamination in drinking-water wells overlying the Marcellus and Barnett Shales. *Proceedings of the National Academy of Sciences*, 111 (39), 14076-14081. doi: 10.1073/pnas.1322107111

drinking water contamination in heavily fracked areas of Texas. Jackson also emphasized that methane migration through unseen cracks in the rock surrounding the wellbore “raises the interesting possibility that a drilling company could follow procedures — cementing and casing below the local aquifer — and still create a potential pathway for gas to migrate into drinking water.”²⁰²

- November 26, 2014 – A critical review of biocides in fracking fluid by a Colorado State team found that the fate of these chemicals underground is not known and their toxicity not well understood. While many biocides are short-lived, some may transform into more toxic or persistent compounds. Among the most common chemical components of fracking fluid, biocides are used to inhibit the growth of deep-life microorganisms, including sulfate-reducing bacteria that contribute to corrosion of well casings and can form biofilms that prevent the upward flow of natural gas. Oxidizing biocides that are chlorine- or bromine-based can react with other fracking chemicals and may produce toxic halogenated byproducts. The authors noted biocides pose a unique risk for drinking water when fracking liquid waste is treated for discharge to surface water via sewage treatment plants. Sub-lethal concentrations may contribute to adaptation of surviving microorganisms and, hence, antibiotic resistance of pathogens. They cited particular concern over surface spills and well integrity issues associated with casing or cement failure.²⁰³
- November 3, 2014 – The West Virginia Department of Environmental Protection confirmed that three private drinking water wells were contaminated when Antero Resources mistakenly drilled into one of its own gas wells. Benzene, a human carcinogen, and toluene, a reproductive toxicant, were detected in the drinking water at concentrations four times the legal maximum limit. Additionally, a nearby abandoned gas well, a drinking water well, and an actively producing gas well were all pressurized as a result of the mishap and began exhibiting “artesian flow.”²⁰⁴
- October 22, 2014 – A follow-up to the August 2014 Environmental Integrity Project report describes an even greater potential public health threat from a loophole in the Safe Drinking Water Act, wherein companies are allowed to inject other petroleum products (beyond diesel) without a permit, and many of these non-diesel drilling fluids contain even higher concentrations of the same toxins found in diesel. The authors recommend that “EPA should revisit its guidance and broaden the categories of diesel products that

²⁰² Jackson, R. (2014, December 1). Reopen Barnett Shale water probe. *The Texas Tribune*. Retrieved from <http://tribtalk.org/2014/12/01/reopen-barnett-shale-water-probe/>

²⁰³ Kahrilas, G. A. Blotvogel, J., Stewart, P. S., & Borch T. (2015). Biocides in hydraulic fracturing fluids: a critical review of their usage, mobility, degradation, and toxicity. *Environmental Science & Technology*, 49,16-32. doi: 10.1021/es503724k

²⁰⁴ Board, G. (2014, November 3). September drilling accident contaminated water in Doddridge County. *West Virginia Public Broadcasting*. Retrieved from <http://wvpublic.org/post/dep-september-drilling-accident-contaminated-water-doddridge-county>

require Safe Drinking Water Act permits before they can be injected into oil and gas wells.”²⁰⁵

- October 20, 2014 – While developing a technique to fingerprint and trace accidental releases of hydraulic fracturing fluids, researchers showed that liquid waste from shale gas fracking operations is chemically different than waste flowing out of conventional wells. The researchers hypothesized that the hydraulic fracturing process itself liberates elements from clay minerals in the shale formations, including boron and lithium, which then enter the liquid waste.²⁰⁶
- October 15, 2014 – Four thousand gallons of liquid fracking waste dumped into Waynesburg sewer system was discovered by sewage treatment plant workers in Greene County, Pennsylvania. The Department of Environmental Protection surmised that “someone removed a manhole cover in a remote location and dumped the fluid.” The treatment plant discharges into a creek that feeds the Monongahela River, which provides drinking water to more than 800,000 people.²⁰⁷
- October 6, 2014 – A state investigation that found no fracking-related water contamination in a drinking water well in Pennsylvania’s Washington County was invalidated by testimony presented to the state Environmental Hearing Board. Not all contaminants that were present in the water were reported, and the investigation relied on obsolete testing methods. More sophisticated testing revealed the presence of several chemical contaminants in the well water. The well is located 2,800 feet down gradient from a drilling site and fracking waste pit where multiple spills and leaks more than four years earlier had contaminated two springs.²⁰⁸
- September 23, 2014 – In a two-part audit of records, the U.S. Government Accountability Office (GAO) found that the EPA is failing to protect U.S. drinking water sources from fracking-related activities such as waste disposal via injection wells. Nationwide, 172,000 injection wells accept fracking waste; some are known to have contaminated drinking water. And yet, both short-term and long-term monitoring is lax, and record-keeping varies widely from state to state. The EPA neither mandates nor recommends a fixed list of chemicals for monitoring on the grounds that “injection fluids can vary widely in composition and contain different naturally occurring chemicals and fluids used in oil and

²⁰⁵ Schaeffer, E. & Bernhardt, C. (2014, October 22). Fracking’s toxic loophole. The Environmental Integrity Project. Retrieved from <http://environmentalintegrity.org/wp-content/uploads/FRACKINGS-TOXIC-LOOPHOLE.pdf>

²⁰⁶ Warner, N. R., Darrah, T. H., Jackson, R. B., Millot, R., Kloppmann, W., & Vengosh, A. (2014). New tracers identify hydraulic fracturing fluids and accidental releases from oil and gas operations. *Environ. Sci. Technol.*, 48(21), 12552–12560. doi: 10.1021/es5032135

²⁰⁷ Hohey, D. (2014, October 15). Waynesburg officials investigate dumping of fracking wastewater. *Pittsburgh Post-Gazette*. Retrieved from <http://powersource.post-gazette.com/news/environment/2014/10/15/Waynesburg-investigates-dumping-of-fracking-wastewater/stories/201410150056>

²⁰⁸ Hohey, D. (2014, October 6). Testimony: obsolete tests tainted shale analysis. *Pittsburgh Post-Gazette*. Retrieved from <http://powersource.post-gazette.com/powersource/companies-powersource/2014/10/06/Testimony-Obsolete-tests-tainted-shale-analysis/stories/201410060075>

gas production depending on the source of the injection fluid.”²⁰⁹ Disposal of oil and gas waste via injection wells is, in fact, subject to regulation under the Safe Drinking Water Act, but, in practice, no one knows exactly what the waste contains, and regulations are deficient. In the United States, at least two billion gallons of fluids are injected into the ground *each day* to enable oil and gas extraction via fracking or to dispose of liquid waste from fracking operations.^{210, 211}

- September 18, 2014 – Range Resources was fined a record \$4.5 million by the Pennsylvania Department of Environmental Protection for contaminating groundwater. The culprits were six leaking pits in Washington County that each held millions of gallons of fracking wastewater.²¹²
- September 12, 2014 – A Pennsylvania State ecosystems scientist, together with USGS scientists, reviewed the current knowledge of the effects of fracking and its associated operations on terrestrial and aquatic ecosystems in 20 shale plays in the U.S. Findings of species and habitats at highest risk include (in addition to land-based examples) vernal pond inhabitants and stream biota. The research builds on previous reviews identifying “three main potential stressors to surface waters: changes in water quantity (hydrology), sedimentation, and water quality.” Researchers determined that there are no published data specifically on the effects of fracking on forest-dwelling amphibians, but “many species breed in vernal ponds which are negatively affected by changes in water quantity and quality and direct disturbance. Many amphibians are also highly sensitive to road salts.” Given that the U.S. EPA recently found 55% of all rivers and streams to be in poor condition, these researchers warned, “Large-scale development of shale resources might increase these percentages.” They expressed concern for the native range of brook trout by the cumulative effects of shale development, especially in Pennsylvania.²¹³
- September 9, 2014 – A research team from Stanford and Duke Universities discovered that fracking wastewater processed by sewage treatment plants contributes to the formation of carcinogenic chemical byproducts. These raise public health risks when downstream surface water is used for drinking. Even when fracking wastewater was diluted by a factor of 10,000, the bromides and iodides in the waste reacted with organic matter to create highly toxic halogenated compounds—at troublingly high concentrations. These toxic compounds are not filterable by municipal wastewater treatment plants.

²⁰⁹ U.S. Government Accountability Office. (2014, September 23). Drinking water: characterization of injected fluids associated with oil and gas production. GAO-14-657R. Retrieved from <http://www.gao.gov/products/GAO-14-857R>.

²¹⁰ Sadasivam N. (2014, July 29). Report criticizes EPA oversight of injection wells, *ProPublica*. Retrieved from <http://www.propublica.org/article/report-criticizes-epa-oversight-of-injection-wells>

²¹¹ U.S. Government Accountability Office. (June 27, 2014). EPA program to protect underground sources from injection of fluids associated with oil and gas production needs improvement. GAO-14-555. Retrieved from <http://www.gao.gov/products/GAO-14-555>

²¹² Hopey, D. (2014, September 18). Range resources to pay \$4.15M penalty. *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/local/2014/09/18/DEP-orders-Range-Resources-to-pay-4-million-fine/stories/201409180293>

²¹³ Brittingham, M. C., Maloney, K. O., Farag, A. M., Harper, D. D., & Bowen, Z. H. (2014). Ecological risks of shale oil and gas development to wildlife, aquatic resources and their habitats. *Environmental Science & Technology*, 48(19), 11034–11047. doi: dx.doi.org/10.1021/es5020482

Halogenated disinfection byproducts in drinking water are linked to both colon and bladder cancers.²¹⁴

- August 29, 2014 – A review of Pennsylvania Department of Environmental Protection files on fracking-related damage to drinking water—which are kept on paper and stored in regional offices—revealed that 243 private water supplies in 22 counties had been contaminated or had lost flow and dried up as a result of nearby drilling and fracking operations in the past seven years. Pollutants included methane, metals, and salts as well as carbon-based compounds (ethylene glycol and 2-butoxyethanol) that are known to be constituents of fracking fluid. As reported by the *Pittsburgh Post-Gazette*, this tally—which came as a response to multiple lawsuits and open-records requests by media sources—was the first time the agency “explicitly linked a drilling operation to the presence of industrial chemicals in drinking water.”^{215, 216}
- August 13, 2014 – Over the last decade, drilling companies have repeatedly claimed they are no longer using diesel fuel in fracking, although a 2011 investigation by U.S. House Democrats concluded otherwise. The Environmental Integrity Project examined disclosure data submitted to FracFocus and identified at least 351 wells in 12 states that have been fracked over the last four years with one or more of the five prohibited products identified as diesel. EIP researchers also discovered numerous fracking fluids with high diesel content for sale online, including over a dozen products sold by Halliburton and advertised as additives, friction reducers, emulsifiers, etc.²¹⁷
- August 13, 2014 – An international team of researchers found high levels of carbon-based compounds in liquid fracking waste. These impurities can react with chlorine and bromine to create toxic byproducts. This study suggests that chemical treatment of liquid fracking waste will magnify its toxic potency, as will reusing and recycling it.²¹⁸ The European Commission subsequently published a summary of these findings.²¹⁹

²¹⁴ Parker, K. M., Zeng, T., Harkness, J., Vengosh, A., & Mitch, W. A. 2014. Enhanced formation of disinfection byproducts in shale gas wastewater-impacted drinking water supplies. *Environmental Science & Technology*, 48(19), 11161–11169. doi: 10.1021/es5028184

²¹⁵ Pennsylvania Department of Environmental Protection. (2014 August 29). Water supply determination letters. Retrieved from http://files.dep.state.pa.us/OilGas/BOGM/BOGMPortalFiles/OilGasReports/Determination_Letters/Regional_Determination_Letters.pdf

²¹⁶ Legere, L. (2014, September 9). DEP releases updated details on water contamination near drilling sites: some 240 private supplies damaged by drilling in the past 7 years. *Pittsburgh Post-Gazette*. Retrieved from <http://powersource.post-gazette.com/powersource/policy-powersource/2014/09/09/DEP-releases-details-on-water-contamination/stories/201409090010>

²¹⁷ Greene, M. (2014, August 13). Fracking beyond the law: Despite industry denials, investigation reveals continued use of diesel in hydraulic fracturing. The Environmental Integrity Project. Retrieved from <http://environmentalintegrity.org/wp-content/uploads/Fracking-Beyond-the-Law.pdf>

²¹⁸ Maguire-Boyle, S. J., & Barron, A. R. (2014). Organic compounds in produced waters from shale gas wells. *Environ. Sci.: Processes Impacts*, 16, 2237–2248. doi: 10.1039/C4EM00376D

²¹⁹ European Commission. (2015, February 19). Chemical composition of fracking wastewater. *Science for Environment Policy*, 404. Retrieved from http://ec.europa.eu/environment/integration/research/newsalert/pdf/chemical_composition_of_fracking_wastewater_404na4_en.pdf

- August 13, 2014 – A team from Lawrence Berkeley National Laboratory reported that scientific efforts to understand the hazards of fracking continue to be hampered by industry secrecy. A comprehensive examination of the chemical formulations of fracking fluid—whose precise ingredients are protected as proprietary business information—revealed that no publicly available toxicity or physical chemical information was available for one-third of all the fracking chemicals surveyed. Another ten percent of chemicals, including biocides and corrosion inhibitors, were known to be toxic to mammals.^{220, 221}
- August 12, 2014 – A Stanford University research team working in the Pavillion gas basin in Wyoming documented that fracking in shallow layers of bedrock, including those that serve as drinking water aquifers, is not uncommon. This finding overturns the industry claim that oil and gas deposits targeted by fracking operations are located at much greater depths than underground drinking water sources and are isolated from them by hundreds of feet of impermeable rock. Because it is exempt from provisions of the Safe Drinking Water Act, fracking in drinking water aquifers is not prohibited by law.²²²
- August 3, 2014 – An investigation by the *Pittsburgh Post-Gazette* found that half of all fracking-related spills that resulted in violations and fines were not discovered by the gas companies themselves, even though Pennsylvania state law requires them to pro-actively seek and report such incidents. The newspaper’s analysis of hundreds of thousands of state and company documents showed that self-regulation in the gas fields is a failure. One-third of all spills were discovered by state inspectors, while one-sixth were found by residents. Likely, much contamination is entirely undetected and unreported.²²³
- July 21, 2014 – An investigation by the *Columbus Dispatch* showed that Halliburton delayed disclosure to federal and state EPA agencies of the full list of chemicals that spilled into a creek following a fire on one of its well pad in Monroe County, Ohio. Although the creek is an important supply of drinking water for downstream communities and the spill precipitated a mass die-off of fish and other aquatic wildlife, five full days passed before EPA officials were provided a full inventory of chemicals used at Halliburton’s operation. As a result, the public was denied knowledge of potential chemical exposures.²²⁴

²²⁰ Stringfellow, W. T., Domen, J. K., Carmarillo, M. K., Sandelin, W. L., Tinnacher, R., Jordan, P., . . . Birkholzer, J. (August 13, 2014). Characterizing compounds used in hydraulic fracturing: a necessary step for understanding environmental impacts. Presentation before the American Chemical Society conference, San Francisco. Abstract retrieved from http://abstracts.acs.org/chem/248nm/program/view.php?obj_id=262051&terms=

²²¹ Robinson, P. (2014, August 19). Fracking fluid survey shows missing information. *Scientific American*.

Retrieved from <http://www.scientificamerican.com/article/fracking-fluid-survey-shows-missing-information/>

²²² Banerjee, N. (2014, August 12). Oil companies fracking into drinking water sources, new research finds. *Los Angeles Times*. Retrieved from <http://www.latimes.com/nation/la-na-fracking-groundwater-pavillion-20140811-story.html#page=1>

²²³ Hamill, S. D. (2014, August 3). Drillers did not report half of spills that led to fines. *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/news/state/2014/08/03/Drillers-did-not-report-half-of-spills-that-led-to-fines/stories/201408020142>

²²⁴ Arenschiold, L. (2014, July 21). Halliburton delayed releasing details on fracking chemicals after Monroe County spill. *The Columbus Dispatch*. Retrieved from <http://www.dispatch.com/content/stories/local/2014/07/21/details-on-chemicals-trickle-in-after-spill.html>

- July 17, 2014 – A team of environmental scientists, biologists, and engineers, from institutions including the University of Michigan and McGill University, assessed the current state of understanding of the impact fracking and its associated activities have on the ecological health of surface waters. Though various approaches such as geographic information systems and site monitoring provide insights into potential risks to aquatic ecosystems, the authors concluded that inadequate data currently exist. They identified possible outcomes such as, “erosion and sedimentation, increased risk to aquatic ecosystems from chemical spills or runoff, habitat fragmentation, loss of stream riparian zones, altered biogeochemical cycling, and reduction of available surface and hyporheic water volumes because of withdrawal-induced lowering of local groundwater levels.”²²⁵
- July 7, 2014 – California Department of Gas, Oil, and Geothermal Resources ordered seven energy companies to stop injecting liquid fracking waste into aquifers. The ongoing drought that has compelled farmers to supplement irrigation with water drawn from groundwater sources prompted state officials to look at the status of aquifers previously considered too deep for use or too poor in quality. They discovered that at least seven injection wells were very likely pumping liquid fracking waste into protected groundwater supplies rather than aquifers that had been sacrificed for the purpose of waste disposal. Across the United States, more than 1000 aquifers are exempt from any type of pollution protection at all, and many of these are in California, according to a related *ProPublica* investigation.²²⁶
- June 25, 2014 – A study by Cornell University researchers found that fracking fluid and fracking wastewater mobilized previously deposited chemical contaminants in soil particles in ways that could potentially exacerbate the impacts of fracking fluid spills or leaks. The research team concluded that, by interfering with the ability of soil to bond to and sequester pollutants such as heavy metals, fracking fluids may release from soils an additional repository of contaminants that could migrate into groundwater.²²⁷
- June 23, 2014 – Building on earlier findings that water samples collected from sites with confirmed fracking spills in Garfield County, Colorado exhibited moderate to high levels of estrogen and androgen-disrupting activity, a University of Missouri team extended their investigation to other types of hormonal effects. As reported at a joint meeting of the International Society of Endocrinology and the Endocrine Society, their research documented that commonly used fracking chemicals can also block the receptors for thyroid hormone, progesterone, and glucocorticoids (a family of hormones involved in both fertility and immune functioning). Of 24 fracking chemicals tested, all 24 interfered

²²⁵ Burton Jr., G. A., Basu, N., Ellis, B. R., Kapo, K. E., Entrekin, S. & Nadelhoffer, K. (2014). Hydraulic “fracking”: are surface water impacts an ecological concern? *Environmental Toxicology and Chemistry*, 33(8), 1679-1689.

²²⁶ Lustgarten, A. (2014, July 18). California halts injects of fracking waste, warning it may be contaminating aquifers. *ProPublica*. Retrieved from <http://www.propublica.org/article/ca-halts-injection-fracking-waste-warning-may-be-contaminating-aquifers>

²²⁷ Sang, W., Stoof, C., Zhang, W., Morales, V., Gao, B., Kay, R., . . . Steenhuis, T. (2014). Effect of hydrofracking fluid on colloid transport in the unsaturated zone. *Environmental Science & Technology*, 48(14), 8266–8274. Retrieved from <http://pubs.acs.org/doi/abs/10.1021/es501441e>

with the activity of one or more important hormone receptors. There is no known safe level of exposure to hormone-disrupting chemicals.²²⁸

- May 11, 2014 – According to the U.S. Government Accountability Office, the federal government is failing to inspect thousands of oil and gas wells located on public land, including those that pose special risks of water contamination or other environmental damage. An investigation by the Associated Press found that the Bureau of Land Management “had failed to conduct inspections on more than 2,100 of the 3,702 wells that it had specified as ‘high priority’ and drilled from 2009 through 2012. The agency considers a well ‘high priority’ based on a greater need to protect against possible water contamination and other environmental safety issues.”²²⁹
- May 4, 2012 – A report for the Canadian Government, released under the Access to Information Act, reviewed the process, the regulatory framework globally, and the potential health hazards related to shale gas extraction. Additionally, the report evaluated mechanisms for potential impacts and summarized the data knowledge and data gaps. Regarding water contamination, the report determined, “Although quantitative data are lacking, the qualitative data available indicate that potential contamination of water related to the shale gas industry may present hazard to the public health, especially for local population.” Regarding air contamination: “air emissions related to the shale gas industry present health hazards since the air pollutants originating from the vehicles and engines fuelled by diesel are toxic to the respiratory and cardiovascular systems and can cause premature mortality, volatile organic compounds have been associated to neurotoxicity and some of these compounds (e.g. benzene) as well as NORMs are known or possible human carcinogens.” The report concluded, “Any step of shale gas exploration/exploitation may represent a potential source of drinking water and air contamination; Hydraulic fracturing and wastewater disposal were identified as the main potential sources of risk.”²³⁰
- March 25, 2014 – An industry-funded study of oil and gas well integrity found that more than six percent of wells in a major shale exploration region in Pennsylvania showed evidence of leaking and conceded that this number is likely an underestimate. Researchers concluded that the percentage of wells with some form of well barrier or integrity failure is highly variable and could be as high as 75 percent. A separate analysis

²²⁸ The Endocrine Society (2014, June 23). Hormone-disrupting activity of fracking chemicals worse than initially found. *Science Daily*. Retrieved from http://www.sciencedaily.com/releases/2014/06/140623103939.htm?utm_source=feedburner&utm_medium=email&utm_campaign=Feed%3A+sciencedaily%2Ftop_news%2Ftop_health+%28ScienceDaily%3A+Top+Health+News%29

²²⁹ Yen, H. (2014, May 11). Fed govt failed to inspect higher risk oil wells. *Associated Press*. Retrieved from <http://bigstory.ap.org/article/fed-govt-failed-inspect-higher-risk-oil-wells>

²³⁰ Louis, S. (2012, May 4). Potential health hazards from shale gas exploration and exploitation—Drinking water and ambient air. Presented to Health Canada by SANEXEN Environmental Services; 0/Ref.: RA11-410. Document released under the (Canadian) Access to Information Act.

in the same study found 85 examples of cement or casing failures in Pennsylvania wells monitored between 2008 and 2011.²³¹

- March 7, 2014 – In a comprehensive evaluation, Duke University scientists and colleagues reviewed the state of knowledge on possible effects of shale gas and hydraulic fracturing on water resources in the United States and concluded, “Analysis of published data (through January 2014) reveals evidence for stray gas contamination, surface water impacts in areas of intensive shale gas development, and the accumulation of radium isotopes in some disposal and spill sites.”²³²
- February 19, 2014 – A Pennsylvania court found a gas corporation guilty of contaminating a woman’s drinking water well in Bradford County. Methane levels after fracking were 1,300 to 2,000 times higher than baseline, according to the court brief. Iron levels and turbidity had also increased. The brief stated, “In short, Jacqueline Place lived for ten months deprived totally of the use of her well, and even after its ‘restoration,’ has been burdened with a water supply with chronic contamination, requiring constant vigilance and ongoing monitoring.”²³³
- January 16, 2014 – Data from the Colorado Oil and Gas Conservation Commission showed that fracking-related chemical spills in Colorado exceed an average rate of one spill per day. Of the 495 chemical spills that occurred in that state over a one-year period of time, nearly a quarter impacted ground or surface water. Sixty-three of the spills spread within 1,500 feet of pigs, sheep, and cows; 225 spread within 1,500 feet of buildings.²³⁴
- January 10, 2014 – Duke University water tests revealed ongoing water contamination in Parker County, Texas, providing evidence that the EPA had prematurely ended its prior investigation into the water contamination.²³⁵ A letter sent to the EPA from more than 200 environmental organizations called on the agency to re-open its investigation.²³⁶

²³¹ Davies, R. J., Almond, S., Ward, R. S., Jackson, R. B., Adams, C., Worrall, F., . . . Whitehead, M. A. (2014). Oil and gas wells and their integrity: Implications for shale and unconventional resource exploitation. *Marine and Petroleum Geology*, 56, 239-254. doi: 10.1016/j.marpetgeo.2014.03.001

²³² Vengosh, A., Jackson, R. B., Warner, N., Darrah, T. H., & Kondash, A. (2014). A critical review of the risks to water resources from unconventional shale gas development and hydraulic fracturing in the United States [Abstract]. *Environmental Science & Technology*. doi: 10.1021/es405118y

²³³ Gibbons, B. (2014, February 19). Woman wins case against Chesapeake Jaqueline Place of Terry Township to receive compensation for well contamination. *TheDailyReview.com*. Retrieved from <http://thedailyreview.com/news/woman-wins-case-against-chesapeake-jaqueline-place-of-terry-township-to-receive-compensation-for-well-contamination-1.1636832>

²³⁴ Tomasic, J. (2014, January 16). Colorado drilling data: More than a spill a day. *The Colorado Independent*. Retrieved from <http://www.coloradoindependent.com/145629/colorado-drilling-data-more-than-a-spill-a-day>

²³⁵ Drajem, M. (2014, January 9). Duke fracking tests reveal dangers driller's data missed. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2014-01-10/epa-s-reliance-on-driller-data-for-water-irks-homeowners.html>

²³⁶ Drajem, M. (2014, January 27). EPA needs fracking review: 'Gasland' maker, environmentalists. *Bloomberg*. Retrieved from <http://go.bloomberg.com/political-capital/2014-01-27/epa-needs-fracking-review-gasland-producer-environmentalists-say/>.

- January 5, 2014 – An Associated Press investigation into drinking water contamination from fracking in four states—Pennsylvania, Ohio, West Virginia, and Texas—found many cases of confirmed water contamination and hundreds more complaints. The Associated Press noted that their analysis “casts doubt on industry view that it rarely happens.”²³⁷
- December 24, 2013 – A report from the EPA Inspector General concluded that evidence of fracking-related water contamination in Parker County, Texas was sound and faulted the EPA for prematurely ending its investigation there, relying on faulty water testing data from the gas industry in doing so, and failure to intervene when affected residents’ drinking water remained unsafe.²³⁸ As reported by *Business Insider*, “The EPA Screwed Up When It Dropped This Fracking Investigation.”²³⁹
- December 16, 2013 – Lead by Susan Nagel of the University of Missouri School of Medicine, researchers documented endocrine-disrupting properties in chemicals commonly used as ingredients of fracking fluid and found similar endocrine-disrupting activity in groundwater and surface water samples collected near drilling and fracking sites in Garfield County, Colorado. Endocrine disruptors are chemicals that interfere with the activity of hormones in the body and, at very low concentrations, can raise the risk of reproductive, metabolic, and neurological disorders, especially when exposures occur in early life.^{240, 241, 242}
- December 7, 2013 – Reporting on the second gas leak at a single gas well in one month, the Fort Worth *Star-Telegram* uncovered another inherent risk of fracking for groundwater contamination: Silica sand, which is used as an ingredient in fracking fluid for its ability to prop open the shale fractures, can damage steel pipes as it flows back up the well along with the gas. According to Dan Hill, head of the petroleum engineering department at Texas A&M University, new wells are the most susceptible to sand erosion because “the amount of sand and gas rushing through valves and flow lines is at its greatest when a well first goes into production.”²⁴³

²³⁷ Begos, K. (2014, January 05). 4 states confirm water pollution from drilling. *USA Today*. Retrieved from <http://www.usatoday.com/story/money/business/2014/01/05/some-states-confirm-water-pollution-from-drilling/4328859/>

²³⁸ Banjeree, N. (2013, December 24). EPA report on fracking in Texas raises new concerns. *Los Angeles Times*. Retrieved from <http://www.latimes.com/nation/la-na-epa-fracking-20131225,0,6042944.story#ixzz2oVB9FXVY>

²³⁹ Miedema, D. (2013, December 25). The EPA screwed up when it dropped this fracking investigation. *Business Insider*. Retrieved from <http://www.businessinsider.com/epa-criticized-for-dropping-fracking-investigation-2013-12>

²⁴⁰ Kassotis, C. D., Tillitt, D. E., Davis, J. W., Hormann, A. M., & Nagel, S. C. (2013). Estrogen and androgen receptor activities of hydraulic fracturing chemicals and surface and ground water in a drilling-dense region. *Endocrinology*. doi: 10.1210/en.2013-1697

²⁴¹ Banerjee, N. (2013, December 16). Hormone-disrupting chemicals found in water at fracking sites. *Los Angeles Times*. Retrieved from <http://articles.latimes.com/2013/dec/16/science/la-sci-fracking-health-20131217>

²⁴² Endocrine Society. (2013, December 16). Fracking chemicals disrupt hormone function. *ScienceDaily*. Retrieved from www.sciencedaily.com/releases/2013/12/131216140428.htm

²⁴³ Hirst, C., & Fuquay, J. (2013, December 7). Second leak reported at east Fort Worth gas well site. *Star-Telegram*. Retrieved from <http://www.star-telegram.com/2013/12/07/5399740/second-leak-reported-at-east-fort.html?rh=1>

- November 28, 2013 – An Associated Press investigation uncovered nearly 300 oil pipeline spills in North Dakota in the previous ten months, all with no public notification. These were among some 750 “oil field incidents” that had occurred in the state over the same time period, also without public notification. Until the AP inquiry, industry and state officials had kept quiet about one particular “massive spill” that had been accidentally discovered by a wheat farmer. Even small spills can contaminate water sources permanently and take cropland out of production.²⁴⁴
- November 26, 2013 – A USGS report found serious impacts of fracking on watersheds and water quality throughout the Appalachian Basin, as well as issues with radiation and seismic events. As noted in the report, the knowledge of how extraction affects water resources has not kept pace with the technology.^{245, 246} Meanwhile, clean fresh water is becoming an increasingly scant resource. A report prepared for the U.S. State Department forecasts a serious freshwater shortage by 2030, with global demand exceeding supply by 40 percent.²⁴⁷
- November 22, 2013 – A USGS study of pollution from oil production in North Dakota, where horizontal drilling and hydraulic fracturing are heavily used, identified two potential plumes of groundwater contamination covering 12 square miles. The cause was traced to a casing failure in a wastewater disposal well. Drilling companies had incorrectly assumed that, once injected underground, the wastewater would remain contained. According to *EnergyWire*, the development of the Bakken oil formation is “leaving behind an imprint on the land as distinct as the ones left by the receding ice sheets of the ice age.”²⁴⁸
- September 10, 2013 – Pennsylvania Attorney General Kathleen Kane filed criminal charges against Exxon Mobil Corporation’s subsidiary, XTO Energy Corporation, for a spill of 50,000 gallons of toxic drilling wastewater in 2010 that contaminated a spring and a tributary of the Susquehanna River. In July, XTO settled civil charges for the incident without admitting liability by agreeing to pay a \$100,000 fine and improve its wastewater management.²⁴⁹

²⁴⁴ MacPherson, J. (2013, October 28). Nearly 300 pipeline spills in North Dakota have gone unreported to the public since January 2012. *Huffington Post*. Retrieved from http://www.huffingtonpost.com/2013/10/28/pipeline-spills-north-dakota_n_4170133.html?ncid=edlinkusaolp00000003

²⁴⁵ Kappel, W. M., Williams, J. H., & Szabo, Z. (2013). Water resources and shale gas/oil production in the Appalachian Basin - Critical issues and evolving developments. *U.S. Geological Survey*. Retrieved from <http://pubs.usgs.gov/of/2013/1137/pdf/ofr2013-1137.pdf>

²⁴⁶ Mall, A. (2013, November 26). New USGS analysis: Threats to water, wildlife, and health from oil and gas development in the Appalachian basin [Web log post]. Retrieved from http://switchboard.nrdc.org/blogs/amall/new_usgs_analysis.html

²⁴⁷ National Intelligence Council. (2012, February 2). *Global Water Security: Intelligence Community Assessment*, (ICA 2012-08). Retrieved from http://www.dni.gov/files/documents/Special%20Report_ICA%20Global%20Water%20Security.pdf

²⁴⁸ Vaidyanathan, G. (2013, November 22). Bakken shale: As oil production sets in, pollution starts to migrate -- scientists. *E&E Publishing, LLC*. Retrieved from <http://www.eenews.net/stories/1059990892>

²⁴⁹ Maykuth, A. (2013, September 13). Shale criminal charges stun drilling industry. *Philly.com*. Retrieved from http://articles.philly.com/2013-09-13/news/42012429_1_xto-energy-inc-criminal-charges-attorney-general

- September 10, 2013 – Out of concern for risks posed to drinking water in the nation’s capital, George Hawkins, General Manager of DC Water, Washington, DC’s local water provider, called for a prohibition on horizontal drilling and hydraulic fracturing in the George Washington National Forest until the process can be proven safe.²⁵⁰ The Potomac River is the source of the District’s water supply and has its headwaters in the George Washington National Forest, which sits atop the Marcellus Shale. The general managers of Fairfax Water, provider of drinking water for Fairfax County, Virginia, and the U.S. Army Corps of Engineers have called for a similar prohibition.²⁵¹
- September 3, 2013 – The North Dakota Department of Mineral Resources voiced concern about an increasing number of fracking well blowouts (23 incidents in the past year) that result in spills and public safety threats.²⁵²
- August 28, 2013 – A joint USGS and U.S. Fish and Wildlife Service study documented a causal link between a fracking wastewater spill and the widespread death of fish in the Acorn Fork, a creek in Kentucky.²⁵³
- July 25, 2013 – A University of Texas at Arlington study of drinking water found elevated levels of arsenic and other heavy metals in some samples from private drinking water wells located within five kilometers of active natural gas wells in the Barnett Shale.²⁵⁴
- July 3, 2013 – *ProPublica* reported that the EPA was wrong to have halted its investigation of water contamination in Wyoming, Texas and Pennsylvania—where high levels of benzene, methane, arsenic, oil, methane, copper, vanadium, and other chemicals associated with fracking operations have been documented.²⁵⁵ Although numerous organizations and health professionals around the country have since called on the agency to resume its investigation, no action has been taken.
- June 6, 2013 – Reviewing hundreds of regulatory and legal filings, *Bloomberg News* reported that drillers have offered out-of-court cash settlements and property buyouts to homeowners who claim that fracking ruined their water. These agreements typically

²⁵⁰ Letter from George Hawkins, General Manager, DC Water, to U.S. Secretary of Agriculture, Thomas Vilsack, (Sept. 10, 2013), <http://www.washingtoncitypaper.com/blogs/housingcomplex/2013/09/20/dc-water-chief-urges-agriculture-secretary-not-to-allow-fracking-near-d-c/>

²⁵¹ Wiener, A. (2013, September 20). DC Water Chief urges Agriculture Secretary not to allow fracking near D.C. *Washington City Paper*. Retrieved from <http://www.washingtoncitypaper.com/blogs/housingcomplex/2013/09/20/dc-water-chief-urges-agriculture-secretary-not-to-allow-fracking-near-d-c/>

²⁵² Sun Staff. (2013, September 3). More blowouts a concern for N.D. *The Jamestown Sun*. Retrieved from <http://www.jamestownsun.com/content/more-blowouts-concern-nd>

²⁵³ Papoulias, D., & MacKenzie, T. (2013, August 28). Hydraulic fracturing fluids likely harmed threatened Kentucky fish species. *USGS Newsroom*. Retrieved from <http://www.usgs.gov/newsroom/article.asp?ID=3677>

²⁵⁴ Fontenot, B. E., Hunt, L. R., Hildenbrand, Z. L., Carlton Jr., D. D., Oka, H., Walton, J. L., . . . Schug, K. A. (2013). An evaluation of water quality in private drinking water wells near natural gas extraction sites in the Barnett Shale formation. *Environmental Science & Technology*, 47(17), 10032-10040. doi: 10.1021/es4011724

²⁵⁵ Lustgarten, A. (2013, July 3). EPA’s abandoned Wyoming fracking study one retreat of many. *ProPublica*. Retrieved from <http://www.propublica.org/article/epas-abandoned-wyoming-fracking-study-one-retreat-of-many>

come with gag orders and sealed records. This strategy, the investigation noted, allows the industry to continue claiming that no cases of water contamination due to fracking have ever been confirmed, impedes public health research, and shields data from regulators, policy makers, and the new media.²⁵⁶ The EPA also long ago noted how non-disclosure agreements between oil and gas operators and landowners challenge scientific progress and keep examples of drilling harm secret from the public. In a 1987 report, the EPA wrote, “In some cases, even the records of well-publicized damage incidents are almost entirely unavailable for review. In addition to concealing the nature and size of any settlement entered into between the parties, impoundment curtails access to scientific and administrative documentation of the incident.”²⁵⁷

- June 3, 2013 – A study by Duke University researchers linked fracking with elevated levels of methane, ethane, and propane in nearby groundwater.²⁵⁸ Published in *Proceedings of the National Academy of Sciences*, the study included results from 141 northeastern Pennsylvania water wells. Methane levels were, on average, six times higher in drinking water wells closer to drilling sites when compared with those farther away, while ethane was 23 times higher.²⁵⁹
- May 19, 2013 – In Pennsylvania, the *Scranton Times-Tribune* released details of an investigation that revealed at least 161 cases of water contamination from fracking between 2008 and the fall of 2012, according to state Department of Environmental Protection records.²⁶⁰
- April 2013 – Researchers analyzing publicly available Colorado data found 77 surface spills impacting groundwater in Weld County alone. Samples of these spills often exceeded drinking water maximum contaminant levels (MCLs) for benzene, toluene, ethylbenzene and xylene; for benzene, a known carcinogen, 90% of the samples exceeded the legal limit.²⁶¹
- March 4, 2013 – Researchers at the University of Pittsburgh Graduate School of Public Health analyzed samples of gas drilling wastewater discharged to surface water through

²⁵⁶ Efstathiou, J., Jr., & Drajem, M. (2013, June 5). Drillers silence fracking claims with sealed settlements. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2013-06-06/drillers-silence-fracking-claims-with-sealed-settlements.html>

²⁵⁷ Environmental Protection Agency. (1987). *Report to Congress: Management of wastes from the exploration, development, and production of crude oil, natural gas, and geothermal energy* (Rep.). 137-138. Washington, D.C.: U.S. Environmental Protection Agency.

²⁵⁸ Jackson, R. B., Vengosh, A., Darrah, T. H., Warner, N. R., Down, A., Poreda, R. J., . . . Karr, J. D. (2013). Increased stray gas abundance in a subset of drinking water wells near Marcellus shale gas extraction. *Proceedings of the National Academy of Sciences*, 110(28), 11250-11255. doi: 10.1073/pnas.1221635110

²⁵⁹ CBS/AP. (2013, June 25). Methane found in Pa. drinking water near fracked wells. *CBS News*. Retrieved from <http://www.cbsnews.com/news/methane-found-in-pa-drinking-water-near-fracked-wells/>

²⁶⁰ Legere, L. (2013, May 19). Sunday Times review of DEP drilling records reveals water damage, murky testing methods. *The Times-Tribune*. Retrieved from <http://thetimes-tribune.com/news/sunday-times-review-of-dep-drilling-records-reveals-water-damage-murky-testing-methods-1.1491547>

²⁶¹ Gross, S. A., Avens, H. J., Banducci, A. M., Sahmel, J., Panko, J. M., & Tvermoes, B. E. (2013). Analysis of BTEX groundwater concentrations from surface spills associated with hydraulic fracturing operations. *Journal of the Air & Waste Management Association*, 63(4), 424-432. doi: 10.1080/10962247.2012.759166

wastewater treatment plants. Barium, strontium, bromides, chlorides, and benzene all exceeded levels known to cause human health impacts.²⁶²

- December 9, 2012 – State data in Colorado showed more than 350 instances of groundwater contamination resulting from more than 2,000 spills from oil and gas operations over the past five years. Further, as the *Denver Post* reported, “Contamination of groundwater—along with air emissions, truck traffic and changed landscapes—has spurred public concerns about drilling along Colorado’s Front Range.”²⁶³
- May 2012 – A report by researchers at Natural Resources Defense Council and Carnegie Mellon University found that the options available for dealing with fracking wastewater are inadequate to protect public health and the environment, resulting in increasing quantities of toxic wastewater as an ongoing problem without a good solution.²⁶⁴
- January 11, 2012 – The USGS reported that the Marcellus Shale is already highly fractured and that numerous fissures naturally occurring within the formation could potentially provide pathways for contaminants to migrate vertically into water supplies.²⁶⁵
- October 25, 2011 – After receiving new information from two companies, members of Congress updated their findings to show that “between 2005 and 2009, oil and gas service companies injected 32.7 million gallons of diesel fuel or hydraulic fracturing fluids containing diesel fuel in wells in 20 states.”²⁶⁶
- October 17, 2011 – Thomas P. Jacobus, General Manager of the U.S. Army Corps of Engineers’ Washington Aqueduct, called for a prohibition on horizontal hydraulic fracturing in the George Washington National Forest because of concern that fracking poses risks to drinking water. The Washington Aqueduct—which provides drinking water to Washington, DC, Arlington County, Virginia, and Falls Church, Virginia—is supplied by the Potomac River, which has its headwaters in the George Washington National Forest that sits atop the Marcellus Shale. Jacobus said, “Enough study on the

²⁶² Ferrar, K. J., Michanowicz, D. R., Christen, C. L., Mulcahy, N., Malone, S. L., & Sharma, R. K. (2013). Assessment of effluent contaminants from three facilities discharging Marcellus shale wastewater to surface waters in Pennsylvania. *Environmental Science & Technology*, 47(7), 3472-3481. doi: 10.1021/es301411q

²⁶³ Finley, B. (2012, December 9). Drilling spills reaching Colorado groundwater; state mulls test rules. *The Denver Post*. Retrieved from http://www.denverpost.com/environment/ci_22154751/drilling-spills-reaching-colorado-groundwater-state-mulls-test#ixzz2EihHU2fg

²⁶⁴ Hammer, R. & VanBriesen, J. (2012, May). *In fracking’s wake: New rules are needed to protect our health and environment from contaminated wastewater* (Rep.). Natural Resources Defense Council. Retrieved from <http://www.nrdc.org/energy/files/fracking-wastewater-fullreport.pdf>

²⁶⁵ U.S. Geological Survey, New York Water Science Center. (2012, January 11). *Comments on the revised draft supplemental generic environmental impact statement*. (Rep.). Retrieved from http://www.ewg.org/sites/default/files/report/ReviseddraftSGEIS_USGScomments_Version3_0.pdf

²⁶⁶ Waxman, H. A., Markey, E. J., & DeGette, D. (2011, October 25). *Committee on Energy & Commerce* (U.S.A., Congress, Committee on Energy & Commerce). Retrieved from <http://democrats.energycommerce.house.gov/index.php?q=news/rep-waxman-markey-and-degette-report-updated-hydraulic-fracturing-statistics-to-epa>

technique [hydraulic fracturing] has been published to give us great cause for concern about the potential for degradation of the quality of our raw water supply....”²⁶⁷

- October 11, 2011 – Charles M. Murray, General Manager of Fairfax Water, called for a prohibition on horizontal hydraulic fracturing in the George Washington National Forest. “Natural gas development activities have the potential to impact the quantity and quality of Fairfax Water’s source water,” Murray wrote. “Downstream water users and consumers will bear the economic burden if drinking water sources are contaminated or the quality of our source water supply is degraded.”²⁶⁸ Fairfax Water provides drinking water for Fairfax County in Virginia.
- September 7, 2011 – In its draft Supplemental Generic Environmental Impact Statement (SGEIS), the New York State Department of Environmental Conservation (NYS DEC) acknowledged that “there is questionable available capacity”²⁶⁹ for New York’s public sewage treatment plants to accept drilling wastewater, yet the agency said that it would allow those facilities to accept such waste if the plants meet permitting conditions.²⁷⁰ The NYS DEC proposed underground injection as one alternative to sewage treatment procession of fracking waste. Although it is a common method of disposal for fracking wastewater,²⁷¹ the last significant government study of pollution risks from oil and gas wastewater injection wells occurred in 1989 and found multiple cases of costly groundwater contamination.²⁷² In subsequent years, studies have continued to link underground injection of drilling wastewater to pollution as well as earthquakes.²⁷³
- September 2011 – A team led by Theo Colburn of The Endocrine Disruptor Exchange found that 25 percent of chemicals known to be used in fracking fluids are implicated in cancer, 37 percent could disrupt the endocrine system, and 40 to 50 percent could cause

²⁶⁷ Jacobus, T. P. (2012, April 25). Draft environmental impact statement for the George Washington National Forest [Letter written October 17, 2011 to K. Landgraf]. Retrieved, from http://www.fs.usda.gov/Internet/FSE_DOCUMENTS/stelprdb5366331.pdf

²⁶⁸ Murray, C. M. (n.d.). Draft environmental impact statement for the George Washington National Forest [Letter written October 11, 2013 to K. Landgraf]. Retrieved from <http://www.svnva.org/wp-content/uploads/fairfax-wash-aqueduct-gwnf-comments.pdf>

²⁶⁹ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (6-62, Rep.).

²⁷⁰ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (6-57 through 6-63, Rep.).

²⁷¹ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (6-64, Rep.).

²⁷² United States Government Accountability Office. (1989, July 5). Drinking water: Safeguards are not preventing contamination from injected oil and gas wastes. Retrieved from <http://www.gao.gov/products/RCED-89-97>

²⁷³ Fountain, H. (2012, January 1). Disposal halted at well after new quake in Ohio. *The New York Times*. Retrieved from <http://www.nytimes.com/2012/01/02/science/earth/youngstown-injection-well-stays-shut-after-earthquake.html>

nervous, immune and cardiovascular system problems. The research team also found that more than 75 percent could affect the skin, eyes, and respiratory system, resulting in various problems such as skin and eye irritation or flu-like symptoms.²⁷⁴

- August 4, 2011 – As reported by the *New York Times*, the EPA had alerted Congress in 1987 about a case of water contamination caused by fracking. Its report documented that a shale gas well hydraulically fractured at a depth of more than 4,200 feet contaminated a water supply only 400 feet from the surface.^{275, 276, 277}
- May 17, 2011 – The state of Pennsylvania fined Chesapeake Energy Corporation \$900,000 for an incident in which improper cementing and casing in one of the company's gas wells allowed methane to migrate underground and contaminate 16 private drinking water wells in Bradford County.²⁷⁸
- May 17, 2011 – A Duke University study documented “systematic evidence for methane contamination of drinking water associated with shale gas extraction.”²⁷⁹ The study showed that methane levels were 17 times higher in water wells near drilling sites than in water wells in areas without active drilling.²⁸⁰
- April 22, 2011 – Describing one of many blowouts, the Associated Press reported on a shale gas well in Canton, Pennsylvania that spewed thousands of gallons of chemical-laced water on farmland and into a stream for two consecutive days before being brought under control.²⁸¹
- April 18, 2011 – As part of a year-long investigation into hydraulic fracturing and its potential impact on water quality, U.S. Representatives Henry Waxman (D-Calif.), Edward Markey (D-Mass.) and Diana DeGette (D-Colo.) released the second of two reports issued in 2011. Their analysis of hydraulic fracturing fluids used by the 14 leading oil and natural gas service companies between 2005 and 2009 found, among

²⁷⁴ Colborn, T., Kwiatkowski, C., Schultz, K., & Bachran, M. (2011). Natural gas operations from a public health perspective. *Human and Ecological Risk Assessment: An International Journal*, 17(5), 1039-1056. doi: 10.1080/10807039.2011.605662

²⁷⁵ Urbina, I. (2011, August 4). A tainted water well, and concern there may be more. Retrieved from <http://www.nytimes.com/2011/08/04/us/04natgas.html>

²⁷⁶ U.S. Environmental Protection Agency. (1987). *Report to Congress: Management of wastes from the exploration, development, and production of crude oil, natural gas, and geothermal energy* (Rep.). 4-22, 4-23. Retrieved from <http://nepis.epa.gov/Exe/ZyPDF.cgi?Dockey=20012D4P.PDF>

²⁷⁷ Horwitt, D. (2011, August 3). Cracks in the facade. *Environmental Working Group*. Retrieved from <http://www.ewg.org/research/cracks-façade>

²⁷⁸ Levy, M. (2011, May 18). DEP fines Chesapeake \$1 million. *Pressconnects.com*. Retrieved from <http://www.pressconnects.com/viewart/20110517/NEWS01/105170345/DEP-fines-Chesapeake-1-million>

²⁷⁹ Osborn, S. G., Vengosh, A., Warner, N. R. & Jackson, R. B. (2011). Methane contamination of drinking water accompanying gas-well drilling and hydraulic fracturing. *Proceedings of the National Academy of Sciences*, 108, 8172-8176. doi: 10.1073/pnas.1100682108

²⁸⁰ Duke University. (2011). Methane levels 17 times higher in water wells near hydrofracking sites, study finds. *ScienceDaily*. Retrieved from <http://www.sciencedaily.com/releases/2011/05/110509151234.htm>

²⁸¹ The Associated Press. (2011, April 22). Crews stop flow of drilling fluid from Pennsylvania well. *Syracuse.com*. Retrieved from http://www.syracuse.com/news/index.ssf/2011/04/crews_stop_flow_of_drilling_fl.html

other things, that the companies used more than 650 different products that contained chemicals that are known or possible human carcinogens, regulated under the Safe Drinking Water Act, or listed as hazardous air pollutants under the Clean Air Act. The report also showed that “between 2005 and 2009, the companies used 94 million gallons of 279 products that contained at least one chemical or component that the manufacturers deemed proprietary or a trade secret ... in most cases the companies stated that they did not have access to proprietary information about products they purchased ‘off the shelf’ from chemical suppliers. In these cases, the companies are injecting fluids containing chemicals that they themselves cannot identify.”²⁸² These findings were reported in the *New York Times*.²⁸³

- January 2011 – A team of scientists led by a University of Central Arkansas researcher called attention to the threat posed to surface waters by rapidly expanding shale gas development, noting a lack of data collection accompanying the rush to drill. “Gas wells are often close to surface waters that could be impacted by elevated sediment runoff from pipelines and roads, alteration of stream flow as a result of water extraction, and contamination from introduced chemicals or the resulting wastewater.”²⁸⁴
- January 31, 2011 – As part of a year-long investigation into hydraulic fracturing and its potential impact on water quality, U.S. Representatives Henry Waxman (D-Calif.), Edward Markey (D-Mass.) and Diana DeGette (D-Colo.) reported that “between 2005 and 2009, oil and gas service companies injected 32.2 million gallons of diesel fuel or hydraulic fracturing fluids containing diesel fuel in wells in 19 states.” Furthermore, revealing apparent widespread violation of the Safe Drinking Water Act, the investigation found that no oil and gas service companies had sought—and no state or federal regulators had issued—permits for the use of diesel fuel in hydraulic fracturing.²⁸⁵
- April 29, 2010 – In 2010, the Colorado Oil and Gas Conservation Commission fined Occidental Petroleum Corporation (OXY) USA a record \$390,000 for an incident of pollution, discovered in 2008, when its drilling wastes leaked through an unlined pit, contaminated two springs with benzene, and polluted other nearby water sources. In addition, the regulators separately fined OXY USA \$257,400 for a nearby case of

²⁸² Waxman, H. A., Markey, E. J., & DeGette, D. (2011, April 18). Committee on Energy & Commerce (U.S.A., Congress, Committee on Energy & Commerce). Retrieved from <http://democrats.energycommerce.house.gov/sites/default/files/documents/Hydraulic-Fracturing-Chemicals-2011-4-18.pdf>

²⁸³ Urbina, I. (2011, April 17). Chemicals Were Injected Into Wells, Report Says. *The New York Times*. Retrieved from <http://www.nytimes.com/2011/04/17/science/earth/17gas.html>

²⁸⁴ Entekin, S., Evans-White, M., Johnson, B., & Hagenbuch, E. (2011). Rapid expansion of natural gas development poses a threat to surface waters. *Frontiers in Ecology and the Environment*, 9(9), 503-511. doi: 10.1890/110053

²⁸⁵ Waxman, H. A., Markey, E. J., & DeGette, D. (2011, January 31). Committee on Energy & Commerce (U.S.A., Congress, Committee on Energy & Commerce). Retrieved from <http://democrats.energycommerce.house.gov/index.php?q=news/waxman-markey-and-degette-investigation-finds-continued-use-of-diesel-in-hydraulic-fracturing-f>

pollution, also discovered in 2008, in which a torn liner in a pit caused drilling waste fluids to leak out and contaminate two springs with benzene.²⁸⁶

- June 5, 2009 – A leaking pipe carrying fracking waste in Washington County, Pennsylvania, polluted a tributary of Cross Creek Lake, killing fish, salamanders, crayfish, and aquatic insect life in approximately three-quarters of a mile of the stream.²⁸⁷
- April 26, 2009 – Officials in three states linked water contamination and methane leaks to gas drilling. Incidents included a case in Ohio where a house exploded after gas seeped into its water well and multiple cases of exploding drinking water wells in Dimock, Pennsylvania.²⁸⁸
- November 13, 2008 – *ProPublica* reported more than 1,000 cases of drilling-related contamination documented by courts and state and local governments in Colorado, New Mexico, Alabama, Ohio, and Pennsylvania.²⁸⁹
- December 15, 2007 – In Bainbridge, Ohio, a gas well that was improperly cemented and subsequently fractured by Ohio Valley Energy Systems Corporation allowed natural gas to migrate outside of the well, causing a home to explode. In addition, 23 nearby water wells were contaminated, two of which were located more than 2,300 feet from the drilling site.^{290, 291, 292}

Inherent engineering problems that worsen with time

Studies consistently show that oil and gas wells routinely leak, allowing for the migration of natural gas and potentially other substances into groundwater and/or the atmosphere. Recent research suggests that the act of fracking itself may induce pathways for leaks. Leakage from faulty wells is an issue that the industry has identified and for which it has no solution.

²⁸⁶ Webb, D. (2010, April 29). Record fine, second one against Oxy approved. *Grand Junction Sentinel*. Retrieved from <http://www.gjsentinel.com/news/articles/record-fine-second-one-against-oxy-approved>

²⁸⁷ Pittsburgh Post-Gazette. (2009, June 5). Waste from Marcellus shale drilling in Cross Creek Park kills fish. *Pittsburgh Post-Gazette*. Retrieved, from <http://www.post-gazette.com/washington/2009/06/05/Waste-from-Marcellus-shale-drilling-in-Cross-Creek-Park-kills-fish/stories/200906050136>

²⁸⁸ Lustgarten, A. (2009, April 26). Officials in three states pin water woes on gas drilling. *ProPublica*. Retrieved from <http://www.propublica.org/article/officials-in-three-states-pin-water-woes-on-gas-drilling-426>

²⁸⁹ Lustgarten, A. (2008, November 13). Buried secrets: Is natural gas drilling endangering U.S. water supplies? *ProPublica*. Retrieved from <http://www.propublica.org/article/buried-secrets-is-natural-gas-drilling-endangering-us-water-supplies-1113>

²⁹⁰ Ohio Department of Natural Resources Division of Mineral Resources Management. (2008, September 1). *Report on the investigation of the natural gas invasion of aquifers in Bainbridge Township of Geauga County, Ohio*. (Rep.). Retrieved from <http://www.ohiodnr.com/mineral/bainbridge/tabid/20484/default.aspx>

²⁹¹ Bair, E. S., Freeman, D. C., & Senko, J. M. (2010, June). *Expert panel technical report, subsurface gas invasion Bainbridge Township, Geauga County, Ohio* (Rep.). Retrieved from <http://oilandgas.ohiodnr.gov/portals/oilgas/pdf/bainbridge/DMRM%200%20Title%20Page,%20Preface,%20Acknowledgements.pdf>

²⁹² Ohio Department of Natural Resources, Order Number 2009-17 (Apr. 14, 2009) (see attachments A, B).

According to Schlumberger, one of the world's largest companies specializing in fracking, about five percent of wells leak immediately, 50 percent leak after 15 years, and 60 percent leak after 30 years. Data from Pennsylvania's Department of Environmental Protection (DEP) for 2000-2012 show over nine percent of shale gas wells drilled in the state's northeastern counties leaking within the first five years. Leaks pose serious risks including potential loss of life or property from explosions and the migration of gas or other chemicals into drinking water supplies.

Leaks also allow methane to escape into the atmosphere, where it acts as a more powerful greenhouse gas than carbon dioxide. Indeed, over a 20-year time frame, methane is 86 times more potent a heat accumulator than carbon dioxide. There is no evidence to suggest that the problem of cement and well casing impairment is abating. Indeed, a 2014 analysis of more than 75,000 compliance reports for more than 41,000 wells in Pennsylvania found that newer wells have higher leakage rates and that unconventional shale gas wells leak more than conventional wells drilled within the same time period. Industry has no solution for rectifying the chronic problem of well casing/cement leakage.

- July 9, 2015 – As part of a larger examination of the potential health and environmental impacts of fracking in California, the California Council on Science and Technology (CCST) documented cases of well failures triggered by underground movements that caused well casings to shear. Sheared well casings can allow gas and fluids from the fracking zone to migrate to overlying aquifers. The CCST team identified several mechanisms by which casing shears can occur in California as oil wells age: surface subsidence, heaving, reservoir compaction, and earthquakes. Prolonged drought can also damage the integrity of well casings: as groundwater levels fall, landforms can sink and contribute to casing shear.²⁹³
- June 30, 2015 – According to the New York State Department of Environmental Conservation (NYS DEC) Findings Statement, “there is a risk that well integrity can fail, especially over time, and questions have arisen about whether high-volume hydraulic fracturing can cause seismic changes which could potentially result in fracturing fluid migration through abandoned wells or existing fissures and faults. Thus, high-volume hydraulic fracturing could result in significant adverse impacts to water resources from well construction and fracturing fluid migration.”²⁹⁴
- June 4, 2015 – As part of a draft assessment of fracking's impact on drinking water, the U.S. EPA examined cases of water contamination across the United States and concluded that “construction issues, sustained casing pressure, and the presence of natural faults and

²⁹³ Stringfellow, W. T., Cooley H., Varadharajan, C., Heberger, M., Reagan, M. T., Domen, J.K., Sandelin, W. ... Houseworth, J. E. (2015, July 9). Volume II, Chapter 2: Impacts of well stimulation on water resources. In: *An Independent Scientific Assessment of Well Stimulation in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from <http://ccst.us/publications/2015/vol-II-chapter-2.pdf>

²⁹⁴ New York State Department of Environmental Conservation. (2015, June 30). Final supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program: regulatory program for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus Shale and other low-permeability gas reservoirs, findings statement. Retrieved from http://www.dec.ny.gov/docs/materials_minerals_pdf/findingstatevhf62015.pdf

fractures can work together to create pathways for fluids to migrate toward drinking water resources.” Fracking older wells poses additional risks, the draft study notes, because aging itself “can contribute to casing degradation, which can be accelerated by exposure to corrosive chemicals, such as hydrogen sulfide, carbonic acid, and brines” and because many older wells were never designed to withstand the high pressures and stress of fracking operations. The EPA estimates that 6 percent of the 23,000 U.S. oil and gas wells (= 1,380 wells) first fracked in 2009 or 2010 were drilled more than ten years earlier.²⁹⁵

- December 2, 2014 – Problems with structural integrity have been documented in a well at the only hydraulically fractured site in the United Kingdom. Email messages obtained under freedom of information laws reveal that problems with wellbore integrity emerged in April of 2014 and attempts were made to remediate the problem, although nothing was reported at that time to regulators. The drilling company, Cuadrilla Resources, continues to deny that any problems exist with the well, emphasizing that “no leak of fluids” occurred and that “the issue” was resolved during the abandonment process. Cuadrilla had previously been reprimanded for failing to disclose a more minor deformation in the well casing. The well was abandoned at the end of last year, following two earthquakes in 2011, which scientists determined to have been caused by fracking at the site.²⁹⁶
- August 11, 2014 – Researchers affiliated with multiple universities and with the Los Alamos National Laboratory summarized recent field observations of wellbore-integrity failure, concluding that, because at least some well failures are not identified, reported barrier failure rates of 1-10% of wells and reported rates of groundwater contamination of 0.01-0.1% of wells constitute a “lower bound” for possible environmental problems. Citing hydraulic fracturing, as well as temperature and pressure changes, as operations that can induce pathways for leaks, the authors point out that few studies have considered the very-long-term fate (“>50 years”) of wellbore systems. They include “whether unconventional resource development alters the frequency of well integrity failures” as a critical topic for future research.²⁹⁷
- July 30, 2014 – Based on records obtained from Pennsylvania’s Department of Environmental Protection (PA-DEP), Scranton’s *Times-Tribune* reported that five natural gas wells in Bradford County have leaked methane for years because of persistent casing and cement problems. In the most recent violation, a PA-DEP inspector found combustible gas flowing through vents connected to the cement between layers of pipe. The agency issued a notice of violation for each well, saying combustible gas outside the well’s surface casing violates state regulations. Each of the wells has four layers of steel

²⁹⁵ U.S. Environmental Protection Agency (2015, June 30). *Assessment of the Potential Impacts of Hydraulic Fracturing for Oil and Gas on Drinking Water Resources*, executive summary (draft). Retrieved from http://www2.epa.gov/sites/production/files/2015-06/documents/hf_es_erd_jun2015.pdf

²⁹⁶ Bryant, B. (2014, December 2). The only fracked site in the United Kingdom suffered structural failure. *Vice News*. Retrieved from <https://news.vice.com/article/the-only-fracking-site-in-the-united-kingdom-suffered-structural-failure>

²⁹⁷ Jackson R. B., Vengosh, A., Carey, J. W., Davies, R. J., Darrah, T. H., O’Sullivan, F., & Pétron, G. (2014). The environmental costs and benefits of fracking. *Annual Review of Environment and Resources*, 39, 327–62. doi: 10.1146/annurev-environ-031113-144051

casing, but nothing prevents leaking (stray) methane from flowing into the atmosphere. No evidence of water contamination has yet been seen. None of the wells have produced any gas for sale.²⁹⁸

- June 30, 2014 – A study published in *Proceedings of the National Academy of Sciences* by a Cornell University research team projected that over 40 percent of shale gas wells in Northeastern Pennsylvania will leak methane into groundwater or the atmosphere over time. Analyzing more than 75,000 state inspections of more than 41,000 oil and gas wells in Pennsylvania since 2000, the researchers identified high occurrences of casing and cement impairments inside and outside the wells. A comparative analysis showed that newer, unconventional (horizontally fracked) shale gas wells were leaking at six times the rate of conventional (vertical) wells drilled over the same time period. The leak rate for unconventional wells drilled after 2009 was at least six percent, and rising with time. In the state's northeastern counties between 2000-2012, over nine percent of shale gas wells drilled leaked within the first five years.²⁹⁹ The study also discovered that over 8,000 oil and gas wells drilled since 2000 had not received a facility-level inspection. This study helps explain the results of earlier studies that documented elevated levels of methane in drinking water aquifers located near drilling and fracking operations in Pennsylvania and points to compromised structural integrity of well casings and cement as a possible mechanism.
- May 22, 2014 – In a 69-page report, University of Waterloo researchers warned that natural gas seeping from 500,000 wellbores in Canada represents “a threat to environment and public safety” due to groundwater contamination, greenhouse gas emissions, and explosion risks wherever methane collects in unvented buildings and spaces. The report found that 10 percent of all active and suspended gas wells in British Columbia now leak methane. Additionally, the report found that some hydraulically fractured shale gas wells in that province have become “super methane emitters” that spew as much as 2,000 kilograms of methane a year.^{300, 301}
- May 1, 2014 – Following a comprehensive review of evidence, the Council of Canadian Academies identified inherent problems with well integrity as one of its top concerns about unconventional drilling and fracking. According to one expert panel, “the greatest threat to groundwater is gas leakage from wells from which even existing best practices

²⁹⁸ Gibbons, B. (2014, July 30). Five gas wells leaked methane for years. *Times-Tribune*. Retrieved from <http://thetimes-tribune.com/news/five-gas-wells-leaked-methane-for-years-1.1727537>

²⁹⁹ Ingraffea, A., Wells, M., Santoro, R., & Shonkoff, S. (2014). Assessment and risk analysis of casing and cement impairment in oil and gas wells in Pennsylvania, 2000–2012. *Proceedings of the National Academy of Sciences*. Retrieved from <http://www.pnas.org/content/early/2014/06/25/1323422111.abstract>

³⁰⁰ Dusseault, M. B., Jackson, R. E., & MacDonal, D. (2014, May 22). *Towards a road map for mitigating the rates and occurrences of long-term wellbore leakage*. Geofirma. Retrieved from http://geofirma.com/wp-content/uploads/2015/05/lwp-final-report_compressed.pdf

³⁰¹ Nikiforuk, A. (2014, June 5). Canada's 500,000 leaky energy wells: 'Threat to public' *The Tyee*. Retrieved from <http://www.thetyee.ca/News/2014/06/05/Canada-Leaky-Energy-Wells/>

cannot assure long-term prevention.”³⁰² Regarding their concerns related to well integrity and cement issues, the panel wrote:

Two issues of particular concern to panel members are water resources, especially groundwater, and GHG emissions. Both related to well integrity.... Natural gas leakage from improperly formed, damaged, or deteriorated cement seals is a long-recognized yet unresolved problem Leaky wells due to improperly placed cement seals, damage from repeated fracturing treatments, or cement deterioration over time, have the potential to create pathways for contamination of groundwater resources and to increase GHG emissions.

They further explain:

Cement may crack, shrink, or become deformed over time, thereby reducing the tightness of the seal around the well and allowing the fluids and gases ... to escape into the annulus between casing and rock and thus to the surface.... The challenge of ensuring a tight cement seal [will] be greater for shale gas wells that are subjected to repeated pulses of high pressure during the hydraulic fracturing process than for conventional gas wells. This pressure stresses the casing and therefore the cement that isolates the well from surrounding formations repeatedly.

- January 8, 2013 – According to state inspections of all 6,000 wells drilled in Pennsylvania’s Marcellus Shale before 2013, six to ten percent of them leaked natural gas, with the rate of leakage increasing over time. The rate was six percent in 2010 (97 well failures out of 1,609 wells drilled); 7.1 percent in 2011 (140 well failures out of 1,972 wells drilled); and 8.9 percent in 2012 (120 well failures out of 1,346 wells drilled).³⁰³ These data include wells that were cited for leakage violations, and wells that were noted to be leaking by inspectors but which had not been given violations. The NYS DEC forecasts that 50,000 wells could be drilled over the life of the Marcellus Shale play. If they fail at the same rate as wells in Pennsylvania, 4,000 wells would fail and leak in New York almost immediately.³⁰⁴
- March 2009 – A study published by the Society of Petroleum Engineers of more than 315,000 oil, gas, and injection wells in Alberta, Canada, found that 4.5 percent of the wells had unintended gas flow to the surface. In one designated area, officials required testing for gas migration outside the well casings in addition to routine testing for gas

³⁰² Council of Canadian Academies. (2014, May 1). *Environmental Impacts of Shale Gas Extraction in Canada: the Expert Panel on Harnessing Science and Technology to Understand the Environmental Impacts of Shale Gas Extraction*. Retrieved from <http://bit.ly/1nNicuf>

³⁰³ Ingraffea, A. R. (2013). Some scientific failings within high volume hydraulic fracturing proposed regulations. Retrieved from http://www.psehealthyenergy.org/data/NYS_DEC_Proposed_REGS_comments_Ingraffea_Jan_2013.pdf

³⁰⁴ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (2-1, Rep.).

leaks within the rings of steel casings (annuli). Within this special testing zone, 15.5 percent of wells (3,205 of 20,725) leaked gas, and the incidence of gas leaks was four times percent higher in horizontal or deviated wells than in vertical wells.³⁰⁵

- Autumn 2003 – Schlumberger, one of the world’s largest companies specializing in hydraulic fracturing and other oilfield services, reported in its in-house publication, *Oilfield Review*, that more than 40 percent of approximately 15,500 wells in the outer continental shelf area in the Gulf of Mexico were leaking gas. These included actively producing wells, in addition to shut-in and temporarily abandoned wells. In many cases, the gas leaked through the spaces (annuli) between layers of steel casing that drilling companies had injected with cement precisely to prevent such gas leaks. Leakage rates increased dramatically with age: about five percent of the wells leaked immediately; 50 percent were leaking after 15 years; and 60 percent were leaking after about 30 years.³⁰⁶ Gas leaks pose serious risks including loss of life from explosions and migration of gas and associated contaminants into drinking water supplies. Leaks also allow the venting of raw methane into the atmosphere where it acts as a powerful greenhouse gas.
- November 2000 – Maurice Dusseault, a specialist in rock mechanics at the University of Waterloo in Ontario, and two co-authors presented a paper published by the Society of Petroleum Engineers, in which they reported that oil and natural gas wells routinely leak gas through cracks in their cement casings, likely caused by cement shrinkage over time and exacerbated by upward pressure from natural gas. According to their paper, in Alberta, it is common for wells to leak natural gas into aquifers. “Because of the nature of the mechanism, the problem is unlikely to attenuate,” they wrote, “and the concentration of the gases in the shallow aquifers will increase with time.”³⁰⁷

Radioactive releases

High levels of radiation documented in fracking wastewater from many shale formations raise special concerns in terms of impacts to groundwater and surface water. Measurements of radium in fracking wastewater in New York and Pennsylvania, from the particularly radioactive Marcellus Shale, have been as high as 3,600 times the United States Environmental Protection Agency’s (EPA) limit for drinking water. One study found toxic levels of radiation in a Pennsylvania waterway even after fracking wastewater was disposed of through an industrial wastewater treatment plant. The disposal of radioactive drill cuttings is an additional concern. A recent study found high levels of radon in buildings located in

³⁰⁵ Watson, T. L., & Bachu, S. (2009). Evaluation of the potential for gas and CO₂ leakage along wellbores, Society of Petroleum Engineers. *SPE Drilling & Completion*, 24, 115-126. doi: 10.21.18/106817-PA

³⁰⁶ Brufatto, C. (2003). From mud to cement - Building gas wells. *Oilfield Review*, 15(3). Retrieved from http://www.slb.com/resources/publications/industry_articles/oilfield_review/2003/or2003aut06_building_gas_wells.aspx

³⁰⁷ Dusseault, M. B., Gray, M. N., & Nawrocki, P. A. (2000). Why oil wells leak: Cement behavior and long-term consequences. *Society of Petroleum Engineers*. Retrieved from <http://www.hydrorelief.org/frackdata/references/65704543-Casing-Leaks.pdf>

heavily drilled areas of Pennsylvania, with levels of radon rising since the start of the fracking boom. Unsafe levels of radon and its decay products in natural gas produced from the Marcellus Shale may also contaminate pipelines and compressor stations, as well as pose risks to end-users when allowed to travel into homes. There is no federal oversight and, in some cases, a total lack of state regulations for handling radioactive oil and gas waste. Increasing evidence documents illegal, haphazard dumping of radioactive fracking waste, along with its disposal in municipal landfills not engineered to contain radioactivity. North Dakota alone generates 70 tons per day of radioactive drilling and fracking waste.

- April 27, 2016 – Duke University researchers who studied oil and gas wastewater (“brine”) spills reported that “the water contamination from brine spills is remarkably persistent in the environment, resulting in elevated levels of salts and trace elements that can be preserved in spill sites for at least months to years” In addition, radioactivity was elevated in soil and sediment sampled at spill sites, indicating that radium had accumulated in the soils of spill-affected areas.³⁰⁸ The bigger the spill, the higher the soil radioactivity level. Study author Avner Vengosh told *InsideClimate News*, “We found even if you take away the spill water... you still left behind the legacy of radioactivity in the soils,” where it can linger for thousands of years.³⁰⁹
- March 10, 2016 – Louisville’s *Courier-Journal* reported on illegal dumping of radioactive oil and gas drilling wastes in two Kentucky landfills. Landfill operators in Greenup and Estill counties were issued violation notices for failing to “accurately characterize the waste for what it was, allowing what’s considered an illegal release of a hazardous material into the environment.” The illegal dumping at the Greenup County landfill alone consisted of 369 tons of radioactive drilling waste.³¹⁰
- February 26, 2016 – Radioactive oil and gas waste from fracking operations in Ohio, Pennsylvania, and West Virginia was illegally sent to Estill County, Kentucky’s Blue Ridge Landfill. The radioactive level of the material that was buried “was at least 340 times more than the amount that is allowed to be buried at a solid waste landfill,” according to WKYT in Lexington. WKYT reported that Estill County leaders would “fight ‘tooth and toenail’ to get the bottom of how low-level radioactive waste ended up in a county landfill,” and do its own testing at the landfill and nearby schools.³¹¹

³⁰⁸ Lauer, N. E., Harkness, J. S., & Vengosh, A. (2016). Brine spills associated with unconventional oil development in North Dakota. *Environmental Science & Technology*, 50(10), 5389–5397. doi: 10.1021/acs.est.5b06349

³⁰⁹ Hirji, Z. (2016, April 29). Persistent water and soil contamination found at N.D. wastewater spills. *Inside Climate News*. Retrieved from <http://insideclimatenews.org/news/29042016/north-dakota-wastewater-spill-water-soil-contamination-radium-selenium-bakken-oil>

³¹⁰ Bruggers, J. (2016, March 10). State begins crackdown on radioactive waste. *Courier-Journal*. Retrieved from <http://www.courier-journal.com/story/tech/science/environment/2016/03/08/state-orders-end-hauling-radioactive-waste/81496490/>

³¹¹ WKYT. (2016, February, 26). Estill County leaders to fight 'tooth and toenail' over radioactive waste in landfill. WKYT. Retrieved from <http://www.wkyt.com/content/news/Estill-Co-leaders-to-fight-tooth-and-toenail-over-radioactive-waste-in-landfill-370308981.html>

- November 23, 2015 – Absence of federal oversight and, in some cases, a total lack of state regulations for handling radioactive oil and gas waste was the topic of a report in *High Country News*, which detailed the regulatory situation in six Western states: Colorado, Idaho, Montana, North Dakota, South Dakota, and Wyoming. North Dakota alone generates an estimated 70 tons a day of radioactive oil and gas waste. “Because the waste is often too radioactive to be disposed of in landfills, it sometimes gets dumped illegally.” Proposed new rules in North Dakota would raise the radioactivity limit for the waste.³¹²
- April 9, 2015 – A Johns Hopkins Bloomberg School of Public Health study found that levels of radon in Pennsylvania homes—a region with some of the highest indoor radon concentrations in the US—have been rising since 2004, around the time the fracking industry arrived in the state.³¹³ Radon exposure is the second leading cause of lung cancer worldwide, after cigarette smoking.³¹⁴ Researchers found that buildings in counties where the most fracking has taken place in the past decade have had significantly higher radon readings compared with those in low-fracking areas, a difference that did not exist before 2004. Use of well water was associated with 21 percent higher indoor radon concentrations than in buildings using public water sources. This study, the first to define and evaluate the predictors of indoor radon concentrations in Pennsylvania, concluded that radon’s presence was related to geology, water sources, weather, and natural gas drilling.³¹⁵
- April 2, 2015 – A team of toxicologists, geochemists, and radiation scientists led by the University of Iowa analyzed the contribution of various naturally occurring radioactive materials (NORM) to the total radioactivity of fracking waste fluids, finding evidence of long-lived, environmentally persistent radioactive decay products.³¹⁶ “NORM is emerging as a contaminant of concern in hydraulic fracturing/unconventional drilling wastes, yet the extent of the hazard is currently unknown.” The study determined that previous testing and study methods likely underestimate radioactivity by focusing only on radium. The researchers developed a new method to accurately predict the concentrations of uranium, thorium, and radium and their alpha-emitting progeny, polonium and lead, in fracking wastewater. They found that, under certain conditions, radioactivity increased over time, due to ingrowth of alpha-emitting radioactive progeny of long-lived parent radionuclides such as radium. The authors warned that these decay

³¹² Peterson, J. (2015, November 23). States lack rules for radioactive drilling waste disposal. *High Country News*. Retrieved from <http://www.hcn.org/articles/states-lack-rules-for-handling-radioactive-drilling-waste>

³¹³ Casey, J. A., Ogburn, E. L., Rasmussen, S. G., Irving, J. K., Pollak, J., Locke, P. A., & Schwartz, B. S. (2015). Predictors of indoor radon concentrations in Pennsylvania, 1989-2013. *Environmental Health Perspectives*. Advance online publication. doi: 10.1289/ehp.

³¹⁴ National Cancer Institute (2011, December 6). Radon and cancer fact sheet. Retrieved from <http://www.cancer.gov/about-cancer/causes-prevention/risk/substances/radon/radon-fact-sheet>

³¹⁵ Hurdle, J. & Phillips, S. (2015, April 9). New study raises possible link between gas drilling and radon levels. *StateImpact Pennsylvania*. Retrieved from <http://stateimpact.npr.org/pennsylvania/2015/04/09/new-study-raises-possible-link-between-gas-drilling-and-radon-levels/>

³¹⁶ Nelson, A. W., Eittrheim, E. S., Knight, A. W., May, D., Mehrhoff, M. A., Shannon, R., . . . Schultz, M.K. (2015). Understanding the radioactive in growth and decay of naturally occurring radioactive materials in the environment: An analysis of produced fluids from the Marcellus Shale. *Environmental Health Perspectives*, 123(7). doi: 10.1289/ehp.1408855

products may potentially contaminate recreational, agricultural, and residential areas, and that a more detailed understanding is needed of how radionuclides accumulate in higher organisms. In an accompanying article in *Environmental Health Perspectives*, James Burch, a University of South Carolina epidemiologist who was not involved in the study, said that fracking activities and wastewater disposal, which often take place in close proximity to where people live and work, raise risks for human exposure. “The technology is vastly outpacing what we know about the health effects.”³¹⁷

- May 8, 2014 – A group of leading medical experts and the American Lung Association of the Northeast detailed research and growing concerns about potential health impacts of radon and radium associated with natural gas production and the Marcellus Shale, in particular. High levels of radiation in the Marcellus Shale could pose health threats if high concentrations of radon and its decay products travel with natural gas, a problem compounded by the short distance Marcellus gas could travel in pipelines to people’s homes.³¹⁸
- March 24, 2014 – A team led by toxicology researchers at the University of Iowa identified high levels of radioactivity in fracking wastewater as a significant concern and noted that the testing methods used and recommended by state regulators in the Marcellus Shale region can dramatically underestimate the amount of radioactivity—specifically radium—in fracking wastewater.³¹⁹ Results obtained using EPA-recommended protocols can be obscured by the presence of other contaminant mixtures. Regarding the use of EPA protocols with fracking wastewater or other highly saline solutions, Duke University geochemist Avner Vengosh noted, “People have to know that this EPA method is not updated.”³²⁰
- February 2014 – The Marcellus Shale is known to have high uranium and radium content. According to Mark Engle, USGS geochemist, the concentration of radium-226 can exceed 10,000 picoCuries/Liter (pCi/L) in the shale. Radium-226 has a half-life of 1,600 years. Radium and other naturally occurring radioactive materials (NORM) can be released from shale rock during drilling and fracking and can emerge with flowback and produced waters. It can thus enter the ambient environment and become concentrated in the sludge that results from treatment of flowback water, and in river sediment around water treatment facilities. It can also be found in landfills in which sludge and sediment have been disposed. Some radium can be found in drinking water. Geochemist Avner

³¹⁷ Konkel, L. (2015). What’s NORMal for fracking? Estimating total radioactivity for produced fluids. *Environmental Health Perspectives*, 123(7). Retrieved from <http://ehp.niehs.nih.gov/123-a186/>

³¹⁸ Campbell, J. (2014, May 8). Fracking critics keep pushing for state-backed health study. *Politics on the Hudson*. Retrieved from <http://polhudson.lohudblogs.com/2014/05/08/fracking-critics-keep-pushing-state-backed-health-study/>

³¹⁹ Nelson, A. W., May, D., Knight, A. W., Eitheim, E. S., Mehrhoff, M., Shannon, R., . . . Schultz, M. K. (2014). Matrix complications in the determination of radium levels in hydraulic fracturing flowback water from Marcellus shale. *Environmental Science & Technology*, 1(3), 204-208. doi: 10.1021/ez5000379

³²⁰ Kelly, S. (2014, March 24). Research shows some test methods miss 99 percent of radium in fracking waste. *Desmogblog.com*. Retrieved from <http://www.desmogblog.com/2014/03/23/some-testing-methods-can-miss-99-percent-radium-fracking-waste-new-research-reports>

Vengosh warned, “Once you have a release of fracking fluid into the environment, you end up with a radioactive legacy.”³²¹

- October 2, 2013 – A peer-reviewed study of the impacts of drilling wastewater treated and discharged into a creek by a wastewater facility in western Pennsylvania documented radium levels approximately 200 times greater in sediment samples near the discharge location than in sediment samples collected upstream of the plant or elsewhere in western Pennsylvania. “The absolute levels that we found are much higher than what you allow in the U.S. for any place to dump radioactive material,” one of the authors told *Bloomberg News*. The pollution occurred despite the fact that the treatment plant removed a substantial amount of the radium from the drilling wastewater before discharging it. The researchers wrote that the accumulation of radium in sludge removed from the wastewater “could pose significant exposure risks if not properly managed.”^{322, 323}
- February 2013 – In an analysis of fracking sludge samples from Pennsylvania, researchers “... confirmed the presence of alpha, beta, and gamma radiation in the soil and water in reserve pits located on agricultural land.” Total beta radiation exceeded regulatory guideline values by more than 800 percent, and elevated levels of some of the radioactive constituents remained in a vacated pit that had been drained and leveled. It is imperative, the research team concluded, “that we obtain better knowledge of the quantity of radioactive material and the specific radioisotopes being brought to the earth’s surface from these mining processes.”³²⁴
- July 26, 2012 – Responding to concern about radon in natural gas produced from the Marcellus Shale, the USGS analyzed ten samples of gas collected near the wellheads of three Pennsylvania gas wells. The agency found radon levels ranging from 1 to 79 picocuries per liter, with an average of 36 and a median of 32. (The highest radon activity reported here would decay to 19.8 pCi/L in approximately a week; by comparison, the EPA’s threshold for indoor air remediation is 4 pCi/L.) Asserting they knew of no previous published measurements of radon in natural gas from the Appalachian Basin, which contains the Marcellus Shale, agency scientists concluded that the number of samples “is too small to ... yield statistically valid results” and urged “collection and interpretation of additional data.”³²⁵

³²¹ Brown V. J. (Feb 2014). Radionuclides in fracking wastewater. *Environmental Health Perspectives* 122(2), A50-A55. doi: 10.1289/ehp.122-A50

³²² Warner, N. R., Christie, C. A., Jackson, R. B., & Vengosh, A. (2013). Impacts of shale gas wastewater disposal on water quality in Western Pennsylvania. *Environmental Science & Technology*, 47(20), 11849-11857. doi: 10.1021/es402165b

³²³ Efstathiou, J., Jr. (2013, October 2). Radiation in Pennsylvania creek seen as legacy of fracking. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2013-10-02/radiation-in-pennsylvania-creek-seen-as-legacy-of-frackin.html>

³²⁴ Rich, A. L., & Crosby, E. C. (2013). Analysis of reserve pit sludge from unconventional natural gas hydraulic fracturing and drilling operations for the presence of technologically enhanced naturally occurring radioactive material (TENORM). *NEW SOLUTIONS: A Journal of Environmental and Occupational Health Policy*, 23(1), 117-135. doi: 10.2190/NS.23.1.h

³²⁵ Rowan, E. L., & Kraemer, T. F. (2012). *Radon - 222 content of natural gas samples from upper and middle Devonian sandstone and shale reservoirs in Pennsylvania: Preliminary data*. United States Geological Survey. (Rep.). Retrieved from <http://pubs.usgs.gov/of/2012/1159/ofr2012-1159.pdf>

- January 11, 2012 – In its review of the New York State Department of Environmental Conservation’s (NYS DEC) Supplemental Generic Environmental Impact Statement (SGEIS) on high volume fracturing, the EPA expressed concerns about the diffusion of responsibility for the ultimate disposal of radioactive wastes generated by treatment or pretreatment of drilling wastewater. The EPA also raised concerns about the lack of analysis of radon and other radiation exposure. “Who is responsible for addressing the potential health and safety issues and associated monitoring related to external radiation and the inhalation of radon and its decay products?” the EPA asked. “Such potential concerns need to be addressed.”³²⁶
- September 7, 2011 – The USGS reported that radium levels in wastewater from oil and gas wells in New York and Pennsylvania, including those in the Marcellus Shale, “have a distinctly higher median ... than reported for other formations in the Appalachian Basin, and range to higher values than reported in other basins.” The median level of radium found in Marcellus Shale wastewater in New York, 5,490 pCi/L, is almost 1,100 times the maximum contaminant level for drinking water, which is five pCi/L. In other words, if a million gallons of Marcellus Shale wastewater contaminated with the median level of radium found in New York were to spill into a waterway, 1.1 billion gallons of water would be required to dilute the radium to the maximum legal level.³²⁷ (The EPA’s health-based goal for radium in drinking water is zero.) Over time, radium naturally decays into radioactive radon gas. Thus, higher radium levels also suggest that higher levels of radon may also be present in natural gas produced from the Marcellus Shale.
- February 27, 2011 – The *New York Times* reported on the threat to New York’s drinking water from Pennsylvania drilling waste due to the presence of chemical contaminants, including high levels of radioactivity. The investigation found that sewage treatment plants were neither testing for nor capable of removing that radioactivity, which was subsequently discharged into waterways that supply drinking water, and that, in some cases, wastewater contained radium levels that were hundreds of times higher than the drinking water standard. Drillers sent some of this waste to New York State for disposal even though, as the article noted, EPA scientists had warned the state about this very problem in a December 2009 letter that advised against sewage treatment plants accepting drilling waste with radium levels 12 or more times as high as the drinking water standard.³²⁸

³²⁶ Environmental Protection Agency. (2012, January 11). *EPA comments on revised draft NYSDEC revised dSGEIS for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* [Press release]. Retrieved from

<http://www.epa.gov/region2/newsevents/pdf/EPA%20R2%20Comments%20Revised%20dSGEIS%20Enclosure.pdf>

³²⁷ Rowan, E. L., Engle, M. A., Kirby, C. S., & Kraemer, T. F. (2011, September 7). *Radium content of oil- and gas-field produced waters in the northern Appalachian basin (USA): Summary and discussion of data*. (Rep United States Geological Survey. Retrieved from <http://pubs.usgs.gov/sir/2011/5135/>

<http://water.epa.gov/drink/contaminants/basicinformation/radionuclides.cfm>

³²⁸ Urbina, I. (2011, February 26). Regulation lax as gas wells’ tainted water hits rivers. *The New York Times*. Retrieved from http://www.nytimes.com/2011/02/27/us/27gas.html?pagewanted=all&_r=0

- 2008-2009 – The New York State DEC found that wastewater from 11 of 13 vertical wells drilled in New York’s Marcellus Shale in 2008 and 2009 contained radium levels ranging from 400 times to nearly 3,400 times EPA’s safe level limit for radium in drinking water. These figures later informed the 2011 study of radium in drilling wastewater conducted by the USGS.³²⁹

Occupational health and safety hazards

Drilling and fracking jobs are among the most dangerous jobs in the nation with a fatality rate that is five times the national average and shows no sign of abating. Occupational hazards include head injuries, traffic accidents, blunt trauma, burns, inhalation of hydrocarbon vapors, toxic chemical exposures, heat exhaustion, dehydration, and sleep deprivation. An investigation of occupational exposures found high levels of benzene in the urine of wellpad workers, especially those in close proximity to flowback fluid coming up from wells following fracturing activities. Exposure to silica dust, which is definitively linked to silicosis and lung cancer, was singled out by the National Institute for Occupational Safety and Health as a particular threat to workers in fracking operations where silica sand is used. At the same time, research shows that many gas field workers, despite these serious occupational hazards, are uninsured or underinsured and lack access to basic medical care.

- April 27, 2016 – According to the 2016 edition of the AFL-CIO report, *Death on the Job: The Toll of Neglect*, the fatality rate for workers in the oil and gas extraction industries is nearly five times the national average, and the states with prominent oil and gas industries are among the most dangerous states to work. In addition, the report emphasized, the industry has been exempted from some critical Occupational Safety and Health Administration (OSHA) standards, including that for carcinogenic benzene. The report also emphasized the danger of silica dust exposure in hydraulic fracturing-related work and the significant delays in controlling workers’ exposures in these operations. “Oil and gas extraction is subject to OSHA general industry and construction regulations, none of which are designed to address the particular safety and hazards in the oil and gas industry.... The escalating fatalities and injuries in the oil and gas extraction industry demand intensive and comprehensive intervention,” the report stated.³³⁰
- April 21, 2016 – According to an updated report from the Bureau of Labor Statistics, fatal work injuries in oil and gas extraction industries in 2014 reached a new high of 144.³³¹ The final 2015 data are scheduled for release on December 16, 2016.

³²⁹ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (5-133, 5-141, 7-60, Appendix 12, Appendix 13, Rep.).

³³⁰ AFL-CIO. (2016). *Death on the job: The toll of neglect*. Retrieved from <http://www.aflcio.org/Issues/Job-Safety/Death-on-the-Job-Report>

³³¹ U.S. Bureau of Labor Statistics. (2016, April 21). *Revisions to the 2014 Census of Fatal Occupational Injuries (CFOI)*. Retrieved from http://www.bls.gov/iif/cfoi_revised14.htm

- February 29, 2016 – *Inside Energy*’s report on high rates of hydrocarbon vapor poisoning among oilfield workers noted that an outdated reliance on manual measurements rather than automated monitoring contributes to ongoing toxic exposures of workers. Under federal oil and gas regulations, oil companies are effectively required to send workers “up on oil and gas tanks to manually measure crude oil, putting them at risk.” The report explained that the Bureau of Land Management (BLM) allows just one kind of automated measurement. The method is expensive and uncommonly used: “there are only 1,500 in use, compared to more than 83,000 oil tanks on federal land. By being so inflexible, BLM’s outdated rules make it very hard to use safer oil measuring devices while making manual oil tank measurement—which endangers workers—the most viable option for companies.”³³²
- February 19, 2016 – The fatal injuries of a backhoe operator who struck and hit an unmarked, high-pressure gas line in July 2015 prompted an investigation by *StateImpact* in Pennsylvania. The news group noted that “there are no local, state or federal rules on how deep the lines should be buried underground, or even if they’re buried at all. There are no standards for building and maintaining the lines. They don’t have to be marked. And the operator of the line doesn’t have to participate in PA One Call [a statewide communications system for preventing damage to underground facilities], which led to the fatality in Armstrong County.”³³³
- January 15, 2016 – In a publication in Centers for Disease Control’s *Mortality & Morbidity Weekly Report*, researchers urged local and state epidemiologists and medical examiners to not overlook hydrocarbon exposure as an underlying cause of death in gas and oil field workers. “Health and safety professionals need to recognize and act on nonfatal warning signs and symptoms, such as dizziness, confusion, immobility and collapse in oil and gas workers who might have been exposed to high concentrations of [hydrocarbon gas vapors] and to [oxygen]-deficient atmospheres.” Only three of nine deaths that occurred between 2010 and 2015 in the oil and gas fields west of Appalachia were ruled by coroners to have resulted from exposure to gas vapors, although all nine had opened hatches of storage tanks and were exposed to hydrocarbon vapors and oxygen-deficient air.³³⁴ The *Pittsburgh Post-Gazette* quoted emeritus professor at the University of Pittsburgh Bernard Goldstein saying, “Occupational health experts also suspect that some deaths involving fires, falls, crashes and mishandling of equipment

³³² Guerin, E. (2016, February 29). “Senseless exposures”: How money and federal rules endanger oilfield workers. *Inside Energy*. Retrieved from <http://insideenergy.org/2016/02/29/senseless-exposures-how-money-and-federal-rules-endanger-oilfield-workers/>

³³³ Phillips, S. (2016, February 19). Worker dies in pipeline accident, PUC steps up calls for reform. *StateImpact*. Retrieved from <https://stateimpact.npr.org/pennsylvania/2016/02/19/worker-dies-in-pipeline-accident-puc-steps-up-calls-for-reform/>

³³⁴ Harrison, R. J., Retzer, K., Kosnett, M. J., Hodgson, M., Jordan, T., Ridl, S., & Kiefer, M. (2016). Sudden deaths among oil and gas extraction workers resulting from oxygen deficiency and inhalation of hydrocarbon gases and vapors — United States, January 2010–March 2015. *Morbidity and Mortality Weekly Report*, 65(1), 6-9. Retrieved from <http://www.cdc.gov/mmwr/volumes/65/wr/mm6501a2.htm>

have resulted from faulty judgement or ‘wooziness’ associated with hydrocarbon vapor exposure ... [b]ut that underlying factor rarely shows up in fatality reports.”³³⁵

- December 14, 2015 – As reported in the *Guardian*, the suicide rate in the Canadian province of Alberta spiked by 30 percent spike in the first half of 2015, possibly linked to the boom-and-bust cycle of the fracking industry. At the time of reporting, 40,000 jobs had been lost in Alberta since the drop in oil prices in late 2014. Mental health professionals interviewed for the report included Edmonton social worker Leonard McEwan, who specializes in clinical crises intervention and whose patients include those directly or indirectly employed in the oil fields, noticed a sharp increase in suicides after the recent plunge in oil prices. As revealed in the investigative report, three in every four Alberta suicides are male and the vast majority are under 55. Gladys Blackmore, executive director of a mental health program that targets those employed in the industry, believes that young, male workers “living high-risk lifestyles, often in work camps, where they ‘fly-in/fly-out’ for up to 24 days at a time” are particularly vulnerable.³³⁶
- November 7, 2015 – The *Denver Post* reported on a “new federal database that was developed to more precisely capture the deadly nature of oil and gas extraction.” For Colorado, the national Fatalities in Oil and Gas Extraction (FOG) database contained two additional oil and gas worker deaths for 2014 than did the Bureau of Labor Statistics. “‘We knew from the Bureau of Labor Statistics data about the basics of what’s killing workers,’ said Kyla Retzer, an epidemiologist who led the effort to compile the FOG report. ‘We just wanted to be more in-depth in finding out what were the types of operations and equipment were involved in these deaths.’”³³⁷
- November 4, 2015 – San Antonio’s *Express-News* Editorial Board called for specific actions to address Texas’s status “a national leader in oil field deaths.” The Board wrote that federal fines are too low and unchanged since 1991 and that there is no Level 1 trauma center south of San Antonio near the region’s oil- and gas-producing counties.³³⁸

³³⁵ Litvak, A. (2016, January 25). Vapors linked to oxygen depletion present hazard for oil, gas workers. *Pittsburgh Post-Gazette*. Retrieved from <http://powersource.post-gazette.com/powersource/policy-powersource/2016/01/25/Vapors-linked-to-oxygen-depletion-present-hazard-for-oil-gas-workers/stories/201601220095>

³³⁶ Mouallem, O. (2015, December 14). The boom, the bust, the darkness: suicide rate soars in wake of Canada's oil crisis. *The Guardian*. Retrieved from https://www.theguardian.com/world/2015/dec/14/canada-oil-production-crisis-suicide-alberta?CMP=share_btn_fb

³³⁷ Whaley, M. (2015, November 7). Colorado oil deaths greater in 2014 than previously calculated. *Denver Post*. Retrieved from <http://www.denverpost.com/2015/11/07/colorado-oil-deaths-greater-in-2014-than-previously-calculated/>

³³⁸ *Express-News* Editorial Board. (2015, November 4). Take care of the state’s oil, gas workers. *MySanAntonio.Com*. Retrieved from <http://www.mysanantonio.com/opinion/editorials/article/Take-care-of-the-state-s-oil-gas-workers-6611077.php>

- September 17, 2015 – The Bureau of Labor Statistic reported that the number of fatal work injuries in oil and gas extraction industries rose 27 percent between 2013 and 2014.³³⁹
- September 15, 2015 – E&E Publishing’s *EnergyWire* reported on the potentially deadly risk of exposure to vapors from oil and gas field storage tanks, including deaths that were officially attributed to cardiac arrest, though inhalation of toxic gases and lack of oxygen played a role, as demonstrated in subsequent litigation. The reporter gave detail on the circumstances of several of the deaths, including that of a long-haul trucker who had heart disease and was diabetic, and whose death was classified as natural. “But he didn’t suffer a heart attack that day, or a diabetic episode. Medical experts said he likely wouldn’t have died outside the toxic atmosphere on the catwalk.” A Denver cardiologist testified that “there was no other reason for him to have died that day.”³⁴⁰ (NIOSH has subsequently targeted outreach to medical examiners to improve their recognition of this hazard and potential cause of death; see above.)
- September 5, 2015 – In partnership with Rocky Mountain PBS I-News, *The Durango Herald* reported on the oil and gas industry’s varied practices in their handling of silica sand with regard to worker protection. In 2012 the National Institute for Occupational Safety and Health issued an alert concerning workers at fracking sites being exposed to silica dust at levels that exceeded occupational exposure limits. Industry has resisted updates to the standards. The *Herald* report addressed technological and work practice controls to reduce exposure on the part of some companies. Still, authors wrote, silicosis “can hide for a decade before causing symptoms. No one knows how many oil and gas workers may have already been exposed.”³⁴¹
- June 29, 2015 – An investigation by the Center for Public Integrity (CPI) found that lung-damaging silica is not sufficiently regulated to prevent silicosis (which is incurable and has no effective treatment) or lung cancer in the workplace. Rules governing occupational exposure to silica dust are far outdated, and advocacy efforts to tighten them are four decades old. At particular risk, say the authors, are workers in oil and gas fields where silica sand is used in fracking operations. Citing research by NIOSH, the CPI team noted that nearly 80 percent of the air samples on the well pads were above the recommended exposure limit for silica dust.³⁴²

³³⁹ U.S. Department of Labor, Bureau of Labor Statistics (2015, September 17). National census of fatal occupational injuries in 2014 (preliminary results). USDL-15-1789. Retrieved from <http://www.bls.gov/news.release/pdf/cfoi.pdf>

³⁴⁰ Soraghan, B. (2015, September 14). SAFETY: How shale oil can kill. *E&E Publishing, LLC*. Retrieved from <http://www.eenews.net/stories/1060024589>

³⁴¹ Boiko-Weyrauch, A. (2015, September 5). Oil, gas industry responding to threat of worker lung disease. *Durango Herald*. Retrieved from <http://www.durangoherald.com/article/20150905/NEWS02/150909741/Oil-gas-industry-responding-to-threat-of-worker-lung-disease->

³⁴² Morris, J., Hopkins, J. S., & Jameel, M. (2015, June 30). Unequal risk: Slow-motion tragedy for American workers. *The Center for Public Integrity*. Retrieved from <http://www.publicintegrity.org/2015/06/29/17518/slow-motion-tragedy-american-workers>

- June 15, 2015 – *EnergyWire* examined issues surrounding exposure to crystalline silica from frack sand mining, which is a health concern to those living near mines and to those working in the industry. Families living near industrial sand mining reported that their health has been compromised by sand mine development and are concerned that companies are not properly monitoring their extraction sites. The article noted that the Occupational Safety & Health Administration (OSHA) is working on a new exposure rule for workers that OSHA estimates would save nearly 700 lives and prevent 1,600 new cases of silicosis annually. The oil and gas industry is fighting the rule because of the cost associated with complying with a more stringent permissible exposure limit. Crispin Pierce, public health researcher at the University of Wisconsin in Eau Claire, is in the midst of a three-pronged research project to look at the industry's air effects. Among other findings, his project's air monitors around sand plants have found consistently finding higher readings than the Wisconsin Department of Natural Resources' reported regional values.³⁴³
- June 15, 2015 – In an update, NIOSH noted that silicosis death rates are rising again, reversing an earlier, decade-long decline. In the list of job tasks with known high silica exposures, the update named hydraulic fracturing of gas and oil wells. These results are particularly concerning in light of earlier research showing significant under-detection of silicosis among deceased workers with known exposure to silica dust.³⁴⁴
- June 13, 2015 – Reporting on North Dakota's fracking boom, the Center for Investigative Reporting found that the major oil companies have largely written the rules governing their own accountability for accidents. Deeply entrenched corporate practices and weak federal oversight, according to the report, have led to high injury and death rates and a shift of assigned responsibility to others. Using data from U.S. and Canadian regulators, the journalists verified 74 on-the-job deaths among workers in Bakken Shale drilling and fracking operations since 2006. The actual number of deaths is likely higher than currently reported because federal regulators do not have a systematic way to record oil- and gas-related deaths, and OSHA does not include certain fatalities, including those of independent contractors. The report concluded that there was too little oversight from OSHA, that laws to protect workers were outdated, and that there was a culture of self-regulation by the industry.³⁴⁵
- May 29, 2015 – The Centers for Disease Control and Prevention published statistics on work-related fatalities during the fracking boom. The occupational fatality rate among U.S. oil and gas industry extraction workers between 2003 and 2013 remained an average of seven times higher than among U.S. workers in general (25.1 versus 3.7 deaths per 100,000 workers per year). Within this 11-year period, the industry doubled the size of its

³⁴³ King, P. (2015, June 15). Frac sand towns question whether rules protect them against silica pollution. *EnergyWire*. Retrieved from <http://www.eenews.net/stories/1060020192>

³⁴⁴ Mazurek, J. M. & Weissman, D. (2015, June 15). Silicosis update. *NIOSH Science Blog*. Retrieved from <http://blogs.cdc.gov/niosh-science-blog/2015/06/15/silicosis-update/>

³⁴⁵ Gollan, J. (2015, June 13). In North Dakota's Bakken oil boom there will be blood. *Reveal; Center for Investigative Reporting*. Retrieved from <https://www.revealnews.org/article/in-north-dakotas-bakken-oil-boom-there-will-be-blood/>

workforce and increased drilling rigs by 71 percent. The number of occupational deaths increased 27.6 percent, with a total of 1,189 deaths, but it did not increase as much as the number of workers, resulting in an overall decrease in the fatality rate of 36.3 percent. Transportation accidents and contact with objects and equipment were the most frequent fatal events. Evidence suggests that the increased use of automated technologies on drilling rigs may be contributing to the decline in death rates.³⁴⁶

- April 22, 2015 – The AFL-CIO published data for job injuries, illnesses and deaths in a national and state-by-state profile of worker safety and health in the United States, presenting comparisons by state and industry. For the third year in a row, North Dakota had the highest on-the-job fatality rate in the nation: 14.9 deaths per 100,000 workers, a rate that is more than four times the national average, and which has more than doubled since 2007. The fatality rate in the mining and oil and gas extraction sector in North Dakota was 84.7 per 100,000, which is nearly seven times the national fatality rate of 12.4 per 100,000 in this industry.^{347, 348}
- April 10, 2015 – In a study that was inclusive of fracking-based extraction but not specific to it, NIOSH researchers updated their investigation into the sudden deaths of nine oil and gas extraction workers found near hatches where hydrocarbons were stored. All nine victims died between 2010 and 2014 and were unobserved or working alone at the time of their deaths. The first report attributed the fatalities to “inhalation of volatile petroleum hydrocarbons.”³⁴⁹ The update noted that when workers open hatches on production tanks, a plume of hydrocarbon gases and vapors can be rapidly released due to high internal pressure. Exposure to high concentrations of these low-molecular-weight hydrocarbons creates asphyxiation and explosive hazards and can have narcotic effects, resulting in disorientation, dizziness, and light-headedness. The authors cited reports of other sudden deaths following butane and propane inhalation, exposure to which can induce irregular heartbeat, insufficient oxygen supply, and respiratory depression.³⁵⁰ As reported by the *Denver Post*, most of the death certificates listed natural causes or heart failure as the cause likely because medical examiners can easily miss signs of toxic inhalation during a routine autopsy. The nomadic nature of the industry presents obstacles to proper training in tank handling techniques.³⁵¹ NIOSH issued

³⁴⁶ Mason, K. L., Retzer, K. D., Hill, R., & Lincoln, J. M. (2015, May 29). Occupational fatalities during the oil and gas boom—United States, 2003-2013. *Morbidity and Mortality Weekly Report*, 64, 551-554. Retrieved from <http://www.cdc.gov/mmwr/preview/mmwrhtml/mm6420a4.htm>

³⁴⁷ AFL-CIO Safety and Health Department, (2015, April 22). Death on the job: The toll of neglect. Retrieved from <http://www.aflcio.org/Issues/Job-Safety/Death-on-the-Job-Report>

³⁴⁸ Kasperkevic, J. (2015, April 29). About 150 US workers are killed on the job every day – report. *Guardian*. Retrieved from <http://www.theguardian.com/us-news/2015/apr/29/north-dakota-deadliest-state-workers-third-year-running>

³⁴⁹ NIOSH. (2015, March 15). Suspected inhalation fatalities involving workers during manual tank gauging, sampling, and fluid transfer operations on oil and gas well sites, 2010-2014. *CDC Workplace Safety & Health Topics*. Retrieved from http://www.cdc.gov/niosh/topics/fog/data.html#_ftn1

³⁵⁰ King, B., Esswein, E., Retzer, K., Snawder, J., Ridl, S., Breitenstein, M., Alexander-Scott, M., & Hill, R. (2015, April 10). *NIOSH Science Blog*, Centers for Disease Control. Retrieved from <http://blogs.cdc.gov/niosh-science-blog/2015/04/10/flowback-3/>

³⁵¹ Whaley, M. (2015, May 18). Toxic vapors suspected in deaths of three Colorado oil and gas workers. *Denver Post*. Retrieved from http://www.denverpost.com/news/ci_28136543/colorado-oil-and-gas-workers-fell-victim-little

recommendations for worker protections, including respiratory protection training and engineering controls for remote gauging and venting.³⁵²

- February 15, 2015 – Burn injuries among North Dakota workers surged to more than 3,100 over the past five years as the area has become the epicenter of a massive drilling and fracking boom, as reported by the *Star Tribune*. Despite the flammability of Bakken crude oil and the danger of oil rig work, North Dakota has no burn centers, and burn victims must be transported out of state, typically to the Minneapolis-St. Paul area some 600 miles away. The article also covered the severe, debilitating, costly, and sometimes fatal aspects of these occupational injuries.³⁵³
- February 13, 2015 – NIOSH reported that while silicosis death rates declined between 2001 and 2010, silicosis deaths were still occurring among young persons aged 15 to 44 years old, indicating extremely high exposures to respirable silica dust. Among emerging new settings that put workers at risk for silicosis, the authors named oil and gas extraction industry workers.³⁵⁴
- January 14, 2015 – The *Charleston Gazette-Mail* reported that, due to an increase in workplace deaths that has accompanied the boom in natural gas drilling and production from the Marcellus Shale fields in Northern West Virginia, the Governor there has called for a study aimed at reversing that trend. “Between 2009 and 2013, as the industry boomed in the Marcellus region, 15 natural gas workers died on the job in West Virginia, according to the federal data. During the previous five-year period, from 2004 to 2008, three workers died in West Virginia’s oil and gas industry, according to the [U.S. Bureau of Labor Statistics].”³⁵⁵
- January 12, 2015 – Oil and gas production employs less than one percent of the U.S. workforce, but in the past five years it has had more than ten percent of all workplace fatalities from fires and explosions. A review by *EnergyWire* of federal labor statistics last year found the industry had more deaths from fires and explosions than any other private industry. The only “industry” with more fire and explosion fatalities than oil and gas was firefighting, the report stated. These statistics are inclusive of deaths related to fracking operations but are not specific to them.³⁵⁶

³⁵² Associated Press. (2015, May 18). 9 oil well deaths lead to warning about inhaling chemicals. *Times-Call*. Retrieved from http://www.timescall.com/news/nationworldnews/ci_28138297/9-oil-well-deaths-lead-warning-about-inhaling

³⁵³ Rao, M. (2015, February 15). Twin Cities hospitals are front line in treating Bakken burn victims. *StarTribune.com*. Retrieved from

<http://www.startribune.com/lifestyle/health/291967611.html?page=all&prepage=1&c=y#continue>

³⁵⁴ Bang, K. M., Mazurek, J. M., Wood, J. M., White, G. E., Hendricks, S. A., & Weston, A. (2015), Silicosis mortality trends and new exposures to respirable crystalline silica – United States, 2001-2010. *Morbidity and Mortality Weekly Report*, 64(05), 117-120. Retrieved from <http://www.cdc.gov/mmwr/preview/mmwrhtml/mm6405a1.htm>

³⁵⁵ Ward, K. (2015, January 14). Tomblin calls for study of increased deaths from gas-drilling boom. *Charleston Gazette*. Retrieved from <http://www.wvgazette.com/article/20150114/GZ01/150119573/1419>

³⁵⁶ Soraghan, M. (2015, January 12). At least 16 drilling industry workers died in fires, explosions last year. *EnergyWire*. Retrieved from <http://www.eenews.net/stories/1060011452>

- December 26, 2014 – A report in the *Houston Chronicle* illustrated the difficulties oil and gas workers encounter when injured on the job. In one case a worker fell from a rig, injuring his head. Supervisors did not record the accident. After he became too ill to work, he was shifted to other jobs and soon after, sent home. His daughter filed a Worker’s Compensation claim, which was denied for “late reporting, no knowledge of injury by employer and no medical reports.” The article noted that oilfield injuries are generally undercounted nationally. These include injuries related to drilling and fracking operations as well as those linked to other techniques of extraction.³⁵⁷
- December 4, 2014 – Benzene, a naturally occurring component of crude oil and natural gas, is a known carcinogen, with no known threshold of safety. Although the American Petroleum Institute in 1948 stated that “the only absolutely safe concentration ... is zero,” the organization since then undertook an intensive campaign to combat strict exposure limits. An investigation by the Center for Public Integrity found that, “[f]or decades, the petrochemical industry spent millions on science seeking to minimize the dangers of benzene. ... Taken together, the documents—put in context by interviews with dozens of lawyers, scientists, academics, regulators and industry representatives—depict a ‘research strategy’ built on dubious motives, close corporate oversight and painstaking public relations.”³⁵⁸
- December, 2014 – In a report intended to inform employers and workers about the known hazards that result from hydraulic fracturing and flowback operations, OSHA noted that there is no publicly available worker injury, illness, or fatality data specific for fracking or flowback operations. At the same time, more workers are exposed to fracking- and flowback-related hazards due to the huge increase in the numbers of these operations over the past ten years. “In light of this, OSHA has determined that additional information concerning hydraulic fracturing and flowback operations hazards should be provided to educate and protect workers.”³⁵⁹
- November 11, 2014 – University of Wisconsin toxicologist Crispin Pierce documented super-fine dust drifting from facilities that process silica sand for fracking operations. Pierce and his team detected silica dust in ambient air near frac sand operations at levels that exceed EPA air quality standards by a factor of four. Occupational exposure to respirable crystalline silica is linked in adult workers to silicosis, lung cancer, and pulmonary tuberculosis. Health threats to the general public from frac sand-related air pollution have not yet been studied directly. One of the first investigations of silica dust

³⁵⁷ Olsen, L. (2014, December 16). Many oilfield injuries go unreported. *Houston Chronicle*. Retrieved from <http://www.houstonchronicle.com/news/houston-texas/houston/article/Many-oilfield-injuries-go-unreported-5980350.php>

³⁵⁸ Lombardi, K. (2014, December 4). Benzene and worker cancers: ‘An American tragedy.’ The Center for Public Integrity. Retrieved from <http://www.publicintegrity.org/2014/12/04/16320/benzene-and-worker-cancers-american-tragedy>

³⁵⁹ U.S. Department of Labor, Occupational Safety and Health Administration. (2014). Hydraulic fracturing and flowback hazards other than respirable silica. OSHA 3763-12 2014.

levels in the community environment, the Wisconsin study will appear next year in the *National Journal of Environmental Health*.³⁶⁰

- November 11, 2014 – A high-pressure water line ruptured, killing one worker and seriously injuring two others during the hydraulic fracturing of an oil well in Weld County, Colorado.³⁶¹
- October 6, 2014 – Toxicologist Peter Thorne, chair of University of Iowa’s Department of Occupational and Environmental Health, warned the Winneshiek County Board of Supervisors about potential community impacts and cancer risks of silica exposure from sand used for fracking operations. Thorne’s ongoing investigation, which involves air sampling, risk assessments, and inhalation toxicology studies, focuses on the public health hazards of mining, processing, and storing sand. His team has documented spikes in silica particulate matter related to the transport of the silica sand by rail. The study aims to determine if mining poses an “unacceptable exposure” to the public and quantify the level of risk. For silica-exposed workers, NIOSH continues to identify needed health protections. Thorne noted, “Workers handling materials should be using respirators, but most are not.”³⁶²
- September 25, 2014 – The Civil Society Institute's Boston Action Research, in cooperation with Environmental Working Group and Midwest Environmental Advocates, issued a report on the hazards of silica mining. The report noted that frac sand mining is expanding rapidly in the United States and poses a little-understood threat to public health, the environment, and local economies. Given the pace of the drilling and fracking boom, silica extraction could spread to a dozen other states with untapped or largely untapped sand deposits, including Illinois, Maine, Massachusetts, Michigan, Missouri, New York, North Carolina, South Carolina, Pennsylvania, Tennessee, Vermont, and Virginia. The *International Business Times* published a summary of the findings.^{363, 364}
- August 29, 2014 – In a peer-reviewed study, NIOSH partnered with oil and gas operators and service companies to evaluate worker exposures to, and internal uptake of, volatile organic chemicals at six sites in Colorado and Wyoming where wells were being

³⁶⁰ Kremer, R. (2014, November 11). High levels of super-fine dust are detected around Wisconsin frac sand mines. *Wisconsin Public Radio*. Retrieved from [http://www.wpr.org/high-levels-super-fine-dust-are-detected-around-wisconsin-frac-sand-](http://www.wpr.org/high-levels-super-fine-dust-are-detected-around-wisconsin-frac-sand-mines?utm_content=buffer8947f&utm_medium=social&utm_source=facebook.com&utm_campaign=buffer)

³⁶¹ Paul, J. (2014, November 11). Brighton man ID'd as victim in fatal Weld County fracking blast. *The Denver Post*. Retrieved from http://www.denverpost.com/news/ci_26937782/brighton-man-idd-victim-fatal-weld-county-fracking?source=pkg

³⁶² Strandberg, S. (2014, October 6). U of I researcher informs supervisors about frac-sand impact. *Decorah Newspapers*. Retrieved from <http://www.decorahnewspapers.com/Content/Home/Home/Article/U-of-I-researcher-informs-supervisors-about-frac-sand-impact/-2/-2/35735>

³⁶³ Chapman, E., Hopkins, L., Jasset, A., Sheldon, S., & Smith, G. (2014, September 25). Communities at risk: Frac sand mining in the Upper Midwest—A report by Boston Action Research (a project of Civil Society Institute). Retrieved from <http://216.30.191.148/fracsandmining/> and www.bit.ly/fracsandmining

³⁶⁴ Gallucci, M. (2014, September 25). US oil & gas fracking boom could drive silica sand mining operations in 12 more states, environmental groups say. *International Business Times*. Retrieved from <http://www.ibtimes.com/us-oil-gas-fracking-boom-could-drive-silica-sand-mining-operations-12-more-states-1695246>

prepared for production. The study found benzene in the urine of well pad workers. Benzene is “naturally present in flowback fluids and the time spent working around flowback and production tanks ... appears to be the primary risk factor for inhalation exposures.” In some cases, airborne concentrations of benzene exceeded the NIOSH Recommended Exposure Limit concentrations and, in a few instances, the American Conference of Governmental Industrial Hygienists’ Threshold Limit Value, “when workers performed work tasks near a point source for benzene emissions.”³⁶⁵

- July 29, 2014 – As part of an investigation into the health impacts of drilling and fracking on animal health, veterinarian Michelle Bamberger and Cornell biochemist Robert Oswald, published an interview with a twenty-year oil and gas industry worker about his experiences and worker safety. His account included injuries, 16-hour workdays, fatigue, exposure to chemicals, and inadequate health and safety training. “No one out there tells you about stuff that has latency. That is the last thing they are going to do is tell you that something that you are handling will take you out in 20 years or 10 years or cause you some kind of ailment, or you can potentially drag this home to your family.”³⁶⁶
- July 14, 2014 – As part of an analysis of safety and research needs associated with drilling and fracking, researchers at the Colorado School of Public Health and the College of Health Sciences at the University of Wyoming documented high injury and on-the-job mortality rates among gas and oilfield workers. The occupational fatality rate was 2.5 times higher than that of the construction industry and seven times higher than that of general industry. By contrast, injury rates were lower than the construction industry, suggesting that injuries are underreported. Researchers documented crystalline silica levels above occupational health standards and identified the existence of other hazards, including particulate matter, benzene, noise, and radiation. The team called for exposure assessments for both chemical hazards and physical hazards that lead to occupational illness (noise, radioactivity); screening and surveillance systems to assess incidence and prevalence of occupational illness; industry/academic collaboration to conduct occupational epidemiologic studies; and assessment of the effectiveness of industry interventions to reduce exposures.³⁶⁷
- July 2014 – The British labor journal *Hazards* identified health concerns in the drilling and fracking industry: increased rate of death on the job, toxic releases, silica exposure, and exposure to hydrocarbons and endocrine disruptors. The union that organizes the construction, rig, and transport workers, on which fracking would rely, agreed at its July 2014 national conference to lobby for a moratorium on fracking because “[d]elegates want union members to be made aware of the dangers of fracking and be advised not to

³⁶⁵ Esswein, E., Snawder, J., King, B., Breitenstein, M., Alexander-Scott, M., & Kiefer, M. (2014). Evaluation of some potential chemical risks during flowback operations in unconventional oil and gas extraction: Preliminary results. *Journal of Occupational and Environmental Hygiene*, 11, D174-0184.

³⁶⁶ Bamberger, M., & Oswald, R. (2014). The shale gas revolution from the viewpoint of a former industry insider. *NEW SOLUTIONS: A Journal of Environmental and Occupational Health Policy*. Early Online View. doi: 10.2190/NS.EOV.1

³⁶⁷ Witter, R. Z., Tenney, L., Clark, S., & Newman, L. S. (2014). Occupational exposures in the oil and gas extraction industry: State of the science and research recommendations. *American Journal of Industrial Medicine*, 57(7), 847-856. Retrieved from <http://onlinelibrary.wiley.com/doi/10.1002/ajim.22316/full>

work on fracking sites.”³⁶⁸

- June 29, 2014, and August 31, 2014 – An initial report and follow-up analysis in *The Columbus Dispatch* examined fire hazards at well pads. In one notable case, malfunctioning hydraulic tubing allowed a well pad fire in Monroe County, Ohio to spread rapidly, prompting evacuations. Local firefighters had neither the correct equipment nor did they know the chemicals they were trying to extinguish. One firefighter was treated for smoke inhalation.^{369, 370}
- May 19, 2014 – Underscoring the dangerous nature of chemicals used in fracking operations, NIOSH reported that at least four gasfield workers have died since 2010 from acute chemical exposures during flowback operations and warned that flowback operations can “result in elevated concentrations of volatile hydrocarbons in the work environment that could be acute exposure hazards.” The agency further noted that such volatile hydrocarbons “can affect the eyes, breathing, and the nervous system and at high concentrations may also affect the heart causing abnormal rhythms.”^{371, 372}
- May 16, 2013 – A NIOSH study revealed that worker exposure to crystalline silica dust from sand used in fracking operations exceeded “relevant occupational health criteria” at all eleven tested sites, and the magnitude of some exposures exceeded NIOSH limits by a factor of 10 or more. “[P]ersonal respiratory protection alone is not sufficient to adequately protect against workplace exposures.” Inhalation of crystalline silica can cause incurable silicosis, lung cancer, chronic obstructive pulmonary disease, kidney disease and autoimmune diseases.³⁷³ Although community exposures distant from mines are possible, there are no federal or state standards for silica in ambient air. A first-ever study on public health risks from frac sand is now in progress.³⁷⁴
- May 8, 2014 – A report by the AFL-CIO found that the fracking boom has made North Dakota the most dangerous state for U.S. workers—with a fatality rate five times higher than the national average—and that North Dakota’s fatality rate has doubled since 2007. The AFL-CIO called North Dakota “an exceptionally dangerous and deadly place to

³⁶⁸ O’Neill, R. (editor). (July 2014). Chemicals, dust and deaths and the new rush for oil and gas.

Hazards Magazine. Special Online Report. Retrieved from <http://www.hazards.org/oil/fracking.htm#top>

³⁶⁹ Richards, J. S. (2014, June 29). Glitch sparks smoky fire at gas well. *Columbus Dispatch*. Retrieved from <http://www.dispatch.com/content/stories/local/2014/06/29/glitchsparks-smoky-fire-at-gas-well.html>

³⁷⁰ Arenschiold, L. (2014, August 31). Fracking fire points out failings. *Columbus Dispatch* Retrieved from <http://www.dispatch.com/content/stories/local/2014/06/29/glitchsparks-smoky-fire-at-gas-well.html>

³⁷¹ Snawder, J., Esswein, E., King, B., Breitenstein, M., Alexander-Scott, M., Retzer, K., . . . Hill, R. (2014, May 19). Reports of worker fatalities during flowback operations [Web log post]. *NIOSH Science Blog*. Retrieved from <http://blogs.cdc.gov/niosh-science-blog/2014/05/19/flowback/>

³⁷² Iafolla, R. (2014, May 20). Four fatalities linked to used fracking fluid exposure during 'flowback,' NIOSH reports. *Bloomberg BNA*. Retrieved from <http://www.bna.com/four-fatalities-linked-n17179890610/>

³⁷³ Esswein, E. J., Breitenstein, M., Snawder, J., Kiefer, M., & Sieber, W. K. (2013). Occupational exposures to respirable crystalline silica during hydraulic fracturing. *Journal of Occupational and Environmental Hygiene*, 10(7), 347-356. doi: 10.1080/15459624.2013.788352

³⁷⁴ University of Iowa Environmental Health Sciences Research Center. (2012). Exposure assessment and outreach to engage the public on health risks from frac sand mining. Retrieved from <http://cph.uiowa.edu/ehsrc/fracsand.html>

work.” U.S. Secretary of Labor Thomas E. Perez called the rising rate of workplace deaths suffered in the oil and gas sector “unacceptable.”³⁷⁵

- April 24, 2014 – A University of Texas San Antonio report commissioned by the Methodist Healthcare Ministries found that many oil and gas field workers in the Eagle Ford Shale are uninsured or underinsured and that “the most noticeable health impacts so far are work-related illnesses and injuries: heat exhaustion, dehydration, sleep deprivation, exposure to oil and gas spills and accidents.” The study also noted that oil and gas production has put strain on healthcare facilities.³⁷⁶
- April 10, 2014 –West Virginia University researcher Michael McCawley reported that some of the nation’s highest rates of silicosis are in heavily drilled areas within the Northern Panhandle of West Virginia and southwestern Pennsylvania. A disease that hardens the lungs through inflammation and development of scar tissue, silicosis is entirely attributable to exposure to silica dust, a known occupational hazard at drilling and fracking operations. Two years earlier, OSHA and NIOSH issued a joint “Hazard Alert” to warn fracking workers of the health hazards of exposure to silica dust, including silicosis.³⁷⁷
- February 25, 2014 – A year-long investigation by the *Houston Chronicle* found that fracking jobs are deadly, with high fatality rates and high rates of serious injury. Within just one year in Texas, 65 oil and gas workers died, 79 lost limbs, 82 were crushed, 92 suffered burns and 675 broke bones. From 2007 to 2012, at least 664 U.S. workers were killed in oil and gas fields.^{378, 379}
- December 27, 2013 – National Public Radio (NPR) reported spiking rates of fatalities related to oil and gas drilling operations, which had increased more than 100 percent since 2009. NPR noted that in the previous year, 138 workers were killed on the job, making the fatality rate among oil and gas workers nearly eight times higher than the average rate of 3.2 deaths for every 100,000 workers across all industries.³⁸⁰

³⁷⁵ Picchi, A. (2014, May 8). The most dangerous U.S. state for workers. *CBS News*. Retrieved from <http://www.cbsnews.com/news/the-most-dangerous-us-state-for-workers/>

³⁷⁶ Ghahremani, Y. (2014, April 24). Fractured Healthcare: Pumping Resources Back into the Eagle For Shale Communities/Executive Summary: Methodist Healthcare Ministries and Center for Community and Business Research at the University of Texas San Antonio. Retrieved from <http://www.joomag.com/en/newsstand/fractured-healthcare-pumping-resources-back-into-the-eagle-ford-shale-communities-apr-2014/0368470001398347080>

³⁷⁷ Hicks, I. (2014, April 10). Gas workers risk silica exposure. *The Intelligencer, Wheeling News-Register*. Retrieved from <http://www.news-register.net/page/content.detail/id/598589/Gas-Workers-at-Risk-Of-Silica-Ex---.html>

³⁷⁸ Olsen, L. (2014, February 22). Houston Chronicle exclusive: Drilling boom, deadly legacy. Retrieved from <http://www.houstonchronicle.com/news/special-reports/article/Houston-Chronicle-exclusive-Drilling-boom-5259311.php#0>

³⁷⁹ Hsieh, S. (2014, February 25). Why are so many workers dying in oil fields? Retrieved from <http://www.thenation.com/blog/178523/why-are-so-many-workers-dying-oil-fields>

³⁸⁰ Schneider, A., & Geewax, M. (2013, December 27). On-the-job deaths spiking as oil drilling quickly expands. Retrieved from <http://www.npr.org/2013/12/27/250807226/on-the-job-deaths-spiking-as-oil-drilling-quickly-expands>

- October 30, 2012 – In a policy statement, the American Public Health Association (APHA) asserted that, high volume horizontal hydraulic fracturing (HVHF) “poses potential risks to public health and the environment, including groundwater and surface water contamination, climate change, air pollution, and worker health.” The statement also noted that the public health perspective has been inadequately represented in policy processes related to HVHF.³⁸¹ The policy statement added:

[H]ydraulic fracturing workers are potentially exposed to inhalation health hazards from dust containing silica. There may also be impacts on workers and communities affected by the vastly increased production and transport of sand for HVHF.

Inhalation of fine dusts of respirable crystalline silica can cause silicosis. Crystalline silica has also been determined to be an occupational lung carcinogen.

- 2005 – A researcher at Stanford University examined hazards associated with oil and gas extraction from exposure to radiation and determined that inhalation of high levels of radon gas is a serious concern to workers and those living nearby. Because the boiling point of radon lies between those of propane and ethane, gaseous radon (²²²Rn) will concentrate in ethane and propane fractions. “Elevated Rn activity concentration values have been measured at several processing plant sites.... It is well known that the radiological impact of the oil and gas-extracting and processing industry is not negligible.”³⁸²
- May 9, 2003 – A New York Medical College study re-evaluated the chest X-rays of patients with exposure to silica who died from various respiratory problems and found that more than eight percent had undiagnosed silicosis. The study suggested that occupational lung disease may be undercounted in high-risk occupations. The authors of this study said that improved OSHA standards, with ongoing exposure monitoring and medical surveillance, would significantly improve the recognition of cases and justify more stringent preventive measures to reduce exposure. They further noted that practitioners need skills in taking an occupational exposure history. Although ten years have passed since this study was published, both recommendations have yet to be implemented.³⁸³

Public health effects, measured directly

By several measures, evidence for fracking-related health problems is emerging across the United States. In Pennsylvania, as the number of gas wells increase in a community, so do

³⁸¹ American Public Health Association. (2012, October 30). The environmental and occupational health impacts of high-volume hydraulic fracturing of unconventional gas reserves. Retrieved from <http://www.apha.org/advocacy/policy/policysearch/default.htm?id=1439>

³⁸² Steinhäusler, F. (2005). Radiological impact on man and the environment from the oil and gas industry: Risk assessment for the critical group. *Nato Science Series: IV: Earth and Environmental Sciences*. doi: 10.1007/1-4020-2378-2_19. http://rd.springer.com/chapter/10.1007/1-4020-2378-2_19

³⁸³ Goodwin, S. S., Stanbury, M., Wang, M.-L., Silbergeld, E., & Parker, J. E. (2003). Previously undetected silicosis in New Jersey decedents. *American Journal of Industrial Medicine*, 44, 304-11. doi: 10.1002/ajim.10260

rates of hospitalization. Drilling and fracking operations are correlated with elevated motor vehicle fatalities (Texas), asthma (Pennsylvania), self-reported skin and respiratory problems (southwestern Pennsylvania), ambulance runs and emergency room visits (North Dakota), infant deaths (Utah), birth defects (Colorado), high risk pregnancies (Pennsylvania), premature birth (Pennsylvania), and low birthweight (multiple states). Benzene levels in ambient air surrounding drilling and fracking operations are sufficient to elevate risks for future cancers in both workers and nearby residents, according to studies. Animal studies show that two dozen chemicals commonly used in fracking operations are endocrine disruptors that can variously disrupt organ systems, lower sperm counts, and cause reproductive harm at levels to which people can be realistically exposed.

- July 18, 2016 – Living near fracking operations significantly increases asthma attacks, according to a Johns Hopkins University study of 35,000 medical records of people with asthma in north and central Pennsylvania, from 2005 to 2012.³⁸⁴ The data show that those who live near a higher number of, or larger, active gas wells were 1.5 to 4 times more likely to suffer from asthma attacks compared to those who live farther away, with the closest group having the highest risk. There was increased risk in all three types of exacerbations defined: mild (new oral corticosteroid medication order), moderate (emergency department encounter), or severe (hospitalization). In addition, researchers identified increased risk during all four phases of well development: pad preparation, drilling, stimulation (fracking), and production. The study was praised for its “rigorous research methods,” by a scientist not part of the team.³⁸⁵
- February 9, 2016 – An exploratory study of hospitalization rates for three study areas in Queensland, Australia showed rates for specific types of hospital admissions increased more quickly in a coal seam gas study area than in other study areas (a coal mining area and a rural/agricultural area). Coal seam gas is the methane trapped in pores and fractures in underground coal deposits; its exploitation is a form of unconventional natural gas development. A portion of coal seam gas extraction uses fracking. This preliminary study found the strongest link between increased hospitalization rates over time in a coal seam gas area to be for the category of ‘Blood/immune’ diseases.³⁸⁶
- October 14, 2015 – Using an animal model, an interdisciplinary research team measured the endocrine-disrupting activities of 24 chemicals used and/or produced by oil and gas operations, finding that 23 of them “can activate or inhibit the estrogen, androgen, glucocorticoid, progesterone, and/or thyroid receptors, and mixtures of these chemicals can behave synergistically, additively, or antagonistically.” Further, the researchers tested prenatal exposures to the chemicals and found effects on multiple organs, including

³⁸⁴ Rasmussen, S. G., Ogburn, E. L., McCormack, M., Casey, J. A., Bandeen-Roche, K. Mercer, D. G., & Schwartz, B. S. (2016). Association between unconventional natural gas development in the Marcellus Shale and asthma exacerbations. *JAMA Internal Medicine*. Advance online publication. doi: 10.1001/jamainternmed.2016.2436

³⁸⁵ Song, L. & Kusnetz, N. (2016, July 18). Increased asthma attacks tied to exposure to natural gas production. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/18072016/asthma-study-marcellus-shale-pennsylvania-natural-gas-fracking>

³⁸⁶ Werner, A. K., Watt, K., Cameron, C. M., Vink, S., Page, A., & Jagals, P. (2016). All-age hospitalization rates in coal seam gas areas in Queensland, Australia, 1995–2011. *BMC Public Health*, 16 (125). doi: 10.1186/s12889-016-2787-5

adverse reproductive effects on the matured offspring.³⁸⁷ This study is the first to demonstrate that endocrine-disrupting chemicals, which are commonly used in fracking operations, can harm the reproductive health of mice, at levels of exposure that are realistic for humans. The study's senior author told *ScienceDaily*, "In addition to reduced sperm counts, the male mice exposed to the mixture of chemicals had elevated levels of testosterone in their blood and larger testicles. These findings may have implications for the fertility of men living in regions with dense oil and/or natural gas production."³⁸⁸

- October 8, 2015 – Pregnant women who live near active fracking operations in Pennsylvania were at a 40 percent increased risk of giving birth prematurely and at a 30 percent increased risk for having obstetrician-labeled high-risk pregnancies, according to a study by Johns Hopkins Bloomberg School of Public Health and other researchers. High-risk pregnancies were those that included hypertension, high pre-pregnancy body mass index, and asthma. The study used data from the Geisinger Health System on 9,384 pregnant women and their 10,496 newborns between January 2009 and January 2013; Geisinger covers 40 counties in north and central Pennsylvania. Researchers developed an index for proximity to fracking wells based on distance from the women's homes, stage of drilling and depth of wells dug, and the amount of gas that was produced at those wells during the pregnancies. The highest-activity quartile had the highest rates of premature births and high-risk pregnancies.^{389, 390}
- July 22, 2015 – Using a mammal model, New York University School of Medicine scientists, together with other U.S. and Chinese researchers, demonstrated cancerous changes linked to exposure to wastewater from Marcellus fracking operations. Their study also documented elevated levels of barium and strontium in exposed animal cells. The wastewater studied originated in Pennsylvania and was stored for a time to allow radioactivity and levels of short-lived volatile organic compounds to decline. The results suggest that "even aged flow back water could pose substantial health threats to exposed humans."³⁹¹
- July 15, 2015 – A study by University of Pennsylvania and Columbia University researchers found that drilling and fracking activity was associated with increased rates of hospitalization in Pennsylvania. During a period of dramatic increase in drilling and fracking activity between 2007 and 2011, inpatient prevalence rates surged for people

³⁸⁷ Kassotis, C.D., Klemp, K.C., Vu, D.C., Lin, C.-H., Meng, C.-X., Besch-Williford, C.L., . . . Nagel, S.C. (2015). Endocrine-disrupting activity of hydraulic fracturing chemicals and adverse health outcomes after prenatal exposure in male mice. *Endocrinology* 156(12), 4458–4473. doi: 10.1210/en.2015-1375

³⁸⁸ Endocrine Society. (2015, October 14). Fracking chemicals tied to reduced sperm count in mice. *ScienceDaily*. Retrieved from www.sciencedaily.com/releases/2015/10/151014134533.htm

³⁸⁹ Casey, J. A., Savitz, D. A., Rasmussen, S. G., Ogburn, E. L., Pollak, J., Mercer, D. G., & Schwartz, B. S. (2016). Unconventional natural gas development and birth outcomes in Pennsylvania, USA. *Epidemiology* 27(2), 163–172. doi: 10.1097/EDE.0000000000000387

³⁹⁰ Johns Hopkins Bloomberg School of Public Health. (2015, October 8). Study: fracking industry wells associated with premature birth. Retrieved from <http://www.jhsph.edu/news/news-releases/2015/study-fracking-industry-wells-associated-with-premature-birth.html>

³⁹¹ Yao, Y., Chen, T., Shen, S. S., Niu, Y., DesMarais, T. L., Linn, R., . . . Costa, M. (2015). Malignant human cell transformation of Marcellus Shale gas drilling flow back water. *Toxicology and Applied Pharmacology*, 288, 121-130. doi: 10.1016/j.taap.2015.07.011

living near shale gas wells. Cardiology inpatient prevalence rates were significantly associated with number of wells per zip code and their density, while neurology inpatient prevalence rates were significantly associated with density of wells. Hospitalizations for cancer, skin conditions, and urological problems also rose significantly. During the same time period, no such increase in health problems was observed in a control Pennsylvania county without any drilling and fracking activity. In communities with the most wells, the rate of cardiology hospitalizations was 27 percent higher than in control communities with no fracking. “While the clinical significance of the association remains to be shown, [fracking] has just begun in Pennsylvania, and thus observing a significant association over this short time is striking.... Our study also supports the concept that health care utilization should be factored into the value (costs and benefits) of hydraulic fracturing over time.”³⁹² In a related *Newsweek* story, lead researcher Reynold Panettieri, Jr. said, “At this point, we suspect that residents are exposed to many toxicants, noise and social stressors due to hydraulic fracturing near their homes and this may add to the increased number of hospitalizations.”³⁹³

- July 9, 2015 – As part of a scientific assessment of well stimulation treatments, including fracking, the California Council on Science and Technology studied the potential impacts of well stimulation on human health in California. The risk factors directly attributable to well stimulation stem largely from the use of a very large number and quantity of stimulation chemicals. The unknown number and toxicity of chemicals that are mixed together in well stimulation fluids made it difficult to fully quantify risk to the environment and to human health, but the study highlighted the potential health risks from exposure to fracking-related air pollution for the people of Los Angeles, 1.7 million of whom live or work within one mile of an active oil or gas well.³⁹⁴ Jane Long, co-author, said, “officials should fully understand the toxicity and environmental profiles of all chemicals before allowing them to be used in California's oil operations,” according to the *Los Angeles Times*.³⁹⁵
- June 22, 2015 – A longtime midwife reported her personal analysis of an ongoing spike in infant deaths, miscarriages, and placental abnormalities in Utah’s Uintah Basin that has followed the advent of drilling and fracking activity there and appears linked to air pollution episodes.³⁹⁶

³⁹² Jemielita T., Gerton G. L., Neidell, M., Chillrud S., Yan B., Stute, M., . . . Panettieri, Jr., R. A. (2015), Unconventional gas and oil drilling is associated with increased hospital utilization rates. *PLoS ONE* 10(7), e0131093. doi: 10.1371/journal.pone.0131093

³⁹³ Schlanger, Z. (2015, July 15). Living near fracking wells linked to increased hospitalization rates. *Newsweek*. Retrieved from <http://www.newsweek.com/living-near-fracking-wells-linked-increased-hospitalization-rates-354093>

³⁹⁴ Shonkoff, S. B. C., Maddalena, R. L., Hays, J., Stringfellow, W., Wettstein, Z. S., Harrison, R., Sandelin, W., & McKone, T. E. (2015, July 9). Potential impacts of well stimulation on human health in California, in California Council of Science and Technology and Lawrence Berkeley National Laboratory, *An Independent Scientific Assessment of Well stimulation in California, vol. 2: Potential Environmental Impacts of Hydraulic Fracturing and Acid Stimulation*. Retrieved from <http://ccst.us/publications/2015/2015SB4-v2.pdf>

³⁹⁵ Cart, J. (2015, July 9). Water and wildlife may be at risk from fracking’s toxic chemicals, panel finds. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/lanow/la-me-california-science-panel-warns-that-fracking-poses-unknown-risk-20150709-story.html>

³⁹⁶ Solotaroff, P. (2015, June 22). What’s killing the babies of Vernal, Utah? *Rolling Stone*. Retrieved from <http://www.rollingstone.com/culture/features/fracking-whats-killing-the-babies-of-vernal-utah-20150622>

- June 3, 2015 – A University of Pittsburgh study linked fracking to low birthweight in three heavily drilled Pennsylvania counties. The more exposure a pregnant woman had to gas wells, the higher her risk for a smaller-than-normal baby. Exposure was determined as proximity and density of wells in relation to the residence of the pregnant woman. Compared to mothers whose homes had the fewest surrounding gas wells, mothers whose homes were nearest to a high density of wells were 34 percent more likely to have babies who were “small for gestational age,” meaning they weighed significantly less than expected for the number of weeks of pregnancy. Although the study did not investigate mechanisms, researchers identified air as the likely route of exposure. They supported this argument by referencing another study done in Western Pennsylvania where airborne particulate pollution correlated with low birth weight and by noting that particulates are established shale gas infrastructure emissions.^{397, 398} Low birth weight is a leading cause of infant mortality.
- March 3, 2015 – A follow-up study of 21 case studies from five states found that the distribution of symptoms in animals and humans affected by nearby fracking operations was, since 2012, unchanged for humans and companion animals. In food animals, reproductive problems decreased over time while respiratory problems and growth problems increased. “This longitudinal case study illustrates the importance of obtaining detailed epidemiological data on the long-term health effects of multiple chemical exposures and multiple routes of exposure that are characteristic of the environmental impacts of unconventional drilling operations.”³⁹⁹
- March 3, 2015 – A cross-sectional study by Yale University School of Medicine researchers using companion animals as sentinels of human exposure to fracking-related chemicals investigated possible associations between reported health conditions of companion and backyard animals in Southwest Pennsylvania and household proximity to drilling and fracking operations. Among dogs living in households located less than one kilometer from a gas well, risks for health problems were elevated, especially for dermal conditions, compared to animals living more than two kilometers from a well.⁴⁰⁰
- January 1, 2015 – A Yale-led team studied the relationship between household proximity to drilling and fracking operations and reported health symptoms in Washington County, Pennsylvania where 624 gas wells were in active operation, most of which had been

³⁹⁷ Shaina, L. S., Brink, L. L., Larkin, J. D., Sadovsky, Y., Goldstein, B. C., Pitt, B. R., & Talbott, E. O. (2015). Perinatal outcomes and unconventional natural gas operations in southwest Pennsylvania. *PLoS One*, 10, e0126425. doi: 10.1371/journal.pone.0126425

³⁹⁸ Preidt, R. (2015, June 3). ‘Fracking’ linked to low birth weight babies, *WebMD*. Retrieved from <http://www.webmd.com/parenting/baby/news/20150603/fracking-linked-to-low-birth-weight-babies>

³⁹⁹ Bamberger, M. & Oswald, R. E. (2015). Long-term impacts of unconventional drilling operations on humans and animal health. *Journal of Environmental Science and Health, Part A: Toxic/Hazardous Substances and Environmental Engineering*, 50, 447-59. doi: 10.1080/10934529.2015.992655

⁴⁰⁰ Slizovskiy, I. B., Conti, L. A., Trufan, S. J., Reif, J. S., Lamers, V. T., Stowe, M. H., Dziura, J., & Rabinowitz, P. M. (2015). Reported health conditions in animals residing near natural gas wells in southwestern Pennsylvania, *Journal of Environmental Science and Health, Part A: Toxic/Hazardous Substances and Environmental Engineering*, 50(5), 473-481, doi: 10.1080/10934529.2015.992666

drilled in the past five to six years. Researchers found that health symptoms reported by residents increased in frequency as distance between household and gas wells decreased. Among persons living less than one kilometer from drilling and fracking operations, rashes and upper respiratory problems were more prevalent. The authors of this study, the largest to date on the link between reported symptoms and natural gas drilling activities, say that their findings are "... consistent with earlier reports of respiratory and dermal conditions in persons living near natural gas wells." They also cite literature demonstrating the biological plausibility of a link between oil and gas extraction activities and both categories of health effects reported.⁴⁰¹

- December 17, 2014 – As part of a lengthy review that became the foundation for New York State’s ban on high volume hydraulic fracturing, the New York State Department of Health (NYSDOH) identified environmental problems associated with fracking that could contribute to adverse public health impacts. Among them: air pollution (particulate matter, ozone, diesel exhaust, and volatile organic compounds) that could affect respiratory health; drinking water contamination from underground migration of methane and/or fracking chemicals associated with faulty well construction or seismic activity; drinking water contamination from inadequate water treatment of fracking waste or from surface spills of fracking chemicals or wastewater; earthquakes and the creation of fissures; increased vehicle traffic; increased noise; increased demand for housing and medical care; and public health problems related to climate change impacts from methane and other greenhouse gas emissions into the atmosphere. The NYSDOH Public Health Review also discussed findings from surveys of health symptoms among residents living near high volume hydraulic fracturing activities. These included skin rash, nausea or vomiting, abdominal pain, breathing difficulties, cough, nosebleed, anxiety, stress, headache, dizziness, eye irritation, and throat irritation in populations living near drilling and fracking operations. The NYSDOH Public Health Review noted that ongoing studies by both government agencies and several academic institutions were exploring the public health risks and impacts of fracking but that many of these studies were years from completion. The review concludes:

... significant gaps exist in the knowledge of potential public health impacts from [high volume hydraulic fracturing].... The existing science investigating associations between [high volume hydraulic fracturing] activities and observable adverse health outcomes is very sparse and the studies that have been published have significant scientific limitations. Nevertheless, studies are suggestive of potential public health risks related to [high volume hydraulic fracturing] activity that warrant further careful evaluation.

In an accompanying letter to the New York State Department of Environmental Conservation, Health Commissioner Howard Zucker, MD, concluded,

⁴⁰¹ Rabinowitz, P. M. Slizovskiy, I. B, Lamers, V., Trufan, S. J., Holford, T. R., Dziura, J. D., ... Stowe, M. H. (2015). Proximity to natural gas wells and reported health status: results of a household survey in Washington County, Pennsylvania. *Environmental Health Perspectives*, 123, 21-26. doi: 10.1289/ehp.1307732. See also footnote 29.

... the overall weight of the evidence from the cumulative body of information contained in this Public Health Review demonstrates that there are significant uncertainties about the kinds of adverse health outcomes that may be associated with [high volume hydraulic fracturing], the likelihood of the occurrence of adverse health outcomes and the effectiveness of some of the mitigation measures in reducing or preventing environmental impacts which could adversely affect public health. Until the science provides sufficient information to determine the level of risk to public health from [fracking] to all New Yorkers and whether the risks can be adequately managed, DOH recommends that high volume hydraulic fracturing should not proceed in NYS.⁴⁰²

- October 13, 2014 – According to the North Dakota Health Department, the number of HIV and AIDS cases in North Dakota more than doubled between 2012 and 2014, and cases were shifting to the state’s western oil fields, where 35 to 40 percent of all new cases occurred. Previously, only 10 percent of cases were in that region.⁴⁰³ This trend followed on the heels of an upsurge in sexually transmitted chlamydia cases in the same region. The North Dakota state director of disease control, Kirby Kruger, attributed the uptick in HIV cases to the drilling and fracking industry and attempted to spread HIV prevention messages at the “man camps” that house young male workers in the oil industry.⁴⁰⁴ Human trafficking for purposes of prostitution accompanied the fracking boom, but there was a shortage of medical professionals to address this public health crisis, according to Kruger, who noted that it was difficult to hire nurses and medical staff who could live in the area on a public health wage.
- October 2, 2014 – According to researchers from the University of Pennsylvania’s Center of Excellence in Environmental Toxicology, an increasing number of gas wells in Pennsylvania is significantly correlated with inpatient rates of hospitalization. The research team collected data from seven different insurance providers for three counties; the study’s publication is forthcoming.⁴⁰⁵
- September 11, 2014 – In Texas, commercial vehicle accidents have increased more than 50 percent since 2009 when the state’s ongoing drilling and fracking boom began, according to an investigation by the *Houston Chronicle* and Houston Public Media News 88.7. “For six decades, highway deaths have dropped steadily all across the United States.... But in Texas all motor vehicle fatalities – and accidents involving commercial

⁴⁰² New York State Department of Health. (2014, December 17). *A public health review of high volume hydraulic fracturing for shale gas development*. Retrieved from http://www.health.ny.gov/press/reports/docs/high_volume_hydraulic_fracturing.pdf

⁴⁰³ Associated Press. (2014, October 13). North Dakota HIV/AIDS rate rises with population growth. Retrieved from http://billingsgazette.com/news/state-and-regional/montana/north-dakota-hiv-aids-rate-rises-with-population-growth/article_a939fed6-f737-5cfb-957f-ab800673f4d7.html

⁴⁰⁴ Heitz, D. (2014, September 30). Oil Boom helps fuel surge in HIV in North Dakota. *HealthlineNews*. Retrieved from <http://www.healthline.com/health-news/oil-boom-helps-fuel-hiv-surge-north-dakota-093014#1>

⁴⁰⁵ Skrapits, E. (2014, October 2). Study: More gas wells in area leads to more hospitalizations. *The Citizen’s Voice*. Retrieved from <http://citizensvoice.com/news/study-more-gas-wells-in-area-leads-to-more-hospitalizations-1.1763826>

trucks – have turned back upward since the state’s oil drilling and fracking boom began in 2008.” This rising motor vehicle death toll is especially felt in formerly rural counties in the Eagle Ford and Permian Basin, now places of heavy drilling and fracking. A new Department of Public Safety “Road Check” program finds annually, “27 to 30 percent of Texas’ commercial trucks shouldn’t be operating at all due to potentially life-threatening safety problems like defective brakes, bald tires, inoperable safety lights and unqualified, unfit or intoxicated drivers.”^{406, 407}

- August 3, 2014 – Hospitals in the Bakken Shale region reported a sharp rise in ambulance calls and emergency room visits after 2006. “Mercy Medical Center in Williston and the Tioga Medical Center in neighboring Williams County saw their ambulance runs increase by more than 200 percent. Tioga’s hospital saw a staggering leap in trauma patients by 1,125 percent. Mercy had a 373 percent increase.” Drugs (including overdoses of prescription drugs, methamphetamine, and heroin) explain many of the cases, with oilfield related injuries such as “fingers crushed or cut off, extremity injuries, burns and pressure burns” accounting for 50% of the cases in one of the region’s hospital emergency rooms.⁴⁰⁸
- May 21, 2014 – Raising questions about possible links to worsening air pollution from the Uintah Basin’s 11,200 oil and gas wells, health professionals reported that infant deaths in Vernal, Utah, rose to six times the normal rate over the past three years. Physician Brian Moench said, “We know that pregnant women who breathe more air pollution have much higher rates of virtually every adverse pregnancy outcome that exists.... And we know that this particular town is the center of an oil and gas boom that’s been going on for the past five or six years and has uniquely high particulate matter and high ozone.”⁴⁰⁹ Although it formerly had pristine air quality, Uintah County, Utah received a grade “F” for ozone in the American Lung Association’s 2013 State of the Air Report.⁴¹⁰
- January 28, 2014 – Congenital heart defects, and possibly neural tube defects in newborns, were associated with the density and proximity of natural gas wells within a 10-mile radius of mothers’ residences in a study of almost 25,000 births from 1996-2009 in rural Colorado. The researchers note that natural gas development emits several

⁴⁰⁶ Olsen, L. (2014, 11 September). Fatal truck accidents have spiked during Texas’ ongoing fracking and drilling boom. *Houston Chronicle*. Retrieved from <http://www.houstonchronicle.com/news/article/Fracking-and-hydraulic-drilling-have-brought-a-5747432.php?cmpid=email-premium&cmpid=email-premium&t=1a9ca10d49c3f0c8a9#/0>

⁴⁰⁷ Schneider, A. (2014, 12 October). In Texas, traffic deaths climb amid fracking boom. *National Public Radio*. Retrieved from <http://www.npr.org/2014/10/02/352980756/in-texas-traffic-deaths-climb-amid-fracking-boom>

⁴⁰⁸ Bryan, K. J. (2014, August 3). Drugs, oilfield work, traffic pushing more people through doors of Watford City ER. *Bakken Today*. Retrieved from <http://www.bakkentoday.com/event/article/id/37101/>

⁴⁰⁹ Schlanger, Z. (2014, May 21). In Utah boom town, a spike in infant deaths raises questions. *Newsweek*. Retrieved from <http://www.newsweek.com/2014/05/30/utah-boom-town-spike-infant-deaths-raises-questions-251605.html>

⁴¹⁰ American Lung Association. (2013). American Lung Association state of the air 2013. Retrieved from <http://www.stateoftheair.org/2013/states/utah/uintah-49047.html>

chemicals known to increase risk of birth defects (teratogens).⁴¹¹

- January 4, 2014 – Preliminary data from researchers at Princeton University, Columbia University, and MIT showed elevated rates of low birthweight among infants born to mothers living near drilling and fracking operations during their pregnancies.⁴¹²
- October 2013 – A preliminary study of the health impacts of oil and gas extraction on infant health in Colorado found that proximity to wells—linked with air pollutants from fracking operations—was associated with reductions in average birthweight and length of pregnancy as well as increased risk for low birthweight and premature birth.⁴¹³ A study by the same author, currently under review, which analyzed births to Pennsylvania mothers residing close to a shale gas well in Pennsylvania from 2003-2010, also identified increased risk of adverse effects. This includes low birth weight, as well as a 26 percent increase in APGAR scores under 8. (APGAR—or American Pediatric Gross Assessment Record—is a measure of newborn responsiveness. Scores of less than 8 predict an increase in the need for respiratory support.)⁴¹⁴
- August 26, 2013 – Medical experts at a rural clinic in heavily-drilled Washington County, Pennsylvania reported case studies of 20 individuals with acute symptoms consistent with exposure to air contaminants known to be emitted from local fracking operations.^{415, 416}
- May 2, 2013 – A community-based participatory research study in Pennsylvania tested air and water quality and surveyed self-reported health symptoms of more than 100 residents living near drilling and fracking operations. The team detected a total of 19 volatile organic compounds in ambient air sampled outside of homes. The reported health symptoms closely matched the established effects of chemicals detected through air and water testing at those nearby sites. Moreover, those symptoms occurred at significantly higher rates in households closer to the gas facilities than those farther away.⁴¹⁷ Indicative of the growing prevalence of such health impacts in the state, a poll showed that two-

⁴¹¹ McKenzie, L. M., Guo, R., Witter, R. Z., Savitz, D. A., Newman, L. S., & Adgate, J. L. (2014). Birth outcomes and maternal residential proximity to natural gas development in rural Colorado. *Environmental Health Perspectives*, 122, 412-417. doi: 10.1289/ehp.1306722

⁴¹² Whitehouse, M. (2014, January 4). Study shows fracking is bad for babies. *Bloomberg*. Retrieved from <http://www.bloombergvew.com/articles/2014-01-04/study-shows-fracking-is-bad-for-babies>

⁴¹³ Hill, E. L. (2013, October). The impact of oil and gas extraction on infant health in Colorado. Retrieved from <http://www.elainehill.com/research>

⁴¹⁴ Hill, E. L. (2013, December). Shale gas development and infant health: Evidence from Pennsylvania (under review). Retrieved from <http://www.elainehill.com/research>.

⁴¹⁵ Abrams, L. (2013, August 26). Fracking's real health risk may be from air pollution. *Salon*. Retrieved from http://www.salon.com/2013/08/26/frackings_real_health_risk_may_be_from_air_pollution/

⁴¹⁶ Dyrzska, L., Nolan, K., & Steingraber, S. (2013, August 27). *Statement on preliminary findings from the Southwest Pennsylvania Environmental Health Project study* [Press release]. Concerned Health Professionals of NY. Retrieved from <http://concernedhealthny.org/statement-on-preliminary-findings-from-the-southwest-pennsylvania-envir. . .>

⁴¹⁷ Steinzor, N., Subra, W., & Sumi, L. (2013). Investigating links between shale gas development and health impacts through a community survey project in Pennsylvania. *NEW SOLUTIONS: A Journal of Environmental and Occupational Health Policy*, 23(1), 55-83. doi: 10.2190/NS.23.1.e

thirds of Pennsylvanians support a moratorium on fracking because of concern about negative health impacts.⁴¹⁸

Noise pollution, light pollution, and stress

Drilling and fracking operations and ancillary infrastructure expose workers and nearby residents to continuous noise and light pollution that is sustained for periods lasting many months. Chronic exposure to light at night is linked to adverse health effects, including breast cancer. Sources of fracking-related noise pollution include blasting, drilling, flaring, generators, compressor stations, and truck traffic. Exposure to environmental noise pollution is linked to cardiovascular disease, cognitive impairment, and sleep disturbance. Workers and residents whose homes, schools, and workplaces are in close proximity to well sites are at risk from these exposures as well as from related stressors. A UK Health Impact Assessment (HIA) identified stress and anxiety resulting from drilling-related noise—as well as from a sense of uncertainty about the future and eroded public trust—as key public health risks related to fracking operations.

- July 9, 2015 – As part of its assessment of potential health impacts, the California Council of Science and Technology looked at the impacts of noise and light pollution from oil and gas operations in California. The researchers noted that a number of activities associated with drilling and fracking generated noise at levels considered dangerous to public health. Noise is a biological stressor that can aggravate or contribute to the development of hypertension and heart problems. In California, noise from well stimulation was associated with both sleep disturbance and cardiovascular disease in a dose-response relationship. Exposure to artificial light at night has been linked to breast cancer in women, although almost no research has been conducted on the public health implications of light pollution from oil and gas extraction specifically.⁴¹⁹
- December 17, 2014 – The New York State Department of Health identified community impacts related to noise as a potential contributor to a variety of negative health impacts from drilling and fracking operations but noted that considerable scientific uncertainty remains on the issue of noise exposure per se as a risk factor. Noise, air pollution, traffic, vibration, odors, and nighttime lighting may all increase together as proximity to a drilling site decreases.⁴²⁰

⁴¹⁸ Phillips, S. (2013, May 14). Poll shows support for a drilling moratorium in Pennsylvania. *StateImpact*. Retrieved from <http://stateimpact.npr.org/pennsylvania/2013/05/14/poll-shows-support-for-a-drilling-moratorium-in-pennsylvania/>

⁴¹⁹ Shonkoff, S. B. C., Jordan, P., Hays, J., Stringfellow, W. T., Wettstein, Z. S., Harrison, R., Sandelin, W., & McKone, T. E. (2015, July 9). Volume II, Chapter 6: Potential impacts of well stimulation on human health in California. In: *An Independent Scientific Assessment of Well Stimulation in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from <http://ccst.us/publications/2015/vol-II-chapter-6.pdf>

⁴²⁰ New York State Department of Health. (2014, December 17). *A public health review of high volume hydraulic fracturing for shale gas development*. Retrieved from http://www.health.ny.gov/press/reports/docs/high_volume_hydraulic_fracturing.pdf

- December 1, 2014 – Range Resources Corporation warned supervisors in Pennsylvania’s Donegal Township that a “big burn” natural gas flare will continue for as long as a week and “will produce a continuous noise of as much as 95 decibels at the well pad. Sustained decibel levels between 90 and 95 can result in permanent hearing loss, but workers will be equipped with ear protection.” Township supervisor Doug Teagarden expressed concern for residents, saying, “They told us the flare would be double the size of other well flares, and the noise will be like a siren on a firetruck.... There are houses within a couple of hundred yards of the well pad, and those folks are going to hear it.”⁴²¹
- November 6, 2014 – Sakthi Karunanithi, Director of Public Health in Lancashire, UK, reported on a Health Impact Assessment (HIA) of the two proposed shale gas exploration sites in Lancashire. Karunanithi’s study determined that key risks to the health and well-being of the residents who live near the two proposed sites in Lancashire include stress and anxiety from uncertainty that could lead to “poor mental wellbeing,” and noise-related health effects due to continuous drilling. The HIA also noted a lack of public trust and confidence.^{422, 423}
- September 2014 – The Ohio Shale Country Listening Project, a collaborative effort to solicit, summarize, and share the perspectives and observations of those directly experiencing the shale gas build out in eastern Ohio, found that the more shale gas wells a community has, the less popular the oil and gas industry becomes. Many residents reported that they had not experienced the economic benefits promised by the oil and gas industry. They complained of increased rents and costs of gas and groceries, an influx of out-of-state workers, more vehicular accidents, road destruction from large trucks, and damaged landscape and cropland. Locals reported feeling less secure and more financially strapped.⁴²⁴
- June 20, 2014 – In its discussion of “Oil and Gas Drilling/Development Impacts,” the U.S. Office of Indian Energy and Economic Development detailed noise pollution from bulldozers, drill rigs, diesel engines, vehicular traffic, blasting, and flaring of gas. “If noise-producing activities occur near a residential area, noise levels from blasting, drilling, and other activities could exceed the U.S. Environmental Protection Agency (EPA) guidelines. The movement of heavy vehicles and drilling could result in frequent-to-continuous noise.... Drilling noise would occur continuously for 24 hours per day for

⁴²¹ Hopey, D. (2014, December 1). Gas flare to light up part of Washington County. *Pittsburgh Post Gazette*. Retrieved from <http://powersource.post-gazette.com/powersource/companies-powersource/2014/12/01/Gas-flare-to-light-up-part-of-Washington-County/stories/201411250224>

⁴²² Karunanithi, S. (2014, November 6). Potential health impacts of the proposed shale gas exploration sites in Lancashire. *Reported at a meeting of the Lancashire County Council Cabinet, Thursday, 6th November, 2014 at 2.00 pm in Cabinet Room 'B' - County Hall, Preston, Item 9 on the agenda(1-68)*. Retrieved from <http://council.lancashire.gov.uk/documents/b11435/Potential%20Health%20Impacts%20of%20the%20Proposed%20Shale%20Gas%20Exploration%20Sites%20in%20Lancashire%2006th-Nov-2014%2014.pdf?T=9>

⁴²³ Dunkley, E. (2014, November 7). Fracking in Lancashire 'may affect mental health', report finds. *BBC NEWS Lancashire*. Retrieved from <http://www.bbc.com/news/uk-england-lancashire-29944212>

⁴²⁴ Ohio Organizing Collaborative (OOC)’s Communities United for Responsible Energy (CURE), with support from the Ohio Environmental Council (OEC), FracTracker.org, and Laborers Local 809 of Steubenville. (2014, September). Ohio Shale Country Listening Project. Retrieved from http://carrollconcernedcitizens.org/uploads/2014_Shale_Report_small_.pdf

one to two months or more depending on the depth of the formation.”⁴²⁵ Exposure to chronic noise can be deadly. The World Health Organization has documented the connection between environmental noise and health effects, including cardiovascular disease, cognitive impairment, sleep disturbance, and tinnitus. At least one million “healthy life years” are lost every year from traffic-related noise in the western part of Europe.⁴²⁶

- February 24, 2014 – In a review of the health effects from unconventional gas extraction published in the journal *Environmental Science & Technology*, leading researchers noted, “Noise exposure is a significant hazard due to the presence of multiple sources, including heavy equipment, compressors, and diesel powered generators. Loud continuous noise has health effects in working populations. It is likely that exposure to noise is substantial for many workers, and this is potentially important for health because drilling and servicing operations are exempt from some sections of the Occupational Safety and Health Administration noise standard.” They noted that research should investigate stressors such as noise and light in the context of drilling and fracking operations in order to understand the overall effect of chemical and physical stressors together.⁴²⁷
- May 30, 2014 – The *Denver Post* reported that in order to help meet Colorado’s noise limits for fracking operations in suburban neighborhoods (and partially block the glare of floodlights), Encana Oil and Gas erected 4-inch-thick polyvinyl walls up to 32 feet high and 800 feet long. Residents said that the plastic walls do not completely solve the problem.⁴²⁸
- October 25, 2013 – An analysis of well location and census data by the *Wall Street Journal* revealed that at least 15.3 million Americans now live within a mile of a well that has been drilled since 2000. According to this investigation, the fracking boom has ushered in “unprecedented industrialization” of communities across wide swaths of the nation and, with it, “24/7” industrial noise, stadium lighting, earth-moving equipment, and truck traffic.⁴²⁹
- April 16, 2013 – In a presentation on oil field light pollution for a conference on “Sustainable Environment and Energy: Searching for Synergies,” Roland Dechesne of the Royal Astronomical Society of Canada described problems of “light trespass,” glare, and poorly-aimed fixtures in oil fields in Alberta. He described resulting “mass waterfowl mortality” linked to artificial illumination and other biochemical impacts of light

⁴²⁵ Oil and Gas Drilling/Development Impacts. (n.d.). *Oil and gas drilling/development impacts*. Retrieved from <http://teeic.indianaffairs.gov/er/oilgas/impact/drilldev/index.htm>

⁴²⁶ Rodier, G. (2011, June 1). Burden of disease from environmental noise - Quantification of healthy life years lost in Europe. *WHO*. Retrieved from http://www.who.int/quantifying_ehimpacts/publications/e94888/en/

⁴²⁷ Adgate, J. L., Goldstein, B. D., & McKenzie, L. M. (2014). Potential public health hazards, exposures and health effects from unconventional natural gas development [Abstract]. *Environmental Science & Technology*. doi: 10.1021/es404621d

⁴²⁸ Finley, B. (2014, May 29). Oil and gas industry building giant walls to try to ease impact. *The Denver Post*. Retrieved from http://www.denverpost.com/ci_25859469/oil-and-gas-industry-building-giant-walls-try

⁴²⁹ Gold, R. & McGinty, T. (2014, Oct. 25). Energy boom puts wells in America’s backyards. *The Wall Street Journal*. Retrieved from <http://online.wsj.com/news/articles/SB10001424052702303672404579149432365326304>

pollution on wildlife, as well as the possibility of these effects on humans, including circadian disruption, melatonin suppression, and possible resulting hormonally-linked diseases.⁴³⁰ Known to have ecological impacts, outdoor light pollution from drilling and fracking operations may also be linked to artificial light-associated health effects documented in humans, including breast cancer.⁴³¹

- April 2013 – Led by the University of Pittsburgh Graduate School of Public Health, a study of community members living in proximity to Marcellus Shale drilling in Pennsylvania found adverse impacts to mental health, with stress the most frequently reported symptom. At least half of all respondents in each set of interviews reported these specific stressors, including: being taken advantage of; health concerns; concerns/complaints ignored; corruption; denied information or provided with false information. Many also reported the desire to move or leave community, estrangement from community, and financial damages. Researchers noted that stress can result in direct health impacts.⁴³² Notably, mounting evidence indicates that chronic stress magnifies individuals' susceptibility to effects of pollution; for children, this interactive effect can begin during prenatal life.⁴³³
- September 7, 2011 – A study by researchers at Boise State University and Colorado State University at Fort Collins modeled the potential impacts of compressor station noise from oil and gas operations on Mesa Verde National Park in Colorado. The study found the sound of 64 compressors outside Mesa Verde elevated the sound level within the park by 34.8 decibels on average, and by 56.8 decibels on the side of the park located closest to the compressors. According to the EPA, 55 decibels is the highest “safe noise level” to avoid damage to the human ear.⁴³⁴

Earthquakes and seismic activity

A growing body of evidence from Ohio, Arkansas, Texas, Oklahoma, and Colorado links fracking wastewater injection (disposal) wells to earthquakes of magnitudes as high as 5.8, in addition to swarms of minor earthquakes and fault slipping. Both the U.S. Geological Survey and state geological agencies such as the Oklahoma Geological Survey now acknowledge that

⁴³⁰ Dechesne, R. (2013). Limiting oil field light pollution for safety and the environment. Sustainable Environment and Energy CPANS 2013 Conference. Retrieved from <http://www.cpans.org/assets/Uploads/Presentations/NewFolder/Session-46Roland-Dechesne.pdf>

⁴³¹ Chepesiuk, R. (2009). Missing the dark: Health effects of light pollution. *Environmental Health Perspectives*, 117(1), A20–A27.

⁴³² Ferrar, K. J., Kriesky, J., Christen, C. L., Marshall, L. P., Malone, S. L., Sharma, R. K., Michanowicz, D. R., & Goldstein, B.D. (2013). Assessment and longitudinal analysis of health impacts and stressors perceived to result from unconventional shale gas development in the Marcellus Shale region. *International Journal of Occupational & Environmental Health*, 19(2), 104–112. doi: 10.1179/2049396713Y.0000000024

⁴³³ Cooney, C.M. (2011). Stress–pollution interactions: An emerging issue in children's health research. *Environmental Health Perspectives*, 119, a430–a435. <http://dx.doi.org/10.1289/ehp.119-a430>

⁴³⁴ Barber, J. R., Burdett, C.L., Reed, S. E., Warner, K.A., Formichella, C., Crooks, K.R., Theobald, D.M., & Fristrup, K. M. (2011). Anthropogenic noise exposure in protected natural areas: estimating the scale of ecological consequences. *Landscape Ecology*, 26(9), 1281–1295.

earthquakes can be caused by wastewater injection. Many recent studies focus on the mechanical ability of pressurized fluids to trigger seismic activity. In some cases, and especially in Canada, the fracking process itself has been linked to earthquakes and seismic activity as significant as magnitude 4.4. Emerging evidence suggests that earthquake risks cannot be prevented or mitigated through “proper” fracking protocols or by simply limiting the rate or volume of injected fluid. The question of what to do with fracking wastewater remains a problem with no viable, safe solution.

- May 2016 – In a study that has “far-reaching implications for assessment of induced-seismicity hazards,” a Canadian team of researchers determined that hydraulic fracturing itself is linked to earthquake swarms in western Canada, in contrast to the central United States where disposal of fracking waste is the cause of most induced seismicity. Furthermore, lowering the volume of injected fluid may not be sufficient to prevent quakes. In the Western Canada Sedimentary Basin, “it appears that the maximum-observed magnitude of events associated with hydraulic fracturing may exceed the prediction of an often-cited relationship between the volume of injected fluid and the maximum expected magnitude.... Rather, we propose that the size of the available fault surface that is in a critical state of stress may control the maximum magnitude.... Our results indicate that the maximum magnitude of induced events for hydraulic fracturing may not be well correlated with net injected fluid volume.”⁴³⁵
- April 29, 2016 – Five small earthquakes in one 24-hour period originated in an area in Lawrence County, Pennsylvania near a fracking operation that was drilling into the deep Utica Shale at the time. Quoted in the *Pittsburg Post-Gazette*, researchers noted that it is very difficult for operators to avoid areas with faults because their locations are very often unknown.⁴³⁶
- March 28, 2016 – A summary of the evidence linking drilling and fracking activities to earthquakes appeared in *Scientific American*. Emerging data suggests that pressure changes caused by fracking wastewater injection can migrate for years before encountering a geological fault and altering stresses in ways that allow for slippage. In this way, earthquake risks can spread out over both time and space—traveling for miles beyond the disposal well and persisting for a decade or more as injected fluids travel underground. In spite of increasing scientific clarity about these mechanisms, regulators have been slow to respond.⁴³⁷
- February 1, 2016 – An article in the *Texas Journal of Oil, Gas, and Energy Law* exhaustively reviewed the literature on earthquake activity in areas of six states (Arkansas, Colorado, Kansas, Ohio, Oklahoma, and Texas) where fracking takes place or

⁴³⁵ Atkinson, G. M., Eaton, D. W., Ghofrani, H., Walker, D., Cheadle, B., Schultz, R. ... Kao, H. (2016). Hydraulic fracturing and seismicity in the Western Canada Sedimentary Basin. *Seismological Research Letters*, 87(3). doi: 10.1785/0220150263

⁴³⁶ Legere, L. (2016, April 29). State studying link between fracking, Lawrence County earthquakes. *Pittsburgh Post-Gazette*. Retrieved from <http://powersource.post-gazette.com/powersource/companies/2016/04/29/State-studying-link-between-fracking-and-Lawrence-County-earthquakes/stories/201604290099>

⁴³⁷ Kuchment, A. (2016, March 28). Drilling for earthquakes. *Scientific American*. Retrieved from <https://www.scientificamerican.com/article/drilling-for-earthquakes/>

drilling wastes are disposed underground and concluded that courts should impose strict liability for earthquake damage caused either by fracking itself or by the underground injection of fracking fluids. “Earthquakes sometimes occur when subsurface formations are properly fractured. Likewise, the risk of earthquake damage is not substantially mitigated by the exercise of due care when frack fluids are injected into the ground.”⁴³⁸

- January 22, 2016 – An international research team investigated a swarm of earthquakes in California’s Central Valley that occurred in 2005. Using hydrogeological modeling, the researchers concluded that the underground injection of wastewater from oil drilling operations had contributed to seismicity via changes in localized pressures along an active fault.⁴³⁹
- January 12, 2016 – As reported by *CBC News*, a Canadian regulatory agency ordered a drilling and fracking operation in northwestern Alberta to shut down after a magnitude 4.8 earthquake struck nearby. The operator was fracking at the time the earthquake happened.⁴⁴⁰
- November 15, 2015 – A spokesperson for the Oklahoma Corporation Commission, which regulates the oil and gas industry in the state, said that Oklahoma now leads the world in earthquake frequency.⁴⁴¹
- October 29, 2015 – The Kansas Corporation Commission extended limits on the injection of wastewater from fracking operations after a drop in the frequency of earthquakes that followed an earlier order to limit such injections.⁴⁴² Between 2013 and October 2015, Kansas recorded more than 200 earthquakes. Before that, the average rate was one earthquake every two years.
- October 23, 2015 – *Bloomberg* explored the national security risks that fracking-induced earthquakes in Oklahoma create for the nation’s largest oil storage hub in Cushing, where aboveground tanks hold more than 60 million barrels of crude oil and serve as a way station for oil from North Dakota’s Bakken Shale as it heads to Gulf Coast refineries. Earthquake swarms have hit within a few miles of Cushing and may be harbingers of larger quakes in the future. “Now that quakes appear to have migrated closer to Cushing,

⁴³⁸ Watson, B. A. (2016). Fracking and cracking: strict liability for earthquake damage due to wastewater injection and hydraulic fracturing. *Texas Journal of Oil, Gas and Energy Law*, 11(1). Retrieved from <http://ssrn.com/abstract=2735862>

⁴³⁹ Goebel, T. H. W., Hosseini, S. M., Cappa, F., Hauksson, E., Ampuero, J. P., Aminzadeh, F. & Saleeby J. B. (2016). Wastewater disposal and earthquake swarm activity at the southern end of the Central Valley, California. *Geophysical Research Letters*, 43. doi: 10.1002/2015GL066948

⁴⁴⁰ *CBC News*. (2016, January 12). Fox Creek fracking operation closed indefinitely after earthquake. Retrieved from <http://www.cbc.ca/news/canada/edmonton/fox-creek-fracking-operation-closed-indefinitely-after-earthquake-1.3400605>

⁴⁴¹ Miller, J. (2016, November 10). Oklahoma world’s no. 1 earthquake area. *Enid News and Eagle*. Retrieved from http://www.enidnews.com/news/local_news/oklahoma-world-s-no-earthquake-area/article_69b145b8-c180-5065-8f99-b2a7ec7ce913.html

⁴⁴² Kansas Corporation Commission. (2015, October 29). Kansas Corporation Commission approves order extending wastewater injection limits. [Press release.] Retrieved from <http://www.kcc.state.ks.us/pi/press/15-13.htm>

the issue of what to do about them has morphed from a state issue to one of national security.... Not only is Cushing crucial to the financial side of the oil market, it is integral to the way physical crude flows around the country.”⁴⁴³

- September 21, 2015 – An international team of geologists investigated possible causes of the Lusi mudflow, which began suddenly in 2006 when mud began erupting from the ground in a volcano-like fashion in an urban area of Java in Indonesia. The ongoing disaster has, as of 2015, displaced 39,700 people and cost nearly \$3 billion in damages and disaster management. Looking at data on the emissions of subsurface gases before and after the eruption began, the team concluded that the likely cause was nearby gas drilling that forced fluid into the clay layer via the open well. “We therefore conclude that the Lusi eruption was not triggered naturally but was instead the consequence of drilling operations.”⁴⁴⁴ In interviews with the *New York Times*, lead author Mark Tinjay said, “We are now 99 percent certain that the drilling hypothesis is valid,” while other experts who were not authors of the paper expressed less certainty.⁴⁴⁵
- July 27, 2015 – During a seven-day period in late July, the state of Oklahoma experienced 40 earthquakes. According to the U.S. Geological Survey (USGS), three registered above magnitude 4.0, one of which was strong enough to be felt by 1.9 million people, including residents of several surrounding states.⁴⁴⁶ In response, gas and oil operators voluntarily shut down two nearby wastewater injection wells and reduced operations by half at a third well.⁴⁴⁷ According to the Oklahoma Geological Survey, the recent quakes are occurring along a fault line that extends north of Oklahoma City and signal greater potential for a larger earthquake.⁴⁴⁸ Ten days before the voluntary shutdowns, the Oklahoma Corporation Commission, which regulates the oil and gas industry, put 211 wastewater disposal wells under extra review.⁴⁴⁹ The next month, Oklahoma regulators, acknowledging that previous efforts have been unsuccessful in reducing seismic activity, asked operators of 23 injection wells to decrease the amount of wastewater injected by 38 percent and signaled that more sweeping regulatory actions may follow.⁴⁵⁰

⁴⁴³ Phillips, M. (2015, October 23). Oklahoma earthquakes are a national security threat. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/articles/2015-10-23/oklahoma-earthquakes-are-a-national-security-threat>

⁴⁴⁴ Tingay, M. R. P., Rudolph, M. L., Manga, M., Davies, R. J., & Wang, C-Y. (2015). Initiation of the Lusi mudflow disaster. *Nature Geoscience*, 8. doi:10.1038/ngeo2472

⁴⁴⁵ Nuwer, R. (2015, September 21). Indonesia’s ‘mud volcano’ and nine years of debate about its muck. *The New York Times*. Retrieved from http://www.nytimes.com/2015/09/22/science/9-years-of-muck-mud-and-debate-in-java.html?rref=collection%2Fsectioncollection%2Fscience&_r=0

⁴⁴⁶ U.S. Geological Survey, (2015, July 27). M4.5 – 6 km NNE of Crescent, Oklahoma. Retrieved from http://earthquake.usgs.gov/earthquakes/eventpage/us200030gd#impact_pager

⁴⁴⁷ Oklahoma Corporation Commission (2015, July 28). Media advisory: new actions taken in response to earthquake activity in the Crescent area. Retrieved from <http://www.occeweb.com/News/Crescent%20wells.pdf>

⁴⁴⁸ Murphy, S. (2015, July 28). 2 injection wells shut down after Oklahoma quakes. *Associated Press*. Retrieved from <http://www.santacruzsentinel.com/business/20150728/2-injection-wells-shut-down-after-oklahoma-quakes>

⁴⁴⁹ Oklahoma Corporation Commission (2015, July 17). OCC announces next step in continuing response to earthquake concerns, 200-plus more disposal wells added to action list, press release. Retrieved from <http://www.occeweb.com/News/DIRECTIVE-2.pdf>

⁴⁵⁰ Wines, M. (2015, August 4). Oklahoma acts to limit earthquake risk at oil and gas wells. *The New York Times*. Retrieved from http://www.nytimes.com/2015/08/05/us/oklahoma-acts-to-limit-earthquake-risk-at-oil-and-gas-wells.html?_r=0

- July 1, 2015 – Two researchers, from the USGS and the Geological Survey of Canada, offered a summary of the history, basic geology, and engineering of fracking fluid injection and induced seismicity. Noting that since 2001 Oklahoma had experienced two earthquakes of very large magnitude (5.0 and 5.3), the authors called for “a detailed understanding of the physical processes involved in inducing large magnitude events and a detailed understanding of the geology and hydrology at the site of the earthquakes.” They also noted that many important parameters are either unknown or not easily constrained, making it “difficult to determine the wells that will induce earthquakes and those that will not.”⁴⁵¹
- June 30, 2015 – The Oklahoma Supreme Court ruled that homeowners who have sustained injuries or property damage that they believe is due to earthquakes caused by oil and gas operations can sue for damages in state trial courts. The number of earthquakes with magnitude 3.0 or higher has skyrocketed in Oklahoma, with 1,100 predicted to occur in 2015. Earlier this year, scientists at the state’s geological survey reversed prior views and embraced the conclusion that the majority of the recent earthquakes in central and north-central Oklahoma were “very likely triggered” by underground wastewater disposal. Industry lawyers have complained that liability for such damages will be economically unsustainable. A separate class action lawsuit is planned.⁴⁵²
- June 19, 2015 – By compiling a database of 187,570 injection wells in the central and eastern United States, University of Colorado Boulder and USGS researchers were able to test for associations between fracking waste disposal and earthquakes. Results showed far more injection wells were potentially related to earthquakes than had previously been realized, and active disposal-only wells were more than 1.5 times more likely than active oil extraction wells to be associated with an earthquake. In addition, high-rate injection wells, receiving more than 300,000 barrels of fluid per month, were much more likely than lower-rate wells to be associated with an earthquake, while other factors, including wellhead injection pressure, appeared unrelated to increased earthquake activity. The study called for managing injection rates as “a useful tool to minimize the likelihood of induced earthquakes.” The researchers did not address the impact of hydrofracturing activities *per se* as a potential confounding variable.^{453, 454}
- June 18, 2015 – Close examination of several areas in Oklahoma by Stanford University geophysicists revealed that dramatic increases in recent earthquake activity followed 5- to

⁴⁵¹ Rubenstein, J. L. & Mahani, A. B. (2015). Myths and facts on wastewater injection, hydraulic fracturing, enhanced oil recovery, and induced seismicity. *Seismological Research Letters*, 86(4), 1060-1067. doi: 10.1785/0220150067

⁴⁵² Oppel Jr., R.A. (2015, June 30). Oklahoma court rules homeowners can sue oil companies over quakes. *The New York Times*. Retrieved from http://www.nytimes.com/2015/07/01/us/oklahoma-court-rules-homeowners-can-sue-oil-companies-over-quakes.html?emc=edit_tnt_20150630&nlid=66402583&tntemail0=y&_r=0

⁴⁵³ Weingarten, M. Ge, S., Godt, J. W., Bekins, B. A., & Rubinstein, J. L. (2015). High-rate injection is associated with the increase in U.S. mid-continent seismicity. *Science*, 348(6241), 1336-1340. doi: 10.1126/science.aab1345

⁴⁵⁴ Rosen, J. (2015). Pumped up to rumble: massive studies of wastewater injection wells show fast pumping raises earthquake risk. *Science*, 368(6241), 1299. doi: 10.1126/science.aac6857

10-fold increases in deep-well injection of briny “produced water,” the highly salty fluid that rises to the surface from water-bearing oil reserves and requires disposal. The rate of earthquake occurrence, which began to increase in 2009, is now 600 times higher than it was before the onset of widespread fracking in the state. The disposal of this type of waste in Oklahoma mostly occurs via injection into geological formations that appear to be in hydraulic communication with potentially active faults in the crystalline basement. The study proposed that increasing pressure, spreading away from injection wells over time, could eventually trigger slips on critically stressed faults, resulting in earthquake activity. It is likely that, “even if injection from many wells were to stop immediately, seismicity would continue as pressure continues to spread out from past injection.”⁴⁵⁵

- June 12, 2015 – Researchers in France uncovered an unexpected mechanism by which subsurface fluid injections, such as those used in high volume hydrofracturing, can cause earthquakes. They found that injection of pressurized water can cause fault lines to “creep” rather than slip suddenly as occurs during earthquakes. Earthquakes did follow this slow movement but took place in a portion of the fault outside the pressurized zone. This research demonstrated that subsurface injection of fluids under pressure can cause primary gradual slippage of fault planes leading to secondary sudden seismic activity.^{456, 457}
- June 11, 2015 – As reported by the Vancouver news magazine *The Tyee*, seismic events of magnitude greater than 2.0 (but less than 4.0) in the Fox Creek area were reported in Alberta, Canada since the initiation in February of a novel “traffic light system” for responding to measured seismic activity. The system requires varying responses according to the magnitude of the event, ranging from no action up to ceasing operations and informing the Alberta Energy Regulator for events at magnitudes greater than 4.0. Experts noted that the system does not work well when the largest event in the sequence is the first event. Moreover, once a sequence of earthquakes is initiated, the sequence may continue, sometimes with larger earthquakes, long after potentially causally related drilling or injection activities have ceased.⁴⁵⁸
- June 1, 2015 – In a data-rich presentation, a team of researchers from St. Louis University, Colorado State University, and USGS concluded that “a fundamental change in the earthquake-triggering process has occurred” in central Oklahoma. Using advanced field monitoring and high-performance software, computer models illustrate active earthquake sequences associated with long fault structures “that might be capable of supporting large earthquakes (M 5 to 6)” and possibly cascades of earthquakes, which

⁴⁵⁵ Wall, F.R. III & Zoback, M.D. (2015). Oklahoma’s recent earthquakes and saltwater disposal. *Science Advances*, 1(5), e1500195.

⁴⁵⁶ Guglielmi, Y., Cappa, F., Avouac, J.-P., Henry, P., & Elsworth, D. (2015). Seismicity triggered by fluid injection-induced aseismic slip. *Science*, 348(6240), 1224-1226. doi: 10.1126/science.aab0476

⁴⁵⁷ Johnson, S. K. (2015, June 11). Making tiny earthquakes to understand fracking-driven quakes. *arstechnica*. Retrieved from <http://arstechnica.com/science/2015/06/making-tiny-earthquakes-to-understand-fracking-driven-quakes/>

⁴⁵⁸ Nikiforuk, A. (2015, June 11). More industry linked earthquakes recorded in Alberta. *TheTyee.ca*. Retrieved from <http://thetyee.ca/News/2015/06/11/More-Fracking-Earthquakes/>

could occur near population centers and expensive infrastructure associated with the oil and gas industry, such as a large underground crude-oil storage facility.⁴⁵⁹

- May 11, 2015 – A series of directives from the Oklahoma Corporation Commission revealed a slowly evolving approach to the regulation of disposal well operations in that state, and the gradual tightening of a “traffic light system” introduced in 2013 to determine whether disposal wells for fracking waste should be permitted, permitted only with special restrictions and requirements, or not permitted, in light of the now-proven connection between the injection of liquid waste and the soaring frequency of earthquakes in Oklahoma. Since 2013, earthquake activity in Oklahoma has continued to increase in rate and intensity.^{460, 461}
- April 23, 2015 – In a first-of-its-kind approach, the USGS is updating its National Seismic Hazard Model (NSHM) to address the rapidly increasing, highly variable, and difficult-to-predict hazards of induced earthquakes.⁴⁶² This initial report identified 17 areas within eight states (Alabama, Arkansas, Colorado, Kansas, New Mexico, Ohio, Oklahoma, and Texas) with increased rates of induced seismicity, including many areas experiencing earthquakes of large magnitude.⁴⁶³ Two days before the release of this report, Oklahoma’s state government acknowledged for the first time that wastewater disposal related to oil and gas drilling is “very likely” to blame for the huge surge of earthquakes in many areas of Oklahoma, the *New York Times* reported.⁴⁶⁴ Several states have developed protocols to shut down existing wells and halt drilling of new disposal wells following an upsurge in earthquake activity.
- April 21, 2015 – Analyzing the unusual increase of seismicity in north Texas since 2008, researchers from Southern Methodist University, the USGS, and University of Texas at Austin concluded that observed earthquake swarms were associated both with extraction (of gas and brine formation waters) and injection (of fracking wastewater), via significant stress changes at earthquake depths. The research team noted that baseline pressure monitoring data, though easy to obtain and routinely collected by industry at well sites, were currently “neither required nor typically available for analysis.” Greater

⁴⁵⁹ McNamara, D. E., Rubinstein, J. L., Myers, E., Smoczyk, G., Benz, H. M., Williams, R. A., . . . Earle, P. (2015). Efforts to monitor and characterize the recent increasing seismicity in central Oklahoma. *The Leading Edge*, 34(6). doi: 10.1190/tle34060628.1

⁴⁶⁰ Oklahoma Corporation Commission, Oil & Gas Conservation Division. (2015, May 11). Media advisory: Ongoing OCC earthquake response. Retrieved from <http://www.occeweb.com/News/2015/ADVISORY%20-%20TRAFFIC%20LIGHT.pdf>

⁴⁶¹ Oklahoma Corporation Commission. (n.d.) Seismic statement. Retrieved from <http://www.occ.state.ok.us/SeismicStatementB.pdf>

⁴⁶² Petersen, M. D., Mueller, C. S., Moschetti, M.P., Hoover, S. M., Rubinstein, J. L., Llenos, A. L., . . . Anderson, J. G. (2015). Incorporating induced seismicity in the 2014 United States National Seismic Hazard Model—Results of 2014 workshop and sensitivity studies: U.S. Geological Survey Open-File Report 2015–1070. Retrieved from <http://dx.doi.org/10.3133/ofr20151070>

⁴⁶³ USGS. (2015, April 23). New insight on ground shaking from man-made earthquakes. *USGS Newsroom*. Retrieved from http://www.usgs.gov/newsroom/article_pf.asp?ID=4202

⁴⁶⁴ Pérez-Peña, R. (2015, April 23). U.S. maps pinpoint earthquakes linked to quest for oil and gas. *The New York Times*. Retrieved from http://www.nytimes.com/2015/04/24/us/us-maps-areas-of-increased-earthquakes-from-human-activity.html?ref=us&_r=1

transparency and cooperation in regional seismic monitoring is needed to generate more comprehensive data sets that are necessary for robust earthquake hazard analysis, they asserted.^{465, 466}

- April 21, 2015 – In a statement reporting on an increase in earthquakes in Oklahoma of greater than magnitude 3.0 from less than two per year historically to over two per day in 2015, the Oklahoma Geological Society acknowledged that the primary, suspected source of “triggered seismicity” is the injection and disposal of produced water associated with oil and gas production.⁴⁶⁷
- March 30, 2015 – *Bloomberg Business* reported that Oklahoma state seismologists had received pressure from oil industry representatives to downplay the evidence linking fracking wastewater disposal to the soaring frequency of earthquakes in the state.⁴⁶⁸
- March 6, 2015 – A careful and detailed analysis of historical data coupled with onsite, real-time measurements of seismic activity in central Oklahoma via rapidly deployed seismic sensors revealed that reactivated ancient faults responsible for thousands of earthquakes in Oklahoma are capable of causing larger seismic events. Current hazard maps did not include induced seismicity and therefore underestimate earthquake hazard, the USGS reported. Until new hazard maps become available, providing information about the type, length, and location of these reactivated faults could provide guidance to the oil and gas industry and help inform public policy decisions.⁴⁶⁹ In addition, noted lead author Dan McNamara, such information can “aid in adapting building codes to ensure that structures can withstand more damaging earthquakes.”⁴⁷⁰
- February 20, 2015 – Scientists with the USGS reported in *Science* about grappling with an unexpected increase in injection-related seismic activity across the middle of North America. In 2014, the number of measured earthquakes with magnitude of 3 or greater in Oklahoma exceeded that in California, and observations increasingly suggested that the effects of fluid injection were not confined to the target formation but instead were communicated, sometimes to greater depths, along pre-existing faults. Making hazard modeling more difficult, “most of these faults are only detected when they are imaged by well-located induced earthquakes.” Consequently, predicting and controlling such

⁴⁶⁵ Hornbach, M. J., DeShon, H. R., Ellsworth, W. L., Stump, B. W., Hayward, C., Frohlich, C., . . . Luetgert, J.H. (2015). Causal factors for seismicity near Azle, Texas. *Nature Communications*, 6(6728). doi: 10.1038/ncomms7728

⁴⁶⁶ Richter, M. (2015, April 21). Small north Texas quakes likely linked to oil, gas operations – study. *Reuters*. Retrieved from <http://www.reuters.com/article/2015/04/21/us-usa-texas-earthquake-idUSKBN0NC2DY20150421>

⁴⁶⁷ Andrews, R.D. & Holland, A. (2015, April 21). Statement on Oklahoma Seismicity. Retrieved from http://wichita.ogs.ou.edu/documents/OGS_Statement-Earthquakes-4-21-15.pdf

⁴⁶⁸ Elgin, B. & Phillips, M. (2015, March 30). Big oil pressured scientists over fracking wastewater’s link to quakes. *Bloomberg Business*. Retrieved from <http://www.bloomberg.com/news/articles/2015-03-30/big-oil-pressured-scientists-over-fracking-wastewater-s-link-to-quakes>

⁴⁶⁹ McNamara, D. E., Benz, H. M., Herrmann, R. B., Bergman, E. A., Earle, P., Holland, A., Baldwin, R., & Gassner, A. (2015). Earthquake hypocenters and focal mechanisms in central Oklahoma reveal a complex system of reactivated subsurface strike-slip faulting. *Geophysical Research Letters*, 42(8), 2742–2749. doi: 10.1002/2014GL062730

⁴⁷⁰ Koontz, H. (2015, March 6). News release: Reawakened Oklahoma faults could produce larger future events. *USGS.gov*. Retrieved from http://www.usgs.gov/newsroom/article_pf.asp?ID=4144

seismic activity may not be possible, leading to a recommendation that injection projects should be sited away from population centers.⁴⁷¹

- February 5, 2015 – Citing an association between increased water use and fracking-induced seismic activity, a research scientist at the Geological Survey of Canada offered the quantity of water injected underground as his hypothesis for an observed increase in the frequency and magnitude of earthquake activity in areas near fracking wells. Although the Council of Canadian Academies in 2014 called for more monitoring and data collection, there are only ten monitoring stations in British Columbia, overseeing the operations of thousands of fracking wells, reported the *Vancouver Observer*.⁴⁷²
- January 29, 2015 – The industry-funded Alberta Energy Regulator confirmed that the location of an earthquake of magnitude 4.4 near Fox Creek, Alberta, was “consistent with being induced by hydraulic fracturing operations,” making it the largest felt earthquake yet believed to be related to fracking. Despite claims from industry that tremors related to deep-level fracking could never reach magnitudes that would allow them to be felt on the surface, Gail Atkinson, who holds the Canada Research Chair in Induced Seismicity Hazards at Western University in Ontario, noted, “With fracking, the magnitudes have been increasing every year.”⁴⁷³
- January 6, 2015 – Using a specialized program, Miami University researchers analyzed data from multiple seismic stations and determined that a cluster of 77 earthquakes in Poland Township, Ohio, which occurred over the course of a little more than a week, was related temporally and spatially to active hydraulic fracturing operations. When the fracturing operations were shut down, the rate of earthquake activity declined to only 6 events in the next 12 hours and only a single event over approximately the next two months. Among this cluster of seismic activity, an earthquake of magnitude 3.0 ranks as one of the largest earthquakes in the United States to be induced by hydraulic fracturing. The mechanism for these earthquakes appears to be induction of slip along a pre-existing fault or fracture zone. Because “no known fault or historical seismicity had been [previously] identified in the area,” regulations prohibiting fracturing within three miles of a known fault would not have been protective.^{474, 475}

⁴⁷¹ McGarr, A., Bekins, B., Burkhardt, N., Dewey, J., Earle, P., Ellsworth, W., Ge, S., ... Sheehan, A. (2015). Coping with earthquakes induced by fluid injection. *Science*, 347(6224), 830-831. doi: 10.1126/science.aaa0494

⁴⁷² Leahy, D. (2015, February 5). Fracking-induced earthquake puts B.C. gas bonanza on shaky ground. *Vancouver Observer*. Retrieved from <http://www.vancouverobserver.com/news/fracking-induced-earthquake-puts-bc-gas-bonanza-shaky-ground>

⁴⁷³ Nikiforuk, A. (2015, January 29). Did Alberta just break a fracking earthquake world record? *TheTyee.ca*. Retrieved from http://thetyee.ca/News/2015/01/29/Alberta-Fracking-Earthquake/?utm_source=fb-page-editor-post&utm_medium=fb-page&utm_campaign=fb-01-2015

⁴⁷⁴ Skoumal, R. J., Brudzinski, M. R. & Currie, B. S. (2015). Earthquakes induced by hydraulic fracturing in Poland Township, Ohio. *Bulletin of the Seismological Society of America* 105(1). doi: 10.1785/0120140168

⁴⁷⁵ Wines, M. (2015, January 10). New research links scores of earthquakes to fracking wells near a fault in Ohio. *The New York Times*. Retrieved from http://www.nytimes.com/2015/01/08/us/new-research-links-scores-of-earthquakes-to-fracking-wells-near-a-fault-in-ohio.html?hp&action=click&pgtype=Homepage&module=first-column-region&region=top-news&WT.nav=top-news&assetType=nyt_now&r=0

- December 18, 2014 – In Canada, an investigation by the British Columbia Oil and Gas Commission found that induced seismicity in the Horn River Basin could be attributed both to wastewater disposal and to hydraulic fracturing operations. The Commission recommended mitigation of induced seismicity from wastewater disposal by “reducing injection rates, limiting the increase in [subsurface] reservoir pressure, and locating distal from faults,” among other mitigation techniques.^{476, 477}
- October 23, 2014 – Researchers from USGS and the Global Seismological Services in Golden, Colorado, linked a 2011 magnitude 5.3 earthquake in Colorado, which damaged the foundations of several homes, to underground disposal of fracking wastewater. The study determined that the earthquake ruptured an 8 to 10 kilometer-long segment of normal faults—an unexpectedly long length for a magnitude 5.3 earthquake—suggesting that wastewater disposal may have triggered a low stress drop.⁴⁷⁸ Lead author Bill Barnhart, a USGS geophysicist, told *Reuters*, “We saw a big increase in seismicity starting in 2001, including magnitude 5 earthquakes, in many locations in the basin, and that coincided with a surge in gas production and injection of wastewater.”⁴⁷⁹
- September 23, 2014 – Youngstown State University geologist Ray Beiersdorfer described increased seismic activity in Youngstown, Ohio in an essay that explores how fracking and fracking-related processes are causing “earthquake epidemics” across the United States.⁴⁸⁰
- September 15, 2014 – Researchers at the National Energy Technology Laboratory teamed up with researchers from industry and academia to publish data and analysis from a closely watched project that involved field monitoring of the induced fracturing of six horizontal Marcellus Shale gas wells in Greene County, Pennsylvania. Touted in earlier media reports as demonstrating that, during short-term follow-up, fracking chemicals injected into these six wells did not spread to overlying aquifers⁴⁸¹, the study’s most notable finding is striking documentation of fractures from three of the six wells extending vertically to reach above an overlying rock layer previously thought to create an impenetrable “frac barrier” (that is, an upper barrier to fracture growth). In one case, a fracture extended vertically 1,900 feet, a surprisingly far distance. No pre-existing fault had been detected at this location, suggesting that small “pre-existing fractures or small-

⁴⁷⁶ BC Oil & Gas Commission (2014). *Investigation of observed seismicity in the Montney Trend*. Retrieved from <http://www.bcogc.ca/node/12291/download>

⁴⁷⁷ Nikiforuk, A. (2015, January 10). Fracking industry shakes up Northern BC with 231 tremors. *TheTyee.ca*. Retrieved from http://www.thetyee.ca/News/2015/01/10/Fracking_Industry_Shakes_Up_Northern_BC/

⁴⁷⁸ Barnhart, W. D., Benz, H.M., Hayes, G.P., Rubinstein, J.L., & Bergman, E. (2014), Seismological and geodetic constraints on the 2011 Mw5.3 Trinidad, Colorado earthquake and induced deformation in the Raton Basin, *J. Geophys. Res. Solid Earth*, 119, 7923–7933, doi: 10.1002/2014JB011227. Retrieved from <http://onlinelibrary.wiley.com/doi/10.1002/2014JB011227/abstract>

⁴⁷⁹ Zuckerman, L. (2014, October 29). Gas wastewater likely triggered 2011 quake in Colorado: USGS. *Reuters*. Retrieved from <http://www.reuters.com/article/2014/10/29/us-usa-earthquake-colorado-idUSKBN0I2NP20141029>

⁴⁸⁰ Beiersdorfer, R. (2014, September 23). View: On fracking, earthquakes and Indian Point. *Journal Online*. Retrieved from <http://www.lohud.com/story/opinion/contributors/2014/09/23/view-geologist-warns-fracking-ties-earthquakes/16100755/>

⁴⁸¹ Begos, K. (2014, July 19). DOE study: Fracking chemicals didn't taint water. *Associated Press*. Retrieved from <http://bigstory.ap.org/article/ap-study-finds-fracking-chemicals-didnt-spread>

offset (sub-seismic) faults may have focused the energy of hydraulic fractures on certain areas....” Perhaps because of the extremely small sample size and a design focused primarily on monitoring for potential gas and fluid migration, the study’s analysis includes no discussion of the seismic relevance of extremely long, vertical induced fractures.⁴⁸²

- September 15, 2014 – Scientists from USGS ascribed causality to wastewater injection wells from coal-bed methane production for increases in seismic activity in New Mexico and Colorado and, in particular, for an earthquake that measured magnitude 5.3 in Colorado in 2011—the second largest earthquake to date for which there is clear evidence that the earthquake sequence was induced by fluid injection.⁴⁸³
- September 6, 2014 – The Ohio Department of Natural Resources suspended operations at two deep-injection wells for fracking wastewater near Warren in northeastern Ohio after discovering evidence that the operation possibly caused a magnitude 2.1 earthquake. The injection well operator, American Water Management Services, had recently received permission to increase pressures at the site of the wells. In 2012, Governor John Kasich had halted disposal of fracking wastewater surrounding a well site in the same region after a series of earthquakes were tied to a deep-injection well. The company that ran that well has disputed the link. The state placed seismic-monitoring devices in the Warren area under protocols adopted after the series of earthquakes in nearby Youngstown.⁴⁸⁴
- September 1, 2014 – Explaining the need for increased seismic monitoring, Andrew Beaton, Director of the Alberta Geological Survey (AGS), stated that over a long period of time, stresses increase in and around an injection well bore. Seismic movement can be caused if the rate of injection is too fast or if there is a geological feature, such as a fault or fracture in nearby areas. Although Albertans in rural areas have been reporting for years that they can feel tremors under their feet near oil and gas activity, especially around areas of fracking, the Alberta Energy Regulator noted that deep well injections have been shown to create more of an earthquake hazard than hydraulic fracturing. Alberta experienced 819 earthquakes between 1918 and 2009. In comparison, Saskatchewan recorded 13 in the same time period and British Columbia recorded more than 1,200 earthquakes in 2007 alone. There are currently 24 seismic monitors in Alberta, which are tied into other networks, such as those belonging to Environment

⁴⁸² Hammack, R., Harbert, W., Sharma, S., Stewart, B. W., Capo, R. C., Wall, A. J., . . . Veloski, G. (2014). An evaluation of fracture growth and gas/fluid migration as horizontal Marcellus Shale gas wells are hydraulically fractured in Greene County, Pennsylvania. *NETL-TRS-3-2014: EPA Act Technical Report Series. US Dept of Energy, National Energy Technology Laboratory*: Pittsburgh PA. Retrieved from http://www.netl.doe.gov/File%20Library/Research/onsite%20research/publications/NETL-TRS-3-2014_Greene-County-Site_20140915_1_1.pdf

⁴⁸³ Rubinstein, J. L., Ellsworth, W. L., McGarr, A. & Benz, H. M. (2014). The 2001-present induced earthquake sequence in the Raton Basin of Northern New Mexico and Southern Colorado [abstract]. *Bulletin of the Seismological Society of America*. Retrieved from <http://www.bssaonline.org/content/104/5/2162.abstract?stoc>

⁴⁸⁴ Smyth, J. C. (2014, September 6). Ohio halts injections at two wells for fracking wastewater after quake. *Associated Press*. Retrieved from <http://www.dispatch.com/content/stories/local/2014/09/06/ohio-halts-2-wells-for-fracking-wastewater-after-quake.html>

Canada, University of Calgary, and University of Alberta.⁴⁸⁵

- August 26, 2014 – In a first-of-its-kind lawsuit, a resident of Prague, Oklahoma, sued two energy companies after rocks fell from her chimney and injured her leg during an earthquake of greater than magnitude 5. The lawsuit claims that underground injection of fracking wastewater conducted by New Dominion LLC and Spess Oil Company has caused shifts in fault lines that have resulted in earthquakes.⁴⁸⁶
- July 31, 2014 – William Ellsworth, a research geophysicist at the U.S. Geological Survey Earthquake Science Center, reported that USGS is developing a hazard model that takes induced earthquakes into account. In addition, residents of Oklahoma, where a sharp spike in earthquake activity has been noted over the past decade, are showing an increased interest in obtaining earthquake insurance.⁴⁸⁷
- July 3, 2014 – Using data from the Oklahoma Corporation Commission, a team of researchers led by Cornell University geophysicist Katie Keranen found that a steep rise in earthquakes in Oklahoma can be explained by fluid migration from wastewater disposal wells. Moreover, injected fluids in high volume wells triggered earthquakes over 30 kilometers (over 18 miles) away. All of the wells analyzed were operated in compliance with existing regulations. Similar mechanisms may function in other states with high volumes of underground injection of wastewater from unconventional oil and gas production.⁴⁸⁸ Reporting on the study and the increase in earthquakes across the United States and the link to fracking and wastewater disposal, the *Associated Press* noted that some states, including Ohio, Oklahoma, and California, have introduced new rules compelling drillers to measure the volumes and pressures of their injection wells as well as to monitor seismicity during fracking operations.⁴⁸⁹
- July 1, 2014 – Seismologists linked the emergence of a giant sinkhole that formed in August 2012 near Bayou Corne in southeast Louisiana to tremors (earthquakes) caused by high-pressure pulses of either natural gas or water charged with natural gas. The surges of natural gas that caused the explosive tremors (earthquakes) may have weakened an adjacent salt cavern and caused its collapse. Alternatively, part of the salt cavern may have collapsed, causing a nearby gas pocket to give off surges of gas, later followed by

⁴⁸⁵ Maclean, R. (2014, September 1). Earthquake hazard linked with deep well injection in Alberta: Deep well disposal of oilfield waste over time leads to increased earthquake risk. *CBC News*. Retrieved from <http://www.cbc.ca/news/canada/calgary/earthquake-hazard-linked-with-deep-well-injection-in-alberta-1.2751963>

⁴⁸⁶ Rangel, L. (2014, August 26). Prague resident files lawsuit against two Okla. energy companies following earthquake injury. *Newschannel 4 (kfor.com)*. Retrieved from <http://kfor.com/2014/08/26/prague-resident-files-lawsuit-against-two-okla-energy-companies-following-earthquake-injury/>

⁴⁸⁷ Eaton, J. (2014, July 31). Oklahoma grapples with earthquake spike—and evidence of industry's role: Spike in seismic activity is linked with oil and gas wastewater disposal. *National Geographic*. Retrieved from <http://news.nationalgeographic.com/energy/2014/07/140731-oklahoma-earthquake-spike-wastewater-injection/>

⁴⁸⁸ Keranen, K. M., Weingarten, M., Abers, G. A., Bekins, B. A., & Ge, S. (2014). Sharp increase in central Oklahoma seismicity since 2008 induced by massive wastewater injection. *Science*, 345(6195), 448-451. doi: 10.1126/science.1255802

⁴⁸⁹ Schmall, E. & Jouzavavicius, J. (2014, July 14). States with fracking see surge in earthquake activity. *Associated Press*. Retrieved from http://www.huffingtonpost.com/2014/07/14/fracking-earthquake_n_5585892.html

the complete collapse of the salt cavern. These findings help illuminate the role of pressurized fluids in triggering seismic events.⁴⁹⁰

- June 24, 2014 – Following two earthquakes within a one-month period, the Colorado Oil and Gas Conservation Commission directed High Sierra Water Services to stop disposing wastewater into one of its Weld County injection wells. Monitoring by a team of seismologists from the University of Colorado had picked up evidence of continuing low-level seismic activity near the injection site, including a magnitude 2.6 event less than a month following a magnitude 3.4 earthquake that shook the Greeley area on May 31, 2014.⁴⁹¹
- May 2, 2014 – The USGS and Oklahoma Geological Survey (OGS) jointly issued an official earthquake warning for Oklahoma, pointing out that the number of earthquakes in the state has risen 50 percent since just October—when the two agencies had issued a prior warning. The advisory stated that this dramatic increase in the frequency of small earthquakes “significantly increases the chance for a damaging quake in central Oklahoma.” Injection wells used for the disposal of liquid fracking waste have been implicated as the presumptive cause of the earthquake swarm. According to the OGS, about 80 percent of the state of Oklahoma is closer than ten miles from an injection well.⁴⁹² Since the joint earthquake advisory was released in May, the number of earthquakes in Oklahoma has continued to rise. During the first four months of 2014, Oklahoma had experienced 109 earthquakes of magnitude 3 or higher on the Richter scale. By mid-June, the number of earthquakes had topped 200, exceeding the frequency of earthquakes in California.⁴⁹³
- May 2, 2014 – At the annual meeting of the Seismological Society of America, leading geologists warned that the risks and impacts of earthquakes from fracking and injection wells are even more significant than previously thought, pointing out that such earthquakes could occur tens of miles away from wells themselves, including quakes greater than magnitude 5.0. Justin Rubinstein, a research geophysicist at the USGS said, “This demonstrates there is a significant hazard. We need to address ongoing seismicity.”⁴⁹⁴ Seismologist Gail Atkinson reported, “We don’t know how to evaluate the

⁴⁹⁰ Nayak, A. & Dreger, D. S. (2014). Moment tensor inversion of seismic events associated with the sinkhole at Napoleonville Salt Dome, Louisiana. *Bulletin of Seismological Society of America* 104(4), 1763-1776. doi: 10.1785/0120130260

⁴⁹¹ Tomasic, J. (2014, June 24). Colorado drilling regulators halt injection-well activity in reaction to Greeley quake. *Colorado Independent*. Retrieved from <http://www.coloradoindependent.com/147934/colorado-drilling-regulators-halt-injection-well-activity-in-reaction-to-greeley-quake> (see also Baker, B. (2014, June 24). Colorado regulators halt fracking wastewater injection operation after earthquake strikes area for second time in a month. *Ecowatch*. Retrieved from <http://ecowatch.com/2014/06/24/colorado-wastewater-injection-earthquake/>)

⁴⁹² Geological Survey Joint Statement. (2014, May 2). Record number of Oklahoma tremors raises possibility of damaging earthquakes. United States Geological Survey. Retrieved from http://earthquake.usgs.gov/regional/ceus/products/newsrelease_05022014.php

⁴⁹³ Branson-Potts, H. (2014, June 17). Oklahoma coming to terms with unprecedented surge in earthquakes. *Los Angeles Times*. Retrieved from <http://www.latimes.com/nation/la-na-oklahoma-earthquakes-20140618-story.html#page=1>

⁴⁹⁴ Walsh, B. (2014, May 1). The seismic link between fracking and earthquakes. *Time*. Retrieved June 9, 2014, from <http://time.com/84225/fracking-and-earthquake-link/>

likelihood that a [fracking or wastewater] operation will be a seismic source in advance.”⁴⁹⁵

- April 11, 2014 – State geologists reported a link between fracking and a spate of earthquakes in Ohio, prompting the Ohio Department of Natural Resources to place a moratorium on drilling in certain areas and to require greater seismic monitoring.⁴⁹⁶
- April 3, 2014 – Researchers linked earthquakes in Mexico to fracking in the Eagle Ford Shale, which extends beneath both southern Texas and northern Mexico. They also noted a statistical correlation between seismic activity and fracking, particularly in the border state of Nuevo Leon, which registered at least 31 quakes between magnitude 3.1 and 4.3.⁴⁹⁷
- April 2014 – Researchers from the University of Alberta and the Alberta Geological Survey published a study in the *Journal of Geophysical Research* that found wastewater injection in Alberta is highly correlated with spikes of seismic activity between October 2006 and March 2012.⁴⁹⁸ On November 13, 2014, *CBC News* reported on a more recent increase in earthquakes, which may also be linked to injection wells.⁴⁹⁹
- March 7, 2014 – USGS researchers published a study confirming that Oklahoma’s damaging magnitude 5.7 earthquake in 2011 was caused by fracking wastewater injection.⁵⁰⁰ One of the authors of the study, seismologist Elizabeth Cochran, noted, “Even if wastewater injection only directly affects a low-hazard fault, those smaller events could trigger an event on a larger fault nearby.”⁵⁰¹

⁴⁹⁵ Kiger, P. J. (2014, May 2). Scientists warn of quake risk from fracking operations. *National Geographic*. Retrieved from <http://news.nationalgeographic.com/news/energy/2014/05/140502-scientists-warn-of-quake-risk-from-fracking-operations/>

⁴⁹⁶ Dave, P. (2014, April 12). Ohio finds link between fracking and sudden burst of earthquakes. *Los Angeles Times*. Retrieved from <http://www.latimes.com/nation/nationnow/la-na-nn-ohio-finds-link-fracking-earthquakes-20140411-story.html#axzz2yrnpHW1h>

⁴⁹⁷ Godoy, E. (2014, April 3). Fracking, seismic activity grow hand in hand in Mexico. *Inter Press Service*. Retrieved from <http://www.ipsnews.net/2014/04/fracking-seismic-activity-grow-hand-hand-mexico/>

⁴⁹⁸ Schultz, R., Stern, V. & Gu, Y. J. (2014). An investigation of seismicity clustered near the Cordell Field, west central Alberta, and its relation to a nearby disposal well. *Journal of Geophysical Research: Solid Earth*, 119, 3410–3423. doi: 10.1002/2013JB010836

⁴⁹⁹ Trynacity, K., & Siekierska, A. (2014, November 13). Fracking linked to Alberta earthquakes, study indicates. *CBC News*. Retrieved from <http://www.cbc.ca/news/canada/edmonton/fracking-linked-to-alberta-earthquakes-study-indicates-1.2829484>

⁵⁰⁰ Sumy, D. F., Cochran, E. S., Keranen, K. M., Wei, M., & Abers, G. A. (2013). Observations of static Coulomb stress triggering of the November 2011 M5.7 Oklahoma earthquake sequence [Abstract]. *Journal of Geophysical Research: Solid Earth*, 119(3), 1904-1923. doi: 10.1002/2013JB010612

⁵⁰¹ Oskin, B. (2014, March 07). Wastewater injection triggered Oklahoma's earthquake cascade. *Live Science*. Retrieved from <http://www.livescience.com/43953-wastewater-injection-earthquake-triggering.html>

- January 30, 2014 – A USGS research team linked the rise in earthquakes in Colorado to fracking wastewater injection wells and announced that a study will be published in six to nine months.⁵⁰²
- December 12, 2013 – The *New York Times* detailed the growing link between fracking wastewater injection wells and earthquakes, as well as between fracking itself and earthquakes, with a focus on Oklahoma and a recent magnitude 4.5 earthquake there. As the *New York Times* noted, “Oklahoma has never been known as earthquake country, with a yearly average of about 50 tremors, almost all of them minor. But in the past three years, the state has had thousands of quakes. This year has been the most active, with more than 2,600 so far, including 87 last week.... State officials say they are concerned, and residents accustomed to tornadoes and hail are now talking about buying earthquake insurance.”⁵⁰³
- November 19, 2013 – *Reuters* reported that a series of Oklahoma earthquakes in September of 2013 damaged several homes, and that more scientists in a number of states are concerned about earthquakes related to oil and gas development. Seismologist Austin Holland with the University of Oklahoma said, “This is a dramatic new rate of seismicity.”⁵⁰⁴
- July 19, 2013 – A study from the Lamont-Doherty Earth Observatory linked 109 earthquakes in Youngstown, Ohio to fracking wastewater disposal.^{505, 506}
- July 11, 2013 – A study in *Science* by Columbia University’s Lamont-Doherty Earth Observatory showed that deep-well injection of fracking waste can stress geological faults in ways that make them vulnerable to slipping. The research shows that distant natural earthquakes triggered swarms of smaller earthquakes on critically stressed faults. The researchers wrote, “The fluids [in wastewater injection wells] are driving the faults to their tipping point.... Areas with suspected anthropogenic earthquakes are more susceptible to earthquake-triggering from natural transient stresses generated by the seismic waves of large remote earthquakes.”⁵⁰⁷

⁵⁰² McClurg, L. (2014, January 30). Earthquakes in southern Colorado linked to oil and gas production. *Colorado Public Radio*. Retrieved from <http://www.cpr.org/news/story/earthquakes-southern-colorado-linked-oil-and-gas-production#sthash.UVvw0JWe.UQwWtYJS.dpuf>

⁵⁰³ Fountain, H. (2013, December 12). Experts eye oil and gas industry as quakes shake Oklahoma. *The New York Times*. Retrieved from <http://www.nytimes.com/2013/12/13/science/earth/as-quakes-shake-oklahoma-scientists-eye-oil-and-gas-industry.html>

⁵⁰⁴ Gillam, C. (2013, November 19). In Oklahoma, water, fracking - and a swarm of quakes. *Reuters*. Retrieved from <http://www.reuters.com/article/2013/11/19/us-usa-earthquakes-fracking-oklahoma-idUSBRE9AI12W20131119>

⁵⁰⁵ Kim, W. (2013). Induced seismicity associated with fluid injection into a deep well in Youngstown, Ohio. *Journal of Geophysical Research: Solid Earth*, 118(7), 3506-3518. doi: 10.1002/jgrb.50247

⁵⁰⁶ Chameides, B. (2013, September 5). Fracking waste wells linked to Ohio earthquakes. *Scientific American*. Retrieved from <http://www.scientificamerican.com/article/fracking-waste-wells-linked-to-ohio-earthquakes/>

⁵⁰⁷ Begley, S. (2013, July 11). Study raises new concern about earthquakes and fracking fluids. *Reuters*. Retrieved from <http://www.reuters.com/article/2013/07/11/us-science-fracking-earthquakes-idUSBRE96A0TZ20130711>

- April 2013 – A group of British researchers stated that hydraulic fracturing itself was the likely cause of at least three earthquakes powerful enough to be felt by human beings at the surface. The researchers proposed that increases in the fluid pressure in fault zones were the causal mechanism for these three known instances of “felt seismicity” in the United States, Canada, and the United Kingdom. The largest of these earthquakes was a magnitude 3.8 in the Horn River Basin, Canada.⁵⁰⁸
- March 26, 2013 – Scientists from the University of Oklahoma, Columbia University and USGS linked a 2011 swarm of earthquakes in Oklahoma to fracking waste disposal in that state.⁵⁰⁹ This included a magnitude 5.7 earthquake—possibly the largest ever triggered by wastewater injection—that injured two people, destroyed 14 homes, and was felt across 17 states.⁵¹⁰ The research team concluded in a paper in the journal *Geology* that their data called into question the previously predicted maximum size of injection-induced earthquakes.^{511, 512}
- December 14, 2012 – At a 2012 American Geophysical Union meeting, scientists presented data and concluded that some U.S. states, including Oklahoma, Texas and Colorado, have experienced a significant rise in seismic activity coinciding with a boom in gas drilling, fracking and wastewater disposal. Scientists further found that Oklahoma has seen a significant increase in earthquakes linked to wastewater injection, that a 5.3 earthquake in New Mexico was linked to wastewater injection, and that earthquakes were increasingly common within two miles of injection wells in the Barnett Shale region of Texas. Art McGarr, a researcher at the U.S. Geological Survey Earthquake Science Center, concluded that, “The future probably holds a lot more in induced earthquakes as the gas boom expands.”⁵¹³
- November 30, 2012, January 11, 2012, December 22, 2009 – In three different sets of comments on proposed fracking guidelines and regulations, citing scientific reports linking oil and gas infrastructure to seismic activity, the New York City Department of Environmental Protection (NYC DEP) raised serious concerns about the impacts of potential seismic activity from fracking-related activities on New York City’s water

⁵⁰⁸ Davies, R., Foulger, G., Bindley, A., & Styles, P. (2013). Induced seismicity and hydraulic fracturing for the recovery of hydrocarbons. *Marine and Petroleum Geology*, 45, 171-185. doi: 10.1016/j.marpetgeo.2013.03.016

⁵⁰⁹ Drajem, M., & Efstathiou, J., Jr. (2013, March 26). Quake tied to oil-drilling waste adds pressure for rules. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2013-03-26/oklahoma-earthquake-in-2011-tied-to-wastewater-wells-in-fracking.html>

⁵¹⁰ Behar, M. (2013, March/April). Fracking’s latest scandal? Earthquake swarms. *Mother Jones*. Retrieved from <http://www.motherjones.com/environment/2013/03/does-fracking-cause-earthquakes-wastewater-dewatering?page=1>

⁵¹¹ Keranen, K. M., Savage, H. M., Abers, G. A. & Cochran, E.S. (2013). Potentially induced earthquakes in Oklahoma, USA: Links between wastewater injection and the 2011 Mw 5.7 earthquake sequence. *Geology*. doi: 10.1130/G34045.1

⁵¹² Diep, F. (2013, March 28). Study: wastewater injection caused Oklahoma’s largest-ever earthquake. *Popular Science*. Retrieved from <http://www.popsci.com/science/article/2013-03/largest-earthquake-ever-linked-lightly-regulated-wastewater-wells>

⁵¹³ Leber, J. (2012, December 14). Studies link earthquakes to wastewater from fracking. *MIT Technology Review*. Retrieved from <http://www.technologyreview.com/news/508151/studies-link-earthquakes-to-wastewater-from-fracking/>

supply infrastructure.^{514, 515, 516} The NYC DEP has consistently raised concerns that seismic activity surrounding New York City's aquifers and watershed infrastructure could threaten the city's drinking water supply by triggering microseismic events and small induced earthquakes that, in turn, could threaten the integrity of the aging, 100-mile-long aqueducts that carry drinking water from the Catskill Mountains into the New York City metropolitan area. The agency expressed specific concerns about the ability of hydraulic fracturing fluids to migrate underground and to intercept and reactivate faults miles away.

- September 6, 2012 – The British Columbia Oil and Gas Commission determined that fracking itself causes earthquakes, pointing to the results of a probe into 38 seismic events near fracking operations in the Horn River Basin. The report noted that no quakes had been recorded in the area prior to April 2009, before fracking began. The report recommended that the link between fracking and seismic activity be further examined.⁵¹⁷
- March 29, 2012 – The USGS found that between 2001 and 2011, there was a six-fold increase in earthquakes greater than magnitude 3.0 in the middle of the United States that “are almost certainly manmade.” The agency further reported that the increase appears to be linked to oil and gas production and deep injection of drilling wastewater.^{518, 519}
- July 31, 2011 – Numerous earthquakes in Arkansas motivated the Arkansas Oil and Gas Commission to shut down a disposal well and enact a permanent moratorium on future disposal wells in a nearly 1,200 square-mile area of the Fayetteville Shale.⁵²⁰

⁵¹⁴ New York City Department of Environmental Protection. (2009, December 22). *New York City comments on: Draft supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program - Well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus Shale and other low-permeability gas reservoirs* (Rep.). Retrieved from

http://www.nyc.gov/html/dep/pdf/natural_gas_drilling/nycdep_comments_final_12-22-09.pdf

⁵¹⁵ New York City Department of Environmental Protection. (2012, January 11). *Comments on the revised draft supplemental generic environmental impact statement*. (Rep.). Retrieved from

http://www.nyc.gov/html/dep/pdf/natural_gas_drilling/nycdep_comments_on_rdsgeis_for_hvhf_20120111.pdf

⁵¹⁶ New York City Department of Environmental Protection. (2012, November 30). *Comments on the revised high-volume hydraulic fracturing regulations* (Rep.). Retrieved from

http://www.nyc.gov/html/dep/pdf/natural_gas_drilling/revised_high_volume_hydraulic_fracturing_regulations_comments_letter_010713.pdf

⁵¹⁷ The Canadian Press. (2012, September 6). Fracking causes minor earthquakes, B.C. regulator says. *CBC News*. Retrieved from <http://www.cbc.ca/news/canada/british-columbia/fracking-causes-minor-earthquakes-b-c-regulator-says-1.1209063>

⁵¹⁸ Ellsworth, W. (2011, April 18). Are seismicity rate changes in the midcontinent natural or manmade? Retrieved from http://www2.seismosoc.org/FMPro?-db=Abstract_Submission_12&-sortfield=PresDay&-sortorder=ascending&-sortfield=Special+Session+Name+Calc&-sortorder=ascending&-sortfield=PresTimeSort&-sortorder=ascending&-op=gt&PresStatus=0&-lop=and&-token.1=ShowSession&-token.2=ShowHeading&-recid=224&-format=%2Fmeetings%2F2012%2Fabstracts%2Fsessionabstractdetail.html&-lay=MtgList&-find

⁵¹⁹ Soraghan, M. (2012, March 29). 'Remarkable' spate of man-made quakes linked to drilling, USGS team says. *E&E Publishing, LLC*. Retrieved from <http://www.eenews.net/stories/1059962190>

⁵²⁰ Zilk, C. (2011, July 31). Permanent disposal-well moratorium issued. *Arkansas Online*. Retrieved from <http://www.arkansasonline.com/news/2011/jul/31/permanent-disposal-well-moratorium-issued-20110731/>

- March 10, 2010 – In Texas, a 2008-2009 swarm of earthquakes in the Dallas-Fort Worth area was linked to produced water disposal wells.⁵²¹
- June 12, 2009 – *The Wall Street Journal* reported that earthquakes shook Cleburne, Texas, a small town at the epicenter of fracking activity. More earthquakes were detected during that period of fracking activity than in the previous 30 years combined.⁵²²

Abandoned and active oil and natural gas wells as pathways for gas and fluid migration

An estimated 2.6 million oil and gas wells across the United States are no longer in production. The location and status of the vast majority are not recorded in state databases, and most remain unplugged. Whether plugged or unplugged, abandoned wells serve as potential pathways for gas and fluid migration, heightening the risks of groundwater contamination and contributing methane emissions to the atmosphere. Vertical channels can be opened when fractures from new drilling and fracking operations intersect with old, abandoned wells. Research from New York and Pennsylvania (where an estimated three-quarters of abandoned wells are not plugged) shows that, cumulatively, abandoned wells are a significant source of methane leakage into the atmosphere and may exceed cumulative total leakage from oil and gas wells currently in production. No state or federal agency routinely monitors methane leakage from abandoned wells. Industry experts, consultants and government agencies including the U.S. Environmental Protection Agency (EPA), the U.S. General Accounting Office (now the Government Accountability Office), Texas Department of Agriculture, New York State Department of Environmental Conservation (NYS DEC), Pennsylvania Department of Environmental Protection (DEP), Illinois Environmental Protection Agency, and the British Columbia Oil and Gas Commission have all warned about problems with abandoned wells due to the potential for pressurized fluids and gases to migrate through inactive and in some cases, active wells.

- June 20, 2016 – Pennsylvania’s attorney general began reviewing regulations requiring drillers to document abandoned oil and gas wells within 1,000 feet of a new fracking site. According to a *Bloomberg* investigation, “This puts Pennsylvania among states such as California, Texas, Ohio, Wyoming and Colorado confronting the environmentally catastrophic legacy of booms as fracking and home development expand over former drilling sites. As the number of fracked wells increases, so does the chance they might interact with lost wells.” As noted by *Bloomberg*, state databases document only about 10 percent of the nation’s 2.6 million abandoned oil and gas wells; the whereabouts of the vast majority are unknown. Current efforts in Pennsylvania to increase documentation on the location and status of inactive wells rely on “citizen scientists” equipped with GPS

⁵²¹ Frohlich, C., Hayward, C., Stump, B., & Potter, E. (2011). The Dallas-Fort Worth Earthquake Sequence: October 2008 through May 2009. *Bulletin of the Seismological Society of America*, 101(1), 327-340. doi: 10.1785/0120100131

⁵²² Casselman, B. (2009, June 12). Temblors rattle Texas town. *Wall Street Journal*. Retrieved from <http://online.wsj.com/news/articles/SB124476331270108225>

and methane sniffers, as well as home and farm-owners living on top of abandoned wells. Over a period of three decades, Pennsylvania's Department of Environmental Protection has located and plugged only about 3,000 abandoned wells.⁵²³

- May 30, 2016 – New developments of houses, schools, and shopping centers are being built over abandoned oil and gas wells, according to a report by Wyoming Public Media. In most states there is no requirement for homeowners to be notified about abandoned wells on their properties, and these wells are not systematically monitored for leaks, nor are their locations well mapped. A builder who worked in the oil and gas industry for decades and suffered cardiac arrest when methane from an abandoned well he was inadvertently working atop exploded, said that there were “no signs” that a well was there.⁵²⁴
- January 26, 2016 – Researchers tested soil methane levels at 102 United Kingdom decommissioned oil and gas wells between 8 and 79 years old. Thirty percent of the wells had methane at the soil surface that was significantly higher than their control samples in nearby fields. Thirty-nine percent of well sites had significantly lower surface soil methane than their respective controls. Researchers suggested several explanations for the latter results, including replaced soils.⁵²⁵
- October 20, 2015 – Abandoned oil and gas wells near fracking sites can be conduits for methane escape that is not currently being measured, according to University of Vermont researchers. Fractures in the surrounding rock may connect to existing unused oil and gas wells in the area during fracking processes, thus providing a pathway for methane to migrate to the surface. The study used a mathematical model based on the large part of southern New York State underlain by the Marcellus Shale, incorporating “the depth of a new fracturing well, the vertical growth of induced fractures, and the depths and locations of existing nearby wells.” The researchers concluded the probability that new fracking-induced fractures would connect to a pre-existing well to be .03 percent to 3 percent. Density of nearby abandoned wells was the largest factor, and researchers pointed out the continuing problem of undocumented abandoned wells.⁵²⁶ As noted in an accompanying press release, probabilities are likely much higher: “Industry-sponsored information made public since the paper was published vastly increased assumptions about the area

⁵²³ Oldham, J. (2016, June 20). In the birthplace of U.S. oil, methane gas is leaking everywhere. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/articles/2016-06-20/in-the-birthplace-of-u-s-oil-methane-gas-is-leaking-everywhere>

⁵²⁴ Editor. (2016, May 30). Danger below? New properties hide abandoned oil and gas wells. *Wyoming Public Media*. Retrieved from <http://wyomingpublicmedia.org/post/hidden-abandoned-dangerous-old-gas-and-oil-wells-neighborhoods>

⁵²⁵ Boothroyd, I. M., Almond, S., Qassim, S. M., Worrall, F., & Davies, R. J. (2016). Fugitive emissions of methane from abandoned, decommissioned oil and gas wells. *Science of the Total Environment*, 547, 461-469. doi: 10.1016/j.scitotenv.2015.12.096

⁵²⁶ Montague, J. A. & Pinder, J. F. (2015). Potential of hydraulically induced fractures to communicate with existing wellbores. *Water Resources Research*, 51, 8303–8315. doi: 10.1002/2014WR016771

impacted by a set of six to eight fracking wells known as a well pad – to two square miles – increasing the probabilities cited in the paper by a factor of 10 or more.”⁵²⁷

- July 9, 2015 – As part of an extensive, peer-reviewed assessment of fracking in California, the California Council on Science and Technology identified leakage through failed, inactive wells as a known mechanism for fracking-related water contamination in other states, including Texas and Ohio, and said that it is not known whether abandoned wells in California likewise function as conduits for groundwater contamination and gas leakage. In California, there are more inactive than active wells. Of the state’s nearly one-quarter million oil and gas wells, more than half (116,000) have been plugged and abandoned, while another 1,800 inactive wells are “buried” with only an approximate location known. The locations of another 338 old wells are entirely unknown. California also has 110 orphaned wells, that is, abandoned wells with no owners. Most of California’s abandoned wells (53 percent) are located in Kern County.⁵²⁸
- May 11, 2015 – *CBC News* reported that falling gas and oil prices have prompted many smaller companies to abandon their operations in Alberta, Canada, leaving the provincial government to close down and dismantle their wells. In the past year alone, the number of orphaned wells in Alberta increased from 162 to 702. At the current rate of work, deconstructing the inventory of wells abandoned just in the past year alone will be a 20-year task.⁵²⁹
- April 27, 2015 – In a peer-reviewed study, researchers with the U.S. Fish and Wildlife Service documented 5,002 wells located on National Wildlife Refuge System units, in addition to 1,339 miles of pipeline. Almost half of the wells were inactive, while one-third were active and the remainder either plugged and abandoned or with status unknown. Highlighting the impacts of leaks, spills, and routine operation and maintenance on wildlife conservation efforts, the authors called for regular on-site ecological assessments, improved efforts to plug inactive wells and restore inactive well sites, and a “consolidated and robust regulatory framework” to protect the public’s interests.⁵³⁰
- March 24, 2015 – Analyzing data from 42 abandoned oil and gas wells in western Pennsylvania, a Princeton and Stanford team documented a wide range of leakage potentials. As a group, gas wells have higher permeability than oil wells. Among gas wells, methane flow rates are positively correlated with permeability. Subterranean temperatures and temperatures, along with well depth, are all variables that can influence

⁵²⁷ Newswise. (2015, October 20). Dirty pipeline: Methane from fracking sites can flow to abandoned wells, new study shows. *Newswise*. Retrieved from <http://www.newswise.com/articles/view/641581/>

⁵²⁸ Stringfellow, W. T., Cooley H., Varadharajan, C., Heberger, M., Reagan, M. T., Domen, J.K., . . . Houseworth, J. E. (2015, July 9). Volume II, Chapter 2: Impacts of well stimulation on water resources. In: *An Independent Scientific Assessment of Well Stimulation in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from <http://ccst.us/publications/2015/vol-II-chapter-2.pdf>

⁵²⁹ Johnson, T. (2015, May 11). Alberta sees huge spike in abandoned oil and gas wells. *CBC News*. Retrieved from <http://www.cbc.ca/news/canada/calgary/alberta-sees-huge-spike-in-abandoned-oil-and-gas-wells-1.3032434>

⁵³⁰ Ramirez Jr., P. & Mosley, S. B. (2015). Oil and gas wells and pipelines on U.S. wildlife refuges: Challenges for managers. *PLoS ONE*, 10(4). doi: 10.1371/journal.pone.0124085

leakage potentials of abandoned wells. The leakage potential of wells drilled prior to 1960 is moderate to high, and plugged wells, as well as unplugged wells, can leak. The authors note that cement plugs are imperfect barriers that can develop defects that allow fluids to flow through gaps between the plug and surrounding hole, through pores or fissures within the plug itself, or directly through cracks in the well casing.⁵³¹

- December 8, 2014 – A Princeton University team found that abandoned oil and gas wells in Pennsylvania, left over from prior decades of conventional drilling, leak significantly more methane than previously thought. Between 300,000 and 500,000 abandoned oil and gas wells are located in Pennsylvania, and many go unchecked and unmonitored for leaks. Nearly three-quarters are unplugged. Based on direct measurements of methane flow from 19 such wells, most of which were a half century old or older, the researchers estimated that the methane leaks from abandoned wells alone could account for between 4 and 7 percent of human-caused methane emissions in the state. Based on these measurements of positive methane flow from decades-old wells, the authors concluded that cumulative emissions from these abandoned wells “may be significantly larger than the cumulative leakage associated with oil and gas production, which has a shorter lifetime of operation.” Further, methane flow rates from plugged wells measured in this study were not consistently lower than unplugged wells and indeed were sometimes higher, even though wells are plugged for the precise purpose of limiting the escape of gases. The authors noted that an estimated three million abandoned oil and gas wells are scattered across the United States and likely represent “the second largest potential contribution to total US methane emissions above US Environmental Protection Agency estimates.” In the United States, no regulatory requirements for monitoring methane leaks from abandoned wells exist.^{532, 533}
- December 1, 2013 – An analysis of reports from the NYS DEC found that three-quarters of the state’s abandoned oil and gas wells were never plugged. New York State has approximately 48,000 such wells; many of their locations remain unknown.⁵³⁴
- Aug. 4, 2011 – A report from the EPA to Congress in 1987—and discovered by the *New York Times*—concluded that abandoned natural gas wells may have served as a pathway for hydraulic fracturing fluids to migrate underground from a shale gas well to a water well in West Virginia. In noting that the water well was polluted due to hydraulic fracturing and that such contamination was “illustrative” of contamination from oil and

⁵³¹ Kang, M., Baik, E., Miller, A.R., Bandilla, K.W., & Celia, M.A. (2015). Effective permeabilities of abandoned oil and gas wells: analysis of data from Pennsylvania. *Environmental Science & Technology*, 49(7). doi: 10.1021/acs.est.5b00132

⁵³² Kang, M., Kanno, C. M., Reid, M. C., Zhang, X., Mauzerall, D. L., Celia, M. A., . . . Onstott, T. C. (2014, December 8). Direct measurements of methane emissions from abandoned oil and gas wells in Pennsylvania. *Proceedings of the National Academy of Sciences*. Advance online publication. doi: 10.1073/pnas.1408315111

⁵³³ Magill, B. (2014, June 19). Derelict oil wells may be major methane emitters. *Climate Central*. Retrieved from <http://www.climatecentral.org/news/abandoned-oil-wells-methane-emissions-17575>

⁵³⁴ Bishop, R. E. (2014). Historical analysis of oil and gas well plugging in New York: Is the regulatory system working? *NEW SOLUTIONS: A Journal of Environmental and Occupational Health Policy*, 21, 103-116. Retrieved from <http://baywood.metapress.com/media/16ut607yqg1yrw9ydad3/contributions/b/0/4/7/b047j34r87552325.pdf>

natural gas drilling, the report suggested that additional cases of groundwater contamination from hydraulic fracturing may exist.⁵³⁵

- April 4, 2011 – *ProPublica* reported that abandoned wells have caused problems across the nation including contamination of drinking water in Colorado, Kentucky, Michigan, New York, Texas, and other states. *ProPublica* also found that a draft report from the Pennsylvania DEP described a 2008 incident in Pennsylvania in which a person died in an explosion triggered by lighting a candle in a bathroom after natural gas had seeped into a septic system from an abandoned well. The same draft report documented at least two dozen additional cases in which gas leaked from old wells, and three in which gas from new wells migrated into old wells, seeping into water supplies and requiring the evacuation of homes.⁵³⁶
- May 20, 2010 – The British Columbia Oil and Gas Commission issued a safety advisory after hydraulic fracturing caused a large “kick,” or unintentional entry of fluid or gas, into a nearby gas well. The commission reported that it knew of 18 incidents in British Columbia and one in Western Alberta in which hydraulic fractures had entered nearby gas wells. “Large kicks resulted in volumes up to 80 cubic meters [about 100 cubic yards] of fluids produced to surface. Invading fluids have included water, carbon dioxide, nitrogen, sand, drilling mud, other stimulation fluids and small amounts of gas.” These cases occurred in horizontal wells with a distance between wellbores of up to 2,300 feet. The Commission wrote, “It is recommended that operators cooperate through notifications and monitoring of all drilling and completion operations where fracturing takes place within 1000m [3,280 feet] of well bores existing or currently being drilled.” Such communication between active wells raises the potential that similar communication can occur between active wells and abandoned wells.⁵³⁷
- 2010 – The NYS DEC cautioned that “abandoned wells can leak oil, gas and/or brine; underground leaks may go undiscovered for years. These fluids can contaminate ground and surface water, kill vegetation, and cause public safety and health problems.” As the agency reported, “DEC has at least partial records on 40,000 wells, but estimates that over 75,000 oil and gas wells have been drilled in the State since the 1820s. Most of the wells date from before New York established a regulatory program. Many of these old wells were never properly plugged or were plugged using older techniques that were less reliable and long-lasting than modern methods.”⁵³⁸ The agency published similar comments in 2008 and 2009.
- January 2009 – In a presentation before the Society of Petroleum Engineers, industry consultant Michael C. Vincent reported on evidence that fractures from hydraulically

⁵³⁵ Urbina, I. (2011, August 4). A tainted water well, and concern there may be more. *The New York Times*. Retrieved from <http://www.nytimes.com/2011/08/04/us/04natgas.html>

⁵³⁶ Kusnetz, N. (2011, April 4). Danger in honeycomb of old wells. *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/nation/2011/04/04/Danger-in-honeycomb-of-old-wells/stories/201104040149>

⁵³⁷ British Columbia Oil & Gas Commission. (2010, May 20). Safety advisory: communication during fracture stimulation. Retrieved from <https://www.bcogc.ca/node/5806/download>

⁵³⁸ New York State Department of Environmental Conservation. (2010). New York oil, gas and mineral resources 2010. Retrieved from http://www.dec.ny.gov/docs/materials_minerals_pdf/10anrpt1.pdf

fractured wells can communicate with nearby oil and gas wells. In spite of numerous examples of fractures intersecting with adjacent wellbores, the industry is reluctant to publish reports documenting these cases because “such information could unnecessarily alarm regulators or adjacent leaseholders.” Vincent added, “Although computing tools have improved, as an industry we remain incapable of fully describing the complexity of the fracture, reservoir, and fluid flow regimes.” These findings raise the possibility that there could be similar communications between existing fracked wells that are fractured and abandoned wells and that operators cannot accurately predict how these will interact.
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- 2005 – M.K. Fisher, Vice President of Business Management at Pinnacle, a service of Halliburton that specializes in hydraulic fracturing, reported in an article published by the Society of Petroleum Engineers that a single fracture produced during a fracking operation in the Texas Barnett Shale had unexpectedly spread 2,500 feet laterally in two directions. He also described fractures in the Barnett Shale as “extremely complex.”⁵⁴⁰ These findings raise the possibility that well communication over very large distances could occur due to fractures that spread “unexpectedly.”
- October 1999 – The U.S. Department of Energy reported that there were approximately 2.5 million abandoned oil and gas wells in the U.S.⁵⁴¹
- Early 1990s – An underground waste disposal well in McKean County, Pennsylvania, contaminated groundwater when the wastewater traveled up a nearby abandoned, unmapped, and unplugged oil well. Owners of private water wells that were contaminated by the incident eventually had to be connected to a public water system.⁵⁴²
- July 1989 – In the past, the investigative agency for Congress, the U.S. General Accounting Office (now the Government Accountability Office—GAO) studied oil and natural gas underground injection disposal wells and found serious cases of contamination. The agency reported that, in several cases, wastewater from oil and natural gas operations had migrated up into abandoned oil and natural gas wells, contaminating underground water supplies. The GAO found that “if these abandoned wells are not properly plugged—that is, sealed off—and have cracked casings, they can serve as pathways for injected brines [waste fluids from natural gas and oil drilling] to enter drinking water.... Because groundwater moves very slowly, any contaminants that

⁵³⁹ Vincent, M. C. (2009, January 19). Examining our assumptions – Have oversimplifications jeopardized our ability to design optimal fracture treatments? Lecture presented at Society of Petroleum Engineers hydraulic fracturing technology conference in The Woodlands, Texas. See <http://www.spe.org/dl/docs/2010/MikeVincent.pdf>

⁵⁴⁰ Fisher, M., Wright, C., Davidson, B., Steinsberger, N., Buckler, W., Goodwin, A., & Fielder, E. (2005). Integrating fracture-mapping technologies to improve stimulations in the Barnett Shale. *SPE Production & Facilities*, 20(2). doi: 10.2118/77441-PA

⁵⁴¹ United States Department of Energy, Office of Fossil Energy. (1999, October 5). *Environmental benefits of advanced oil and gas exploration and production technology*. (Rep.). Retrieved from <http://www.netl.doe.gov/kmd/cds/disk25/oilandgas.pdf>

⁵⁴² Hopey, D. (2012, January 3). Wastewater disposal wells under scrutiny following Irvin leak. *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/news/environment/2012/01/03/Wastewater-disposal-wells-under-scrutiny-following-Irvin-leak.html>

enter it will remain concentrated for long periods of time, and cleanup, if it is technically feasible, can be prohibitively costly.”⁵⁴³

- December 1987 – The EPA submitted a report to Congress on oil and natural gas wastes in which the agency cautioned that abandoned wells must be plugged with cement in order to avoid “degradation” of ground and surface waters as a result of pressurized brine or injected waste from wastewater disposal wells migrating into to aquifers, rivers, or streams.⁵⁴⁴ While the EPA did not address the potential for contamination through abandoned wells as a result of hydraulic fracturing, both hydraulic fracturing and underground injection disposal wells require underground injection of fluid under pressure, raising the potential that there is a similar risk of groundwater contamination when hydraulic fracturing occurs near abandoned wells.
- 1985 – In an investigation of 4,658 complaints due to oil and natural gas production, the Texas Department of Agriculture found that “when a water well is experiencing an oilfield pollution problem (typically, high chlorides), the pollution source is often difficult to track down. The source could be a leak in the casing of a disposal well, leakage behind the casing due to poor cement bond, old saltwater evaporation pits, or, most often, transport of contaminants through an *improperly plugged abandoned well*” (emphasis in original). The agency found more than a dozen confirmed or suspected cases in which pollutants had migrated up abandoned wells and contaminated groundwater. In one case, drilling wastewater migrated up an abandoned well a half mile away from where the wastewater was injected underground for disposal.⁵⁴⁵
- November 1978 – In a report later cited by the EPA in its 1987 report to Congress (cited above), the state of Illinois Environmental Protection Agency found that oil and natural gas wastes injected underground could migrate through abandoned oil and natural gas wells and contaminate groundwater. The agency wrote, “In old production areas, abandoned wells may pose a serious threat to ground water quality. Unplugged or improperly plugged wells provide possible vertical communication between saline and fresh water aquifers.”⁵⁴⁶

⁵⁴³ United States Government Accountability Office. (1989, July 5). Drinking water: Safeguards are not preventing contamination from injected oil and gas wastes. Retrieved from <http://www.gao.gov/products/RCED-89-97>. (2, 4, Rep.).

⁵⁴⁴ U.S. Environmental Protection Agency. (1987). *Report to Congress: Management of wastes from the exploration, development, and production of crude oil, natural gas, and geothermal energy* (III-47, Rep.). Retrieved from <http://nepis.epa.gov/Exe/ZyPDF.cgi?Dockey=20012D4P.pdf>

⁵⁴⁵ Texas Department of Agriculture, Department of Natural Resources. (1985). *Agricultural land and water contamination: From injection wells, disposal pits, and abandoned wells used in oil and gas production* (pp. 5, 12-15). Austin, TX: Dept. of Agriculture, Office of Natural Resources.

⁵⁴⁶ Illinois Environmental Protection Agency, Water Quality Management Planning. (1978). *Illinois oil field brine disposal assessment* (pp. 44-45, Rep.).

Flood risks

Massive land clearing and forest fragmentation that necessarily accompany well site preparation increase erosion and risks for catastrophic flooding, as do access roads, pipeline easements, and other related infrastructure. Compared to an acre of forest or meadow, an acre of land subject to fracking construction activity releases 1,000 to 2,000 times more sediment during rainstorms. In addition, in some cases, operators choose to site well pads on flood-prone areas in order to have easy access to water for fracking, to abide by setback requirements intended to keep well pads away from inhabited buildings, or to avoid productive agricultural areas. In turn, flooding increases the dangers of unconventional gas extraction, heightening the risks of contamination of soils and water supplies, the overflow or breaching of containment ponds, and the escape of chemicals and hazardous materials.

- May 25, 2016 – The removal of photos of flood-related oil spills on a Texas state-run website appears to be an effort to hide visuals that “don’t portray the energy business in a flattering light,” according to the *El Paso Times* Editorial Board. The photos revealed potential environmental damage caused by flooding at fracking sites.⁵⁴⁷ As earlier reported by the *El Paso Times*, many of the photos shot during Texas’ recent floods “show swamped wastewater ponds at fracking sites, presumably allowing wastewater to escape into the environment—and potentially into drinking-water supplies.”⁵⁴⁸
- May 1, 2016 – Spring floods across Texas inundated oil wells and fracking sites, tipped over storage tanks, and flushed crude oil and fracking chemicals into rivers, as documented in an Associated Press story that referenced dozens of aerial photographs showing flooded production sites along the Sabine River on the Texas-Louisiana border. (The photographs were later removed from direct public access; see above.) Past president of the American Public Health Association Walter Tsou, MD, called the situation “a potential disaster.”⁵⁴⁹
- June 12, 2015 – At the beginning of 2015, after a month of record-breaking rainfall, Fish and Wildlife Service officials at the Hagerman National Wildlife Refuge in Texas found that floodwaters flowing through oil production well pads in the refuge had inundated dozens of jackpumps, pipelines, and other oil and gas infrastructure, leaving bubbling, oily water and a gassy stench. In 1989, the Government Accountability Office called for “bold action” to address fossil fuel production activities incompatible with the mission of the refuge system. Subsequent reforms have been exceedingly slow, according to a report from *Greenwire*. In most cases, the Fish and Wildlife Service does not know how much fossil fuel is produced or spilled on refuges, and remediation efforts are inadequate. Severe weather events are expected to increase in frequency and severity as climate

⁵⁴⁷ *El Paso Times* Editorial Board. (2016, May 25). Editorial: Hiding bad news from Texans. *El Paso Times*. Retrieved from <http://www.elpasotimes.com/story/opinion/editorials/2016/05/25/editorial-hiding-bad-news-texans/84937054/>

⁵⁴⁸ Schladen, M. (2016, April 30). Flooding sweeps oil, chemicals into rivers. *El Paso Times*. Retrieved from <http://www.elpasotimes.com/story/news/2016/04/30/flooding-sweeps-oil-chemicals-into-rivers/83671348/>

⁵⁴⁹ Siron, C. (2016, May 1). Texas floods washing fracking chemicals, crude oil into rivers. *Dallas Morning News*. Retrieved from <http://thescoopblog.dallasnews.com/2016/05/texas-floods-washing-fracking-chemicals-crude-oil-into-rivers.html/>

change progresses, amplifying flood related concerns.⁵⁵⁰

- June 20, 2014 – The *Coloradoan* reported that Noble Energy storage tanks damaged by spring flooding in Colorado dumped 7,500 gallons of crude oil, fracking chemicals, and fracking wastewater into the Cache la Poudre River, which is both a National Heritage area and a habitat for Colorado’s only self-sustaining population of wild trout. Recent high river flows had undercut the bank where the oil tank was located, which caused the tank to drop and break a valve.⁵⁵¹
- March 2014 – An extraordinary flood that struck the Front Range of Colorado killed ten people, forced the evacuation of 18,000 more, destroyed more than 1,850 homes, and damaged roads, bridges, and farmland throughout the state. More than 2,650 oil and gas wells and associated facilities were also affected, with 1,614 wells lying directly within the flood impact zone. Many of these storm-damaged facilities and storage tanks leaked uncontrollably. In a later accounting, Matt Lepore, Director of the Colorado Oil and Gas Conservation Commission, estimated the flooding had resulted in the release to the environment of 48,250 gallons of oil or condensate and 43,479 gallons of fracking wastewater from 50 different spill sites across the state. In Colorado, more than 20,850 oil and gas wells lie within 500 feet of a river, stream, or other drainage. According to Director Lepore, setback requirements that keep drilling and fracking operations away from residential areas inadvertently encourage operators to drill in unoccupied floodplains. At the same time, oil and gas operators prefer locations close to supplies of water for use in fracking. These twin factors result in a clustering of drilling and fracking operations in low-lying areas prone to catastrophic flooding.⁵⁵²
- 2004-2013 – In at least six of the last ten years (2004, 2005, 2006, 2009, 2011, and 2013), several counties targeted for shale gas drilling in New York State have experienced serious flooding. These include the counties of Albany, Broome, Cattaraugus, Chautauqua, Chenango, Delaware, Erie, Greene, Madison, Orange, Otsego, Schoharie, Sullivan and Ulster. In at least five of the past 10 years (2004, 2005, 2006, 2009 and 2011), floods have exceeded 100-year levels in at least some of the counties.^{553, 554, 555, 556, 557, 558, 559}

⁵⁵⁰ Hiar, C. (2015, June 12). Wildlife refuges: Floods expose weakness in FWS's oil and gas oversight. *E&E Publishing*. Retrieved from <http://www.eenews.net/stories/1060020169>

⁵⁵¹ Handy, R. (2014, June 20). Crude oil spills into Poudre near Windsor. *Coloradoan*. Retrieved from <http://www.coloradoan.com/story/news/local/2014/06/20/crude-oil-spills-poudre-near-windsor/11161379/>

⁵⁵² Lepore, M. (2014, March). “Lessons Learned” in the front range flood of September 2013: a staff report to the commissioners of the Colorado Oil and Gas Conservation Commission. Retrieved from the Colorado Oil and Gas Conservation Commission website:

http://cogcc.state.co.us/Announcements/Hot_Topics/Flood2013/FinalStaffReportLessonsLearned20140314.pdf

⁵⁵³ Brooks, L. T. (2005). *Flood of September 18-19, 2004 in the upper Delaware River basin, New York* (Rep.). Retrieved from United States Geological Survey website: <http://ny.water.usgs.gov/pubs/of/of051166/>

⁵⁵⁴ Suro, T. P., & Firda, G. D. (2006). *Flood of April 2–3, 2005, Neversink River basin, New York* (Rep.). Retrieved from United States Geological Survey website: <http://pubs.usgs.gov/of/2006/1319/>

⁵⁵⁵ Suro, T. P., Firda, G. D., & Szabo, C. O. (2009). *Flood of June 26–29, 2006, Mohawk, Delaware and Susquehanna River basins, New York* (Rep.). Retrieved from United States Geological Survey website: <http://pubs.usgs.gov/of/2009/1063/pdf/ofr2009-1063.pdf>

- February 7, 2013 – In its 2012 annual report to investors, oil and gas drilling company Noble Energy stated, “Our operations are subject to hazards and risks inherent in the drilling, production and transportation of crude oil and natural gas, including ... flooding which could affect our operations in low-lying areas such as the Marcellus Shale.”⁵⁶⁰
- September 7, 2011 – The New York State Department of Environmental Conservation’s (NYS DEC) draft shale gas drilling plan recommended that drilling be prohibited within 100-year floodplains but acknowledged that many areas in the Delaware and Susquehanna River basins that were affected by flooding in 2004 and 2006 were located outside of officially designated flood zones.⁵⁶¹ In 2004, 2005, 2006, 2009, and 2011, flooding in New York exceeded 100-year levels in at least some of the counties where drilling and fracking may occur.
- 1992 – In its Generic Environmental Impact Statement (GEIS) for oil and natural gas drilling, which was predicated on conventional drilling, the NYS DEC raised concerns that storage tanks holding drilling wastewater, spent hydraulic fracturing fluid, or other contaminants could be damaged by flooding and leak. At the time, the GEIS called for at least some of these tanks to be properly secured.⁵⁶² Shale gas extraction via horizontal fracking would require many more storage tanks for fracking fluids and wastewater than conventional drilling operations anticipated in 1992 when the agency estimated that oil and gas wells in the state would each require 20,000 to 80,000 gallons of fracking fluid.⁵⁶³ As of 2011, the agency anticipated that high volume, horizontally fracked shale

⁵⁵⁶ Szabo, C. O., Coon, W. F., & Niziol, T. A. (2010). *Flash floods of August 10, 2009, in the villages of Gowanda and Silver Creek, New York* (Rep.). Retrieved from United States Geological Survey website: <http://pubs.usgs.gov/sir/2010/5259/pdf/SIR%202010-5259.pdf>

⁵⁵⁷ Szabo, L. (2011, September 8). *Remnants of Tropical Storm Lee cause record flooding in the Susquehanna River basin* (Rep.). Retrieved from United States Geological Survey website: <http://ny.water.usgs.gov/leeindex.html>

⁵⁵⁸ Giordano, S. (2013, January 29). Several eastern counties in central New York under water after heavy flooding. *Syracuse Post-Standard*. Retrieved from http://www.syracuse.com/news/index.ssf/2013/06/several_eastern_counties_in_ce.html

⁵⁵⁹ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (2-32, 33, Rep.).

⁵⁶⁰ Noble Energy, Annual Report (Form 10-K) (Feb. 7, 2013) at 42.

⁵⁶¹ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (ES-22, 2-32, 33, Rep.).

⁵⁶² New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (8-42, 8-43, 9-35, Rep.).

⁵⁶³ New York State Department of Environmental Conservation. (1992). *Generic environmental impact statement on the oil, gas and solution mining regulatory program* (Rep.). Retrieved from http://www.dec.ny.gov/docs/materials_minerals_pdf/dgeisv1ch8.pdf (9-26, Rep.).

gas wells in New York State would each require 2.4 to 7.8 million gallons of fluid—roughly 100 times the 1992 estimate.⁵⁶⁴

Threats to agriculture and soil quality

Drilling and fracking take agricultural land out of production and pose risks to the agricultural sector. In California, fracking wastewater illegally injected into aquifers has threatened crucial irrigation supplies to farmers in a time of severe drought. The reuse of fracking wastewater for irrigation in California’s San Joaquin Valley raises questions about contamination of food crops via bioabsorption through roots. Studies and case reports from across the country have highlighted instances of deaths, neurological disorders, aborted pregnancies, and stillbirths in farm animals that have come into contact with wastewater. Potential water and air contamination put soil quality as well as livestock health at risk. Additionally, farmers have expressed concern that nearby fracking operations can hurt the perception of agricultural quality and nullify value-added organic certification. Fracking chemicals in agricultural soils can interact with each other in ways that slow down their biodegradation.

- June 1, 2016 – “Co-contaminant interaction effects” can occur when multiple chemicals are involved in spills of oil and gas wastewater on agricultural soils, according to a study by a Colorado State University research team. Through simulations, researchers analyzed how degradation was affected when combinations of three fracking-related organic chemicals spilled, alone or together: polyethylene glycol, a commonly used surfactant; glutaraldehyde, a biocide to prevent pipe corrosion from microbial activity; and polyacrylamide, a friction reducer. In addition to interactions between the chemicals, they analyzed the role of naturally occurring salts. Results showed that polyethylene glycol surfactants alone can break down in topsoil within 42–71 days, but, in the presence of the biocide glutaraldehyde or salt concentrations typical of fracking wastewater, their biodegradation was impeded or halted altogether. Authors emphasized that the interactions they studied account for only a fraction of the hundreds of fracking chemicals in use, but that their results “show a complex picture of co-contaminant fate and toxicity” that has, so far, been ignored in the regulatory process.⁵⁶⁵
- December 12, 2015 – A research team at the University of Aberdeen found high levels of selenium, molybdenum, and arsenic in rock samples collected from a region in northern England that has been targeted for fracking. The finding is important due to the possible risk that these toxic elements will be released into groundwater during shale gas operations. Selenium poisoning has occurred among Irish horses confined to pastures

⁵⁶⁴ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (ES-8, Rep.).

⁵⁶⁵ McLaughlin, M. C., Borch, T., & Blotevogel, J. (2016). Spills of hydraulic fracturing chemicals on agricultural topsoil: biodegradation, sorption, and co-contaminant interactions. *Environmental Science & Technology*, 50(11). doi: 10.1021/acs.est.6b00240

underlain by black shale. While small amounts of selenium are essential for metabolism, high levels (which, in the case of human consumption, is above 400 µg/day) are toxic. Possible consequences include neurotoxicity, cancer and diabetes.”⁵⁶⁶

- November 23, 2015 – Gas-related impacts on Pennsylvania farmers may include pipelines criss-crossing fields and forests, as well as jeopardization of organic certification, according to a report covering a State Agriculture Department spokesman’s presentation, on the Potter County government website. The spokesman said, “steps should be taken to steer this development in ways that diminish impact on soil quality and fragmentation.” “With trees and other vegetation being cleared from pipeline rights-of-way, he noted, it’s important for the acreage to be replanted with plant species that are beneficial to agriculture—pollinating plants, as an example.”⁵⁶⁷
- October 24, 2015 – More than 180 million gallons of wastewater from oil and gas operations spilled from 2009 to 2014, according to an Associated Press analysis of data from leading oil- and gas-producing states (Texas, North Dakota, California, Alaska, Colorado, New Mexico, Oklahoma, Wyoming, Kansas, Utah and Montana). A *Dallas Morning News* report focused on how the resulting contamination of groundwater and soils has affected agricultural and ranching. In one case, wastewater from pits seeped beneath a cotton and nut farm near Bakersfield, California and forced the grower to remove 2,000 acres from production. In western Texas, pipeline failures and illegal dumping of frack waste contaminated ranches and pastures.⁵⁶⁸
- May 2, 2015 – The *Los Angeles Times* reported that farmers in Kern County, California purchased over 21 million gallons per day of treated oil field wastewater to use for crop irrigation. The article identified lingering questions about chemicals remaining after treatment and their potential impact both on the crops and those who consume them. Independent testing identified chemicals including acetone and methylene chloride, along with oil, in the treated irrigation water.⁵⁶⁹ Acetone and methylene chloride are powerful industrial solvents that are highly toxic to humans, and samples of the wastewater contained concentrations of both that were higher than those seen at oil spill disaster sites. (Chevron’s own report confirmed the presence of acetone, benzene, and xylene, though in lesser concentrations; Chevron did not appear to test for methylene chloride.⁵⁷⁰) Broader testing requirements involving chemicals covered under California’s new fracking disclosure regulations went into effect June 15, 2015.⁵⁷¹

⁵⁶⁶ Parnell, J., Brolly, C., Spinks, S., & Bowden, S. (2015). Selenium enrichment in Carboniferous Shales, Britain and Ireland: Problem or opportunity for shale gas extraction? *Applied Geochemistry*, 66, 82-87. doi:10.1016/j.apgeochem.2015.12.008

⁵⁶⁷ *Potter County Today*. (2015, November 23). Shale gas impact on agriculture ‘profound.’ Retrieved from <http://today.pottercountypa.net/shale-gas-impact-on-agriculture-profound/>

⁵⁶⁸ Flesher, J. (2015, October 24). Fatal flow: Brine from oil, gas drilling fouls land, kills wildlife at alarming rate. *Dallas Morning News*. Retrieved from <http://www.dallasnews.com/news/local-news/20151024-fatal-flow-brine-from-oil-gas-drilling-fouls-land-kills-wildlife-at-alarming-rate.ece>

⁵⁶⁹ Cart, J. (2015, May 2). Central Valley’s growing concern: Crops raised with oil field water. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/california/la-me-drought-oil-water-20150503-story.html#page=1>

⁵⁷⁰ Amec Foster Wheeler Environment & Infrastructure, Inc. (2015, June 15). Technical report:

- April 24, 2015 – Unconventional technologies in gas and oil extraction facilitated the drilling of an average of 50,000 new fractured wells per year in North America over the past 15 years. An interdisciplinary study published in *Science* demonstrated that the accumulating land degradation has resulted in continent-wide impacts, as measured by the reduced amount of carbon absorbed by plants and accumulated as biomass. This is a robust metric of essential ecosystem services, such as food production, biodiversity, and wildlife habitat, and its loss “is likely long-lasting and potentially permanent.” The land area occupied by well pads, roads, and storage facilities built during this period is approximately three million hectares, roughly the land area of three Yellowstone National Parks. The authors concluded that new approaches to land use planning and policy are “necessary to achieve energy policies that minimize ecosystem service losses.”⁵⁷²
- January 26, 2015 – Two Colorado scientists performed a detailed analysis of vegetative patterns—followed chronologically—over a selected group of well pads in Colorado managed by the U.S. Bureau of Land Management, including two undisturbed reference sites. They documented the disturbance of plant and soil systems linked to contemporary oil and gas well pad construction, and found that none of the oil and gas well pads included in the study returned to pre-drilling condition, even after 20 to 50 years. Full restoration may require decades of intensive effort.⁵⁷³
- October 14, 2014 – State documents obtained by the Center for Biological Diversity show that almost three billion gallons of fracking wastewater have been illegally dumped into central California aquifers that supply drinking water and farming irrigation. The California Water Board confirmed that several oil companies used at least nine of 11 injection wells that connect with high-quality water sources for disposal of fracking wastewater, which included high levels of arsenic, thallium, and nitrates. The California Division of Oil, Gas and Geothermal Resources has shut down 11 oil field injection wells and is scrutinizing almost 100 others for posing a “danger to life, health, property, and natural resources.” At least one farming company has sued oil producers in part for contaminating groundwater that farms use for irrigation.⁵⁷⁴
- September 6, 2014 – *Al Jazeera America* examined the challenges that North Dakota farmers are facing in light of wastewater spills from oil and gas development. Notably, in heavily drilled Bottineau County, some levels of chloride, from sites where an estimated 16,800 to 25,200 gallons of wastewater had seeped into the ground, were so high that

Reclaimed water impoundments sampling, Cawelo Water District Ponds, Kern River Oil Field, Kern County, California, Prepared for Chevron U.S.A. Inc. Retrieved from <https://drive.google.com/file/d/0B1ccgD60cwq7dWE5Y0c2ZDh5WnM/view>

⁵⁷¹ Ross, D. (2015, June 19). Has our food been contaminated by Chevron's wastewater? *Truthout*. Retrieved from <http://www.truth-out.org/news/item/31470-has-our-food-been-contaminated-by-chevron-s-wastewater>

⁵⁷² Allred, B. W., Kolby Smith, W., Tridwell, D., Haggerty, J. H., Running, S. W., Naugle, D. E., & Fuhlendorf, S. D. (2015). Ecosystem services lost to oil and gas in North America. *Science*, 348 (6233), 401-402.

⁵⁷³ Minnick, T. J. & Alward, R. D. (2015). Plant–soil feedbacks and the partial recovery of soil spatial patterns on abandoned well pads in a sagebrush shrubland. *Ecological Applications*, 25(1), 3-10.

⁵⁷⁴ Dechert, S. (2014, October 14). Fracking wastewater spoils California drinking, farm supplies. *Clean Technica*. Retrieved from <http://cleantechnica.com/2014/10/14/fracking-wastewater-spoils-california-drinking-farm-supplies/>

they exceeded the levels measurable with the North Dakota Department of Health's test strips. State records, testimonies from oil workers and various residents, and the decades-long failure of contaminated fields to produce crops indicate that wastewater spills are a significant hazard in the current fracking boom.⁵⁷⁵

- August 6, 2014 – The Pennsylvania Department of Environmental Protection found that leaks of fracking wastewater from three impoundments contaminated soil and groundwater. The findings prompted the state to issue a violation and increase testing.⁵⁷⁶
- August 5, 2014 – Michelle Bamberger, a veterinarian and researcher, and Robert Oswald, a professor of molecular medicine at Cornell University, published a book that describes their research into the impacts of drilling and fracking on agriculture and animal health. They detail results of 24 case studies from six gas drilling states, including follow-up on cases they previously published in the peer-reviewed literature, raising concerns about the effects of drilling and fracking on agriculture and the health of animals.⁵⁷⁷
- August 1, 2014 – At least 19,000 gallons of hydrochloric acid spilled during completion of a fracking well on an alfalfa farm in Kingfisher County, Oklahoma. The Oklahoma Corporation Commission reported concerns about rain pushing chemical runoff into a nearby creek that flows into the town of Hennessey's water system. The responsible company, Blake Production, planned to pay for the alfalfa crop for six years. The landowner and a neighbor were pursuing litigation.⁵⁷⁸
- May 4, 2014 – In an analysis of state data from Colorado, the *Denver Post* reported that fracking related to oil and gas drilling is putting soil quality and farmlands at risk due to significant amounts of toxic fluids penetrating the soil. According to report, 578 spills were reported in 2013, which means that, on average in the state, a gallon of toxic liquid penetrates the ground every eight minutes. Colorado State University soil scientist Eugene Kelly, said that the overall impact of the oil and gas boom “is like a death sentence for soil.”⁵⁷⁹

⁵⁷⁵ Gottesdiener, L. (2014, September 6). In shadow of oil boom, North Dakota farmers fight contamination. *Al Jazeera America*. Retrieved from <http://america.aljazeera.com/articles/2014/9/6/north-dakota-wastewaterlegacy.html>

⁵⁷⁶ Hopey, D. (2014, August 6). State: Fracking waste tainted groundwater, soil at three Washington County sites. *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/local/washington/2014/08/06/Pa-finds-tainted-water-soil-at-three-Washington-County-shale-sites/stories/201408050198>

⁵⁷⁷ Bamberger, M. & Oswald, R. (2014). *The real cost of fracking: How America's shale gas boom is threatening our families, pets, and food*. Boston: Beacon Press.

⁵⁷⁸ Passoth, K. (2014, August 1). Major oil field spill in Kingfisher Co. *KOCO.com Oklahoma City*. Retrieved from <http://www.koco.com/news/major-oil-field-spill-in-kingfisher-county/27236612>

⁵⁷⁹ Finley, B. (2014, May 4). Colorado faces oil boom “death sentence” for soil, eyes microbe fix. *Denver Post*. Retrieved from http://www.denverpost.com/environment/ci_25692049/colorado-faces-oil-boom-death-sentence-soil-eyes

- November 28, 2012 – In conjunction with the Food & Environment Reporting Network, *The Nation* reported that serious risks to agriculture caused by fracking are increasing across the country and linked these concerns to risks to human health.⁵⁸⁰
- January 2012 – A study of gas drilling’s impacts on human and animal health concluded that the drilling process may lead to health problems. The study reported and analyzed a number of case studies, including dead and sick animals in several states that had been exposed to drilling or hydraulic fracturing fluids, wastewater, or contaminated ground or surface water.⁵⁸¹ The researchers cited 24 cases in six states where animals and their owners were potentially affected by gas drilling. In one case, a farmer separated 96 head of cattle into three areas, one along a creek where fracking wastewater was allegedly dumped and the remainder in fields without access to the contaminated creek; the farmer found that, of the 60 head exposed to the creek, 21 died and 16 failed to produce, whereas the unexposed cattle experienced no unusual health problems. In another case, a farmer reported that of 140 head of cattle exposed to fracking wastewater, about 70 died, and there was a high incidence of stillborn and stunted calves in the remaining cattle.⁵⁸²
- January 2011 – U.S. Forest Service researchers reported dramatic negative effects on vegetation caused by the drilling and fracking of a natural gas well in an experimental forest in northeastern West Virginia.⁵⁸³ In June 2008, the researchers found browning of foliage near the well pad, a lack of ground foliage, and that many trees nearby had dropped their foliage. They attributed these impacts to the loss of control of the wellbore on May 29, 2008, which caused an aerial release of materials from the well. Trees showed no apparent symptoms the following summer.⁵⁸⁴ However, the researchers also found “dramatic impacts on vegetation” where drilling and fracking wastewater had been sprayed on the land as a disposal technique following completion of the well. Just after the spraying of approximately 60,000 gallons of wastewater at the first disposal site, the Forest Service researchers found 115 damaged trees and other evidence of harm. This figure grew to 147 trees almost a year later.⁵⁸⁵ At a second site, where about 20,000

⁵⁸⁰ Royte, E. (2012, November 28). Fracking our food supply. *The Nation*. Retrieved from <http://www.thenation.com/article/171504/fracking-our-food-supply>

⁵⁸¹ Bamberger, M., & Oswald, R. E. (2012). Impacts of gas drilling on human and animal health. *NEW SOLUTIONS: A Journal of Environmental and Occupational Health Policy*, 22(1), 51-77. doi: 10.2190/NS.22.1.e

⁵⁸² Ramanujan, K. (2012, March 7). Study suggests hydrofracking is killing farm animals, pets. *Cornell Chronicle*. Retrieved from <http://www.news.cornell.edu/stories/2012/03/reproductive-problems-death-animals-exposed-fracking>

⁵⁸³ Adams, M., Edwards, P. J., Ford, W. M., Johnson, J. B., Schuler, T. M., Thomas-Van Gundy, M., & Wood, F. (2011, January). *Effects of development of a natural gas well and associated pipeline on the natural and scientific resources of the Fernow experimental forest* (Rep.). Retrieved from United States Department of Agriculture website: http://www.fs.fed.us/nrs/pubs/gtr/gtr_nrs76.pdf. (1-4, Rep.).

⁵⁸⁴ Adams, M., Edwards, P. J., Ford, W. M., Johnson, J. B., Schuler, T. M., Thomas-Van Gundy, M., & Wood, F. (2011, January). *Effects of development of a natural gas well and associated pipeline on the natural and scientific resources of the Fernow experimental forest* (Rep.). Retrieved from United States Department of Agriculture website: http://www.fs.fed.us/nrs/pubs/gtr/gtr_nrs76.pdf. (10-11, Rep.).

⁵⁸⁵ Adams, M., Edwards, P. J., Ford, W. M., Johnson, J. B., Schuler, T. M., Thomas-Van Gundy, M., & Wood, F. (2011, January). *Effects of development of a natural gas well and associated pipeline on the natural and scientific resources of the Fernow experimental forest* (Rep.). Retrieved from United States Department of Agriculture website: http://www.fs.fed.us/nrs/pubs/gtr/gtr_nrs76.pdf. (11-15, Rep.)

gallons of wastewater was sprayed, the damage was less dramatic, yet the researchers still found “considerable leaf browning and mortality of young northern red oak seedlings.”⁵⁸⁶ The researchers concluded that the spraying of the drilling fluids resulted in an “extreme” dose of chlorides to the forest.⁵⁸⁷

- May 2010 – Pennsylvania’s Department of Agriculture quarantined 28 cows in Tioga County after the animals wandered through a spill of drilling wastewater and may have ingested some of it. The Department was concerned that beef eventually produced from the cows could be contaminated as a result of any exposure. In May 2011, only ten yearlings were still quarantined, but the farmer who owned the cows, Carol Johnson, told National Public Radio that of 17 calves born to the quarantined cows in the spring of 2011, only six survived, and many of the calves that were lost were stillborn. “They were born dead or extremely weak. It’s highly unusual,” she said, continuing, “I might lose one or two calves a year, but I don’t lose eight out of eleven.”⁵⁸⁸
- March 2010 – A Pennsylvania State Extension analysis of dairy farms in the state found a decline in the number of dairy cows in areas where fracking was prevalent. Pennsylvania counties that had both more than 10,000 dairy cows and more than 150 Marcellus Shale wells experienced a 16-percent decline in dairy cows between 2007 and 2010.⁵⁸⁹
- April 28, 2009 – Seventeen cows in Caddo Parish, Louisiana died within one hour after apparently ingesting hydraulic fracturing fluids spilled at a well that was being fractured. “It seemed obvious the cattle had died acutely from an ingested toxin that had drained from the ‘fracking’ operation going on at the property,” Mike Barrington, a state veterinarian said in a document obtained from the state Department of Environmental Quality by the *Times-Picayune*.^{590, 591}
- August 1977 – A paper in the *Journal of Arboriculture* describes how natural gas leaks in soil can damage plants and crops. The paper notes that vegetation dies in the vicinity of

⁵⁸⁶ Adams, M., Edwards, P. J., Ford, W. M., Johnson, J. B., Schuler, T. M., Thomas-Van Gundy, M., & Wood, F. (2011, January). *Effects of development of a natural gas well and associated pipeline on the natural and scientific resources of the Fernow experimental forest* (Rep.). Retrieved from United States Department of Agriculture website: http://www.fs.fed.us/nrs/pubs/gtr/gtr_nrs76.pdf. (15, Rep.).

⁵⁸⁷ Adams, M., Edwards, P. J., Ford, W. M., Johnson, J. B., Schuler, T. M., Thomas-Van Gundy, M., & Wood, F. (2011, January). *Effects of development of a natural gas well and associated pipeline on the natural and scientific resources of the Fernow experimental forest* (Rep.). Retrieved from United States Department of Agriculture website: http://www.fs.fed.us/nrs/pubs/gtr/gtr_nrs76.pdf. (17, Rep.).

⁵⁸⁸ Phillips, S. (2011, September 27). Burning questions: Quarantined cows give birth to dead calves. *StateImpact*. Retrieved from <http://stateimpact.npr.org/pennsylvania/2011/09/27/burning-questions-quarantined-cows-give-birth-to-dead-calves/>

⁵⁸⁹ Penn State Extension. (2010, March). *Pennsylvania dairy farms and Marcellus shale, 2007–2010* (Rep.). Retrieved from <http://cce.cornell.edu/EnergyClimateChange/NaturalGasDev/Documents/PA%20Dairy%20Farms%20and%20Marcellus%202007%20to%202010.pdf>

⁵⁹⁰ Schleifstein, M. (2011, March 27). Haynesville natural gas field is the most productive in the U.S. *The Times-Picayune*. Retrieved from http://www.nola.com/politics/index.ssf/2011/03/haynesville_natural_gas_field.html

⁵⁹¹ KSLA. (2009, April 28). Cows in Caddo Parish fall dead near gas well. *KSLA News*. Retrieved from <http://www.ksla.com/Global/story.asp?S=10268585>

natural gas leaks. Due to the oxidation of methane by methane-consuming bacteria, gas leaks drive down the oxygen concentration to extremely low levels and cause carbon dioxide concentration to rise. The resulting low oxygen concentration is the greatest contributing factor in the death of trees and other vegetation near natural gas leaks.⁵⁹²

Threats to the climate system

Methane is a powerful greenhouse gas. An increasing number of studies reveal high levels of methane leaks from gas drilling, fracking, storage, and transportation, undermining the notion that natural gas is a climate solution or a transition fuel. These studies contradict inventories prepared by the U.S. Environmental Protection Agency (EPA), which, through early 2016, continued to underestimate the impacts of methane and natural gas drilling on the climate. In April 2016, the EPA raised methane emissions estimates for oil and natural gas operations by 34 percent, retroactively increased estimates of past methane losses, and named the oil and gas industry as the single leading source of methane emissions to the atmosphere. Multiple lines of evidence point to the central role of unconventional oil and gas extraction as the driver of recent increases in global greenhouse gases, especially methane. These include the atmospheric pattern of increased methane concentrations directly over intensively fracked areas of the United States; sharp upticks in global methane and co-occurring ethane levels that correspond to the onset of the U.S. fracking boom; and documentation of catastrophic amounts of methane released from storage facilities and other “super-emitting” sites. An influential 2013 study that reported low rates of methane leakage from oil and gas operations was revealed to have relied on flawed instrumentation that can underestimate methane loss. Further, the widely touted claim that the U.S. fracking boom has helped drive recent declines in carbon dioxide emissions in the United States has been upended by research showing that almost all of the CO₂ emission reductions between 2007 and 2009 were the result of economic recession rather than coal-to-gas fuel switching, as was previously presumed. Other lines of research suggest the extremely important role that technological innovations related to energy efficiency can play in reducing reliance on fossil fuels and speeding a transition to renewable energy sources. Drilling, fracking, and expanded use of natural gas threaten not only to exacerbate climate change but also to stifle investments in, and expansion of, renewable energy.

- July 11, 2016 – A group of 130 environmental and health organizations signed a formal complaint with the Inspector General of the EPA that assailed a pivotal 2013 study published in the *Proceedings of the National Academies of Sciences* and led by University of Texas chemist David T. Allen. The letter accused Allen of “systemic fraud, waste, and abuse” for his reliance on an inaccurate measurement device that was known to underestimate methane levels. Partially funded by the oil industry, Allen’s study reported very low methane emission rates as part of a large survey of 190 drilling and fracking sites across the nation. That flawed study was influential, said complainants, in

⁵⁹² Davis, S.H., Jr. (1977). The effect of natural gas on trees and other vegetation. *Journal of Arboriculture*, 3(8),153-154.

preventing EPA from recognizing the magnitude of methane leakage from drilling and fracking operations.⁵⁹³ (See also the entry below for March 24, 2015.)

- May 25, 2016 – As part of the first field study to directly measure methane emissions from the heavily drilled Bakken Shale formation in northwestern North Dakota, a team led by atmospheric chemist Jeff Peischl at the National Oceanic and Atmospheric Administration (NOAA) flew research aircraft over the region in May 2014. The researchers derived a methane emission rate of 275,000 tons of methane per year, which is similar to the rate of methane leakage in the Front Range area of Colorado but significantly lower than previous studies of the Bakken area that relied on satellite remote sensing data during an earlier time period (2006-2011). Analyzing the chemical composition of air samples, the NOAA team determined that almost all of the methane originated with oil and gas operations, rather than with natural or agricultural sources, and estimated a leakage rate of 4.2-8.4 percent.⁵⁹⁴ Scaled to production, this emission rate is slightly lower than that estimated by U.S. Environmental Protection Agency (EPA) in its recently revised inventory.^{595, 596} (See April 15, 2016 entry below.)
- April 15, 2016 – In its 21st annual greenhouse gas inventory, which includes 2014 data, the EPA increased its leakage assessment from oil and gas operations by 34 percent. For oil production alone, the EPA more than doubled its estimates of methane emissions. Further, in an admission that the agency had been historically underestimating methane leaks, the EPA also retroactively increased estimates of past emissions from the fossil fuel sector as expressed in prior inventories.^{597, 598} In an accompanying news release, the agency said, “Data on oil and gas show that methane emissions from the sector are higher than previously estimated. The oil and gas sector is the largest emitting-sector for methane and accounts for a third of total U.S. methane emissions.”⁵⁹⁹ Past EPA inventories had identified livestock as the number one source of U.S. methane. These

⁵⁹³ Johnson, J. (2016, July 11). Pivotal study on methane leaks from U.S. oil and natural gas wells under fire. *Chemical & Engineering News*. Retrieved from <http://cen.acs.org/articles/94/i28/Pivotal-study-methane-leaks-US.html>

⁵⁹⁴ Peischl, J., Karion, A., Sweeney, C., Kort, E. A., Smith, M. L., Brandt, A. R., . . . Ryerson, T. B. (2016). Quantifying atmospheric methane emissions from oil and natural gas production in the Bakken shale region of North Dakota. *Journal of Geophysical Research: Atmospheres*, 121. doi: 10.1002/2015JD024631

⁵⁹⁵ National Oceanic and Atmospheric Administration. (2016, May 16). North Dakota’s Bakken oil and gas field leaking 275,000 tons of methane per year [press release]. Retrieved from <http://www.noaa.gov/news/north-dakota-s-bakken-oil-and-gas-field-leaking-275000-tons-of-methane-year>

⁵⁹⁶ MacPherson, J. (2016, May 11). A new study says the oil-producing region of North Dakota and Montana leaks 275,000 tons of methane annually. *U.S. News & World Report*. Retrieved from <http://www.usnews.com/news/science/articles/2016-05-11/study-bakken-oil-field-leaks-275-000-tons-of-methane-yearly>

⁵⁹⁷ U.S. Environmental Protection Agency. (2016, April 15). *Inventory of U.S. greenhouse gas emissions and sinks: 1990-2014*. Retrieved from <https://www.epa.gov/sites/production/files/2016-04/documents/us-ghg-inventory-2016-main-text.pdf>

⁵⁹⁸ Johnson, J. (2016, April 25). Oil, natural gas operations now top U.S. methane emitters. *Chemical & Engineering News*. Retrieved from <http://cen.acs.org/articles/94/i17/Oil-natural-gas-operations-top.html?type=paidArticleContent>

⁵⁹⁹ U.S. Environmental Protection Agency. (2016, April 15). EPA publishes 21st annual U.S. greenhouse gas inventory [press release]. Retrieved from <https://www.epa.gov/newsreleases/epa-publishes-21st-annual-us-greenhouse-gas-inventory>

annual inventories fulfill the EPA's obligations under the United Nations Framework Convention on Climate Change, signed and ratified by the United States in 1992, and attempt to identify and quantify U.S. anthropogenic sources and sinks of greenhouse gases for the time period 1990 and forward. The upward revision in both past and current inventories is a reflection of changing methodologies for measuring methane leaks.⁶⁰⁰ Older methods included the incorporation of "bottom-up" data supplied by the oil and gas industry, without attention to high-emitting or super-emitting sources or possible sources of error introduced by flawed measuring equipment. In addition, the use of a Global Warming Potential multiplier of 25 for methane, which is based on a 100-year time horizon, rather than 86 for a 20-year time horizon, has come under sustained criticism given the urgency of the climate crisis.^{601, 602}

- April 7, 2016 – Since 2009, corresponding to the advent of the U.S. shale gas boom, North American ethane emissions have increased by 5 percent per year. This trend represents a reversal of a previous multi-decade decline (mid-1980s until the end of the 2000s) in the abundance of atmospheric ethane that had been attributed to the reduction of fugitive emissions from fossil fuel sources. These are the findings of an international research team, which analyzed remote sensing data gathered by the Network for the Detection of Atmospheric Composition Change at globally distributed ground-based sites. Ethane is a volatile organic compound (VOC) that readily reacts with nitrogen oxides in the presence of sunlight to create ground-level ozone (smog). Also a potent greenhouse gas, ethane is co-released along with methane from drilling and fracking sites. The source of two-thirds of the ethane in Earth's atmosphere is leakage from natural gas wells and pipelines. Because ethane is co-emitted with methane and can serve as a marker for it, this documentation of a sharp, recent uptick in atmospheric ethane is part of a larger body of evidence suggesting that U.S. drilling and fracking operations are driving up global methane levels.⁶⁰³ (See also entry dated June 13, 2016 in Air Pollution section].)
- April 5, 2016 – A research team using infrared cameras and helicopters demonstrated that between 1 and 14 percent of oil and gas well pads surveyed were high emitters of hydrocarbons and volatile organic compounds, with the greatest number observed in oil producing areas and in areas with horizontal drilling.⁶⁰⁴ While some emissions were

⁶⁰⁰ Mooney, C. (2016, April 15). The U.S. has been emitting a lot more methane than we thought, says EPA. *The Washington Post*. Retrieved from https://www.washingtonpost.com/news/energy-environment/wp/2016/04/15/epa-issues-large-upward-revision-to-u-s-methane-emissions/?utm_term=.eca9c599ff09

⁶⁰¹ Sumner, T. (2016, April 14). EPA underestimates methane emissions. *ScienceNews*. Retrieved from <https://www.sciencenews.org/article/epa-underestimates-methane-emissions>

⁶⁰² Profeta, T. (2016, March 3). Study, EPA spotlight methane emissions from oil and gas industry. *National Geographic*. Retrieved from <http://voices.nationalgeographic.com/2016/03/03/study-epa-spotlight-methane-emissions-from-oil-and-gas-industry/>

⁶⁰³ Franco, B., Mahieu, E., Emmons, L. K., Tzompa-Sosa, Z. A., Fischer, E. V., Sudo, K., ... Walker, K. A. (2016). Evaluating ethane and methane emissions associated with the development of oil and natural gas extraction in North America. *Environmental Research Letters*, 11. doi: 10.1088/1748-9326/11/4/044010

⁶⁰⁴ Lyon, D. R., Alvarez, R. A., Zavala-Araiza, D., Brandt, A. R., Jackson, R. B., & Hamburg, S. P. (2016). Aerial surveys of elevated hydrocarbon emissions from oil and gas production sites. *Environmental Science & Technology*, 50, 4877–4886. doi: 10.1021/acs.est.6b00705

intentional or part of routine maintenance operations, fugitive, unplanned releases (as from malfunctioning equipment) were also common, as were combustion emissions (as from flares and compressor engine exhaust). Tank vents and hatches were the origin of the vast majority (>90 percent) of detected large emission sources, deeply undercutting the assumption in the EPA's Oil & Gas Emission Estimation Tool of 100 percent capture efficiency by tank control systems. While emissions tended to be higher during the first few months of well production, predicting which wells or other sources would become high emitters was not possible. The lead author, speaking to *InsideClimate News*, concluded that the work “really demonstrates the importance of things like continuous detection or frequent monitoring to find these high emission sites.”⁶⁰⁵

- March 10, 2016 – Attempting to explain a methane plateau between 1999 and 2006 within otherwise almost continuously increasing levels of atmospheric methane since the dawn of the industrial revolution, an international team of atmospheric scientists reconstructed the global history of methane and used isotopic carbon fingerprinting to parse the sources of its emission. Thermogenic emissions were assumed to result from fossil-fuel sources, while biogenic sources were assumed to arise from wetlands and agricultural operations. Based on a geographic distribution of methane revealed by remote sensing, the authors concluded that agricultural emissions, especially increases in livestock inventories and rice cultivation, were the most likely drivers of observed global methane increases from 2006 to 2014.⁶⁰⁶ These results stand in contrast to other contemporaneous and recent studies that have supplied evidence for the role of oil and gas extraction in the recent upsurge in atmospheric methane.⁶⁰⁷ (See entry for February 16, 2016 below.)
- February 16, 2016 – A Harvard-led team used both satellite retrievals and surface observations to estimate that methane emissions in the United States increased by more than 30 percent over the past twelve years. These findings, which contradict the 10 percent decline reported by the EPA, suggest that the United States could be responsible for 30-60 percent of the recent global spike in atmospheric methane.^{608, 609} Since 2015, research on atmospheric methane has frequently relied on an “inverse method” to optimize emission estimates by combining “bottom-up” and “top-down” data, yet data

⁶⁰⁵ McKenna, P. (2016, April 8). Researchers find no shortcuts for spotting wells that leak the most methane. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/07042016/big-methane-leaks-superemitters-oil-gas-production-climate-change-edf>

⁶⁰⁶ Schaefer, H., Fletcher, S. E. M., Veidt, C., Lassey, K. R., Brailsford, G. W., Bromley, T. M., . . . White, J. W. C. (2016, March 10). A 21st century shift from fossil-fuel to biogenic methane emissions indicated by ¹³CH₄. *Science*. doi: 10.1126/science.aad2705

⁶⁰⁷ McKenna, P. (2016, March 10). The mystery of the global methane rise: Asian agriculture or U.S. fracking? *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/10032016/mysterious-global-methane-rise-asian-agriculture-or-us-fracking>

⁶⁰⁸ Turner, A. J., Jacob, D. J., Benmergui, J., Wofsy, S. C., Maasakkers, J. D., Butz, A., . . . Biraud, S. C. (2016). A large increase in U.S. methane emissions over the past decade inferred from satellite data and surface observations. *Geophysical Research Letters*, 43. doi: 10.1002/2016GL067987

⁶⁰⁹ Magill, B. (2016, February, 16). Study ties U.S. to spike in global methane emissions. *Climate Central*. Retrieved from <http://www.climatecentral.org/news/us-60-percent-of-global-methane-growth-20037>

from different sources have not yielded consistent estimates of methane emissions and levels. Three major sources (Wecht et al. [2014], Miller et al. [2013], and Turner et al. [2015]) all found maximum emissions in the South Central United States, with spatial overlaps that made separating livestock sources from oil and gas sources difficult. Taking into account the time period investigated by differing studies reveals an increasing trend in methane emissions, with an increase of 38 percent from 2004 to 2011, a period of greatly increasing drilling activity. This trend is confirmed by analyzing temporal trends in satellite data. While this account still differs from the EPA's inventory in 2014 showing a 3 percent decrease in oil and gas emissions over that same time period, the EPA's data presumed better control of measured leaks, which may not correlate with better control of overall emissions.

- January 29, 2016 – Working in the Marcellus Shale Basin, a Carnegie Mellon research team compared methane emissions from older conventional gas wells (those that were vertically drilled) and newer, unconventional gas wells (those that combined fracking with horizontal drilling). Measured by facility, the mean emission rate for unconventional wells was 23 times higher than that of conventional wells. This difference, in part, was attributed to the larger size of unconventional well pads, which, typically, have multiple wells per pad, more ancillary equipment, and produce more gas. When corrected for production, the conventional wells leaked more—that is to say, they lost a comparably larger fraction of methane per unit of production—likely due to “unresolved equipment maintenance issues.” All together, the authors concluded, these new emissions data show that the recently instituted Pennsylvania Department of Environmental Protection's methane emissions inventory substantially underestimates facility-level methane emissions. Five unconventional well sites included in this study leaked 10-37 times more methane than estimated in the state inventory.⁶¹⁰
- January 25, 2016 – Cornell University scientists introduced an innovative methodology for assessing potential climate impacts of alternative choices and used it to demonstrate that emissions of the two most important greenhouse gases (carbon dioxide and methane), calculated as time-integrated radiative forcing, are lower with heat pump water heaters than any other means of heating water. Further, their calculations showed that heat pump water heaters powered by coal-generated electricity achieve greater net climatic benefit than heaters powered by natural gas, while even greater benefits may be achieved by combining heat pump water heaters with electricity generated by renewable sources. The authors proposed and justified a methane emission rate of 3.8 percent for conventional shale gas, which is therefore offered as a lower bound for future, tightly controlled methane emissions from unconventional gas activities. The authors also made their web-based tool for evaluating the greenhouse gas footprint of reference and alternative technologies and its source code available to the public (at <http://www.eeb.cornell.edu/howarth/methane/tool.htm>).⁶¹¹

⁶¹⁰ Omara, M., Sullivan, M. R., Li, X., Subramanian, R., Robinson, A. L., & Presto, A. A. (2016). Methane emissions from conventional and unconventional natural gas production sites in the Marcellus Shale Basin. *Environmental Science & Technology*, 50. doi: 10.1021/acs.est.5b05503

⁶¹¹ Hong, B. & Howarth, R. W. (2016). Greenhouse gas emissions from domestic hot water: heat pumps compared to most commonly used systems. *Energy Science & Engineering*, 4(2), 123-133. doi: 10.1002/ese3.112

- December 22, 2015 – To reconcile troubling divergences in published estimates of methane emissions, in which “top-down” estimates, based on atmospheric or satellite sampling, often exceed “bottom-up” estimates, based on ground-level sampling or individual source reports, researchers used a combination of repeated mass balance measurements plus ethane fingerprinting to improve top-down estimates and incorporated a more complete and detailed count of facilities to improve bottom-up estimates.⁶¹² The results, as demonstrated in the Barnett Shale oil and gas-producing region of Texas, revealed a convergence of estimates to within 10 percent for fossil methane and 0.1 percent for total methane, with predicted methane emissions 90 percent larger than those estimated by the EPA’s Greenhouse Gas Inventory. Exclusion of additional problematic studies might have resulted in even greater convergence and higher estimates.⁶¹³ The agreement between top-down and bottom-up estimates demonstrates that well-designed surveys using either approach can be useful, with spatially resolved bottom-up estimates pointing toward production sites as the source of 53 percent of emissions, compressor stations 31 percent of emissions, and processing plants 13 percent of emissions. The Barnett shale emission rate of 1.5 percent calculated in this study is low enough (less than 3 percent) to suggest that gas fired electricity production in this region causes less climate forcing than coal-fired electricity, but it is high enough (greater than 1 percent) to argue against the conversion of diesel powered freight trucks to compressed natural gas. Gas production practices and heavier activity in other basins may lead to higher emission rates, as may the storage and long-distance or very long-distance transmission of natural gas.
- December 22, 2015 – Writing for *Environment & Energy Publishing*, journalist Gayathri Vaidyanathan reported on efforts by climate scientists to convince the United Nations to stop expressing the heat-trapping potential of methane over a 100-year time frame and instead use a twenty-year time frame when generating global warming potential (GWP), the conversion factor that allows policymakers to compare methane’s ability to trap heat with that of carbon dioxide. Methane is a far more potent heat-trapping gas than is carbon dioxide, but it is also shorter lived. By convention, policymakers have used a 100-year time frame when calculating global warming potentials. However, there is no scientific reason to do so, and many scientific critics argue that choosing this time scale veils the true climate impacts of natural gas and “makes the gas appear more benign than it is.”⁶¹⁴
- November 25, 2015 – Using reports from countries and companies with proved reserves of recoverable oil, natural gas, and coal, an analysis published in *Global Environmental Change* shows that full production of these resources would use up 160 percent of the

⁶¹² Zavala-Araiza, D., Lyon, D. R., Alvarez, R. A., Davis, K. J., Harriss, R. Herndon, S. C., . . . Hamburg, S. P. (2015). Reconciling divergent estimates of oil and gas methane emissions. *Proceedings of the National Academies of Science*, 112(51), 15597-15602. doi: 10.1073/pnas.1522126112

⁶¹³ Song, L. (2015, December 7). Texas fracking zone emits 90% more methane than EPA estimated. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/07122015/methane-emissions-texas-fracking-zone-90-higher-epa-estimate>

⁶¹⁴ Vaidyanathan, G. (2015, December 22). Recalculation of leaking methane impacts may affect natural gas market. *E&E Publishing, LLC*. Retrieved from <http://www.eenews.net/stories/1060029873>

world's estimated remaining carbon budget (designed to restrict anthropogenic climate change to equal to or less than 2° C). While 76 percent of reserves are owned by states or state entities, the relatively smaller amount of reserves owned by investors poses the greater immediate threat, since those companies are more likely poised to produce, refine, and deliver fossil fuels to global markets in the near term. However, exploitation of existing proved reserves controlled by the private sector alone does not lead to warming above the 2° limit, if it is not accompanied by exploration for and development of new reserves. Future considerations of fossil fuel use should focus not only on reducing private sector contributions but also on reducing contributions from countries that have historically dominated or currently dominate emissions, and especially nation-states with large undeveloped reserves.⁶¹⁵

- November 9, 2015 – Including data available through 2014, the World Meteorological Organization (WMO) reported that globally averaged levels of carbon dioxide, methane, and nitrous oxide reached new highs in 2014, with values, respectively, “143%, 254% and 121% of pre-industrial (1750) levels.”^{616, 617} While the atmospheric increase in carbon dioxide has slowed, methane and nitrous oxide levels continue to increase. Measurements from the WMO's Global Watch Programme point to wetlands in the tropics and anthropogenic sources at mid-latitudes of the northern hemisphere as the sources of increased methane over the past decade.
- October 8, 2015 – As a foundation for policy recommendations, Cornell University biogeochemist Robert Howarth summarized and analyzed the evidence documenting the magnitude of methane emissions related to oil and gas development in the United States since 2007. With estimated emission rates ranging from 3.8 to 12 percent, the high radiative forcing of methane over a twenty-year period prevents natural gas from serving as a bridge fuel. Instead of further investments in natural gas, Howarth proposes a rapid transition to electric powered vehicles for transportation, high-efficiency heat pumps for space and water heating, and imposition of a methane tax that is roughly 86 times higher than currently proposed carbon taxes, which typically address only carbon dioxide.⁶¹⁸ Howarth also noted that the EPA “has seriously underestimated the importance of methane emissions in general—and from shale gas in particular.”⁶¹⁹

⁶¹⁵ Heede, R. & Oreskes, N. (2015). Potential emissions of CO₂ and methane from proved reserves of fossil fuels: An alternative analysis. *Global Environmental Change*, 36. Advance online publication. Retrieved from <http://dx.doi.org/10.1016/j.gloenvcha.2015.10.005>

⁶¹⁶ World Meteorological Organization. (2015, November 9). The state of greenhouse gases in the atmosphere based on global observations through 2014. *Greenhouse Gas Bulletin*, 11. Retrieved from http://scifun.chem.wisc.edu/news/ghg-bulletin_2015.pdf?id=8495

⁶¹⁷ Miles, T. (2015, November 9). CO₂ levels hit record high for 30th year in a row. *Scientific American*. Retrieved from <https://www.scientificamerican.com/article/co2-levels-hit-record-high-for-30th-year-in-a-row/>

⁶¹⁸ Howarth, R. W. (2015). Methane emissions and climatic warming risk from hydraulic fracturing and shale gas development: implications for policy. *Energy and Emission Control Technologies*, 3, 45-54. doi: <https://dx.doi.org/10.2147/EECT.S61539>

⁶¹⁹ Hauser, A. (2015, October 21). Two studies highlight risks of fracking-released methane. *Weather.com*. Retrieved from <https://weather.com/science/environment/news/studies-highlight-risks-of-methane-from-fracking>

- August 4, 2015 – A developer of high flow sampling technology determined that a commonly used instrument to quantify methane leakage has unreliable sensors and malfunctions in ways that vastly underreport emissions by factors of three to five. More than 40 percent of the compiled national methane inventory may be affected by this measurement failure, according to the author of this study.⁶²⁰ The implications of this discovery for our understanding of system-wide methane leakage rates from drilling and fracking operations are not known, but they do call into question the results of at least one major study of methane emissions that relied on this device for collecting data. This is the second of two studies that finds that the primary tool approved by the U.S. EPA for measuring and reporting emissions of methane fails to function properly when used as directed by the manufacturer. (See also entry below dated March 24, 2015.)
- July 21, 2015 – An international team of researchers investigated the claim that the fracking boom, which has dramatically increased supplies of natural gas in the United States, is the main driver of the modest decline in carbon dioxide emissions since 2007. Conventional wisdom, as expressed by the Third National Climate Assessment of the U.S. Global Change Research Program, attributes the drop in emissions to a shift away from carbon dioxide-intensive coal and toward natural gas in power plants. But this team analyzed the sources of change in carbon dioxide emissions and, using a tool called input-output structural decomposition analysis, documented that the economic downturn, not fuel switching in the power sector, was the explanation for declining carbon dioxide emissions since 2007. The single biggest impact on U.S. emissions was changes in the volume of goods and services consumed. Between 2007 and 2013, driven by a huge drop in the volume of capital investment, emissions associated with capital formation decreased by almost 25 percent. During the same period, emissions related to household consumption decreased by 11 percent.⁶²¹
- July 7, 2015 – A scientific opinion piece by Environmental Defense Fund researchers involved in a group of 11 studies on methane emissions in Texas’ Barnett Shale provided an overview and orientation to new research that either measured or estimated methane emissions from oil and gas operations. Research from both top-down estimates (based on measuring atmospheric methane or related compounds at regional or larger scales) and bottom-up measurements (made directly from components or at ground level near studied sites) demonstrated that methane emissions from oil and gas operations in the Barnett Shale region exceeded the emissions expected from the EPA’s greenhouse gas inventory, which relies on industry self-reporting and excludes many compressor stations. The new research detailed the importance of addressing high-emitting landfills and natural gas facilities (“super-emitters”) and malfunctioning equipment in efforts to control ongoing methane emissions.⁶²²

⁶²⁰ Howard, T. (2015). University of Texas study underestimates national methane emissions at natural gas production sites due to instrument sensor failure. *Energy Science & Engineering*. Advance online publication. doi: 10.1002/ese3.81

⁶²¹ Feng, K., Davis, S. J., Sun, L., & Hubacek, K. (2015). Drivers of the US CO₂ emissions 1997-2013. *Nature Communications*, 6. doi: 10.1038/ncomms8714

⁶²² Harriss, R., Alvarez, R.A., Lyon, D., Zavala-Araiza, D., Nelson, D. & Hamburg, S.P. (2015). Using multi-scale measurements to improve methane emission estimates from oil and gas operations in the Barnett Shale Region, Texas. *Environmental Science & Technology*, 49, 7524-7526. doi: 10.1021/acs.est.5b02305

- May 28, 2015 – A comprehensive working paper from the New Climate Economy initiative of the Global Commission on the Economy and Climate at Stockholm Environment Institute found that the experience in the United States of substituting natural gas for oil was unlikely to be replicated around the globe and probably will not provide climate benefits unless coupled with strict controls on methane leakage, limits on total energy use, and policies to prevent the displacement of non-fossil fuel energy by methane. Citing multiple studies of the net climate impact of “more abundant, cheaper natural gas supplies,” the Commission concluded that “both globally and for the United States, the increase in emissions from the scale effect [from increased energy consumption boosted by cheap natural gas and loss of potentially more expensive lower carbon approaches] fully offsets the emission benefits from the substitution effect, net of methane leakage.”^{623, 624}
- March 24, 2015 – A University of Cincinnati researcher and independent engineers documented that the Bacharach Hi-Flow Sampler (BHFS)—one of the only tools approved by the EPA for measuring and reporting emissions of methane from natural gas transmission, storage, and processing facilities—failed to function properly when used as indicated by the manufacturer. The BHFS, unless recalibrated daily and running revised software (or taking measurements in a nearly pure methane environment, which is exceedingly rare in the field), misreported high levels of natural gas by as much as an order of magnitude lower than actual concentration. A reanalysis of 2011 results from the City of Fort Worth Air Quality Study revealed at least seven instances for which the BHFS indicated sample concentrations at or below 5 percent when more reliable canister methane readings indicated concentrations that ranged from 6.1 percent to 90.4 percent. Inaccurate measurements like these can contribute to the discrepancy between “top-down” and “bottom-up” measurements of methane, with ground-level measurements from the BHFS potentially producing reports of falsely low emissions.⁶²⁵ This study was followed by another that further documented malfunctions in the BHFS device and called into question the results of a landmark 2013 survey of methane emissions at 190 drilling and fracking sites across the United States. That 2013 survey, from the University of Texas, relied on the BHFS device for collecting data and found very low leakage rates.⁶²⁶ (See also entry above dated August 4, 2015.)

⁶²³ Lazarus, M., Tempest, K., Klevnäs, P., & Korsbakken, J. I. (2015) Natural gas: Guardrails for a potential climate bridge. Stockholm Environment Institute. Retrieved from <http://www.sei-international.org/mediamanager/documents/Publications/Climate/NCE-SEI-2015-Natural-gas-guardrails-climate-bridge.pdf>

⁶²⁴ Evans, S. (2015, June 2). The climate benefits of a gas bridge are unlikely to be significant. *Climate Spectator*. Retrieved from <http://www.businessspectator.com.au/article/2015/6/2/policy-politics/climate-benefits-gas-bridge-are-unlikely-be-significant>

⁶²⁵ Howard, T., Ferrara, T., & Townsend-Small, A. (2015). Sensor transition failure in the high flow sampler: Implications for methane emission inventories of natural gas infrastructure. *Journal of the Air & Waste Management Association*, 65(7), 856-862. doi: 10.1080/10962247.2015.1025925

⁶²⁶ Allen, D. T., Torres, V. M., Thomas, J., Sullivan, D.W., Harrison, M., Hendler, A., . . . Seinfeld, J. H. (2013). Measurements of methane emissions at natural gas production sites in the United States. *Proceedings of the National Academy of Sciences*, 110, 17768–17773. doi: 10.1073/pnas.1304880110

- March 20, 2015 – A team led by Bruno Franco from the University of Liege in Belgium discovered an abrupt uptick in ethane levels at a mountaintop station in the Swiss Alps that is far removed from local pollution sources.⁶²⁷ In a later comment about this discovery, Franco said, “Since 2009, we observed increases of 5% per year here—it was completely unexpected.”⁶²⁸ The team attributed the trend reversal to the natural gas boom in North America. Ethane is released together with methane from drilling and fracking operations and serves as a proxy for it. (See also the entry above for April 7, 2016.)
- March 9, 2015 – With specialized equipment in a mobile van, University of Colorado, the National Oceanic and Atmospheric Administration (NOAA), Environmental Defense Fund, and independent researchers continuously measured methane and ethane from public roads at sites downwind of potential emission sources, such as natural gas production wellheads, processing plants, and compressor stations. The sampling method and modeling allowed capture of multiple “accidental” plumes, acquired during long drives across the study region between planned measurements near large facilities. Sampling was not random but documented a large number of facilities with low methane emission rates (equal to or less than 10 kg/hr), with a smaller yet important number of facilities showing much higher emissions. Although the largest measured emission in this study (1,360 kg/hr) corresponded to approximately \$1.2 million in lost revenue per year, the authors noted that, in this industry, the “leak fraction” or “proportional loss” levels they documented would generally translate into only a small proportion of lost revenue, probably not sufficient to prompt strong energy-sector self-regulation.⁶²⁹
- March 1, 2015 – Using a simulation model, the Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety, writing for Germany’s Federal Environmental Agency, found that shale gas was not a cheap option to reduce global greenhouse gas emissions. Multiple comparison simulations found that shale gas availability, especially in the short-term, tends to lead to higher emissions due to lower energy prices inducing higher use. The net result is higher costs to achieve compliance with climate targets. In this model, shale gas was also found to compete in an unhelpful way with renewable energy sources, resulting in reduced use of renewable energy sources and reduced investment in energy efficiency measures.⁶³⁰

⁶²⁷ Franco, B., Bader, W., Toon, G. C., Bray, C., Perrin, A., Fischer, E. V., . . . & Mahieu, E. (2015). Retrieval of ethane from ground-based FTIR solar spectra using improved spectroscopy: recent burden increase above Jungfraujoch. *Journal of Quantitative Spectroscopy and Radiative Transfer*, 160, 36-49. <http://dx.doi.org/10.1016/j.jqsrt.2015.03.017>

⁶²⁸ Environmental Research Web. (2016, May 23). Ethane emissions back on the rise. Retrieved from <http://environmentalresearchweb.org/cws/article/news/65093>

⁶²⁹ Yacovitch, T. I., Herndon, S. C., Pétron, G., Kofler, J., Lyon, D., Zahniser, M. S., & Kolb, C. E. (2015). Mobile laboratory observations of methane emissions in the Barnett Shale Region. *Environmental Science & Technology*, 49, 7889–7895. doi: 10.1021/es506352j

⁶³⁰ Kersting, J., Duscha, V., Schleich, J., & Keramidis, K. (2015). The impact of shale gas on the costs of climate policy. Environmental Research of the Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety. Retrieved from https://www.umweltbundesamt.de/sites/default/files/medien/378/publikationen/climate_change_03_2015_the_impact_of_shale_gas_1.pdf

- January 8, 2015 – Using a single integrated modeling program that incorporates detailed estimates of the world’s reserves of oil, gas, and coal and is consistent with a wide variety of prior modeling approaches, University College London researchers demonstrated that, around the world, “a third of oil reserves, half of gas reserves and over 80 per cent of current coal reserves should remain unused from 2010 to 2050” in order to meet a target of less than or equal to a 2 degree Celsius rise in global temperature. In addition, “development of resources in the Arctic and any increase in unconventional oil production are incommensurate with efforts to limit average global warming” below the 2 degree threshold. Calling for a “stark transformation” of our understanding of fossil fuel availability, the authors noted that, in a climate-constrained world, fears of scarcity of fossil fuels must be superseded by a commitment to preventing overuse of existing resources and reserves.⁶³¹
- November 26, 2014 – Stanford University and independent researchers compared coal and natural gas for power generation and concluded that the question of “whether natural gas plants are better than coal plants cannot be answered in the general case.” During the period of plant operation, “natural gas plants can produce greater near-term warming than coal plants, with the same power output.” They found that over time, natural gas plants can produce some reduction in near-term warming, but only if life cycle methane leakage rates are low and power plant efficiency is high. Relative to coal, there is the potential that “deployment of natural gas power plants could both produce excess near-term warming (if methane leakage rates are high) and produce excess long-term warming (if the deployment of natural gas plants today delays the transition to near-zero emission technologies).”⁶³²
- October 23, 2014 – Adding to the debate about natural gas and climate change, a multi-center, international research team used a sophisticated, integrated approach to the global energy-economy-climate systems question and found no climate benefit to natural gas over other fossil fuels. As summarized by the editor of *Nature*,

The development of hydraulic fracturing technologies has led to rapid growth in the use of natural gas as an energy source. Some evidence has suggested that this growing adoption of natural gas might lead to a reduced greenhouse gas burden and consequent mitigation of climate change. This collaboration between five energy-climate modelling teams show that instead—under a scenario of abundant natural gas availability—increased consumption will have little or no impact on climate change.” The authors concluded, “although market penetration of globally abundant gas may substantially change the future energy system, it is not necessarily an effective substitute for climate change mitigation policy.”⁶³³

⁶³¹ McGlade, C. & Ekins, P. (2015). The geographical distribution of fossil fuels unused when limiting global warming to 2°C. *Nature*, 517, 187-190. doi: 10.1038/nature14016

⁶³² Zhang, X., Myhrvold, N. P., & Calideira, K. (2014). Key factors for assessing climate benefits of natural gas versus coal electricity generation. *Environmental Research Letters*, 9. doi: 10.1088/1748-9326/9/11/114022

⁶³³ McJeon, H., Edmonds, J., Bauer, N., Clarke, L., Fisher, B., Flannery, B., , ... Tavoni, M. (2013). Limited impact on decadal-scale climate change from increased use of natural gas. *Nature*, 514, 482–485. doi: 10.1038/nature13837

- October 6, 2014 – Utilizing satellite data for the Bakken and Eagle Ford formations, scientists from Germany, the United Kingdom, and the University of Maryland confirmed that higher “top-down” estimates of fugitive methane leaks from oil and gas fields (which are obtained via tall tower flask samples, aircraft measurements, and road surveys) are more accurate than lower “bottom-up” estimates (which are obtained by summing emissions from different types of known sources at sites provided by participating utility companies). According to “bottom-up” estimates, the average U.S. leakage rate ranges from 1.2 – 2.0 percent. But satellite data show much higher leakage rates: 10.1 percent (± 7.3 percent) and 9.1 percent (± 6.2 percent), for the Bakken and Eagle Ford formations, respectively. These higher estimates indicate that current inventories likely underestimate fugitive emissions and call into question any immediate climate benefit from switching from coal to natural gas. Similar results were seen for the Marcellus shale region, but as a result of technical and geographical limitations, the authors declined to quantify their results, pending future studies with enhanced equipment.⁶³⁴
- September 24, 2014 – According to a paper published by scientists from the University of California and Stanford University, “... without strong limits on [greenhouse gas] emissions or policies that explicitly encourage renewable electricity, abundant natural gas may actually slow the process of decarbonization, primarily by delaying deployment of renewable energy technologies.” The study builds on previous research by examining natural gas in a range of supply curves, with a tested economic model, and across three different types and levels of climate policy. Researchers found that abundant natural gas, even with low rates of methane leakage, does little to reduce—and may increase—greenhouse gases. They conclude that delaying deployment of renewable energy technologies “may actually exacerbate the climate change problem in the long term.”⁶³⁵
- September 2, 2014 – Analyzing the level of greenhouse gas emissions attributable to electricity from natural-gas-fired power plants and coal-fired power plants, economist Chris Busch and physicist Eric Gimon conclude that, over short time frames and at high rates of leakage, natural gas offers little benefit compared to coal and could exacerbate global warming. Although Busch and Gimon acknowledge that natural gas offers some reductions in greenhouse gas emissions over longer time frames, they point out that such reductions are not large enough for natural gas to play an expanded role in efforts to manage emissions. They conclude that under the best of circumstances, natural gas-fired electric power offers a modest benefit toward abating climate change, while if poorly developed (i.e., with extensive methane leaks, estimated by these authors to be on the order of 4 percent or higher), or if used to displace energy efficiency or renewable energy, natural gas could seriously contribute to increased greenhouse gas emissions.⁶³⁶

⁶³⁴ Schneising, O., Burrows, J. P., Dickerson, R. R., Buchwitz, M., Reuter, M., & Bovensmann, H. (2014). Remote sensing of fugitive methane emissions from oil and gas production in North American tight geologic formations. *Earth's Future*, 2(10), 548–558. doi: 10.1002/2014EF000265

⁶³⁵ Shearer, C., Bistline, J., Inman, M., & Davis, S. J. (2014). The effect of natural gas supply on US renewable energy and CO2 emissions. *Environmental Research Letters*, 9. doi: 10.1088/1748-9326/9/9/094008

⁶³⁶ Busch, C. & Gimon, E. (2014). Natural gas versus coal: Is natural gas better for the climate. *The Electricity Journal*, 27(7), 97-111.

- August 5, 2014 – Reporting in *Scientific American*, the science news organization Climate Central outlined the natural gas-related factors that threaten any ability to achieve climate goals through the proposed Clean Power Plan. “No one has any idea how much methane is leaking from our sprawling and growing natural gas system. This is a major problem, because without a precise understanding of the leak rate natural gas could actually make climate change worse.” Referring to an interactive Climate Central tool that runs various methane leakage scenarios, the article notes that, even given modest leak rates and an aggressive transition, “we could still end up with little or no climate benefits by 2030 after an enormous financial and political investment in natural gas.”⁶³⁷
- July 25, 2014 –EPA’s Office of Inspector General reports that the agency “has placed little focus and attention on reducing methane emissions from pipelines in the natural gas distribution sector.” According to this report, the EPA acknowledged in 2012 that leaks from natural gas pipelines “accounted for more than 13 million metric tons of carbon dioxide equivalent emissions,” are almost 100 percent methane, and represent more than 10 percent of total methane emissions from natural gas systems in the United States. Nevertheless, as report went on to note, the EPA does not have the partnerships in place to begin controlling methane leaks, such as with the Pipeline and Hazardous Materials Safety Administration, nor has it conducted a comprehensive analysis of emissions factors, relying instead on a 1996 study with a “high level of uncertainty.”⁶³⁸
- May 15, 2014 – A recent review of existing data on life cycle emissions of methane from natural gas systems concluded that, as a strategy for addressing climate change, natural gas is a “bridge to nowhere.” The review found that, over a 20-year time frame, natural gas is as bad as or worse than coal and oil as a driver of climate change.⁶³⁹ Referencing this review and other recent studies, *Bloomberg Business News* reported that the EPA has underestimated the impact of methane leakage resulting from the production, transmission, and distribution of natural gas and is using outdated estimates of methane’s potency compared to more recent estimates from the Intergovernmental Panel on Climate Change (IPCC).⁶⁴⁰
- April 25, 2014 – A reassessment of the heat-trapping potential of greenhouse gases revealed that current methods of accounting underestimate the climate-damaging impact of methane pollution from all sources, including drilling and fracking operations.⁶⁴¹

⁶³⁷ Climate Central. (2014, August 5). Methane leak rate proves key to climate change goals. *Scientific American*. Retrieved from <http://www.scientificamerican.com/article/methane-leak-rate-proves-key-to-climate-change-goals/>

⁶³⁸ U.S. Environmental Protection Agency Office of Inspector General. (2014, July 25). Improvements needed in EPA efforts to address methane emissions from natural gas distribution pipelines. Report No. 14-P-0324. Retrieved from <http://www.epa.gov/oig/reports/2014/20140725-14-P-0324.pdf>

⁶³⁹ Howarth, R. W. (2014). A bridge to nowhere: Methane emissions and the greenhouse gas footprint of natural gas [Abstract]. *Energy Science & Engineering*. doi: 10.1002/ese3.35

⁶⁴⁰ Childers, A. (2014, May 9). EPA underestimates fracking’s impact on climate change. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2014-05-09/epa-underestimates-fracking-s-impact-on-climate-change.html>

⁶⁴¹ Edwards, M. R. & Trancik, J. E. (2014). Climate impacts of energy technologies depend on emissions timing. *Nature Climate Change*, 4, 348-352. doi: 10.1038/NCLIMATE2204

- April 14, 2014 – A study from researchers at Purdue University, NOAA, Cornell University, University of Colorado at Boulder, and Pennsylvania State University, published in *Proceedings of the National Academy of Sciences* found very high levels of methane emissions above many wells being drilled at fracking sites in Pennsylvania. Levels were 100 to 1,000 times above the estimates of federal regulators, who have always assumed very low methane emissions as wells are drilled.^{642, 643}
- February 26, 2014 – The United Nations’ top environmental official, Achim Steiner, argued that the shale gas rush is “a liability” in efforts to slow climate change and that a switch from coal to natural gas is delaying critical energy transition to renewables.⁶⁴⁴
- February 13, 2014 – A major study in *Science* by Stanford University, Massachusetts Institute of Technology, and the U.S. Department of Energy found that methane leaks negate any climate benefits of natural gas as a fuel for vehicles, and that the EPA is significantly underestimating methane in the atmosphere.⁶⁴⁵ Lead author Adam R. Brandt told the *New York Times*, “Switching from diesel to natural gas, that’s not a good policy from a climate perspective.”⁶⁴⁶ This study also concluded that the national methane leakage rate is likely between 3.6 and 7.2 percent of production.
- January 15, 2014 – As reported by the *Guardian*, a new study by BP concluded that shale gas “...will not cause a decline in greenhouse gases” and will do little to cut carbon emissions.⁶⁴⁷
- December 30, 2013 – An analysis of fracking-related truck transportation in the Susquehanna River Basin in Pennsylvania found that greenhouse gas emissions from frack water and waste hauling operations were 70–157 metric tons of CO₂ equivalent per gas well.⁶⁴⁸

⁶⁴² Caulton, D. R., Shepson, P. B., Santoro, R. L., Sparks, J. P., Howarth, R. W., Ingraffea, A. R., . . . Miller, B. R. (2014). Toward a better understanding and quantification of methane emissions from shale gas development.

Proceedings of the National Academy of Sciences of the United States of America. doi: 10.1073/pnas.1316546111
⁶⁴³ Banjeree, N. (2014, April 14). EPA drastically underestimates methane released at drilling sites. *Los Angeles Times*. Retrieved from <http://www.latimes.com/science/sciencenow/la-sci-sn-methane-emissions-natural-gas-fracking-20140414,0,2417418.story>

⁶⁴⁴ Goldenberg, S. (2014, February 26). Achim Steiner: Shale gas rush “a liability” in efforts slow climate change. *Guardian*. Retrieved from <http://www.theguardian.com/environment/2014/feb/26/achim-steiner-shale-gas-rush-climate-change-energy>

⁶⁴⁵ Brandt, A. R., Heath, G. A., Kort, E. A., O’Sullivan, F., Petron, G., Jordaan, S. M., . . . Harriss, R. (2014). Methane leaks from North American natural gas systems. *Energy and Environment*, 343(6172), 733-735. doi: 10.1126/science.1247045

⁶⁴⁶ Davenport, C. (2014, February 13). Study finds methane leaks negate benefits of natural gas as a fuel for vehicles. *The New York Times*. Retrieved from <http://www.nytimes.com/2014/02/14/us/study-finds-methane-leaks-negate-climate-benefits-of-natural-gas.html?smid=tw-share>

⁶⁴⁷ Harvey, F., & Macalister, T. (2014, January 16). BP study predicts greenhouse emissions will rise by almost a third in 20 years. *The Guardian*. Retrieved from http://www.theguardian.com/business/2014/jan/15/bp-predicts-greenhouse-emissions-rise-third?CMP=tw_t_gu

⁶⁴⁸ Gilmore, K. R., Hupp, R. L., & Glathar, J. (2014). Transport of Hydraulic Fracturing Water and Wastes in the Susquehanna River Basin, Pennsylvania. *Journal of Environmental Engineering*, 140. doi: 10.1061/(ASCE)EE.1943-7870.0000810

- November 11, 2013 – In a letter to California Governor Jerry Brown, twenty of the nation’s top climate scientists warned that pro-fracking policies will worsen climate disruption and harm California’s efforts to be a leader in reducing greenhouse gas emissions. The letter called on Governor Brown to place a moratorium on fracking.⁶⁴⁹ On November 21, 2013, a group of Governor Brown’s former policy and campaign advisors made a similar request in light of concerns about the effects of fracking on climate change and water pollution.⁶⁵⁰
- October 18, 2013 – A team of researchers from multiple institutions including Harvard, the University of Michigan, and NOAA reported that methane emissions due to drilling activities in the south-central U.S. may be almost five times greater than reported by the world’s most comprehensive methane inventory. “These results cast doubt on the US EPA’s recent decision to downscale its estimate of national natural gas emissions by 25-30 percent,” the authors wrote.⁶⁵¹ As the *New York Times* reported, “The analysis also said that methane discharges in Texas and Oklahoma, where oil and gas production was concentrated at the time, were 2.7 times greater than conventional estimates. Emissions from oil and gas activity alone could be five times greater than the prevailing estimate.”⁶⁵²
- October 18, 2013 – A major study spearheaded by Stanford University’s Energy Modeling Forum concluded that fracking and the shale gas revolution will have no long-term climate benefit. The study brought together a working group of about 50 experts and advisors from companies, government agencies, and universities, and modeling teams from 14 organizations. The study also found that build-out of infrastructure for fracking and natural gas will discourage efforts to conserve energy and boost efficiency. The study did not examine methane leaks in order to weigh in on the short-term climate impacts of natural gas.⁶⁵³
- October 11, 2013 – As reported in the *Guardian*, key climate scientists argued that the growth in fracking across the United States is hurting the United States’ credibility on climate change.⁶⁵⁴

⁶⁴⁹ Rogers, P. (2013, November 12). Top climate scientists call for fracking ban in letter to Gov. Jerry Brown. *San Jose Mercury News*. Retrieved from http://www.mercurynews.com/ci_24509392/top-climate-scientists-call-fracking-ban-letter-gov

⁶⁵⁰ McNary, S. (2013, November 21). Former advisors to Gov. Brown request fracking ban. *Southern California Public Radio*. Retrieved from <http://www.scpr.org/blogs/politics/2013/11/21/15248/former-advisors-to-gov-brown-request-fracking-ban/>

⁶⁵¹ Miller, S. M., Wofsy, S. C., Michalak, A. M., Kort, E. A., Andrews, A. E., Biraud, S. C., . . . Sweeney, C. (2013). Anthropogenic emissions of methane in the United States. *Proceedings of the National Academy of Sciences*, 110(50), 20018-20022. doi: 10.1073/pnas.1314392110

⁶⁵² Wines, M. (2013, November 25). Emissions of methane in U.S. exceed estimates, study finds. *The New York Times*. Retrieved from http://www.nytimes.com/2013/11/26/us/emissions-of-methane-in-us-exceed-estimates-study-finds.html?_r=0

⁶⁵³ Huntington, H. (2013). Changing the game? Emissions and market implications of new natural gas supplies. *Energy Modeling Forum*, 1. Retrieved from <https://emf.stanford.edu/publications/emf-26-changing-game-emissions-and-market-implications-new-natural-gas-supplies>

⁶⁵⁴ Magill, B. (2013, October 11). Fracking hurts US climate change credibility, say scientists. *Guardian*. Retrieved from <http://www.theguardian.com/environment/2013/oct/11/fracking-us-climate-credibility-shale-gas>

- October 2, 2013 – Updated measurements from the IPCC determined that methane is even worse for the climate than previously thought. The IPCC determined that methane is 34 times more potent as a greenhouse gas in the atmosphere than CO₂ over a 100-year timeframe, and 86 times more potent over a 20-year timeframe.⁶⁵⁵
- September 27, 2013 – The IPCC formally embraced an upper limit on greenhouse gases for the first time, warning that the world will exceed those levels and face irreversible climatic changes in a matter of decades unless steps are taken soon to reduce emissions. The IPCC reported that humanity faces a “carbon budget”—a limit on the amount of greenhouse gases that can be produced by industrial activity before irreversible, damaging consequences—of burning about a trillion metric tons of carbon. The world is on track to hit that by around 2040 at the current rate of energy consumption.⁶⁵⁶
- August 12, 2013 – A *New Scientist* review of the science on fracking and global warming concluded that fracking could accelerate climate change rather than slow it.⁶⁵⁷
- May 28, 2013 – A research team led by Jeff Peischl, an associate scientist at NOAA and the Cooperative Institute for Research in Environmental Sciences, estimated that methane leakage from Los Angeles-area oil and gas operations was about 17 percent.^{658, 659}
- May 2013 – A group of scientists and journalists studying climate change, led by energy systems analyst Eric Larson of Princeton University and the news organization Climate Central, reported that the often-purported 50 percent climate advantage of natural gas over coal is unlikely to be achieved over the next three to four decades given methane leaks and other factors.⁶⁶⁰ The 50 percent claim is based on the fact that natural gas produces half as much carbon dioxide when burned than coal, but it ignores the significant greenhouse gas impacts of methane leakage that occurs throughout the life cycle of natural gas production, transmission, and distribution.

⁶⁵⁵ IPCC. (2013). *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* [Stocker, T. F., D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex & P. M. Midgley (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA. Retrieved from <http://www.ipcc.ch/report/ar5/wg1/>

⁶⁵⁶ Gillis, J. (2013, September 27). U.N. climate panel endorses ceiling on global emissions. *The New York Times*. Retrieved from <http://www.nytimes.com/2013/09/28/science/global-climate-change-report.html?pagewanted=all>

⁶⁵⁷ Pearce, F. (2013, August 12). Fracking could accelerate global warming. *New Scientist*. Retrieved from <http://www.newscientist.com/article/dn24029-fracking-could-accelerate-global-warming.html#.UpEWqsQ3uSo>

⁶⁵⁸ Peischl, J., Ryerson, T. B., Brioude, J., Aikin, K. C., Andrews, A. E., Atlas, E., . . . Parrish, D. D. (2013). Quantifying sources of methane using light alkanes in the Los Angeles basin, California. *Journal of Geophysical Research: Atmospheres*, 118(10), 4974-4990. doi: 10.1002/jgrd.50413

⁶⁵⁹ Ogburn, S. (2014, May 15). Solving the Case of California's Extra Methane. *Scientific American Global RSS*. Retrieved from <http://www.scientificamerican.com/article/solving-the-case-of-californias-extra-machine/>

⁶⁶⁰ Larson, E. D. (2013). Natural gas & climate change. *Climate Central*. Retrieved from <http://assets.climatecentral.org/pdfs/NaturalGas-and-ClimateChange.pdf>

- January 2, 2013 – A NOAA study found methane emissions from oil and gas fields in Utah to be as high as nine percent of production. These levels are considered extremely damaging to the climate.⁶⁶¹
- November 2012 – A review by the United Nations Environment Programme found that emissions from fracking, as well as other unconventional natural gas extraction methods, could increase global warming in the short-term and be comparable to coal over a 100-year timeframe.⁶⁶²
- November 2012 – The International Energy Agency (IEA) found that a large natural gas boom—even with improvements in place to reduce leakage—would eventually lead to greenhouse gas concentrations of 650 parts per million and a global temperature rise of 3.5 degrees Celsius, far exceeding the 2 degree Celsius limit which is critical to avoid the most severe effects of climate change.⁶⁶³
- May 29, 2012 – The *Guardian* summarized a special report on natural gas by the IEA: “A ‘golden age of gas’ spurred by a tripling of shale gas from fracking and other sources of unconventional gas by 2035 will stop renewable energy in its tracks if governments do not take action.”⁶⁶⁴
- February 2012 – A study published in *Environmental Research Letters* found that the carbon dioxide emitted from the burning of natural gas—even neglecting the impacts of methane leakage—contributes significantly to greenhouse gas emissions that are driving climate change.⁶⁶⁵
- February 7, 2012 – A NOAA study of Colorado gas fields measured methane emissions of about four percent, a significant percentage that could be very damaging to the climate.⁶⁶⁶
- December 29, 2011 – As reported by the *New York Times*, levels of methane in the atmosphere have been steadily rising since 2007—coinciding with the onset of the fracking boom and posing a serious threat to the Earth’s climate.⁶⁶⁷

⁶⁶¹ Tollefson, J. (2013). Methane leaks erode green credentials of natural gas. *Nature*, 493(7430), 12-12. doi: 10.1038/493012a

⁶⁶² Global Environmental Alert Service. (2012). Gas fracking: Can we safely squeeze the rocks? United Nations Environmental Programme. Retrieved from http://www.unep.org/pdf/UNEP-GEAS_NOV_2012.pdf

⁶⁶³ World Energy Outlook 2012, (November 2012). *Golden Rules for a Golden Age of Natural Gas—World Energy Outlook Special Report on Unconventional Gas*, International Energy Agency. Retrieved from <http://www.iea.org/publications/freepublications/publication/name,27408,en.html>

⁶⁶⁴ Harvey, F. (2012, May 29). 'Golden age of gas' threatens renewable energy, IEA warns. *Guardian*. Retrieved from <http://www.theguardian.com/environment/2012/may/29/gas-boom-renewables-agency-warns>

⁶⁶⁵ Myhrvold, N. P., & Caldeira, K. (2012). Greenhouse gases, climate change and the transition from coal to low-carbon electricity. *Environmental Research Letters*, 7(1). doi: 10.1088/1748-9326/7/1/014019

⁶⁶⁶ Tollefson, J. (2012, February 7). Air sampling reveals high emissions from gas field. *Nature*. Retrieved from <http://www.nature.com/news/air-sampling-reveals-high-emissions-from-gas-field-1.9982>

⁶⁶⁷ Gillis, J. (2011, December 29). The puzzle of rising methane. *The New York Times*. Retrieved from <http://green.blogs.nytimes.com/2011/12/29/the-puzzle-of-rising-methane/>

- October 2011 – A study from the National Center for Atmospheric Research concluded that substituting the use of natural gas for coal will increase, rather than decrease, the rate of global warming for many decades.⁶⁶⁸
- July 6, 2011 – According to the U.S. Energy Information Administration and other research, significant amounts of methane are leaking from aging gas pipelines and infrastructure.⁶⁶⁹
- April 2011 – A comprehensive analysis of the greenhouse gas footprint of natural gas from shale formations found that between 3.6 percent to 7.9 percent of the methane from natural gas production wells escapes into the atmosphere, rather than being combusted, thereby undermining any climate benefits of gas over coal as a source of energy.^{670, 671}

Threats from fracking infrastructure

The infrastructure for drilling and fracking is complex and widespread. Beginning where silica sand is mined and processed and ending where gas is burned or liquefied for export, infrastructure includes pipelines, compressor stations, dehydrators, processing plants, rail tankers, flare stacks, and storage depots through which oil or gas is moved, filtered, pressurized, warehoused, and vented. It also includes injection wells and recycling facilities that dispose and treat the prodigious amounts of liquid waste that fracking generates. Air pollution is produced at every stage of the process.

Compressor stations and pipelines are major sources of air pollutants, including benzene and formaldehyde, that raise potential health risks for those living nearby while offering no offsetting economic benefits—indeed, they are associated with loss of tax revenue and economic development for the communities where they are sited and traverse. The Medical Society of the State of New York and the American Medical Association have each called for comprehensive health impact assessments regarding the health and safety risks associated with natural gas pipelines, which include fires, explosions, and leaks.

In the Upper Midwest, the boom in silica sand mining threatens both air and water quality, has transformed rural areas into industrial zones, and introduced complex public health risks that are not well understood. Wisconsin alone provides more than half the sand used in fracking operations in the United States. Silica dust is a known cause of both lung cancer and

⁶⁶⁸ Wigley, T. M. (2011). Coal to gas: The influence of methane leakage. *Climatic Change*, 108(3), 601-608. doi: 10.1007/s10584-011-0217-3

⁶⁶⁹ McKenna, P. (2011, July 6). Thousands of gas leaks under Boston and San Francisco. *New Scientist*. Retrieved from <http://www.newscientist.com/article/mg21128203.800-thousands-of-gas-leaks-under-boston-and-san-francisco.html#.UpEbbMQ3uSp>

⁶⁷⁰ Howarth, R. W., Santoro, R., & Ingraffea, A. (2011). Methane and the greenhouse-gas footprint of natural gas from shale formations. *Climatic Change*, 106(4), 679-690. doi: 10.1007/s10584-011-0061-5

⁶⁷¹ Howarth, R. W., Santoro, R., & Ingraffea, A. (2012). Venting and leaking of methane from shale gas development: Response to Cathles et al. *Climatic Change*, 113(2), 537-549. doi: 10.1007/s10584-012-0401-0

silicosis. Exposures to downwind communities—and attendant public health risks from living near frack sand mining and processing facilities—are unknown.

Gas storage facilities include not only manmade holding tanks but also geological formations, most notably, abandoned salt caverns and depleted oil fields left over from mining and drilling operations. These unlined cavities were not created with the intent to store pressurized hydrocarbon gases nor are they engineered for this purpose. The Aliso Canyon gas storage facility, located in a depleted oil field in southern California, released more than 100,000 metric tons of methane into the air of the San Fernando Valley over a four-month period beginning on October 23, 2015 before it was finally contained in February 2016. This massive methane leak—the largest in U.S. history—is the greenhouse gas equivalent of a half million cars driving for a year. The plume itself was visible from space. More than 8,000 families in the nearby community of Porter Ranch were evacuated, thousands were sickened, and two public schools closed. The immediate cause of the Aliso Canyon blowout was a cracked well casing and lack of a shut-off valve. There are currently no federal standards in place for underground gas storage, although, in wake of the Aliso Canyon leak, regulations have been federally mandated.

Sand mining and processing

- July 5, 2016 – The Wisconsin Department of Natural Resources (DNR) released a *Strategic Analysis for Public Review* of the state’s industrial sand mining industry that downplayed environmental health effects from air pollution. There are 128 industrial sand mine facilities in Wisconsin, including the mines themselves and processing and rail loading facilities. The DNR identified airborne particulate matter as a primary concern for industrial sand mining facilities and said that air quality monitors in western Wisconsin have not detected a problem.⁶⁷² Researchers, organizations, and the native community involved in monitoring impacts of the frack sand industry challenged these findings, pointing to lack of data collection on the most dangerous kind of particulate matter called PM2.5, which represents fine particles that are less than 2.5 microns in width. These critics noted that the U.S. Environmental Protection Agency (EPA) had previously expressed concerns about the DNR’s approach to regulating PM2.5.⁶⁷³ Regarding groundwater, the report described elevated levels of several metals in wastewater holding ponds at the sand mines, presenting a risk to groundwater quality.
- March 25, 2016 – The Occupational Safety and Health Administration (OSHA) amended its existing standards for occupational exposure to respirable crystalline silica, “having determined that employees exposed to respirable crystalline silica at the previous permissible exposure limits face a significant risk of material impairment to their

⁶⁷² Wisconsin Department of Natural Resources. (2016). *Industrial sand mining in Wisconsin: Strategic analysis for public review*. Retrieved from <http://dnr.wi.gov/topic/EIA/documents/ISMSA/ISMSA.pdf>

⁶⁷³ Hubbuch, C. (2016, July 6). DNR releases frac sand analysis to immediate criticism from environmental group. *LaCrosse Tribune*. Retrieved from http://lacrosetribune.com/news/local/dnr-releases-frac-sand-analysis-to-immediate-criticism-from-environmental/article_bce8ea56-fff1-52ae-97cb-c67cfb120a1f.html

health.”⁶⁷⁴ Key provisions include the reduction of the permissible exposure limit to 50 micrograms per cubic meter of air, averaged over an 8-hour shift. The standards cover many industries with some having two years to comply; the hydraulic fracturing industry is allowed an additional five-year extension for engineering controls, until June 23, 2021.⁶⁷⁵ The *New York Times* reported that safety experts have advocated for a tightening of silica exposure standards for the past forty years but that “progress was stymied for decades by resistance from affected companies and regulatory inaction.” The article reported that many oil and gas companies in particular were not meeting the current silica exposure standard. The new rules, when fully in effect, are estimated to save 600 lives and prevent 900 new cases of silicosis per year.⁶⁷⁶

- March 1, 2016 – University of Wisconsin anthropologist Thomas Pearson conducted in-depth interviews examining the impact of frack sand mining on sense of community, quality of life, and place in nearby residents. His findings indicated that the sudden influx of this heavy extractive industry has eroded residents’ sense of place and belonging and that these experiences are rarely taken into account by policymakers. Residents report “significant anxiety and stress from truck traffic, noise, light pollution, and uncertainty about environmental health impacts,” and distress caused by drastic changes to long-familiar landscapes over which they have no control. Pearson concluded that policymakers should pay closer attention to the uneven distribution of benefits and costs and “recognize that the costs go beyond quantifiable economic or environmental impacts.”⁶⁷⁷
- January 29, 2016 – The Institute for Wisconsin’s Health, Inc. released its Health Impact Assessment (HIA) on frack sand mining operations in western Wisconsin, prepared with the participation of 15 local and tribal health departments. According to the report, the HIA was a collaborative effort. The scope of the report was limited to the potential for community-level health effects of industrial sand mining in western Wisconsin. Regarding air quality, the report concluded that health effects from the impact of industrial sand mining on community-level air quality related to particulate matter are unlikely, and that it was also unlikely that community members would be exposed to respirable crystalline silica from industrial sand mining as currently regulated. Regarding water quality, the report concluded that contamination is possible; however, health effects were unlikely. Quality of life effects were likely, but variable.⁶⁷⁸ Though it was a “Level

⁶⁷⁴ Occupational Safety and Health Administration. (2016, March 25). Occupational exposure to respirable crystalline silica. *Federal Register*. Retrieved from <https://www.federalregister.gov/articles/2016/03/25/2016-04800/occupational-exposure-to-respirable-crystalline-silica>

⁶⁷⁵ Occupational Safety and Health Administration. (2016, March 25). OSHA’s Final Rule to protect workers from exposure to respirable crystalline silica. United States Department of Labor, Washington, DC. Retrieved from <https://www.osha.gov/silica/>

⁶⁷⁶ Meier, B. (2016, March 24). New rules aim to reduce silica exposure at work sites. *The New York Times*. Retrieved from http://www.nytimes.com/2016/03/24/business/new-rules-aim-to-reduce-silica-exposure-at-work-sites.html?_r=1

⁶⁷⁷ Pearson, T. (2016). Frac sand mining and the disruption of place, landscape, and community in Wisconsin. *Human Organization*, 75(1), 47-58. doi: <http://dx.doi.org/10.17730/0018-7259-75.1.47>

⁶⁷⁸ Boerner, A., Young, N., & Young, D. (2016). *Health impact assessment of industrial sand mining in western Wisconsin*. Institute for Wisconsin’s Health, Inc., Madison, WI. Retrieved from http://www.instituteforwihealth.org/uploads/1/2/7/8/12783470/iwhi_industrial_sand_w_covers.pdf

1 Partner” for the report, the Ho-Chunk Nation responded to the HIA with criticism, writing, “we are disappointed with the conclusions drawn in the report, particularly in the section on air quality impacts, and we believe a more robust assessment of the air quality impacts is required before such conclusions can be drawn.” They wrote that the HIA failed to provide an accurate and complete analysis of the health threats posed by this industry because of the limited scope, and “minimal discussion about fine particulate matter (or PM_{2.5}), which likely presents the biggest threat from industrial sand mining operations.”⁶⁷⁹ As reported by Rochester, Minnesota’s *Post-Bulletin*, Crispin Pierce, director of University of Wisconsin-Eau Claire’s environmental public health program, “believes the study ignored important air quality data collected by university students at sand mining sites at Bloomer, New Auburn and Augusta during the past 18 months,” which he described as “the only work that looked at these fine particles.”⁶⁸⁰

- November 6, 2015 – According to findings from a pilot study led by Crispin Pierce (see entry above), levels of fine particulate matter (PM_{2.5}) are not being adequately measured near frack sand operations. Air monitors set up by Pierce and his team consistently showed higher readings than detections measured by Wisconsin’s Department of Natural Resources.⁶⁸¹ In some instances, PM_{2.5} levels exceeded the EPA guideline of 12 micrograms per cubic meter of air. In an accompanying news story, Pierce noted that the state’s air quality data largely comes from industry itself. “‘The DNR so far has continued to shy away from doing their own monitoring,’ he said. ‘The monitoring I’ve seen so far is inadequate. People aren’t looking at PM_{2.5}, and they really should be— from unbiased sources.’”⁶⁸²
- October 15, 2015 – *InsideClimate News* reported on the response of nearby communities to the “bust” cycle of the frack sand industry in Wisconsin and Minnesota. Reactions reported included ongoing concerns that the industry does not provide permanent economic prosperity. Municipalities and community organizations are using the lull to advance protections in advance of a possible upturn: “Towns in the region are also trying to strengthening their local zoning ordinances, such as adding rules to limit industrial noise and light pollution. In other cases, communities are trying to oust pro-sand advocates from office.”⁶⁸³

⁶⁷⁹ Ho-Chunk Nation. (2016, March 9). Concerns about air quality impacts and human health remain after release of industrial sand mining Health Impact Assessment (news release). Retrieved from <http://midwestadvocates.org/assets/resources/Frac%20Sand%20Mining/20160309HoChunkHIARelease.pdf>

⁶⁸⁰ Lindquist, E. (2016, February 4). Report downplays frac sand link to health troubles. *Post-Bulletin*. Retrieved from http://www.postbulletin.com/news/local/report-downplays-frac-sand-link-to-health-troubles/article_b3023c6c-fe74-5028-a7a4-6238fa035eaa.html

⁶⁸¹ Pierce, C., Walters, K., Jacobson, J., & Kroening, Z. (2015.) PM_{2.5} Airborne Particulates near frac sand operations. *Journal of Environmental Health* 78, 8-12.

⁶⁸² Schuessler, R. (2015, November 6). Wisconsin locals fear dust from mines for fracking sand even as boom wanes. *Aljazeera America*. Retrieved from <http://america.aljazeera.com/articles/2015/11/6/wisconsin-locals-fear-frac-sand-mining.html>

⁶⁸³ Hirji, Z. (2015, October 15). In fracking downturn, sand mining opponents not slowing down. *Inside Climate News*. Retrieved from <http://insideclimatenews.org/news/14102015/fracking-struggles-sand-mining-opponents-momentum-Minnesota-Wisconsin>

- June 30, 2015 – Because the amount of sand used per fracking well has increased, demand for silica sand by the oil and gas industry is still growing even though new drilling activity has taken a downturn. A global investment bank reported that fracking operations now require an average of 4.2 million pounds of sand per well. A few years ago, silica sand comprised 9.5 percent of fracking fluid but now is closer to 20 percent. Further “rising intensity” of sand use is expected.⁶⁸⁴
- June 15, 2015 – An investigative report by *EnergyWire* documented self-reported health impacts among residents of southwestern Wisconsin who live near silica sand mining operations that service the fracking industry. Exposure to silica dust is a proven cause of silicosis and lung cancer. [See further entries on silica sand exposure among workers in the section, “Occupational Health and Safety Hazards.”] Residents near frack sand mine operations reported exposure to dust pollution and respiratory problems. Air monitoring data from the Wisconsin Department of Natural Resources (DNR) showed that none of the state’s 63 active sand mines were in violation for particulate matter, but, as the author noted, the state measured particles only 10 micrometers in diameter or larger.⁶⁸⁵ Below this diameter, crystalline silica particles are small enough to bypass the body’s natural clearance mechanisms and are likely to lodge deep in the lungs where they can initiate scarring, autoimmune reactions, and tumor formation.⁶⁸⁶

Wastewater treatment facilities

- March 31, 2015 – University of Wyoming researchers identified a wastewater treatment and recycling facility as an important contributor to high winter ozone levels in Wyoming’s Green River Basin. The facility released a signature mixture of volatile hydrocarbons, including toluene and xylene, which are ozone precursors.⁶⁸⁷ This study documented that recycling activities can transfer volatile pollutants from water into air when fracking wastewater is cleaned up for reuse and that water treatment emissions can serve as an important point source of air pollutants.⁶⁸⁸

Pipelines and compressor stations

- July 5, 2016 – The National Energy Board, Canada’s pipeline watchdog, gave two of Canada’s largest pipeline companies six months to fix severe deficiencies in pipelines,

⁶⁸⁴ Chapa, S. (2015, June 30). Demand for sand: frac sand use per well goes up amid low oil prices. *San Antonio Business Journal*. Retrieved from <http://www.bizjournals.com/sanantonio/blog/eagle-ford-shale-insight/2015/06/demand-for-sand-frac-sand-use-per-well-goes-up.html>

⁶⁸⁵ King, P. (2015, June 15). Frac sand towns question whether rules protect them against silica pollution. *EnergyWire*. Retrieved from <http://www.eenews.net/stories/1060020192>

⁶⁸⁶ U.S. Department of Labor, Occupational Safety and Health Administration. (n.d.) Dust and its control. Retrieved from https://www.osha.gov/dsg/topics/silicacrystalline/dust/chapter_1.html

⁶⁸⁷ Field, R. A., Soltis, J., McCarthy, M. C., Murphy, S., & Montague, D. C. (2015). Influence of oil and gas field operations on spatial and temporal distributions of atmospheric non-methane hydrocarbons and their effect on ozone formation in winter. *Atmospheric Chemistry and Physics*, 15, 3527-3542. doi: 10.5194/acp-15-3527-2015

⁶⁸⁸ Peterka, A. (2015, April 2). Study links Wyo. winter ozone to drillers’ wastewater plant. *Greenwire*. Retrieved from <http://www.eenews.net/stories/1060016205>

ultimately issuing an emergency safety order in February 2016. Newly released federal documents showed that Texas-based Kinder Morgan and Alberta-based Enbridge were both looking into the use of defective parts purchased from Thailand-based Canadoil Asia that recently went bankrupt. U.S. regulators warned of these deficiencies eight years prior. At least one Canadian pipeline with defective materials exploded during that period.⁶⁸⁹

- June 10, 2016 – EPA Region 2 submitted comments to the Federal Energy Regulatory Commission (FERC) on Docket Nos. PFI6-3, Eastern System Upgrade Project, which includes new natural gas compressor stations in Hancock and Highland, New York. The EPA submission suggested an analysis of whether this project was needed; clarification of what is meant by a loop system; evaluation of alternatives; a comprehensive analysis of cumulative, indirect, and secondary impacts; information on greenhouse gas emissions and climate change impacts; a Health Impact Assessment; the inclusion of all pollution prevention practices; and a consideration of environmental justice concerns.⁶⁹⁰ The company agreed to provide funding toward a health study but wished to retain the ability to determine the study parameters.⁶⁹¹ Skeptical of the health study’s funding and parameters, residents and potentially impacted towns objected to the company’s dismissal of the towns’ laws prohibiting the construction and operation of heavy industrial use facilities. The Deputy Supervisor of one of the affected towns “said he was encouraged by the federal Environmental Protection Agency’s comments on the project’s preliminary federal application. He said the EPA concerns were ‘the same as ours.’”⁶⁹²
- April 27, 2016 – In its report on two natural gas pipeline expansion projects in Appalachia, the Institute for Energy Economics and Financial Analysis demonstrated that the Atlantic Coast and Mountain Valley pipelines are “emblematic of the risks that such expansion creates for ratepayers, investors and landowners.” The report concluded that pipelines out of the Marcellus and Utica region are being overbuilt, putting ratepayers at risk of paying for excess capacity, landowners at risk of losing their property to unnecessary projects, and investors at risk of loss. The report stated that FERC facilitates this building of excess pipeline capacity and its approach for assessing need is insufficient.⁶⁹³
- April 22, 2016 – The federal Agency for Toxic Substances and Disease Registry (ATSDR) released a report on air quality near a natural gas compressor station in

⁶⁸⁹ De Souza, M. (2016, July 5). How Canada’s pipeline watchdog secretly discusses ‘ticking time bombs’ with industry. *National Observer*. Retrieved from <http://www.nationalobserver.com/2016/07/05/news/how-canada%E2%80%99s-pipeline-watchdog-secretly-discusses-ticking-time-bombs-industry>

⁶⁹⁰ EPA Region 2. (2016, June 10). Docket Nos. PFI6-3, Eastern System Upgrade Project (comments). Retrieved from https://elibrary.ferc.gov/idmws/file_list.asp?document_id=14468753

⁶⁹¹ Mayer, F. (2016, April 27). Millennium to pay for health study. *River Reporter*. Retrieved from <http://www.riverreporter.com/news/4302/2016/04/27/millennium-pay-health-study>

⁶⁹² Julse, D. (2016, June 22). Highland concerned about study underfunding. *River Reporter*. Retrieved from <http://www.riverreporter.com/news/4302/2016/06/22/highland-concerned-about-study-underfunding>

⁶⁹³ Kunkel, C. & Sanzillo, T. (2016). *Risks associated with natural gas pipeline expansion in Appalachia*. The Institute for Energy Economics and Financial Analysis. Retrieved from http://ieefa.org/wp-content/uploads/2016/04/Risks-Associated-With-Natural-Gas-Pipeline-Expansion-in-Appalachia-_April-2016.pdf

Brooklyn Township, Susquehanna County, Pennsylvania, finding levels of fine particulate matter (PM_{2.5}) at levels that can damage human health in those with long-term exposure. Evaluating data from an 18-day EPA field air monitoring event, the report found that the average ambient 24-hour PM_{2.5} concentration observed at one residence (19 µg/m³) was higher than the nearest regional National Ambient Air Quality Standards (NAAQS) monitoring station (12.3 µg/m³) in Scranton, PA, over the same period. ATSDR concluded that there was evidence that long-term exposure to PM_{2.5} at the levels found can cause an increase in mortality, respiratory problems, hospitalizations, pre-term births, and low birth weight. The agency said that in the short term, exposure could be harmful to sensitive populations, such as those with respiratory problems or heart disease. The agency recommended that sensitive individuals monitor air quality and limit activity accordingly, and that the Pennsylvania Department of Environmental Protection work to reduce other sources of PM and its precursors.⁶⁹⁴

- April 3, 2016 – The Southwest Pennsylvania Environmental Health Project issued a *Technical Report* in response to the January 29, 2016 federal ATSDR report on the Brighi compressor station in Chartiers Township, Washington County, Pennsylvania. ATSDR detected chemicals that had been reported at gas sites previously, and this confirmation of their presence provided “an important acknowledgement that neighbors of such facilities are being exposed (often at very close range) to chemicals that bring with them the possibility of short- and long-term health effects.” The report stated that, in conjunction with the monitoring work of the EPA, ATSDR “provided a solid set of data.” However, due to the limitations of the methodologies available to them, the authors were “concerned that there was, in the end, an underestimate of risk to community members.”⁶⁹⁵
- April 1, 2016 – Kinder Morgan, the largest energy infrastructure company in North America, suspended construction of a \$1 billion pipeline project that would have carried gasoline and diesel fuel across the southeastern United States. Construction was suspended after landowners protested the seizure of their property, a Georgia Superior Court judge upheld a decision denying a certificate that would have allowed the company to use eminent domain, and the state legislature passed legislation to block the property seizure.⁶⁹⁶
- March 26, 2016 – According to a Boston University-led study, fugitive emissions from urban natural gas pipeline systems were the largest anthropogenic source of the greenhouse gas methane in the United States and contribute to the risk of explosions in

⁶⁹⁴ Agency for Toxic Substances and Disease Registry. (2016, April 22). *Health Consultation: Brooklyn Township PM_{2.5}, Brooklyn Township, Susquehanna County, Pennsylvania*. U.S. Department of Health and Human Services, Atlanta, GA. Retrieved from http://www.atsdr.cdc.gov/HAC/pha/BrooklynTownship/BrooklynTwnsp_pm2-5_HC_Final_04-22-2016_508.pdf

⁶⁹⁵ Southwest Pennsylvania Environmental Health Project. (2016, April 3). ATSDR releases investigation of Pennsylvania compressor station. *Response to Governmental Action and Publication, 1*. Retrieved from <http://www.environmentalhealthproject.org/resources/research-factsheets>

⁶⁹⁶ McKenna, P. (2016, April 1). Property rights outcry stops billion-dollar pipeline project in Georgia. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/01042016/palmetto-pipeline-kinder-morgan-georgia-eminent-domain-oil-gas-republicans>

urban environments, with 15 percent of leaks qualifying as potentially explosive.⁶⁹⁷ “All leaks must be addressed, as even small leaks cannot be disregarded as ‘safely leaking,’” concluded the report authors. In an interview with *InsideClimate News*, the lead author said that in addition to weighing the safety risks from gas leaks, regulators and utility companies must also consider the climate impact of leaks when determining priorities for repairing and replacing pipes.⁶⁹⁸

- March 7, 2016 – A lawsuit filed against the Federal Energy Regulatory Commission (FERC) in U.S. District Court in Washington, D.C challenged the agency’s relationship with industry, reported *Penn Live*: “The suit accuses the commission of regulatory capture, a situation in which corporations control regulators.” FERC receives all of its funding from the energy companies that it regulates and had never rejected a pipeline plan, which, according to the complainant, demonstrates “clear bias and corruption.”⁶⁹⁹
- February 26, 2016 – Congressman Chris Gibson (NY-19), in response to citizen concerns, sent a letter to FERC regarding the proposed 41,000-horsepower compressor station in southern Rensselaer County, New York, part of the Northeast Energy Direct (NED) pipeline project. He discussed the inadequacy of federal exposure standards with regard to exposures at compressor sites and lack of medical expertise in these decisions. He requested public health expertise on all Environmental Assessment and Environmental Impact Statement teams, an independent panel to review the federal exposure standards around compressor stations, and “a transparent and effective review process.”⁷⁰⁰ His call was supported by other elected officials, as well as public health researcher David O. Carpenter, MD, who has studied compressor station pollutants.⁷⁰¹
- January 29, 2016 – ATSDR, in collaboration with the EPA Region 3 Air Protection Division, conducted an exposure investigation to evaluate exposures of residents living near the Brigich natural gas compressor station in Chartiers Township, Washington County, Pennsylvania. ATSDR concluded that, although exposure to the levels of chemicals detected in the ambient air was not expected to harm the health of the general population, “some sensitive subpopulations (e.g., asthmatics, elderly) may experience harmful effects from exposures to hydrogen sulfide and PM 2.5 [and] [s]ome individuals may also be sensitive to aldehyde exposures, including glutaraldehyde.” According to ATSDR, one of the study’s limitations was that the sampling “may not have adequately

⁶⁹⁷ Hendrick, M. F., Ackley, R., Sanaie-Movahed, B., Tang, X., & Phillips, N.G. (2016). Fugitive methane emissions from leak-prone natural gas distribution infrastructure in urban environments. *Environmental Pollution*, 213, 710–716. doi:10.1016/j.envpol.2016.01.094

⁶⁹⁸ McKenna, P. (2016, March 31). Methane hazard lurks in Boston's aging, leaking gas pipes, study says. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/30032016/boston-natural-gas-pipelines-leaking-methane-climate-change-explosion>

⁶⁹⁹ Pynes, M. (2016, March 7). Federal agency funded by energy industry has never rejected a pipeline plan. *PennLive.com*. Retrieved from

http://www.pennlive.com/news/2016/03/pipeline_fights_raise_big_ques.html#incart_article_small

⁷⁰⁰ Gibson, C. (2016, February 26). Compressor station needs review. *Sullivan County Democrat*. Retrieved from <http://scdemocratonline.com/webpages/letterdetail.aspx?id=9f047d33-ba32-4027-883b-ff2e457ebb7a>

⁷⁰¹ Nearing, B. (2016, March 31). Gibson: Federal natural gas air pollution safety standards may be obsolete. *Albany Times Union*. Retrieved from <http://www.timesunion.com/business/article/Gibson-Federal-natural-gas-air-pollution-safety-7221271.php>

captured uncommon but significant incidents when peak emissions (e.g. unscheduled facility incidents, blowdowns or flaring events) coincide with unfavorable meteorological conditions (e.g. air inversion).” ATSDR recommendations included reducing exposures to the chemicals of concern to protect sensitive populations, continued collection of emissions data for long-term and peak exposures, and air modeling to better understand ambient air quality.⁷⁰²

- December 8, 2015 – The Niagara County Legislature, following the recommendations of the Medical Society of the State of New York, called for a Health Impact Assessment (HIA) on natural gas infrastructure, including compressor stations, and co-hosted a conference in Albany on the Medical Society’s health findings. A compressor station with twin compressors, part of the “2016 Northern Access Plan” to transfer gas from Pennsylvania to Canada, is proposed for the county.⁷⁰³
- November 9, 2015 – Following the 2010 heavy oil spill in Michigan’s Kalamazoo River, Congress ordered an audit that spotlighted the industry’s poor record of spotting leaks. *Politico* reported on the 2015 regulatory structure ultimately unveiled in response, determining the proposal “fails to patch that hole in the nation’s pipeline safety net.” “While the agency’s proposed rule expands the number of pipelines that must have a leak-detection system in place, it sets no basic standards for how well that technology should work. Instead, safety advocates say, it lets pipeline operators decide for themselves whether they are adequately prepared.”⁷⁰⁴
- October 16, 2015 – The U.S. Environmental Protection Agency urged FERC to consider “whether the Northeast Energy Direct pipeline could be combined with other projects, rather than constructing a new system that would have a host of environmental impacts,” reported Oneonta, New York’s *Daily Star*. The EPA also advised “that the gas demand addressed by NED’s application could be met by renewable forms of energy such as solar and wind power...”⁷⁰⁵ (Note: Kinder Morgan withdrew its NED pipeline application in April 2016.)
- September 17, 2015 – At a shale gas conference, industry representatives espoused the construction of new pipelines as necessary to re-invigorate the gas industry in the

⁷⁰² Agency for Toxic Substances and Disease Registry. (2016, January 29). *Health Consultation: Exposure Investigation, Natural Gas Ambient Air Quality Monitoring Initiative Brigich Compressor Station, Chartiers Township, Washington County, Pennsylvania*. Retrieved from http://www.atsdr.cdc.gov/HAC/pha/Brigich_Compressor_Station/Brigich_Compressor_Station_EI_HC_01-29-2016_508.pdf

⁷⁰³ Staff. (2015, December 8). County lawmakers call for study on compressor health risks. *Lockport Union-Sun & Journal*. Retrieved from http://www.lockportjournal.com/news/local_news/county-lawmakers-call-for-study-on-compressor-health-risks/article_932989cd-058a-594f-9ef2-e52827db85a6.html

⁷⁰⁴ Schor, E. (2015, November 9). The hole in Obama’s pipeline safety plan. *Politico*. Retrieved from <http://www.politico.com/story/2015/11/obama-pipeline-safety-plan-oil-215617>

⁷⁰⁵ Mahoney, J. (2015, October 16). EPA: Can local pipeline plans merge? *Daily Star*. Retrieved from http://www.thedailystar.com/news/local_news/epa-can-local-pipeline-plans-merge/article_f2836510-a96b-5c2d-9892-755b94b1f640.html?mode=jqm

Marcellus. Speakers noted that FERC approval can be expected to now take longer, by about six months, blaming environmental groups for the delays.⁷⁰⁶

- September 9, 2015 – New pipelines are failing at a rate on par with gas transmission lines installed before the 1940s, according to an analysis of federal data by the Pipeline Safety Trust, reported by *S&P Global Market Intelligence*. “The gas transmission lines installed in the 2010s had an annual average incident rate of 6.64 per 10,000 miles over the time frame considered, even exceeding that of the pre-1940s pipes. Those installed prior to 1940 or at unknown dates had an incident rate of 6.08 per 10,000 miles.” The director of the National Transportation Safety Board's Office of Railroad, Pipeline and Hazardous Materials Investigations “agreed that the rapid construction of pipelines in the U.S. is likely a contributing factor.”⁷⁰⁷
- August 18, 2015 – Houston Advanced Research Center (HARC) scientists addressed “the commonly acknowledged sources of uncertainty which are the lack of sustained monitoring of ambient concentrations of pollutants associated with gas mining, poor quantification of their emissions, and inability to correlate health symptoms with specific emission events.” They concluded that “more contemporary monitoring and data analysis techniques should take the place of older methods to better protect the health of nearby residents and maintain the integrity of the surrounding environment.” “Real-time mobile monitoring, microscale modeling and source attribution, and real-time broadcasting of air quality and human health data over the World Wide Web” have been demonstrated, they wrote, by past, current, and planned future monitoring studies in the Barnett and Eagle Ford shale regions.⁷⁰⁸ Founded as a technology incubator in 1982 by Houston oilman George P. Mitchell, HARC later re-aligned to focus on sustainable development.
- August 14, 2015 – HARC scientists found that port operations involving petrochemicals may significantly increase emissions of air toxics, including peaks of carcinogenic benzene of up to 37 ppb. The scientists matched the benzene spikes with pipeline systems. The spikes were at levels much higher than those reported in the EPA’s 2011 National Emissions Inventory. The authors recommended the use of updated methods for ambient monitoring.⁷⁰⁹ Lead scientist Jay Olaguer said in a related interview that “government regulators should wake up to the reality of the situation, that their methods

⁷⁰⁶ Packel, D. (2015, September 17). Energy honchos lament FERC pipeline approval delays. *Law 360*. Retrieved from <http://www.law360.com/publicpolicy/articles/697120/energy-honchos-lament-ferc-pipeline-approval-delays>

⁷⁰⁷ Smith, S. (2015, September 9). As US rushes to build gas lines, failure rate of new pipes has spiked. *SNL Financial*. Retrieved from <https://www.snl.com/InteractiveX/Article.aspx?cdid=A-33791090-11060>

⁷⁰⁸ Olaguer, E. P., Erickson, M., Wijesinghe, A., Neish, B., Williams, J., & Colvin, J. (2015). Updated methods for assessing the impacts of nearby gas drilling and production on neighborhood air quality and human health. *Journal of the Air & Waste Management Association*, 66, (2), 173-183. doi: 10.1080/10962247.2015.1083914

⁷⁰⁹ Olaguer, E. P., Erickson, M. H., Wijesinghe, A., & Neish, B. S. (2015). Source attribution and quantification of benzene event emissions in a Houston ship channel community based on real-time mobile monitoring of ambient air. *Journal of the Air & Waste Management Association*, 66, (2), 164-172. doi: 10.1080/10962247.2015.1081652

of tracking air pollution need to be updated so that the samples are taken in real time and can catch it when toxic vapors of this magnitude are released.”⁷¹⁰

- July 15, 2015 – Rensselaer County lawmakers passed a resolution asking the state of New York to freeze the approval process for the Northeast Energy Direct pipeline—which would carry fracked gas from Pennsylvania to Boston—until it conducts a comprehensive health impact assessment for natural gas pipelines.⁷¹¹
- July 8, 2015 – Researchers from West Virginia University completed leak and loss audits for methane emissions at three natural gas compressor stations and two natural gas storage facilities, with a “leak” defined as an unintended release of natural gas due to malfunction of a component, and a “loss” defined as an intended release of natural gas. In terms of frequency, most emissions were leaks, but on a mass basis, losses were the dominant source of methane emissions (88 percent). The top loss emitters were engine exhausts (accounting for nearly half), packing vents, and slop tanks. Emissions from compressor blowdowns were not included.⁷¹² A related study by a University of Houston team found that emission rates from compressor stations in Texas’ Barnett Shale were far higher than from well pads.^{713, 714}
- July 7, 2015 – Seeking a method to bridge the gap between bottom-up and top-down methods of measuring methane emissions, Purdue University, University of Houston, the National Oceanic and Atmospheric Administration (NOAA), Environmental Defense Fund, and independent researchers surveyed eight high-emitting point sources in the Barnett Shale using an aircraft-based “mass balance” approach. Results from four gas processing plants and one compressor station highlighted the importance of addressing methane “super-emitters” and confirmed that self-reports from the Greenhouse Gas Reporting Program underestimated actual emission rates by a factor of 3.8 or higher, due to “underestimated facility emissions, temporal variability of emissions, and the exclusion of nonreporting facility emissions.”⁷¹⁵
- July 7, 2015 – Using relatively easy-to-acquire and inexpensive stable isotopic and

⁷¹⁰ Wray, D. (2016, February 23). Scientists discover pipelines belching benzene in East Houston. *Houston Press*. Retrieved from <http://www.houstonpress.com/news/scientists-discover-pipelines-belching-benzene-in-east-houston-8181569>

⁷¹¹ Nearing, B. (2015, July 15). County: put study before any permit. *Albany Times-Union*. Retrieved from <http://www.timesunion.com/news/article/County-Put-study-before-any-permit-6387404.php>

⁷¹² Johnson, D. R., Covington, A. N., & Clark, N. N. (2015). Methane emissions from leak and loss audits of natural gas compressor stations and storage facilities. *Environmental Science & Technology*, 49, 8132-8138. doi: 10.1021/es506163m

⁷¹³ Lan, X., Talbot, R., Laine, P., & Torres, A. (2015). Characterizing fugitive methane emissions in the Barnett Shale area using a mobile laboratory. *Environmental Science & Technology*, 49, 8139-8146. doi: 10.1021/es5063055

⁷¹⁴ Song, L. & Hirji, Z. (2015, July 8). Methane emissions in Texas fracking region 50 percent higher than EPA estimates. *Inside Climate News*. Retrieved from <http://insideclimatenews.org/news/08072015/methane-emissions-texas-fracking-region-50-higher-epa-estimates-oil-gas-drilling-barnett-shale-environmental-defense-fund>

⁷¹⁵ Lavoie, T. N., Shepson, P. B., Cambaliza, M. O. L., Stirr, B. H., Karion, A., Sweeney, C., . . . Lyon, D. (2015). Aircraft-based measurements of point source methane emissions in the Barnett Shale Basin. *Environmental Science & Technology*, 49, 7904-7913. doi: 10.1021/acs.est.5b00410

alkane ratio tracers, researchers are now able to distinguish methane arising from natural gas production and transport from agricultural and urban methane sources, and, in addition, to distinguish between methane released from shale gas as opposed to conventional wells. Initial research from the University of Cincinnati, University of California at Irvine, and the Environmental Defense Fund found that methane in the Barnett Shale hydraulic fracturing region near Fort Worth, Texas, represents a complex mixture of these sources. This new approach, used for ground-level measurements, can complement and extend top-down approaches, allowing for more accurate inventories of thermogenic and biogenic sources of methane emissions.⁷¹⁶

- July 1, 2015 – In New York State, Schoharie County supervisors and medical professionals demanded comprehensive health impact assessments as a precondition for permitting natural gas pipelines and compressor stations.⁷¹⁷
- June 12, 2015 – The Agency for Toxic Substances and Disease Registry investigated the health effects of ruptured gas pipelines in an analysis of data in a database on acute petroleum-related releases to which seven states contribute (Louisiana, New York, North Carolina, Oregon, Tennessee, Utah, and Wisconsin). From 2010-2012, there were 1,369 such incidents, which resulted in 259 injuries. More than three-quarters of these incidents were related to natural gas distribution. Equipment failure accounted for half of all incidents; human error accounted for 40 percent. The report noted the “continuing occurrence” of petroleum release incidents—including from natural gas pipeline ruptures—which have “the potential to cause mass casualties and environmental contamination.”⁷¹⁸
- June 9, 2015 – The American Medical Association (AMA) adopted a resolution, “Protecting Public Health from Natural Gas Infrastructure,” that was based on a resolution adopted by the Medical Society of the State of New York. (See below.) The resolution states, “Our AMA recognizes the potential impact on human health associated with natural gas infrastructure and supports legislation that would require a comprehensive Health Impact Assessment regarding the health risks that may be associated with natural gas pipelines.”⁷¹⁹
- May 2, 2015 – The Medical Society of the State of New York adopted a resolution, “Protecting Public Health from Natural Gas Infrastructure,” that recognizes the potential impact to human health and the environment of natural gas pipelines and calls for a

⁷¹⁶ Townsend-Small, A., Marrero, J. E., Lyon, D. R., Simpson, I. J., Meinardi, S., & Blake, D.R. (2015). Integrating source apportionment tracers into a bottom-up inventory of methane emissions in the Barnett Shale hydraulic fracturing region. *Environmental Science & Technology*, 49, 8175–8182. doi: 10.1021/acs.est.5b00057

⁷¹⁷ Adams, K. (2015, July 1). Schoharie County officials ask new studies on gas lines: Report say dangers are equivalent to fracking. *Daily Gazette*, Retrieved from http://www.dailygazette.com/news/2015/jul/01/0701_gasline/?print

⁷¹⁸ Anderson, A. R. (2015, June 12). Health effects of cut gas lines and other petroleum product release incidents—seven states. *Morbidity and Mortality Weekly Report*, 64, 601-605.

⁷¹⁹ American Medical Association. (2015). H-135.930 Protecting public health from natural gas infrastructure, Resolution 519, A-15. Retrieved from <https://www.ama-assn.org/ssl3/ecom/PolicyFinderForm.pl?site=www.ama-assn.org&uri=/resources/html/PolicyFinder/policyfiles/HnE/H-135.930.HTM>

governmental assessment of these risks.⁷²⁰

- March 3, 2015 – Researchers with the Southwest Pennsylvania Environmental Health Project measured ambient levels of particulate and volatile air pollutants from fracking-related operations and calculated expected human exposures in Washington County, Pennsylvania. Extremely high exposures peaked at night when air was still. These fluctuating exposure events mimic, in frequency and intensity, the episodic nature of health complaints among residents. Over a one-year period, compressor stations were responsible for more extreme exposure events (118) than well pads or gas processing plants.⁷²¹
- February 24, 2015 – As part of a literature review on the health impacts of compressor stations, the Southwest Pennsylvania Environmental Health Project reported that peak emissions of fine particles tended to occur during construction time, that day-to-day emissions during operational time can fluctuate greatly, and that a compressor blowdown typically represented the single largest emission event during operations. Hence, documentation of these fluctuations cannot be captured by calculating yearly averages. A blowdown is an intentional or accidental release of gas through the blowdown valve that creates a 30- to 60-meter-high gas plume. Blowdowns, which are used to control pressure, can last as long as three hours. The authors noted that blowdowns result in times of high levels of contaminant release and that anecdotal accounts associate blowdowns with burning eyes and throat, skin irritation, and headache.⁷²² There is neither a national or state inventory of compressor station accidents nor a body of peer-reviewed research on the public health impacts of compressor stations.
- February 17, 2015 – A Boston study found that emissions from residential, end-use natural gas infrastructure was a significant source of atmospheric methane—two to three times larger than previously presumed—and accounted for 60 to 100 percent of methane, depending on the season. Of all the natural gas in the downstream component of the natural gas system, 2.7 percent was lost to the atmosphere.⁷²³
- February 10, 2015 – A team of engineers from Pennsylvania and Colorado examined methane emissions from natural gas compressor stations and found that vents, valves, engine exhaust, and equipment leaks were also major emissions sources. There was considerable variation in emissions among the 45 compressor stations measured.

⁷²⁰ Medical Society of the State of New York. (2015). 2015 House of Delegates Actions: Public Health and Education. Retrieved from <http://www.mssny.org/Documents/HOD/Actions/ActionPHE.pdf>

⁷²¹ Brown, D. R., Lewis, C., & Weinberger, B. I. (2015). Human exposure to unconventional natural gas development: a public health demonstration of periodic high exposure to chemical mixtures in ambient air. *Journal of Environmental Science and Health, Part A: Toxic/Hazardous Substances and Environmental Engineering*, 50, 460-72. doi: 10.1080/10934529.2015.992663

⁷²² Southwest Pennsylvania Environmental Health Project (2015, February 24). Summary on compressor stations and health impacts. Retrieved from <http://www.environmentalhealthproject.org/wp-content/uploads/2012/03/Compressor-station-emissions-and-health-impacts-02.24.2015.pdf>

⁷²³ McKain, K., Down, A., Raciti, S. M., Budney, J., Huttyra, L. R., Floerchinger, C., . . . Wofsy, S.C. (2015). Methane emissions from natural gas infrastructure and use in the urban region of Boston, Massachusetts. *Proceedings of the National Academy of Sciences*, 112, 1941-46. doi: 10.1073/pnas.1416261112

Surprisingly, substantial emissions were found even when compressors were not operating.⁷²⁴

- December 27, 2014 – A *Pittsburgh Tribune-Review* investigation found that the vast majority of natural gas “gathering lines”—pipelines that take natural gas from rural well pads to processing plants—were regulated by neither federal nor state pipeline safety laws. The United States has nearly 230,000 miles of natural gas gathering lines that are unregulated, operating without safety standards or inspection. These pipelines are among the largest and highest-pressure pipes in use and carry gas at nearly three times the pressure of transmission lines, which transport the gas from the processing plants to urban distribution networks.⁷²⁵
- November 11, 2014 – An analysis by a Carnegie Mellon University research team of 40,000 pipeline accidents from 1968 to 2009 found that comparatively few accidents accounted for a large share of total property damage, whereas a large share of fatalities and injuries were caused by numerous, small-scale accidents. There are 2.4 million miles of natural gas pipeline in the United States and 175,000 miles of hazardous liquid pipeline (which includes crude oil).⁷²⁶
- October 30, 2014 – A research team led by David O. Carpenter at University at Albany found high levels of formaldehyde near 14 compressor stations in three states. In Arkansas, Pennsylvania, and Wyoming, formaldehyde levels near compressor stations exceeded health-based risk levels. The authors noted that compressor stations can produce formaldehyde through at least two routes: it is created as an incomplete combustion byproduct from the gas-fired engines used in compressor stations. It is also created when fugitive methane, which escapes from compressor stations, is in the presence of sunlight. Formaldehyde is a known human carcinogen. Other hazardous air pollutants detected near compressor stations in this study were benzene and hexane. One air sample collected near a compressor station in Arkansas contained 17 different volatile compounds. (See entry for October 30, 2014 in Air Pollution.)
- October 15, 2014 – In comments to the Federal Energy Regulatory Commission, New York’s Madison County Health Department reviewed the literature on compressor station emissions and expressed concerns about associated health impacts, including documented correlations between health problems and residential proximity to compressor stations. It also reviewed health outcomes associated with exposures to chemicals known to be released from compressor stations, including volatile organic compounds, carbonyls and

⁷²⁴ Subramanian, R., Williams, L. L., Vaughn, T. L., Zimmerle, D., Roscioli, J. R., Herndon, S. C., . . . Robinson, A.L. (2015). Methane emissions from natural gas compressor stations in the transmission and storage sector: measurements and comparisons with the EPA Greenhouse Gas Reporting Program protocol. *Environmental Science & Technology*, 49, 3252-61. doi: 10.1021/es5060258

⁷²⁵ Wereschlagin, M. (2015, December 27). Rural gas gathering pipelines kindle concerns about safety laws. *Pittsburgh Review-Tribune*. Retrieved from <http://triblive.com/news/editorspicks/7362085-74/lines-gas-safety#axzz3NAHfzYF8>

⁷²⁶ Siler-Evans, K., Hanson, A., Sunday, C., Leonard, N., & Tumminello, M. (2014). Analysis of pipeline accidents in the United States from 1968 to 2009. *International Journal of Critical Infrastructure Protection*, 7, 257-69. doi: 10.1016/j.ijcip.2014.09.002

aldehydes, aromatics, and particulate matter. In addition, gas from fracking operations transiting through compressor stations may carry gaseous radon. The Health Department noted a troubling lack of information on the intensity, frequency, and duration of emission peaks that occur during the blowdowns and large venting episodes that are a normal part of compressor operations.⁷²⁷

- September 16, 2014 – Noting the proximity of a proposed high-pressure pipeline to Indian Point Nuclear Facility, as well as the evidence linking compressor station emissions to negative health impacts, New York’s Rockland County legislature adopted a resolution calling for a comprehensive Health Impact Assessment in regards to Spectra Energy’s planned Algonquin Incremental Market (AIM) natural gas pipeline, compressor, and metering stations expansion project.⁷²⁸ This resolution follows on the heels of similar resolutions expressing health concerns about the AIM project from both Westchester and Putnam County legislatures.^{729, 730}
- January 24, 2013 – A report prepared for the Clean Air Council by an independent consulting firm to evaluate air quality impacts from the Barto Compressor Station in Penn Township, Lycoming County, Pennsylvania predicted “large exceedances” of the nitrogen dioxide (NO₂) 1-hour National Ambient Air Quality Standard (NAAQS). Researchers used allowable emissions in the Pennsylvania Department of Environmental Protection permit, the 2006-2010 meteorological data and the latest US EPA modeling guidance for the model’s prediction. Three techniques were used, and for two of the techniques, NAAQS exceedances occurred within a mile of the plant. The report concluded, “NO₂ impacts from the Barto plant alone are very significant since its emissions cause large exceedances of the 1-hour NAAQS.”⁷³¹
- July 13, 2011 – A Fort Worth air quality study assessed the impact of drilling and fracking operations, and ancillary infrastructure, on concentrations of toxic air pollutants in the city of Fort Worth, Texas. The study found that compressor stations were a

⁷²⁷ New York State Madison County Health Department (2014, October 15). Comments to the Federal Energy Regulatory Committee concerning docket no. CP14-497-000, Dominion Transmission, Inc. Retrieved from https://www.madisoncounty.ny.gov/sites/default/files/publicinformation/madison_county_doh_comments_-_docket_no._cp14-497-000.pdf

⁷²⁸ Rockland County Legislature. (2014, September 16). *Resolution No. 404 of 2014 urging that health, safety and planning concerns be addressed and mitigated in the Environmental Review and all other review processes before project permissions be granted for Spectra Energy's Algonquin Incremental Market (AIM) Natural Gas Pipeline, Compressor and Metering Stations Expansion Project*. Retrieved from <https://sape2016.files.wordpress.com/2014/05/rockland-aim-resolution.pdf>

⁷²⁹ Board of Legislators County of Westchester, State of New York. (2014, July 21). *Resolution RES-2014-80 Algonquin Incremental Marketing Project resolution*. Retrieved from <https://sape2016.files.wordpress.com/2014/05/080414-wcbol-resolution-no-80-2014-requesting-due-diligence-on-environment-p.pdf>

⁷³⁰ Putnam County Legislature. (2014, May 9). *Resolution #104, Resolution regarding the Algonquin Incremental Market (AIM) Project*. Retrieved from <https://sape2016.files.wordpress.com/2014/05/putnam-county-resolutions-104-163-and-182-1.pdf>

⁷³¹ Tran, K. T. (2013, January 24). *AERMOD modeling of NO₂ impacts of the Barto Compressor Station: Final report*. Prepared for the Clean Air Council, Philadelphia, PA. Retrieved from http://www.pennfuture.org/UserFiles/File/MineDrill/Marcellus/CAC_EmissionsNO2_CompressorBarto_20130124.pdf

significant source of fracking-related air pollution. The compressor engines were responsible for over 99 percent of the hazardous air pollutants emitted from compressor stations, of which 67 percent was formaldehyde.⁷³²

Gas storage: The Aliso Canyon leak

- July 13, 2016 – As reported by the *Los Angeles Daily News*, Los Angeles County health officials were prepared to go to court to ensure that the Southern California Gas Co. complies with an order to pay for professional comprehensive cleaning in the homes of residents who were relocated due to the Aliso Canyon gas leak. The company had filed legal papers asking that the order “to remove dust and oily mist from up to 35,000 homes be nullified,” after their report of having cleaned 1,700 homes to date. The Los Angeles County Health Department said the company had done a poor job on these and did not follow protocol to remove the metal particles, including barium, manganese, vanadium, aluminum, and iron previously identified in household surface dust.⁷³³
- July 9, 2016 – California’s South Coast Air Quality Management District and Southern California Gas Co. were still at an impasse seven months after the company was given an abatement order that included a community health study on the potential impacts of exposures from the massive Aliso Canyon leak. The company was ordered to commit to paying “reasonable costs” for the study.⁷³⁴
- June 22, 2016 – The first federal legislation of gas storage facilities was signed into law. The Protecting our Infrastructure of Pipelines and Enhancing Safety Act of 2016 includes a provision in response to the Aliso Canyon gas leak requiring the Pipeline and Hazardous Materials Safety Administration to develop regulations for the construction and operation of underground natural gas storage facilities.⁷³⁵ (See entry below, of February 8, 2016, for analysis of the likely shortcomings of these first federal regulations and their inability to prevent a leak such as that at Aliso Canyon.)
- June 20, 2016 – As reported in *Geophysical Research Letters*, an airborne instrument onboard a NASA satellite was able to detect and quantify the size and shape of the

⁷³² Eastern Research Group. (2011, July 13). City of Fort Worth natural gas air quality study, final report. Retrieved from

<http://www.shaledigest.com/documents/2011/Air%20Quality%20Studies/Ft%20Worth%20Natural%20Gas%20Air%20Quality%20Study%20Final%20Report%20ERG%20Research%207-13-2011r.pdf>. See also Energy Research Group. (2011, July 19). Fort Worth natural gas air quality study final report, public meeting presentation. Retrieved from http://fortworthtexas.gov/uploadedFiles/Gas_Wells/110719_ERG.pdf?v=110725

⁷³³ Abram, S. (2016, July 13). SoCalGas slammed for poor cleanup of Porter Ranch homes. *Los Angeles Daily News*. Retrieved from <http://www.dailynews.com/health/20160713/socalgas-slammed-for-poor-cleanup-of-porter-ranch-homes>

⁷³⁴ Bartholomew, D. (2016, July 9). Gas Company, pollution agency at odds over cost of Porter Ranch health study. *Los Angeles Daily News*. Retrieved from <http://www.dailynews.com/government-and-politics/20160709/gas-company-pollution-agency-at-odds-over-cost-of-porter-ranch-health-study>

⁷³⁵ Cama, T. (2016, June 22). Obama signs pipeline safety bill. *The Hill*. Retrieved from <http://thehill.com/policy/energy-environment/284479-obama-signs-pipeline-safety-bill>

methane plume from the Aliso Canyon gas leak as the event occurred.⁷³⁶ This is the first time a natural gas leak has been visible from space, according to the authors of the study.⁷³⁷

- May 4, 2016 – Southern California Gas Co. said that costs related to the Aliso Canyon natural gas storage facility leak reached an estimated \$665 million. The utility company let the Securities and Exchange Commission know they carry policies with a combined limit available “in excess of \$1 billion,” but according to the *Los Angeles Times*, legal experts and lawyers said that \$1 billion in insurance might not be enough for what they ultimately need.⁷³⁸
- April 12, 2016 – California energy agencies issued a report indicating the threat of widespread summer power outages if no gas can be withdrawn from Aliso Canyon. The report was met with criticism. “Consumer groups and utility critics contend that the blackout warnings are an irresponsible scare tactic to ensure that Southern California Gas Co. is allowed to keep storing gas at the facility and that ratepayers will pay for upgrades to store even more fuel there.”⁷³⁹
- April 6, 2016 – The *Los Angeles Times* reported that, though prices for homes in Porter Ranch adjacent to the Aliso Canyon gas storage leak held up, sales declined. After the leak that began October 23, 2015, sales from December 2015 to February 2016 declined 20 percent from the year before. Disclosures for homes in the area “now include a mention of the community’s proximity to the gas field and the recent problems.”⁷⁴⁰
- March 18, 2016 – The California State Oil and Gas Division of the Department of Conservation issued penalties totaling \$75,000 for three separate violations after finding incidents of intentional venting of gas at the Aliso Canyon gas field and malicious concealment of those acts. Both are violations of the state gas regulations.⁷⁴¹ Following the Aliso Canyon gas storage leak, the California State Public Utilities Commission ordered a statewide survey of California’s 12 natural gas storage fields and found 229

⁷³⁶ Thompson, D. R., Thorpe, A. K., Frankenberg, C., Green, R. O., Duren, R., Guanter, L., ...Ungar, S. (2016). Space-based remote imaging spectroscopy of the Aliso Canyon CH₄ superemitter. *Geophysical Research Letters* 43(12). doi: 10.1002/2016GL069079

⁷³⁷ Mooney, C. (2016 June 15). This gas leak was so massive that NASA saw it from space. *The Washington Post*. Retrieved from https://www.washingtonpost.com/news/energy-environment/wp/2016/06/15/this-gas-leak-was-so-massive-that-nasa-saw-it-from-space/?utm_term=.1e66d8da1423

⁷³⁸ Penn, I. (2016, May 4). Costs related to Aliso Canyon leak reach an estimated \$665 million. *Los Angeles Times*. Retrieved from <http://www.latimes.com/business/la-fi-aliso-canyon-costs-20160504-snap-story.html>

⁷³⁹ Penn, I. (2016, April 12). 'This is a threat. This is not a report.' Critics call blackout warnings a scare tactic to keep Aliso Canyon open. *Los Angeles Times*. Retrieved from <http://www.latimes.com/business/la-fi-gas-field-20160412-story.html>

⁷⁴⁰ Khouri, A. (2016, April 6). Gas leak disrupts Porter Ranch housing market. *Los Angeles Times*. Retrieved from <http://www.latimes.com/business/realstate/la-fi-porter-ranch-sales-20160406-story.html>

⁷⁴¹ California Department of Conservation. (2016, March 18). State oil & gas division issues \$75,000 fine to operator for illegally venting natural gas. NR#2016-06. Retrieved from [http://www.conservation.ca.gov/index/Documents/2016-06%20DOC%20fines%20oil%20operator%20\\$75,000.pdf](http://www.conservation.ca.gov/index/Documents/2016-06%20DOC%20fines%20oil%20operator%20$75,000.pdf)

faulty valves, flanges and leaky wellheads and a 230th leak at an abandoned well; eight were deemed hazardous.⁷⁴²

- March 14, 2016 – Methane and ethane emissions were measured to determine spatial patterns and source attribution of urban methane in the Los Angeles Basin. The surveys demonstrated the prevalence of fugitive methane emissions across the Los Angeles urban landscape and that fossil fuel sources accounted for 58–65% of methane emissions.⁷⁴³
- February 25, 2016 – Measurements of methane and other chemicals were taken by aerial equipment following the October gas release from a faulty well in the Aliso Canyon storage field. The data demonstrated that the blowout of this single well created the largest known anthropogenic point source of methane in the United States. The leak lasted 112 days and released a total of 97,100 tons of methane and 7,300 tons of ethane into the atmosphere. This was equal to 24 percent of the methane and 56 percent of the ethane emitted each year from all other sources in the Los Angeles Basin combined.⁷⁴⁴ Aliso Canyon was already a major pollution source before the massive leak.⁷⁴⁵ As determined by the study and reported by major news outlets, the recent methane leak is officially the worst in U.S. history.^{746, 747}
- February 18, 2016 – Stanford and UCLA scientists reported to *InsideClimate News* that the lack of measurement data for the entire 100+ days of community exposures to the Aliso Canyon methane leak, combined with gaps in the science about many of the chemicals, hinders the ability to understand the health impacts of the leak. “‘The first week is when we would expect the highest gas concentrations to reach the neighborhood because the pressures in the storage field were the highest,’ said Robert Jackson, an earth system science professor at Stanford University who measured methane concentrations in nearby communities during the leak. ‘And yet we don’t have any information or data for that first week at least.’” Jackson noted that even after monitoring was initiated, it was intermittent rather than continuous.⁷⁴⁸

⁷⁴² St. John, P. (2016, March 23). 229 leaks found in state’s underground gas storage facilities, most considered minor. *Los Angeles Times*. Retrieved from

<http://www.latimes.com/local/lanow/la-me-ln-gas-leaks-storage-wells-20160322-story.html>

⁷⁴³ Hopkins, F. M., Kort, E. A., Bush, S. E., Ehleringer, J. R., Lai, C.-T., Blake, D. R., & Randerson, J. T. (2016). Spatial patterns and source attribution of urban methane in the Los Angeles Basin. *Journal of Geophysical Research: Atmospheres*, 121(5), 2490–2507. doi: 10.1002/2015JD024429

⁷⁴⁴ Conley, S., Franco, G., Faloona, I., Blake, D. R., Peischl, J. & Ryerson, T. B. (2016). Methane emissions from the 2015 Aliso Canyon blowout in Los Angeles, CA. *Science*. Advance online publication. doi: 10.1126/science.aaf2348

⁷⁴⁵ Lobet, I. & Reicher, M. (2016, February 14). *inewsourc.org*. Retrieved from <http://inewsourc.org/2016/02/14/aliso-canyon-major-pollution/>

⁷⁴⁶ Akpan, N. (2016, February 25). Los Angeles methane leak was officially the worst in U.S. history, study says. *PBS Newshour*. Retrieved from <http://www.pbs.org/newshour/rundown/los-angeles-methane-leak-is-officially-the-worst-in-u-s-history/>

⁷⁴⁷ Khan, A. (2016, February 25). Porter Ranch leak declared largest methane leak in U.S. history. *Los Angeles Times*. Retrieved from <http://www.latimes.com/science/sciencenow/la-sci-sn-porter-ranch-methane-20160225-story.html>

⁷⁴⁸ McKenna, P. (2016, February 18). What will be the health impact of 100+ days of exposure to California’s methane leak? *Inside Climate News*. Retrieved from <http://insideclimatenews.org/news/17022016/health-impacts-aliso-canyon-porter-ranch-methane-leak-california-socal-gas>

- February 18, 2016 – Independent regional experts from USC and UCLA interviewed by Southern California Public Radio expressed skepticism that an industry-funded study ordered by the South Coast Air Quality Management District following the Aliso Canyon methane leak would be rigorously designed to answer specific questions about sub-chronic, cumulative exposures, including hydrogen sulfide, which was measured in the nearby Porter Ranch community at levels far greater than the average across American cities.⁷⁴⁹
- February 13, 2016 – The Los Angeles County Department of Health prepared a *Supplemental Report* for its Expanded Air Monitoring Plan (EAMP) concerning the Southern California Gas Company’s Aliso Canyon storage facility long-term gas leak. The report addressed “chemicals of health concern” including toluene, ethylbenzene, xylene, hydrocarbons, volatile organic compounds, metals, and radon and concluded, “all results suggest that chemical exposures experienced by residents as a result of the gas leak are below the levels of concern that have been established by various regulatory agencies.”⁷⁵⁰ Remaining challenges named by the report itself included possible gaps in data collection, other chemicals present for which no sampling occurred, and further study of the symptoms reported by the public. Many independent scientists did not concur with the Department of Health’s ongoing statements that chemical exposures were below levels of concern. Issues raised included monitoring not initiated until a week after the leak began, lack of continuous monitoring, and reliance on “grab samples.” Speaking to *InsideClimate News*, John Bosch, a retired air-monitoring expert with more than 30 years’ experience at the EPA said, “Grab samples may be OK as a first-tier guestimate of what the problem is, but you really have to have continuous monitoring.”⁷⁵¹
- February 8, 2016 – The Pipeline and Hazardous Materials Safety Administration (PHMSA) announced that it might issue its first federal safety regulations for gas storage sites such as Aliso Canyon, while also suggesting site operators voluntarily follow guidelines that the proposed rules (which would likely take years to issue) will likely mirror. According to a report in *Inside Climate News*, these guidelines would not require systems to stop the flow of gas in an emergency or mandate redundancies to prevent methane from leaking into the environment.” If PHMSA proceeds to adopt industry guidelines, the resulting rules “may not address two key issues that turned Aliso Canyon into a disaster: emergency shutoff valves and a safer configuration of pipes.” Further, even with new regulations, storage units would most likely remain under state

⁷⁴⁹ O’Neill, S. (2016, February 18). Did the Porter Ranch gas leak cause long-term health damage? 89.33 KPCC. Retrieved from <http://www.scpr.org/news/2016/02/18/57666/did-the-porter-ranch-gas-leak-cause-long-term-health/>

⁷⁵⁰ Los Angeles County Department of Health. (2016, February 13). ALISO CANYON GAS LEAK Results of Air Monitoring and Assessments of Health, SUPPLEMENTAL REPORT: Updated Results and Expanded Chemical Testing. Retrieved from <http://www.publichealth.lacounty.gov/media/docs/SUPPLEMENTAL%20-Aliso%20Canyon%20Gas%20Leak-%20Results%20of%20Air%20Monitoring%20and%20Assessments%20of%20Health%20-%202-13-16.pdf>

⁷⁵¹ McKenna, P. (2016, February 18). What will be the health impact of 100+ days of exposure to California’s methane leak? *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/17022016/health-impacts-aliso-canyon-porter-ranch-methane-leak-california-socal-gas>

jurisdiction, “though state authorities may adopt any new federal rules.”⁷⁵² A subsequent story reported on members of Congress pressing PHMSA to create the first federal standards for the 418 underground gas storage facilities for which it has authority to set regulations. In the hearing before a subcommittee of the House Committee on Transportation and Infrastructure, California representatives “spoke about their efforts to speed up PHMSA’s rulemaking for underground gas storage.”⁷⁵³

- February 5, 2016 – As part of the Expanded Air Monitoring Plan, Los Angeles County Department of Health provided results for the primary chemicals of concern to assess health effects in residents, pets, and other animals in the community during the Southern California Gas Aliso Canyon storage facility leak. Those chemicals included methane, odorants, and benzene. The maximum level of methane detected was 4,340 ppm and the maximum level of benzene was 30.6 ppb. Early on, average weekly benzene levels that were close to the 1 ppb chronic exposure limit/ health protective level. “Methane levels have remained above normal, but have decreased substantially over time,” the report summarized. It also stated that odorants “... remained below instrument detection limits throughout the entire period, including immediately after the leak, even at locations near the leaking well,” and that “[b]enzene and other chemicals were originally detectable at levels above normal from within community sampling sites, but peak levels remained below acute exposure thresholds.”⁷⁵⁴ While the Los Angeles County Department of Health concluded that “health effects resulting from the on-going leak should be limited to short-term effects resulting from exposure to the odorants,” independent scientists, noting data gaps, have challenged these conclusions.
- January 25, 2016 – Some health experts and residents of Porter Ranch, California, adjacent to the Aliso Canyon gas field leak, expressed concern about long-term exposure to the odorous component of the gas, mercaptans, to which regulators attributed several symptoms of residents. Mercaptans are sulfurous chemicals that are added to natural gas to aid in the detection of leaks. Though California regulators have said the health problems, such as headaches, vomiting, and nosebleeds are temporary and will not lead to long-term damage, medical researchers described data gaps to *InsideClimate News*. There is “virtually no research on prolonged exposure to mercaptans.” Further, some researchers suggest the health problems may have been caused by different chemicals in the gas, and that “regulators have downplayed the significance of other contaminants that are also present in the leak.”⁷⁵⁵

⁷⁵² McKenna, P. (2016, February 8). New federal gas storage regulations likely to mimic industry’s guidelines. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/08022016/federal-gas-storage-regulations-likely-mimic-industry-guidelines-aliso-canyon-phmsa-api>

⁷⁵³ Song, L. (2016, February 26). *Inside Climate News*. U.S. pipeline agency pressed to regulate underground gas storage. Retrieved from <https://insideclimatenews.org/news/26022016/phmsa-pipeline-regulator-pressed-regulate-underground-natural-gas-storage-aliso-canyon-methane>

⁷⁵⁴ Los Angeles County Department of Health. (2016, February 5). Aliso Canyon gas leak: Results of air monitoring and assessments of health. Retrieved from <http://www.publichealth.lacounty.gov/media/docs/AlisoAir.pdf>

⁷⁵⁵ Song, L. (2016, January 25). Mercaptans in methane leak make Porter Ranch residents sick, and fearful. *Inside Climate News*. Retrieved from <https://insideclimatenews.org/news/22012016/porter-ranch-residents-health-effects-methane-leak-aliso-canyon-california>

- January 19, 2016 – Peter Richman, MD, president of the Los Angeles County Medical Association told the *Los Angeles Daily News* that, at nearly three months after the Aliso Canyon methane leak began, physicians had yet to receive a formal statement from the Los Angeles County Department of Public Health about airborne chemical pollutants related to the gas leak or guidelines on how to answer questions from patients about long-term health effects. Richman expressed special concern about prolonged exposure to methane and trace chemicals known to be carcinogenic. Another area physician reported that, as of the interview date, his urgent care practice had seen a hundred patients whose symptoms were consistent with exposure to leak-related pollutants.⁷⁵⁶
- January 14, 2016 – Boston University researcher Nathan Phillips and Bob Ackley of Gas Safety USA drove a high precision GIS-enabled gas analyzer through roads throughout California’s San Fernando Valley adjacent to the Aliso Canyon gas leak in early January 2016. Early results showed methane levels elevated 2 to 67 times the background level.⁷⁵⁷
- January 13, 2016 – Investigations into the possible cause of the gas leak in Aliso Canyon included the consideration that nearby fracking may have contributed to casing failure. In an email to the *Los Angeles Daily News*, California Department of Conservation Chief Deputy Jason Marshall said that their investigation will examine well records, including those pertaining to “well stimulation operations.”⁷⁵⁸ According to a 2015 report prepared for the California Council on Science and Technology (CCST), hydraulic fracturing is used about twice yearly to enhance storage “mostly in one facility serving southern California (Aliso Canyon).”⁷⁵⁹
- January 13, 2016 – “Aliso Canyon is a wake-up call,” according to a *Rocky Mountain PBS News* investigative report on the state of U.S. natural gas infrastructure. Natural gas is no longer a cleaner fuel than coal when methane leakage rates exceeds 2-4 percent, but the vast size of the nation’s interconnected natural gas storage and pipeline systems makes difficult the task of tallying all the micro-leaks spread across the entire network and answering fundamental questions about exactly how much methane is being lost. The PBS report also expressed concern about the age of many of the system’s component parts. According to the piece, nearly half (46 percent) of the nation’s transmission

⁷⁵⁶ Abram, S. (2016, January 19,). Doctors treating Porter Ranch residents want more gas-leak guidance. *Los Angeles Daily News*. Retrieved from <http://www.dailynews.com/health/20160119/doctors-treating-porter-ranch-residents-want-more-gas-leak-guidance>

⁷⁵⁷ Bartholomew, D. (2016, January 14). ‘Plume chaser’ researchers fan out across San Fernando Valley to map reach of Porter Ranch gas leak. *Los Angeles Daily News*. Retrieved from <http://www.dailynews.com/environment-and-nature/20160114/plume-chaser-researchers-fan-out-across-san-fernando-valley-to-map-reach-of-porter-ranch-gas-leak>

⁷⁵⁸ Wilcox, G. J. (2016, January 13). Regulators probing whether fracking was connected to Aliso Canyon gas well leak. *Los Angeles Daily News*. Retrieved from <http://www.dailynews.com/environment-and-nature/20160113/regulators-probing-whether-fracking-was-connected-to-aliso-canyon-gas-well-leak>

⁷⁵⁹ Long, J. C. S., Feinstein, L. C., Birkholzer, J., Jordan, P., Houseworth, J., Dobson, P. F., . . . Gautier, D. L. (2015). *An independent scientific assessment of well stimulation in California, Volume I: Well stimulation technologies and their past, present, and potential future use in California*. California Council on Science and Technology, Sacramento, CA. Retrieved from <https://ccst.us/publications/2015/2015SB4-v1.pdf>

pipelines, designed to carry high-pressure gas over long distances, were built in the 50s and 60s and are now more than a half century old.⁷⁶⁰

- December 30, 2015 – According to the *Los Angeles Daily News*, which unearthed November 2014 state regulatory filing documents, the Southern California Gas Company knew about the corrosion and potential for leakage at Aliso Canyon prior to the massive blow-out. “In written testimony to the California Public Utilities Commission, [SoCalGas Director of Storage Operations Phillip] Baker described a reactive maintenance process that hinted at major leakage problems underground.”⁷⁶¹
- November 20, 2015 – California state agencies collaborated with Aviation Scientific to measure methane emission rates at two early November dates, finding rates of $44,000 \pm 5,000$ kilograms of methane per hour and $50,000 \pm 16,000$ kilograms of methane per hour. The results indicated that the Aliso Canyon gas leak would have contributed about a quarter of California’s methane emissions for the time period studied.⁷⁶²
- November 20, 2015 – According to the *Los Angeles Times*, one month into the Aliso Canyon ongoing gas leak, Southern California Gas warned that it “might need several months” to plug the leak. An order from California’s Division of Oil, Gas and Geothermal Resources, “stated that an ‘uncontrolled flow of fluids’ and gas was escaping and the operator had failed to fully inform state officials about the well’s status. Steve Bohlen, the state oil and gas supervisor, also directed the company to submit a schedule for remediation work or for drilling a relief well.”⁷⁶³
- October 19, 2015 – *Houston Public Media* reported on the 125 caverns carved out of salt storing natural gas liquids (NGLs), thousands of feet under the city of Mont Belvieu, Texas, east of Houston. “There have been fiery accidents here. But nothing like what happened 23 years ago at a different [NGL] storage site 100 miles to the west. ‘A bomb-like blast literally blew residents in this small community out of their beds this morning,’ said a reporter for Dallas’s Channel 8 as he did a live report just outside the city of Brenham.” That blast, which killed three and injured 21, was reportedly caused by the lack of an emergency shut-off valve. There are no federal standards in place for such requirements. Twenty-three years later, a month prior to the *Houston Public Media* report, “at a hearing held by the U.S. Senate Committee on Commerce, Science, & Transportation, Donald Santa, head of the Interstate Natural Gas Association of America,

⁷⁶⁰ Wirfs-Brock, J. (2016, January 13). Vast California methane leak is dire but not unique in aging infrastructure. *Rocky Mountain PBS News*. Retrieved from <http://inewsnetwork.org/2016/01/13/vast-california-methane-leak-is-dire-but-not-unique-in-aging-infrastructure/>

⁷⁶¹ Reicher, M. (2015, December 30). SoCalGas knew of corrosion at Porter Ranch gas facility, doc shows. *Los Angeles Daily News*. Retrieved from <http://www.dailynews.com/general-news/20151230/socalgas-knew-of-corrosion-at-porter-ranch-gas-facility-doc-shows>

⁷⁶² California Air Resources Board. (2015, November 20). Report on greenhouse gas emissions from Aliso Canyon leak. *Los Angeles Times*. Retrieved from <http://documents.latimes.com/report-greenhouse-gas-emissions-aliso-canyon-leak/>

⁷⁶³ Barboza, T. (2015, November 20). Natural gas leak that's sickening Valley residents could take months to fix. *Los Angeles Times*. Retrieved from <http://www.latimes.com/local/california/la-me-1121-gas-leak-20151121-story.html>

told the senators that it was only in recent weeks that the industry approved standards for storing natural gas.” Texas did enact legislation a year after the deadly blast “and now requires emergency shutoff valves and inspections for leaks every five years.”⁷⁶⁴

- October 5, 2011 – The federal district court in Topeka struck down Kansas gas-safety laws in 2010, and 11 underground storage sites with a capacity of more than 270 billion cubic feet of gas have gone uninspected, leaving thousands of Kansans to live on and around uninspected gas-storage fields.⁷⁶⁵
- 2008 – When considering the possibility of storing natural gas in a variety of underground gas storage facilities, the UK government commissioned the British Geological Survey to identify the main types of facilities currently in operation worldwide along with any documented or reported failures and incidents which have led to release of stored product. The researchers found that California had the most incidents, but concluded that many of these problems and geological factors would not necessarily be applicable to the UK. The incidents most relevant to gas storage in the UK resulted from a failure of either the man-made infrastructure (well casings, cement, pipes, valves, flanges, compressors etc.), or human error, which has included overfilling of caverns and inadvertent intrusion. Extreme natural events, including earthquakes, also played a role. The researchers looked closely at incidents in salt caverns that had been repurposed to store gas. They reported that “early salt cavern storage in the US was done in brine wells that had been solution mined [in which salt deposits are melted away with hot water or steam] without consideration for subsequent storage in the depleted caverns. This practice sometimes resulted in later problems for storage operations in retrofitted brine caverns.” The authors conclude that the rate for a geological failure of the storage cavity in an underground gas storage facility is of the order of 10^{-5} failures per well year.⁷⁶⁶

Inaccurate jobs claims, increased crime rates, threats to property value and mortgages, and local government burden

Experiences in various states and accompanying studies have shown that the oil and gas industry’s promises of job creation from drilling for natural gas have been greatly exaggerated. Many of the jobs are short-lived, and many have gone to out-of-area workers. With the arrival of drilling and fracking operations, communities have experienced steep increases in rates of crime, including sex trafficking, sexual assault, drunk driving, drug abuse, and violent victimization, all of which carry public health consequences, especially for

⁷⁶⁴ Fehling, D. (2015, October 19). On edge of Houston, underground caverns store huge quantities of natural gas liquids. *Houston Public Media*. Retrieved from <http://www.houstonpublicmedia.org/articles/news/2015/10/19/124674/on-edge-of-houston-underground-caverns-store-huge-quantities-of-natural-gas-liquids/>

⁷⁶⁵ Lefler, D. (2011, October 5). Lawsuit leaves large gas storage fields in Kansas unregulated. *Wichita Eagle*. Retrieved from <http://www.kansas.com/news/article1071558.html>

⁷⁶⁶ Keeley, D. (Health and Safety Laboratory). 2008. *Failure rates for underground gas storage: Significance for land use planning assessments*. Health and Safety Laboratory for the Health and Safety Executive, Derbyshire, UK. Retrieved from <http://www.hse.gov.uk/research/rrpdf/rr671.pdf>

women. Social costs include strain on law enforcement, municipal services, and road damage. Economic analyses have found that drilling and fracking diminish property values, tax revenues for local governments, and tourism. Increased rates of industrial accidents and traffic accidents strain emergency services and create further burdens on local government services and budgets. Drilling and fracking pose an inherent conflict with mortgages and property insurance due to the hazardous materials used and the associated risks. The departure of drilling and fracking operations from communities eases some of these challenges but can also lead to additional economic harm and is often accompanied by a sharp uptick in foreclosures, late car and mortgage payments, and empty housing units.

- May 24, 2016 – In 327 U.S. counties previously at the center of the fracking boom, overdue car loans approached their highest level in five years, and late mortgage payments also rose, according to a report by the *Financial Times* that examined data from the Federal Reserve Bank of New York. These trends stood in stark contrast to lowered overdue debt rates in the rest of the U.S. This surge in late car payments in intensely fracked areas of the United States has “exposed the damage done by the collapse in drilling activity and marred broadly positive trends for late debt payments by American consumers.”⁷⁶⁷
- May 8, 2016 – With the downturn in the fracking industry, Wisconsin’s sand mining sector, which provides silica sand for fracking operations, has also slumped and prompted significant layoffs and job losses in both 2015 and 2016, according to a report by Eau Claire’s *Leader-Telegram*. “This is what the bust part of the boom-and-bust cycle of the energy sector looks like, and it’s something west-central Wisconsin residents, who are mostly new to the industry, aren’t used to seeing.” Other companies that supply goods and services to sand mining operations in the region have also experienced a downturn.⁷⁶⁸
- March 8, 2016 – A DeWitt County, Texas judge estimated it will cost his county \$432 million to rebuild its roads, noting that if a road “leads to a rig site, it’s bound to be a broken road.” The judge stated that ultimately the companies would pay a large share.⁷⁶⁹
- February 22, 2016 – *Inside Energy* investigated oil-industry related wage theft claims in the West, finding “a growing number of oil workers are turning to the courts, saying they weren’t paid fairly even when times were good.” Between 2010 and 2015, wage theft suits against oil and gas companies in Colorado increased by a factor of nine, and in Texas nearly ten times. The investigation found that oil and gas companies were consistently among the top violators of wage laws—especially in failure to pay overtime. A federal investigation of the industry led to the recovery of \$40 million dollars in unpaid wages. One of the officers involved in the investigations is quoted saying, “We have found cases where workers were not even paid the minimum wage, because they’re

⁷⁶⁷ Fleming, S. (2016, May 24). US fracking bust sparks surge in car debt. *Financial Times*. Retrieved from <http://www.ft.com/cms/s/0/a4cb1270-21c2-11e6-aa98-db1e01fab0c.html>

⁷⁶⁸ Lindquist, E. (2016, May 8). Silent sandbox: Once booming frac sand industry continues major downturn. *Leader-Telegram*. Retrieved from <http://www.leadertelegram.com/News/Front-Page/2016/05/08/Silentsandbox.html>

⁷⁶⁹ Callahan, C. (2016, March 8). Fracking fall-off leaves South Texas roads a mess. *KSAT.com*. Retrieved from <http://www.ksat.com/web/ksat/news/fracking-fall-off-leaves-south-texas-roads-a-mess>

working so many hours So the idea that they're being highly compensated, in some cases, they're not."⁷⁷⁰

- January 13, 2016 – A fire on a fracking site in Grady County, Oklahoma that consumed 22 oil tankers required the response of six regional fire departments.⁷⁷¹
- December 15, 2015 – The value of homes that rely on well water in Pennsylvania dropped an average of \$30,167 when fracking took place within 1.5 kilometers, according to a study by Duke University researchers published in the *American Economic Review*. For these groundwater-dependent homes, a fracking well located within one kilometer was linked to a 13.9 percent average decrease in values; homes with wells at least two kilometers away maintained their value. The study was based on home sales between 1995 and 2012 in 36 counties. Researchers stated that their figures may not fully reflect the total costs associated with groundwater contamination risk, as, for example, when homeowners purchase expensive home water filtration systems. Though their study does not incorporate data on actual contamination, concerns about contamination can significantly affect property values. Researchers found “strong evidence of localized costs borne particularly by groundwater-dependent homes.”⁷⁷²
- December 8, 2015 – Even as housing prices in shale gas-areas of Pennsylvania have dropped along with fracking activity, many seniors and people living on low incomes are still being priced out of the market, *StateImpact* reported. Pennsylvania still lacks a quarter million affordable rental homes for people in poverty despite a 2012 law requiring gas companies to pay well fees intended to offset the costs of affordable housing programs in communities where drilling is occurring.⁷⁷³
- December 2, 2015 – “The local economy is feeling the pinch” of the downturn of activity in Pennsylvania’s gas fields, according to a Reuters report. The late 2015 slump marked a turning point in Marcellus Shale fracking. Regional economic effects reported include empty hotel rooms and foreclosure notices in Lycoming County at their highest since data were first collected.⁷⁷⁴
- October 7, 2015 – Vehicular collisions and Texas fracking activity are closely linked, according to a report by the Texas A&M University Transportation Institute. Researchers

⁷⁷⁰ Boyce, D. (2016, February 22). Wage theft claims surge as oil prices fall. *Inside Energy*. Retrieved from <http://insideenergy.org/2016/02/22/wage-theft-claims-surge-as-oil-prices-fall/>

⁷⁷¹ KFOR-TV, Querry, K., & Fultonberg, L. (2016, January 13). Firefighters extinguish damaging Grady Co. fracking fire. *KFOR.com*. Retrieved from <http://kfor.com/2016/01/13/all-lanes-of-traffic-shut-down-due-to-large-oil-rig-fire/>

⁷⁷² Muehlenbachs, L., Spiller, E., & Timmins, C. (2015). The housing market impacts of shale gas development. *American Economic Review*, 105(12), 3633–3659. doi: 10.1257/aer.20140079

⁷⁷³ Cusick, M. (2015, December 8). Despite drilling slowdown, rents still high in fracking boomtowns. *StateImpact*. Retrieved from <https://stateimpact.npr.org/pennsylvania/2015/12/08/despite-drilling-slowdown-rents-still-high-in-fracking-boomtowns/>

⁷⁷⁴ McAllister, E. (2015, December 2). America's biggest gas field finally succumbs to downturn. *Reuters.com*. Retrieved from <http://www.reuters.com/article/us-usa-marcellus-decline-insight-idUSKBN0TL0CY20151202#W0DRBI8eM4MKscSV.97>

analyzed the number of crashes and injuries across Texas during the period from 2006 to 2009, when drilling and fracking operations were intensive over the Barnett Shale, as well as from 2010 to 2013, when activity increased in the Permian Basin in West Texas and the Eagle Ford Shale in South Texas, and decreased in the Barnett. Collisions increased where shale gas activity increased and decreased where it slowed down.⁷⁷⁵ Quoted in the *Texas Tribune*, report co-author Cesar Quiroga said, “The two trends correlated so well, and they were perfectly aligned We could use this as a predictive model.”⁷⁷⁶ Further, the increase was greater in South Texas, the region that relies most heavily on horizontal, hydraulic fracking requiring millions of gallons of water and sand to be trucked in, compared to West Texas which does use fracking but also more simple, vertical wells. The comprehensive cost of these collisions was estimated to be about \$2 billion more from 2010 to 2013—in both the Eagle Ford and Permian Basin—compared to the previous period.

- September 30, 2015 – The North Dakota Bureau of Criminal Investigation was set to hire nine new agents, reported the *Billings Gazette*, “...allowing for more attention to cases of human trafficking and organized crime in western North Dakota ... as increased oil production resulted in growing populations.”⁷⁷⁷
- September 29, 2015 – “New residential units sit empty as gas production falls,” *HousingWire Magazine* wrote, following up on their earlier reporting describing the link between the drilling boom and the real estate boom in the Bakken shale region of North Dakota. Economic data indicate that Bakken drilling is not lasting long enough to sustain the building explosion.⁷⁷⁸
- September 9, 2015 – Most local governments in Western North Dakota and Eastern Montana’s Bakken region have experienced net negative fiscal effects, according to a Duke University analysis published by the National Bureau of Economic Research. These trends were also seen in municipalities in rural Colorado and Wyoming, which also struggled to manage fiscal impacts during recent oil and gas booms, but in these two states the fiscal impact eased as drilling activity slowed.⁷⁷⁹ Referencing the report, *McClatchyDC* wrote, “North Dakota cities and counties have been slammed.” Municipal

⁷⁷⁵ Quiroga, C. & Tsapakis, J. (2015). *Oil and gas energy developments and changes in crash trends in Texas*. Texas A&M Transportation Institute, PRC 15-35 F. Retrieved from <http://d2dtl5nnlpfr0r.cloudfront.net/tti.tamu.edu/documents/PRC-15-35-F.pdf>

⁷⁷⁶ Malewitz, J. (2015, October 7). Report: Energy boom-related traffic crashes cost billions. *Texas Tribune*. Retrieved from <https://www.texastribune.org/2015/10/07/report-shows-huge-toll-energy-boom-traffic-crashes/>

⁷⁷⁷ McCleary, M. (2016, September 30). North Dakota to hire 9 more criminal investigation agents. *Billings Gazette*. Retrieved from http://billingsgazette.com/news/state-and-regional/montana/north-dakota-to-hire-more-criminal-investigation-agents/article_a4192344-c9b0-51cc-9693-5a4335f5be05.html

⁷⁷⁸ Lane, N. (2015, September 29). Is fracking about to bust housing in North Dakota? *HousingWire Magazine*. Retrieved from <http://www.housingwire.com/articles/35196-is-fracking-about-to-bust-housing-in-north-dakota>

⁷⁷⁹ Newell, R. G. & Raimi, D. (2015). *Shale public finance: Local government revenues and costs associated with oil and gas development*. The National Bureau of Economic Research, Working Paper No. 21542. doi: 10.3386/w21542

challenges have included providing water and sewer infrastructure, substantial damage to roads, soaring housing prices, and strained emergency services.⁷⁸⁰

- August 27, 2015 – Fracking in or near public parks could cause tourists to stay away and lead to a decline in park use, according to a report published by a team of tourism, recreation, and sport management researchers from the University of Florida, North Carolina State University, and Florida State University. Using data collected from 225 self-identified park users from Pennsylvania, Ohio, West Virginia, Kentucky, and Tennessee, researchers reported that only one-third of participants were willing to participate in recreational activities near fracking operations, compared to 38 percent unwilling, and 29 percent neutral. Forty-six percent of respondents supported a ban on fracking on public lands, while 20 percent agreed with promoting fracking on public lands.⁷⁸¹
- July 1, 2015 – Britain’s Department for Environment, Food & Rural Affairs released previously redacted sections of a report on the impacts of drilling and fracking. The report found that housing prices near fracking wells would likely fall up to seven percent for houses within a mile of wells. Furthermore, properties within one to five miles of fracking sites could incur additional insurance costs. The report warned of environmental damages, including from leakage of fracking waste fluids, and found that public health could be affected indirectly through consumption of contaminated wildlife, livestock, or agricultural products. The report also found potential for some benefits, such as job growth.⁷⁸²
- July 2015 – A working paper by researchers with the National Bureau of Economic Research found that fracking resulted in an increase in male teen high school dropout rates. “Our estimates imply that, absent fracking, the male-female gap in high school dropout rates among 17- 18-year olds would have narrowed by about 11 percent between 2000 and 2013 instead of remaining unchanged.” The authors explained that by increasing the demand for low-skilled labor, fracking could slow growth in educational attainment. They noted that the relative wage boost from fracking may be only temporary. Indeed, by the end of the sample period, the benefits had started to wane as the labor demand from fracking appeared to no longer favor dropouts. Thus, the fracking boom may be inhibiting educational achievement among young men who “would already be near the bottom of the skill distribution, with possible implications for future productivity and the social safety net.”^{783, 784}

⁷⁸⁰ Cockerham, S. (2015, September 9). Oil boom a loser for North Dakota cities, counties, study finds. *McClatchyDC*. Retrieved from <http://www.mcclatchydc.com/news/nation-world/national/economy/article34552824.html>

⁷⁸¹ Kellison, T. B., Bunds, K. S., Casper, J. M., & Newman, J. I. (2015). Fracking & parkland: Understanding the impact of hydraulic fracturing on public park usage. Retrieved from http://plaza.ufl.edu/tkellison/_/Fracking.html

⁷⁸² Vaughan, A. & Mason, R. (2015, July 1). Fracking could hurt house prices, health and environment, official report says. *Guardian*. Retrieved from <http://www.theguardian.com/environment/2015/jul/01/fracking-could-hurt-house-prices-health-and-environment-official-report-says>

⁷⁸³ Cascio, F. U., & Narayan, A. (2015, July). Who Needs a Fracking Education? The Educational Response to Low-Skill Biased Technological Change. National Bureau of Economic Research. Retrieved from <http://www.nber.org/papers/w21359>

- March 20, 2015 – The U.S. Attorney for Western New York linked a rise in production of methamphetamine to use among workers in the fracking fields of northern and western Pennsylvania. Surging demand for the drug, which allows users to stay awake for 48 to 72 hours, may be related to the extremely long working hours that employees in the gas industry must endure.⁷⁸⁵
- January 4, 2015 – A documentary by Forum News Service, “Trafficked Report,” revealed that sex trafficking, including of children, in the Bakken oil fields of North Dakota was a significant problem.⁷⁸⁶ The dynamics of the oil boom, with an influx of out-of-state and primarily male workers far from their families, created an increase in demand for prostitution.⁷⁸⁷
- December 28, 2014 – The *New York Times* profiled the impacts of oil drilling and fracking on the Fort Berthold Indian Reservation in North Dakota, finding corruption, crime, and negative environmental impacts. Aside from a significant rise in jobs, which often go to transient workers, many residents “see deterioration rather than improvement in their standard of living. They endure intense truck traffic, degraded roads, increased crime, strained services and the pollution from spills, flares and illegal dumping.” According to the *Times*’ calculation, the reservation had seen 850 oil-related environmental incidents from 2007 through mid-October 2014, which generally went unpunished.⁷⁸⁸
- December 26, 2014 – Examining Pennsylvania Department of Transportation data, Ohio’s *Star Beacon* newspaper found that fracking poses a safety threat on rural roads. The paper found that Pennsylvania’s five busiest drilling counties recorded 123 more heavy truck crashes in 2011 than before the gas boom began—a 107 percent increase. The paper noted the burden drilling and fracking placed on local communities and governments, including the strain on local emergency responders.⁷⁸⁹
- December 17, 2014 – Heavy drilling and fracking (defined as 400 or more wells drilled within a county over 5-8 years) was positively correlated with increased crime, sexually

⁷⁸⁴ Chandra, S. (2015, July 14). Fracking jobs encouraged American teens to become high school dropouts. *Bloomberg Business*. Retrieved from: <http://www.bloomberg.com/news/articles/2015-07-14/fracking-jobs-encouraged-american-teens-to-become-high-school-dropouts>

⁷⁸⁵ Newberg, R. (2015, March 20). Meth use tied to fracking workers in Pennsylvania. *WIVB 4*. Retrieved from <http://wivb.com/2015/03/20/meth-use-tied-to-fracking-workers-in-pennsylvania/>

⁷⁸⁶ Dalrymple, A. & Lymn, K. (2015, January 4). Trafficked Report: Sex for sale in the Bakken. *Forum News Service*. Retrieved from <http://www.traffickedreport.com/?p=15>

⁷⁸⁷ Gaines, J. (2015, March 9). The oil boom in North Dakota now has a serious sex-trafficking problem. *Business Insider*. Retrieved from <http://www.businessinsider.com/north-dakota-sex-trafficking-prostitution-oil-boom-police-raid-2015-3>

⁷⁸⁸ Sontag, D. & McDonald B. (2014, December 28). In North Dakota, a tale of oil, corruption and death. *The New York Times*. Retrieved from <http://www.nytimes.com/2014/12/29/us/in-north-dakota-where-oil-corruption-and-bodies-surface.html>

⁷⁸⁹ Finnerty, J. (2014, December 26). Fracking’s biggest safety threat is on rural roads. *Star Beacon*. Retrieved from http://www.starbeacon.com/news/fracking-s-biggest-safety-threat-is-on-rural-roads/article_bc48687a-8caf-11e4-b4d9-6382c924a6f9.html

transmitted diseases, and traffic fatalities, according to a report by the Multi-State Shale Research Collaborative.⁷⁹⁰ The report looked at the impacts in Pennsylvania, Ohio, and West Virginia, primarily finding statistically significant impacts in six heavily drilled counties in Pennsylvania. In those six counties, violent crime increased 17.7 percent—corresponding to about 130 more violent crimes in those counties in 2012—compared to a decrease in violent crime rates in both urban and rural non-drilling communities. Property crime increased 10.8 percent in those six counties, drug abuse rates rose 48 percent, and drunk-driving offenses rose 65 percent compared to 42 percent in rural areas with no drilling. The report found a statistically significant increase of 24 percent to 27 percent in rates of sexually transmitted diseases across drilling counties in all three states. Motor vehicle fatalities increased 27.8 percent in Pennsylvania’s six high-drilling counties. The report found a modest increase in jobs, but noted that an influx of out-of-state workers at least partially explained the increases in traffic and crime.⁷⁹¹

- December 15, 2014 – A report written in French by Quebec’s Advisory Office of Environmental Hearings concluded that the environmental costs of fracking in the St. Lawrence Lowlands would outweigh the potential economic benefits. In a press release, the Advisory Office of Environmental Hearings concluded that fracking “would not be advantageous for Quebec because of the magnitude of the potential costs and externalities, compared to royalties that would be collected by Quebec. Other concerns also remain, including plans of social acceptability, legislation, and a lack of knowledge, particularly with respect to water resources.”⁷⁹²
- October 30, 2014 – The *New York Times* profiled the profound impact heavy drilling has had on Glasscock County, Texas, including its farming community. Farmers described increases in trash, traffic accidents, clashes around farmers selling groundwater to drillers, and economic detriment. In many cases, acres of farmland around a drill site “will probably never be suitable for fertile farming again,” and farmers are “at the mercy” of what drillers want to pay for damages. The county itself receives revenue, but most of that additional money “is being used to repair roads damaged by oil field truck activity. Overall, the gains from drilling are not viewed as worth the drawbacks in a county long dominated by cotton farming.”⁷⁹³
- September 28, 2014 – A *Washington Post* investigation reported on heroin and methamphetamine addiction—and associated violent crime—among Native American

⁷⁹⁰ Price, M., Herzenberg, S., Ward, S., Wazeter, E., & Basurto, L. E. (2014, December). *The Shale Tipping Point: The Relationship of Drilling to Crime, Traffic Fatalities, STDs, and Rents in Pennsylvania, West Virginia, and Ohio*. Retrieved from: <http://www.multistateshale.org/shale-tipping-point>

⁷⁹¹ McKelvey, W. (2014, December 17). Fracking brought spikes in crime, road deaths and STDs to Pa.: report. *Patriot News*. Retrieved from

http://www.pennlive.com/midstate/index.ssf/2014/12/fracking_brought_spikes_in_vio.html

⁷⁹² McCarthy, S. (2014, December 15). Fracking dealt another setback by Quebec report. *Globe and Mail*. Retrieved from <http://www.theglobeandmail.com/report-on-business/industry-news/energy-and-resources/bape-says-shale-gas-production-not-advantageous-for-quebec/article22096203/>

⁷⁹³ Batheja, A. (2014, October 30). A county resents oil drilling, despite the money it brings in. *The New York Times*. Retrieved from http://www.nytimes.com/2014/10/31/us/a-county-resents-oil-drilling-despite-the-money-it-brings-in.html?ref=earth&_r=1

communities located within the Bakken Shale oil fields. According to a chief judge for the Mandan, Hidatsa, and Arikara Nation, “The drug problem that the oil boom has brought is destroying our reservation.”⁷⁹⁴

- September 11, 2014 – An editor for the *Washington Post* examined jobs and manufacturing data in Youngstown, Ohio, to demonstrate that drilling and fracking are not resulting in a revitalization of the Rust Belt as some proponents and a prominent *New York Times* story asserted. The *Post* determined that in Youngstown, Ohio, the manufacturing sector has lost jobs by the tens of thousands in the last twenty years and the oil and gas industry has created approximately two thousand jobs since the recession ended. Six years prior, there were 13,000 more jobs in the Youngstown metro area than there were in summer 2014.⁷⁹⁵
- September 9, 2014 – A study by researchers at Colorado State University examined the political economy of harm and crime associated with the oil and gas industry in rural Colorado, particularly around the rise of fracking. The researchers looked at complaints that citizens filed with the state, and also conducted interviews and examined other data. They found 2,444 complaints between November 2001 and June 2013 covering a range of issues including water, environment, noise, air quality, land use, and more. They characterized citizen complaints as “extensive and complex” and concluded that, regardless of the nature of the harm, most were “persistent and omnipresent” rather than short-lived, isolated problems.⁷⁹⁶
- September 6, 2014 – In Williams County, North Dakota, in the Bakken Shale, increases in crime have corresponded with the flow of oil. The infusion of cash has attracted career criminals who deal in drugs, violence, and human sex trafficking. The *Williston Herald* portrayed, in a “reader’s discretion advised” article, the rapid rise of “index crimes”—“violent crimes that result in the immediate loss of an individual’s property, health or safety, such as murder, larceny and rape.” With fewer than 100 law enforcement personnel, crime in Williams County “has risen in kind with the county’s population, but funding, staffing and support training for law enforcement has not.”⁷⁹⁷
- September 2014 – Reporting on the social, environmental, health and safety, and economic burdens endured by localities from fracking, the magazine *Governing: The States and Localities* found that “fracking, in many cases, negatively impacts property values, which in turn depresses property tax revenue. For property owners who own the

⁷⁹⁴ Horwitz, S. (2014, September 28). Dark side of the boom: North Dakota’s oil rush brings cash and promise to reservation along with drug-fueled crime. *The Washington Post*. Retrieved from <http://www.washingtonpost.com/sf/national/2014/09/28/dark-side-of-the-boom/>

⁷⁹⁵ Tankersley, J. (2014, September 11). Fracking hasn’t restored the Rust Belt’s lost jobs. *The Washington Post*. Retrieved from <http://www.washingtonpost.com/news/storyline/wp/2014/09/11/fracking-hasnt-restored-the-rust-belts-lost-jobs/>

⁷⁹⁶ Opsal, T. & Shelley T. O. (2014). Energy crime, harm, and problematic state response in Colorado: A case of the fox guarding the hen house? *Critical Criminology*, 22 (4), 561-577.

⁷⁹⁷ Bell, T. (2014, September 6). Modernized slavery. *Williston Herald*. Retrieved from http://www.willistonherald.com/news/modernized-slavery/article_84e257d8-3615-11e4-a4f8-001a4bcf887a.html

rights to the oil and gas on their land, the effects of drilling can be offset by royalty payments. But localities have no revenue offset if properties lose value.”⁷⁹⁸

- August 26, 2014 – The U.S. Justice Department Office on Violence Against Women awarded three million dollars to five rural and tribal communities to prosecute crimes of violence against women and provide services to victims of sexual assault, domestic violence, and stalking in the Bakken Region of North Dakota and Montana.⁷⁹⁹ Rationale documented by tribal leaders, law enforcement, and the FBI included, “rapid development of trailer parks and modular housing developments often referred to as ‘man camps;’ abrupt increase in cost of living, especially housing; rapid influx of people, including transients, in a previously rural and stable community; constant fear and perception of danger; and a lost way of life. Local and tribal officials and service providers reported that these changes have been accompanied by a rise in crime, including domestic and sexual violence.”⁸⁰⁰
- May 27, 2014 – A *Bloomberg News* analysis of 61 shale-drilling companies found that the economic picture of shale oil and gas is unstable. Shale debt has almost doubled over the last four years while revenue has gained just 5.6 percent. For the 61 companies in their analysis, *Bloomberg News* reported: “In a measure of the shale industry’s financial burden, debt hit \$163.6 billion in the first quarter.” Further, *Bloomberg* noted that drillers are caught in a bind because they must keep borrowing to pay for exploration needed to “offset steep production declines typical of shale wells.... For companies that can’t afford to keep drilling, less oil coming out means less money coming in, accelerating the financial tailspin.”⁸⁰¹
- May 5, 2014 – An Associated Press analysis found that traffic fatalities have spiked in heavily drilled areas of six states, whereas most other roads in the nation have become safer even as population has grown. In North Dakota drilling counties, for instance, traffic fatalities have increased 350 percent.⁸⁰²

⁷⁹⁸ Shafroth, F. (2014, September). Fracking’s financial losers: local governments. *Governing: The States and Localities*. Retrieved from <http://www.governing.com/columns/public-money/gov-frackings-financial-losers.html>

⁷⁹⁹ U.S. Department of Justice. (2014, August 26). Associate Attorney General West announces \$3 million in grants to address violence against women in rural and tribal communities in the Bakken Region. *Justice News*. Retrieved from <http://www.justice.gov/opa/pr/associate-attorney-general-west-announces-3-million-grants-address-violence-against-women>

⁸⁰⁰ U.S. Department of Justice. (2014). OVW Fiscal Year 2014 Violence Against Women Bakken Region Initiative: Enhanced response to victims application guidelines. Retrieved from <http://www.justice.gov/sites/default/files/ovw/legacy/2014/04/25/fy2014-initiative-for-the-bakken-region-enhanced-services-for-victims.pdf>

⁸⁰¹ Loder, A. (2014, May 27). Shakeout threatens shale patch as frackers go for broke. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2014-05-26/shakeout-threatens-shale-patch-as-frackers-go-for-broke.html>

⁸⁰² Begos, K., & Fahey, J. (2014, May 5). AP impact: Deadly side effect to fracking boom. *Associated Press*. Retrieved from <http://bigstory.ap.org/article/ap-impact-deadly-side-effect-fracking-boom-0>

- April 16, 2014 – A comprehensive article in the *Albany Law Review* concluded that the risks inherent with fracking are not covered by homeowner's insurance, not fully insured by the oil and gas industry, and threaten mortgages and property value.⁸⁰³
- April 2014 – A report by the Multi-State Shale Research Collaborative, "Assessing the Impacts of Shale Drilling: Four Community Case Studies," documented economic, community, government, and human services impact of fracking on four rural communities. The study found that fracking led to a rapid influx of out-of-state workers and, although some new jobs were created, these were accompanied by additional costs for police, emergency services, road damage, and social services. In addition, increased rents, and a shortage of affordable housing accompanied the fracking boom. Unemployment rose after one county's boom ended; in another county, unemployment stayed above the state average throughout.⁸⁰⁴
- March 27, 2014 – A report by researchers at Rand Corporation determined that each shale gas well in Pennsylvania causes between \$5,400 and \$10,000 in damage to state roads. The report did not calculate damage to local roads, which is also significant. Researchers used estimates of truck trips that are significantly below the number estimated for New York by the New York State Department of Environmental Conservation.^{805, 806}
- February 15, 2014 – The *Los Angeles Times* detailed steep increases in crime that have accompanied fracking in parts of the Eagle Ford Shale in Texas, including sexual assaults and thefts.⁸⁰⁷
- February 14, 2014 – Pennsylvania landowners with fracking leases rallied in Bradford County against gas companies for precipitous drops in royalty payments.⁸⁰⁸
- December 20, 2013 – The National Association of Realtors' *RealtorMag* summarized a growing body of research, including a University of Denver survey and a *Reuters* analysis, that shows threats property values from fracking and gas drilling.⁸⁰⁹

⁸⁰³ Radow, E. L. (2014). At the intersection of Wall Street and Main: Impacts of hydraulic fracturing on residential property interests, risk allocation, and implications for the secondary mortgage market. *Albany Law Review*, 77(2), 673-704.

⁸⁰⁴ Multi-State Shale Research Collaborative. (2014, April 10). *Assessing the impacts of shale drilling county case studies* (Rep.). Retrieved from <https://docs.google.com/viewer?a=v&pid=sites&srcid=ZGVmYXVsdGRvbWFpbntdWx0aXN0YXRlc2hhbGV8Z3g6NGU4MjIyNWU5ZjFhZjM4Yg>

⁸⁰⁵ Cusick, M. (2014, March 27). Report finds each Marcellus gas well costs thousands in road damage. *StateImpact*. Retrieved from <http://stateimpact.npr.org/pennsylvania/2014/03/27/report-finds-each-marcellus-gas-well-costs-thousands-in-road-damage/>

⁸⁰⁶ Abramzon, S., Samaras, C., Curtright, A., Litovitz, A., & Burger, N. (2014). Estimating the consumptive use costs of shale natural gas extraction on Pennsylvania roadways. *Journal of Infrastructure Systems*. 20(3). doi: 10.1061/(ASCE)IS.1943-555X.0000203, 06014001

⁸⁰⁷ Hennessy-Fiske, M. (2014, February 15). Fracking brings oil boom to south Texas town, for a price. Retrieved from <http://www.latimes.com/nation/la-na-texas-oil-boom-20140216%2C0%2C7621618.story#ixzz30Iw9FXoz>.

⁸⁰⁸ Marshall, J. (2014, February 14). Landowners rally for royalties from gas companies. Retrieved from <http://www.wbng.com/news/local/Landowners-rally-for-245596511.html>

- December 12, 2013 – A *Reuters* analysis discussed how oil and gas drilling has made making some properties “unsellable” and researched the link between drilling and property value declines. The analysis highlighted a Duke University working paper that finds shale gas drilling near homes can decrease property values by an average of 16.7 percent if the house depends on well water.⁸¹⁰
- December 10, 2013 – Pennsylvania’s *Daily Review* reported that more gas companies are shifting costs to leaseholders and that royalty payments are drastically shrinking. The story quoted Bradford County Commissioner Doug McLinko saying that some gas companies “are robbing our landowners” and that the problem of royalty payments being significantly reduced by deductions for post-production costs “is widespread throughout our county.”⁸¹¹
- November 30, 2013 – The *New York Times* reported striking increases in crime in Montana and North Dakota where the oil and gas boom is prevalent, as well as challenges faced by local residents from the influx of out-of-area workers and the accompanying costs. The *New York Times* reported, “‘It just feels like the modern-day Wild West,’ said Sgt. Kylan Klauzer, an investigator in Dickinson, in western North Dakota. The Dickinson police handled 41 violent crimes last year, up from seven only five years ago.”⁸¹²
- November 21, 2013 – The Multi-State Shale Research Collaborative released a six-state collaborative report demonstrating that the oil and gas industry has greatly exaggerated the number of jobs created by drilling and fracking in shale formations. The report found that far from the industry’s claims of 31 direct jobs created per well, only four jobs are created for each well. It also demonstrated that almost all of the hundreds of thousands of ‘ancillary’ jobs that the drilling industry claims are related to shale drilling existed before such drilling occurred. As Frank Mauro, Executive Director Emeritus of the Fiscal Policy Institute put it, “Industry supporters have exaggerated the jobs impact in order to minimize or avoid altogether taxation, regulation, and even careful examination of shale drilling.”⁸¹³
- November 12, 2013 – *The American Banker* reported that the “Fracking Boom Gives Banks Mortgage Headaches,” with a number of financial institutions refusing to make

⁸⁰⁹ Daily Real Estate News. (2013, December 20). ‘Fracking’ sparks concern over nearby home values. *National Association of Realtors*. Retrieved, from <http://realtormag.realtor.org/daily-news/2013/12/20/fracking-sparks-concern-over-nearby-home-values#.UrmdILPmVu8.twitter>

⁸¹⁰ Conlin, M. (2013, December 12). Gas drilling is killing property values for some Americans. *Reuters*. Retrieved from <http://www.businessinsider.com/drilling-can-make-some-properties-unsellable-2013-12#ixzz2nMgFv8FU>

⁸¹¹ Loewenstein, J. (2013, December 10). Shrinking royalty checks. *The dailyreview.com*. Retrieved from <http://thedailyreview.com/news/shrinking-royalty-checks-1.1598195>

⁸¹² Healy, J. (2013, November 30). As oil floods plains towns, crime pours in. *The New York Times*. Retrieved from http://www.nytimes.com/2013/12/01/us/as-oil-floods-plains-towns-crime-pours-in.html?smid=tw-share&_r=0

⁸¹³ Campbell, J. (2013, November 21). Report: Industry-backed studies exaggerate fracking job estimates. *Politics on the Hudson*. Retrieved from <http://polhudson.lohudblogs.com/2013/11/21/report-industry-backed-studies-exaggerate-fracking-job-estimates/>

mortgages on land where oil and gas rights have been sold to an energy company. The article stated that the uniform New York state mortgage agreement used by Fannie Mae and Freddie Mac requires that homeowners not permit any hazardous materials to be used or located on their property. Fracking is therefore a problem because it is just such a hazardous activity with use of hazardous materials.⁸¹⁴

- September 25, 2013 – A report found that fracking is linked to significant road damage, increased truck traffic, crime, and strain on municipal and social services. Data from the past ten years on the social costs of fracking including truck accidents, arrests, and higher rates of sexually transmitted diseases are all causes for alarm.⁸¹⁵
- September 12, 2013 – In a feature titled “Pa. fracking boom goes bust,” *The Philadelphia Inquirer* presented data from the independent Keystone Research Center detailing “flat at best” job growth and declines in production and royalty payments.⁸¹⁶
- August 22, 2013 – A University of Denver study in the *Journal of Real Estate Literature* found a 5 to 15 percent reduction in bid value for homes near gas drilling sites.⁸¹⁷
- August 21, 2013 – *The Atlantic Cities* and *MSN Money* reported that fracking operations may be damaging property values and may impair mortgages or the ability to obtain property insurance.^{818, 819}
- August 13, 2013 – A *ProPublica* investigative analysis found that Chesapeake Energy is coping with its financial difficulties in Pennsylvania by shifting costs to landowners who are now receiving drastically reduced royalty payments.⁸²⁰
- August 4, 2013 – In a survey of West Virginia landowners with shale wells on their property, more than half reported problems including damage to the land, decline in property values, truck traffic, and lack of compensation by the oil and gas company.⁸²¹

⁸¹⁴ Peters, A. (2013, November 12). Fracking boom gives banks mortgage headaches. *American Banker*. Retrieved from http://www.americanbanker.com/issues/178_218/fracking-boom-gives-banks-mortgage-headaches-1063561-1.html

⁸¹⁵ Gibbons, B. S. (2013, September 25). Environmental groups calculate social cost of natural gas boom. *The Times-Tribune*. Retrieved from <http://thetimes-tribune.com/news/environmental-groups-calculate-social-cost-of-natural-gas-boom-1.1558186>

⁸¹⁶ Bunch, W. (2013, September 12). Pa. fracking boom goes bust. *Philly.com*. Retrieved from http://articles.philly.com/2013-09-12/news/41974274_1_fracking-boom-penn-state-marcellus-center-marcellus-shale

⁸¹⁷ Downing, B. (2013, April 22). Survey says home values hurt by fracking at drill sites. *Ohio.com*. Retrieved from <http://www.ohio.com/blogs/drilling/ohio-utica-shale-1.291290/survey-says-home-values-hurt-by-fracking-at-drill-sites-1.422838>

⁸¹⁸ Drouin, R. (2013, August 19). How the fracking boom could lead to a housing bust. *Citylab*. Retrieved from <http://www.theatlanticcities.com/politics/2013/08/how-fracking-boom-could-lead-housing-bust/6588/>

⁸¹⁹ Notte, J. (2013, August 21). Fracking leaves property values tapped out. *MSN Money*. Retrieved from <http://money.msn.com/now/post--fracking-leaves-property-values-tapped-out>

⁸²⁰ Lustgarten, A. (2013, August 13). Unfair share: How oil and gas drillers avoid paying royalties. *ProPublica*. Retrieved from <http://www.propublica.org/article/unfair-share-how-oil-and-gas-drillers-avoid-paying-royalties>

- May 24, 2013 – Pennsylvania Department of Transportation Secretary Allen D. Buihler and Pennsylvania State Police Commissioner Frank Pawlowski said that gas drilling has led to increases in truck traffic, traffic violations, crime, demand for social services, and the number of miles of roads that are in need of repairs. They noted that drilling companies that committed to repairing roads have not kept pace with the roads they damage. Commissioner Pawlowski reported that 56 percent of 194 trucks checked were over the legal weight limit and 50 percent were also cited for safety violations.⁸²²
- May 4, 2013 – Pennsylvania's *Beaver County Times* asked, "What boom?" in pointing to Keystone Research Center data showing that the number of jobs numbers created by shale gas extraction do not add up to what the gas industry claims, noting that unemployment has increased and the state actually fell to 49th in the nation for job creation.⁸²³
- April 2, 2013 – The *New York Times* reported that manufacturing jobs resulting from an abundance of shale gas have not appeared. "The promised job gains, other than in the petrochemical industry, have been slow to materialize," The *New York Times* reported. The article suggested that increased automation has made it unlikely that manufacturers will add many jobs.⁸²⁴
- March 19, 2013 – The *Wall Street Journal* reported that the shale gas boom has not had a big impact on U.S. manufacturing because lower energy prices are only one factor in a company's decision on where to locate factories, and not always the most important factor. "Cheap energy flowing from the U.S. shale-gas boom is often touted as a 'game changer' for manufacturing," the *Journal* reported. "Despite the benefits of lower energy costs, however, the game hasn't changed for most American manufacturers."⁸²⁵
- February 2013 – A peer-reviewed analysis of industry-funded and independent studies on the economics of fracking found that it is unlikely that fracking will lead to long-term economic prosperity for communities. The analysis noted that shale gas development brings a number of negative externalities including the potential for water, air, and land contamination; negative impacts on public health; wear and tear on roads and other

⁸²¹ Collins, A. R. & Nkansah, K. (2013, August 4). *Divided rights, expanded conflict: The impact of split estates in natural gas production* [Scholarly project]. Retrieved from http://ageconsearch.umn.edu/bitstream/150128/2/Collins_Nkhsah_Split%20estate.pdf

⁸²² PR Newswire. (2014, May 24). Increased gas drilling activities bringing new challenges to local governments in Pennsylvania. *PR Newswire*. Retrieved from <http://www.prnewswire.com/news-releases/increased-gas-drilling-activities-bringing-new-challenges-to-local-governments-in-pennsylvania-94774764.html>

⁸²³ Morgan, R. (2013, May 4). Beaver County Times: What boom? Industry pundits claim thousands of jobs will be created, but numbers don't quite add up. *Keystone Research Center*. Retrieved from <http://keystoneresearch.org/media-center/media-coverage/beaver-county-times-what-boom-industry-pundits-claim-thousands-jobs-will>

⁸²⁴ Schwartz, N. D. (2013, April 01). Rumors of a cheap-energy jobs boom remain just that. *The New York Times*. Retrieved from http://www.nytimes.com/2013/04/02/business/economy/rumors-of-a-cheap-energy-jobs-boom-remain-just-that.html?_r=0

⁸²⁵ Hagerty, J. R. (2013, March 19). Shale-gas boom alone won't propel U.S. industry. *The Wall Street Journal*. Retrieved from <http://online.wsj.com/news/articles/SB10001424127887324392804578362781776519720>

infrastructure; and costs to communities due to increased demand for services such as police, fire departments, emergency responders, and hospitals.⁸²⁶

- November 16, 2012 – A Duke University study showed a drop in home values near fracking for properties that rely on groundwater.⁸²⁷
- September 27, 2012 – The *New York Times* reported that the prospect of fracking has hindered home sales in the Catskills and raised concerns about drops in property values, according to real estate agents and would-be buyers.⁸²⁸
- August 17, 2012 – A study by the state agencies, the Montana All Threat Intelligence Center and the North Dakota State and Local Intelligence Center, found that crime rose by 32 percent since 2005 in communities at the center of the oil and gas boom.⁸²⁹
- October 30, 2011 – A comprehensive article in the *New York State Bar Association Journal* concluded that the risks inherent with fracking threaten mortgages.⁸³⁰
- October 26, 2011 – The Associated Press reported that areas with significant fracking activity, including Pennsylvania, Wyoming North Dakota and Texas, are “seeing a sharp increase in drunken driving, bar fights and other hell-raising.”⁸³¹
- October 19, 2011 – A *New York Times* investigation found that fracking can create conflicts with mortgages, and that “bankers are concerned because many leases allow drillers to operate in ways that violate rules in landowners’ mortgages,” and further that “[f]earful of just such a possibility, some banks have become reluctant to grant mortgages on properties leased for gas drilling. At least eight local or national banks do not typically issue mortgages on such properties, lenders say.”⁸³²

⁸²⁶ Barth, J. M. (2013). The Economic Impact of Shale Gas Development on State and Local Economies: Benefits, Costs, and Uncertainties. *NEW SOLUTIONS: A Journal of Environmental and Occupational Health Policy*, 23(1), 85-101. doi: 10.2190/NS.23.1.f

⁸²⁷ Muoio, D. (2012, November 16). Duke researchers show dip in home value caused by nearby fracking. *The Chronicle*. Retrieved from <http://www.dukechronicle.com/articles/2012/11/16/duke-researchers-show-dip-home-value-caused-nearby-fracking>

⁸²⁸ Navarro, M. (2012, September 27). Gas drilling jitters unsettle Catskills sales. *The New York Times*. Retrieved from <http://www.nytimes.com/2012/09/30/realestate/fracking-fears-hurt-second-home-sales-in-catskills.html?pagewanted=1>

⁸²⁹ Montana All Threat Intelligence Center, & North Dakota State and Local Intelligence Center. (2012, August 17). *Impact of population growth on law enforcement in the Williston Basin region* (Rep.). Retrieved from <http://www.ag.nd.gov/reports/JOINTPRODUCTFINAL.pdf>

⁸³⁰ Radow, E. N. (2011). Homeowners and gas drilling leases: Boon or bust? *New York State Bar Association Journal*, 83(9). Retrieved from http://www.s-oacc.org/resources/NYSBA_Journal_nov-dec2011_lead_article_with_reprint_info.pdf

⁸³¹ Levy, M. (2011, October 26). Towns see crime, carousing surge amid gas boom. *Associated Press*. Retrieved from <http://news.yahoo.com/towns-see-crime-carousing-surge-amid-gas-boom-135643480.html>

⁸³² Urbina, I. (2011, October 19). A rush to sign leases for gas runs into mortgage restriction. *The New York Times*. Retrieved from http://www.nytimes.com/2011/10/20/us/rush-to-drill-for-gas-creates-mortgage-conflicts.html?_r=2&hp&

- September 7, 2011 – The NYS DEC estimated that 77 percent of the workforce on initial shale gas drilling projects would consist of transient workers from out of state. Not until the thirtieth year of shale gas development would 90 percent of the workforce be comprised of New York residents.⁸³³
- August 15, 2011 – The *Pittsburgh Post-Gazette* reported that increases in crime followed the Pennsylvania gas drilling boom, noting, for instance, that drunken driving arrests in Bradford County were up 60 percent, DUI arrests were up 50 percent in Towanda, and criminal sentencing was up 35 percent in 2010.⁸³⁴
- July 26, 2011 – A New York State Department of Transportation document estimated that fracking in New York could result in the need for road repairs and reconstruction costing \$211 million to \$378 million each year.⁸³⁵
- June 20, 2011 – A Keystone Research Center study found that the gas industry’s claim of 48,000 jobs created between 2007 and 2010 as a result of natural gas drilling in Pennsylvania is a far cry from the actual number of only 5,669 jobs—many of which were out-of-state hires.⁸³⁶
- May 9, 2011 – A study in the *Journal of Town & City Management* found that shale gas development can impose “significant short- and long-term costs” to local communities. The study noted that shale gas development creates a wide range of potential environmental hazards and stressors, all of which can adversely impact regional economies, including tourism and agriculture sectors.⁸³⁷
- November 30, 2010 – The *Dallas Morning News* featured a story, “Drilling Can Dig into Land Value,” reporting that the Wise County Central Appraisal District Appraisal Review Board found that a drilling company had caused an “extraordinary reduction” in property value, by 75 percent.⁸³⁸

⁸³³ New York State Department of Environmental Conservation. (2011). *Supplemental generic environmental impact statement on the oil, gas and solution mining regulatory program, well permit issuance for horizontal drilling and high-volume hydraulic fracturing to develop the Marcellus shale and other low-permeability gas reservoirs* (6-233, 234, Rep.).

⁸³⁴ Needles, Z. (2011, August 15). Must crime follow Pennsylvania's gas drilling boom? *Pittsburgh Post-Gazette*. Retrieved from <http://www.post-gazette.com/stories/business/legal/must-crime-follow-pennsylvanias-gas-drilling-boom-310373/>

⁸³⁵ Reilly, S. (2011, July 26). Document estimates fracking's toll on N.Y. roads. *Pressconnects.com*. Retrieved from <http://www.pressconnects.com/article/20110726/NEWS01/107260384/Document-estimates-fracking-s-toll-N-Y-roads>

⁸³⁶ Herzenberg, S. (2011). Drilling deeper into job claims. Keystone Research Center. Retrieved from http://keystoneresearch.org/sites/keystoneresearch.org/files/Drilling-Deeper-into-Jobs-Claims-6-20-2011_0.pdf

⁸³⁷ Christopherson, S. & Rightor, N. (2011). How shale gas extraction affects drilling localities: Lessons for regional and city policy makers. *Journal of Town & City Management*, 2(4), 1-20. Retrieved from http://www.greenchoices.cornell.edu/downloads/development/shale/Economic_Effects_on_Drilling_Localities.pdf

⁸³⁸ Heinkel-Wolfe, P. (2010, September 18). Drilling can dig into land value. *Dallas News*. Retrieved from <http://www.dallasnews.com/incoming/20100918-Drilling-can-dig-into-land-value-9345.ece>

- November 28, 2010 – The Texas *Wise County Messenger* reported that some landowners near fracking operations experience excessive noise, exposure to diesel fumes, and problems with trespassing by workers.⁸³⁹

Inflated estimates of oil and gas reserves and profitability

Industry estimates of oil and gas reserves and profitability of drilling have proven unreliable, casting serious doubts on the bright economic prospects the industry has painted for the public, media, and investors. Increasingly, well production has been short-lived, which has led companies drilling shale to reduce the value of their assets by billions of dollars, creating shortfalls that are largely filled through asset sales and increasing debt load. The ongoing fall in oil and gas prices means that interest payments are consuming revenue of many smaller companies, raising questions about who becomes the custodian of wells and infrastructure when companies abandon operations. In Alberta, Canada, newly abandoned wells dot the landscape, leaving the provincial government to close down and dismantle them—a task estimated to require decades of work. In the United States, more than 60 oil and gas companies declared bankruptcy in 2015-2016; the number of oil rigs has declined by 75 percent since 2014, and almost 70 percent of fracking equipment has been idled.

- July 7, 2016 – “Oil-field-services companies are depleted after slashing prices and laying off workers, and their slow recovery could crimp the energy industry’s overall ability to bounce back from the oil bust,” according to the *Wall Street Journal*. Almost 70 percent of fracking equipment in the United States has been idled, and 60 percent of field workers involved in fracking have been laid off. Halliburton alone has laid off over 28,500 workers, which is one third of its workforce. More than 70 oilfield services companies have filed for bankruptcy since the beginning of 2015.⁸⁴⁰
- June 15, 2016 – Billions of dollars of proven reserves have become unproven this year, as “59 U.S. oil and gas companies deleted the equivalent of 9.2 billion barrels, more than 20 percent of their inventories,” according to *Bloomberg*. In 2009, the Securities and Exchange Commission (SEC) made it easier for the companies to include in their proven reserves undeveloped acreage and wells that wouldn’t be drilled for years on the grounds that “shale prospects are predictable across wide expanses.” Since then, the SEC has become more strict about inflated reserves estimates.⁸⁴¹
- May 16, 2016 – *CNN Money* reported on the two latest U.S. oil and gas bankruptcies: SandRidge Energy’s Chapter 11 filing was based on roughly \$4 billion of debt and came the week after the biggest such bankruptcy to date—that of Linn Energy with more than

⁸³⁹ Evans, B. (2010, November 28). Rising volume: ‘Fracking’ has bolstered economies, but noise still echoes around drilling. *WCMessenger.com*. Retrieved from <http://www.wcmessenger.com/2010/news/rising-volume-fracking-has-bolstered-economies-but-noise-still-echoes-around-drilling/>

⁸⁴⁰ Sider, A. (2016, July 7). Revving up oil fields won’t be so easily done. *Wall Street Journal*. Retrieved from <http://www.wsj.com/articles/revving-up-oil-fields-wont-be-so-easily-done-1467883807>

⁸⁴¹ Loder, A. (2016, June 15). Why billions in proven shale oil reserves suddenly became unproven. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/articles/2016-06-15/shale-drillers-paper-wells-draw-sec-scrutiny-before-vanishing>

\$10 billion in debt. There had been at least 29 U.S. oil and gas bankruptcies in 2016 at the date of the article's publication, bringing the 2015-2016 total to at least 64. "The industry has historically been full of wildcatters and speculators. It's not surprising we're going through this boom-and-bust cycle," the article quoted the managing director at oil restructuring firm SOLIC Capital, George Koutsonicolis, as saying.⁸⁴²

- May 9, 2016 – "The pace of oil patch bankruptcies is picking up," a *Forbes* piece read, listing the 15 biggest such bankruptcies to date. "All told, 69 oil and gas producers with \$34.3 billion in cumulative secured and unsecured debt have gone under."⁸⁴³
- March 25, 2016 – Oil and gas borrowers "feasted on what Bloomberg estimates was \$237 billion of easy money without scrutinizing whether the loans could endure a drastic downturn," according to a *Washington Post* piece focusing on one company, Swift Energy, which itself was \$1.349 billion in debt and had entered bankruptcy. Despite having been cautious prior to the Texas fracking boom, "[a]s the company began to frack more often, the amount it spent on exploration and drilling skyrocketed by hundreds of millions of dollars." Those expenses combined with global developments led to its failure, along with over 40 other oil and gas companies in 2015. "The consequences are far-reaching. The U.S. oil industry, having grown into a giant on par with Saudi Arabia's, is shrinking, with the biggest collapse in investment in energy in 25 years. More than 140,000 have lost energy jobs. Banks are bracing for tens of billions of dollars of defaults, and economists and lawyers predict the financial wreckage will accelerate this year."⁸⁴⁴
- March 10, 2016 – Crude oil production is not falling as quickly as predicted, given the sharp decline in prices and the drop-off in new drilling and fracking operations. As reported by Reuters, this disconnect is due to refracking of older wells, along with other unconventional techniques such as "choking" and "lifting," which can extend the productive lives of wells or otherwise capture more product from them.⁸⁴⁵
- March 1, 2016 – An analysis of fracking trends in the journal *Nature* concluded that a European shale gas boom was unlikely due to disappointing early yields (Poland, Lithuania and Denmark), links to earthquakes (United Kingdom), and intense public opposition in densely populated areas throughout the continent.⁸⁴⁶
- June 19, 2015 – A *Bloomberg Business* analysis of the 62 drilling companies in the Bloomberg Intelligence North America Independent Exploration and Production Index

⁸⁴² Egan, M. (2016, May 16). Oil bankruptcies mount despite crude rebound. *CNN Money*. Retrieved from <http://money.cnn.com/2016/05/16/investing/sandridge-energy-oil-bankruptcy/>

⁸⁴³ Helman, C. (2016, May 9). The 15 biggest oil bankruptcies (so far). *Forbes*. Retrieved from <http://www.forbes.com/sites/christopherhelman/2016/05/09/the-15-biggest-oil-bankruptcies-so-far/#7c765e10739b>

⁸⁴⁴ Harlan, C. (2016, March 25). The big bust in the oil fields. *The Washington Post*. Retrieved from <https://www.washingtonpost.com/news/wonk/wp/2016/03/25/the-big-bust-in-the-oil-fields/>

⁸⁴⁵ Gopinath, S. & Gayathri, A. (2016, March 10). Forget fracking. Choking and lifting are latest efforts to stem U.S. shale bust. *Reuters*. Retrieved from <http://www.reuters.com/article/us-usa-shale-analysis-idUSKCN0WB1AI>

⁸⁴⁶ Inman, M. (2016). Can fracking power Europe? *Nature*, 531, 22-24. Retrieved from <http://www.nature.com/news/can-fracking-power-europe-1.19464>

found that the companies' debt continued to be a major problem. For 27 of the 62 companies, interest payments were consuming more than 10 percent of revenue. Drillers' debt rose to \$235 billion at the end of the first quarter, a 16 percent increase over the year prior. *Bloomberg Business* expressed concern that shale drillers have "consistently spent money faster than they've made it, even when oil was \$100 a barrel." S&P assigned speculative, or junk, ratings to 45 of the 62 companies in Bloomberg's index.⁸⁴⁷

- April 7, 2015 – A Moody's Investors Service analysis of Liquefied Natural Gas (LNG) prospects found that lower oil prices were causing suppliers to defer or cancel most proposed LNG projects. Moody's found that this was due in part to the drop in international oil prices relative to U.S. natural gas prices, thus removing the economic advantage of U.S. LNG projects. Moody's stated, "LNG is a capital-intensive infrastructure business prone to periodic construction cycles that lead to overcapacity, which we expect will continue for the rest of the decade."⁸⁴⁸
- March 20, 2015 – A study by the Energy Watch Group in Germany found that the costs of allowing fracking in Germany would outweigh the benefits, noting in part that natural gas trading in the United States has been declining since 2009. The study also noted the costs of infrastructure, environmental and health risks and pointed to the need to expand renewable energy.⁸⁴⁹
- December 19, 2014 – An International Energy Agency (IEA) report projected that U.S. domestic oil supplies, dominated by fracking, face challenges, and oil output from shale formations output, will level off and decline in the early 2020s.⁸⁵⁰ IEA Chief Economist Fatih Birol said, "A well-supplied oil market in the short-term should not disguise the challenges that lie ahead."⁸⁵¹
- August 29, 2014 – Andrew Nikiforuk, a Canadian energy analyst, reported on diminishing returns and the higher-cost, higher-risk nature of fossil fuel extraction by fracking. Nikiforuk wrote, "Most of the world's oil and gas firms are now pursuing extreme hydrocarbons because the cheap and easy stuff is gone.... That means industry will spend more good money chasing poor quality resources. They will inefficiently mine

⁸⁴⁷ Loder, A. (2015, June 18). The shale industry could be swallowed by its own debt. *Bloomberg Business*. Retrieved from <http://www.bloomberg.com/news/articles/2015-06-18/next-threat-to-u-s-shale-rising-interest-payments>

⁸⁴⁸ Moody's Investors Service. (2015, April 7). Lower oil prices cause suppliers of liquefied natural gas to nix projects. Retrieved from https://www.moody's.com/research/Moodys-Liquefied-natural-gas-projects-nixed-amid-lower-oil-prices--PR_322439

⁸⁴⁹ Sagener, N. (2015, March 26). Fracking costs outweigh benefits for Germany and Europe, study says. *EurActiv*. Retrieved from <http://www.euractiv.com/sections/energy/fracking-costs-outweigh-benefits-germany-and-europe-study-says-313087>

⁸⁵⁰ International Energy Agency. (2014, December). World Energy Outlook 2014 Executive Summary. Retrieved from http://www.iea.org/publications/freepublications/publication/WEO_2014_ES_English_WEB.pdf

⁸⁵¹ Dimick, D. (2014, December 19). How long can the U.S. oil boom last? *National Geographic*. Retrieved from <http://news.nationalgeographic.com/news/2014/12/141219-fracking-oil-supply-price-reserves-profits-environment/>

and frack ever larger land bases at higher environmental costs for lower energy returns.”⁸⁵²

- July 29, 2014 – According to the U.S. Energy Information Administration, energy companies are incurring increasing debt and selling assets to continue drilling in shale. “Based on data compiled from quarterly reports, for the year ending March 31, 2014, cash from operations for 127 major oil and natural gas companies totaled \$568 billion, and major uses of cash totaled \$677 billion, a difference of almost \$110 billion. This shortfall was filled through a \$106 billion net increase in debt and \$73 billion from sales of assets....”⁸⁵³
- July 2014 – Researchers at the Washington, DC-based Environmental Law Institute and Washington & Jefferson College in Pennsylvania collaborated to produce a report designed in part to help communities avoid the “boom and bust” cycles of extractive industries. Authors warned, “While resource extraction has long been regarded as an economic benefit, a body of academic literature suggests that long term growth based chiefly on resource extraction is rare.” Confounding factors include transience of the workforce, localized inflation, widening disparities in royalties and impact fee disbursement, commodity price volatility, and communities overspending on infrastructure.⁸⁵⁴
- June 19, 2014 – Energy analyst Deborah Lawrence Rogers outlined the spiraling debt and severe deterioration of the assets of five major shale gas drillers over the last five years. She concluded, “This is not sustainable. It could be argued that it is not even moral. It is a failed business model of epic proportion. While companies could make the argument at one time that this was a short term downtrend, that no longer holds water because this pattern is long term.”⁸⁵⁵
- April 10, 2014 – A report by a petroleum geologist and petroleum engineer concluded the 100-year supply of shale gas is a myth, distinguished between what is technically recoverable and economically recoverable shale gas, and asserted that at current prices, New York State has no economically recoverable shale gas.⁸⁵⁶

⁸⁵² Nikiforuk, A. (2014, August 29). A big summer story you missed: soaring oil debt returns diminish as energy companies resort to higher-cost, higher-risk hydrocarbons. *The Tyee*. Retrieved from <http://thetyee.ca/Opinion/2014/08/29/Soaring-Oil-Debt-Summer/>

⁸⁵³ US Energy Information Administration. (2014, July 29). As cash flows flatten, major energy companies increase debt, sell assets. *Today in Energy*. Retrieved from <http://www.eia.gov/todayinenergy/detail.cfm?id=17311>

⁸⁵⁴ Environmental Law Institute and Washington & Jefferson College. (2014, July). Getting the boom without the bust: Guiding Southwestern Pennsylvania through shale gas development. Retrieved from <http://www.eli.org/sites/default/files/eli-pubs/getting-boom-final-paper-exec-summary-2014-07-28.pdf>

⁸⁵⁵ Rogers, D. L. (2014, June 19). Huge CAPEX = free cash flow? Not in shales. *Energy Policy Forum*. Retrieved from <http://energypolicyforum.org/2013/06/19/huge-capex-free-cash-flow-not-in-shales/>

⁸⁵⁶ Labyrinth Consulting Services, Inc., Berman, A., and Pittinger, L. (2014). Resource Assessment of Potentially Producing Natural Gas Volumes From the Marcellus Shale, State of New York. Retrieved from: <http://www.lwvny.org/>

- February 28, 2014 – Maria van der Hoeven, Executive Director of the IEA, said in an interview with *The Christian Science Monitor* that there is only a decade left in the U.S. shale oil and gas boom, noting that her agency’s analysis predicts that production will soon flatten out and, by 2025, begin to decline.⁸⁵⁷
- December 18, 2013 – A University of Texas study in *Proceedings of the National Academy of Sciences* found that fracking well production drops sharply with time, which undercuts the oil and gas industry’s economic projections.⁸⁵⁸ In an interview about the study with *StateImpact NPR* in Texas, Tad Patzek, Chair of the Department of Petroleum and Geosystems Engineering at University of Texas at Austin, noted that fracking “also interferes now more and more with daily lives of people. Drilling is coming to your neighborhood, and most people abhor the thought of having somebody drilling a well in their neighborhood.”⁸⁵⁹
- August 18, 2013 – *Bloomberg News* reported that low gas prices and disappointing wells have led major companies to devalue oil and gas shale assets by billions of dollars.⁸⁶⁰
- October 21, 2012 – The *New York Times* reported that many gas drilling companies overproduced natural gas backed by creative financing and now “are committed to spending far more to produce gas than they can earn selling it.” “We are all losing our shirts today,” said Exxon CEO Rex Tillerson in the summer of 2012.⁸⁶¹
- July 13, 2012 – *The Wall Street Journal* reported that ITG Investment Research, at the request of institutional investors, evaluated the reserves of Chesapeake Energy Corporation’s shale gas reserves in the Barnett and Haynesville formations and found them to be only 70 percent of estimates by Chesapeake’s engineering consultant for the company’s 2011 annual report. Chesapeake and its consultant defended their figures.⁸⁶²
- August 23, 2011 – The U.S. Geological Survey (USGS) cut the government’s estimates of natural gas in the Marcellus Shale from 410 trillion cubic feet to 84 trillion cubic feet, equivalent to a reduction from approximately 16 years of U.S. consumption at current levels of natural gas use, to approximately 3.3 years of consumption. The USGS’s

⁸⁵⁷ Unger, D. J. (2014, February 28). IEA chief: Only a decade left in US shale oil boom. *Christian Science Monitor*. Retrieved from <http://www.csmonitor.com/Environment/Energy-Voices/2014/0228/IEA-chief-Only-a-decade-left-in-US-shale-oil-boom>

⁸⁵⁸ Patzek, T. W., Male, F., & Marder, M. (2013). Gas production in the Barnett Shale obeys a simple scaling theory. *Proceedings of the National Academy of Sciences*, 110(49), 19731-19736. doi: 10.1073/pnas.1313380110

⁸⁵⁹ Buchele, M. (2013, December 18). New study shows how gas production from “fracked” wells slows over time. *StateImpact*. Retrieved from <http://stateimpact.npr.org/texas/2013/12/18/new-study-shows-how-gas-production-from-fracked-wells-slows-over-time/>

⁸⁶⁰ Monks, M., Penty, R., & De Vynck, G. (2013, August 18). Shale grab in U.S. stalls as falling values repel buyers. *Bloomberg*. Retrieved from <http://www.bloomberg.com/news/2013-08-18/shale-grab-in-u-s-stalls-as-falling-values-repel-buyers.html>

⁸⁶¹ Krauss, C., & Lipton, E. (2012, October 20). After the boom in natural gas. *The New York Times*. Retrieved from <http://www.nytimes.com/2012/10/21/business/energy-environment/in-a-natural-gas-glut-big-winners-and-losers.html?pagewanted=all>

⁸⁶² Wirz, M. (2013, July 13). Chesapeake reserve doubted. *Wall Street Journal*. Retrieved from <http://online.wsj.com/news/articles/SB10001424052702303644004577523411723501548>

updated estimate was for natural gas that is technically recoverable, irrespective of economic considerations such as the price of natural gas or the cost of extracting it.⁸⁶³

- June 26-27, 2011 – As reported in two *New York Times* stories, hundreds of emails, internal documents, and analyses of data from thousands of wells from drilling industry employees, combined with documents from federal energy officials, raised concerns that shale gas companies were overstating the amount of gas in their reserves and the profitability of their operations.^{864, 865, 866} The *New York Times*' public editor criticized the stories, but offered no evidence that the major findings were wrong.⁸⁶⁷ The *New York Times*' news editors publicly defended both stories against the public editor's criticism.^{868, 869}

Disclosure of serious risks to investors

A snapshot of the dangers posed by natural gas drilling and fracking can be found in the annual Forms 10-K that oil and natural gas companies are required to file with the U.S. Securities and Exchange Commission (SEC). The information so contained in these reports, which provide a comprehensive summary of a company's financial performance, provides a window into the harms and risks of fracking that are otherwise shielded from view by "gag order" clauses in court settlements, non-disclosure agreements between industry and landowners, and trade secret claims in regards to the chemical ingredients of fracking fluid. In this, the Form 10-K can serve as an imperfect surrogate for right-to-know data.

Federal law requires that companies offering stock to the public disclose in their Form 10-K, among other things, the "most significant factors that make the offering speculative or risky."⁸⁷⁰ In a review of Forms 10-K spanning the past decade available on the SEC's

⁸⁶³ United States Geological Survey. (2011, August 23). USGS releases new assessment of gas resources in the Marcellus shale, Appalachian Basin. *USGS Newsroom*. Retrieved from http://www.usgs.gov/newsroom/article.asp?ID=2893&from=rss_home#.Uok0mGRO_GA.

⁸⁶⁴ Urbina, I. (2011, June 25). Insiders sound an alarm amid a natural gas rush. *The New York Times*. Retrieved from <http://www.nytimes.com/2011/06/26/us/26gas.html?pagewanted=all>

⁸⁶⁵ U.S. Energy Information Administration. (2014, May 30). U.S. Natural Gas Summary. Retrieved from http://www.eia.gov/dnav/ng/ng_sum_lsum_dcu_nus_a.htm

⁸⁶⁶ Urbina, I. (2011, August 24). Geologists sharply cut estimate of shale gas. *The New York Times*. Retrieved from <http://www.nytimes.com/2011/08/25/us/25gas.html>

⁸⁶⁷ Brisbane, A. S. (2011, July 16). Clashing views on the future of natural gas. *The New York Times*. Retrieved from <http://www.nytimes.com/2011/07/17/opinion/sunday/17pubed.html?gwh=7D408242717755A0E06B0D265498E177&gwt=pay&assetType=opinion&r=0>

⁸⁶⁸ Brisbane, A. S. (2011, July 17). Times editors respond to my shale gas column. *The New York Times*. Retrieved from <http://publiceditor.blogs.nytimes.com/2011/07/17/times-editors-respond-to-my-shale-gas-column/>

⁸⁶⁹ Brisbane, A. S. (2011, July 30). Times editors respond to column on redactions. *The New York Times*. Retrieved from <http://publiceditor.blogs.nytimes.com/2011/07/30/times-editors-respond-to-column-on-redactions/>

⁸⁷⁰ See 17 C.F.R. § 229.503(c) (companies must disclose the "most significant" risks); 17 C.F.R. § 230.405 ("the term material, when used to qualify a requirement for the furnishing of information as to any subject, limits the information required to those matters to which there is a substantial likelihood that a reasonable investor would attach importance in determining whether to purchase the security registered"); 17 C.F.R. § 240.10b-5 (it is illegal

website, oil and natural gas companies have routinely warned of drilling's serious risks. In the words of Exxon Mobil Corporation's subsidiary XTO Energy, "our operations are subject to hazards and risks inherent in drilling"⁸⁷¹; or in the language of Range Resources Corporation, "development and exploratory drilling and production activities are subject to many risks."⁸⁷²

Such hazards and risks include leaks, spills, explosions, blowouts, environmental damage, property damage, injury, and death. Chesapeake Energy Corporation has stated that "horizontal and deep drilling activities involve greater risk of mechanical problems than vertical and shallow drilling operations."⁸⁷³ Over the past 15 years, companies have combined horizontal drilling with hydraulic fracturing to tap natural gas and oil in shale formations.

The companies also routinely warn of inadequate insurance to cover drilling harms. According to XTO Energy, "we are not fully insured against all environmental risks, and no coverage is maintained with respect to any penalty or fine required to be paid by us."⁸⁷⁴ Range Resources states that "we can provide no assurance that our coverage will adequately protect us against liability from all potential consequences, damages and losses."⁸⁷⁵

Houston-based Noble Energy provides a representative example of the risks that at least several drilling companies include in their annual reports. Noble states:

Our operations are subject to hazards and risks inherent in the drilling, production and transportation of crude oil, natural gas and NGLs [natural gas liquids], including:

- injuries and/or deaths of employees, supplier personnel, or other individuals;
- pipeline ruptures and spills;
- fires, explosions, blowouts and well cratering;
- equipment malfunctions and/or mechanical failure on high-volume, high-impact wells;
- leaks or spills occurring during the transfer of hydrocarbons from an FPSO [floating production storage and offloading vessels] to an oil tanker;
- loss of product occurring as a result of transfer to a rail car or train derailments;
- formations with abnormal pressures and basin subsidence which could result in leakage or loss of access to hydrocarbons;
- release of pollutants;
- surface spillage of, or contamination of groundwater by, fluids used in operations;
- security breaches, cyber attacks, piracy, or terroristic acts;

"to make any untrue statement of a material fact or to omit to state a material fact . . . in connection with the purchase or sale of any security); 17 C.F.R. 249.310 (requiring Form 10-K, "for annual and transition reports pursuant to sections 13 or 15(d) of the Securities Exchange Act of 1934.")

⁸⁷¹ XTO Energy Corp., Annual Report (Form 10-K) (Feb. 25, 2010) at 25.

⁸⁷² Range Resources Corp., Annual Report (Form 10-K) (Feb. 24, 2015) at 22.

⁸⁷³ Chesapeake Energy Corp., Annual Report (Form 10-K) (Feb. 27, 2015) at 18.

⁸⁷⁴ XTO Energy Corp., Annual Report (Form 10-K) (Feb. 25, 2010) at 17.

⁸⁷⁵ Range Resources Corp., Annual Report (Form 10-K) (Feb. 24, 2015) at 26.

- theft or vandalism of oilfield equipment and supplies, especially in areas of active onshore operations;
- hurricanes, cyclones, windstorms, or “superstorms,” which could affect our operations in areas such as the Gulf Coast, deepwater Gulf of Mexico, Marcellus Shale or Eastern Mediterranean;
- winter storms and snow which could affect our operations in the DJ Basin [Denver-Julesburg Basin in Colorado] or Marcellus Shale;
- extremely high temperatures, which could affect third party gathering and processing facilities in the DJ Basin;
- volcanoes which could affect our operations offshore Equatorial Guinea;
- flooding which could affect our operations in low-lying areas;
- harsh weather and rough seas offshore the Falkland Islands, which could limit certain exploration activities; and
- pandemics and epidemics, such as the Ebola virus, which is ongoing in certain regions of West Africa and may adversely affect our business operations through travel or other restrictions.

Any of these can result in loss of hydrocarbons, environmental pollution and other damage to our properties or the properties of others.⁸⁷⁶

Noble has language similar to that found in other companies’ annual reports about inadequate insurance and adds, “we do not have insurance for gradual pollution nor do we have coverage for penalties or fines that may be assessed by a governmental authority.”⁸⁷⁷

The risks identified by these oil and gas companies are not just hypothetical. Many, if not all of these risks are reflected in the evidence compiled in other sections of this Compendium.

Medical and scientific calls for more study and more transparency

With increasing urgency, groups of medical and other health professionals and scientists are issuing calls for comprehensive, long-term study of the full range of the potential health and ecosystem effects of drilling and fracking. These appeals underscore the accumulating evidence of harm, point to the major knowledge gaps that remain, and denounce the atmosphere of secrecy and intimidation that continues to impede the progress of scientific inquiry. Health professionals and scientists in the United States and around the world increasingly call for the suspension of unconventional gas and oil extraction activities in order to limit, mitigate, or eliminate its serious, adverse public health hazards, including health threats from climate change.

- July 7, 2016 –The UK health professional organization Medact released an updated assessment of the potential health impacts of shale fracking in England that confirm the findings of its 2015 report, *Health and Fracking*. The new report, *Shale Gas Production*

⁸⁷⁶ Noble Energy, Annual Report (Form 10-K) (Feb. 19, 2015) at 38.

⁸⁷⁷ Noble Energy, Annual Report (Form 10-K) (Feb 19, 2015) at 79.

in England, concluded, “Our view that the UK should abandon its policy to encourage [shale gas production] remains unchanged.” The new report included hundreds of new academic papers addressing impacts on air and water quality, health, climate change, social wellbeing, economics, noise and light pollution, and seismic events. Still, authors wrote, “the absence of an independent social, health and economic impact assessment of [shale gas production] at scale is a glaring omission. Given the availability of alternative sources of energy, these are grounds for placing an indefinite moratorium on SGP (a position adopted by many jurisdictions across the world) until such time that there is greater clarity and certainty about the relative harms and benefits of shale gas.”⁸⁷⁸

- May 31, 2016 – “There are too many science, technology and risk-assessment gaps to green-light fracking in western Newfoundland,” according to a panel that studied the question. In an interview with Canada’s *Globe and Mail*, panel leader and engineering professor Ray Gosine said, “The science, the studies that have been done, have been somewhat limited – certainly limited compared to what we’d expect to have done in order to plan this kind of operation.... There are a number of gaps and deficiencies that are significant.”⁸⁷⁹
- March 27, 2016 – Noting that many chemicals used in fracking fluids are known or suspected endocrine disruptors, a group of public health researchers called for an endocrine-centric component for health assessments in areas impacted by oil and gas operations. The team outlined a series of recommendations to assess the “potential endocrine-related risks from chemical exposures associated with oil and natural gas operations. We present these recommendations in light of the growing body of information regarding both chemical concentrations in the environment and adverse health outcomes reported in humans and wildlife.”⁸⁸⁰
- January 6, 2016 – A Yale University team of epidemiologists called for a systematic evaluation of chemicals in fracking fluid and wastewater for reproductive and development toxicity. While basic toxicity information is lacking for more than three-quarters of the more than 1,000 chemicals known to be used in fracking fluid, many of the remainder, the authors note, are linked to reproductive and developmental harm. “Therefore, carefully designed, rigorous exposure, and epidemiologic studies are urgently needed to investigate public health uncertainties.... The 67 chemicals we identified as possibly associated with either reproductive or developmental toxicity with a current or proposed federal drinking water standard or health-based guideline represent a feasible

⁸⁷⁸ McCoy, D. & Munro, A. (2016). *Shale gas production in England: an updated public health assessment*. Retrieved from http://www.medact.org/wp/wp-content/uploads/2016/07/medact_shale-gas_WEB.pdf

⁸⁷⁹ Bailey, S. (2016, May 31). Too many gaps to recommend fracking in Newfoundland: panel. *Globe and Mail*. Retrieved from <http://www.theglobeandmail.com/report-on-business/industry-news/energy-and-resources/too-many-unknowns-to-recommend-fracking-in-western-newfoundland-panel/article30216746/>

⁸⁸⁰ Kassotis, C. D., Tillitt, D. E., Lin, C-H., Mcelroy, J. A. & Nagel, S. (2016). Endocrine-disrupting chemicals and oil and natural gas operations: potential environmental contamination and recommendations to assess complex environmental mixtures. *Environmental Health Perspectives*, 124(3). doi: 10.1289/ehp.1409535

starting point for evaluation in future drinking water exposure studies or human health studies....”⁸⁸¹

- November 24, 2015 – A Harvard University team identified a trend toward increasing chemical secrecy and less transparency by examining 96,000 chemical disclosure forms filed by fracking companies between March 2011 and April 2015. These forms were submitted to the Fracfocus website, a chemical disclosure portal for the fracking industry that operates on a voluntary basis but for which reporting is mandated in more than 20 states. Fracfocus is the largest public database on chemicals used in U.S. fracking operations.⁸⁸² Companies involved in fracking withheld chemical data at significantly higher rates in 2015 (16.5 percent) as compared to 2011-2013 (11 percent). The research team also found that withholding drops by a factor of four when companies report aggregate data without attribution to the specific products in the fracking fluid. The authors called for state governments to retain authority in requiring disclosure of “product-specific ingredient lists.”⁸⁸³
- August 7, 2015 – While acknowledging the “dramatic increase in the number of peer-reviewed published studies” on environmental and health impacts of fracking, Weill Cornell Medical College’s Dr. Madelon Finkel and co-author PSE Healthy Energy’s Jake Hays called for more well-designed longer-term epidemiologic studies to quantify the connections between fracking-related risk factors and health outcomes. Without such studies it is challenging to capture, for example, outcomes such as cancer that take many years to present. The authors described several important studies that are currently underway that will add to the body of knowledge in the future.⁸⁸⁴
- June 9, 2015 – Information on individual exposures and local environmental conditions prior to the commencement of fracking in a given area is often “unavailable or hard to obtain. These and other data gaps have hindered the kind of large-scale epidemiological studies that can link exposures to actual health outcomes, with valid comparison groups,” wrote public health journalist David Tuller in the journal *Health Affairs*.⁸⁸⁵ In an interview with *Michigan Radio*, Tuller noted that, because well development happens quickly, there was generally a lack of pre-drilling baseline studies.⁸⁸⁶

⁸⁸¹ Elliot, E. G., Ettinger, A. S., Leaderer, B. P., Bracken, M. B., & Deziel, N. C. (2016). A systematic evaluation of chemicals in hydraulic-fracturing fluids and wastewater for reproductive and developmental toxicity. *Journal of Exposure Science and Environmental Epidemiology*. Advance online publication. doi:10.1038/jes.2015.81

⁸⁸² Song, L. (2015, Nov. 24). What chemicals are used in fracking? Industry discloses less and less. *InsideClimate News*. Retrieved from <https://insideclimatenews.org/news/24112015/fracking-natural-gas-drilling-chemicals-frac-focus-study>

⁸⁸³ Konschnik, K. & Dayalu, A. (2016). Hydraulic fracturing chemicals reporting: analysis of available data and recommendations for policymakers. *Energy Policy*, 88. doi: 10.1016/j.enpol.2015.11.002

⁸⁸⁴ Finkel, M. L. & Hays, J. (2015). Environmental and health impacts of ‘fracking’: why epidemiological studies are necessary. *Journal of Epidemiology and Community Health*. Advance online publication. doi: 10.1136/jech-2015-205487

⁸⁸⁵ Tuller, D. (2015). As fracking booms, dearth of health risk data remains. *Health Affairs*, 34 (6), 903-906.

⁸⁸⁶ Williams, R. (June 9, 2015). Why there are gaps in public health studies on fracking. *Michigan Radio*. Retrieved from <http://michiganradio.org/post/why-there-are-gaps-public-health-studies-fracking#stream/0>

- April 17, 2015 – Using sophisticated Geographic Information Systems (GIS) tools to examine distribution of fracking wells compared to distribution of vulnerable populations, Clark University researchers found consistent evidence that, in the Pennsylvania Marcellus Shale region, census tracts with potential exposure to pollution from fracking wells contained “significantly higher” percentages of poor people. They also found clusters of vulnerable populations concentrated near drilling and fracking in all three states they studied: Pennsylvania (for poverty and elderly population), West Virginia (for poverty, elderly population, and education level) and Ohio (for children). Researchers also reported difficulty in accessing high quality and consistent unconventional well data in all three states, demonstrating an “urgent need” for common data collection and reporting.⁸⁸⁷ Another GIS-based study sought to begin to fill this gap in data on spatially distributed risks of fracking, identifying Pennsylvania populations at “very high” and “high” risk in over a dozen counties. The author called for more focus on those areas to understand the impacts of fracking.⁸⁸⁸
- March 30, 2015 – The UK medical organization Medact published a report, *Health & Fracking: The Impacts and Opportunity Costs*, which concluded that fracking poses significant risks to public health and called for an immediate moratorium to allow time for a full and comprehensive health and environmental impact assessment to be completed.⁸⁸⁹ The report was supported by a letter published in the *British Medical Journal* calling for shale gas development to be put on hold, signed by the Climate and Health Council and over a dozen senior health professionals. The letter stated, “The arguments against fracking on public health and ecological grounds are overwhelming. There are clear grounds for adopting the precautionary principle and prohibiting fracking.”⁸⁹⁰
- February 17, 2015 – Writing in the *Canadian Medical Association Journal*, a public health scientist and medical doctor briefly reviewed the human health risks of fracking documented to date and made the case for a health care worker role in insisting on improved understanding. They cited worker and community safety issues as the biggest short-term risks, but emphasized that more needs to be known “before health care providers can definitively respond to their patients’ and communities’ concerns.... Physicians may wish to advocate delaying new development activities until the potential health effects are better understood.”⁸⁹¹
- January 22, 2015 –The acting head of research at the Cancer Association of South Africa, Carl Albrecht, said that known carcinogenic chemicals used in fracking could lead to an

⁸⁸⁷ Ogneva-Himmelberger, Y. & Huang, L. (2015). Spatial distribution of unconventional gas wells and human populations in the Marcellus Shale in the United States: Vulnerability analysis. *Applied Geography*, 60. 165-174.

⁸⁸⁸ Meng, Q. (2015). Spatial analysis of environment and population at risk of natural gas fracking in the state of Pennsylvania, USA. *Science of the Total Environment*, 515-516. 198-206.

⁸⁸⁹ Medact. (2015). *Health & fracking: The impacts and opportunity costs*. London: McCoy, D. & Saunders, P.

⁸⁹⁰ Stott, R., Atkinson, S., Montgomery, H., Rao, M., McKee, M., Gerada, C., . . . Popay, J. (2014). Public Health England’s draft report on shale gas extraction. *BMJ*, 348. Retrieved from <http://www.bmj.com/content/348/bmj.g2728/rr>

⁸⁹¹ Bharadwaj, L. & Goldstein, B. D. (2015). Shale gas development in Canada: What are the potential health effects? *CMAJ*, 187(3), E99-E100.

epidemic of cancer in South Africa's Karoo desert. As South Africa was poised to publish draft regulations, Albrecht said that the effect of fracking on human health was ignored.⁸⁹²

- January 19, 2015 – In an article that reviewed research and research gaps, a team of British and U.S. medical and scientific professionals urged the United Kingdom and other nations to engage in science before engaging in fracking. They warned that even strong regulations may not effectively address air pollution from fracking, and that “permanent, adverse environmental, climatic, and population health impacts” may exist in some cases.⁸⁹³
- December 17, 2014 – In an editorial, Rutgers University environmental exposure expert Paul J. Liroy (now deceased) highlighted fracking as an area in which accurate exposure monitoring and risk assessment did not yet exist. Liroy emphasized that the relevant research was compartmentalized and fragmented and that exposures and health outcomes around unconventional natural gas development need to be systematically addressed through “well-defined exposure studies in communities and workplaces.”⁸⁹⁴
- December 5, 2014 – A team of medical and scientific researchers, including from the Institute for Health and Environment at the State University of New York (SUNY) at Albany, reviewed the scientific evidence that both adult and early life—including prenatal—exposure to chemicals from fracking operations can result in adverse reproductive health and developmental effects. These include: endocrine-disrupting chemicals potentially increasing risk for reproductive problems, breast cancer, abnormal growth and developmental delays, and changes in immune function; benzene, toluene and xylene (BTX chemicals) increasing risk for impaired sperm quantity and quality in men and menstrual and fertility problems in women; and heavy metals increasing the risk of miscarriage and/or stillbirths. Potential exposures occur through both air and water. Based on their review, the authors concluded, “Taken together, there is an urgent need for the following: 1) biomonitoring of human, domestic and wild animals for these chemicals; and 2) systematic and comprehensive epidemiological studies to examine the potential for human harm.”⁸⁹⁵ Lead author Susan Nagel said in an accompanying interview, “We desperately need biomonitoring data from these people. What are people

⁸⁹² Vecchiato, P. (January 22, 2015). Chemicals used in fracking ‘could cause cancer.’ *Business Day BDlive*.

Retrieved from <http://www.bdlive.co.za/business/energy/2015/01/22/chemicals-used-in-fracking-could-cause-cancer>

⁸⁹³ Hays, J., Finkel, M. L., Depledge, M., Law, A., & Shonkoff, S. B. C. (2015). Considerations for the development of shale gas in the United Kingdom. *Science of the Total Environment*, 512–513, 36–42. doi: 10.1016/j.scitotenv.2015.01.004

⁸⁹⁴ Liroy, P.J. (2015). Exposure science and its places in environmental health sciences and risk assessment: why is its application still an ongoing struggle in 2014? *Journal of Exposure Science and Environmental Epidemiology*, 25, 1–3. doi: 10.1038/jes.2014.59

⁸⁹⁵ Webb, E., Bushkin-Bedient, S., Cheng, A., Kassotis, C.D., Balise, V., & Nagel, S.C. (2014). Developmental and reproductive effects of chemicals associated with unconventional oil and natural gas operations. *Reviews on Environmental Health*, 29(4), 307–318. doi: 10.1515/reveh-2014-0057

actually exposed to? What are the blood levels of people living in these areas? What are the levels in the workers?”⁸⁹⁶

- November 12, 2014 – A team of Australian researchers reviewed the strength of evidence for environmental health impacts of fracking based on publications from 1995 to 2014. They noted that the rapid expansion of fracking had outstripped the pace of science and that most studies focused on short-term, rather than long-term, health. Hence, “very few studies examined health outcomes with longer latencies such as cancer or developmental outcomes.” Noting that no evidence exists to rule out health impacts, the team called for direct and clear public health assessments before projects are approved, longitudinal studies that include baseline data, and government and industry transparency.⁸⁹⁷
- September 15, 2014 – Researchers led by University of Rochester’s Environmental Health Sciences Center conducted interviews in New York, North Carolina, and Ohio to evaluate community health concerns about unconventional natural gas development. They identified many areas where more study is needed, including baseline measures of air quality, ongoing environmental monitoring, and health impact assessments. They noted that other areas where data are lacking involve the assessment of drilling and fracking impacts on vulnerable populations such as very young children, and the potential consequences of interactions between exposures resulting from shale gas extraction operations. Researchers suggested incorporating the input of potentially affected community members into the development of the research agenda.⁸⁹⁸
- July 21, 2014 – An independent assessment report by Scientists for Global Responsibility and the Chartered Institute of Environmental Health reviewed current evidence across a number of issues associated with shale gas extraction by hydraulic fracturing, including environmental and public health risks, drawing on academic research. Among the report’s conclusions: there are major shortcomings in regulatory oversight regarding local environmental and public health risks; there is a large potential for UK shale gas exploitation to undermine national and international efforts to tackle climate change; the water-intensive nature of the fracking process which could cause water shortages in many areas; the complete lack of evidence behind claims that shale gas exploitation will bring down UK energy bills; and concerns that it will impact negatively on UK energy security. Despite claims to the contrary, the report noted that evidence of local environmental contamination from shale gas exploitation is well reported in the scientific literature. It emphasizes that, “[t]here are widespread concerns over the lack of evidence on fracking-

⁸⁹⁶ Sample, I. (2014, December 5). Fracking chemicals could pose risks to reproductive health, say researchers. *Guardian*. Retrieved from <http://www.theguardian.com/environment/2014/dec/05/fracking-chemicals-could-pose-risks-to-reproductive-health-say-researchers>

⁸⁹⁷ Werner, A.K., Vink, S., Watt, K. & Jagals, P. (2015). Environmental health impacts of unconventional natural gas development: A review of the current strength of evidence. *Science of the Total Environment*, 505, 1127–1141. doi: 10.1016/j.scitotenv.2014.10.084

⁸⁹⁸ Korfmacher, K.S., Gray, K.M., & Haynes, E. (2014, September 15). Health impacts of unconventional natural gas development: A comparative assessment of community information needs in New York, North Carolina, and Ohio. *Project Report, UR-UNC-UC Supplement 2012-13*. Retrieved from <http://www.urmc.rochester.edu/MediaLibraries/URMCMedia/environmental-health-sciences-center/COEC/documents/UNGD-information-needs-assessment-Final-project-report-091514.pdf>

related health impacts,” and that there is a lack of “substantive epidemiological study for populations exposed to shale gas extraction.”⁸⁹⁹

- July 18, 2014 – A working group of the Environmental Health Sciences Core Centers, supported by the National Institute of Environmental Health Sciences, reviewed the available literature on the potential health impacts of fracking for natural gas. They concluded that further research is urgently needed. Needs identified included: monitoring of air and water quality over the entire lifetime of wells; further epidemiologic research addressing health outcomes and water quality; and research addressing whether air pollution associated with fracking increases the risk of pulmonary and cardiovascular disease. The working group advocated for the participation of potentially affected communities in all areas of research.⁹⁰⁰
- July 12, 2014 – Eli Avila, Pennsylvania’s former Secretary of Health, said that health officials need to be proactive in protecting the public from the health effects of unconventional shale gas extraction. In 2011, funding was approved for a Pennsylvania public health registry to track drilling related complaints and address concerns, but was cut at the last minute. Speaking to the problem posed by the dearth of information, Avila asked, “How can you keep the public safe if you’re not collecting data?”⁹⁰¹
- June 30, 2014 – The immediate past chair of the Executive Committee of the Council on Environmental Health for the American Academy of Pediatrics, Jerome A. Paulson, MD, called for industry disclosure of all ingredients of fracking fluid; thorough study of all air contaminants released from drilling and fracking operations and their protected dispersal patterns; and study and disclosure of fracking-related water contamination and its mechanisms. In a letter to the Pennsylvania Department of Environmental Protection, Paulson said:

In summary, neither the industry, nor government agencies, nor other researchers have ever documented that [unconventional gas extraction] can be performed in a manner that minimizes risks to human health. There is now some evidence that these risks that many have been concerned about for a number of years are real risks. There is also much data to indicate that there are a number of toxic chemicals used or derived from the process, known or plausible routes of

⁸⁹⁹ Harrison, G., Parkinson, S., & McFarlane, G. (2014). Shale gas and fracking: examining the evidence. Published by Scientists for Global Responsibility (SGR) and the Chartered Institute of Environmental Health (CIEH). Retrieved from <http://www.cieh.org/WorkArea/showcontent.aspx?id=53520>

⁹⁰⁰ Penning, T. M., Breyse, P.N., Gray, K., Howarth, M., & Yan, B. (2014). Environmental health research recommendations from the Inter-Environmental Health Sciences Core Center Working Group on Unconventional Natural Gas Drilling Operations. *Environmental Health Perspectives*, 122(11), 1155-1159. doi: 10.1289/ehp.1408207

⁹⁰¹ Associated Press. (2014, July 12). Expert: Pa. didn’t address fracking health impacts. *York Dispatch*. Retrieved from http://www.yorkdispatch.com/ci_26135724/expert-pa-didnt-address-fracking-health-impacts

exposure of those chemicals to humans; and therefore, reason to place extreme limits on [unconventional gas extraction].⁹⁰²

- June 20, 2014 – Highlighting preliminary studies in the United States that suggest an increased risk of adverse health problems among individuals living within ten miles of shale gas operations, a commentary in the British medical journal *The Lancet* called for a precautionary approach to gas drilling in the United Kingdom. According the commentary, “It may be irresponsible to consider any further fracking in the UK (exploratory or otherwise) until these prospective studies have been completed and the health impacts of fracking have been determined.”⁹⁰³
- June 20, 2014 – Led by an occupational and environmental medicine physician, a Pennsylvania-based medical and environmental science research team documented “... the substantial concern about adverse health effects of [unconventional natural gas development] among Pennsylvania Marcellus Shale residents, and that these concerns may not be adequately represented in medical records.” The teams identified the continued need to pursue environmental, clinical, and epidemiological studies to better understand associations between fracking, medical outcomes, and residents’ ongoing concerns.⁹⁰⁴
- June 17, 2014 – A discussion paper by the Nova Scotia Deputy Chief Medical Officer and a panel of experts identified potential economic benefits as well as public health concerns from unconventional oil and gas development. On the health impacts, they wrote, “uncertainties around long term environmental effects, particularly those related to climate change and its impact on the health of both current and future generations, are considerable and should inform government decision making.” The report noted potential dangers including contamination of groundwater, air pollution, surface spills, increased truck traffic, noise pollution, occupational health hazards, and the generation of greenhouse gases. It also noted that proximity of potential fracking sites to human habitation should give regulators pause and called for a health impact assessment and study of long-term impacts.⁹⁰⁵ Responding to the report, the Environmental Health Association of Nova Scotia applauded the go-slow approach and called for a 10-year moratorium on fracking.⁹⁰⁶

⁹⁰² Paulson, J.A. (2014, June 30). Letter to the Pennsylvania Department of Environmental Protection. Retrieved from <http://concernedhealthny.org/letter-from-dr-jerome-a-paulson-to-the-pennsylvania-department-of-environmental-protection/>

⁹⁰³ Hill, M. (2014, June 20). Shale gas regulation in the UK and health implications of fracking. *The Lancet*. Advance online publication. doi: 10.1016/S0140-6736(14)60888-6

⁹⁰⁴ Saberi, P., Propert, K.J., Powers, M., Emmett, E., & Green-McKenzie, J. (2014). Field survey of health perception and complaints of Pennsylvania residents in the Marcellus Shale region. *International Journal of Environmental Research and Public Health*, 11(6), 6517-6527. doi:10.3390/ijerph110606517

⁹⁰⁵ Atherton, F. (2014, June 17). Discussion paper: Hydraulic fracturing and public health in Nova Scotia. Nova Scotia Hydraulic Fracturing Independent Review and Public Engagement Process.

⁹⁰⁶ Macdonald, M. (2014, June 17). Nova Scotia expert calls for go-slow approach for hydraulic fracturing. *The Canadian Press*. Retrieved from <http://www.calgaryherald.com/health/Health+studies+needed+hydraulic+fracturing+approved+Nova+Scotia/9946368/story.html>

- May 29, 2014 – In New York State, more than 250 medical organizations and health professionals released a letter detailing emerging trends in the data on fracking that show significant risk to public health, air quality, and water, as well as other impacts. With signatories including the American Academy of Pediatrics, District II, the American Lung Association in New York, Physicians for Social Responsibility, and many leading researchers examining the impacts of fracking, they wrote, “The totality of the science — which now encompasses hundreds of peer-reviewed studies and hundreds of additional reports and case examples—shows that permitting fracking in New York would pose significant threats to the air, water, health and safety of New Yorkers.”^{907, 908}
- May 9, 2014 – In a peer-reviewed analysis, leading toxicologists outlined some of the potential harm and uncertainty relating to the toxicity of the chemical and physical agents associated with fracking, individually and in combination. While acknowledging the need for more research and greater involvement of toxicologists, they noted the potential for surface and groundwater contamination from fracking, growing concerns about air pollution particularly in the aggregate, and occupational exposures that pose a series of potential hazards to worker health.^{909, 910}
- May 1, 2014 – A 292-page report from a panel of top Canadian scientists urged caution on fracking, noting that it poses “the possibility of major adverse impacts on people and ecosystems” and that significantly more study is necessary to understand the full extent of the risks and impacts.⁹¹¹ The *Financial Post* reported that the panel of experts “found significant uncertainty on the risks to the environment and human health, which include possible contamination of ground water as well as exposure to poorly understood combinations of chemicals.”⁹¹²
- April 30, 2014 – Medical professionals spoke out on the dearth of public health information collected and lack of long-term study five years into Pennsylvania’s fracking boom. Walter Tsou, MD, MPH, past president of the American Public Health Association

⁹⁰⁷ Concerned Health Professionals of NY. (2014, May 29). Letter to Governor Cuomo and acting Health Commissioner Zucker [Letter to Governor Andrew M. Cuomo & Acting Health Commissioner Howard A. Zucker]. New York City, New York.

⁹⁰⁸ Hughes, K. (2014, May 29). NY fracking opponents call for moratorium of 3 to 5 years. *Daily Freeman*. Retrieved from <http://www.dailyfreeman.com/general-news/20140529/ny-fracking-opponents-call-for-moratorium-of-3-to-5-years>

⁹⁰⁹ Society of Toxicology. (2014). Toxicologists outline key health and environmental concerns associated with hydraulic fracturing. *ScienceDaily*. Retrieved from <http://www.sciencedaily.com/releases/2014/05/140509172545.htm>

⁹¹⁰ Goldstein, B. D., Brooks, B. W., Cohen, S. D., Gates, A. E., Honeycutt, M. E., Morris, J. B., . . . Snawder, J. (2014). The role of toxicological science in meeting the challenges and opportunities of hydraulic fracturing [Abstract]. *Toxicological Sciences*, 139(2). doi: 10.1093/toxsci/kfu061

⁹¹¹ The Expert Panel on Harnessing Science and Technology to Understand the Environmental Impacts of Shale Gas Extraction. (2014). Environmental impacts of Hurricane Mitch. *Council of Canadian Academies*. Retrieved from http://www.scienceadvice.ca/uploads/eng/assessments%20and%20publications%20and%20news%20releases/shale%20gas/shalegas_fullreporten.pdf

⁹¹² Canadian Press. (2014, May 1). Top Canadian scientists urge cautious approach to fracking until more known of impact. *Financial Post*. Retrieved from http://business.financialpost.com/2014/05/01/top-canadian-scientists-urge-cautious-approach-to-fracking-until-more-known-of-impact/?__lsa=3b44-76a1

and former Health Commissioner of Philadelphia commented, “That kind of study from a rigorous scientific perspective has never been done.” Other experts added, “There has been more health research involving fracking in recent years, but every study seems to consider a different aspect, and ... there is no coordination.”⁹¹³

- April 17, 2014 – In the preeminent *British Medical Journal*, authors of a commentary, including an endocrinologist and a professor of clinical public health, wrote, “Rigorous, quantitative epidemiological research is needed to assess the risks to public health, and data are just starting to emerge. As investigations of shale gas extraction in the US have continually suggested, assurances of safety are no proxy for adequate protection.”⁹¹⁴
- April 15, 2014 – The *Canadian Medical Association Journal* reported on the increasing legitimacy of concerns about fracking on health: “While scientists and area residents have been sounding the alarm about the health impacts of shale gas drilling for years, recent studies, a legal decision and public health advocates are bringing greater legitimacy to concerns.”⁹¹⁵
- March 3, 2014 – In the *Medical Journal of Australia*, researchers and a physician published a strongly worded statement, “Harms unknown: health uncertainties cast doubt on the role of unconventional gas in Australia’s energy future.” They cited knowledge to date on air, water, and soil pollution, and expressed concern about “environmental, social and psychological factors that have more indirect effects on health, and important social justice implications” yet to be understood. They wrote in summary:

The uncertainties surrounding the health implications of unconventional gas, when considered together with doubts surrounding its greenhouse gas profile and cost, weigh heavily against proceeding with proposed future developments. While the health effects associated with fracturing chemicals have attracted considerable public attention, risks posed by wastewater, community disruption and the interaction between exposures are of also of concern.⁹¹⁶

- March 1, 2014 – In the prestigious British medical journal *The Lancet*, researchers summarized workshops and research about the health impacts of fracking, noting that the scientific study on the health impacts of fracking is “in its infancy.” Nevertheless, the existing evidence suggests, said these researchers, that health risks posed by fracking exceed those posed by conventional oil and gas wells due to the sheer number and

⁹¹³ Khan, N. (2014, April 30). Health impact of gas fracking left in the dark. *Pocono Record*. Retrieved from <http://www.poconorecord.com/apps/pbcs.dll/article?AID=/20140430/NEWS90/404300301/-1/NEWS01>

⁹¹⁴ Law, A., Hays, J., Shonkoff, S. B., & Finkel, M. L. (2014). Public Health England’s draft report on shale gas extraction [Abstract]. *BMJ*, 1840. doi: <http://dx.doi.org/10.1136/bmj.g2728>

⁹¹⁵ Glauser, W. (2014). New legitimacy to concerns about fracking and health. *Canadian Medical Association Journal*, 186(8), E245-E246. doi: 10.1503/cmaj.109-4725

⁹¹⁶ Coram, A., Moss, J., & Blashki, G. (2014). Harms unknown: Health uncertainties cast doubt on the role of unconventional gas in Australia's energy future. *The Medical Journal of Australia*, 200(4), 210-213. doi: 10.5694/mja13.11023

density of well pads being developed, their proximity to densely populated areas, and the need to transport and store large volumes of materials.⁹¹⁷

- February 24, 2014 – In a review of the health effects of unconventional natural gas extraction published in the journal *Environmental Science & Technology*, leading researchers identified a range of impacts and exposure pathways that can be detrimental to human health. Noting how fracking disrupts communities, the review states, “For communities near development and production sites the major stressors are air pollutants, ground and surface water contamination, truck traffic and noise pollution, accidents and malfunctions, and psychosocial stress associated with community change.” They concluded, “Overall, the current scientific literature suggests that there are both substantial public concerns and major uncertainties to address.”⁹¹⁸
- August 30, 2013 – A summary of a 2012 workshop by the Institute of Medicine Roundtable on Environmental Health Sciences, Research, and Medicine featured various experts who discussed health and environmental concerns about fracking and the need for more research. The report in summary of the workshop stated, “The governmental public health system, which retains primary responsibility for health, was not an early participant in discussions about shale gas extraction; thus public health is lacking critical information about environmental health impacts of these technologies and is limited in its ability to address concerns raised by regulators at the federal and state levels, communities, and workers employed in the shale gas extraction industry.”⁹¹⁹
- June 2013 – A group of three nursing professors published a cautionary review questioning the rollout of new shale-based energy practices at a time when, “anecdotal reports make clear that the removal of fossil fuels from the earth directly affects human health.” Although the results of longterm studies are not yet available, the authors point to emerging evidence for negative human and ecologic health effects of fracking. Furthermore, they continue, “sufficient evidence has been presented to the [American Nurses Association], the American Public Health Association, and the American Medical Association’s Resident and Fellow Section to result in a call for a moratorium on the issuance of new fracking permits nationally.” They urge nurses to contribute to keeping health issues “front and center as we address national energy needs and policies.”⁹²⁰
- April 22, 2013 – In one of the first peer-reviewed nursing articles summarizing the known health and community risks of fracking, Professor Margaret Rafferty, Chair of the Department of Nursing at New York City College of Technology wrote, “Any initiation

⁹¹⁷ Kovats, S., Depledge, M., Haines, A., Fleming, L. E., Wilkinson, P., Shonkoff, S. B., & Scovronick, N. (2014). The health implications of fracking. *The Lancet*, 383(9919), 757-758. doi: 10.1016/S0140-6736(13)62700-2

⁹¹⁸ Adgate, J. L., Goldstein, B. D., & McKenzie, L. M. (2014). Potential public health hazards, exposures and health effects from unconventional natural gas development [Abstract]. *Environmental Science & Technology*. doi: 10.1021/es404621d

⁹¹⁹ Coussens, C., & Martinez, R. (2013). *Health impact assessment of shale gas extraction: workshop summary*. Washington: THE NATIONAL ACADEMIES PRESS. Retrieved from <http://www.iom.edu/Reports/2013/Health-Impact-Assessment-of-Shale-Gas-Extraction.aspx>

⁹²⁰ McDermott-Levy, R., Kaktins, N., & Sattler, B. (2013). Fracking, the environment, and health: New energy practices may threaten public health. *American Journal of Nursing*, 113(6), 45-51.

or further expansion of unconventional gas drilling must be preceded by a comprehensive Health Impact Assessment (HIA).”⁹²¹

- May 10, 2011 – In the *American Journal of Public Health*, two medical experts cautioned that fracking “poses a threat to the environment and to the public's health. There is evidence that many of the chemicals used in fracking can damage the lungs, liver, kidneys, blood, and brain.” The authors urged that it would be prudent to invoke the precautionary principle in order to protect public health and the environment.⁹²²

Conclusion

All together, findings to date from scientific, medical, and journalistic investigations combine to demonstrate that fracking poses significant threats to air, water, health, public safety, climate stability, seismic stability, community cohesion, and long-term economic vitality. Emerging data from a rapidly expanding body of evidence continue to reveal a plethora of recurring problems and harms that cannot be averted or cannot be sufficiently averted through regulatory frameworks. There is no evidence that fracking can operate without threatening public health directly or without imperiling climate stability upon which public health depends. In the words of investigative journalist Andrew Nikiforuk:

Industry swore that its cracking rock technology was safe and proven, but science now tells a different story. Brute force combined with ignorance ... has authored thousands of earthquakes ... [and] called forth clouds of migrating methane.... The science is complicated but clear: cracking rock with fluids is a chaotic activity and no computer model can predict where those fractures will go. The regulatory record shows that they often go out of zone; extend into water; and rattle existing oil and gas wells, and these rattled wells are leaking more methane.⁹²³

And in the words of a new commentary about fracking in the *American Journal of Public Health*:

Mounting empirical evidence shows harm to the environment and to human health ... and we have no idea what the long-term effects might be.... Ignoring the body of evidence, to us, is not a viable option anymore.⁹²⁴

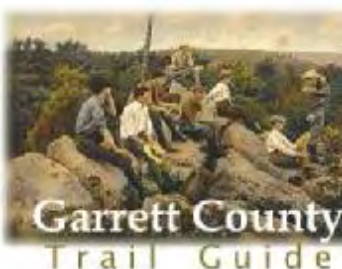
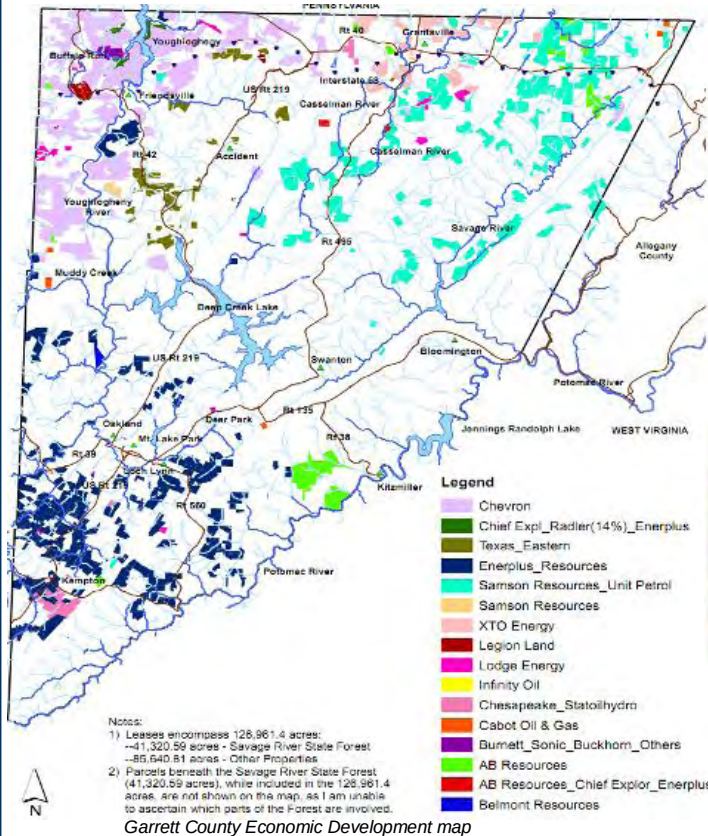
⁹²¹ Rafferty, M. A., & Limonik, E. (2013). Is shale gas drilling an energy solution or public health crisis? *Public Health Nursing*, 30(5), 454-462. doi: 10.1111/phn.12036

⁹²² Finkel, M. L. & Law, A. (2011). The rush to drill for natural gas: A public health cautionary tale. *American Journal of Public Health*, 101(5), 784-785. doi: 0.2105/AJPH.2010.300089

⁹²³ Nikiforuk, A. (2016, October 16). Acceptance speech, USA National Science in Society Journalism Awards reception, San Antonio, Texas. Retrieved from <http://www.ernstversusencana.ca/andrew-nikiforuk-wins-usa-national-science-in-society-award-slick-water-nasws-awards-honor-outstanding-investigative-interpretive-reporting-sciences-their-impact-for-good-and-ill/>

⁹²⁴ Finkel, M., L. & Law, A. (2016). The rush to drill for natural gas: a five-year update. *American Journal of Public Health*, 106(10). doi: 10.2105/AJPH.2016.303398

Exhibit 5



Marcellus Shale Gas Development in Maryland: A Natural Resource Analysis

Advisory Commission Meeting
February 27, 2012

Catherine McCall



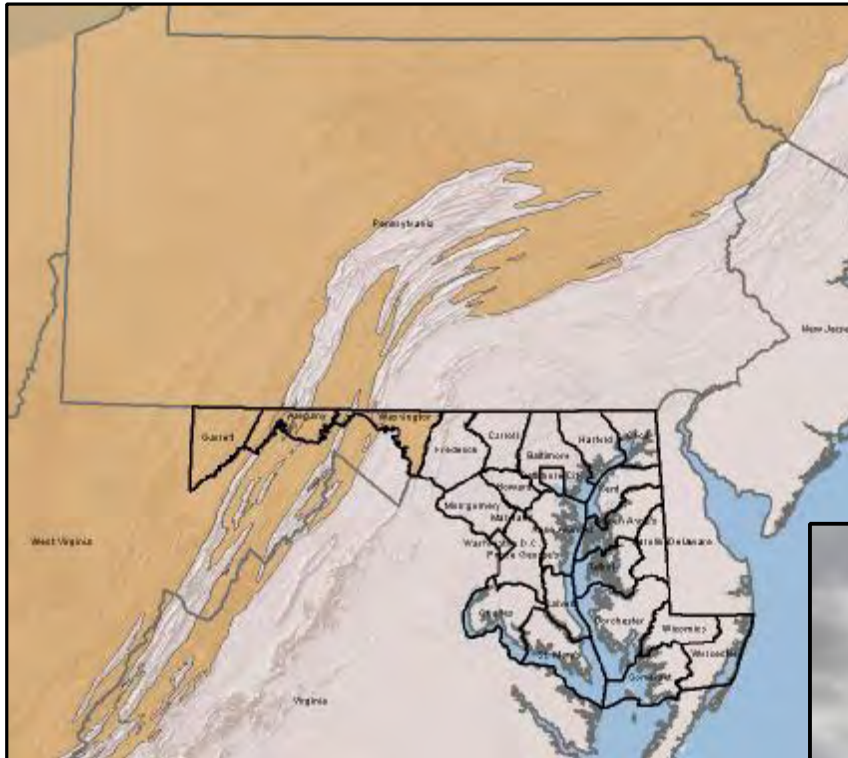
MARYLAND DEPARTMENT OF NATURAL RESOURCES

Balancing Natural Resources & Human Uses

- Western Maryland has some of the State's most important natural areas and offers diverse recreational opportunities.
 - Hiking, skiing and snowshoeing trails
 - Coldwater fishing, game hunting and whitewater rafting
 - Shale outcroppings, ridgelines and intact forests provide unique habitats for diverse living resources and improve our air quality
- Unique geologic formations support these resources and public recreation activities, provide communities with economic and natural resources and also support emerging energy development industries

MARYLAND DEPARTMENT OF NATURAL RESOURCES

Marcellus Formation Range

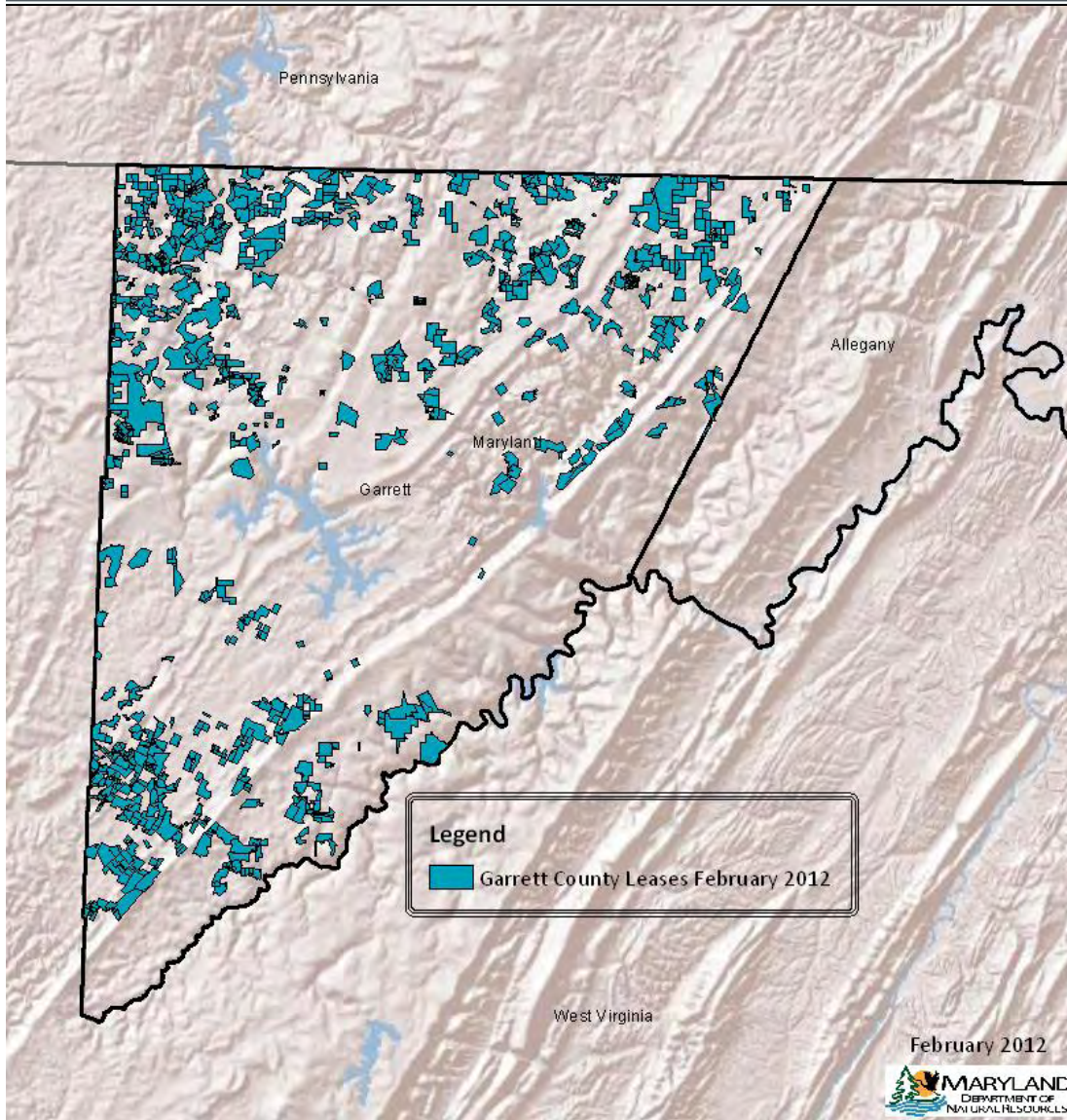




Marcellus Shale Resource Analysis

- 1) To compile and organize data, information and feedback to help identify areas of high use and/or natural resource overlap where Marcellus shale gas development leasing activities are concentrated
- 2) To assess potential natural resource impacts that may either directly or indirectly result from shale gas development and help to inform the identification of both site- and landscape-level Best Management Practices (BMPs)

This *work-in-progress* analysis is an initial step toward identifying the areas that may be most or least impactful to natural resources



Garrett County Economic Development - Leases as of February 2012

- Landscape
- Ecological Resources
 - Water Supply
 - Recreation & Visual Impacts
- Pipelines & Roads

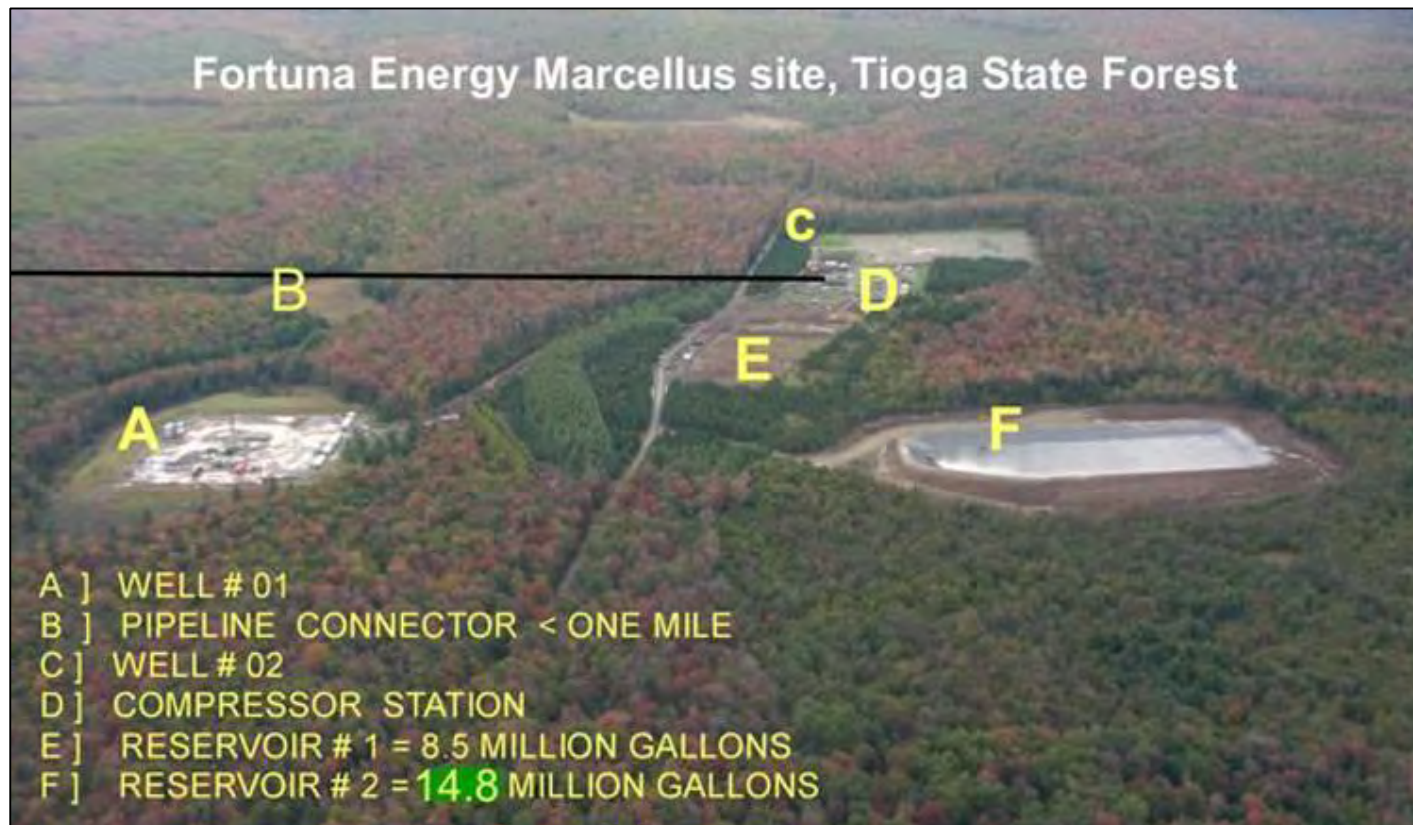
Resource and Habitat Impacts: Direct & Associated

Direct Impacts:

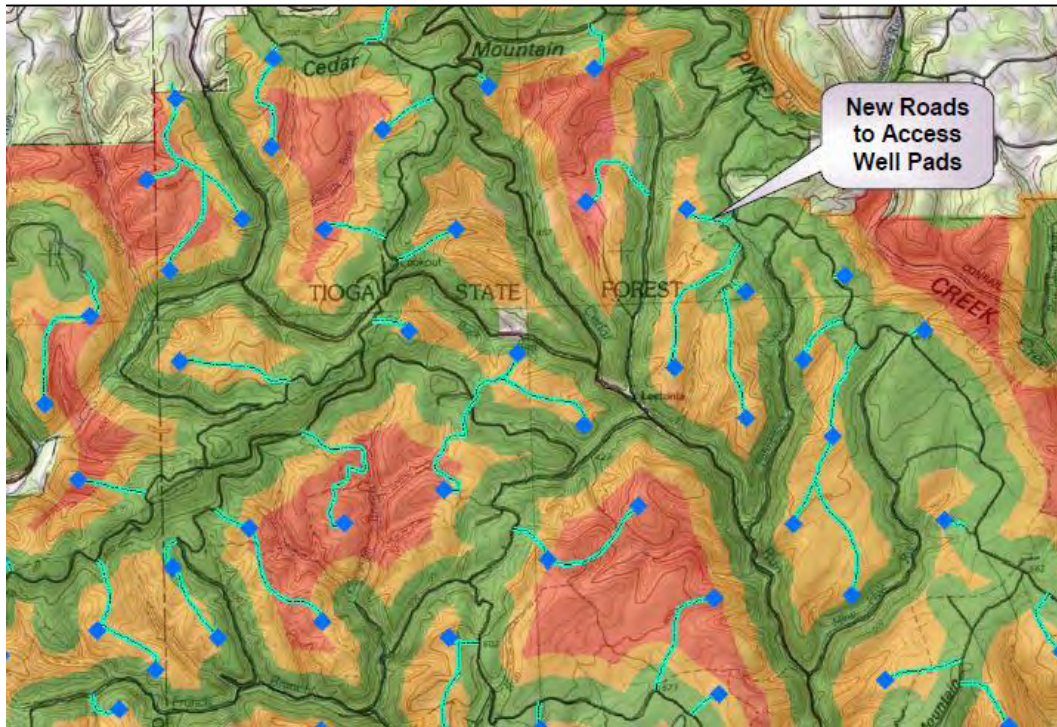
Resource impacts on the actual well pad site footprint, associated infrastructure (e.g. roads, pipelines)

Associated Impacts:

Resource impacts resulting from encroachment, habitat fragmentation and watershed development



Site Acreage Baseline: Direct Impacts



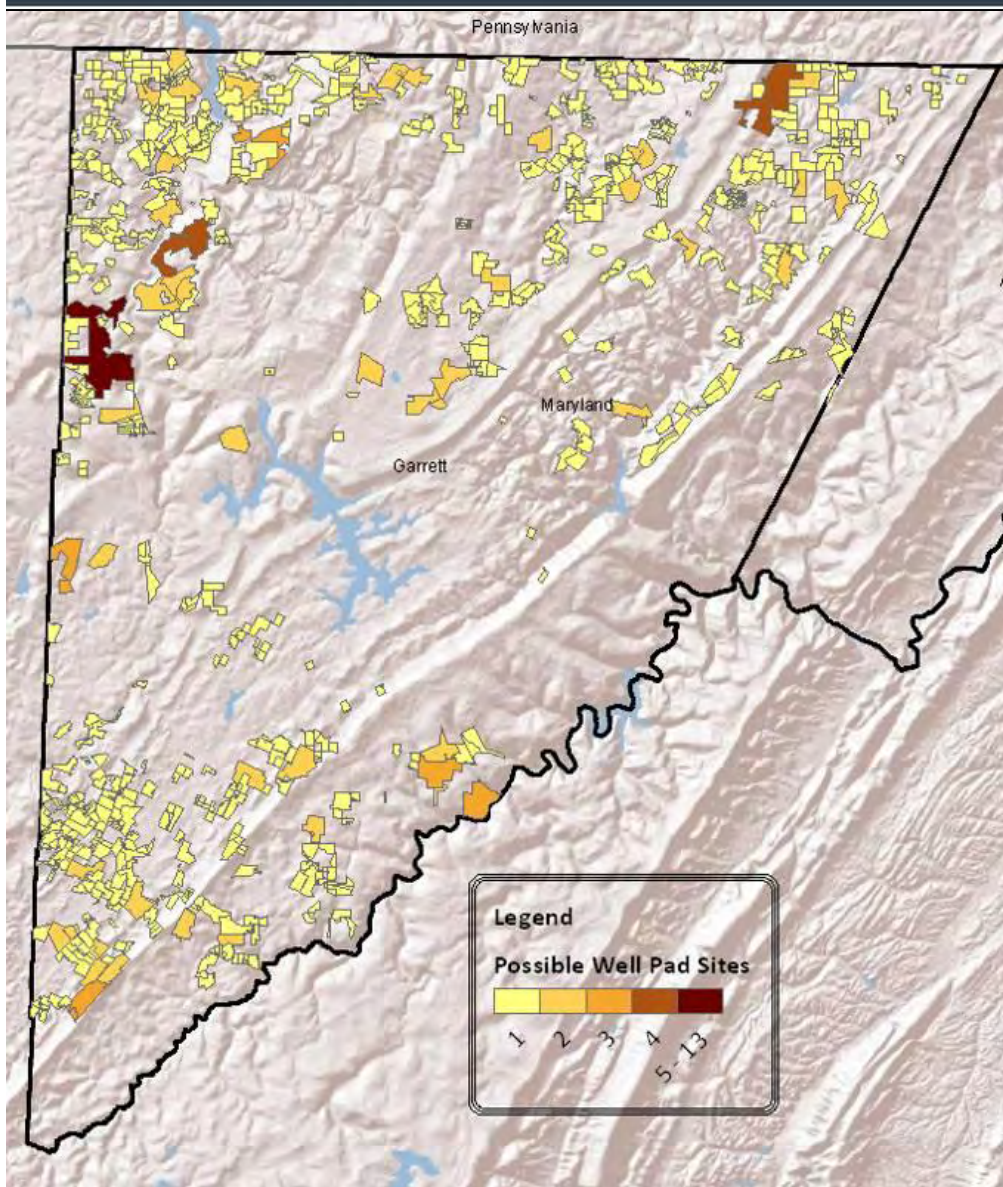
- Footprint of a well pad = 5 acres¹
- Well pad spacing = 240 acres²
- A 1,000 acre leased parcel would have 4 or 5 well pads spaced 240 acres apart
- Based on these guidelines, total acreage impacts from well pads would then be up to 25 acres impacted, not accounting for road development
- Combined with road or pipeline development, these impacts are...

...*Direct Impacts*

¹ DRBC Hearing on Natural Gas Exploratory Wells, 2010 and Sumi 2008

² PA DCNR Guidelines for Administering Oil and Gas Activity on State Forest Lands

Site Acreage Baseline: Direct Impacts



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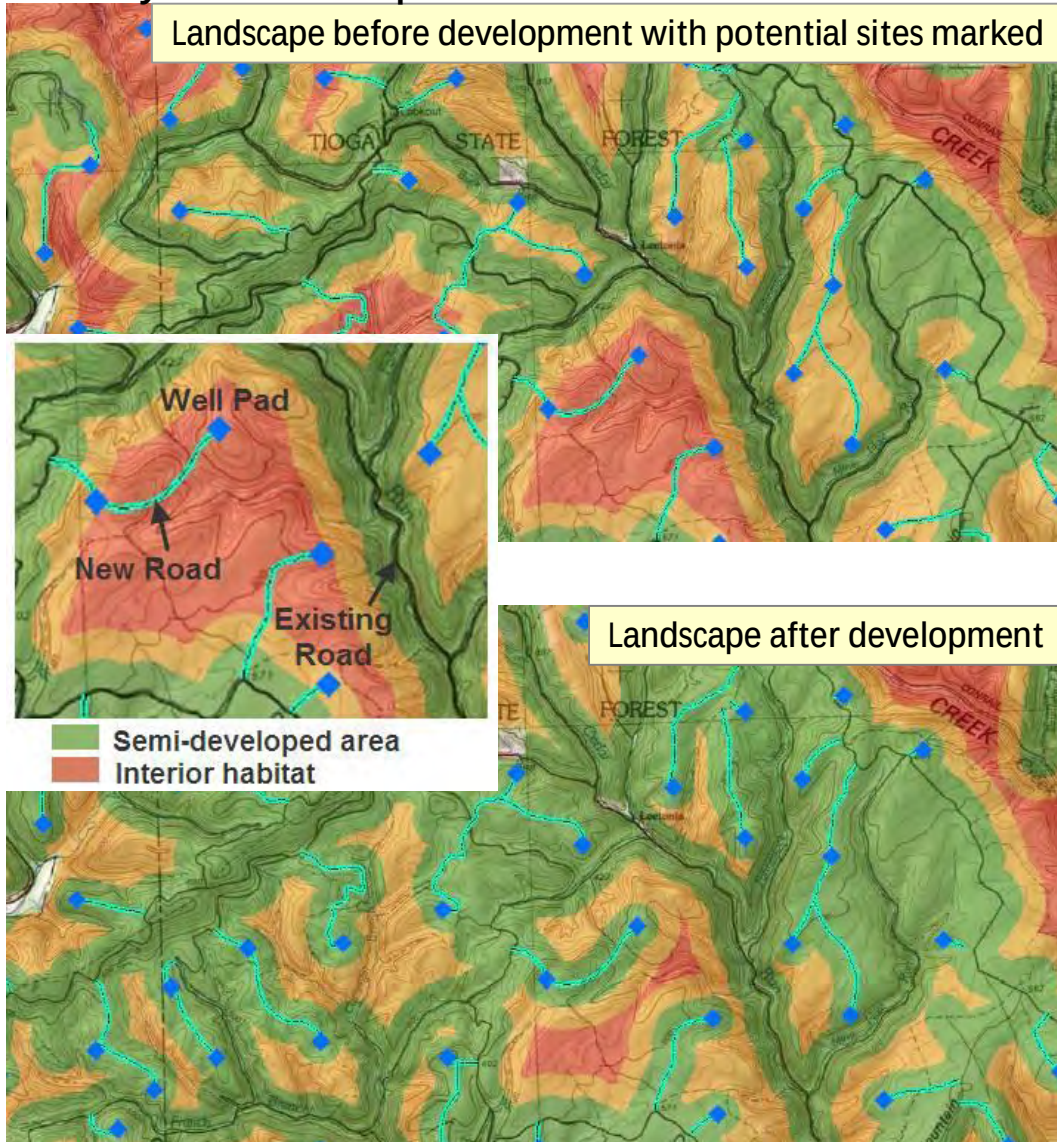
...*Direct Impacts*

¹ DRBC Hearing on Natural Gas Exploratory Wells, 2010 and Sumi 2008

² PA DCNR Guidelines for Administering Oil and Gas Activity on State Forest Lands

Site Acreage Baseline: Associated Impacts

Pennsylvania Example



- Fragmentation to core habitat areas results in less interior habitat, changes in associated communities and changes to public access
- Drainage areas associated with well pads may impact lands far from the actual site. These drainage areas can affect approximately 640 acres¹.
- These resulting changes to natural resource connectivity, public access and drainage areas are...

...Associated Impacts

¹ PA DCNR Guidelines for Administering Oil and Gas Activity on State Forest Lands

Landscape: GreenPrint and Targeted Ecological Areas

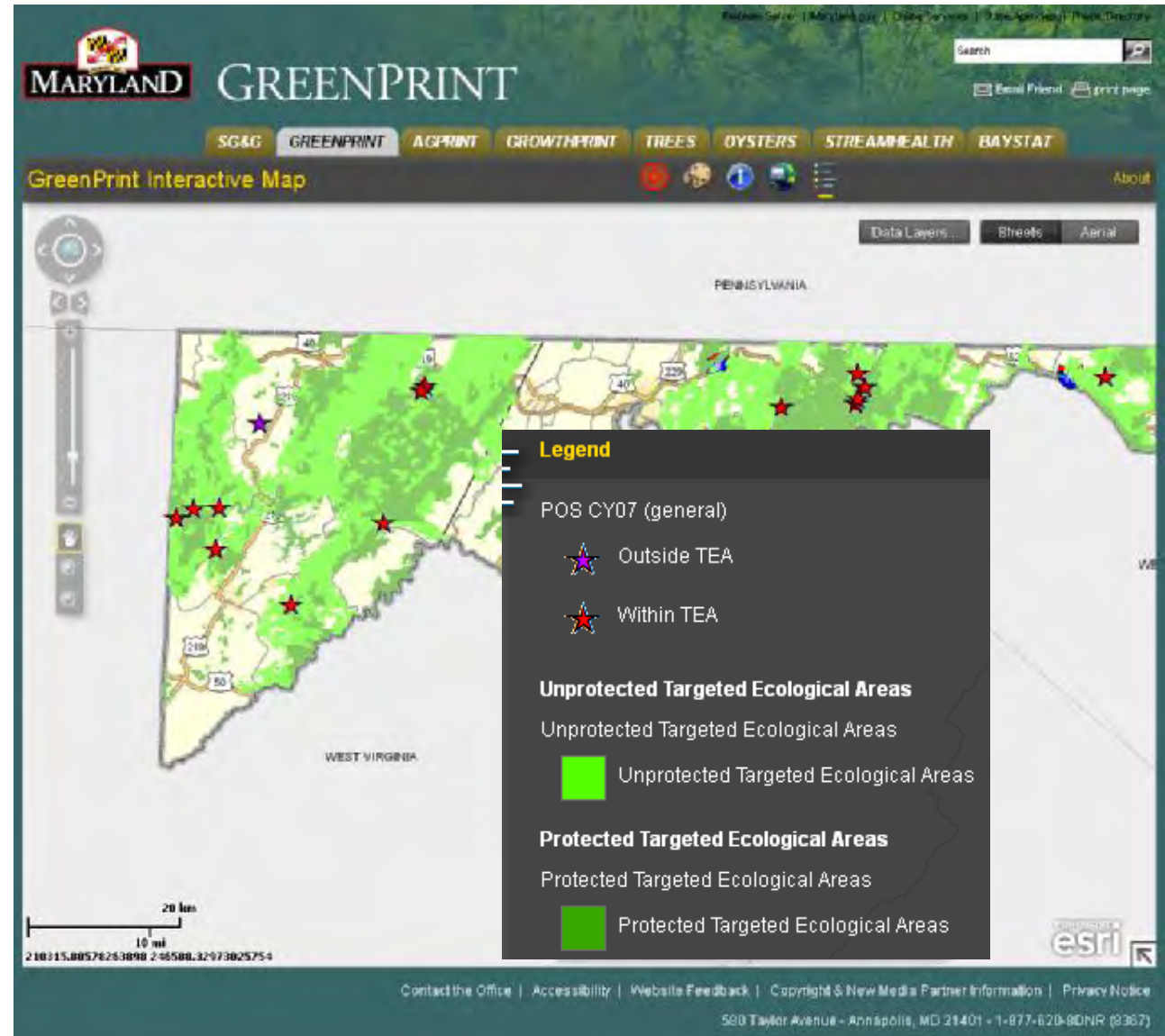
- GreenPrint represents the “Best of the Best” natural resource areas in the state



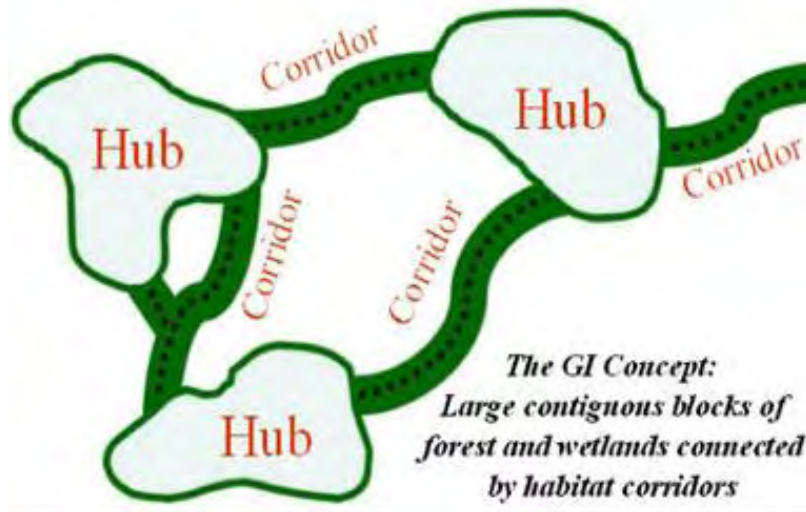
- A variety of GreenPrint natural resource priorities comprise Targeted Ecological Areas (TEAs) where conservation efforts are focused.
- In Garrett County, these include:
 - Green Infrastructure
 - Water Quality Protection
 - Sensitive Communities
 - Aquatic Life Hotspots

Landscape: GreenPrint and Targeted Ecological Areas

- Sets ecological targets and acquisition goals based on Green Infrastructure and other resource priorities
- Tracks success and measures accountability
- Provides a place for coordinating land conservation among all partners



Landscape: Habitat Networks

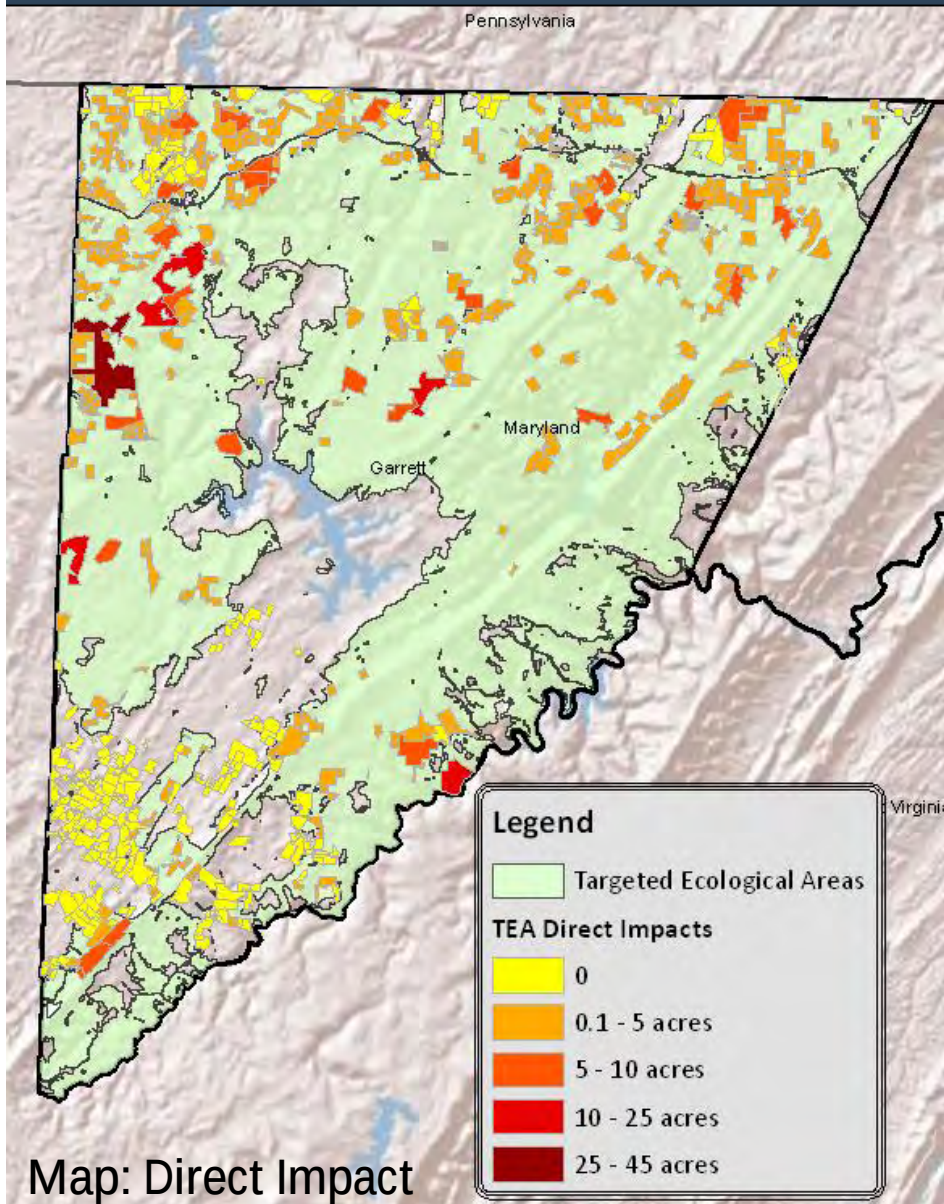


- Hubs – large, intact forest or wetland complexes that support important living resources
- Corridors – linear connections that help wildlife and people move between hubs

Garrett County –
Approximately 425,216 acres in the County
Approximately 290,304 acres of Green Infrastructure.

Some of the most important Green Infrastructure areas in the state are the large expanses of unbroken forest around Savage River and Green Ridge State Forests and the Youghiogheny Wild River in Garrett County

Landscape: Targeted Ecological Areas

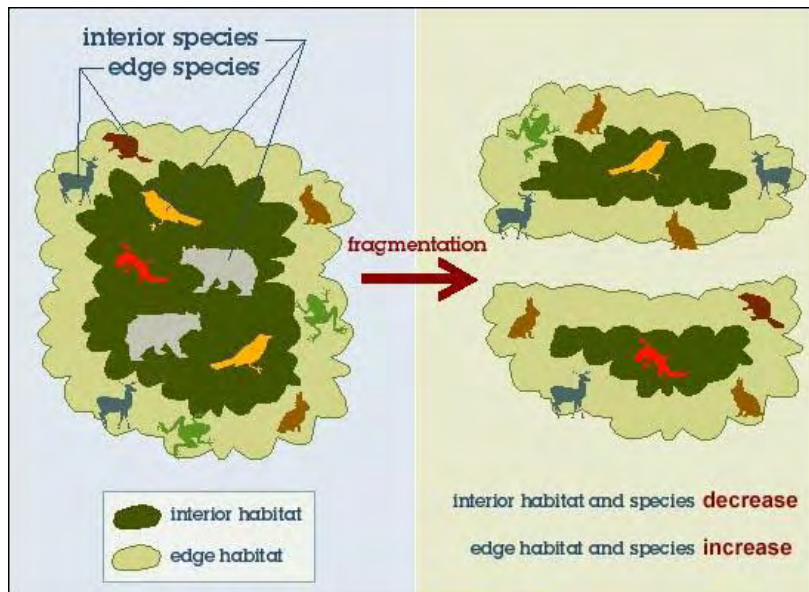
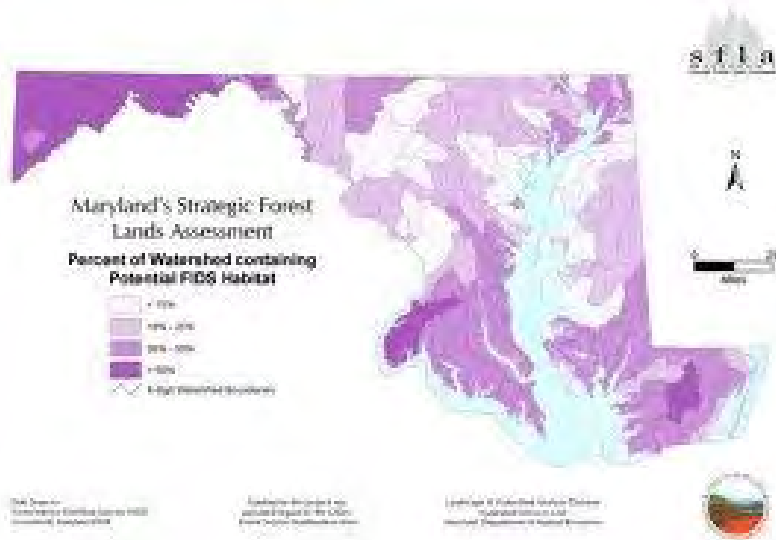


Direct Impact: Well site footprints alone may directly impact up to 5,155 acres of TEA landscapes

Associated Impacts: More than 42,417 acres of TEAs could be impacted. This potential impact will disproportionately impact currently unprotected TEA areas, resulting in a greater risk to these “best of the best” resources

MARYLAND DEPARTMENT OF NATURAL RESOURCES

Ecological Resources: Forest Interior Dwelling Species (FIDS)

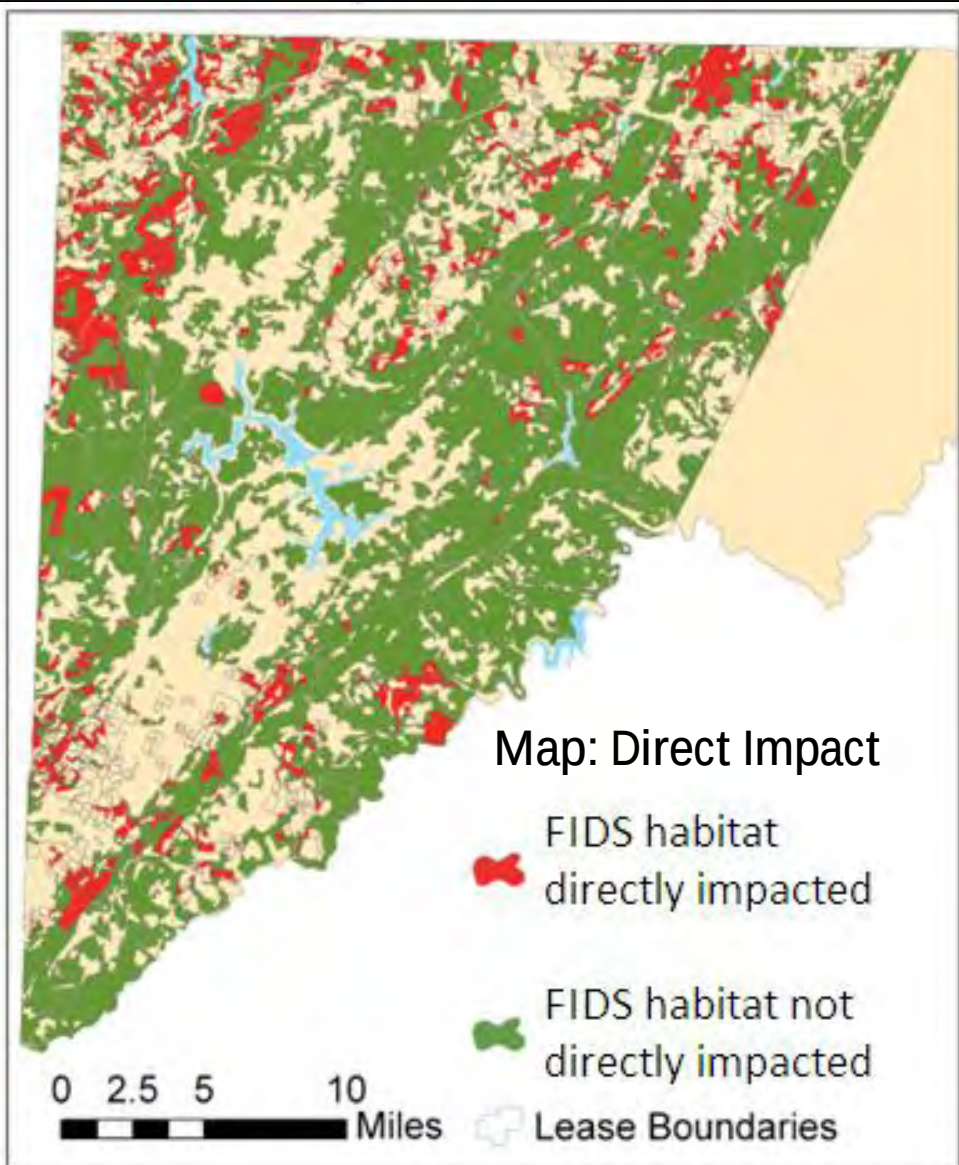


- High quality FIDS habitat is mature hardwood or mixed hardwood-pine forest tracts of at least 100 acres with core interior habitats
- Existing riparian forests 300 feet wide adjacent to wetlands or waterways
 - Utilized as breeding areas
- Increased fragmentation of these areas results in losses of certain species and communities
- Garrett County has some of the best FIDS habitat in the State

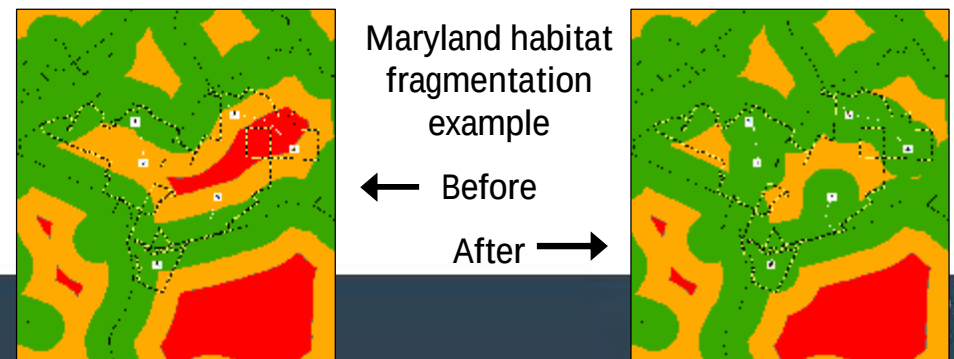
Examples of FIDS: Warblers, Tanagers, Vireos, Owls
Large mammals also utilize these large habitat areas

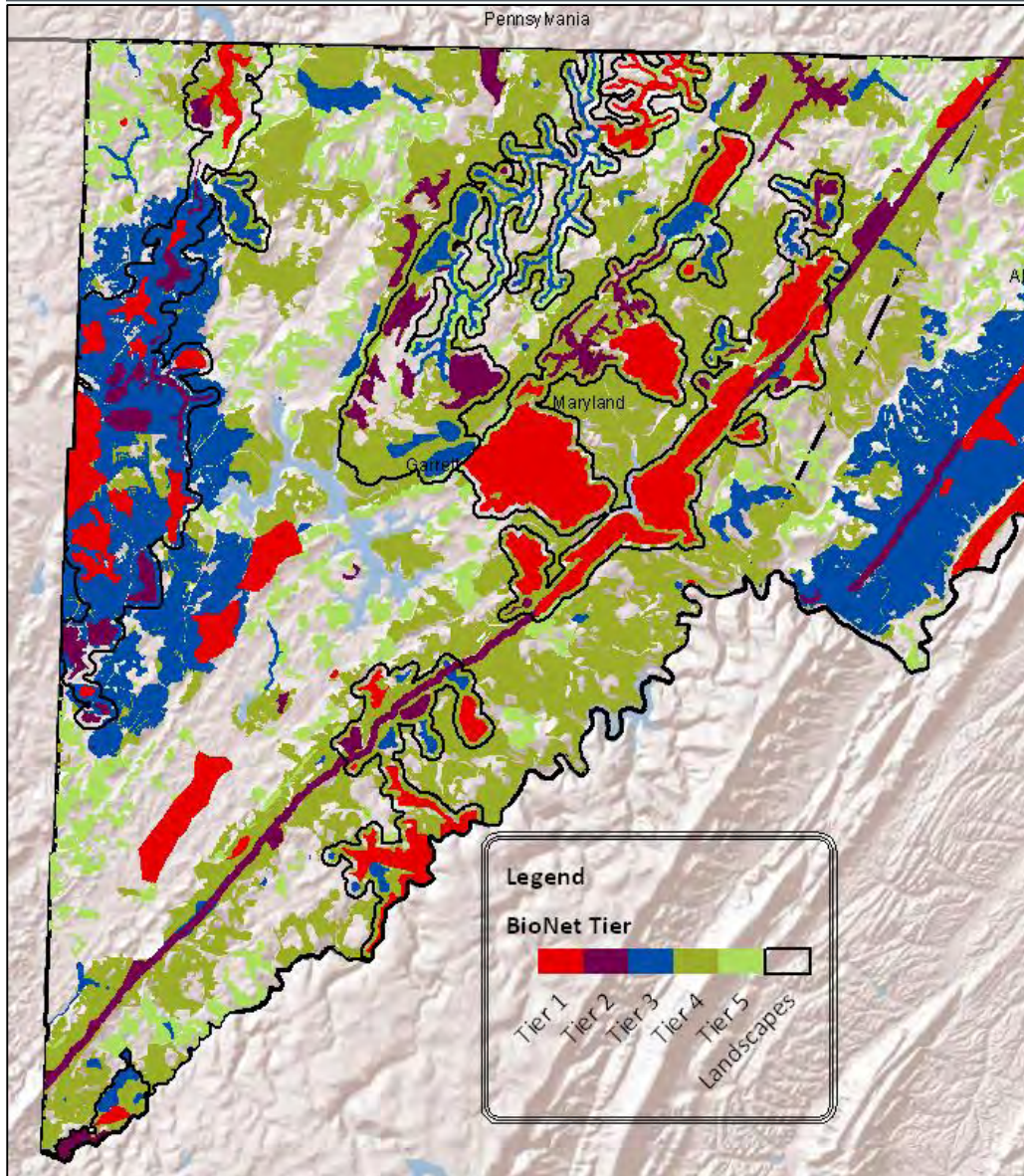
MARYLAND DEPARTMENT OF NATURAL RESOURCES

Ecological Resources: Forest Interior Dwelling Species (FIDS)



- Direct Impact: Well sites alone may directly impact more than 6,000 acres of FIDS habitat
- Associated Impact: FIDS habitat is impacted by reducing the size of interior habitat available for FIDS species. Fragmentation may impact hundreds of thousands of additional FIDS habitat acres due to associated fragmentation impacts.





...the most irreplaceable species and habitats
...habitats that concentrate larger numbers
of rare species

BioNet incorporates larger landscapes
required for migratory animals, population
dispersal, and habitat shifts resulting from
climate change

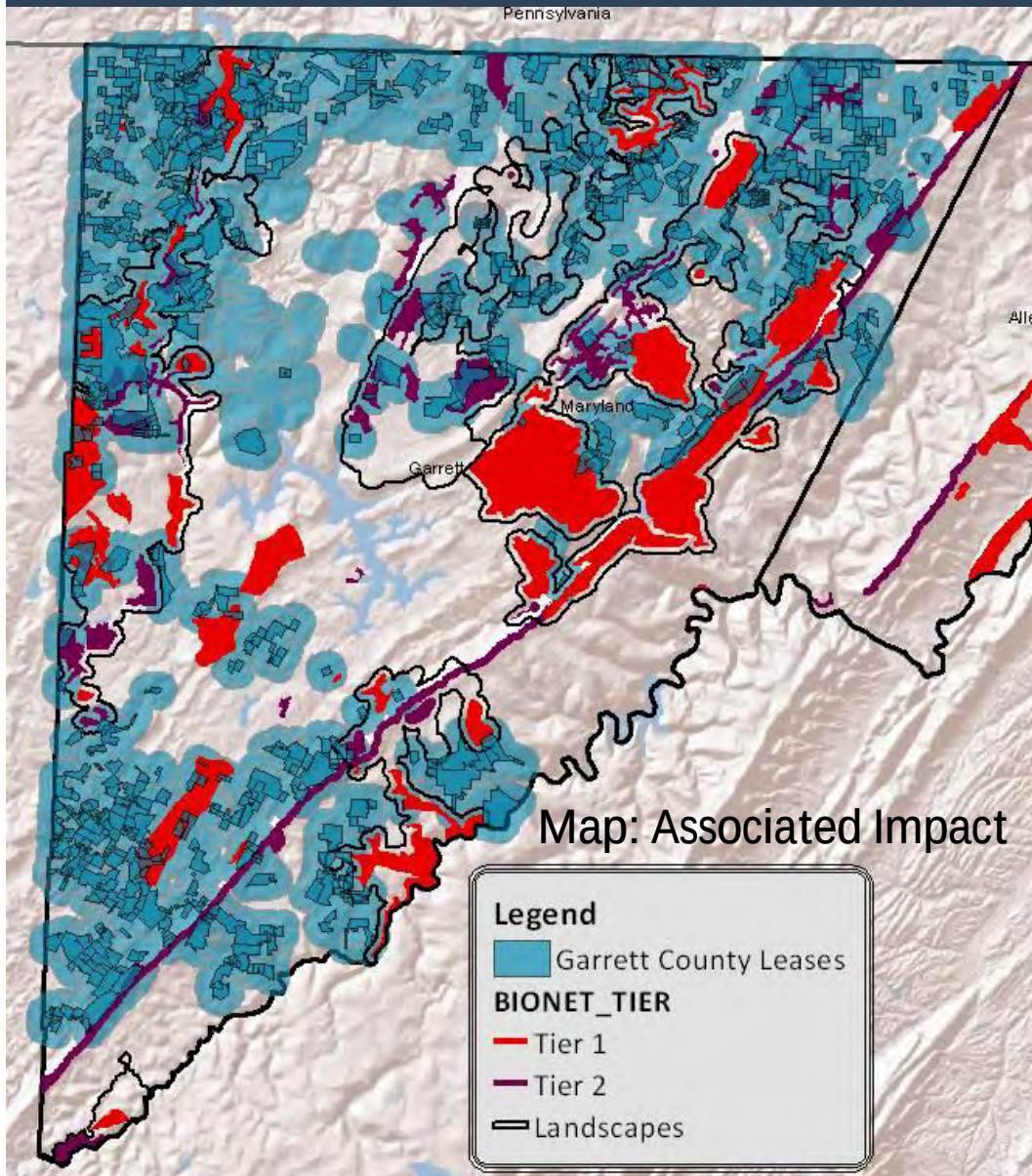
214

Current and historic rare, threatened and
endangered species

83

*Examples of Endangered Species in Garrett
County: northern goshawk, green salamander,
summer sedge, Indiana bat, eastern
hellbenders*

Ecological Resources: BioNet Tiers 1 & 2, Landscapes

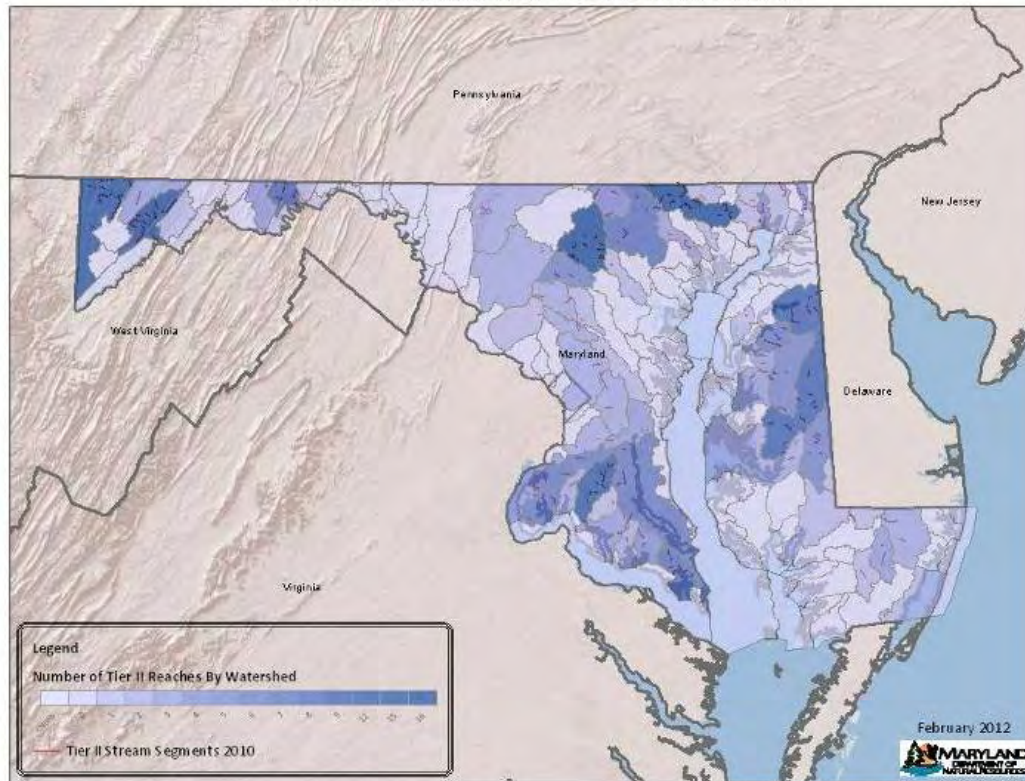


Direct Impact: Well sites may directly impact approximately 7,100 acres of Tiers 1 & 2 and landscape-level BioNet habitat

Associated Impact: Pipeline distribution and roads will impact additional BioNet species, landscapes and communities. Associated impacts to catchments around leases will impact more than 60% of Tiers I and 2 and landscape-level BioNet acreages.

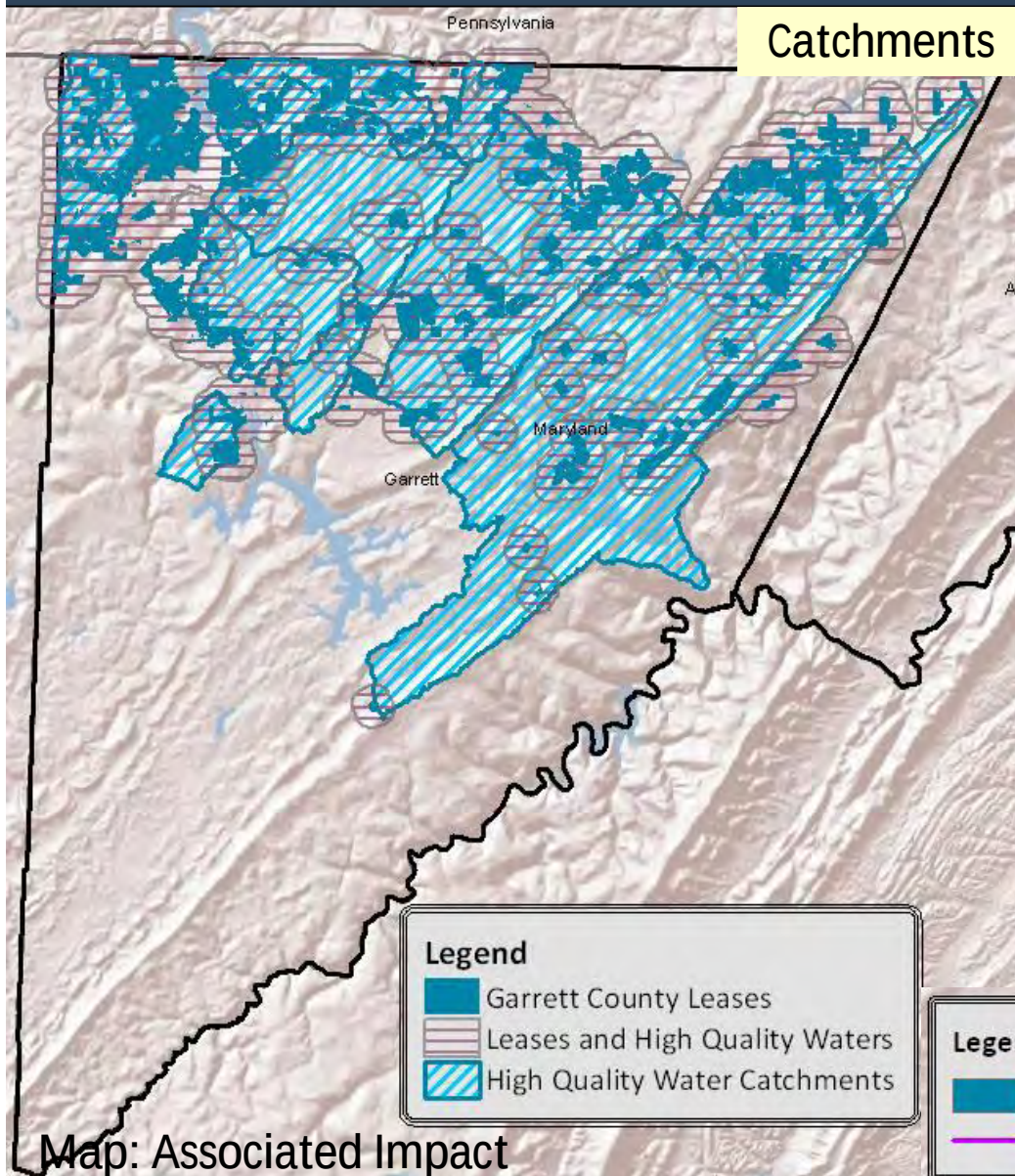
Ecological Resources: High Quality Streams

Marcellus Shale Advisory Commission - February 27, 2012

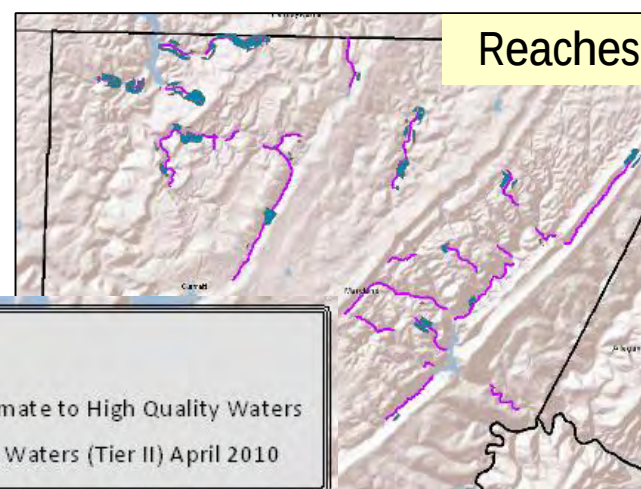


- Tier II streams are high quality streams that have healthy biological communities of fish and aquatic insects
- Maryland is required by the Clean Water Act to protect and maintain these existing high quality streams
- Garrett County has a high number of Tier II streams

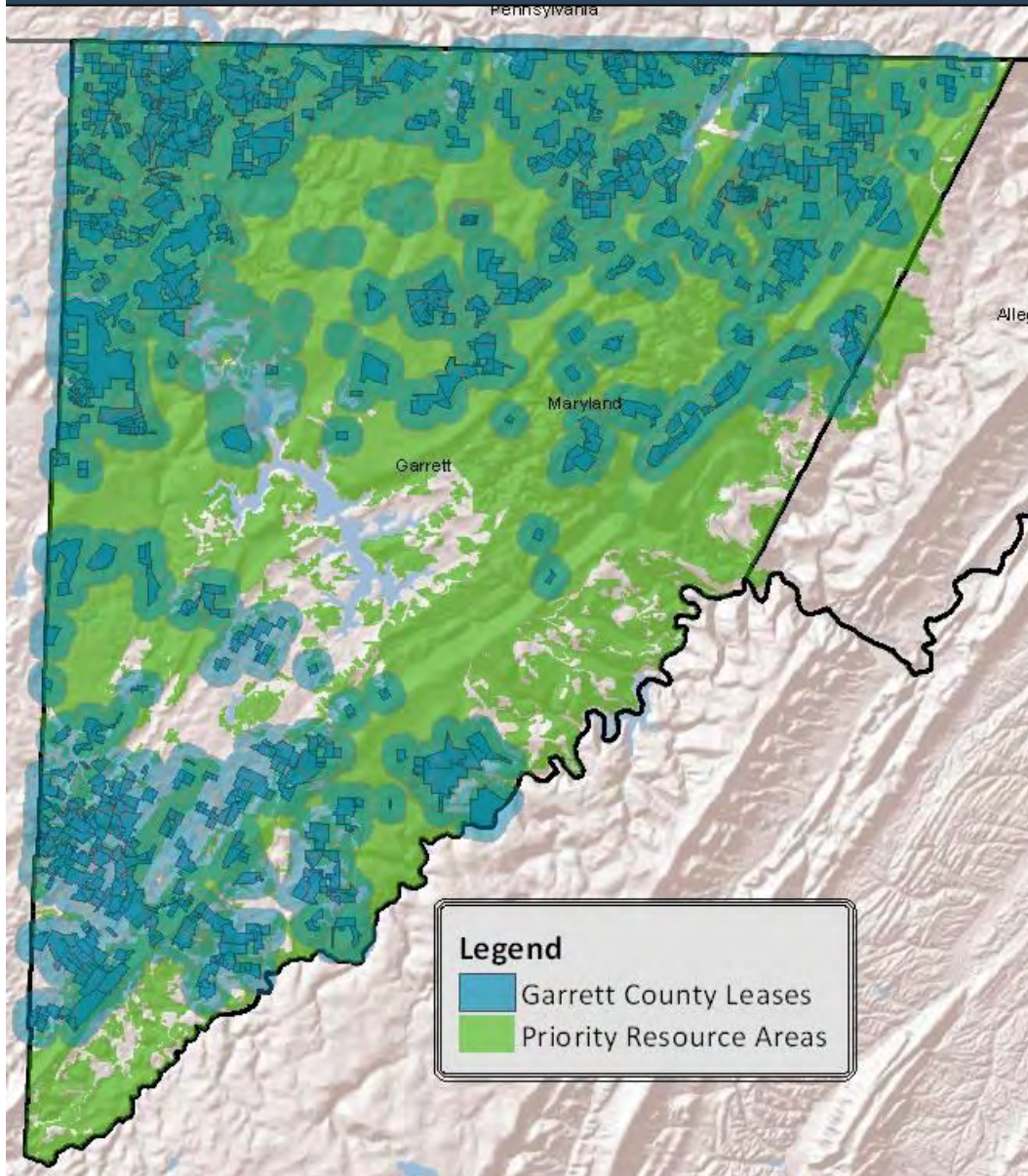
Ecological Resources: High Quality Streams



- Direct impact: Well sites may directly impact 1,802 acres in Tier II catchments. They may also affect approximately 22 of 33 Tier II stream reaches in the County.
- Associated Impact: Each well pad may affect 640 acres in its catchment. This translates to more than 105,585 of 163,019 (65%) total acres of the Tier II catchments potentially being impacted.



Leased Acres & Landscape and Ecological Resource Areas



Summary:

- More than 350,000 acres of Garrett County have at least one priority resource area designation
- Less than 10% of the leased areas do not overlap with any of these areas
- When associated fragmentation and catchment impacts are considered, the impact is even greater.

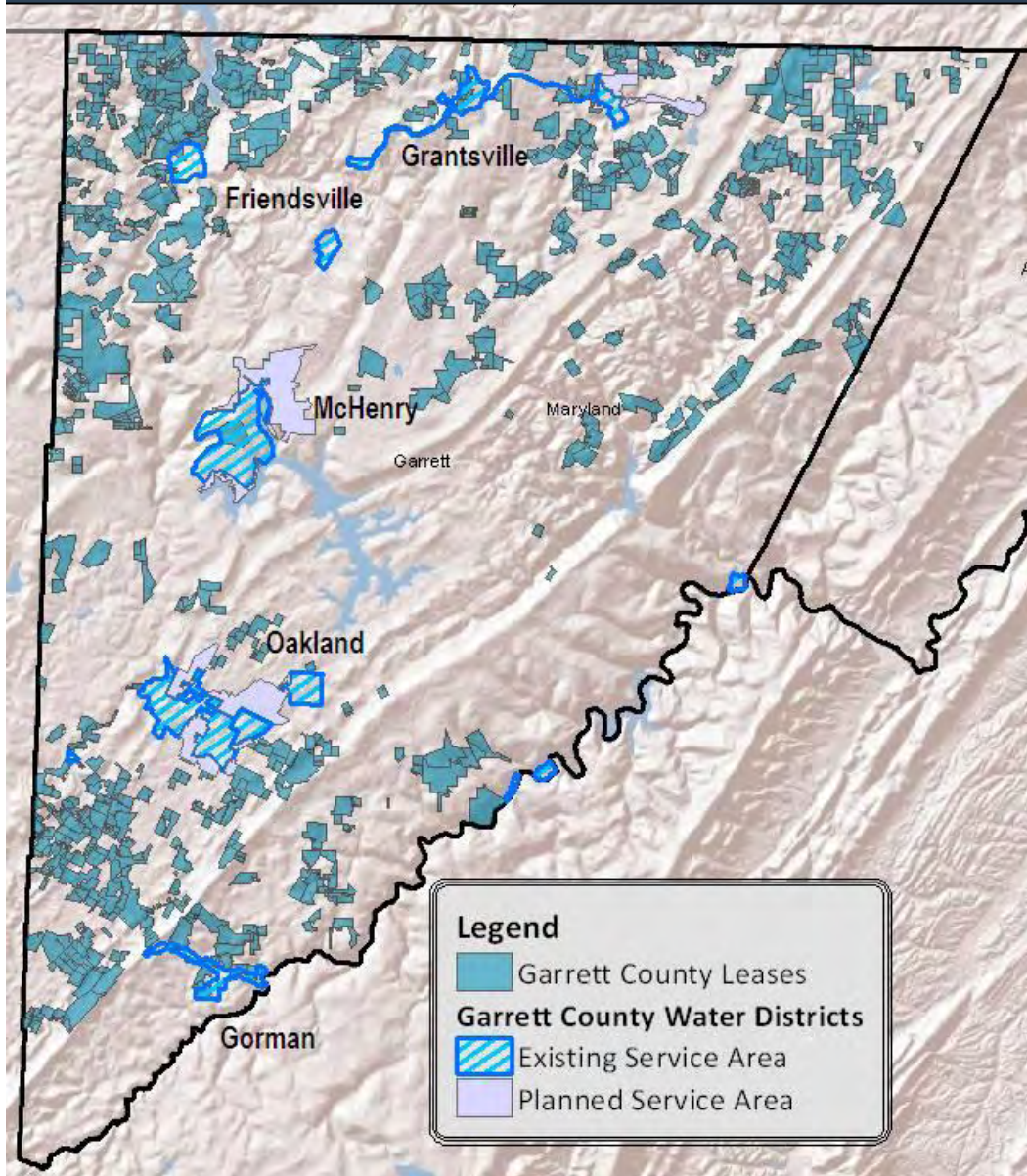
Water Supply

- All eight incorporated municipalities in Garret County offer public water and sewer - some from surface water, some from groundwater

*Accident, Deer Park, Friendsville,
Grantsville, Kitzmiller, Loch Lynn Heights,
Mountain Lake Park, Oakland*

- Remaining homes are serviced by wells
- The average well depth is approximately 200 feet but may range between 60–80 feet and 1,000 feet deep

Water Supply: Public Service Areas

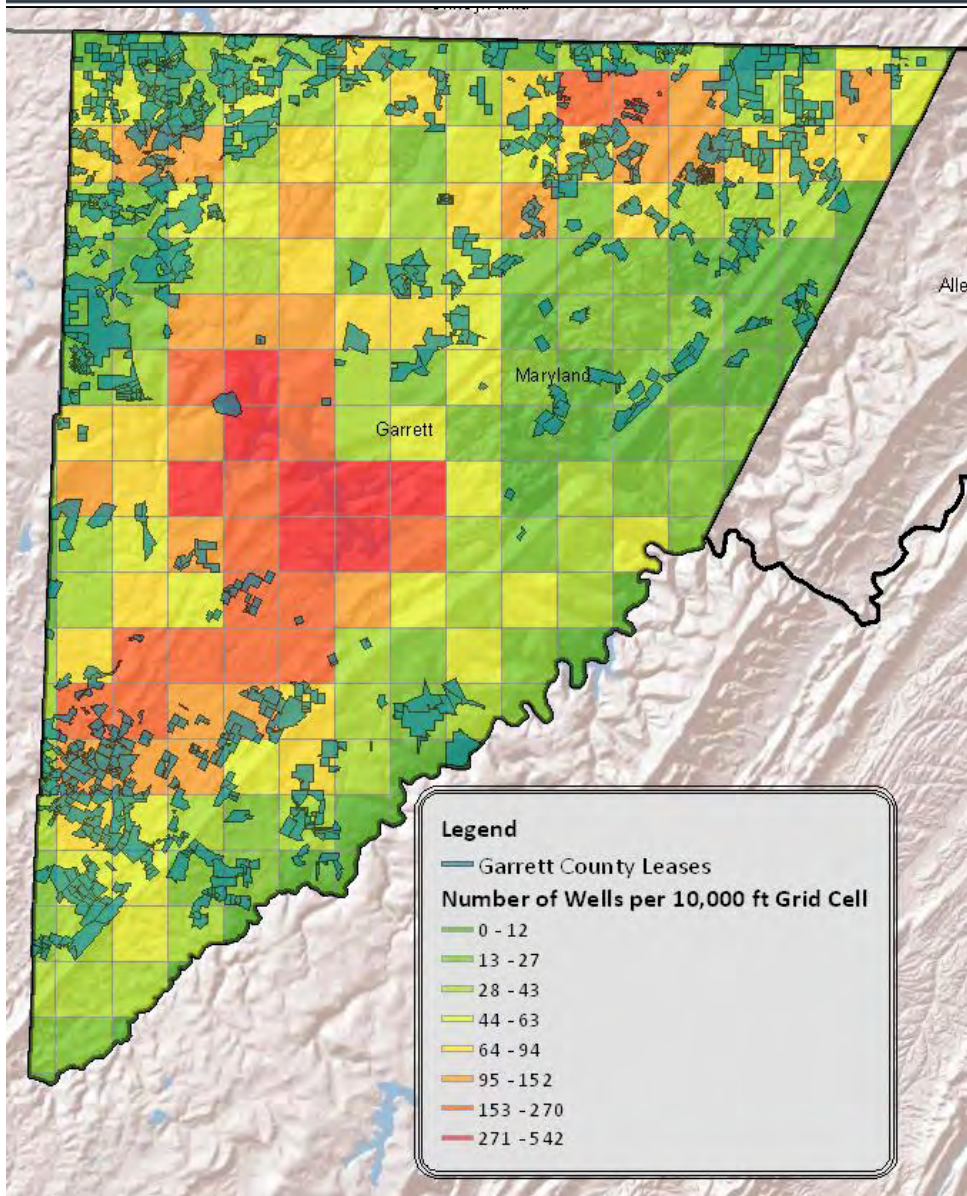


Direct impacts of lease development could potentially affect public water service areas in the following municipalities:

- Friendsville: ~55 acres or 8%
Surface water, Youghiogheny River
- Grantsville: ~328 acres or 16%
- McHenry: ~398 acres or 7%
- Gorman: ~200 acres or 22%

These estimates includes both existing and proposed service areas for these municipalities

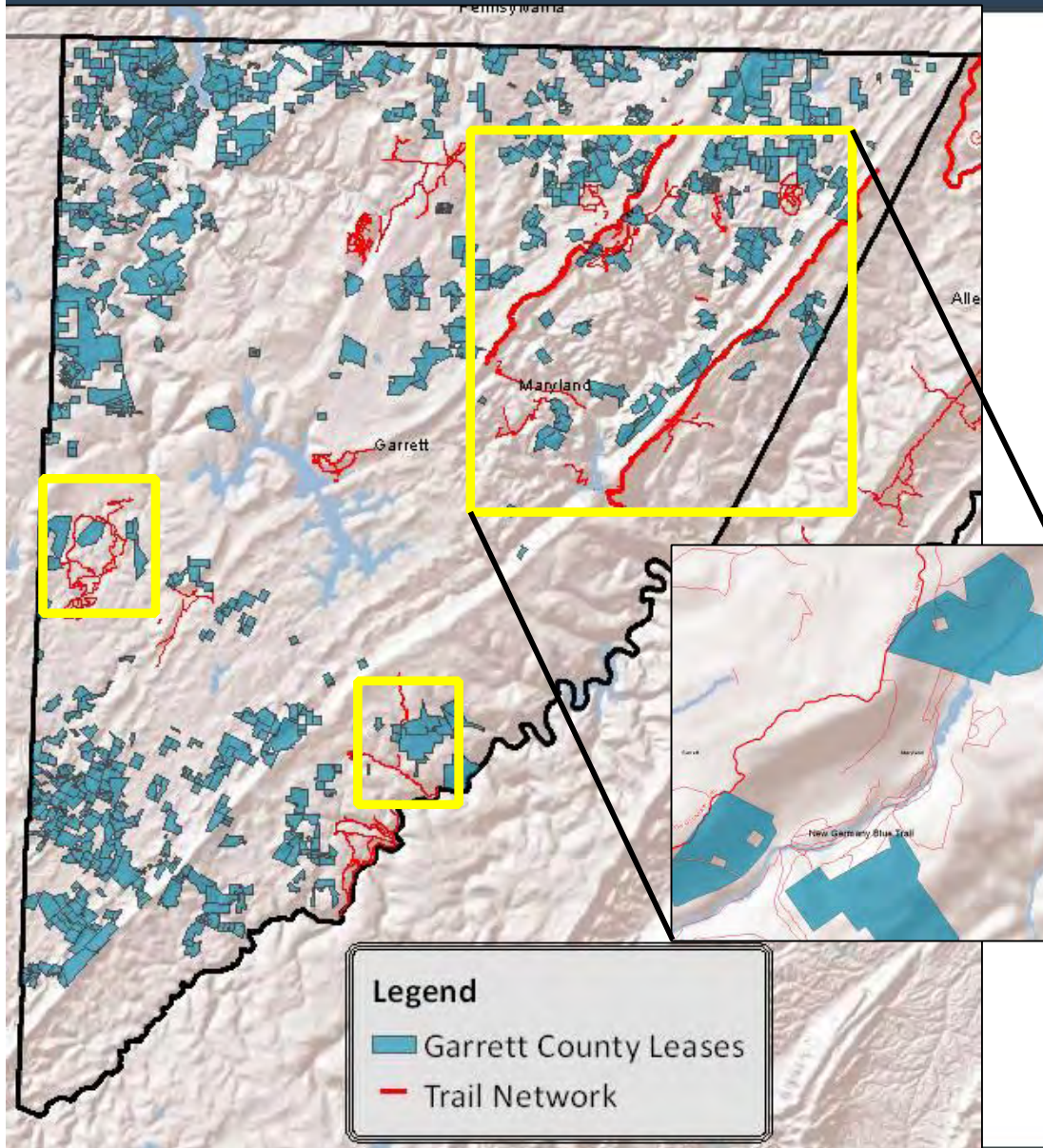
Water Supply: Private Well Concentrations



- Private wells are concentrated most heavily around McHenry, Grantsville and Oakland
- 14,264 well location records are currently available in Garrett County¹
- Approximately 8,250, or 58%, of these well records occur in grid cells that contain Marcellus shale gas leases

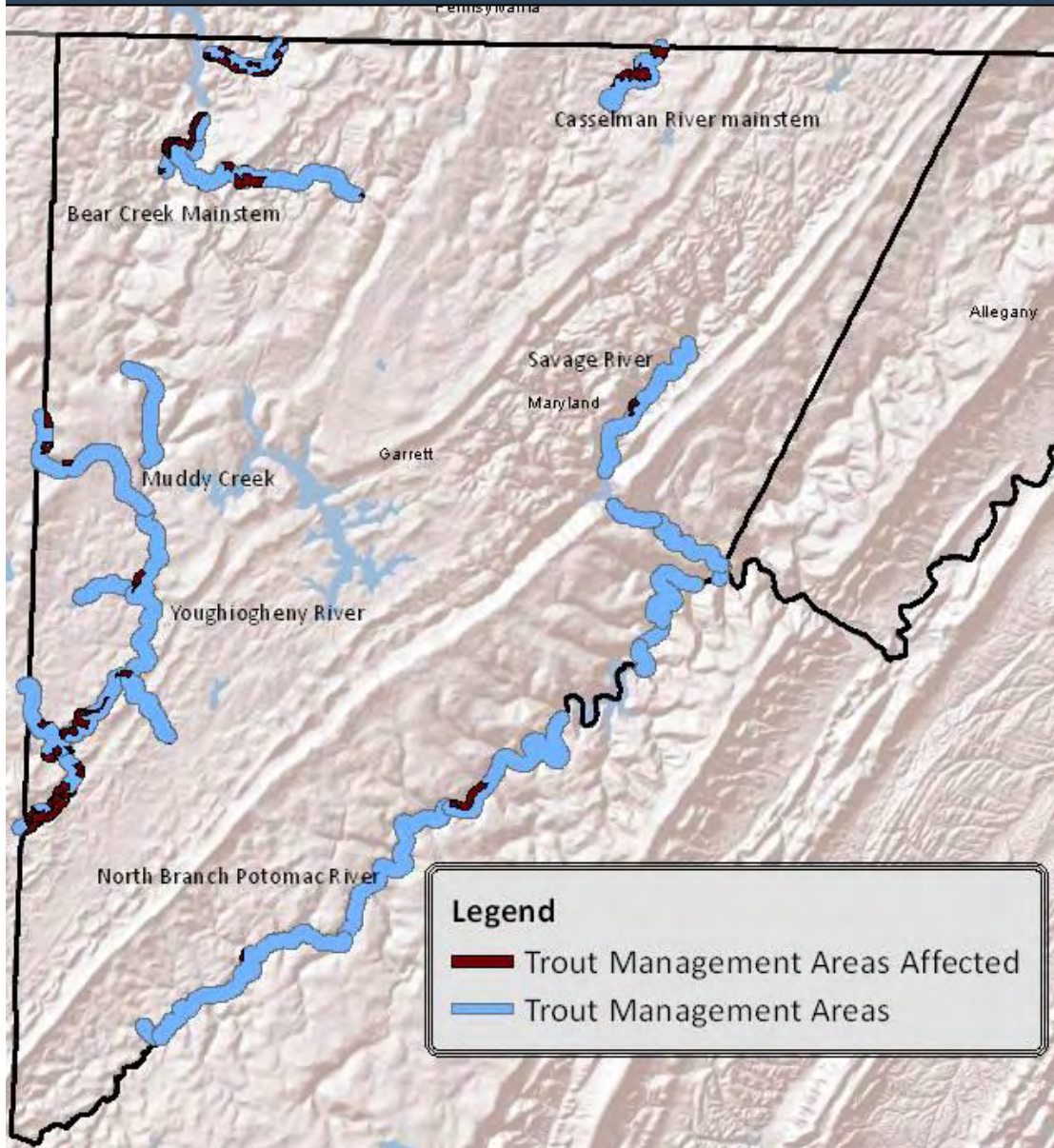
¹Maryland Geological Survey & MDE records

Recreation & Visual Impacts: Trail Networks



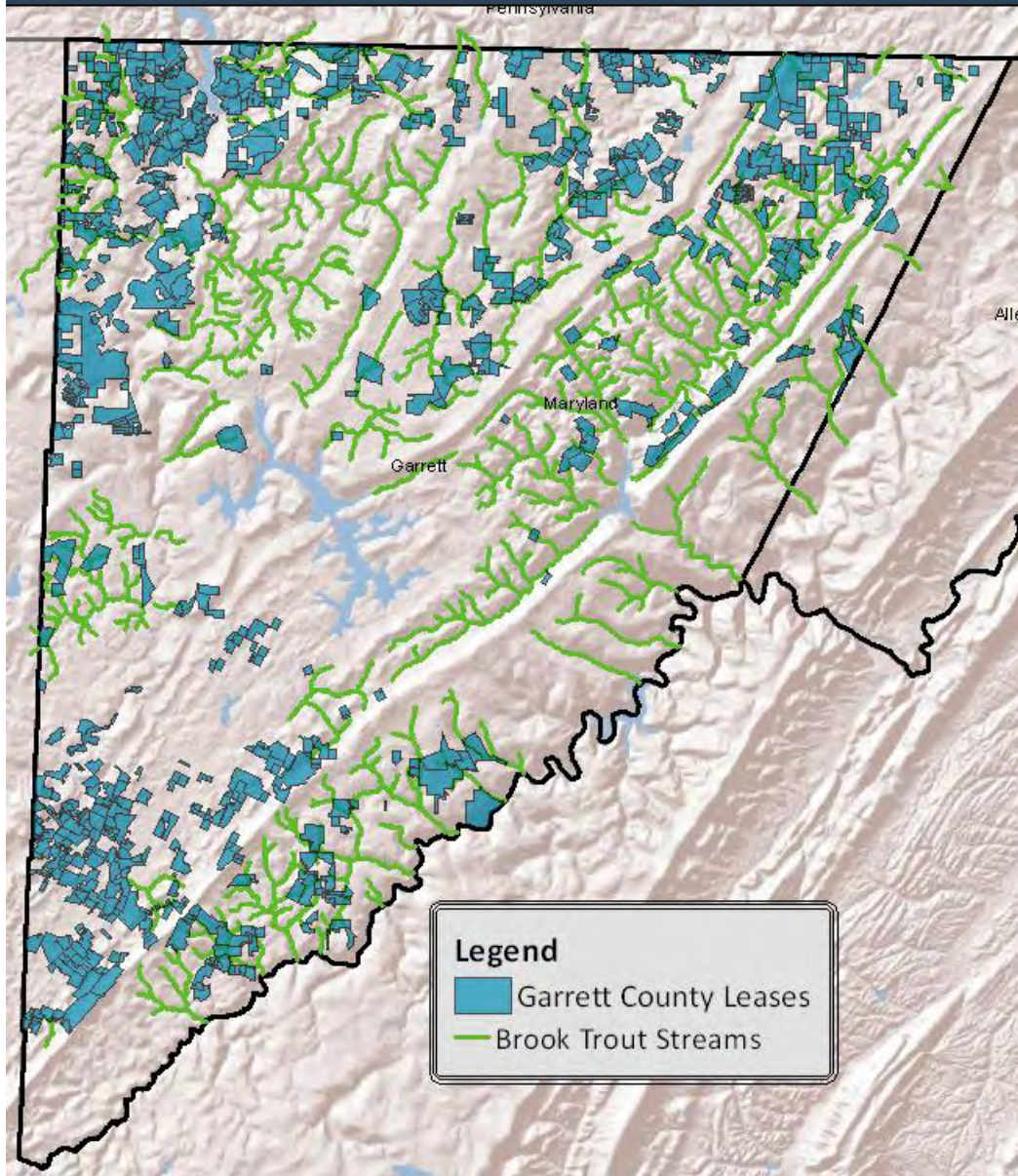
- A number of hiking and snowmobile trails may be bisected by lease development
- The Savage River State Forest trail network is most likely to be affected

Recreation & Visual Impacts: Trout Streams



- Direct impact: Shale gas development on nearly 1 of every 4 leases and an associated 22,000+ acres may affect access to or quality of key trout fishing areas and brook trout streams. These areas are put & take, trophy trout, delayed harvest or catch & release areas and habitat for Maryland's only native salmonid.
- Associated Impact: Changes in the surrounding landscape and impacts to the catchments of nearby leases may alter the water quality that support these high-quality, coldwater streams.

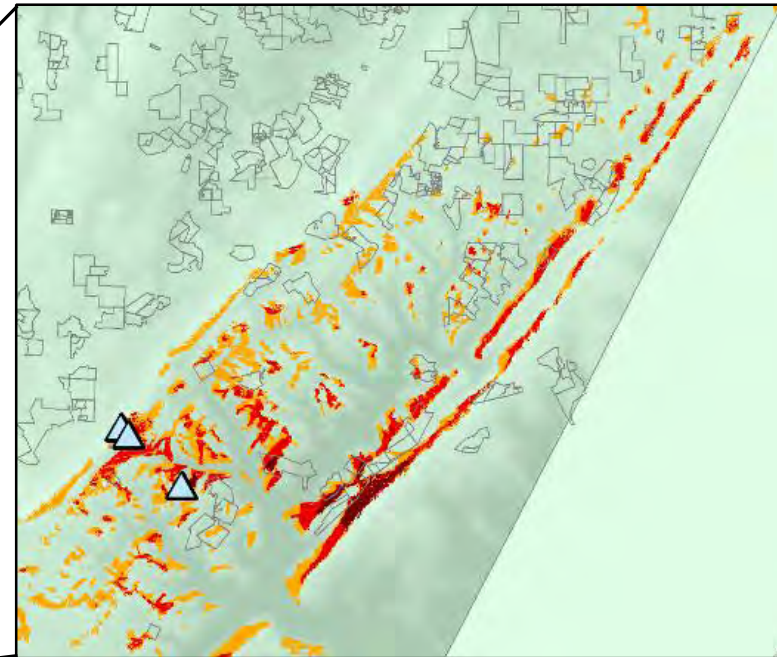
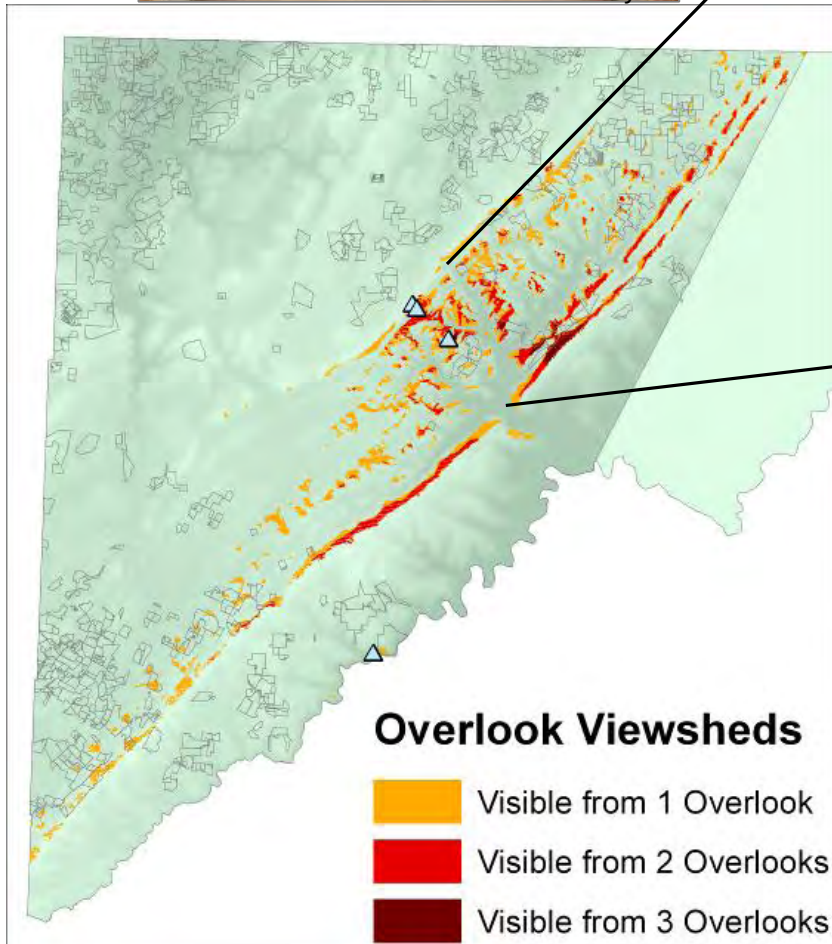
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MARYLAND DEPARTMENT OF NATURAL RESOURCES

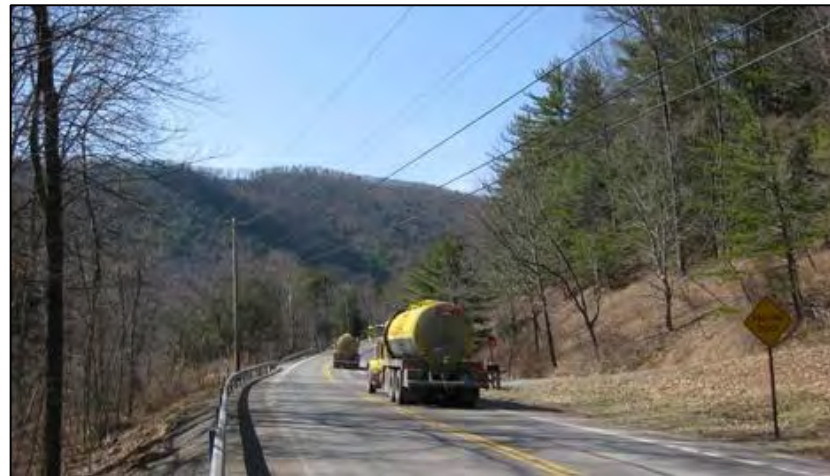
Recreation & Visual Impacts: State Forest Overlooks



- Five state forest overlooks in Garrett County
- Rigs may stand 20 feet above forest and be visible for miles
- Development on approximately 20 leases would impact skylines

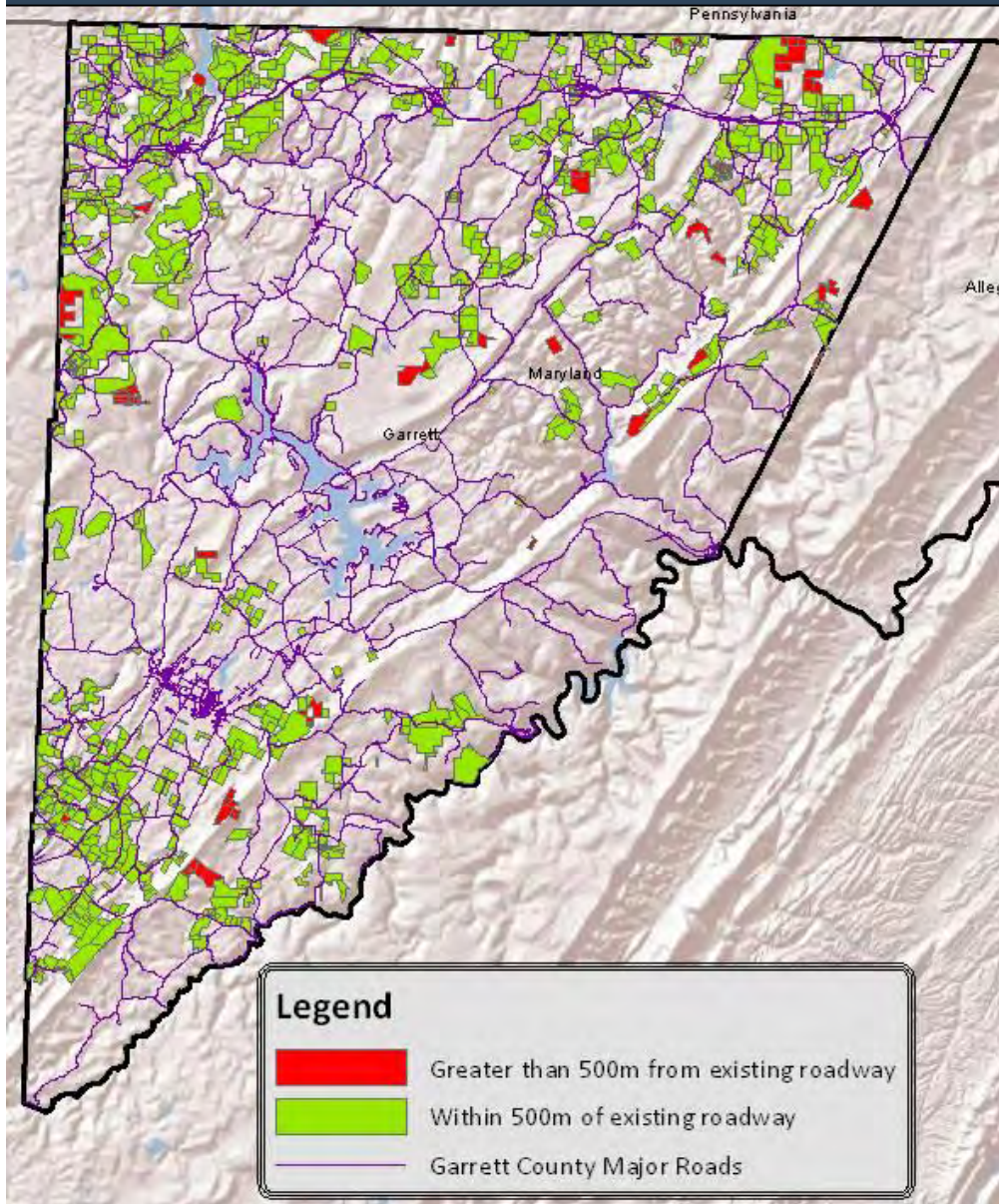
Pipelines & Roads: Distribution and Public Safety

- Proximity of well sites to existing road and pipeline infrastructure affects the potential impacts to natural resources



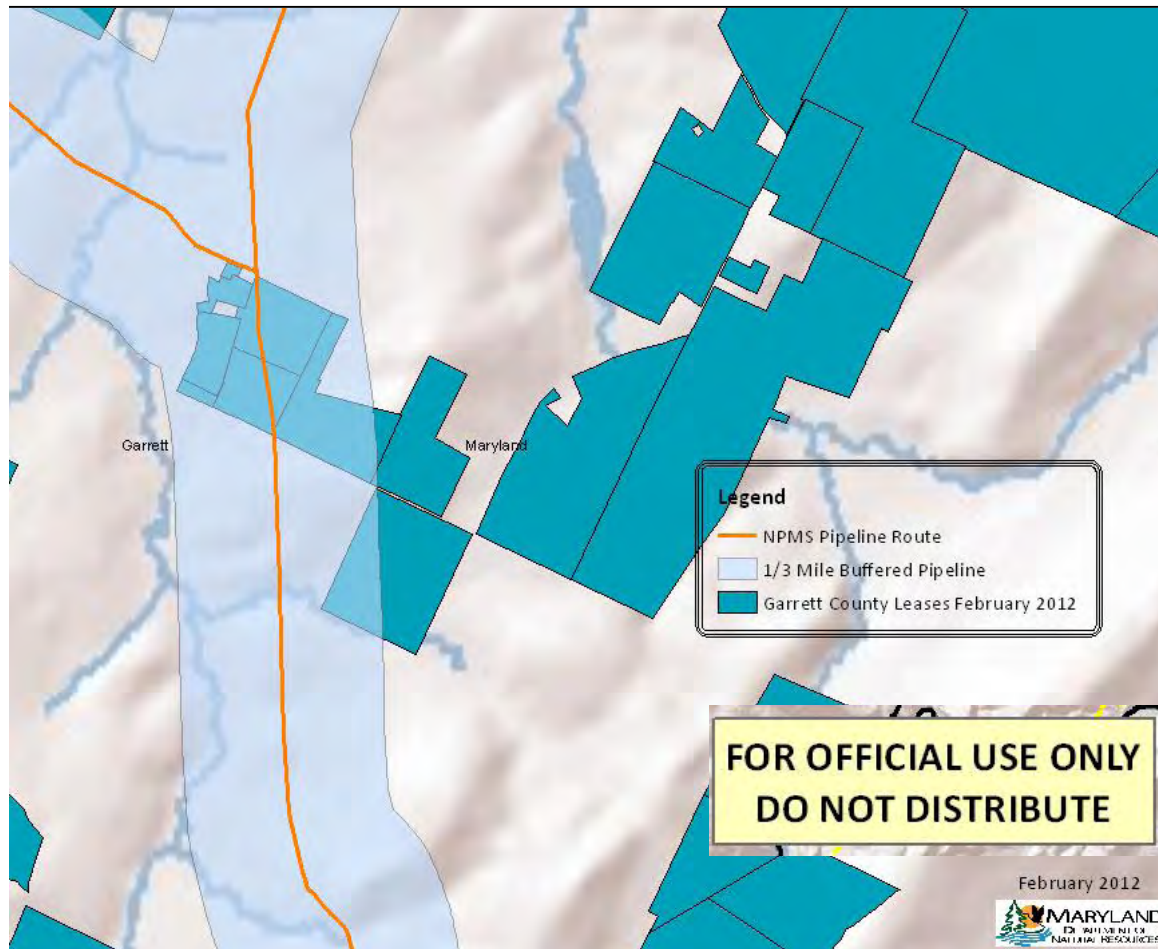
- Increase in truck traffic due to operations and distribution of gas and wastewater affects public safety

Pipelines & Roads: Lease Road Accessibility



- Majority of existing lease areas within 1/3 mile of an existing public road
- The proximity of a lease to existing roads reduces associated resource impacts by utilizing existing road infrastructure

Pipelines & Roads: Proximity to Existing Pipelines



Source Data:

Garrett County Economic Development leases February 2012

National Pipeline Mapping System (NPMS) – For Official Use Only

Scale = 1:20,000

Gas transmission and hazardous liquid pipelines

- 106 leases, or 9%, intersect with existing pipelines over 132 miles.
- 405 leases, or 33%, are located within 1/3 mile of an existing transmission pipeline



Marcellus Shale Resource Analysis

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This *work-in-progress* analysis is an initial step toward identifying the areas that may be most or least impactful to natural resources

Questions?

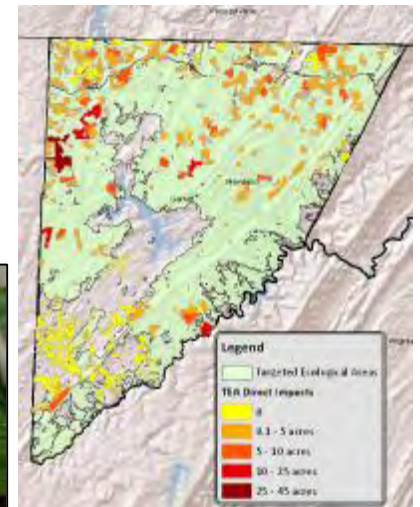
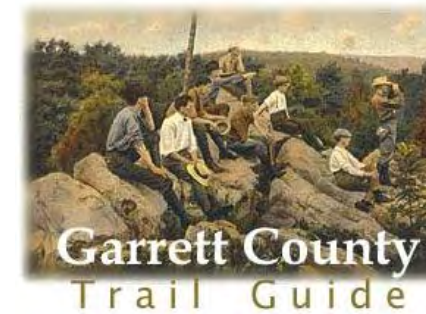


Exhibit 6

BIONET: Biodiversity Conservation Network

Wildlife and Heritage Service, Natural Heritage Program
January 8, 2016

Since 1980, DNR's Natural Heritage Program (NHP) has been collecting, managing, analyzing, and distributing spatial data regarding the habitats of the state's rarest plants and animals, as well as high quality and rare natural communities and other living resources of conservation concern, including:

- 1,000 Rare, Threatened or Endangered plants and animals (over 350 of these are Endangered)
- 1,500 places where Rare, Threatened or Endangered species live (called Ecologically Significant Areas)
- 200 additional animals of Greatest Conservation Need
- 200 Watch List plants
- 27 of 75 ecological communities considered Rare in Maryland

Currently, the NHP has developed a number of digital map products designed for somewhat different purposes and different target audiences. Natural Heritage Areas, Critical Area Habitat Protection Areas, Ecologically Significant Areas, and Sensitive Species Project Review Areas are examples of products developed for various protection-related needs. For example, Sensitive Species Project Review Areas are designed specifically for reviewing proposed development projects. However, our current need is for a product that better integrates our information and prioritizes Maryland's vanishing natural landscape to highlight those areas that are important to conserve the full complement of species and natural communities currently found within the State.

BioNet is a digital map (GIS shapefile) that prioritizes areas for terrestrial and freshwater biodiversity conservation. It was developed as an additional tool for the Natural Heritage Program and its conservation partners to use for proactive land conservation activities, such as targeting for acquisitions and easements, locating appropriate areas for project mitigation or habitat restoration, and planning for areas that require management to sustain dwindling species and habitats. The criteria used within BioNet primarily have a dual focus on both the most irreplaceable species and habitats, as well as on the habitats that concentrate larger numbers of rare species. In addition to focusing on vanishing species and habitats, and on high quality common habitats, the criteria also were designed to incorporate the larger landscapes required for migratory animals, population dispersal, and habitat shifts resulting from climate change.



In summary, BioNet includes and prioritizes:

- Only known occurrences of species and habitats
- Globally rare species and habitats

- State rare species and habitats
- Animals of Greatest Conservation Need
- Watch List plants and indicators of high quality habitats
- Animal assemblages (e.g., colonial nesting waterbirds, forest interior species)
- Hotspots for rare species and habitats
- Intact watersheds
- Wildlife corridors and concentration areas

These areas are prioritized into a five-tiered system:

Tier 1 – Critically Significant for Biodiversity Conservation

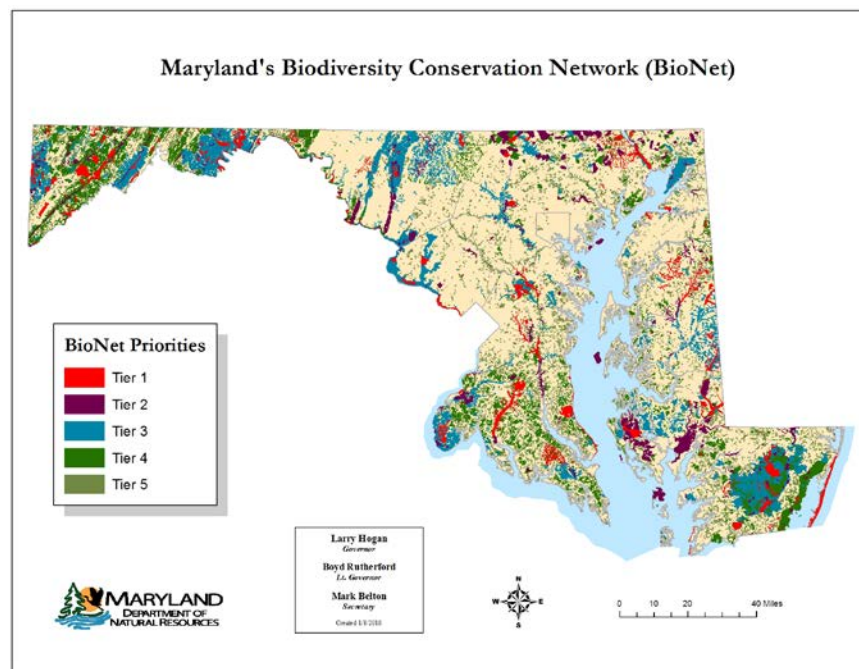
Tier 2 – Extremely Significant for Biodiversity Conservation

Tier 3 – Highly Significant for Biodiversity Conservation

Tier 4 – Moderately Significant for Biodiversity Conservation

Tier 5 – Significant for Biodiversity Conservation

This five-tiered system was designed to capture and support the full array of biological diversity within Maryland – not just those places that are one-of-a-kind, but also the places that are needed to maintain viable populations of more common species. Keeping common species common is a goal that will provide enormous benefits to both our quality of life and our economy. We simply cannot afford to wait until herculean efforts are necessary to save species from the brink of extinction. The costs of these efforts are staggering. Therefore, even Tier 5 BioNet Areas are still significant to conserve, both for the species they directly support, as well as for maintenance of the larger fabric of our natural landscape.



Version one of BioNet is available, and updates are currently in progress. A number of data gaps exist in version one that will be addressed in future versions. Most of these data gaps involve animals of Greatest Conservation Need, Watchlist plants, and high quality common habitats. For more information, contact Lynn Davidson (lynn.davidson@maryland.gov; or 410-260-8563). Download this GIS data layer from the "Focal Areas" section of:

<http://dnrweb.dnr.state.md.us/gis/data>

Exhibit 7



**PETITION TO LIST 404 AQUATIC, RIPARIAN AND WETLAND SPECIES FROM THE
SOUTHEASTERN UNITED STATES AS THREATENED OR ENDANGERED UNDER THE
ENDANGERED SPECIES ACT**

Center for Biological Diversity

April 20, 2010

April 20, 2010

TO: Mr. Ken Salazar
Secretary of the Interior
18th and "C" Street, N.W.
Washington, D.C. 20240

Mr. Gary Locke
Secretary of Commerce
1401 Constitution Avenue, N.W.
Washington, DC 20230

Cynthia Dohner, Regional Director
U.S. Fish and Wildlife Service, Southeast Region
1875 Century Blvd., Suite 400
Atlanta, GA 30345

Dear Secretary Salazar and Secretary Locke:

Pursuant to Section 4(b) of the Endangered Species Act ("ESA"), 16 U.S.C. §1533(b), Section 553(3) of the Administrative Procedures Act, 5 U.S.C. § 553(e), and 50 C.F.R. §424.14(a), the Center for Biological Diversity, Alabama Rivers Alliance, Clinch Coalition, Dogwood Alliance, Gulf Restoration Network, Tennessee Forests Council, West Virginia Highlands Conservancy, Tierra Curry and Noah Greenwald hereby formally petition the Secretaries of Interior and Commerce to list 404 aquatic, riparian and wetland species from the southeastern U.S. as Threatened or Endangered species and to designate critical habitat concurrent with listing.

Petitioners file this petition under the Endangered Species Act, 16 U.S.C. sections 1531-1543 (1982). This petition is filed under 5 U.S.C. section 553(e), and 50 C.F.R. part 424.14 (1990), which grants interested parties the right to petition for issuance of a rule from the Assistant Secretary of the Interior. The petitioners request that Critical Habitat be designated as required by 16 U.S.C. 1533(b)(6)(C) and 50 CFR 424.12, and pursuant to the Administrative Procedures Act (5 U.S.C. 553). Petitioners realize this petition sets in motion a specific process placing definite response requirements on the FWS and very specific time constraints upon those responses.

The U.S. Fish and Wildlife Service (FWS) has long recognized the benefit of providing protection for multiple species for improving efficiency of listing and recovery and ultimately protection of ecosystems. In 1976, for instance, the FWS issued several proposed rules to list multiple species based on common threats, ecosystems, habitats, taxonomy, or other factors (e.g., USDI FWS 1976). In 1992, the FWS itself stated in a legal Settlement Agreement (1992) that:

Defendants [FWS] recognize that a multi-species, ecosystem approach to their listing responsibilities under the ESA will assist them in better analyzing the common nature and magnitude of threats facing ecosystems, help them in understanding the relationships among imperiled species in ecosystems, and be more cost-effective than a species-by-species approach to listing responsibilities.

In 1994, the FWS (1994) specifically stated its policy to undertake “Group listing decisions on a geographic, taxonomic, or ecosystem basis where possible” (p. 34724). In furtherance of this policy, the FWS (1994) developed listing guidance that specifically encourages “Multi-species listings...when several species have common threats, habitat, distribution, landowners, or features that would group the species and provide more efficient listing and subsequent recovery” (p. iv). Accordingly, we hereby petition for 404 aquatic, riparian and wetland species under the Endangered Species Act.

PETITIONERS:

The Center for Biological Diversity is a nonprofit conservation organization with 255,000 members and online activists dedicated to the protection of endangered species and wild places. <http://www.biologicaldiversity.org>

The Alabama Rivers Alliance seeks to protect Alabama's rivers through water quality and quantity policy advocacy, grassroots organizing, and the providing of information to citizens in order to achieve clean and healthy watershed ecosystems, healthy people, strong economies, and a functioning democratic system of government in Alabama.

The Clinch Coalition is a grassroots organization located in Southwest Virginia committed to the protection and preservation of the forest, wildlife, and watersheds in our National Forest and surrounding communities for present and future generations.

Dogwood Alliance works to protect the forests and communities of the Southern United States by building diverse support to end destructive industrial forestry practices.

The Gulf Restoration Network, headquartered in New Orleans, works to unite and empower people to protect and restore the natural resources of the Gulf of Mexico for future generations. Founded in 1994, the Network has successfully established a unique regional alliance with over 40 group and thousands of individual members across the Gulf and established itself as a major participant in the debate over the environment of the region.

Tennessee Forests Council is a unification of citizens, environmental, conservation and grassroots organizations representing over 12,000 Tennesseans. These organizations have come together for the common purpose of protecting the forests of Tennessee through progressive forest policy reform that brings forest extraction methods and rates into balance with ecological integrity. TFC bases its positions on sound forest science and economic principles.

The West Virginia Highlands Conservancy was the first membership organization devoted to protecting the natural environment of the Mountain State. Since 1965, the Highlands Conservancy has worked to secure wilderness areas and special places on the Monongahela National Forest, and it has been a leader in the fight to rein in the worst practices of the coal industry. Protecting clean air, clean water, forests, streams, mountains, and the health and welfare of the people who live here is what the Highlands Conservancy is all about. It publishes a Hiking Guide and a monthly newspaper, The Highlands Voice.

Cover Photo: Holiday darter (*Etheostoma brevirostrum*) from Shoal Creek by Noel Burkhead.

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INTRODUCTION

North American freshwater ecosystems and the many species they support are one of the most threatened ecosystems on the planet. During the Twentieth Century, at least 123 species of freshwater fishes, mollusks, crayfishes, and amphibians went extinct in North America, and hundreds more aquatic species are now imperiled (Ricciardi and Rasmussen 1999, Williams et al. 1992). Based on current trends, Ricciardi and Rasmussen (1999) model a future extinction rate of four percent per decade for North American freshwater fauna, stating, “North American freshwater biodiversity is diminishing as rapidly as that of some of the most stressed terrestrial ecosystems on the planet” (Ricciardi and Rasmussen 1999, p. 1221). The projected extinction rate for U.S. freshwater animals is five times that of terrestrial animals, and is comparable to the extinction rate for tropical rainforests (Herrig and Shute 2002). Nowhere is this extinction crisis more apparent than in the southeastern United States where the combination of an incredibly rich fauna, pervasive threats and few existing protections are leading to the demise of hundreds of aquatic species.

In North America and indeed the world, the southeastern United States is a hotspot for aquatic biological diversity, containing an unparalleled diversity of fauna (Folkerts 1997, Neves et al. 1997, Stein et al. 2000). The southeast, for example, harbors 493 species of fish, which is 62 percent of all U.S. species, at least 269 species of mussel, which is 91 percent of all U.S. species, and 241 species of dragonflies and damselflies, which is 48 percent of all North American species (Folkerts 1997, Morse et al. 1997, Neves et al. 1997, Warren et al. 1997). The southeast also harbors over two-thirds of North America’s 405 species and subspecies of crayfish, more aquatic reptiles than any other region with 30 species of aquatic turtle and 17 species of aquatic snake, and more amphibian species than any other region with 178 recognized species and new species continuing to be described (Buhlmann and Gibbons 1997, Dodd 1997, Taylor et al. 2007, Camp et al. 2009).

Unfortunately, much of the rich aquatic fauna of the southeast is threatened. Greater than 70 percent of mussels, 48 percent of crayfishes and 28 percent of fishes are considered endangered, threatened or of special concern by the American Fisheries Society (Williams et al. 1992, Taylor et al. 2007, Jelks et al. 2008). The major factors in this high degree of imperilment include dams, logging, urban sprawl, mining, poor agricultural practices, pollution, and invasive species (e.g. Folkerts 1997, Neves et al. 1997, Williams et al. 2008). The Coosa River in Georgia and Alabama, for example, is believed by scientists to “hold the dubious distinction of having more recent extirpations and extinctions of aquatic organisms than any other equally-sized river system in the United States,” with the loss of 38 species of endemic aquatic snails and a number of fish species mostly caused by a series of large impoundments, pollution, and logging (Burkhead et al. 1997).

Despite the high rate of imperilment and imminent and growing threats to aquatic ecosystems in the southeast, the majority of southeastern aquatic species recognized to be imperiled are not afforded protection under the Endangered Species Act or other laws or regulations. To remedy this situation, provide greater protection to southeastern aquatic ecosystems and stave off a looming extinction crisis, the Center for Biological Diversity hereby petitions the U.S. Fish and Wildlife Service to list 404 southeastern aquatic, riparian and wetland species as threatened or endangered under the Endangered Species Act.

The U.S. Fish and Wildlife Service (FWS) has long recognized the benefit of protecting multiple species in a package for improving efficiency of listing and recovery and ultimately, protection of ecosystems. In 1994, the Service specifically stated its policy to undertake “Group listing decisions on a geographic,

taxonomic, or ecosystem basis where possible” and developed listing guidance that specifically encourages “Multi-species listings...when several species have common threats, habitat, distribution, landowners, or features that would group the species and provide more efficient listing and subsequent recovery.” This petition is consistent with this policy and we encourage the Service to group and process these species in any way that will further efficiency and timely protection.

METHODS

We identified species for petitioning based on an iterative process utilizing information from available databases and literature cataloging information on species’ habitat preferences, status and threats, including NatureServe, IUCN and various American Fisheries Society (AFS) publications (Williams et al. 1992, Williams et al. 1993, Taylor et al. 2007, Jelks et al. 2008, NatureServe 2008). We formed an initial list by searching NatureServe for species that occur in the twelve states typically considered the southeast, occur in aquatic, riparian or wetland habitats and appeared to be imperiled.

We considered species imperiled if they were classified as G1 or G2 by NatureServe, near threatened or worse by IUCN, or a species of concern, threatened or endangered by AFS. Once we had an initial list, we searched for information on threats to species and only included those species where there was some information demonstrating threats to the species. We avoided species that have yet to be fully described.

Once we developed an initial list of species for inclusion in the petition, we consulted with numerous scientific experts specializing in various taxonomic groups, including fish, mollusks, insects, crayfish and plants, to obtain their feedback and whether listing of the species may be warranted. We removed many species based on expert advice.

Once species were identified for the petition, we created a database structured for entering the basic information necessary to show that listing of the species may be warranted, including fields on taxonomy, habitat, range, status, abundance, population trend and the five factors under the Endangered Species Act for determining whether a species is threatened or endangered. 16 U.S.C. § 1533(a)(1). We then searched available literature on the species and created the individual species accounts contained in this petition.

THREATS

The globally significant aquatic biota of the southeastern United States is threatened by a variety of factors. Habitat loss and degradation is the primary cause of extinction globally and for the petitioned species. Southeastern aquatic biota are also threatened by numerous other factors including pollution, global climate change, the spread of invasive species, overutilization, disease, predation, and the inadequacy of existing regulatory mechanisms to protect imperiled species and their habitats (Benz and Collins 1997, Ricciardi and Rasmussen 1999, Strayer 2006).

I. Present or threatened destruction, modification, or curtailment of habitat or range

The southeast has been identified as one of the regions in the United States where ecosystem losses have been most pronounced (Noss et al. 1995). Aquatic and riparian habitats in the southeast have been extensively degraded by direct alteration of waterways such as impoundment, diversion, dredging and channelization, and draining of wetlands, and by land-use activities such as development, agriculture, logging, and mining (Benz and Collins 1997, Shute et al. 1997). The degradation of aquatic habitats is a

primary cause for the loss of biodiversity in streams and rivers (Allan and Flecker 1993). More than one-third of the petitioned species have experienced drastic range reductions, upwards of 90 percent range loss for many of the petitioned mussels and snails (Pyne and Durham 1993, Neves et al. 1997, NatureServe 2008). Because many of the aquatic species in the Southeast are very narrow endemics or have experienced dramatic range reductions, remaining populations are now susceptible to extinction from even relatively minor habitat losses (Herrig and Shute 2002).

Habitat loss and degradation is known to be causing the decline of southeastern biota, and threatens 98 percent of the petitioned species. Habitat degradation has been a contributing factor in nearly three-quarters of freshwater fish extinctions in North America (Miller et al. 1989). In the southeast, decreasing habitat area and increasing fragmentation are strongly correlated with regional loss of fish diversity, and Warren et al. (1997) cite “the engine of imperilment” for Southeastern freshwater fishes as the “pervasive, complex degradation of fish habitats across Southeastern drainages.” Habitat loss is also driving the decline of reptiles, mollusks, and other aquatic taxa. Buhlmann and Gibbons (1997) found that 36 percent of analyzed imperiled aquatic reptiles are threatened because of the “continuing, cumulative abuse sustained by river systems,” and that at least 22 Southeastern reptile taxa have declined due to degradation of rivers and streams (Buhlmann and Gibbons 1997). Habitat degradation is also the primary cause of imperilment for southeastern mollusks (Neves et al. 1997, Lysne et al. 2008), mammals (Harvey and Clark 1997), and plants (Stein et al. 2000).

Physical Alteration of Aquatic Habitats

In the southeast, nearly all of the major river and stream systems have been impounded, drained, channelized, or altered in some way (Schuster 1997). Concerning the challenges facing freshwater species conservation, Strayer (2006) declares, “It is difficult to overstate the extent to which humans have changed freshwater habitats” (p. 278). Forty-four percent of U.S. river miles are classified as impaired, primarily due to hydrologic modifications and agricultural runoff (EPA 2004).

Impoundment

Impoundment is a primary threat to aquatic species in the southeast (Benz and Collins 1997, Buckner et al. 2002, Herrig and Shute 2002). Nearly half of all the petitioned species are threatened by impoundment, including 83 percent of the fishes and 67 percent of the mollusks. Dams modify habitat conditions and aquatic communities both upstream and downstream of the impoundment (Winston et al. 1991, Mulholland and Lenat 1992, Soballe et al. 1992). Upstream of dams, habitat is flooded and in-channel conditions change from flowing to still water, with increased depth, decreased levels of dissolved oxygen, and increased sedimentation. Sedimentation alters substrate conditions by filling in interstitial spaces between rocks which provide habitat for many species (Neves et al. 1997). Downstream of dams, flow regime fluctuates with resulting fluctuations in water temperature and dissolved oxygen levels, the substrate is scoured, and downstream tributaries are eroded (Schuster 1997, Buckner et al. 2002). Negative “tailwater” effects on habitat extend many kilometers downstream (Neves et al. 1997). Dams fragment habitat for aquatic species by blocking corridors for migration and dispersal, resulting in population isolation and heightened susceptibility to extinction (Neves et al. 1997). Dams also preclude the ability of aquatic organisms to escape from polluted waters and accidental spills (Buckner et al. 2002).

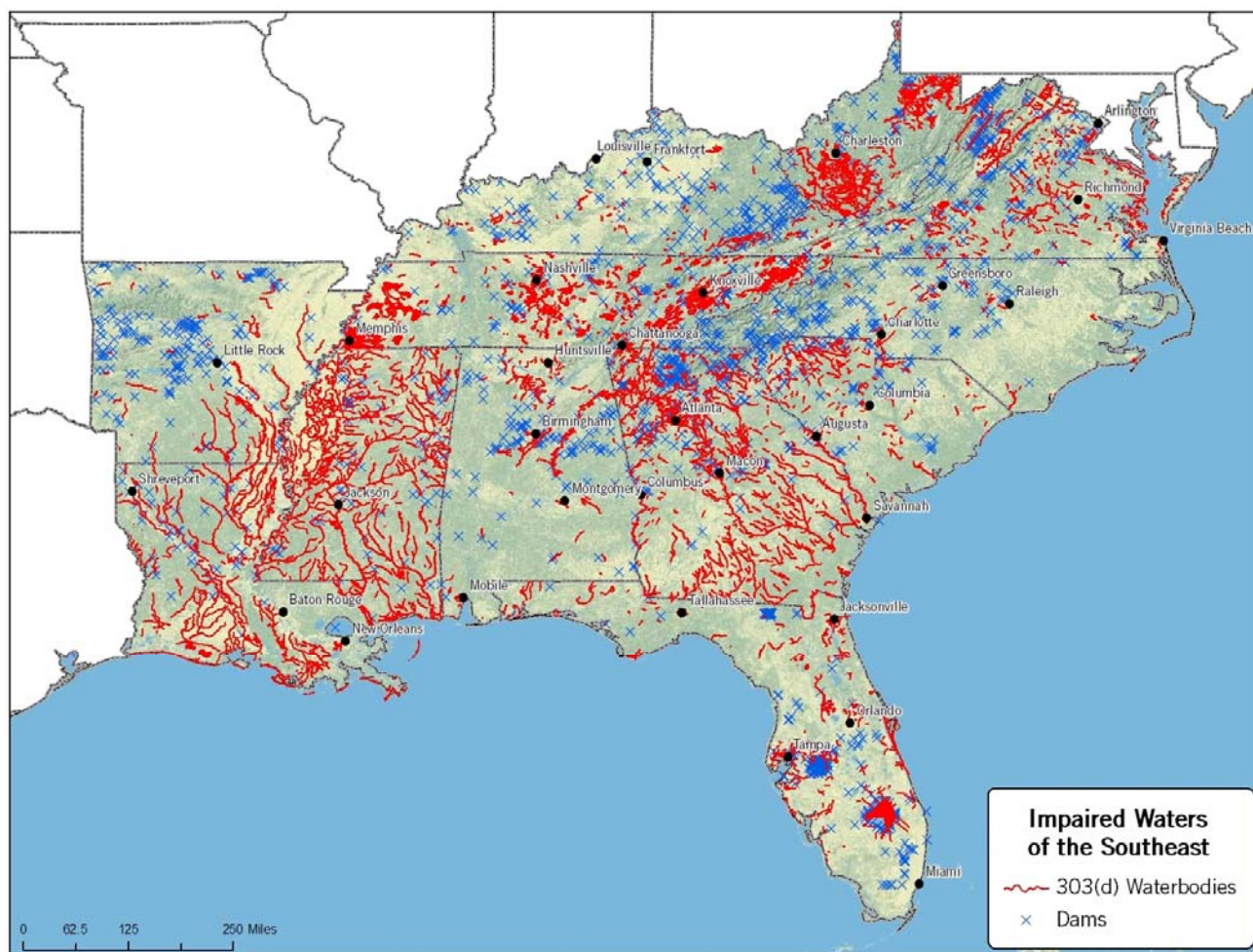


Fig. 1 Impaired Waters of the Southeast. Aquatic species are threatened by extensive impoundment and pollution.

There are few major rivers in the southeastern United States which haven't been impounded (Shute et al. 1997). Medium-sized rivers in particular have been heavily impounded (Etnier 1997). Impoundments have been constructed throughout the region by the Army Corps of Engineers, the Tennessee Valley Authority, electrical power companies, and municipalities (Morse et al. 1997, Buckner et al. 2002). As of the early 1990's, there were 144 major reservoirs in the southeast, including 26 in Tennessee, 19 each in Alabama and North Carolina, and 17 in Kentucky (Soballe et al. 1992). There are 36 dams on the mainstem and major tributaries of the Tennessee River (Neves et al. 1997), resulting in the impoundment of more than 20 percent of the Tennessee River and its major tributaries (Shute et al. 1997). The Tennessee and Cumberland River drainages have approximately 70 major dams and reservoirs (Buckner et al. 2002). Waterways in Alabama have also been extensively impounded, with 16 major lock and dam structures on six rivers, 21 hydroelectric power dams, and over 20 public water supply impoundments (Buckner et al. 2002). The Coosa and Tallapoosa rivers in Georgia and Alabama have been ranked among the most imperiled rivers in the nation due to damming (Buckner et al. 2002).

Although damming projects have been curtailed in many areas of the county, construction of impoundments is ongoing in the southeast (Folkerts 1997, Buckner et al. 2002). Folkerts (1997) states:

“Proof of the Third World status of the Southeast lies in the fact that the damming era is not yet over in the area, as it essentially is in the rest of the nation. Plans to dam many of the remaining free-flowing rivers or reaches are in various stages of development even though not highly publicized” (p. 11).

In addition to rivers, damming of streams and springs is also extensive throughout the southeast (Etnier 1997, Morse et al. 1997, Shute et al. 1997). Shute et al. (1997) report that “few Southeastern streams are spared from impoundment” (p. 458). Noss et al. (1995) report that practically every stream in the Mississippi Alluvial Plain has been channelized, levied, or hydrologically altered. Morse et al. (1997) report that many streams have both small ponds in their headwaters and large reservoirs in their lower reaches. Small streams on private lands are regularly dammed to create ponds for cattle, irrigation, recreation, and fishing, with significant ecological effects due to the sheer abundance of these structures (Morse et al. 1997). Buckner et al. (2002) report that small headwater streams are increasingly being dammed in the southeast to supply water for municipalities. Etnier (1997) reports that many southeastern springs have also been impounded.

Dams are known to have caused the extirpation and extinction of many southeastern species, and existing and proposed dams pose an ongoing threat to many of the petitioned species (Folkerts 1997, Neves et al. 1997, FWS 2000, Buckner et al. 2002, Herrig and Shute 2002). Dams are a primary cause of imperilment for freshwater fish. Etnier (1997) found that impoundment and alteration of flow regime is responsible for 32 percent of fish imperilment in the southeast. The construction of ten lock and dam structures on the Tennessee-Tombigbee Waterway, which artificially connects the Tennessee River to the Gulf of Mexico, led to the extirpation of many species from the main river channel, including the Frecklebelly Madtom (*Noturus munitus*) (Bennet et al. 2008). The Frecklebelly Madtom was also extirpated from the Alabama River due to impoundments, and because this species is dependent on large-river gravel shoal habitat, it is “vulnerable to river modifications that will likely continue into the foreseeable future” (Bennett et al. 2008). In Florida and other Atlantic states, impoundment of large coastal tributaries has severely curtailed fish spawning runs (Gilbert 1992). Impoundment blocks migratory routes for fish and covers spawning areas with silt (Etnier 1997). Dams and resultant substrate changes have led to the disproportionately high imperilment of benthic fishes (Warren et al. 1997). Even small dams negatively affect aquatic fauna. In Oklahoma, populations of four species of cyprinids were extirpated when a small dam was constructed on the North Fork of the Red River (Winston et al. 1991).

Impoundments are one of the primary causes for the reduction in diversity and abundance of freshwater mussels in the southeast (Williams et al. 1993, Neves et al. 1997). Impoundments threaten freshwater mollusks via both direct and indirect mechanisms. Changes in the fish community jeopardize the survival of mussels because mussels are dependent on host fish to successfully reproduce, with some species of mussels being dependent on specific species of fish (Bogan 1993, 1996). If the fish species upon which a mussel is dependent to host its larvae goes extinct, then the mussel becomes “functionally extinct,” even when there are surviving long-lived individuals (Bogan 1993). Impoundments can also separate mussel populations from host fish populations, resulting in the eventual extinction of the mussel species (Bogan 1993, 1996). Layzer et al. (1993) and Williams et al. (1992) report instances of 30 to 60 percent of the mussel fauna being lost as the result of dam construction. The loss of mussels can in turn negatively affect fish, because some species of fish use empty mussel shells as nest sites (Bennett et al. 2008).

Impoundment and the resultant loss of shoal habitat has caused range reduction or extinction for many species of Southeastern freshwater snails (Neves et al. 1997). Many snail species now exist primarily as “relict populations” which only survive immediately below dam sites (Neves et al. 1997). Impoundment is also one of the primary causes for the imperilment of crustaceans in the southeast (Schuster 1997). Dams have also destroyed habitat for many species of aquatic insects, with remaining populations being genetically isolated due to limited dispersal abilities (Herrig and Shute 2002). Impoundment has also contributed to the decline of forest-associated bird species in the southeast, particularly for species with narrow niches and low tolerance to disturbance (Dickson 1997).

Dredging and Channelization

Dredging and channelization have led to “incalculable loss of aquatic habitat in the Southeast” (Warren Jr. et al. 1997). Dredging and channelization projects are extensive throughout the region for flood control, navigation, sand and gravel mining, and conversion of wetlands into croplands (Neves et al. 1997, Herrig and Shute 2002). Many rivers are continually dredged to maintain a channel for shipping traffic (Abell et al. 2002). Dredging and channelization modify and destroy habitat for aquatic species by destabilizing the substrate, increasing erosion and siltation, removing woody debris, decreasing habitat heterogeneity, and stirring up contaminants which settle onto the substrate (Hart and Fuller 1974, Williams et al. 1993, Buckner et al. 2002, Bennett et al. 2008). Channelization can also lead to headcutting, which causes further erosion and sedimentation (Hartfield 1993b). Channel modification is one of the primary contributors to the decline of freshwater mollusks because of substrate instability, headcutting, sedimentation, and actual removal of mussels from their beds during dredging operations (Hart and Fuller 1974, Williams et al. 1993). Neves et al. (1997) describe dredging as “a perpetual problem for sedentary mollusks that are displaced and killed in dredge spoils,” stating, “Endangered mussels of big rivers . . . have been under siege for decades by navigational dredging mostly by the U.S. Army Corps of Engineers. Even the presence of federally endangered species does not prevent the modification of habitats where these animals reside” (p. 71).

Dredging and channelization also threaten imperiled fishes, reptiles, crustaceans, and other species. Dredging removes woody debris which provides cover and nest locations for fish such as the Frecklebelly Madtom (Bennet et al. 2008). Flood control projects and channel maintenance operations in Mississippi threaten aquatic species in the Yazoo Basin (Jackson et al. 1993), including the petitioned Yazoo crayfish. Channelization is known to be a primary cause of imperilment for southeastern crustaceans (Schuster 1997). Dredging and channelization are also contributing to the decline of southeastern turtles (Buhlmann and Gibbons 1997). Many of the petitioned turtle species, including the highly imperiled map turtles, are threatened by the removal of woody debris on which they depend for basking.

Water Development and Diversion and Decreased Water Availability

The diminishing availability of freshwater poses a present and increasing threat to aquatic species globally and in the southeastern United States (Benz and Collins 1997, Buckner et al. 2002, Herrig and Shute 2002, Hutson et al. 2005, Lysne et al. 2008). Human population growth and increasing demand for freshwater resources has placed and will continue to place many aquatic species at risk (Jackson et al. 2001, Postel 2000, Gleick 2003, Strayer 2006). In the southeast, demands for freshwater for electricity production, irrigation, agriculture, and industrial and residential development are increasing (Herrig and Shute 2002, Hutson et al. 2005, Lysne et al. 2008). Limited water supply is already an area of conflict in Tennessee, Alabama, and Georgia in particular where rapidly growing metropolitan areas

such as Atlanta, Birmingham, and Nashville have drastically increased the demand for freshwater for residential and industrial uses (Buckner et al. 2002). In the agricultural sector, the construction of numerous large Confined Animal Feeding Operations throughout the southeast has led to an increased demand for inter-basin water transfers (Buckner et al. 2002). Increasing drought due to global climate change is expected to exacerbate the threat of limited water availability to aquatic and riparian species in southeastern states (Karl et al. 2009).

Demand for freshwater for use in electricity production is also increasing in the southeast. Freshwater is used extensively in electrical power generation for emission scrubbing and cooling (DOE 2006). In the year 2000, thermoelectric power generation accounted for 39 percent of all U.S. freshwater withdrawals (Hutson et al. 2004). Existing and proposed coal-fired power plants in the southeast require and will continue to require significant amounts of water to operate. For example, the proposed East Kentucky Power Cooperative Smith coal-fired power plant will require 1,495 gallons of water per minute from the Kentucky River to control nitrous oxide emissions (Gilpin Group 2007). Water demands have also increased in the southeast to support the construction of gas-fired steam plants for electricity generation, which require millions of gallons of water per day, and which return only roughly a fifth of water back into waterways (Buckner et al. 2002). Water which is returned to the waterbody from which it is pumped tends to be thermally polluted and may be inadequate to meet the dissolved oxygen needs of aquatic species (Buckner et al. 2002).

Surface diversion of streams also threatens southeastern aquatic species (Etnier 1997, Abell et al. 2000, Buckner et al. 2002, Herrig and Shute 2002). An increasing threat for Southeastern species is the growing practice of damming small headwater streams to supply water for municipalities (Buckner et al. 2002). In addition to impoundment effects, water withdrawals reduce base flows and decrease habitat availability for aquatic species (Abell et al. 2000, Herrig and Shute 2002). Reduced water volume also increases the concentration of pollutants, posing another threat to species (Abell et al. 2000, Herrig and Shute 2002).

In addition to rivers and streams, many Southeastern springs have been drastically altered to supply water for human uses (Etnier 1997). Spring development and diversion can alter flow regime and water quality parameters, lead to substrate disturbance and erosion, and alter the structure and composition of vegetative cover with resultant effects on freshwater fauna (Shepard 1993, Frest and Johannes 1995, Frest 2002). In terms of the effects of spring diversion on aquatic species, Frest (2002) states, “[Spring] development can completely extirpate the native freshwater mollusks as well as reduce diversity in other animal and plant groups.” An additional threat to southeastern species is groundwater overdraft, which threatens spring flow and species which are dependent on consistent spring flow conditions (Strayer 2006). The dewatering of groundwater systems in the southeast threatens rare species of isopods, amphipods, fish, crayfish, and amphibians which are dependent on stable spring and cave environments (Herrig and Shute 2002).

Loss of Wetlands

In the continental United States, over half of wetlands have been lost or severely degraded, and many southeastern states have lost the vast majority of their wetlands (Dahl 1990, Noss et al. 1995). More than 80 percent of the wetlands in Kentucky have been destroyed, as have more than 70 percent of Arkansas wetlands, nearly 60 percent of Tennessee and Mississippi wetlands, and half of Florida and Alabama wetlands (Dahl 1990). Arkansas, Florida, Georgia, Louisiana, Mississippi, and North and South Carolina have each lost more than 100,000 acres of palustrine forested wetlands (Dahl and Johnson 1991).

Through the mid-1980's, wetlands were lost in the southeast at a rate of over 385,000 acres per year (Hefner and Brown 1984). In Florida alone, over nine million acres of wetlands have been lost (Cerulean 1991). In Arkansas, six million acres of Mississippi Delta wetlands had been converted to agricultural use by the mid-1980's (Smith et al. 1984). In the Lower Mississippi Valley region, over one-third of existing wetlands were destroyed from 1950-1970 (Mitsch and Gosselink 1986), with over 165,000 acres of wetlands continuing to be lost annually through the mid-1980's in this region (Tiner 1984). In Tennessee, up to 90 percent of upland wetlands on the Highland Rim have been destroyed, as have more than 90 percent of Appalachian bogs in the Blue Ridge Province (Pyne and Durham 1993). The destruction of pocosins (evergreen shrub bogs) has been extensive throughout the southeast, with greater than 90 percent loss in Virginia, nearly 70 percent loss in North Carolina, and nearly 70 percent loss on the Southeastern Coastal Plain (Noss et al. 1995).

Loss, degradation, and fragmentation of wetland habitat have negatively affected numerous southeastern freshwater species, and natural wetland habitats continue to be lost, placing more species at risk (Dodd 1990, Benz and Collins 1997, Semlitsch and Bodie 1998, Herrig and Shute 2002). Vegetated permanent wetlands are among the most jeopardized habitats in the southeast, causing fish families that are dependent on these wetland habitats, such as pygmy sunfishes, to have a disproportionately high level of imperilment (Etnier and Starnes 1991, Cubbage and Flather 1993, Dickson and Warren 1994, Warren et al. 1997). Wetland destruction has also destroyed habitat for many bird species (Dickson 1997). Aquatic reptile species that depend on standing water habitats have been negatively affected by wetland loss and alteration, loss of beaver ponds, and removal of habitat features such as basking logs (Herrig and Shute 2002). Buhlmann and Gibbons (1997) found that 55 percent of imperiled aquatic reptile species in the southeast are declining due to loss of wetland habitats, including 34 taxa of aquatic snakes and turtles. For example, Dodd (1990) found that wetland fragmentation contributed to the decline of the flattened musk turtle. Wetland loss also threatens southeastern amphibians (LaClaire 1997). Habitat for the petitioned salamander, the Gulf Hammock Dwarf Siren, has been lost as wetlands have been drained for residential, agricultural, and silvicultural development (AmphibiaWeb 2009).

Many reptile and amphibian populations exist as metapopulations that rely on habitat connectivity to maintain genetic structure and provide recolonization opportunities in the event of localized extirpation (Buhlmann and Gibbons 1997, Semlitsch and Bodie 1998).

Habitat fragmentation and wetland isolation thus threaten the regional persistence of southeastern wetland herptile populations by cutting off opportunities for migration and dispersal and magnifying the likelihood of inbreeding depression and reproductive failure due to random environmental perturbation (Buhlmann and Gibbons 1997, Semlitsch and Bodie 1998). Small wetlands continue to be lost, and even the loss of small wetlands can have negative effects on the persistence of metapopulations (Semlitsch and Bodie 1998).

Land Use Activities that Decrease Watershed Integrity

Overview

Southeastern aquatic biota are threatened not only by direct physical alteration of waterways, but also by activities in the watershed that directly or indirectly degrade aquatic habitats such as residential, commercial, and industrial development, agriculture, logging, mining, alteration of natural fire regime, and recreation. Land-use activities can alter water chemistry, flow, temperature, and nutrient and sediment transport, and can interfere with normal watershed functioning (Folkerts 1997). Cavefishes, for example, have a disproportionately high level of imperilment due to habitat degradation because their

food base is derived from surface inputs which are degraded by a variety of activities (Warren et al. 1997). The Service has acknowledged that the habitat needs of species extend beyond the water channel and include riparian and floodplain habitats which are integral to maintaining channel geomorphology, providing nutrient input, and buffering sediments and pollution (FWS 2004). Thus, when identifying habitat threats to aquatic species, entire watersheds must be considered and not just localized sites where species occur (Shute et al. 1997, Strayer 2006).

Residential and Industrial Development and Human Population Growth

Southeastern aquatic and riparian species are threatened by habitat loss and degradation from increased development and resource consumption to support rapidly growing human population in the region. Development threatens two-thirds of the petitioned species. The only known location of the petitioned Florida fairy shrimp was destroyed by development (Rogers 2002), and unless this species is discovered in new areas, it may already be extinct. The primary threat to the petitioned dragonfly, the purple skimmer, is lakeshore development. The Waccamaw fatmucket, a petitioned mussel, is threatened primarily by increasing development in its watershed. The Carolina pygmy sunfish, Chauga Crayfish, and many other petitioned species are also threatened primarily by development.

Human population nearly doubled in the southeast from 1970-2000 (Folkerts 1997). From 1990-2000, the population of Georgia increased by 26 percent, North Carolina by 21 percent, Tennessee by 17 percent, and the population of Virginia increased by 14 percent (Buckner et al. 2002). Southeastern states continued to experience significant human population growth from 2000-2007, with the population of Georgia increasing by 17 percent, Florida by 14 percent, North Carolina by 13 percent, South Carolina by 10 percent, Virginia by 9 percent, and the population of Tennessee increasing by 8 percent (U.S. Census Bureau 2009). Metropolitan areas in the southeast are among the fastest growing in the nation (Dodd 1997). The human population of Raleigh, NC, expanded by 31 percent from 2000-2007, with other metropolitan areas also experiencing significant population growth: Atlanta 24 percent, Charlotte 24 percent, Jacksonville 16 percent, Nashville 16 percent, Tampa 14 percent, Richmond 11 percent, Miami 8 percent, Louisville 6 percent, Memphis 6 percent, and Birmingham 5 percent (U.S. Census Bureau 2009). Population in the southeast is expected to increase to 78.2 million people by the year 2020, representing a nearly 30 percent population increase over a 25-year period (Tennessen 1997).

Population growth threatens biodiversity due to increased demand for land, water, and other resources. Southeastern metropolitan areas are adding urbanized land at an even faster rate than population is increasing, with developed land increasing by 47 percent from 1982-1997 (Buckner et al. 2002). The area of urbanized land in Nashville, TN, more than doubled during this 15-year period, representing nearly an acre of newly developed land per new resident (Buckner et al. 2002). Similarly, the area of developed land in Alabama increased by 19 percent from 1982-1992 (Buckner et al. 2002). The strong geographic focus of development around fresh waters concentrates human ecological impacts on freshwater ecosystems more than on any other part of the landscape (Strayer 2006). Throughout the southeast, increased development is creating water supply problems, stressing available water resources, and polluting aquatic habitats (Seager et al. 2009). For example, population growth in Birmingham is pushing development into the upper Cahaba River watershed where runoff, wastewater discharges, and water withdrawals directly threaten aquatic species (Buckner et al. 2002). During the dry season, the Cahaba River's entire flow may now be diverted for domestic use (Buckner et al. 2002). Global climate change is expected to lead to fluctuating water supplies in the southeast, and in conjunction with increasing human demand for freshwater, to place many aquatic species at heightened risk of extinction (Karl et al. 2009).

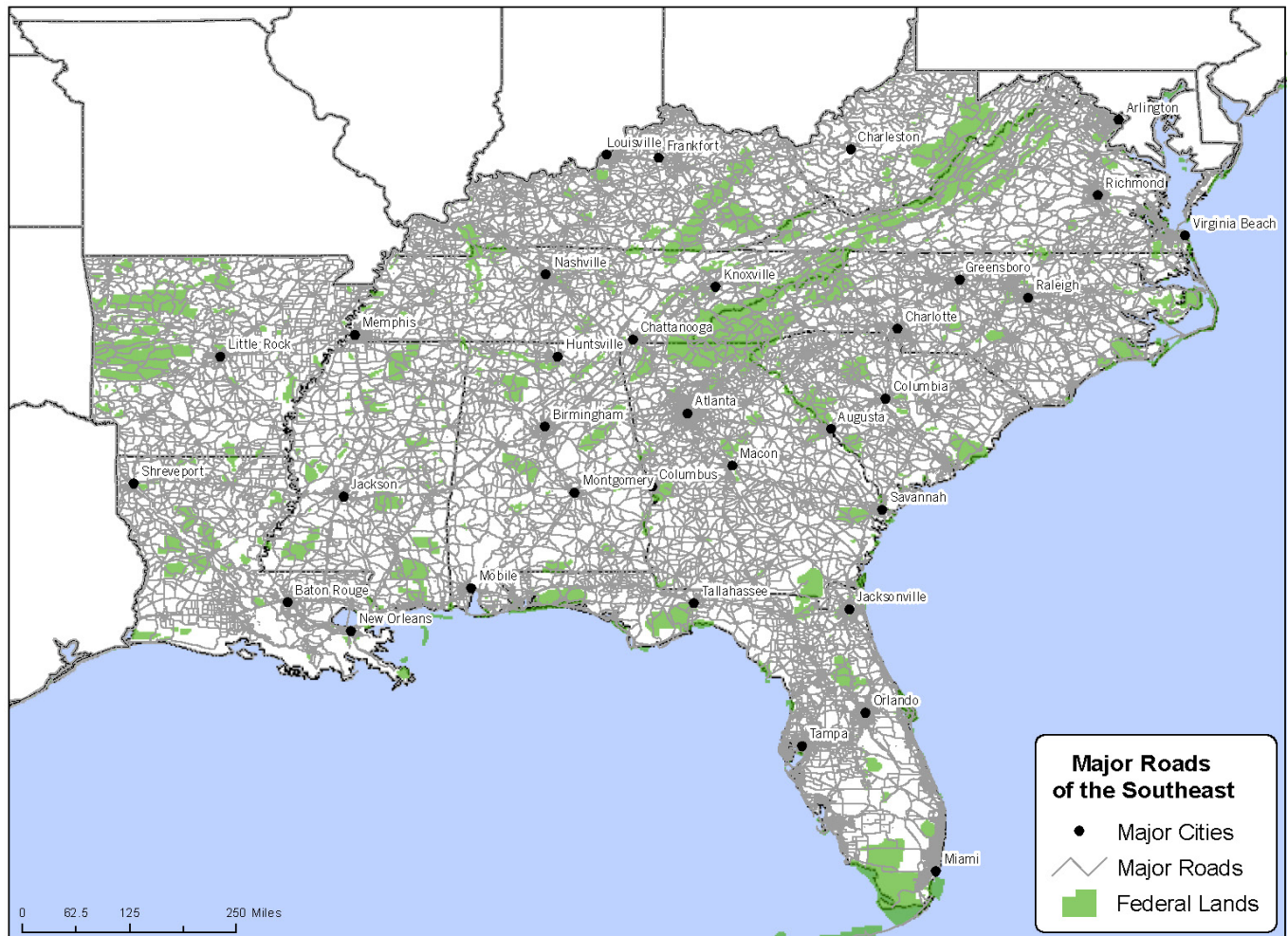


Fig. 2. Major Roads of the Southeast. Increasing development poses a major threat to Southeastern aquatic species.

Urbanization and residential, commercial, and industrial development threaten aquatic species in both direct and indirect ways. Habitat is directly lost and fragmented through land conversion and through water withdrawal and diversion (Benz and Collins 1997). Predation increases as populations of pets and synanthropic species increase (Marzluff et al. 2001). Point-source pollution from industry and runoff from parking lots, roofs, roads, and lawns degrade water quality and have lethal and sub-lethal effects on aquatic species. Urban runoff is associated with declines in macroinvertebrate diversity and with decreased mussel growth rates, and urban land use classes are associated with impairment of fish and macroinvertebrate communities (Soucek et al. 2003, Carlisle et al. 2008, see also petition section “Other Factors, Pollution”). Amphibians and reptiles are particularly threatened by development. Siltation and leachate from road runoff can be lethal for larval amphibians and other aquatic organisms (Dodd 1997). The construction of roads increases mortality and leads to population isolation and the disruption of the metacommunity structure on which the long term population persistence of many herptile species depends (Buhlmann and Gibbons 1997). Noise and light from roads and developments can interfere with behavior patterns and disrupt breeding and feeding activities, particularly for amphibians (Dodd 1997). Amphibian species richness is lower in urbanized areas, as many species cannot persist in urbanized sites (Delis 1993, Herrig and Shute 2002).

Habitat loss and degradation due to development is generally permanent and poses an increasing threat to southeastern aquatic species. Folkerts (1997) reports that in the southeast in particular, development threatens aquatic species more than in other areas due to lax enforcement of environmental laws in the region.

Recreation

Increasing human population is increasing the demand for recreational developments and activities. The development of smaller towns for retirement communities and recreational areas is increasing in the southeast and is threatening freshwater biodiversity. Housing developments, strip malls, and resorts are being constructed in very rural areas, and small towns are now burgeoning in previously undeveloped areas including the Knoxville-Chattanooga suburban corridor, on the Cumberland Plateau, in the Cahaba River headwaters outside Birmingham, and in the Mobile-Tensaw Delta (Buckner et al. 2002). Many rapidly developing small communities are constructing dams on headwater streams, often in areas that were recently remote and inaccessible, with resultant impacts on aquatic species (Buckner et al. 2002). The development of housing and recreational facilities on lakeshores and in riparian areas results in the degradation of water quality and aquatic habitat (Tennessean 1997). For instance, Morse et al. (1997) report the loss of rare stonefly species in a stream in North Carolina following the development of summer homes.

Recreational developments and activities threaten aquatic species for many reasons. Recreational developments foster air and water pollution, litter, and potentially high densities of recreationists (Houston 1971, White and Bratton 1980). Recreation can cause trampling of organisms and vegetation (Liddle 1975). The petitioned plant species *Plagiochila aspleniformis*, for example, is threatened by recreational use of its state park habitat (NatureServe 2008). Local habitat changes caused by trampling include simplification of vegetation and soil compaction which can result in overall loss of habitat diversity (Speight 1973, Liddle 1975). An egregious example of the potential impacts of recreation on aquatic species is off-road vehicle use. Off-road vehicle use can lead to severe degradation of aquatic and riparian habitats, the effects of which are well documented and include trampling of organisms, destruction of vegetation, erosion, and degraded water quality (Wuerthner 2007). Off-road vehicle use threatens imperiled mussels due to habitat degradation from riding in streams and along stream banks (Hanlon and Levine 2004). The riding of off-road vehicles near water can destroy the nests of egg-laying reptiles and can trample adults and young (Herrig and Shute 2002). Southeastern aquatic species are also threatened by other forms of motorized recreation, such as the use of motorized boats and jet-skis, which cause oil and gas contamination and bank erosion (Buckner et al. 2002). Poaching is also a threat to species in recreational areas. For instance, Garber and Burger (1995) document the extirpation of a turtle population in a protected area due to occasional removal of adults by recreational users.

Decreased water quality, trampling, or other recreational impacts threaten 22 percent of the petitioned species including the Bigcheek cave crayfish, Blue Spring hydrobe snail, and small-flower meadow-beauty.

Logging

Southeastern aquatic and riparian species are threatened by the loss of forests and the negative effects on water quality and aquatic habitats which result from logging activities and canopy removal. More than 95 percent of the original forest in the 48 conterminous states has been lost (Noss et al. 1995), including 99 percent of eastern deciduous forest (Allen and Jackson 1992). By the late 1920's, the majority of forested land in the southeast had already been logged (Neves et al. 1997). By the 1960's, clearcutting had become standard practice on southeastern forests and continues to the present (Morse et al. 1997). A region-wide cut is currently underway across the southeast, and the region now supplies nearly 70 percent of the nation's pulp and paper products (Buckner et al. 2002). The rate of deforestation in the southeast now exceeds that of any tropical area of comparable size (Folkerts 1997). The Tennessee, Cumberland, and Mobile basins have experienced a drastic increase in large clearcutting operations and chip mills, with 1.2 million acres of forest being cut annually to supply 150 regional chip mills, two-thirds of which have been built since the late 1980s (Buckner et al. 2002). In the area surrounding Great Smoky Mountain National Park, the rate of logging doubled from 1980-1990 (Folkerts 1997). Of the 70 million acres of longleaf pine forest which once covered over 40 percent of the Southeastern Coastal Plain, only one to two percent remains, and the remnant acreage is fragmented and "poorly-managed" (Noss et al. 1995, Dodd 1997). Clearcutting on the Coastal Plain has affected "virtually every aquatic habitat in the area" (Folkerts 1997, p. 11). Much forested land in the southeast is in private ownership, where "best management practices" to control erosion and protect aquatic habitats are not necessarily followed, which amplifies water quality degradation and threatens aquatic species (Morse et al. 1997).

Logging has multiple direct and indirect negative effects on aquatic biota, across taxa. Erosion from poor forestry practices degrades water quality (Williams et al. 1993). Increased sedimentation from logging can suffocate aquatic snails and their eggs, preclude their ability to feed, and extirpate populations: "As most (freshwater snails) are obligate perolithon grazers and require stable substrate, siltation, such as that resulting from clear-cutting, generally means loss of habitat and at least local extirpation" (Frest and Johannes 1993). Increased sedimentation is also harmful for freshwater mussels (Neves et al. 1997). Clearcutting and conversion of deciduous forest to pine plantations increases sedimentation and reduces the input of large woody debris and leaf litter into streams which are necessary to provide microhabitat and food for aquatic organisms (Morse et al. 1997, Herrig and Shute 2002). Clearcutting can lead to the disappearance of caddisflies and mayflies, with ramifications at higher levels of the food web (Morse et al. 1997). Amphibian diversity and abundance is reduced by clearcutting and the conversion of deciduous forests to pine plantations (Dodd 1997, Herrig and Shute 2002). Aquatic-breeding amphibians which depend on ephemeral ponds and/or which are dependent on forested habitats to complete their life cycle are particularly threatened by logging activities (Dodd 1997). Herbicides used after timber harvests also negatively affect amphibians and other aquatic organisms (Dodd 1997, Herrig and Shute 2002).

Fifty-one percent of the petitioned species are threatened by logging. Logging is the primary threat to the newly discovered patch-nosed salamander, and to many of the petitioned crayfishes including the Irons Fork Burrowing Crayfish, Kisatchie painted crayfish, and pristine crayfish. Logging also threatens the petitioned dragonflies including Westfall's clubtail and the Ozark emerald.

Agriculture and Aquaculture

Southeastern aquatic species are threatened by the loss and degradation of habitat due to poor agricultural practices. Agriculture is the most widely reported source of pollution in southeastern rivers (EPA 2004). Intensive agriculture began in the southeast in the 1930's, and agriculture continues to extensively impact southeastern aquatic ecosystems (Neves et al. 1997). Agriculture in the southeast has

a tremendous impact on aquatic habitats both due to the extent of farmland and to farming practices (Buckner et al. 2002, Herrig and Shute 2002). In the Tennessee, Cumberland, and Mobile River basins, for example, farms cover nearly half the landscape. Throughout the southeast, fields are commonly plowed to the edges of waterways, causing sedimentation and bank collapse and facilitating the runoff of fertilizers and pesticides (Buckner et al. 2002). Both traditional farming practices and confined animal feeding operations contribute to water quality degradation and the imperilment of indigenous biota in the southeast through erosion, sedimentation, and chemical and nutrient pollution from point and non-point sources (Patrick 1992, Morse et al. 1997, Neves et al. 1997, Herrig and Shute 2002).

Fifty percent of the petitioned species are threatened by conversion of their habitat to agricultural use or by agricultural runoff including the striated darter, Logan's agarodes caddisfly, the Sevier snowfly, and the Tennessee clubtail dragonfly. Agriculture is known to be a major stressor for aquatic animals (Richter et al. 1997). In a biological assessment of Appalachian streams, Carlisle et al. (2008) found that agricultural land uses were associated with impairment of fish and macroinvertebrate communities. Agriculture is known to be contributing to the decline of sensitive fish species (Herrig and Shute 2002). Freshwater mollusks are threatened by silt loading and destabilized stream bottoms from agricultural runoff (Williams et al. 1993, Neves et al. 1997). Agricultural activities on the Atlantic and Gulf Coastal Plains threaten imperiled amphibians which are dependent on ephemeral pond habitats that are being lost to agricultural development (Herrig and Shute 2002).



Fig. 3. Agricultural Lands of the Southeast. Half of the petitioned species are threatened by agricultural impacts.

Many of the petitioned species are specifically threatened by pollution from Confined Animal Feeding Operations (CAFOs), including the Carolina madtom fish, corpulent hornsnail, Neuse River waterdog salamander, and Ouachita creekshell mussel. CAFOs and feedlots have caused extensive degradation of southeastern aquatic ecosystems (Neves et al. 1997, Buckner et al. 2002, Mallin and Cahoon 2003). The number of CAFOs in the southeast has increased drastically since 1990 as livestock production has undergone extensive industrialization (Buckner et al. 2002, Mallin and Cahoon 2003). Alabama and Arkansas are now the nation's leading poultry producers, with Florida, Georgia, and Kentucky also ranking among the top ten states for poultry production (U.S. Census Bureau 2009). Poultry CAFOs are also abundant in North Carolina, Mississippi, and Virginia (Mallin and Cahoon 2003). There are extensive swine CAFOs on the North Carolina Coastal Plain, and North Carolina is now the nation's second largest pork producer (Mallin and Cahoon 2003, U.S. Census Bureau 2009). CAFOs threaten aquatic species both due to the vast amounts of freshwater necessary to support their operation and due to pollution (Buckner et al. 2002). CAFOs hold tens of thousands of animals and produce a large amount of waste which enters the environment either by being discharged directly into streams or constructed ditches, stored in open lagoons, or applied to fields in wet or dry form (Buckner et al. 2002, Mallin and Cahoon 2003, Orlando et al. 2004). CAFO wastes contain nutrients, pharmaceuticals, and hormones, and cause eutrophication of waterways, toxic blooms of algae and dinoflagellates, and endocrine disruption in downstream wildlife (Mallin and Cahoon 2003, Orlando et al. 2004, see also petition section "Other Factors, Pollution").

Both livestock holding lots and landscape grazing degrade aquatic habitats in the southeast (Buckner et al. 2002, Herrig and Shute 2002). Several southeastern states, including Tennessee, Kentucky, Alabama, and Florida, produce large amounts of cattle and horses both via grazing and holding lots (Buckner et al. 2002, U.S. Census Bureau 2009). Livestock are generally allowed to wade directly into streams, trampling habitat, and causing erosion and nutrient contamination (Buckner et al. 2002). A survey of peer-reviewed studies on the effects of livestock grazing on stream and riparian ecosystems found that grazing negatively affects water quality and quantity, channel morphology, hydrology, soils, instream and streambank vegetation, and aquatic and riparian wildlife (Belsky et al. 1999). Frest (2002) identifies livestock grazing as a primary factor in the extirpation of freshwater snail populations. Snails and their habitats are harmed via direct trampling, soil compaction, erosion, water siltation and pollution, and drying up of springs and seeps (Frest 2002). The Piedmont Pondsnailed, *Stagnicola neopalustris*, which was known only from a single pond in Virginia, may have been driven to extinction due to cattle grazing (Herrig and Shute 2002, NatureServe 2009). Grazing threatens 14 percent of the petitioned species including the Virginia stone stonefly, Barrens darter fish, Cherokee clubtail dragonfly, Choctaw bean mussel, and many plants including the eared coneflower.

Aquaculture poses another threat for aquatic species in the southeast. The largest aquacultural enterprise in the United States is catfish (*Ictalurus punctatus*) farming, with 95 percent of production occurring in Alabama, Arkansas, Louisiana, and Mississippi (Tucker and Hargreaves 2003). Crayfish farming in Louisiana is the nation's second largest aquacultural enterprise, with the state holding over 49,000 hectares of crayfish ponds (Holdich 1993). Aquacultural operations can consist of constructed ponds or tanks, dammed waterways, enclosures in natural water bodies, or land-based tanks with flow-through of natural waters (Tacon and Forster 2003). Aquaculture threatens aquatic habitats due to habitat conversion, the withdrawal, diversion, or impoundment of natural waterways to support operations, and the release of effluent into waterbodies (Naylor et al. 2001). Trout farming, for example, requires large amounts of cold water and operations are generally constructed on "outstanding resource waterways" (Morse et al. 1997). Water-quality degradation from fish farms threatens southeastern aquatic insect populations (Herrig and Shute 2002). As discussed previously, impoundments and diversions alter water

chemistry and flow and can be detrimental for native mollusks and fishes (Morse et al. 1997, Neves et al. 1997). The construction of shrimp farms in wetlands and estuaries also destroys and degrades habitat for native aquatic species (Hopkins et al. 1995).

Mining and Oil and Gas Development

Mining for coal, gravel, limestone, phosphate, iron, and other raw materials poses a dire threat to many aquatic species in the southeast (Dodd 1997, Buckner 2002). Past and present mining activities have caused extensive degradation of aquatic and terrestrial habitats and extirpation of aquatic populations (Neves et al. 1997). Twenty-nine percent of the petitioned species are threatened by mining and oil and gas development.

Extensive strip mining for coal is conducted in West Virginia, Kentucky, Virginia, Tennessee, and Alabama (Dodd 1997). As of 2004, more than 1.1 million acres of land in Appalachia were undergoing active mining operations (Loveland et al. 2003). The EPA projects that from 1992-2013, 761,000 acres of Appalachian forest will be lost to surface coal mining (Pomponio 2009). This figure does not include the forest lost prior to 1992. Nearly 7 percent of the forest that still existed in 1992 will be lost to coal mining by 2013 (Pomponio 2009). Mining has fragmented remaining forests, with resultant negative ecosystem effects (EPA 2005). Studies have shown that biodiversity and water quality are negatively affected when greater than 10 percent of the surface area of a watershed has been altered (Yaun and Norton 2003, Allan 2004, Morgan and Cushman 2005), yet up to 23 percent of the land area of some counties in Kentucky and West Virginia has been permitted for surface coal mining (U.S. Government Accountability Office 2009). Mining increases the potential for extreme flooding events, and reclamation does not restore pre-mining hydrologic characteristics or ecological functions (Townsend et al. 2009).

Mining often occurs directly through streams or ponds, and mine wastes are pushed directly into streams and rivers (Dodd 1997, EPA 2005). By 1973, it was estimated that over 18,000 miles of streams in Appalachia had already been degraded by underground coal mining (Ahmad 1973, Neves et al. 1997). From 1992-2002, more than 1200 miles of Appalachian streams were buried or degraded by mountaintop removal coal mining (EPA 2005). At this rate, by the end of 2010, over 2160 miles of stream will have been destroyed by mountaintop removal. This figure does not incorporate the thousands of miles of downstream reaches that have been substantially degraded by sedimentation and chemical pollution from coal mining (Palmer and Bernhardt 2009, Pomponio 2009, Palmer et al. 2010). In the Clinch and Powell watersheds in southwestern Virginia, where the highest concentration of imperiled species in the continental United States occurs (Stein et al. 2000), there were 287 active coal-mining point-source discharges as of 2002 (Diamond et al. 2002), which have been shown to be degrading habitat conditions for imperiled species (Ahlstedt et al. 2005). In the Laurel Creek watershed of the Big Coal River in West Virginia, nearly one-third of total stream length has been buried beneath valley fills or impacted by surface mines (Palmer and Bernhardt 2009). Thirty of the petitioned species are specifically threatened by mountaintop removal.

Coal mining negatively impacts aquatic species through direct habitat destruction, decreased water availability, variations in flow and thermal gradients, and chronic and acute pollution of surface and ground water (FWS 1996, Neves et al. 1997, Houpp 1993, Pond et al. 2008, Palmer and Bernhardt 2009, Pomponio 2009, Wood 2009, Palmer et al. 2010). Pollution from mining negatively impacts invertebrates and vertebrates and leads to less diverse and more pollution-tolerant species (Naimo 1995, Cherry et al. 2001, EPA 2005, Lemly 2009, Pomponio 2009, see also petition section "Other Factors,

Pollution”). Surface coal mining and associated road-building increase human access to imperiled species which can lead to poaching and contribute to the spread of invasive species (FWS 1996). Surface coal mining also causes long-term changes in land use and local ecology, and threatens the long-term viability of populations due to habitat fragmentation (FWS 1996).

Numerous scientific studies have reported declines in the diversity and abundance of aquatic organisms resulting from coal mining (Branson and Batch 1972, Vaughan 1979, Matter and Ney 1981, Dodd 1997, Folkerts 1997, Soucek et al. 2003). Diatom and macroinvertebrate communities are seriously degraded in mining tributaries (Serveiss 2001, Locke et al. 2006, Carlisle et al. 2008, Pond et al. 2008). Concerning the extirpation of macroinvertebrates due to surface mining, Wood (2009) states:

“We now have clear evidence that in some streams that drain mountaintop coal quarry valley fills, the entire order *Ephemeroptera* (mayflies) has been extirpated, not just certain genera of this order. We also have evidence that some streams no longer support the order *Plecoptera* (stoneflies). . . The loss of an order of insects from a stream is taxonomically equivalent to the loss of all primates (including humans) from a given area. The loss of two insect orders is taxonomically equivalent to killing all primates and all rodents through toxic chemicals. Such adverse ecological impacts are most certainly significant, and they prevent affected streams from meeting their designated aquatic life uses.”

The loss of macroinvertebrates directly and indirectly impacts stream ecology. Soucek et al. (2003) found a significant association between decreased abundance of indicator insect species and decreased growth rates of mussels. Locke et al. (2006) suggest that mining-influenced tributaries are negatively affecting downstream mussels. Field and laboratory studies implicate sedimentation from mining in the decline of mussels and snails (Aldridge et al. 1987, Neves et al. 1997). Neves et al. (1997) state, “Many species of mollusks have been extirpated from headwater streams where mining has been most intense” (p. 69). Numerous studies have attributed the decline in diversity and abundance of mussels to habitat loss and degradation resulting from coal mining (Neel and Allen 1964, Stansberry 1969, Ahlstedt and Brown 1979, Neves et al. 1980, Branson et al. 1984, Anderson 1989, Houpp 1993, McCann and Neves 1992, Wolcott and Neves 1994, Naimo 1995, Ahlstedt and Tuberville 1997, Neves et al. 1997, Cherry et al. 2001, Ahlstedt et al. 2005, Warren and Haag 2005, Locke et al. 2006).

Amphibian diversity and abundance is lower on lands that have been mined (EPA 2005). Wood (2009) reports that salamanders in headwater stream ecosystems have been significantly negatively impacted by mountaintop removal coal mining. Concerning the concentration of endemic salamanders and mussels in coal mining areas, Palmer and Bernhardt (2009) state:

"Where mining activities destroy stream habitat and degrade stream water quality, many of these taxa become locally extinct, and for species with small geographic distributions, mining activities will contribute to their global extinction."

Diamond and Serveiss (2001) found that proximity to mining had the greatest impact on the index of fish biotic integrity. They conclude, “Results may indicate that mining has a profound negative effect on fish communities.” Lemly (2009) reports that selenium contamination from coal mining can eliminate entire communities of fish and cause reproductive failure in aquatic birds (Lemly 1985, Ohlendorf 1989). Recovery of aquatic life in mining-waste impacted streams has not been documented, effects are “pervasive and irreversible,” and “mitigation cannot compensate for losses” (Palmer et al. 2010).

Other forms of mining and oil and gas development are also known to be causing severe degradation of aquatic habitats. In-stream gravel mining and rock removal fragment and destroy habitat for aquatic insects, mussels, crayfish, and fish (Buckner et al. 2002). Sand and gravel mining have been associated with both on and off-site mussel extirpation (Hartfield 1993) and with decreased downstream mussel growth rates (Yokley 1976). Many petitioned species are threatened by sand and gravel mining including the cobblestone tiger beetle, bluestripe darter, hellbender salamander, and many mussels and snails. As early as the 1920's, it was reported that phosphate and iron mines were causing a precipitous decline in mussel populations (Ortmann 1924). Mining of industrial minerals such as kaolin, mica, and feldspar also causes loss and degradation of habitat for aquatic species (Tennessee Valley Authority 1971, U.S. EPA 1977, Duda and Penrose 1980). Kaolin mining threatens the petitioned mussel, the Alabama spike, and the fish, the robust redhorse. Oil and gas development threaten many of the petitioned mussels.

In sum, many factors are causing the loss and degradation of aquatic habitats in the southeast including logging, mining, agriculture, development, and recreation. Habitat loss is the leading cause of extinction globally and poses a dire threat to almost all of the petitioned species.

OVERUTILIZATION FOR COMMERCIAL, RECREATIONAL, SCIENTIFIC, OR EDUCATIONAL PURPOSES

Overutilization pushes imperiled species towards extinction, especially in conjunction with other threats. Thirty eight of the petitioned species are threatened by overutilization for commercial, recreational, scientific, or educational purposes. Overutilization is the primary threat for seven of the petitioned plants and for many of the petitioned turtles, particularly the map turtles. It is also a primary threat to the hellbender salamander, which is commonly killed by fishermen. Collection for the pet trade threatens a few of the petitioned fishes, crayfishes, and amphibians. Historical overuse greatly threatened many of the petitioned mussels, fishes, and the Florida sandhill crane.

Throughout the southeast reptiles are exploited for use as pets or food, or are killed forthright for recreational purposes, all which may cause significant population declines (Salzberg 1995, Williams 1995, Buhlmann and Gibbons 1997, Gibbons et al. 2001, Herrig and Shute 2002, Means 2009). Many southeastern turtle species, such as the Florida red-bellied turtle (*Pseudemys nelsoni*), are threatened by overcollection because they are commonly harvested for food (NatureServe 2008). Several southeastern freshwater turtle species are being driven to extinction by unregulated commercial harvest. The states of Arkansas, Kentucky, Georgia, Louisiana, and Tennessee allow unlimited harvest of freshwater turtles. The international trade in turtles for use as food, pets, or in traditional medicine is extensive and largely unregulated (Buhlmann and Gibbons 1997, Sharma 1999). Over the last decade conservation biologists have cautioned state wildlife agencies that freshwater turtles in North America are being increasingly targeted to supply food markets in Asia, particularly China, due to depletion of wild populations of Asian turtles (Behler 1997). Because the trade in turtles is not regulated, few records have been kept, but existing records indicate that the trade in live turtles from the United States to China is thousands of tons per year (Mockenhaupt 1999). According to the U.S. Law Enforcement Management Information System, from November 2002 to November 2005, nearly 733,000 wild-caught freshwater turtles were declared as exports from U.S. ports. This number likely underestimates the actual harvest because it includes only exports and does not include unreported collection. The Tennessee Wildlife Resources Agency reports that more than 25,000 turtles were reported as harvested in Tennessee from 2006-2007.

Overutilization of imperiled turtle species is especially problematic because the reproductive success of long-lived reptile species is dependent on high adult survivorship, and population declines occur when adults are harvested (Brooks et al. 1991, Heppell 1998, Pough et al. 1998, Congdon et al. 1993, 1994). Reed et al. (2002) found that the removal of as few as two female adult alligator snapping turtles could halve a population of 200 turtles within 50 years. Congdon et al. (1994) found that the removal of as few as 10 percent of the adults above 15 years of age could halve a snapping turtle population in 15 years. Garber and Burger (1995) documented the extirpation of a wood turtle (*Glyptemys insculpta*) population due to the occasional removal of adults by recreational users.

In the southeastern United States, shooting and/or harvesting have contributed to the decline of map turtles, musk turtles, snapping turtles, and pond turtles (Buhlmann and Gibbons 1997). The alligator snapping turtle (*Macrochelys temminckii*) has declined as the direct result of overharvest (Sloan and Lovich 1995). Map turtles in particular are threatened by commercial collection, with adults valuing hundreds of dollars each on the web (Center for Biological Diversity 2008). Herpetologists from the Tennessee Aquarium who have conducted map turtle surveys in Florida and Georgia for decades report drastic population depletion and even extirpation of most southern map turtle species, primarily attributable to overcollection for the pet trade (George, G.A. pers. comm. 2007). Barbour's map turtle (*Graptemys barbouri*) has declined due to human consumption and collection for the pet trade (NatureServe 2008). The Pascagoula map turtle (*Graptemys gibbonsi*) has declined due to commercial collection and recreational shooting (NatureServe 2008). The black-knobbed map turtle (*Graptemys nigrinoda*) is threatened by target shooting and exploitation for the pet trade (NatureServe 2008). The Escambia map turtle (*Graptemys ernsti*) is also threatened by shooting, trapping, and commercial collection (NatureServe 2008).

Overcollection and recreational killing are also a problem for some Southeastern snake and lizard species (Gibbons et al. 2000, Herrig and Shute 2002). The Apalachicola kingsnake (*Lampropeltis getula* pop. 1), Kirtland's snake (*Clonophis kirtlandii*), and the Florida Keys mole skink (*Eumeces egregius egregius*) are all threatened by overcollection (NatureServe 2008).

Southeastern mussels are also threatened by overutilization, though to a lesser extent than in the past (Neves et al. 1997). The harvest of southeastern mussel species for commercial purposes is well documented (Anthony and Downing 2001, Williams et al. 2008). Mussels are collected by humans for their pearls, meat, and shells, and many populations of mussels have been depleted by harvest in the last 200 years (Strayer 2006). In a single year in the mid-1910's, more than 13 million kg of mussel shells were harvested in the state of Illinois alone, and more than 100 million mussels were removed from a single 73-hectare bed on the Mississippi River (Claassen 1994, Carlander 1954). In 1960, more than 6,700 tons of shells were harvested from Tennessee Valley Authority reservoirs in northern Alabama (Williams et al. 2008). Although mussel fisheries targeted abundant species, the historical bycatch of rare species was likely substantial (Strayer 2006). Mussel collection declined by mid-century, but resurgence in the commercial harvest of native mussels has occurred since the 1960's to supply nucleus beads for the cultured pearl trade (Ward 1985, Williams et al. 1993). Wild U.S. populations are the preferred source for nucleus beads for the Japanese pearl industry, which has increased harvest pressure on southeastern mussel populations (Williams et al. 1993, Jenkinson and Todd 1997). In 1991 and 1992, 570 tons of shells were harvested from the Wheeler Reservoir on the Tennessee River (Williams et al. 2008). The cultured pearl industry is very unpredictable and cycles with fluctuating market demand (Williams et al. 2008). The spike in raw shell prices in the early 1990's resulted in up to 100 commercial boats simultaneously harvesting single reservoirs (Williams et al. 1993). Most harvested mussels are common species, but bycatch remains a threat to native mussels. Imperiled native mussels are threatened

not only by the amount of harvest, but also by the method used to collect shells, which when conducted non-selectively, can result in substantial bycatch of non-target species and juveniles (Williams et al. 1993). Although unwanted mussels are thrown back, Sickel (1989) found that mortality of undersized mussels which are thrown back may be as high as 50 percent. Mussels are threatened not only by commercial collection, but also by collection from shell collectors and biologists. Very rare species are particularly threatened by overcollection. Overutilization for biological collections may have contributed significantly to the decline of the Suwannee Moccasinshell (*Medionidus walkeri*), for example (NatureServe 2008).

Other southeastern taxa are also threatened by overexploitation, including amphibians, fish, crayfish, butterflies, and plants. Amphibians are threatened by overcollection for use as food, for the pet trade, and for the biological and medicinal supply markets (Dodd 1997, AmphibiaWeb 2009). Southeastern fishes and crayfishes are vulnerable to overutilization. Crayfishes are threatened by collection for use as bait or food (Herrig and Shute 2002). The Carolina pygmy sunfish (*Elassoma boehlkei*) is threatened by overcollection for the pet trade (NatureServe 2008). The lake sturgeon (*Acipenser fulvescens*) remains vulnerable to harvest due to historical overuse (NatureServe 2008). Collection of invertebrates for bait or the pet trade can deplete populations (Strayer 2006). Collection of the Mitchell's satyr (*Neonympha mitchellii*) has contributed substantially to the decline of this rare butterfly (NatureServe 2008). Collection also threatens the rare skipper (*Problema bulenta*) (NatureServe 2008). White et al. (1992) document the removal of an entire population of Panhandle lily (*Lilium iridollae*) from the Conecuh National Forest by horticultural collectors.

Overutilization is a threat not just for rare species, but for some species which are currently abundant due to the magnitude of collection pressure. Buhlmann and Gibbons (1997) state that even presently abundant southeastern reptile species are of concern because of the vast numbers being removed from the wild for export.

Rare species which are not currently threatened by overutilization may become threatened at any time as their perceived value increases. In the proposed rule to list three rare mollusk species, FWS (2009) states:

“While collection is not considered a current threat, the desirability of these species in scientific and commercial collections may increase as their existence and rarity becomes known, and their localized distributions and small population sizes leaves them vulnerable to overzealous recreational or scientific collecting” (74 FR 31114, p. 8).

The impacts of overutilization compound the threats facing imperiled southeastern species whose populations have already been reduced due to habitat loss and other factors. Overutilization may drive species which are already struggling to survive to extinction.

DISEASE AND PREDATION

Disease

Thirty six of the petitioned species are threatened by disease or predation. The spread of disease has contributed to the decline of aquatic species globally and in the southeastern United States (Daszak et al. 1999, Corser 2000, Gibbons et al. 2000, Cunningham et al. 2003). Amphibians in particular have been decimated by the spread of disease (Kiesecker et al. 2004). Numerous diseases are contributing to

amphibian declines including infections of fungi (*Batrachochytrium dendrobatidis* “chytrid”; *Saprolegnia ferax*), ranaviruses, iridoviruses, mesomycetozoa, protozoa, helminths, and undescribed diseases (Dodd 1997, Daszak et al. 1999, Briggs et al. 2005, Davis et al. 2007, Peterson et al. 2007). The most infamous of these, chytrid fungus, affects not only frogs but has also now been reported in both aquatic and terrestrial salamanders (Davidson et al. 2003, Cummer et al. 2005, Padgett-Flohr and Longcore 2007). In Alabama, Byrne et al. (2008) recently detected chytrid fungus in the southern two-lined salamander (*Eurycea cirrigera*). The decline of map turtles, musk turtles, snapping turtles, and pond turtles is partially attributable to disease (Dodd 1988, Buhlmann and Gibbons 1997). For instance, populations of Barbour's map turtle (*Graptemys barbouri*) have been afflicted by bacterial disease (Jacobson et al. 1989) and by a fatal disease of unknown etiology (NatureServe 2008). Southeastern freshwater fishes are also threatened by diseases, which are being spread by aquacultural operations and in shipments between fish hatcheries (Kautsky et al. 2000, Naylor et al. 2001, Strayer 2006, Green and Dodd 2007).

Other threats exacerbate the vulnerability of southeastern aquatic fauna to disease and population decline. The hellbender, which is direly threatened by both habitat loss and overuse, is also threatened by disease. Reptile declines have also been attributed to disease (Diemer Berish et al. 2000, Gibbons et al. 2000). In freshwater fishes, stress-related diseases are prevalent in polluted rivers where chronic, sub-lethal pollution has increased the susceptibility of organisms to infection (Moyle and Leidy 1992).

Predation

Predation threatens several of the petitioned species. Even natural levels of predation can push imperiled species towards extinction, especially in conjunction with other threats such as reduced habitat or stress from factors such as climate change and disease.

Predation threatens the petitioned species across taxa, including reptiles, birds, plants, amphibians, fishes, crayfishes, and mollusks. Browne and Hecnar (2007) report that heavy predation on turtle nests from raccoons can be a primary factor limiting recruitment of imperiled turtle populations. For example, effects of predation can be severe on populations of Florida red-bellied turtle (*Pseudemys nelsoni*), the juveniles and eggs of which are preyed upon by raccoons, fish, and corvids (NatureServe 2008).

Drought magnifies the effects of predation on this species due to increased exposure resulting from reduced water levels (NatureServe 2008). At least two of the petitioned bird species are threatened by predation. The seaside sparrow (*Ammodramus maritimus macgillivraii*) is threatened by predation by rice rats (Post and Greenlaw 1994). The black rail is threatened by predation from various species during high tides when the rails are forced away from cover (Evens and Page 1986). Two of the petitioned plant species are known to be threatened by predation. Hall's bulrush (*Schoenoplectus hallii*) is threatened by predation from mute swans and Canada geese (McKenzie et al. 2007). The Panhandle Lily (*Lilium iridollae*) is threatened by cattle grazing and potentially by insect herbivory (Barrows 1989).

Southeastern amphibians, fishes, and crayfishes are threatened by predation from native and non-native crayfishes and fishes (NatureServe 2008). The Lake Sturgeon (*Acipenser fulvescens*) is threatened by predation of eggs by round gobies (Hay-Chmielewski and Whelan 1997). The streamside salamander is threatened by predation from fish, flatworms, and water snakes (Petranka 1983, AmphibiaWeb 2009).

Predation can contribute heavily to the decline of imperiled mussels because of their restricted distributions and small population sizes (NatureServe 2008, Rock Pocketbook species account).

Imperiled southeastern mussels are threatened by predation from fishes, muskrats, raccoons, otter, mink, turtles, and some birds (Neves and Odom 1989, Parmalee 1967, Snyder and Snyder 1969). A number of fish species, including catfishes (*Ictalurus* spp. and *Amieurus* spp.) and freshwater drum (*Aplodinotus grunniens*) consume large numbers of unionid mussels at certain life stages (NatureServe 2008).

Domestic and wild hogs tear up mussel beds by rooting (Meek and Clark 1912). As populations of imperiled mussels continue to shrink, predation becomes an increasing threat. For example, the only viable population of the Savannah lilliput (*Toxolasma pullus*) in North Carolina is threatened by predation from raccoons (Hanlon and Levine 2004). The petitioned fish, the barrens topminnow, is threatened by predation from introduced mosquitofish.

Disease and predation, alone and in conjunction with other factors, pose serious threats to the survival of many of the petitioned species. The risks posed by disease and predation are magnified by other environmental stressors such as habitat loss, pollution, invasive species, and climate change (Gibbons et al. 2000, Pounds et al. 2006).

INADEQUACY OF EXISTING REGULATORY MECHANISMS

There are no existing regulatory mechanisms at the federal, state, or regional levels that adequately protect the petitioned species, all of which are at risk of extinction.

Inadequacy of Existing Federal Regulatory Mechanisms

The Clean Water Act

Pollution and habitat loss are two of the largest threats facing the petitioned species, all of which are dependent on healthy riparian and aquatic habitat for survival. The federal Clean Water Act provides a basic level of water quality protection for imperiled southeastern species, but is inadequate to ensure their continued survival without the addition of Endangered Species Act protection and Critical Habitat designation. The provisions of the Clean Water Act are inadequate to protect the petitioned species because pollution from point and non-point sources is causing ongoing degradation of water quality, current water quality standards are not effectively protecting sensitive species or sensitive developmental stages of species, and loss of stream and wetland habitat continues.

The Environmental Protection Agency and individual states regulate point sources of pollution under the National Pollution Discharge Elimination System (NPDES), under which point sources are licensed and maximum pollutant discharge concentrations are set. The NPDES system is not adequate to protect the petitioned species from the negative effects of pollution because permits may be issued with few restrictions, cumulative effects of all the point sources within a watershed are not taken into consideration when permits are issued, and state governments often lack the resources or political will to monitor and enforce permits (Buckner et al. 2002). Concerning the failure of the current permitting system to protect aquatic habitats, Morse et al. (1997) state:

“Industrial effluent provides point sources of pollution that can harm streams. Reducing or stopping these problems is often complicated by legal, political, and economic circumstances, with regulators, private citizens, lawmakers, employers, and employees often at odds. The wheels are turning very slowly to rectify existing legal uncertainties associated with industrial effluent” (p. 24).

In the Southeast in particular, adequate regulatory mechanisms to protect aquatic habitats from pollution are lacking due to jurisdictional issues and conflicting priorities:

“Federal-state and intra- and interstate coordination is confounded within southeastern states primarily because jurisdiction over water, waterways, and the aquatic fauna is fragmented among agencies with different and often contradictory regulatory mandates (e.g., providing drinking water versus recreational fishing versus waste disposal)” (Warren et al. 1997, p. 124).

The southeast also has a history of lax enforcement of environmental laws:

“In many ways, the southeastern United States has been treated as a Third World country by the rest of the nation, or, perhaps more accurately, by industrial interests throughout the world. Industrial sitings in the region have often been based on the same criteria used to site plants in Latin American countries, i.e., lower salaries can be paid, tax rates on industries are lower, and perhaps most importantly, pollution laws and other measures to preserve environmental integrity are poorly enforced and easily circumvented by using political pressure” (Folkerts 1997, p. 11).

The socioeconomic setting in the southeast is such that when conflicts arise between economic development and species protection, economic development generally prevails (FWS 1997).

Even if existing laws were strictly enforced, current water quality standards are not sufficient to protect sensitive species or sensitive life-stages of species. Water-quality standards are not based on toxicity testing of rare species, and some aquatic organisms are more sensitive to pollutants than the organisms which are used to establish the standards (Herrig and Shute 2002). Permitted activities may thus negatively affect rare aquatic species. Most species of mollusks are intolerant of chronic exposure to polluted water (Neves et al. 1997). Neves et al. (1997) state, “As judged by the decline and degree of rarity of mollusks in southeastern rivers, criteria to protect this faunal group are urgently needed” (p. 68). The glochidia of mussels may be more sensitive to pollution than adult forms (Neves et al. 1997). Further, current standards are for surface water quality, and because sediments store and accumulate toxins, benthic species are not adequately protected by existing criteria.

FWS has been aware for more than a decade that existing regulations are not adequately protecting imperiled mollusks. In a 1994 proposed rule to protect five species of southeastern mussels under the Endangered Species Act, FWS stated:

“Existing authorities available to protect aquatic systems, such as the Clean Water Act, administered by the Environmental Protection Agency (EPA) and the Army Corps of Engineers, have not been fully utilized and may have led to the degradation of aquatic environments in the Southeast Region, thus resulting in a decline of aquatic species” (59 FR 35901).

In the 1997 final rule, responding to an EPA request for clarification concerning the above statement, FWS wrote:

“Through EPA’s implementation of the CWA, water quality has been improved and mussel populations have benefited. However, in spite of general water quality improvements, numerous freshwater mussel populations in the southeastern United States are continuing to decline even in areas that appear to have suitable physical habitat. The Service believes that it is likely that some insidious environmental factor(s), possibly contaminants, may be adversely affecting the growth, reproduction, or survival of these populations. Of all the potential impacts to mussels, less is known about the potential effects of

contaminants on these species. The Service believes that EPA could, through the CWA, play a more active role in identifying potential contaminant impacts to mussels” (62 FR 1647, p. 1653).

In 2009 in the proposed rule to list three imperiled southeastern mollusks, FWS acknowledged that water quality criteria and enforcement are still inadequate to protect sensitive aquatic species:

“Current State and Federal regulations regarding pollutants are assumed to be protective of freshwater mollusks; however, these species may be more susceptible to some pollutants than test organisms commonly used in bioassays. For example, several recent studies have suggested that U.S. Environmental Protection Agency’s (EPA) criteria for ammonia may not be protective of freshwater mussels (Augspurger et al. 2003, p. 2571; Augspurger et al. 2007, p. 2026; Newton et al. 2003, pp. 2559– 2560; Newton and Bartsch 2007, p. 2057; Ward et al. 2007, p. 2075). In a review of the effects of eutrophication on mussels, Patzner and Muller (2001, p. 329) noted that stenoeious (narrowly tolerant) species disappear as waters become more eutrophic. They also refer to studies that associate increased levels of nitrate with the decline and absence of juvenile mussels (Patzner and Muller 2001, pp. 330–333). Other studies have also suggested that early life stages of mussels are more sensitive to metals and such inorganic chemicals as chlorine and ammonia than are common bioassay test organisms (Keller and Zam 1991, pp. 543–545; Goudreau et al. 1993, p. 221; Naimo 1995, pp. 354–355). Therefore, it appears that inadequate research and data prevent existing regulations, such as the Clean Water Act (administered by the EPA and the U.S. Army Corps of Engineers), from being fully utilized or effective in the management and protection of these species” (74 FR 31120).

Existing regulations are also inadequate to protect aquatic species from nonpoint sources of pollution such as agricultural, residential, and urban runoff, which are generally approached in a non-regulated, voluntary manner. Agricultural runoff accounts for over 70 percent of impaired U.S. river kilometers, yet is largely exempt from permitting requirements (Neves et al. 1997). Some Confined Animal Feeding Operations are considered to be non-point sources of discharge, with regulations varying from state to state which allows gross amounts of pollution to enter waterways (Mallin and Cahoon 2003). Lack of effective regulatory mechanisms to control non-point source pollution poses a dire threat to aquatic species:

“Still lacking are the legislative means to significantly reduce nonpoint runoff from agricultural and urban areas. . . nonpoint problems . . . continue to degrade water quality and jeopardize all aquatic biodiversity in southeastern streams” (Neves et al. 1997, p. 66).

Existing regulations are also inadequate to protect Southeastern aquatic species from accidental spills from retention ponds which are used to store wastes from agriculture, coal-fired power plants, coal mining, and other activities (Herrig and Shute 2002).

Further, the Clean Water Act is not effective at preventing activities within a watershed which negatively impact water quality, and the health of aquatic systems needs to be evaluated and regulated on a watershed-wide scale:

“Voluminous research and management experience have clearly documented the interdependence of terrestrial and aquatic ecosystems for the overall health of biota (Pajak et al. 1994). However, the implementation of this knowledge through effective and comprehensive policy change has been egregiously slow” (Neves et al. 1997, p. 66).

Under the Clean Water Act, loss of stream and wetland habitat is ongoing. In Appalachia, from 1992-2002 the EPA permitted the filling of more than 1200 miles of headwater streams for surface coal mining activities (EPA 2005). Headwater streams harbor unique aquatic species, diverse invertebrate assemblages, and provide nutrients that are critical for fish and other downstream organisms (EPA 2005). The permitted filling of streams for surface coal mining is causing permanent downstream pollution and loss of biodiversity (Neves et al. 1997, Pond et al. 2008, Pomponio 2009, Wood 2009, Palmer et al. 2010).

The permitted filling of wetlands is also ongoing. Section 404 of the Clean Water Act sets as a goal no net loss of wetlands, but this is not a required outcome of permit decisions (Connolly et al. 2005). In fiscal year 2003, the Army Corps of Engineers issued 4,035 permits for the destruction of natural wetlands, while denying only 299 permits (Connolly et al. 2005). Lost wetlands are required to be replaced by mitigation wetlands, but mitigation wetlands often differ in structure, function, and community composition from the natural wetlands which are destroyed (Holland et al. 1995). Mitigation requirements are also not strictly enforced. Mitigation “represents a promise that the permittees will perform the mitigation in the future. Unfortunately, permittees are often unable or unwilling to comply with compensatory mitigation requirements” (Connolly et al. 2005, p. 262). Mitigation is rarely effective in preserving biodiversity (Cabbage et al. 1993; Water Environment Federation 1993). Moreover, small, isolated wetlands which provide essential habitat for many species of amphibians and reptiles are not adequately protected under the Clean Water Act and continue to be lost:

“[S]mall wetlands are extremely valuable for maintaining biodiversity . . . the loss of small wetlands will cause a direct reduction in the connectance among remaining species populations, and . . . both existing and recently proposed legislation are inadequate for maintaining the biodiversity of wetland flora and fauna” (Semlitsch and Bodie 1998).

Many species of amphibians, reptiles, and insects require both wetland and upland habitat to complete their life cycles, and wetland protection criteria don’t protect the upland habitats these species need to survive (Dodd 1997). For instance, Burke and Gibbons (1995) documented turtles nesting and wintering in forested upland habitats outside the boundaries of federal wetland delineation lines.

In sum, the Clean Water Act is not adequate to protect the petitioned species from the threats of habitat loss and degradation and pollution.

The Surface Mining Control and Reclamation Act

The Surface Mining Control and Reclamation Act of 1977 (SMCRA) is intended to prevent the degradation of aquatic habitats from coal mining activities. Due to increased demand for coal, lax enforcement of environmental law, and deference to economic development over species’ protection, SMCRA is not adequately protecting aquatic species. For example, neither SMCRA nor the Clean Water Act have been effective in preventing the continued decline of the Black Warrior waterdog, a petitioned amphibian (Dodd et al. 1986, Mettee et al. 1989, Hartfield 1990, Bailey and Guyer 1998, U.S. Fish and Wildlife Service 1998). Further, sedimentation from active mines is a primary contributor to the decline of mollusks due to water quality degradation, shell erosion, and reproductive failure (Anderson 1989, Houpp 1993, Neves et al. 1997). FWS has acknowledged that mining activities continue to be permitted even when imperiled species are placed at risk:

“[I]t has been the Service’s experience, after dealing with hundreds of mining projects, that in nearly all cases where there is a conflict between endangered species and a mining project, the project is permitted with only minor modifications” (FWS 1997, p. 1651).

Reclamation required under SMCRA is not rigorously enforced (Ward 2009). Even when reclamation is conducted, it has not resulted in the restoration of pre-mining hydrologic characteristics or ecological functions (Townsend et al. 2009). Concerning the failure of the reclamation requirements of SMCRA to protect biodiversity or stream health, Palmer et al. (2010) state:

“Current mitigation strategies are meant to compensate for lost stream habitat and functions but do not; water-quality degradation caused by mining activities is neither prevented nor corrected during reclamation or mitigation. Clearly, current attempts to regulate mountaintop mining/valley fill practices are inadequate. Mining permits are being issued despite the preponderance of scientific evidence that impacts are pervasive and irreversible and that mitigation cannot compensate for losses” (p. 149).

National Wildlife Refuges

Several of the petitioned species occur on National Wildlife Refuges. The species that occur on refuges enjoy some degree of habitat protection because refuges are managed by FWS primarily to conserve fish, wildlife, and plant resources. However, these species are still threatened with extinction for several reasons. Management priority is generally given to more charismatic species and conservation actions are limited by available funding and staffing. Refuges are managed under conservation plans that provide guidance for planning and management decisions but they do not constitute a commitment for staffing or funding, and refuge budget and staffing levels are usually inadequate to implement preferred management actions. Due to lack of fiscal resources, the implementation of conservation actions is thus uncertain. Species that occur on refuges also face threats from historical habitat degradation, climate change, invasive species, recreation, and poaching.

National Recreation Areas

National Recreation Areas are managed under broad guidelines which provide theoretical protections for species which occur within their boundaries, but their management plans are not focused on species’ protection. The implementation and effectiveness of actual protections for imperiled species is uncertain.

National Forests

Several of the petitioned species occur on National Forests. National Forest management plans provide guidelines for species’ protection, but these guidelines are generally discretionary. National forests are mandated to produce a specified amount of timber, which gives preference to resource extraction over species’ protection. Species which occur on National Forests are at heightened risk of habitat loss and degradation from timber harvest and recreation.

The Wild and Scenic Rivers Act

The Wild and Scenic Rivers Act of 1968 protects selected rivers in free-flowing condition and protects their immediate environments to safeguard water quality and to fulfill national conservation purposes. Wild and Scenic designation provides some protection for the species which occur within these reaches.

It does not adequately protect the petitioned species, however, because there are very few designated Wild and Scenic stretches in the southeast, they do not provide habitat protection beyond a narrow corridor, and because many of the areas of highest aquatic biodiversity are not included in the system (Neves et al. 1997).

Inadequacy of Existing State Regulatory Mechanisms

State Fish and Wildlife Departments

Some of the petitioned species are listed as threatened or endangered by state fish, wildlife, and game departments, but state endangered and threatened species designations generally do not provide species with meaningful regulatory protection or with any habitat protection. Many of the species are classified as Species of Conservation Priority or Species of Greatest Conservation Need under state Wildlife Action Plans or Wildlife Conservation Strategies. These documents provide a framework for conservation, but are not regulatory documents and do not contain mandatory or enforceable provisions to protect species or their habitat. Further, the implementation of conservation strategies is dependent on the cooperation of resource managers and stakeholders, making their implementation and effectiveness uncertain. Partner involvement in recommended conservation actions is voluntary, and is limited by the statutory requirements and permitted degree of discretion of partner agencies.

State conservation priorities and initiatives are also sharply limited by funding, with charismatic and game species generally receiving the majority of resources. Warren et al. (1997) state:

“[M]any state-based programs for non-game fishes are left to languish on “soft” money, are underemphasized, and lack the force of institutional will or statutory authority, short of federal mandate, to effect change” (p. 123).

The focus of conservation strategies is also generally on vertebrates, making them inadequate to protect imperiled invertebrate species:

“Conservation of freshwater invertebrates has been hampered by the severity of human impacts to fresh waters and their inhabitants, the very limited resources (money, scientific effort) that have been applied to conservation problems, frequent adherence to a conservation approach that was developed largely for terrestrial birds and mammals, and an overly reactive approach, in which conservation activities often have been reactions to acute threats rather than actions designed to enhance long-term population viability. Consequently, conservation activities have been and will continue to be inadequate to protect freshwater invertebrate populations and species” (Strayer 2006, p. 272).

Concerning the lack of funding to protect imperiled southeastern mollusks, Neves et al. (1997) state:

“With such an underfunded effort by regulatory agencies to maintain the biological diversity and integrity of rivers in this region, it is little wonder that the extirpation and extinction of mollusks is occurring at an accelerated pace” (p. 76).

Some states have regulations to protect some wildlife species from direct take, but these regulations are not comprehensive, are generally poorly enforced, and are not adequate to protect wildlife from other threats (FWS 1997). Even though states often prohibit the take of fish and wildlife without a collecting

permit, permit enforcement is difficult (FWS 1997). Invertebrates are sometimes protected by harvest regulations such as bag limits, size regulations, and seasonal closures, but these regulations are challenging to enforce, and enforcement efforts are dependent on available funding.

Natural Heritage Programs

State Natural Heritage Programs maintain an inventory and database on the conservation status of species and biological communities and participate in and contribute to various conservation strategies. Natural Heritage Programs, however, lack regulatory authority. While their designations call attention to the plight of imperiled species, they do not convey any regulatory protection.

Other Regulatory Mechanisms and Protections

Convention on International Trade in Endangered Species

The Convention on International Trade in Endangered Species (CITES) is an international agreement between governments that aims to ensure that the international trade of wild animals and plants does not threaten their survival. CITES listing conveys some degree of protection to a few of the petitioned species, but is inadequate to ensure their continued survival. For example, highly sought after species, such as rare map turtles, are threatened by the international pet trade despite being protected under CITES (NatureServe 2008).

Inadequacy of Habitat Preserves

Habitat protection is an essential component of species' preservation. Habitat preserves alone, however, are insufficient to protect imperiled species due to threats from a host of other factors including climate change, poaching, pollution, and genetic isolation due to lack of habitat connectivity. The survival of species within refuges depends on the level of protection in the refuge as well as on a variety of external factors that influence habitat conditions. Browne and Hecnar (2007) document the decline of turtles in protected habitat due to low-level recreational collection. They conclude, "Our study illustrates that habitat protection provides no guarantee for species persistence when multiple threats exist." All activities within the watershed influence the quality of aquatic habitats in protected areas. As FWS acknowledged in the Mobile Basin Recovery Plan (2000), "stream and river refugia can only be maintained by appropriate land and water stewardship within their respective watersheds." For example, pollution entering protected areas from activities being conducted outside their boundaries threatens otherwise protected species. Neves et al. (1997) report that hazardous wastes and toxic chemicals are jeopardizing imperiled mussels at a state-designated mussel sanctuary at the confluence of the Tennessee and Ohio Rivers. As Folkerts (1997) surmises, "[I]t is clear that isolated preserves are not a long-term answer to the maintenance of aquatic biodiversity" (p. 12).

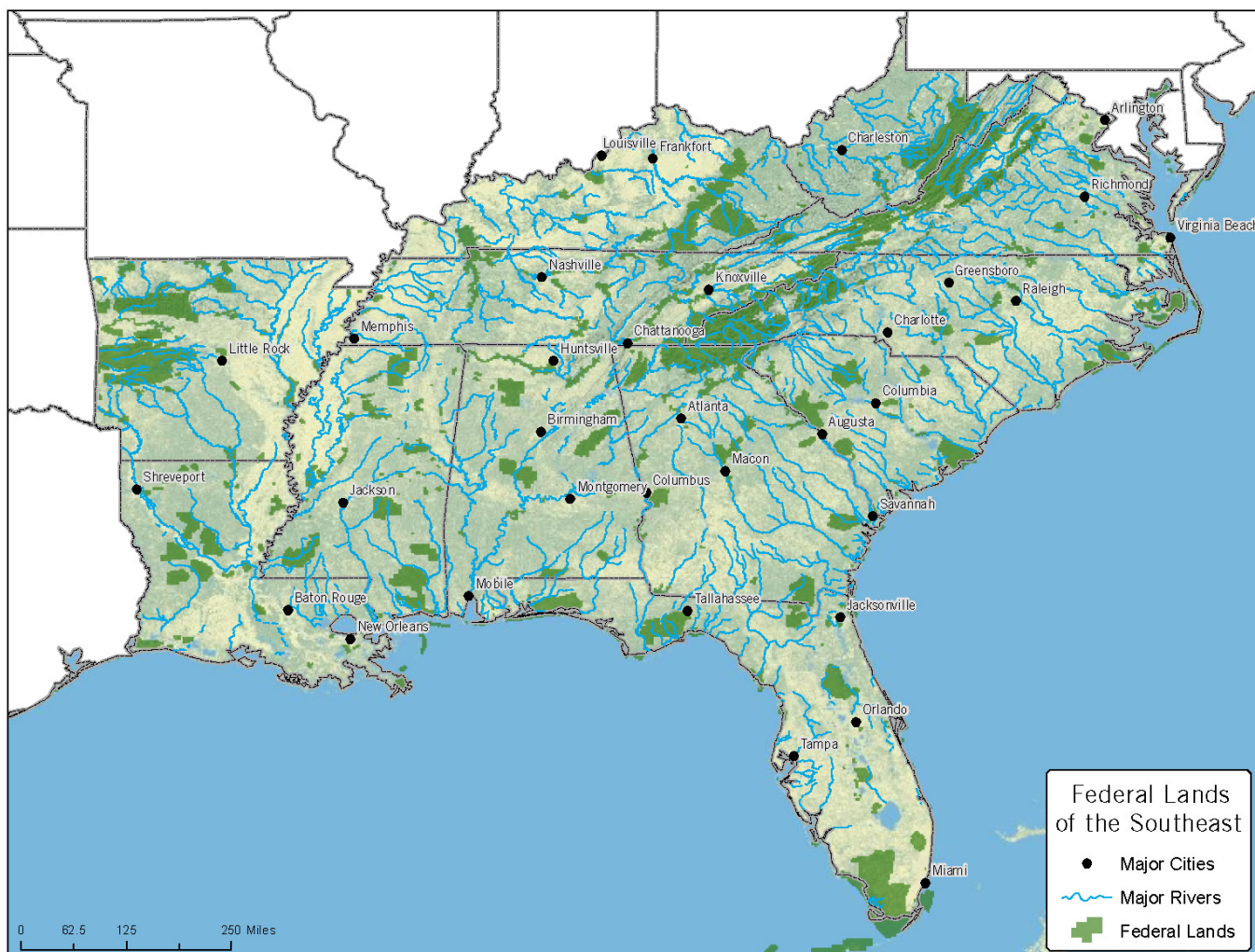


Fig. 4. Federal Lands of the Southeast. The majority of land in the Southeast is privately owned.

Land Ownership Patterns

The vast majority of land in the southeast is privately owned. In the Tennessee, Cumberland, and Mobile River basins, for example, more than 87 percent of land is privately owned (Buckner et al. 2002). Private land use is either not regulated or only loosely regulated throughout much of the region (Buckner et al. 2002). A great deal of the habitat for rare aquatic species in the southeast is not controlled by federal or state governments, and protection of the most biologically valuable watersheds is not available through governmental ownership (Neves et al. 1997). Most southeastern forests are in private ownership, including 70 percent of Alabama's forests and more than 80 percent of forests in the Tennessee and Cumberland basins (Buckner et al. 2002). Forestry "best management practices" to control erosion and protect aquatic species are not mandated or voluntarily followed in the majority of southeastern forests, and extensive clearcutting and poor logging practices threaten aquatic species due to sedimentation, landslides, and degraded water quality (Buckner et al. 2002). There are no existing regulatory mechanisms that protect imperiled species on private lands in the southeast.

In sum, existing regulatory mechanisms are not adequate to protect the petitioned species. Without the effective protection of the Endangered Species Act, these species are likely to become extinct.

OTHER NATURAL OR HUMAN CAUSED FACTORS

Southeastern aquatic and riparian species are threatened by multiple other natural and human-caused factors including pollution, global climate change, drought, invasive species, and synergies between multiple threats.

POLLUTION

Pollution threatens two-thirds of the petitioned species, including 81 percent of the animals. Throughout North America, the imperilment of freshwater fauna has been linked to extensive habitat degradation caused by pollution (Ricciardi and Rasmussen 1999). Southeastern waterways are degraded by point and non-point source pollution from a variety of sources including agriculture, forestry, urban and suburban development, coal mining, and coal combustion wastes. Non-point source pollution, or runoff, is difficult to document, but its impact on aquatic species is both pervasive and persistent (Schuster 1997). Neves et al. (1997) call non-point source pollution an “insidious factor in aquatic ecosystem degradation.” Non-point source pollution is the most common factor adversely impacting the nation’s fish communities, with more than 80 percent of fish being negatively affected (Judy et al. 1982). The Service has acknowledged that southeastern aquatic habitats are threatened by cumulative, progressive degradation from unregulated, non-point source pollution, the effects of which are contributing to the extirpation of aquatic species:

“In many cases, it is small, everyday, nonregulated activities considered “insignificant” by most of us that will ultimately cause continued decline and extinction of the (Mobile) Basin’s aquatic species. . . While the detrimental effect of any one source or land use activity may be insignificant by itself, the combined effects of land use runoff within a watershed may result in gradual and cumulative adverse impacts to isolated populations and their habitats” (FWS 2000).

Both non-point and point source pollution are pushing southeastern aquatic species towards extinction by carrying sediments, contaminants, nutrients, and other pollutants into waterways.

Sedimentation, Contamination, and Nutrient Loading

Sedimentation is one of the primary causes of habitat degradation in southeastern waterways (Neves et al. 1997). Sedimentation and siltation result from a variety of activities including agriculture, forestry, development, and mining, with silt reaching waterways during both ground-disturbing activities and storm events (FWS 2000). Suspended sediment threatens the entire aquatic community, from fish to invertebrates to birds. Richter et al. (1997) identify sedimentation as the major stressor affecting the ability of aquatic animals to recover from declines.

In the southeast, sedimentation is responsible for nearly 40 percent of fish imperilment problems (Etnier 1997). Sedimentation has both direct and indirect negative effects on fish. Suspended sediments cut and clog gills and interfere with respiration. Sedimentation blocks light penetration, which interferes with feeding for species like minnows and darters which feed by sight (Etnier and Starnes 1993). For species which feed by flipping over rocks and consuming the disturbed insects, sedimentation increases the embeddedness of rocks, making them more difficult to move and decreasing habitat suitability for aquatic invertebrate prey (Etnier and Starnes 1993). Sedimentation also interferes with feeding behavior for

nocturnal feeders like catfish and imperiled madtoms which catch aquatic insects by relying on the sensitivity of their barbels and on chemoreception, both of which are negatively affected by sedimentation (Todd 1973, Buckner et al. 2002). Benthic species require specific substrate conditions for spawning, feeding, and cover, all of which are degraded by sedimentation (Etnier and Starnes 1993, Warren et al. 1997). When sedimentation fills in the crevices between and beneath rocks, it decreases the availability of cover for resting and predator evasion (Herrig and Shute 2002). Madtoms, darters, suckers, and some minnows deposit their eggs on or near the substrate, and sedimentation interferes with their reproduction both by decreasing habitat suitability and by directly smothering eggs. Benthic fishes are also negatively affected by toxins which are stored in sediments (Reice and Wohlenberg 1993). Ultimately, excessive sedimentation can eliminate fish species from an area by rendering their habitat unsuitable (FWS 2000).

Likewise, excessive sedimentation has strong, persistent negative effects on freshwater invertebrates (Strayer 2006). Siltation is one of the primary factors implicated in the decline of freshwater mollusks (Williams et al. 1993). Neves et al. (1997) state, “[P]eriodic additions of sediment have profound effects on the long-term sustainability of mollusk populations” (p. 68). Suspended sediments have both direct and indirect negative effects on mollusks. Sedimentation clogs the gills of mussels and snails and can cause suffocation (FWS 2000). Sedimentation reduces feeding efficiency both by interfering with respiration for filter feeders and by coating the algae which snails scrape from rocks (FWS 2000). Decreased visibility due to sedimentation can interfere with mussel reproduction by making it difficult for host fishes to detect glochidia (Neves et al. 1997). Sedimentation also reduces substrate suitability (Herrig and Shute 2002).

Aquatic insects are also threatened by excessive sediment levels. Stoneflies (*Plecoptera*) and mayflies (*Ephemeroptera*) are intolerant of siltation and disappear from impacted streams (Morse et al. 1997). Increased siltation impacts the ability of dragonflies and damselflies to survive (Morse et al. 1997). Caddisflies, which require spaces among rocks for shelter and stable surfaces for grazing, are also negatively impacted by siltation (Morse et al. 1997). Sedimentation and other pollutants from mountaintop removal coal mining operations are completely extirpating aquatic macroinvertebrate communities. In some streams that drain mountaintop removal operations, the entire orders of *Plecoptera* and *Ephemeroptera* have been extirpated (Wood 2009). Sedimentation is also negatively impacting rare ground-water inhabiting species of isopods and amphipods (Herrig and Shute 2002).

In addition to sediments, contaminants, such as heavy metals, pesticides, and persistent organic pollutants, threaten aquatic species. In a nationwide assessment of streambed sediment contaminants, the EPA found that 43 percent of sediments are probably associated with harmful effects on aquatic life or human health, and that six to 12 percent of streambed sediment is sufficiently contaminated to cause significant lethality to benthic organisms (EPA 2004b). Southeastern rivers are laden with a variety of toxic chemicals, with the lower Mississippi receiving contaminants from half the continent (Folkerts 1997). Atlanta was recently named the most toxic city in America (Levy 2009). Contaminants have both lethal and sub-lethal negative effects on aquatic species and may interfere with immunity, growth, and reproduction (Colborn et al. 1993, Gibbons et al. 2000). Selenium contamination from surface coal mining is causing teratogenic deformities in larval fish (Palmer et al. 2010). Many contaminants have negative effects that will persist for centuries (Folkerts 1997).

Aquatic species are threatened both by chronic low-level contaminant pollution and acute exposure from accidental spills. For instance, in October 2009, a wastewater spill from a coal mine on the West Virginia-Pennsylvania border killed all the fish, salamanders, and mussels in 35 miles of 38-mile- long

Dunkard Creek (Hooley 2009). Endemic species in particular are at high risk from accidental spills. Because many aquatic species exist only in small, isolated populations, a single spill event could drive a species to extinction. Even in otherwise protected habitats, the survival of freshwater species is threatened by acute and chronic exposure to contaminants.

Contaminants threaten aquatic species across taxa. Declines in many fish species are attributed to chronic, sub-lethal pollution, which causes reduced growth, reduced reproductive success and increased risk of death from stress-related diseases (Moyle and Leidy 1992). Cavefishes and other species that are directly dependent on groundwater levels are disproportionately threatened by contaminants, which become concentrated if a drop in groundwater levels reduces the volume of springflow (Herrig and Shute 2002). Chemoreception in blind cavefishes can be disrupted by contaminants from surface aquifer recharge areas (Herrig and Shute 2002). Chronic low-level exposure to contaminants may be preventing the recovery of imperiled species of mollusks (FWS 1997). Juvenile mussels in particular are sensitive to heavy metals and other pollutants (Naimo 1995, Neves et al. 1997). Amphibians may be disproportionately threatened by contaminants. All life stages of many amphibian species are sensitive to toxins (AmphibiaWeb 2009). Many substances can be toxic for amphibians, including heavy metals, pesticides, phenols, fertilizers, roadsalt, mining waste, and chemicals in runoff (Dodd 1997). Changes in pH can adversely affect amphibian eggs and larvae, and can inhibit growth and feeding in adults (Dodd 1997). Amphibians are threatened by accidental and intentional pesticide treatments. For instance, in October 2009, a pesticide treatment intended to kill lampreys in the Lamoille River caused a large-scale die-off of mudpuppies (Johnson 2009).

Contaminants negatively impact aquatic species at the level of individuals, populations, and species. Fish, turtles, and other aquatic animals assimilate pesticides, heavy metals, and other persistent pollutants into their tissues (Buhlmann and Gibbons 1997, de Solla and Fernie 2004). Animals at higher levels of the food web can accumulate considerable levels of toxins. Significant concentrations of numerous contaminants have been detected in southeastern freshwater turtles including pesticides such as aldrin, chlordane, DDT, dieldrin, endrin, mirex, nonachlor, and toxaphene, and metals such as aluminum, barium, cadmium, chromium, cobalt, copper, iron, lead, mercury, molybdenum, nickel, strontium, and zinc (Meyers-Schöne and Walton 1994). Contaminant exposure can disrupt normal endocrine functioning, thus threatening survival and reproduction (Colborn et al. 1993). Turtles exposed to PCBs have exhibited sex reversal and abnormal gonadal development, and alligators exposed to various contaminants have shown altered testosterone levels and gonadal abnormalities (Guillette et al. 1994, 1995). Water snakes in wetlands that have been contaminated by coal ash exhibit altered metabolic activity (Hopkins et al. 1999). Endocrine disruption caused by contaminants can lead to demographic shifts in aquatic reptile populations (Gibbons et al. 2000). Bioaccumulation of contaminants has contributed to the decline of map turtles, musk turtles, snapping turtles, and pond turtles (Buhlmann and Gibbons 1997).

Nutrient loading also threatens southeastern aquatic species. Excessive nitrates and phosphates entering waterways from point and non-point sources can lead to algal blooms, eutrophication, and depleted dissolved oxygen, which can be lethal for aquatic organisms (Mallin and Cahoon 2003). Some algal blooms are toxic, and can cause direct mortality. The toxic dinoflagellates *Pfiesteria piscicida* and *P. shumwayae* have bloomed downstream of Confined Animal Feeding Operations in the Neuse, New, and Pamlico River estuaries in North Carolina (Mallin and Cahoon 2003). Even at sub-lethal levels, nutrient loading threatens aquatic species via many mechanisms. For example, excessive phosphate levels, especially in combination with the herbicide atrazine, have been shown to increase trematode infections in amphibians, leading to amphibian deformities (Johnson and Sutherland 2003, Rohr et al. 2008).

Nutrients, contaminants, sediments, and other pollutants reach southeastern waterways from a variety of sources, discussed below.

Agriculture

Non-point source pollution from agriculture is the leading source of water quality impairment in lakes and rivers in the United States, and is also a major contributor to groundwater contamination and wetlands degradation (EPA 2009). Agricultural pollution carries sediment, pesticides, fertilizers, animal wastes, pathogens, salts, and petroleum particles into waterways (Morse et al. 1997, EPA 2009). In the southeast, agricultural fields are commonly plowed to the edges of rivers and streams, which causes erosion and stream bank collapse and deposits tons of soil into waterways annually (Buckner et al. 2002).

Pesticide contamination is pervasive in agricultural areas, and the most commonly detected pesticide in U.S. waters is atrazine. In a U.S. Geological Survey study of agricultural areas, 75 percent of stream water samples nationwide contained atrazine (Gilliom et al. 2006). Based on Ecological Monitoring Program data from the U.S. Environmental Protection Agency, surface waters of the southern United States are pervasively contaminated with atrazine, with the chemical being detected in every watershed sampled (U.S. EPA 2007, Wu et al. 2009). In 63 percent of watersheds, atrazine was present at average levels greater than one part per billion (ppb), which is the level at which primary productivity of aquatic nonvascular plants is known to be reduced (Ibid.). In Kentucky, atrazine was detected in 69 and 97 percent of surface water in the two sampled watersheds, at average concentrations of 0.66 parts per billion (ppb) and 2.08 ppb, and maximum concentrations of 19 and 22 ppb, which is many times above the threshold for negative effects in aquatic biota (U.S. EPA 2007, Wu et al. 2009). Atrazine was also detected at high frequencies and levels in surface water in Tennessee and in drinking water in Louisiana (Ibid.). Atrazine contamination threatens southeastern aquatic species, with the toxic and endocrine disrupting effects of atrazine being well established (Wu et al. 2009). Detrimental reproductive effects have been detected in amphibians and mammals at very low exposure levels-- concentrations as low as 0.1 ppb are known to cause endocrine disruption in amphibians (Hayes et al. 2002). Moreover, developmental timing of exposure can increase susceptibility to adverse effects, as can synergistic interactions with other contaminants (Colborn et al. 1993, Colborn 2004, 2006, Wu et al. 2009).

Animal holding lots and confined animal feeding operations (CAFOs) are a major source of pollution in the southeast (Neves et al. 1997). Animal wastes may be discharged directly into streams, applied to fields, or stored in lagoons (Buckner et al. 2002). CAFOs produce enormous amounts of nitrogen and phosphorus. On the Coastal Plain of North Carolina, CAFOs produce 124,000 metric tons of nitrogen and 29,000 metric tons of phosphorus on an annual basis (Mallin and Cahoon 2003). In 1998, 41,000 metric tons of nitrogen and 16,000 metric tons of phosphorus entered the Neuse River watershed from CAFOs (Glasgow and Burkholder 2000). These nutrients enter the environment and contribute to the eutrophication of waterbodies via runoff, volatilization of ammonia, or by percolating into groundwater (Mallin and Cahoon 2003). CAFOs cause both chronic and acute pollution. Extreme weather events, lax management, and lagoon ruptures have led to acute pollution events from CAFOs. In 1995, lagoon ruptures spilled millions of gallons of swine and poultry wastes into the New River and Cape Fear River basins in North Carolina, causing fish kills and algal blooms (Mallin and Cahoon 2003). Decaying animal carcasses are also a significant source of nutrient pollution from CAFOs, especially following extreme weather events (Mallin and Cahoon 2003). Within the area flooded by Hurricane Floyd in 1999, there were 241 CAFOs and numerous livestock were drowned and their carcasses entered the environment via floodwaters (Wing et al. 2002). In addition to nutrient loading, CAFOs release

pharmaceuticals and hormones into aquatic habitats (Orlando et al. 2004). Significant amounts of estrogens and androgens have been detected in waterways that receive runoff from fields where animal wastes are applied (Finlay-Moore et al. 2000). Growth promoters and antibiotics have been detected in both surface and groundwater in agricultural areas (Peterson et al. 2000).

Pollution from agriculture has profound negative effects on water quality and aquatic species (Patrick 1992). Sediments and contaminants from agricultural runoff are contributing to the decline of sensitive fish and mussels (Neves et al. 1997, Herrig and Shute 2002). Algal blooms and lower dissolved oxygen concentrations due to nutrient loading can kill sessile benthic organisms such as mollusks and can create zones in which fish cannot survive (Mallin and Cahoon 2003). Chemicals in the effluent from CAFOs and feedlots can disrupt the endocrine and reproductive systems of wild species. Orlando et al. (2004) documented significant alterations in the reproductive biology of wild minnows (*Pimephales promelas*) exposed to feedlot effluent, including demasculinization of males and defeminization of females. Hormones in ponds below cattle holding facilities have been associated with endocrine disruption in female turtles (Irwin et al. 2001).

Aquacultural operations also contribute significant amounts of pollution to southeastern aquatic habitats. Wastewaters from aquacultural operations contain sediments, nutrients, pharmaceuticals, and pathogens, all of which threaten native aquatic biota (Tacon and Forster 2003). Catfish farms, the biggest aquacultural enterprise in the nation, release effluents into the environment during heavy rainfall events and during pond draining (Tucker and Hargreaves 2003). Trout farms generate large amounts of nutrient pollution and are generally built on outstanding resource waterways (Morse et al. 1997). Louisiana is the world's largest producer of farm-raised crayfish, with crayfish ponds being drained annually releasing effluent into the environment (Holdich 1993). Shrimp pond effluent leads to hypereutrophication of estuaries (Hopkins et al. 1995).

Forestry

Pollution from logging poses a dire threat to southeastern species. The Alabama Department of Environment Management cites sediment from silviculture as one of the major contributors to water quality impairment in the Mobile Basin. Logging contributes sediments and herbicides to waterways, degrading habitat for aquatic organisms. Erosion from deforestation and poor forestry practices increases silt loading and makes stream bottoms unstable, both of which threaten mollusks and other aquatic organisms (Williams et al. 1993). Herbicides used to kill hardwoods and herbaceous vegetation may be harmful to amphibians and other species (Dodd 1997). Some herbicides used in forestry operations are toxic to algae and interfere with aquatic ecology (Austin et al. 1991). Effluent from pulp mills where logging products are processed also threatens southeastern aquatic species (Folkerts 1997).

Urban and Industrial Development

Pollution from urban, suburban, and industrial development is a major threat to aquatic species in the southeastern United States. Point source pollution from manufacturing sites, power plants, and sewage treatment plants is a major cause of aquatic habitat degradation (Morse et al. 1997). In southeastern watersheds, point sources of pollution are "remarkably dense and coincide heavily with critical conservation areas identified at expert workshops" (Buckner et al. 2002). Non-point source pollution from urban and industrial areas contributes sediment, contaminants, nutrients, and other pollutants to waterways. Runoff from urban and suburban areas includes many substances which are harmful for

aquatic organisms including petroleum particles, highway salts, silt, fertilizers, pesticides, surfactants, and pet wastes (Neves et al. 1997, Buckner et al. 2002).

Many municipalities have inadequate sewage treatment systems which can release raw sewage into waterways during heavy rainfall events (Buckner et al. 2002). Chemicals in both raw and untreated sewage can negatively affect aquatic organisms. Many waterbodies are now known to be polluted with pharmaceuticals such as caffeine, pain killers, antibiotics, antihistamines, antidepressants, and oral contraceptives, the effects of which on aquatic wildlife are poorly understood (Kolpin et al. 2002). In an EPA pilot study of the occurrence of pharmaceuticals and personal care products in wild fish, antidepressants, antihistamines, and fragrances were all detected in fish tissues (EPA 2009b). Many common chemicals are now known to cause endocrine disruption in wildlife (Colborn et al. 1993). Endocrine mimics can cause thyroid dysfunction, metabolic aberrations, lowered fertility, birth defects, decreased immunity, and abnormal sexual development (Dodd 1997). Pharmaceutical estrogens in sewage effluent have been shown to negatively affect fish development and reproductive activity (Orlando et al. 1999).

Coal Mining and Processing

Coal mining and coal processing is a major source of water pollution in West Virginia, Kentucky, Tennessee, Virginia, Alabama, and Georgia. Contaminants from coal mining and processing operations include sediments, metals, hydraulic fluids, frothing agents, modifying reagents, pH regulators, dispersing agents, flocculants, and media separators (Ahlstedt et al. 2005). Coal mining and processing degrades water quality and results in lasting impairments to aquatic biota (Carlisle et al. 2008, Pond et al. 2008, Pomponio 2009, Wood 2009). Sediments, heavy metals, and other pollutants from mining are one of the causal factors in mussel declines (Houp 1993, Neves et al. 1997, Locke et al. 2006). Ahlstedt et al. (2005) report that the permitted and illegal discharge of coal fines is polluting some of the best remaining mussel habitat in the Clinch River, which is a global hotspot for mussel diversity.

Coal mining and processing release heavy metals into the environment including aluminum, cadmium, copper, iron, manganese, mercury, selenium, sulfate, and zinc, which act as metabolic poisons in freshwater species (Earle and Callaghan 1998). Mussels exposed to high concentrations of metals in the laboratory exhibited mortality, weight loss, altered enzyme activity and filtration rate, and behavioral modifications (Naimo 1995). The effects of metals on mussel feeding, growth, and reproduction can have significant consequences for mussel populations (Naimo 1995). Naimo (1995) concludes that the widespread decline in species diversity and population density of U.S. freshwater mussels is partially attributable to chronic, low-level exposure to toxic metals.

Selenium pollution from coal mining is causing deformities and reproductive failure in aquatic species and leading to less diverse and more pollution-tolerant species assemblages (Lemly 2009, Pomponio 2009). Lemly (2009) states:

“Once in the aquatic environment, waterborne selenium can enter the food chain and reach levels that are toxic to fish and wildlife. Impacts may be rapid and severe, eliminating entire communities of fish and causing reproductive failure in aquatic birds.”

Effluent from a mountaintop removal mine in West Virginia was found to contain as much as 82 ug/L selenium, which is over fifteen times the threshold for toxic bioaccumulation, and which caused elevated levels of selenium in fish tissues in the Mud River with associated deformities and other toxic effects

(Lemly 2009). Selenium and other pollutants from surface coal mining operations pose a persistent toxic hazard for aquatic species (Palmer et al. 2010).

Pollution from abandoned mined lands is also a major threat for southeastern aquatic species. Sediments, metals, acids, and other pollutants in mine drainage negatively impact aquatic species in a variety of ways from acute toxicity to physical impacts from solid precipitates (Cherry et al. 2001, Soucek et al. 2003). Surface waters receiving mine discharge commonly have extremely low pH levels, below 3.0, with toxic impacts extending several miles downstream (Soucek et al. 2003). Acid mine drainage has a major negative influence on aquatic communities that are directly impacted by low-pH waters. Acid mine runoff from abandoned mines has completely destroyed stream biotas in many areas (Folkerts 1997).

Coal Combustion

Pollutants from coal-fired power plants threaten aquatic species nationwide and in the southeast. Coal combustion produces nitric and sulfuric acids, mercury, and coal ash, all of which negatively impact aquatic species (Fleischer et al. 1993). Nitric and sulfuric acids released from coal-fired power plants cause acidification of water bodies. Streams and lakes in Great Smoky Mountain National Park and elsewhere have been degraded by acid precipitation (Morse et al. 1997). Acid precipitation and deposition directly threaten aquatic organisms (Strayer 2006). Phytoplankton are negatively affected by acidification, which has ramifications throughout the food web (Dodd 1997). Acid precipitation harms caddisflies and stoneflies (Morse et al. 1997). Several of the petitioned insects are threatened by acid deposition including the Smokies snowfly and Smokies needlefly. Acidity in aquatic habitats can cause direct amphibian mortality, and plays a major role in limiting amphibian distribution (Dodd 1997).

Coal combustion also releases mercury into the environment. The U.S. Geological Survey examined mercury in fish, sediment, and water drawn from 291 rivers and streams between 1998 and 2005 and found detectable mercury contamination in every single fish sampled (Scudder et al. 2009). The study found that 25 percent of fish are contaminated with mercury at levels above the safe standard for human consumption (0.3 parts per million wet weight). Atmospheric deposition of mercury is responsible for the contamination of most waterways. Wetlands, forests and organic soils can enhance the conversion of mercury to highly toxic methylmercury which accumulates in the food web. The highest concentrations among all sampled sites occurred in fish from blackwater coastal-plain streams draining forested land or wetlands in Louisiana, Georgia, Florida, and North and South Carolina, and from basins in the West with gold mines and/or mercury mines. Total mercury concentrations greater than 0.2 micrograms per gram wet weight were detected in game fish in every southeastern state, with total concentrations greater than 0.3 micrograms/gram being detected in Tennessee, Virginia, North and South Carolina, Georgia, Alabama, Mississippi, Louisiana, and Florida. Mercury concentrations in fish at over 70 percent of the sites exceeded the value that is of concern for the protection of fish-eating mammals. Negative physiological effects of mercury on aquatic species have been demonstrated at low concentrations, with elevated concentrations being detected at the top of the food web due to bioaccumulation (Scudder et al. 2009).

Coal combustion waste, or coal ash, poses an acute and chronic threat to aquatic species in areas where wastes are stored. The combustion of coal produces over 129 million tons of solid waste annually (Eilperin 2009). Coal ash contains concentrated levels of chlorine, zinc, copper, arsenic, lead, selenium, mercury, and other toxic contaminants, and improper storage of coal combustion waste has caused pollution of ground and surface waters (EPA 2007b). The

EPA reports that there are at least 584 coal ash dumps across the country, including many in Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North and South Carolina, Tennessee, Virginia, and West Virginia (EPA 2009c). Indiana and Kentucky have the most coal ash ponds, 53 and 44 respectively. The New York Times reports that there are more than 1,300 coal ash dumps across the country, most of which are unregulated and unmonitored (Dewan 2009). Many coal ash ponds were constructed without the guidance of trained engineers and are aging and at high risk of leaking (EPA 2009c).

Coal combustion wastes threaten aquatic communities. Most coal ash storage sites are on waterways, so that they can take in and release water (Dewan 2009). In some areas, entire fish populations have been lost due to pollution from coal combustion wastes (Cherry 1999). Fish consumption advisories have been issued for areas where waterbodies are contaminated with selenium from coal ash disposal sites (Skorupa 1998). Hopkins et al. (1999) reported behavioral, developmental, and metabolic abnormalities in amphibians and reptiles in wetlands that have been contaminated with coal combustion waste in South Carolina. Aquatic communities downstream of coal combustion storage sites are at high risk from accidental spills. In December 2008, a coal ash pond in Kingston, Tennessee ruptured and released over a billion gallons of toxic-laden sludge into the Emory River (Dewan 2009b). Sampling of the spill has shown heavy metal contamination in aquatic habitats, including arsenic, lead, thallium, cadmium, chromium, barium, and nickel (Smith 2009). Another coal ash spill occurred in January 2009 near Stevenson, Alabama.

In sum, southeastern aquatic species are threatened by acute and chronic pollution from a variety of sources. Pollution can undermine efforts to protect species and can drive species to extinction (Neves et al. 1997, FWS 1997).

Global Climate Change and Drought

As aquatic and riparian species, global climate change threatens all of the petitioned species. Climate models project both continued warming in all seasons across the southeast, and an increase in the rate of warming (Karl et al. 2009). The warming in air and water temperatures projected for the southeast will create heat-related stress for fish and wildlife. Increasing water temperatures and declining dissolved oxygen levels in stream, lakes, and shallow aquatic habitats will lead to fish kills and loss of aquatic species diversity (Folkerts 1997, Karl et al. 2009). Climate change will alter the distribution of native plants and animals and will lead to the local loss of imperiled species and the displacement of native species by invasives (Karl et al. 2009). Concerning the effects climate change is expected to have on southeastern environments, Karl et al. (2009) state, “Ecological thresholds are expected to be crossed throughout the region, causing major disruptions to ecosystems and to the benefits they provide to people.”

Climate change will increase the incidence and severity of both drought and major storm events in the southeast (Karl et al. 2009). The percentage of the southeast region experiencing moderate to severe drought has already increased over the past three decades. Since the mid- 1970s, the area of moderate to severe spring and summer drought has increased by 12 percent and 14 percent, respectively. Fall precipitation tended to increase in most of the southeast, but the extent of region-wide drought still increased by 9 percent (Karl et al. 2009). For example, from 2007-2008, the Coosa River watershed in Alabama and Georgia experienced severe drought, and streamflow in the Conasauga River was the lowest recorded in nearly 70 years (U.S. Geological Survey 2007). The threat to aquatic ecosystems posed by drought is magnified both by climate change and by human population growth. A drought in

the southeast from 2005-2006 caused more than a billion dollars in lost crops and “placed massive strain on the water supply system of the affected states, pitting state against state and user against user . . .” (Seager et al. 2009). Decreased water availability coupled with human population growth will further stress natural systems. Human response strategies to decreased water availability will likely include the construction of more dams, which threatens wildlife species which are negatively affected by impoundment, and increased groundwater pumping, which threatens spring and cave obligate species (Karl et al. 2009). Drought, and increased evaporation and evapotranspiration due to warmer temperatures will lead to decreased groundwater recharge and potential saltwater intrusion in shallow aquifers in many parts of the southeast, further exacerbating threats to aquatic organisms (Karl et al. 2009).

Drought inexorably threatens aquatic species. Intense droughts and increasing temperatures resulting from climate change will cause the drying of waterbodies and the local or global extinction of riparian and aquatic species (Karl et al. 2009). Declines of mollusks as a direct result of drought have already been documented (Golladay et al. 2004, Haag and Warren 2008). Populations of amphibians which are dependent on consistent rainfall patterns for breeding, such as those that breed in temporary ponds, could be extirpated by drought (Dodd 1997). Amphibian declines are already linked to climate change globally (Pounds et al. 2006) and in the southeastern United States (Daszak et al. 2005).

The warming climate will likely cause ecological zones to shift upward in latitude and altitude and species’ persistence will depend upon, among other factors, their ability to disperse to suitable habitat (Peters and Darling 1985). Human modifications to waterways, such as dams, and changes to the landscape including extensive development, will make species’ dispersal to more suitable habitat difficult to impossible (Strayer 2006, Buhlmann and Gibbons 1997, FWS 2009). Many species of freshwater invertebrates are likely to go extinct due to climate change (Strayer 2006). Freshwater mussels and snails are capable of moving only short distances and are unlikely to be able to adjust their ranges in response to climactic shifts (FWS 2009). For example, populations of wetland species must be able to disperse if their habitat becomes unsuitable, but wetland habitats are increasingly isolated and surrounded by a hostile landscape matrix (Buhlmann and Gibbons 1997). Deteriorating habitat conditions and obstacles to dispersal place all of the petitioned species at risk of extinction due to global climate change.

Several of the coastal petitioned species are threatened by sea-level rise and increased storm intensity resulting from global climate change including the Florida Keys mole skink, MacGillivray's seaside sparrow, and Louisiana eyed silkworm.

Invasive Species

Invasive species are a major threat to native aquatic plants and animals in the southeast, and are a known threat for 96 of the petitioned species (24 percent). The spread of invasive species has been identified as a primary factor in the imperilment of freshwater fauna and the loss of aquatic biodiversity (Allan and Flecker 1993, Ricciardi and Rasmussen 1999). Invasive species negatively affect native species through competition, predation, and disease introduction. In the southeast, the rate of invasion by exotic species is increasing and placing native freshwater fauna at risk (Folkerts 1997).

Aquaculture is a leading vector of aquatic invasive species including fishes, invertebrates, and plants (Naylor et al. 2001). Introduced Asian carp, which are used to control trematodes in catfish ponds, have become established in rivers throughout the Mississippi Basin where they consume native mollusks and compete for resources with native fishes (Naylor et al. 2001). There are at least 30 species of invasive fish in the Tennessee and Cumberland river basins, including carp, alewife, rainbow and brown trout,

striped bass, yellow perch, and non-native forms of muskellunge and walleye (Etnier 1997). Nonnative mosquitofish (*Gambusia holbrooki*) have been widely introduced for vector control and now compete with native species for resources (Buckner et al. 2002). Gamefish such as trout and bass have been widely introduced and prey on native fish, invertebrates, and amphibians (Herrig and Shute 2002, Kats and Ferrer 2003, Strayer 2006). Native fish fauna in southern Florida have been displaced by tropical species (Folkerts 1997). Further, more than 60 indigenous southeastern fish species have been introduced to drainages where they are not native (Warren Jr. et al. 1997).

Freshwater mollusks are threatened both by invasive fish and by invasive mollusks. The introduction of nonnative fishes such as the round goby (*Neogobius melanostomus*) has indirect negative effects on native mussels due to negative impacts on their host fishes (NatureServe 2009). The invasion of nonindigenous mollusks is one of the primary reasons for the decline of freshwater mussels (Williams et al. 93). Invasive mussels can reach densities of thousands per square meter, outcompeting and literally covering native species (Williams et al. 1993).

The infamous zebra mussel (*Dreissena polymorpha*) uses both rivers and creeks and has been detected in Alabama, Arkansas, Kentucky, Louisiana, Mississippi, Tennessee, Virginia, and West Virginia (NatureServe 2009). Zebra mussels now infest most major Mississippi River tributaries, including the Ohio, Tennessee, Cumberland, and Arkansas Rivers (NatureServe 2009). Zebra mussels are expected to eventually spread to all the navigable rivers in the southeast as well to tributary reservoirs and smaller streams (Jenkinson and Todd 1997). Zebra mussels, and other invasive mollusks, compete with native mussels for food and space, attach to native mussels and weaken or kill them, and alter the suitability of the substrate for native species (Herrig and Shute 2002). Where zebra mussels establish large populations, they are likely to destroy native mussel and snail populations (Jenkinson and Todd 1997). Williams et al. (1993) state that the spread of nonnative mollusks appears “poised to decimate many of the remaining native mussel populations.” Concerning the risk posed to native mussels by the spread of zebra mussels, Neves et al. (1997) state:

“It is almost inevitable that zebra mussel-infested waters will occur in nearly all southeastern states, and that some level of effect will occur to native mollusks. The zebra mussel will probably be the final nail in the coffin of several federally protected mussels that succumb to infestations in large rivers. Other commercial and rare mussels may require endangered species status if the zebra mussel infestations extirpate river and reservoir populations and drastically reduce the ranges of one-time widespread big-river species. The urgency of protection and conservation of native mussels cannot be overemphasized. Natural resource agencies in the southeast must be proactive in efforts to prevent the wholesale extinction of mussels in the direct path of the zebra mussel invasion” (p. 73).

Native southeastern mollusks are also threatened by the invasion of Asian clams (*Corbicula fluminea*). Asian clams spread rapidly throughout every major drainage in the southern United States following their introduction in the 1960's. Asian clams threaten native mussels due to competition for space and food. Neves et al. (1997) caution that juvenile native mussels “may become victims of stress from the highly mobile and abundant young Asian clams” (p. 72).

In addition to fish and mollusks, other southeastern taxa are also known to be threatened by the spread of invasive species. Native crayfishes are threatened by invasive mussels, which can attach to their exoskeletons, and by invasive species of crayfishes and fishes which compete with and prey on native crayfish species (Schuster 1997). Nonnative crayfishes are commonly introduced via “bait-buckets” and

are contributing to the decline of native species (Taylor et al. 1996). Several species of nonnative snails have also invaded the southeast (Neves et al. 1997). Native amphibians are threatened by invasive fish and invasive amphibians which can act as predators, competitors, and disease vectors (Dodd 1997). Additionally, exotic cattle egrets, armadillos, and wild hogs can “exact a substantial toll” on amphibian populations (Dodd 1997). Fire ants also threaten amphibians, as they have been reported to kill metamorphosing individuals (Freed and Neitman 1988).

Many invasive plant species are wreaking havoc on aquatic habitats in the southeast.

Species such as Eurasian watermilfoil (*Myriophyllum spicatum*), alligatorweed (*Alternanthera philoxeroides*), hydrilla (*Hydrilla verticillata*), and water hyacinth (*Eichhornia crassipes*) are thriving in aquatic and wetland habitats and negatively impacting native species (Folkerts 1997, Buckner et al. 2002). Invasive plants displace native plants, alter substrate availability for aquatic invertebrates, and interfere with the food web (Folkerts 1997). Invasive plants threaten several of the petitioned plants including Apalachicola wild indigo, Carolina bishopweed, and Harper’s heartleaf.

Outbreaks of both invasive and native forest-destroying insects have weakened and killed trees in riparian areas and reduced nutrient inputs to aquatic systems (Morse et al. 2007). The petitioned Carolina hemlock is threatened by hemlock woolly adelgid (*Adelges tsugae*). Streamside habitat degradation due to exotic pests also threatens aquatic insect populations in the Southeast due to altered microhabitat conditions (Herrig and Shute 2002).

Invasive species currently pose a critical threat to native aquatic species in the southeast, and this threat is expected to increase in the future as the climate warms and as habitat availability shrinks. Even taxa which are not currently threatened by invasive species are expected to disappear due to future biological invasions as species adjust their ranges and humans continue to accidentally and intentionally transport nonnative species (Ricciardi and Rasmussen 1998).

Inherent Vulnerability of Small Isolated Populations

Two hundred and twenty-four of the petitioned species (55 percent) now exist primarily in small, isolated populations which heightens their risk of extinction. Small, isolated populations are vulnerable to extirpation due to limited gene flow, reduced genetic diversity, and inbreeding depression (Lynch 1996). Population isolation also increases the risk of extinction from stochastic genetic and environmental events including drought, flooding, and toxic spills (FWS 2009). Habitat modification and cumulative habitat degradation from non-point source pollution are also major threats for species which exist in isolated populations. Due to blocked avenues of dispersal or limited dispersal ability, isolated populations “gradually and quietly perish” as habitat conditions deteriorate (FWS 2000).

Synergies and Multiple Causes

The risk of extinction for the petitioned species is heightened by synergies between threats as most species face multiple threats and these threats interact and magnify each other. For example, as habitat availability shrinks, species become more vulnerable to threats from invasive species, pollution, climate change, disease, and other factors. Across taxa, interactions among threats place southeastern aquatic biota at increased risk of extinction. Reptiles are threatened by habitat loss and degradation, invasive species, pollution, disease and parasitism, unsustainable use, global climate change, and synergies between these factors (Gibbons et al. 2000). Freshwater snails are threatened by the combined effects of habitat loss, pollution, drought, and invasive species (Lydeard et al. 2004). Likewise, amphibians are

imperiled by multiple, interacting threats. Stress from the effects of increased UV-b radiation, pollution, and climate change has made amphibians more vulnerable to the spread of disease (Gendron et al. 2003, Pounds et al. 2006). The interaction between climate change and compromised immunity due to various stressors threatens both amphibian populations and entire species (Green and Dodd 2007). Similarly, threats to freshwater fish are “many, cumulative, and interactive” (Herrig and Shute 2002), and fish extirpation is “nearly always attributable to multiple human impacts” (Warren et al. 1997). Any factor which causes the decline of the host-fishes on which mussels depend for reproduction also threatens the mussels, which themselves face multiple threats including impoundment, pollution, and invasive species (Neves et al. 1997). In addition to overarching threats, species are threatened by the combination of “relatively minor but cumulative factors” (Shute et al. 1997). Because of the multifaceted ecological relationships among species, the extirpation of a species can have effects that cascade throughout the community. For example, the decline of bats due to disease, habitat loss, and pollution has cut off the nutrient supply on which many other cave organisms depend, and the decline of mollusks has eliminated a primary food source for freshwater turtles. Shute et al. (1997) state that there has been “a collapse of the complex interactions between the diverse organisms that coevolved in southeastern riverine ecosystems” (Shute et al. 1997, p. 446). The loss of a single species can imperil associated species, highlighting the need to protect entire communities of species simultaneously.

CONCLUSION

The aquatic and riparian species of the Southeastern United States are of global biodiversity significance. Unfortunately, these species face numerous and interactive threats including habitat loss, pollution, climate change, disease, predation, overuse, and the spread of invasive species. Existing regulatory mechanisms are inadequate to prevent the extinction of the hundreds of unique Southeastern species presented in this petition. They merit immediate Endangered Species Act protection to ensure their survival and recovery.

REQUEST FOR CRITICAL HABITAT DESIGNATION

We request and strongly recommend that all known locations for all petitioned species be designated as critical habitat concurrent with species’ listing. Because the survival of the petitioned species is dependent on healthy aquatic and riparian habitat, critical habitat designation should include terrestrial areas within watersheds which are critical to maintaining integral aquatic environments and also water rights to ensure continued surface flows in light of diminishing water resources.

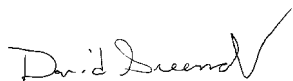
As required by the Endangered Species Act, the Secretary shall designate critical habitat concurrent with determination that a species is endangered or threatened (16 U.S.C. 1533(a)(3A)). Critical habitat is defined by Section 3 of the ESA as:

- (i) the specific areas within the geographical area occupied by the species, at the time it is listed in accordance with the provisions of section 1533 of this title, on which are found those physical or biological features (I) essential to the conservation of the species and (II) which may require special management considerations or protection; and
- (ii) specific areas outside the geographical area occupied by the species at the time it is listed in accordance with the provisions of section 1533 of this title, upon a determination by the Secretary that such areas are essential for the conservation of the species. 16 U.S.C. §1532(5).

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SPECIES ACCOUNTS

Scientific Name:

Acroneuria kosztarabi

Common Name:

Virginia Stone

G Rank:

G1

Range:

This stonefly occurs in Lawrence County, Kentucky and Tazewell County, Virginia (NatureServe 2008).

Populations:

This species is known from two counties. Total range is less than 100 square km. This species is known from five specimens.

Status:

NatureServe (2008) ranks this stonefly as critically imperiled in Virginia and Kentucky. It is a Special Concern Species in Kentucky.

Habitat destruction:

This species is threatened by heavy grazing by beef cattle (NatureServe 2008). It also occurs in an area with extensive surface coal mining (EPA 2005) and could be threatened by coal mine runoff. *Acroneuria kosztarabi* and its habitat will be adversely impacted by the implementation of projects under the Jefferson National Forest plan (USFS 2008).

Inadequacy of existing regulatory mechanisms:

This species occurs on the Jefferson National Forest, where it is a Regional Forester Sensitive Species (USFS 2008), but this designation conveys only discretionary protection. This stonefly is a species of concern in Kentucky, but this designation provides no habitat protection.

References:

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Scientific Name:

Aeschynomene pratensis

Common Name:

Meadow Joint-vetch

G Rank:

G1

Range:

In the United States, this species occurs only in Collier, Dade, and Monroe counties, Florida (Wunderlin 1998). It is also present in parts of the Caribbean and South America (NatureServe 2008)

Habitat:

This plant is found in pine rocklands, marl prairies, cypress domes, and swales (Wunderlin 1998).

Populations:

There are only 11 known occurrences of this species in the United States, and most of these are composed of just a few individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the species seems to be stable in the short-term, but long-term population trends are not known.

Status:

This rare species is endemic to a very small range within Florida, and exhibits narrow habitat preferences. Its preferred habitat is extremely vulnerable to degradation. Only 11 occurrences are known as of May 2000, and all populations are small. NatureServe (2008) ranks the meadow joint-vetch as critically imperiled in Florida, where it is also state-listed as endangered.

Habitat destruction:

This plant is threatened by drainage and conversion of wetlands, particularly for development (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in the state of Florida, this designation offers this species no substantial regulatory protections; no existing regulatory mechanisms adequately protect the meadow joint-vetch or its habitat.

Other factors:

Meadow joint-vetch is potentially threatened by invasive exotic species (NatureServe 2008).

References:

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. Accessed January 25, 2010.

Wunderlin, R.P. 1998. Guide to the Vascular Plants of Florida. University Press of Florida: Gainesville, Florida. 806 pp.

Scientific Name:

Agarodes logani

Common Name:

A Caddisfly

G Rank:

G1

Range:

NatureServe (2008) estimates the range of this species is less than 100 square km (less than about 40 square miles). It appears to be restricted to one stream in Gadsden County, Florida (Rasmussen 2004).

Populations:

This caddisfly was described from specimens collected from a ravine within the Florida A&M University Farm Stream, northeast Florida panhandle (NatureServe 2008). The ravine was revisited by Rasmussen (2004) who surveyed throughout the entire panhandle and only found five specimens in the FAMU Farm Stream.

Status:

Agarodes logani is only known from one stream in Gadsden County, Florida, on an active farm (Rasmussen 2004). It is critically imperiled (NatureServe 2008).

Habitat destruction:

This species occurs in a single stream on a university farm where it is subject to agricultural impacts. Any activity that adversely affects water quality, such as pollution or siltation, could extirpate this species.

Inadequacy of existing regulatory mechanisms:

The lone occurrence of this species is not appropriately protected (NatureServe 2008).

References:

Rasmussen, A.K. 2004. Species diversity and ecology of Trichoptera (caddisflies) and Plecoptera (stoneflies) in ravine ecosystems of northern Florida. Unpublished PhD. Dissertation, University of Florida. 130 pp.

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Scientific Name:

Alasmodonta arcuata

Common Name:

Altamaha Arcmussel

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

The Altamaha Arcmussel is endemic to the Altamaha River system including the Ocmulgee, Little Ocmulgee, Ochopee, and Altamaha rivers (Heard 1975), and as of 2000 was reported as extant in the Altamaha, Ocmulgee, and Little Ocmulgee Rivers (Gene Keferl, Coastal Georgia Community College pers. comm., 2000 in NatureServe 2008).

Habitat:

The Altamaha Arcmussel inhabits sandy mud below sand bars in eddies and slow waters (Johnson 1970), sand bars in mid-channel areas in shallow water (less than 1 m) (Clarke 1981), and backwaters of mainstem rivers in silty sand and detritus (J. Brim Box, pers. obs. in NatureServe 2008).

Populations:

There are an estimated 6-20 populations of Altamaha Arcmussel (NatureServe 2008). This species is known from one river system in Georgia where it occurs on three tributaries-- two sites on the Ocmulgee, three sites on the Altamaha, and one site on the Ochopee. This mussel is known from approximately 12 historical occurrences in the Ocmulgee, Little Ocmulgee, Ochopee, and Altamaha Rivers of Georgia (Clarke 1981). In a 1990s survey of 276 sites throughout the Altamaha River drainage, this mussel was detected at 17 sites (Gene Keferl, Coastal Georgia Community College, pers. comm. 2000 cited in NatureServe 2008). Triannual Unionid Report (1995) reports this species at 43 of 131 stations (33 percent) surveyed in the Altamaha River system.

Historical information on abundance is lacking, but this mussel is known to be rare. NatureServe (2008) states: "Heard (1975) reported *Alasmodonta arcuata* as very rare and only known from a few sites. Johnson (1970) also commented on the rarity of this species, and noted that "not more than a few specimens have ever been collected at any station in this century save for a series of twenty-two specimens collected by H. D. Athearn in 1962 in the Ocmulgee River, below Lumber City, Telfair Co., Georgia." Gene Keferl, Coastal Georgia Community College (pers. comm., 2000 cited in NatureServe 2008), reported that about 170 specimens (1.1 percent of all living mussels) were collected from a total of 276 sites surveyed from 1993 to 1997 in the Altamaha River system. In 1996, 26 *A. arcuata* were collected from a single site on the main stem of the Altamaha River in about 45 minutes. In a survey of 131 stations (93 Altamaha River, 19 Ocmulgee River, 5 Oconee River, 4 Ochopee River, 10 Little Ocmulgee River), 126 specimens were found at 43 stations (anonymous, 1995)."

This mussel may be undersampled because it occurs in backwater sloughs which can be difficult to access.

Population Trends:

The Altamaha Arcmussel is declining (decline of 10-30 percent) in the short-term and has

Status:

The Altamaha Arcmussel is imperiled in Georgia (NatureServe 2008). This species is endemic to only three rivers in the Altamaha River drainage, was historically somewhat rare, and has experienced substantial long-term decline. It was ranked as threatened by the American Fisheries Society (Williams et al. 1993), but its status is being changed to vulnerable (2010 draft, in review). It is classified as endangered by the IUCN.

Habitat destruction:

Loss and degradation of habitat is an imminent and ongoing threat to the Altamaha Arcmussel. There is less than 500 km length of river system which supports this mussel, and adjacent land is being cleared for agriculture. Excessive sedimentation from agriculture, poor land practices, and bank and streambed destabilization threaten the survival of this species. This mussel is also threatened by pollution, eutrophication, and extremely low water levels (NatureServe 2008).

The Georgia Dept. of Natural Resources (Wisniewski 2008) cites excessive sedimentation due to inadequate riparian buffer zones as a threat to this mussel, as sedimentation both covers suitable habitat and potentially suffocates mussels.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Altamaha Arcmussel, and no occurrences are appropriately protected and managed (NatureServe 2008). This mussel is listed as Threatened in the state of Georgia, but this designation does not confer substantial regulatory protection.

Other factors:

Any factor which reduces water quality or negatively affects host fish populations threatens the Altamaha Arcmussel. NatureServe (2008) states that deterioration in water quality and pollution threaten this mussel. This species is also potentially threatened by the invasive Asian clam, *Corbicula fluminea*, which is present in some streams which support this species (NatureServe 2008). The Georgia Dept. of Natural Resources (Wisniewski 2008) reports that direct and indirect competition from the introduced flathead catfish may be reducing native mussel populations through direct consumption of mussels and their host fishes. This species is also particularly vulnerable to extinction due to rarity.

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Wisniewski, J.M., G. Krakow, and B. Albanese. 2005. Current status of endemic mussels in the lower Ocmulgee and Altamaha Rivers. In K.J. Hatcher (ed.) *Proceedings of the Georgia Water Resources Conference*, 25-27 April 2005, Athens, Georgia. 2 pp.

Scientific Name:

Alasmodonta triangulata

Common Name:

Southern Elktoe

G Rank:

G1

Range:

The range of the Southern Elktoe covers 250-1000 square km in the Apalachicola Basin (NatureServe 2008). This mussel is found in the Chattahoochee River system in Alabama and Georgia, the Flint River system in Georgia (Athearn 1998), and the Apalachicola and lower Chipola rivers in Florida (43 historic records) (Brim Box and Williams 2000). It is likely extirpated from the main channel of the Apalachicola and Chattahoochee Rivers (Brim Box and Williams 2000). In Alabama, it is still extant in Lee and Russell counties in Uchee and Little Uchee creeks (Mirarchi et al. 2004).

Habitat:

This species' habitat includes larger creeks and rivers in moderate currents in sand substrate in the vicinity of rocks (Heard 1979, Clench and Turner 1956). In Chattahoochee River tributaries, this mussel was detected in sand bars, but was not detected in muddy sediments or near rocks. It has also been detected in sand and silt substrate (Brim Box and Williams 2000).

Ecology:

Mirarchi et al. (2004) report that the Southern Elktoe is a long-term brooder with glochidia present year round. Glochidial hosts are unknown.

Populations:

There are less than five extant populations of Southern Elktoe, and these populations are very small. Historically this species was known from 29 sites in the four major rivers of the Apalachicola basin of Florida, Alabama, and Georgia. It still exists at only 3 or 4 sites, at one of which, Lake Blackshear, there are only dead shells (Brim Box and Williams 2000, Battle et al. 2003, NatureServe 2008).

Total population size for the Southern Elktoe is estimated at 50 - 1000 individuals, but this is likely an overestimate, as only 14 individuals have been recently reported. This rare species was not historically abundant (Clench and Turner 1956). There are no remaining populations with significant numbers. Brim Box and Williams (2000) only detected two live specimens from a single location in Potato Creek, a tributary of the Flint River in Alabama. Battle et al. (2003) report that nine individuals were found in a survey of six sites in the Elmodel Wildlife Management Area in Ichawaynochaway Creek in southwest Georgia. Only two other specimens have been reported from the Flint River basin since 1991 (Battle et al. 2003). A single live mussel was also detected in Uchee Creek, Alabama, in 1994 (Brim Box and Williams 2000).

Population Trends:

This mussel has "suffered severe declines across its limited range" (NatureServe 2008). It has severely declined in the short term (decline of more than 70 percent in population, range, area occupied, and/or number or condition of occurrences), and has experienced a long-term decline of 75 to 90 percent (NatureServe 2008). Of 29 known sites, this mussel is now only extant at three, and in very low numbers.

Status:

The Southern Elktoe is critically imperiled in Alabama and Georgia (S1), and is not ranked in FL (SNR), where only one live individual has been detected in the past 20 years (NatureServe 2008). It is being ranked as endangered by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

The habitat of the Southern Elktoe is known to be degraded and fragmented (Brim Box and Williams 2000). This species is threatened by dredging activities in the Apalachicola River (Brim Box and Williams 2000). In Georgia, this mussel is threatened by drought and water withdrawals (Battle et al. 2003). The Georgia Dept. of Natural Resources (Wisniewski 2008) reports that this mussel is threatened by habitat fragmentation, population isolation, impoundments, water withdrawals, drought, and sedimentation, stating, "Excessive water withdrawals in the lower Flint River basin coupled with severe drought could cause this species to become extirpated from Georgia." Other potential threats include activities that degrade water quality (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species, and no sites are appropriately protected and managed. This mussel is Endangered in Georgia, and is a Priority 1 Species of Greatest Conservation Need in Alabama, but these designations do not provide substantial regulatory protection. This species has been detected on the Elmodel Wildlife Management Area in Georgia (NatureServe 2008).

Other factors:

This mussel is threatened by any activity which degrades water quality. It is now inherently vulnerable to extinction due to rarity and drastically reduced range and population size.

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Scientific Name:

Alasmodonta varicosa

Common Name:

Brook Floater

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

DD - Data deficient

Range:

The brook floater is historically known from Connecticut, Delaware, Washington, D.C., Georgia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, North Carolina, Pennsylvania, Rhode Island, South Carolina, Vermont, Virginia, and West Virginia (NatureServe 2008). Currently, populations are known in Maine's Aroostook, Cumberland, Hancock, Kennebec, Knox, Lincoln, Penobscot, Piscataquis, Somerset, Waldo, and Washington Counties, but this species is extirpated from the Dennys and Presumpscot Rivers (Nedea et al. 2000). In Massachusetts, populations are reported from the Connecticut River, and possibly the Merrimack drainage, though this species has been extirpated from the Charles River drainage (Smith 2000). In Vermont, the brook floater is present in the lower reaches of the West River, and was historically known in the Connecticut River (Fichtel and Smith 1995, Johnson 1915). In Connecticut, it is known in a few streams in the Connecticut and Thames River watersheds (Nedea and Victoria 2003, pers. obs. as cited in NatureServe 2008). It is known in Pennsylvania's Potomac, Susquehanna, and Delaware River basins (Bogan 1993). In the Delaware River basin, the brook floater has been observed in the Middle Delaware-Mongaup-Broadhead drainage that runs from New York to the Pennsylvania border (Strayer and Ralley 1991). In New Jersey, it is reported from the Stony Brook, Musconetcong, Raritan, Lamington, and upper Delaware Rivers. In Maryland, the North Branch of the Potomac, Upper Potomac, Middle Potomac, and Washington Metro drainages support populations of the brook floater (Bogan and Proch 1995). In Virginia, it is present in the middle James-Willis, the North Fork of the Shenandoah, and parts of the Potomac River, but has been extirpated from the Middle Potomac-Anacostia-Occoquan, the South Fork of the Shenandoah, and the Shenandoah drainages (VA NHP 2007 as cited in NatureServe 2008). West Virginia's Patterson Creek (part of the North Branch Potomac drainage) and Cacapon Town drainages support populations of the brook floater (Clayton et al. 2001, Taylor 1985, WV NHP as cited in NatureServe 2008). Four populations in South Carolina are known in the Beaverdam, Stevens, Turkey, and Mountain Creeks (Alderman 1998); the brook floater is extirpated from the Cooper Santee River basin (Bogan and Alderman 2004). North Carolina hosts 12 populations: the Roanoke, Neuse, Cape Fear, Pee Dee, and Catawba River basins are known to contain the brook floater (Bogan 2002), as are Anson, Burke, Caldwell, Chatham, Forsyth, Granville, Moore, Orange, Randolph, Surry, and Yadkin Counties (LeGrand et al. 2006). This mussel is found rarely at the southernmost edge of its range in the Savannah River basin on the border between South Carolina and Georgia (GA NHP as cited in NatureServe 2008). A fairly large population (less than 1,000 individuals) was recently located in the Suncook River of New Hampshire (Conaboy 2006).

There are fewer than 15 populations of the brook floater in Canada: it is found in parts of New Brunswick's Bay of Fundy drainage (Athearn 1961, 1963) and in few locations in Nova Scotia. This species is considered rare in Canada and listed under COSEWIC as a species of special concern (NatureServe 2008).

Habitat:

A. varicosa inhabits creeks and small rivers, prefers the stable bank conditions afforded by gravel substrates or sandy shoals, and is generally found in riffles and moderate rapids (Nedea et al. 2000, Clark and Berg 1959, Strayer and Ralley 1993).

Ecology:

Brooding period is approximately May-August, but the length of time required for glochidia to complete metamorphosis to juvenile form is unknown. Species known to host glochidia include the long-nose dace (*Rhinichthys cataractae*), golden shiner (*Notemigonus crysoleucas*), pumpkinseed (*Lepomis gibbosus*), marginated madtom (*Noturus insignis*), yellow perch (*Perca flavescens*), blacknose dace (*Rhinichthys atratulus*), and slimy sculpin (*Cottus cognatus*) (Schulz and Marbain 1998). Juveniles disperse via host fish vectors (lodged in gills), and adults are sessile, though passive movement by water currents may occur (NatureServe 2008).

Populations:

Approximately 150 historic occurrences are known, 60 - 80 of which have been extirpated. It is believed that more populations have been destroyed than will be discovered in the future (NatureServe 2008). Global population size is unknown (NatureServe 2008). Though the brook floater occurs over a wide geographic range, populations are sparsely distributed, generally small, and of dubious viability.

Population Trends:

The brook floater has disappeared from up to 80 sites across its range, and remaining populations are experiencing steep declines (NatureServe 2008). NatureServe (2008) reports that the brook floater has experienced substantial decline of up to 75 percent.

The brook floater is extirpated in Rhode Island (Raithel and Hartenstein 2006). Only a few populations of this species remain in Connecticut, and they are small and show little evidence of successful reproduction (Connecticut Department of Environmental Protection 2003). It is extirpated in the Cooper-Santee and Pee Dee River basins in South Carolina (Bogan and Alderman 2004). Reproductive success in the Potomac River is reportedly negligible.

This mussel has declined drastically in New Hampshire. The New Hampshire Fish and Game Department (2006) states: "Based on evidence of recruitment and abundance observed during CPUE surveys in 1993 and 1995, the Blackwater, Suncook, Soucook, and the North Branch Sugar River populations appear the most robust. Nevertheless the North Branch Sugar River population is small and insular and therefore at risk of harm from pollution and habitat degradation. Mussel populations end abruptly at the North Branch and Sugar River confluence where water quality is low (von Oettingen, USFWS, personal communication). Long-term monitoring of the Piscataquog River Henry Bridge population shows a decline in mussel density from 0.4 per meter squared in 1996 to 0.02 in 1999 (Wicklow, Saint Anselm College, unpublished data). A mussel bed on the South Branch of the Piscataquog River, monitored periodically since 1993, has been nearly extirpated. The coastal watershed populations are at high risk of extirpation."

This species is nearly extirpated in New York. The New York Natural Heritage Program states: "Formerly widespread in southeastern New York, this species has disappeared from many sites since the 1950's and is now extremely rare in the state. Populations in the Housatonic and Passaic basins have apparently disappeared and surveys of nearly a dozen historical populations

throughout the Susquehanna River watershed in 1991 turned up only 1 living animal. Populations in the Shawangunk Kill and Delaware River basins (Lellis 2001) are sparse and limited in extent. Only the Neversink River population currently appears healthy although it also apparently declined by an estimated 38,000 individuals during the mid-1990's (Strayer and Jirka 1997)."

There are only four remaining populations of this species in Massachusetts, and their viability is questionable. The Massachusetts Division of Fisheries and Wildlife (2009) states: "Recent studies indicate that the extant populations in Massachusetts are significantly fragmented, low in density, and prone to mortality due to old age and poor condition. A few patches of brook floaters with densities high enough to be considered viable exist, however, they exhibit a high degree of spatial clustering and are significantly isolated from one another. There is growing concern that some populations have dwindled to the point where reproduction is unlikely and persistence beyond the life span of the remaining individuals is improbable."

Status:

NatureServe (2008) lists the brook floater as critically imperiled in Connecticut, Maryland, Massachusetts, New Hampshire, New Jersey, New York, North Carolina, Vermont, Virginia, and West Virginia, imperiled in Georgia, and Pennsylvania, vulnerable in Maine, and extirpated from Delaware. It is not ranked or currently under review in Washington, D.C. and South Carolina. It is state-listed as endangered in Connecticut, Delaware, Maryland, North Carolina, New Hampshire, New Jersey, and Virginia, and as threatened in Maine, New York, and Vermont. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Across its range, habitat degradation from impoundments, channel alteration, logging, mining, agriculture, and development is a primary threat to this species and has already resulted in the extirpation of many populations (New Hampshire Fish and Game Department 2006, Massachusetts Division of Fisheries and Wildlife 2009, New York Natural Heritage Program 2009, Virginia Department of Game and Inland Fisheries 2010). Habitat fragmentation further threatens the brook floater. Long-term studies indicate that stream fragmentation disrupts *Alasmodontia* life cycles, prevents host fish migration, blocks gene flow, and prohibits recolonization, resulting in reduced recruitment rates, decreased population densities, and a higher probability of extirpations (Wicklowsky 2004).

Overutilization:

Collection of local populations for biological supply or other purposes may be a localized threat to this species (NatureServe 2008). The population in the Penobscot River in Maine is thought to have been harvested in 1993 (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No occurrences are known to be adequately protected at this time (NatureServe 2008). Some populations in North and South Carolina occur on unmanaged Forest Service land, and one Maryland population is located within the Sideling Hill Creek Bioreserve managed by the Nature Conservancy (NatureServe 2008). Though the brook floater is listed as threatened or endangered in several states, these designations afford it no substantial regulatory protection.

Other factors:

Pollution is a primary threat to the brook floater because it appears to be highly sensitive to low dissolved oxygen levels, pollution, and siltation (Connecticut Department of Environmental Protection 2003). Toxic releases from wastewater treatment plants, poultry and hog processing plants, and siltation and pesticide runoff from a variety of sources threaten this species' survival (Virginia Department of Game and Inland Fisheries 2010). Displacement by the Asiatic clam, *Corbicula fluminea*, and the zebra mussel, *Dreissena polymorpha*, may also threaten this species (Clarke 1984, Connecticut Department of Environmental Protection 2003). Remaining populations of *A. varicosa* are generally small and isolated and thus may be vulnerable to extirpation by local stochastic events or inbreeding depression. The Massachusetts Division of Fisheries and Wildlife (2009) states: "The persistence of brook floaters in Massachusetts seems to be closely tied to its survival and reproduction within isolated areas that are highly vulnerable to random events such as mortality related to floods, droughts, predators, poorly planned development or disturbance, pollution, or even trampling." The darter and sculpin host fishes of this species are also sensitive to water quality, and the floater is threatened by any factor which threatens the fish populations on which it depends for reproduction. In New York, this species is threatened by hybridization with *A. marginata*; many intermediate individuals, not assignable to either species, were found in the Susquehanna River basin in the mid 1990's (Strayer and Fetterman 1999).

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Scientific Name:

Allocaonia brooksi

Common Name:

Sevier Snowfly

G Rank:

G2

Range:

This species is known from four occurrences in Hawkins Co., Sevier Co., and Sullivan Co., Tennessee (NatureServe 2008).

Populations:

There are four occurrences of this stonefly. Population information is not available.

Status:

NatureServe (2008) ranks this species as imperiled.

Habitat destruction:

All populations of this species are experiencing severe impacts from poor agricultural practices and development (Kondratieff and Kirchner 1999).

According to Kirchner et al (2002), "all the areas in Tennessee where the above species [including *A. brooksi*] have been collected are highly impacted by past and current agricultural practices and other disturbances. These species are, no doubt, imperiled throughout their ranges, and it is strongly suggested that potential listing should be considered."

Inadequacy of existing regulatory mechanisms:

This species is not protected by any existing regulatory mechanisms.

References:

Kirchner, R.F. B.C. Kondratieff and R.E. Zuellig. 2002. The Tennessee Type Locality Of *Allocaonia Perplexa* And A New Kentucky Location For *Allocaonia Cunninghamsi*. *Entological News* v.113 n.5 pp.332-335, November & December.

Stark, B.P. 1996. Last updated 16 February 2001. North American Stonefly List. Online. Available: <http://www.mc.edu/campus/users/stark/Sfly0102.htm>.

Scientific Name:

Allocapnia cunninghami

Common Name:

Karst Snowfly

G Rank:

G1

Range:

Allocapnia cunninghami is known from three occurrences in Sumner Co., Tennessee, one in Cumberland Co., Kentucky, and more recently it was found in Adair, Allen, Metcalfe, and Monroe counties, Kentucky.

Habitat:

According to Kirchner et al. (2002), "*Allocapnia cunninghami* has been taken only in spring-fed streams."

Populations:

Population information is not available for this species. It is now known from six counties.

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks *A. cunninghami* as critically imperiled in Kentucky and Tennessee. According to Kirchner et al. (2002), "all the areas in Tennessee where the above species [including *A. cunninghami*] have been collected are highly impacted by past and current agricultural practices and other disturbances. These species are, no doubt, imperiled throughout their ranges, and it is strongly suggested that potential listing should be considered."

Habitat destruction:

This snowfly is threatened across its narrow range. All known sites for this species are experiencing severe impacts from poor agricultural practices and development (Kirchner et al. 2002, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species.

References:

Kirchner, R.F., B.C. Kondratieff and R.E. Zuellig . 2002. The Tennessee Type Locality Of *Allocapnia Perplexa* And A New Kentucky Location for *Allocapnia Cunninghami* (Plecoptera:capniidae). *Entomological News* 113(5): 332-335.

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Scientific Name:

Allocaupnia fumosa

Common Name:

Smokies Snowfly

G Rank:

G2

Range:

According to NatureServe (2008), *Allocaupnia fumosa* is known from high elevation rheocrenes of the Mt. Rogers National Recreation Area (Grayson Co., Smyth Co.), Virginia and Great Smoky Mountain National Park (Haywood Co., Macon Co., North Carolina; Sevier Co., Tennessee).

Habitat:

This stonefly is restricted to high elevation springs that flow directly from the ground.

Populations:

NatureServe (2008) indicates that the Smokies Snowfly is known from less than 20 occurrences in high elevations in VA, NC and TN.

Status:

NatureServe (2008) ranks *A. fumosa* as critically imperiled in Virginia and vulnerable (S3?) in Tennessee. It is not ranked in North Carolina.

Habitat destruction:

The habitat for *A. fumosa* is threatened by logging and acid deposition (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

A. fumosa occurs in the Great Smoky Mountains National Park (Parker et al. 2007). Occurrences outside the park are not protected, and even within the park the species is threatened by acid deposition.

References:

Parker, C.R., et al. 2007. Ephemeroptera, Plecoptera, Megaloptera, and Trichoptera of Great Smoky Mountains National Park. *Southeastern Naturalist*, Vol. 6, Special Issue 1: The Great Smoky Mountains National Park All Taxa Biodiversity Inventory: A Search for Species in Our Own Backyard, pp. 159-174.

Stark, B.P. 1996. Last updated 16 February 2001. North American Stonefly List. Online. Available: <http://www.mc.edu/campus/users/stark/Sfly0102.htm>.

Scientific Name:

Alnus maritima

Common Name:

Seaside Alder

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The seaside alder is native to the southeastern United States, and is present across a disjunct range in small areas of Oklahoma, southwestern Delaware, eastern Maryland, and one location in Georgia. The largest populations occur along the Nanticoke River in Delaware and eastern Maryland, and others are known elsewhere on the Delmarva Peninsula (NatureServe 2008). Natural heritage records indicate this species is present in Delaware's Kent and Sussex Counties, in Georgia's Bartow County, in Maryland's Dorchester, Wicomico, and Worcester Counties, and in Oklahoma's Johnston and Pontotoc Counties. Though formerly present there, it is reportedly extirpated from Maryland's Cecil County (NatureServe 2008).

Habitat:

It is found along streambanks and pond edges, often in standing water (NatureServe 2008).

Ecology:

This species often reproduces clonally: a single occurrence may be comprised of hundreds of individuals originating from the same root system (NatureServe 2008).

Populations:

It is believed that there are approximately 50 occurrences across this species' range, but determining total population size is difficult due to clonal reproduction (NatureServe 2008).

Population Trends:

Population trends are not reported.

Status:

Though this species is locally abundant in some small areas, including parts of the Nanticoke River, there are few occurrences overall. NatureServe (2008) reports that the seaside alder is critically imperiled in Georgia, imperiled in Oklahoma, and vulnerable in Maryland and Delaware. It is categorized as near threatened by the IUCN.

Habitat destruction:

This tree species is highly sensitive to the impacts of channelization, damming, drainage of marshy and riparian areas, and to the effects of residential, industrial, and agricultural development on the semi-aquatic habitat that it requires. NatureServe (2008) reports that it is resilient to some environmental change if suitable habitat remains, but if significant alterations to habitat occur, it is susceptible to exclusion by changed successional patterns.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the seaside alder.

References:

Scientific Name:

Alosa alabamae

Common Name:

Alabama Shad

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

The shad is an anadromous species that occurs in Alabama, Arkansas, Florida, Georgia, Illinois, Kentucky, Louisiana, Missouri, Mississippi and Oklahoma. It used to occur in Iowa and Tennessee (NatureServe 2008). NatureServe (2008) lists historical range as including the Gulf Coast from the Suwannee River, Florida, to the Mississippi River, westward in the Ouachita River system to eastern Oklahoma. Remaining populations occur in the Apalachicola River system below Jim Woodruff Lock and Dam, in the Pascagoula River drainage of Mississippi, in the Conecuh and Choctawhatchee rivers in southcentral and southeastern Alabama, and the Mobile River drainage of Alabama (Robison and Buchanan 1988, Mettee et al. 1996, Ross 2001, Boschung and Mayden 2004, Mettee 2004, NatureServe 2008). The species has undergone major range contraction with records in Mobile Bay and the Alabama River limited to single adults (Mettee et al. 1996). The shad used to occur as far north as Keokuk, Iowa in the Mississippi, at Louisville in the Ohio River, and in eastern Oklahoma (Evermann 1902, Coker 1930, Moore 1957, Miller and Robison 2004). Today, spawning populations of the shad are believed to still occur in the Apalachicola, Choctawhatchee, Conecuh, Pascagoula, Ouachita, Missouri, Gasconade, Osage, and Meramec Rivers (NMFS 2008).

Habitat:

This species migrates from the ocean to medium to large coastal rivers to spawn (Etnier 1997). In Florida, spawning occurred at 19-22 C over coarse sand and gravel in moderate current (Laurence and Yerger 1966, Mills 1972). Young have been observed in swift water over rocky shoals (NatureServe 2008).

Populations:

NatureServe (2008) estimates only six to 20 populations. Mettee et al. (1996) observed that there are only two known extant spawning runs in the Mississippi River System with other spawning runs occurring in the Florida Panhandle and southern Alabama. Populations are small and the species is considered very rare in large portions of its historic range (Lee et al. 1980, Robison and Buchanan 1988, Etnier and Starnes 1993, Pflieger 1997, NatureServe 2008). Based on their own and others surveys, Mettee and O'Neil (2003) concluded that spawning populations of shad are "relatively small."

Population Trends:

NatureServe (2008) lists decline in short-term trend of as much as 30 percent, further noting that the shad is probably declining in number of populations and population size at an unknown rate. The shad has likely experienced dramatic long-term declines (see below in status).

Status:

The Alabama shad was once an abundant species that supported commercial fisheries in Alabama, Arkansas, Kentucky, Indiana and Iowa (NMFS 2008). The species has declined dramatically because of habitat loss and degradation caused by impoundments, pollution, dredging and other factors (NatureServe 2008, NMFS 2008). It is considered severely depleted in the Mississippi River System

with small numbers of fish found sporadically and is likely limited to only two spawning runs (Meramec River, Missouri, and Ouachita River, Arkansas) (Lee et al. 1980, Page and Burr 1991, Pflieger 1997, NatureServe 2008). Surveys in Arkansas in both the Arkansas and Mississippi Rivers did not yield any specimens (Buchanan 1976, Sanders et al. 1985, Carter 1984; Pennington et al. 1980, 1983; Beckett and Pennington 1986). It is likely extirpated in Oklahoma, Tennessee, Iowa and Louisiana, and severely reduced in Alabama, where it may be extirpated from the upper Tombigbee, Cahaba, Coosa, and upper Alabama rivers (Etnier and Starnes 1993, Ross 2001, Mettee and O'Neil 2003, Miller and Robison 2004, Mirarchi et al. 2004). Mettee (2004) classify this fish as a "high conservation concern taxa." It is categorized by the IUCN as endangered, and by the American Fisheries Society (Jelks 2008) as threatened due to habitat loss and overutilization. In a meeting between the Southeastern Fishes Council and the Center for Biological Diversity, there was a unanimous consensus that the shad should be listed as threatened (SFC and CBD 2010).

AFS (2008) lists as threatened because of threats to habitat and over-exploitation.

NatureServe (2008) ranks this species as critically imperiled in Arkansas, Georgia, Kentucky, Louisiana, and Mississippi, imperiled in Alabama, Missouri, and Oklahoma, historical or extirpated in Iowa, Indiana, and Tennessee, and not rated in Florida or Illinois.

Habitat destruction:

The Alabama shad has experienced widespread declines because of loss of habitat to dams, rapid urbanization, pollution and other factors (Mettee and O'Neil 2003, Mirarchi et al. 2004, NMFS 2008). The shad has been cut-off from many historical spawning areas by dams and locks (Robison and Buchanan 1988, Etnier 1997, Mirarchi et al. 2004). For example, dams on the lower Tombigbee and Alabama Rivers built in the 1960's resulted in steep declines in shad populations in the Mobile River Basin due to loss of spawning areas (Barkuloo et al. 1993, Mettee and O'Neil 2003, NMFS 2008, NatureServe 2008). Mettee (2004) list agricultural operations, dredging, and possible reservoir construction for water supply on major tributaries as major threats to remaining populations in Alabama. Similarly, Mettee and O'Neil (2003) list construction of locks and dams and dredging as causes of decreasing shad populations. These threats likely apply throughout the species' range. Jelks et al. (2008) lists the Alabama shad as threatened because of the present or threatened destruction, modification or reduction of habitat and range.

There are currently new reservoirs proposed on Murder Creek, the Little Choctawhatchee and on smaller tribs that further threaten the shad (SFC and CBD 2010).

Overutilization:

NatureServe (2008) notes that commercial fishing in the Ohio River was a threat historically, but with the crash in fish numbers, there is no longer a commercial fishery. Jelks et al. (2008) describe this species as threatened in part because of over-exploitation for commercial, recreational, scientific, or educational purposes including intentional eradication or indirect impacts of fishing.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) states that it is unknown whether any occurrences of Alabama shad are appropriately protected and notes that a "primary management need is the creation of fishways so that shad can migrate through or around locks and dams."

Mississippi lists the shad as a tier 1 "species of greatest conservation need." Tier 1 species are those "that are in need of immediate conservation action and/or research because of extreme rarity, restricted distribution, unknown or decreasing population trends, specialized habitat needs and/or habitat vulnerability. Some species may be considered critically imperiled and at risk of extinction/extirpation." This designation, however, provides no regulatory protection for the shad.

Alabama also lists as a "species of greatest conservation need" with a priority of 2. Although the state of Alabama has developed a "comprehensive wildlife comprehensive strategy," this strategy is entirely voluntary and provides no regulatory protection for the shad (see <http://www.outdooralabama.com/research-mgmt/cwcs/outline.cfm>; accessed March 12, 2009). There is also no evidence that it will ensure the survival and recovery of the shad and indeed, the strategy does not provide any specific protections for the shad.

The shad is also listed as a species of special concern by the state of Georgia and the National Marine Fisheries Service. As above, these designations do not provide any regulatory protection.

Other factors:

The Alabama shad is threatened by pollution from a variety of sources and by drought. Mettee (2004) list increased sedimentation, pesticide runoff from agricultural operations, and prolonged drought as major threats to remaining populations in Alabama. Similarly, Mettee and O'Neil (2003) list siltation and water pollution as causes of decreasing shad populations.

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Scientific Name:

Amblyopsis spelaea

Common Name:

Northern Cavefish

G Rank:

G4

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The northern cavefish occurs in underground waters of the Pennyroyal and Mitchell plateaus, from the Mammoth Cave karst ecosystem in Kentucky north into southern Indiana (Pearson and Boston 1994). This fish is not known to occur in caves north of the East Fork of the White River in Indiana or in caves south or west of the Mammoth Cave system (Keith 1988). The species occurs across its historic range, but has been lost from many sites (FWS 2003).

Habitat:

This fish occurs in cave streams, springs, and spring basins (Keith 1988).

Populations:

Pearson and Boston (1994) documented this fish in just over 100 caves, and estimate total population size as at least 5600 individuals. This estimate is considered to be conservative based on limited underground habitat accessibility. Population sizes are small, and typically range from 1-23, and at some sites up to 220 individuals (Keith 1988, NatureServe 2008).

Population Trends:

Trend information is unavailable for this species. Pearson and Boston (1994) report that two populations have been lost. Culver and Pipan (2009) report the extirpation of this fish at a site in Indiana that was destroyed by quarrying. FWS (2003) report that 49 historical sites have been lost.

Status:

Within this species' very limited range, many historical sites have been extirpated. NatureServe (2008) ranks the northern cavefish as critically imperiled in Indiana and vulnerable in Kentucky. This fish is classified as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat degradation and narrow range. It is listed as endangered by the state of Indiana.

Habitat destruction:

This species' highly restricted habitat is located at or near local base level and is vulnerable to virtually any natural or anthropogenic disturbance (Keith 1988). Threats to karst ecosystems, including the Mammoth Cave Karst Aquifer, come from a variety of agricultural, urban, and transportation landuse practices. Clearcuts and logging roads threaten this fish due to sedimentation and reduced litter input (Pearson and Boston 1994). Mining is a known threat to this fish. Zink Cave, Indiana, was almost completely quarried away, resulting in the extirpation of a population of this species (Culver and Pipan 2009). The northern cavefish is threatened by impoundment. The construction of dams on the Green River in Kentucky raised the water level in Mammoth Cave which increased silt deposition and reduced the input of particulate organic matter, a major food supply in the aquatic environment (Proudlove 2001). Threats to this species from habitat loss and degradation are ongoing. The Blue River basin in Indiana and the Kentucky Karst have been identified as "very endangered" ecosystems by the Karst Waters Institute (in Proudlove 2001). Jelks et al. (2008) list habitat loss as a threat to this species.

Overutilization:

Collection is a documented threat to this species (Keith 1988).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. This fish occurs in Mammoth Cave National Park, which provides some degree of habitat protection but leaves the fish vulnerable to recreational impacts. Occurrence in a National Park also does not provide protection from water quality degradation, the primary threat to this species. This fish is listed as endangered by the state of Indiana, but this designation does not provide substantial protection for the species' habitat. It is a special concern species in Kentucky.

Indiana and Kentucky both have cave protection laws that prohibit a number of activities in caves, including dumping or burning in caves and removing, killing, harming, or otherwise disturbing naturally occurring cave dwelling organisms (IC 35-43-1-3 "The Indiana Cave Protection Law"; Ky. Acts ch. 168, sec. 7, effective July 15, 1988). These laws do provide some protection for the northern cavefish. They do not, however, prohibit the many activities that occur outside caves, but severely affect the cave environment, including impoundment, logging, agriculture and other activities.

Other factors:

The northern cavefish is highly threatened by water pollution. Karst aquifers are unique in that runoff enters the aquifer with little or no infiltration through sinkholes, springs, and underground streams. Karst groundwater travels at very high velocities, comparable to surface streams which can cause re-entrainment of cave sediments. Karst groundwater may also permeate bedrock features which can act as storage reservoirs, keeping contaminants stagnant for long periods of time. Due to these features, groundwater found in karst is highly susceptible to contamination (Webster et al. 2003). This fish is threatened by urban and suburban development and road runoff, sedimentation from logging, mining, impoundments, and other activities, and alteration of surface runoff patterns (Keith 1988, Pearson and Boston 1994). Pollution from municipal sewage treatment plants, confined animal feeding operations, and pesticides from agriculture and lawns also threaten this species (Keith and Poulson 1981, Aley and Aley 1997). Keith and Poulson (1981) identified pesticides as the cause of "broken back syndrome" in a population of this fish.

A looming and severe threat to the northern cavefish is a recently discovered disease called "white-nose syndrome," which has decimated bat populations in caves across the Northeast and is rapidly spreading south and west (see <http://www.srs.fs.usda.gov/news/443>). White-nose syndrome has already been documented in Tennessee and is expected to reach Kentucky in the near future (Ibid.) Because bats are a primary source of nutrients to cave ecosystems their loss could have wide ranging consequences for many if not all other cave residents, including the northern cavefish.

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Scientific Name:

Amblyscirtes linda

Common Name:

Linda's Roadside-skipper

G Rank:

G2

Range:

Linda's Roadside skipper is endemic to a small area of the lower Midwest, centered in and near the Ozarks. This butterfly is found in the southern 60 percent or so of Missouri and immediately adjacent parts of Illinois, Kentucky, Tennessee, Arkansas and Oklahoma (NatureServe 2008).

Habitat:

This butterfly is found only along streams in undisturbed hardwood forests in and near the Ozark region. Populations are extremely localized and individuals usually stay near the foodplant, Indian woodoats (*Chasmanthium latifolium*).

Ecology:

All stages of this species' lifecycle are above ground. Presumably immatures have some adaptation to withstanding periodic short term flooding (NatureServe 2008).

Populations:

There are an unknown number of occurrences of this species, which is rare and localized within its very limited range. Most of its range is in the Missouri Ozarks, and the Missouri NHP reports there is little chance it has over 100 viable metapopulations and a good chance it has fewer than 20.

Population Trends:

Population data are not available for this species. It is expected to decline precipitously due to escalating gypsy moth eradication efforts.

Status:

This taxon has a very limited range and is rare within it, so much so that its status is unknown. The Missouri Natural Heritage Program ranks this species as "imperiled?"(S2?), which forms the basis of its imperiled global rank. It is ranked as imperiled in Tennessee and as S1S3 in Arkansas (critically imperiled to vulnerable). It is not ranked in other states. NatureServe (2008) states, "This taxon is not secure, and may be imperiled." Illinois' Department of Natural Resources rates it as a Conservation Priority Invertebrate in the state's Comprehensive Wildlife Conservation Plan. In Kansas it is rated a Species of Greatest Conservation Need.

Habitat destruction:

Because this rare butterfly is a habitat specialist which occurs only in riparian areas of undisturbed hardwood forests in the Ozarks, it is highly vulnerable to habitat loss and degradation from logging and fire.

According to Vaughan and Shepherd (2005), *A. linda* "requires fairly undisturbed stream side habitat in deciduous forests and its major threats are from forest management operations, especially logging and spraying... It is likely that with the spread of gypsy moth, Btk spraying will become a particular threat. There is also concern about the impacts on larvae of pollen drifting from adjacent fields planted with Bt corn. Because this butterfly occurs in small, isolated

populations, it is probably more susceptible to habitat disturbance and fragmentation.”

Other factors:

NatureServe (2008) reportst that this butterfly will probably become highly threatened by gypsy moth spraying in another 20 or 30 years.

Because of its rarity and limited distribution, it is vulnerable to stochastic events and natural disturbances. Fires may threaten some occurrences, and floods may also pose a threat to some populations but many skipper larvae seem to tolerate some flooding (NatureServe 2008).

This butterfly is also threatened by gypsy moth eradication efforts and by drift of Bt pesticides used in agriculture (NatureServe 2008).

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Scientific Name:

Ambystoma barbouri

Common Name:

Streamside Salamander

G Rank:

G4

IUCN Status:

NT - Near threatened

Range:

The Streamside Salamander occurs in Indiana, Ohio, Kentucky, Tennessee, and West Virginia. The core of this salamander's range occurs in north-central Kentucky, southeastern Indiana, and southwestern Ohio. Disjunct populations occur in western Kentucky, westernmost West Virginia, and central Tennessee on the Inner Nashville Basin subregion of the Interior Plateau (Scott et al. 1997, Watson and Pauley 2005, Niemiller et al. 2006, NatureServe 2008). The range of the species is further described in Kraus and Petranks (1989) and Kraus (1996).

Habitat:

The Streamside Salamander is found in upland forests in close proximity to streams (Conant and Collins 1998). It occurs in regions of rolling topography, largely in areas with limestone bedrock, but has also been found in areas with sandstone and shale (Kraus and Petranks 1989). Adult Streamside Salamanders use underground burrows and above ground cover objects such as rocks, downed wood, and leafy debris for cover and to stay moist. Breeding occurs most often in first and second-order streams with limestone substrate. Breeding can also occur in ponds. In one study, larval abundance was highest in stream pools with filamentous green algae (*Cladophora* sp.) which provides both cover from predators and microhabitat for prey (Holomuzki 1989).

Ecology:

In autumn, the Salamander migrates from deciduous forests to breeding streams where the prolonged breeding season extends from December to April regardless of precipitation (Conant and Collins 1998). The Streamside Salamander generally breeds in first and second-order streams with limestone bedrock, and females deposit eggs singly as opposed to similar species which breed in streams and ditches and lay eggs in clumps (Conant and Collins 1998). Streamside Salamanders also deposit fewer eggs and larvae are larger than similar species. Eggs are deposited on the underside of flat rocks, most often in pools but occasionally in runs. The Streamside Salamander selects breeding sites that reduce exposure of larvae to predatory fish (Kats and Sih 1992). Reproductive success is higher in ephemeral streams with natural barriers to block fish (Kraus and Petranks 1989).

Populations:

More than 80 sites were mapped of this species by Kraus (1996), but not all of these may represent distinct occurrences, and there may be more sites for this species (NatureServe 2008). Some *Ambystoma barbouri* populations may have been misidentified as *A. texanum* (Watson and Pauley 2005). Total population size is unknown for this salamander. NatureServe (2008) estimates that adult population likely exceeds 10,000 salamanders.

Population Trends:

NatureServe (2008) reports the short term trend for this species as declining to stable, and the long term trend as moderately declining to relatively stable. The species appears to be declining in Tennessee. Niemiller et al. (2006) found Streamside Salamanders at only four of six known breeding locations, and at only 5 of 40 surveyed sites in southern Rutherford, northern Bedford,

and northeastern Marshall counties (NatureServe 2008).

Status:

The Streamside Salamander is critically imperiled in West Virginia, imperiled in Tennessee, vulnerable in Indiana, apparently secure in Kentucky, and unassessed in Ohio (NatureServe 2008). It has experienced widespread habitat loss and degradation over its relatively small extent of occurrence (NatureServe 2008). It is categorized as Near Threatened by the IUCN.

Habitat destruction:

The Streamside Salamander has declined due to the loss of native forests for agriculture and urban development (Petranka 1998, NatureServe 2008, AmphibiaWeb 2009). This salamander's habitat in Kentucky is undergoing rapid development (Petranka 1998), and one of the two known populations in West Virginia was lost due to urbanization (Watson and Pauley 2005). In Tennessee, what is possibly the last remaining population in the state is imminently threatened by development (Niemiller et al. 2006). Deforestation is also a threat to this species. Where surrounding land has been logged, this salamander is usually not detected (Petranka 1984). Dodd (1997) lists siltation as a threat to the Streamside Salamander. The Ohio Division of Wildlife reports that the Streamside Salamander is threatened by logging, urbanization, pollution of stream habitats by acid mine drainage, pesticides, and the channelization and scouring of streams (http://www.ohioamphibians.com/salamanders/Streamside_Salamander.html).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous" (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: "There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranka et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations" (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: "Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat

fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranka et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: “Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

Petranka (1984) identifies predation as a significant source of mortality for Streamside Salamander populations. Fish predation may restrict this species to upper portions of breeding streams (Petranka 1983). Sih et al. (1992) report that of the 30-40 percent of larvae which drifted into pools with fish, that only 6-8% survived to drift out. Flatworms and water snakes are also known to prey on Streamside Salamander larvae (Kats 1986, Petranka et al. 1987, Sih and Moore 1993 in AmphibiaWeb 2009). In conjunction with other threats, natural predation could increasingly threaten this species.

Native amphibians in the Southeast potentially face predation pressures from introduced species of fishes and from cattle egrets, armadillos, and wild hogs (Dodd 1997). Amphibian populations can also be negatively affected by increases in populations of native predators such as raccoons (Dodd 1997) and corvids (Liebezeit 2002).

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to amphibian population declines (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, reviewed in AmphibiaWeb 2009: (<http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and it is imperative that equipment be disinfected so that research efforts to protect species do not inadvertently introduce this fungus or other pathogens to imperiled amphibian populations.

In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that several occurrences of this species are found on small preserves in Kentucky, but that additional protection is needed (Petranka 1998). There are no existing regulatory mechanisms to protect this species. The Streamside Salamander does not have state protection in Indiana, Ohio, Kentucky, or West Virginia. It is a species of Management Concern in Tennessee, but this does not provide the Salamander with any tangible protection.

Other factors:

Stochastic weather events pose a threat to the Streamside Salamander. Petranka (1984) reports that stream drying and flooding are significant mortality sources for this species.

Other factors which may threaten the streamside salamander include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal

effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

During the past few decades, levels of UV-B radiation in the atmosphere have significantly increased. For amphibians, UV-B radiation can cause direct mortality as well as sublethal effects including decreased hatching success, decreased growth rate, developmental abnormalities, and immune dysfunction (Dodd 1997, AmphibiaWeb 2009: <http://amphibiaweb.org/declines/UV-B.html>).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians. Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter

surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Ammodramus maritimus macgillivraii

Common Name:

MacGillivray's Seaside Sparrow

G Rank:

T2

Range:

This subspecies is patchily distributed within a narrow coastal fringe on the Atlantic coast from Dare County in northeastern North Carolina south to Duval County in northeastern Florida (NatureServe 2008). *Ammodramus maritimus macgillivraii* (Audubon, 1834) includes *A. m. waynei* (Oberholser, 1931), *A. m. pelonotus* (Oberholser, 1931), and *A. m. shannoni* (Bailey, 1931) (Post et al. 2009). This subspecies no longer occurs in the southern extent of its historical range, and now ranges only south to the St. John's River (Kale 1983).

Habitat:

The seaside sparrow is a habitat specialist of salt and brackish marshes that generally requires nest sites above spring tides, and openings in vegetation such as pools and creek edges, so that the birds can forage on open mud and at the bases of rooted vegetation (Post et al. 2009). Optimum habitats for this species contain contiguous nesting and feeding areas (Post et al. 2009).

MacGillivray's seaside sparrow uses extensive stands of *Spartina* and/or *Juncus*. Some birds will nest behind the marsh or up tidal rivers when tidal amplitude is high (Sprunt 1927, Tomkins 1941, Post and Greenlaw 1994).

Populations:

The number of populations of MacGillivray's seaside sparrow is unknown. Based on a very crude estimate made using 1:250,000 scale map series of the Atlantic Coast Ecological Inventory (U.S. Fish and Wildlife Service 1980), there are sixteen estimated elemental occurrences between Dare County, North Carolina, and Duval County, Florida. Total population size is also unknown. The distribution of this subspecies is limited by tidal extremes and abundance of predatory rice rats (Post and Greenlaw 1994). The Florida population is estimated at 750-1,000 pairs (McDonald 1988, Kale 1996).

Population Trends:

Population trend information is unavailable for this subspecies. Breeding Bird Survey data indicate that the seaside sparrow species as a whole is stable to increasing, but these data are based on a limited number of routes and do not cover the range of the *macgillivray* subspecies (Post and Greenlaw 1994, Post et al. 2009). It is known that this subspecies has been extirpated from the southernmost portion of its range. MacGillivray's seaside sparrow once ranged into Volusia County, but now occurs only as far south as Duval County (Kale 1983).

Status:

This habitat specialist is patchily distributed within a narrow stretch of southeastern Atlantic coastline, and its distribution is limited by tidal extremes and predation. Populations south of the St. John's River have been extirpated. NatureServe (2008) ranks the subspecies as imperiled in Florida (T2S2). The seaside sparrow species as a whole is designated as a high priority landbird by South Carolina Partners in Flight and South Atlantic Migratory Bird Initiative. It does not have protective status in any state.

Habitat destruction:

MacGillivray's seaside sparrow is a habitat specialist that needs extensive areas to survive and is thus particularly vulnerable to habitat loss and degradation. Loss of coastal wetlands is ongoing due to development and other factors. Wetland loss in the U.S. coastal zone has accelerated about 0.5 percent annually since the mid-1950s, and Florida is one of the states where tidal wetland loss has been greatest (Post et al. 2009). NatureServe (2008) reports that occurrences of this subspecies have been destroyed and degraded by development of marshes, development of adjacent uplands, bridge building, and invasion of marsh land by woody vegetation. Kale (1996) reports that this subspecies is threatened by invasion of tidal marshes by woody vegetation and by management activities which artificially prolong the impoundment of marshes for waterfowl or mariculture.

The Florida Fish and Wildlife Conservation Commission (2005) reports that the sparrow's salt marsh habitat is very highly threatened by fragmentation, coastal development, and sedimentation, and highly threatened by the construction of roads, bridges and causeways, incompatible industrial operations, dam operations and the incompatible release of water, climate variability, inadequate stormwater management, surface water withdrawal, channel modification, and incompatible wildlife and fisheries management strategies.

In the 1970's, this subspecies disappeared from seemingly suitable habitat south of the St. Johns River (Kale 1983, Kale 1996). It is hypothesized that invasion of the marsh habitat by mangroves and DDT spraying for mosquito control contributed to the extirpation (Enge et al. 2003).

Disease or predation:

Predation is a primary threat to MacGillivray's seaside sparrow, and rice rat nest predation presumably limits the distribution of this subspecies (Post and Greenlaw 1994). The main known cause of nest failure in north Florida is assumed to be predation by rice rats, which accounted for 28 percent of losses in a field study (Post 1981). Fish crows also predate on seaside sparrow nests, and were responsible for 12 percent of losses in the 1981 study (Post 1981).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect MacGillivray's seaside sparrow or its habitat. NatureServe (2008) states that it is unknown whether any occurrences are appropriately protected, and that extensive tracts of habitat are necessary to protect this bird. The Florida Natural Areas Inventory (2001) reports that Nassau County, where the major portion of the population resides, has very little marsh under state or federal ownership. Audubon (2002) reports that Duval and Nassau tidal marshes support virtually the entire Florida population of MacGillivray's seaside sparrow, but that the Nassau marshes are mostly unprotected. Root and Barnes (2006) report that 46 percent of the sparrow's potential habitat is in public ownership. There are no existing regulatory mechanisms which adequately protect this subspecies.

Other factors:

MacGillivray's seaside sparrow is threatened by several other factors including hurricanes, global climate change, human disturbance, pollution, unknown factors, and population sensitivity to adult survivorship.

Seaside sparrows are vulnerable to local extirpation by hurricanes or other severe storms that cause tides to inundate all the marsh vegetation (Enge et al. 2003). The hurricane of August 1992 is estimated to have reduced the *Mirabilis* subspecies of seaside sparrow from 6,000 to 4,000 individuals (Pimm et al. 1994). Global climate change is expected to increase both the frequency and intensity of hurricanes on the Atlantic coast (Karl et al. 2009). In addition, this subspecies is threatened by projected sea-level rise due to climate change (Audubon 2002). During the next century, a predicted sea-level rise of 2-4 plus millimeters per year, in conjunction with increased storm frequency, will accelerate loss of tidal marshes (Erwin et al. 2006). Climate change is also expected to favor the invasion of salt marshes by mangroves, which can make the habitat unsuitable for use by seaside sparrow (Kale 1983, Enge et al. 2003). Long-term changes in sparrow productivity resulting from succession can be expected even in protected tidal wetlands (Enge et al. 2003). High marshes provide only marginal sparrow habitat (Reinert et al. 1981).

Pollution, such as oil spills, also threatens this subspecies (NatureServe 2008). It is hypothesized that DDT spraying contributed to the extirpation of this subspecies south of the St. Johns River (Enge et al. 2003).

Root and Barnes (2006) developed population models for this subspecies and found adult survivorship to be the most influential parameter on population growth, with a 5 percent reduction in fecundity resulting in a 50 percent decline in abundance.

Unknown factors may be responsible for inexplicable absences in seemingly suitable habitat (Kale 1983, Kale 1996, NatureServe 2008).

The Florida marshes which support this subspecies are also threatened by human disturbance (Audubon 2002).

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Scientific Name:

Amorpha georgiana var. *georgiana*

Common Name:

Georgia Leadplant

G Rank:

T2

Range:

Also known as the Georgia indigo bush, this species was historically documented from the Inner and Middle Coastal Plain of North Carolina, the South Carolina Sandhill region, and the Altamaha Grit region of Georgia, but is now thought to be restricted to North Carolina because no occurrences have been confirmed in other states since the mid-20th century (NatureServe 2008, SCHAT 1993). In North Carolina, natural heritage records indicate the species is present in Cumberland, Harnett, Hoke, Lee, and Moore Counties, and possibly in Pender, Richmond, Robeson, and Scotland Counties (TNC 1991-1993, NCNHP 1993, NatureServe 2008).

Habitat:

This plant occurs primarily on pine, shrub, and wiregrass (*Aristida stricta*) terraces along rivers and/or large streams, and also in low flatwoods, creek swamps, and low pastures (NatureServe 2008, NCU 1992-93). Almost all currently known occurrences are found along the Little River in Fort Bragg, NC, close to the annual high water mark; this species usually occurs at or near the ecotone between flood-prone banks and mesic terrace habitat above. Dominant co-occurring tree species are loblolly (*Pinus taeda*) and longleaf pine (*P. palustris*) and various oak species (e.g., *Quercus marilandica*, *Q. falcata*, *Q. incana*, *Q. stellata*, and *Q. nigra*). The shrub layer may vary from dense to sparse, and is commonly composed of summersweet (*Clethra alnifolia*), huckleberries (*Gaylussacia* spp.), and *Rhododendron* species (NatureServe 2008). This plant favors clearings, such as small gaps created by treefall, selective cutting, flooding, or fire.

Ecology:

The leadplant is a perennial, clonal shrub (NatureServe 2008)

Populations:

There are currently 17 known occurrences of Georgia leadplant, all in North Carolina. Global population size is unknown and is difficult to estimate given the clonal pattern of spread exhibited by this species (NatureServe 2008).

Population Trends:

This species is in decline, and occurrences are no longer known in two states where the species was formerly present (NatureServe 2008).

Status:

This plant is rare and declining throughout its range; only 17 known occurrences remain. Is restricted to sensitive habitat threatened by numerous factors. NatureServe (2008) ranks the Georgia leadplant as critically imperiled in Georgia and South Carolina, and imperiled in North Carolina.

Habitat destruction:

Threats to the Georgia leadplant include damming and diversion of rivers or other water bodies and correspondent changes in local hydrology, conversion of upland terraces to agricultural or silvicultural uses, fire suppression, and, particular to populations on Fort Bragg, the effects of military training activities (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Georgia leadplant or its habitat; though it is listed as a species of special concern in South Carolina, this designation offers it no substantial regulatory protection.

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Scientific Name:

Amphinemura mockfordi

Common Name:

Tennessee Forestfly

G Rank:

G2

Range:

This stonefly is known from Grundy Co., Tennessee, on the Cumberland Plateau, and more recently from Madison Co., Alabama (NatureServe 2008).

Habitat:

Nelson (1997) describes the habitat of *A. mockfordi* as "small headwater streams or seeps of the Cumberland Plateau."

Populations:

This species is known from two counties. Population data are not available.

Population Trends:

Morse et al. (1993) believe *A. mockfordi* may be extirpated.

Status:

NatureServe ranks this stonefly as imperiled in Tennessee and not rated in Alabama.

Habitat destruction:

NatureServe (2008) states that this species' habitat in Tennessee is "greatly impacted by poor landscape management practices," which likely includes threats from logging, agriculture, and potentially coal mining.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Amphiuma pholeter

Common Name:

One-toed Amphiuma

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The One-toed Amphiuma occurs on the lower Gulf Coastal Plain of Florida, Alabama, Georgia, and southeastern Mississippi in a narrow area from Jackson County, Mississippi, to Levy and Hernando counties, Florida, in an area 80-120 km inland from the seashore (Means 1996, 2005, Floyd et al. 1998). In Georgia, there are two known locations in the Ochlockonee River drainage (Means 1996). There are also two known locations in Alabama, and approximately 40 known locations in Florida (Means 2005, NatureServe 2008).

Habitat:

AmphibiaWeb (2009) provides the following description of One-Toed Amphiuma habitat: "Means (1977) analyzed the habitat qualities of 13 localities of one-toed amphiumas and found that individuals are primarily found in deep, liquid, amorphous mucks derived from hardwood and cypress litter. The most important habitat variables associated with one-toed amphiumas are (1) streams of low-moderate gradient; (2) swampy and periodically inundated floodplains; (3) mixed bottomland hardwoods and cypress; (4) seepage; and (5) vulnerability to drought. Muck, as compared with peat, is usually liquid, and decomposition of the organic material in it has progressed so far that it is relatively amorphous, not having large pieces of wood and leaf litter. Amphiumas cannot locomote through fibrous peat and are rarely found in shallow muck deposits of < 15 cm (6 in) deep (personal observations), presumably because it increases their vulnerability to predators such as raccoons" (<http://www.amphibiaweb.org>).

Ecology:

Conant and Collins (1998) describe the One-Toed Amphiuma as "a secretive salamander of muck-bottomed stream floodplains and other mucky habitats where it feeds on insects and other invertebrates" (p. 426). Embryos and hatchlings of this species have not been detected, but it is possible that brooding females coil around eggs during development (Means 1996, AmphibiaWeb 2009). Amphiuma species are obligate neotenes and hatchlings likely have thin, feathery gills and a brief larval period before metamorphosing into air-breathing juveniles (AmphibiaWeb 2009). Amphiumas might undergo seasonal migrations. AmphibiaWeb (2009) states: "Means (2001a) noted that in winter, one-toed amphiumas were occasionally found under large logs buried along stream courses in first-order stream valleys where the species is not found in the spring, summer, or fall. Means (2001a) speculated that some individuals migrated upstream into seepage heads of first-order valleys to find protection from cold weather in warm seeps."

One-toed Amphiumas consume small invertebrates including the following organisms reported from stomach content analyses (Means 2001a in AmphibiaWeb 2009): sphaeriid clams, physellid snails, aquatic earthworms (*Sparganophilus* spp.), asellid isopods, the larvae of mayflies, tipulid flies, chironomid midges, culicid mosquitoes, stoneflies, megalopterans, tabanid flies, adults and larvae of small aquatic beetles, planarians, and occasional terrestrial beetles and lepidopteran larvae that drop onto the surface of the muck. Amphiumas are potentially eaten by reptiles and other amphibians including common snapping turtles (*Chelydra serpentina*), mud turtles (*Kinosternon*

subrubrum), mud snakes (*Farancia abacura*), red-bellied water snakes (*Nerodia erythrogaster*), brown water snakes (*N. taxispilota*), queen snakes (*Regina septemvittata*), ring-necked snakes (*Diadophis punctatus*), cottonmouths (*Agkistrodon piscivorus*), two-toed amphiumas, southern leopard frogs (*Rana sphenoccephala*), and bronze frogs (*R. clamitans*; Means, 2001a) (in AmphibiaWeb 2009).

Populations:

NatureServe (2008) reports that there are from 21-80 populations of One-Toed Amphiuma. Because of the difficulty of sampling and the cryptic nature of this species, total population size is unknown. Means (2005) reports that at most sites, only one to two individuals are detected in several person hours of rigorous searching.

Population Trends:

Current information on One-Toed Amphiuma population trend is inadequate. The species is thought to be declining to stable in the short term and moderately declining to relatively stable in the long term (NatureServe 2008).

Status:

The One-Toed Amphiuma is critically imperiled (S1) in Alabama, Georgia, and Mississippi, and vulnerable (S3) in Florida (NatureServe 2008). It is classified as Near Threatened by the IUCN. It is a Tier 1 species of greatest conservation need in Mississippi. It is a Priority 2 species of greatest conservation need in Alabama. It is a designated Rare species in Georgia.

Habitat destruction:

NatureServe (2008) reports that the habitat of the One-toed Amphiuma is subject to several potential threats including stream pollution, ground water disturbance, logging, mining, power plant sludge, and runoff, and emphasizes that this amphibian is very habitat-dependent and the maintenance of nonpolluted muck is essential for its conservation. Enge (2005) cites logging, groundwater use, siltation from dirt roads and cleared lands, impoundment, and poor management of adjacent upland habitat as threats to amphibian species in ravine habitats in the Florida Panhandle, including *A. pholeter*. The State of Georgia reports that the one-toed amphiuma is threatened by agricultural activity and associated herbicides, pesticides, and fertilizers, by habitat loss due to alteration of stream hydrology for both drainage and impoundment, and by siltation from various types of development (http://georgiawildlife.dnr.state.ga.us/assets/documents/gnhp/amphiuma_pholeter.pdf). The State of Mississippi reports that the amphiuma's habitat is highly threatened by altered fire regime, withdrawal of surface and groundwaters, logging, and development (<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Type%208.pdf>).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Vast acreages of wetlands have been destroyed or altered in the Southeast (Dodd 1997). Aquatic amphibian habitats in the Mobile River Basin have been severely degraded by impoundment, channelization, dredging, mining for coal, sand, and gravel, discharge from industrial and municipal sources, and nonpoint discharge and run-off (LaClaire 1997, p. 329). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared

in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous” (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranks et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: “Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranks et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states:

“Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

Because of its rarity, overcollection by herpetological enthusiasts is a potential threat to the One-toed Amphiuma. Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009:

<http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

The State of Georgia reports that the one-toed amphiuma is threatened by predation and indirect mortality from feral hogs

(http://georgiawildlife.dnr.state.ga.us/assets/documents/gnhp/amphiuma_pholeter.pdf)

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, see <http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009). In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

Native amphibians in the Southeast potentially face predation pressures from introduced species of fishes and from cattle egrets, armadillos, and wild hogs (Dodd 1997). Amphibian populations can also be negatively affected by increases in populations of native predators such as raccoons (Dodd 1997) and corvids (Liebezeit 2002). Enge (2005) cites feral hogs as a threat to amphibians in the Florida Panhandle including the one-toed amphiuma.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species. It is a Tier 1 species of greatest conservation need in Mississippi, meaning it is classified as being in need of immediate conservation action and/or research because of extreme rarity, restricted distribution, unknown or decreasing population trends, specialized habitat needs and/or habitat vulnerability. It is a Priority 2 species of greatest conservation need in Alabama. It is a designated Rare species in Georgia. These state designations do not afford the Amphiuma any regulatory protection.

NatureServe (2008) reports that the Amphiuma occurs on several managed state and federal areas, and that the westernmost occurrence in Mississippi is on protected land. NatureServe (2008) provides the following management recommendations: "Entire drainage basins (including uplands) need to be preserved. Protect occurrences in at least 10 different drainages, preferably including at least one occurrence each in Georgia and Alabama. Establish state limits on collecting if exploitation is extensive."

Other factors:

Other factors which may threaten the amphiuma include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats. Enge (2005) cites water pollution, recreation, and trash dumping as threats to the one-toed amphiuma and other amphibians in the Florida Panhandle.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic life stages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: "Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis" (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: "If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations" (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to

minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

During the past few decades, levels of UV-B radiation in the atmosphere have significantly increased. For amphibians, UV-B radiation can cause direct mortality as well as sublethal effects including decreased hatching success, decreased growth rate, developmental abnormalities, and immune dysfunction (Dodd 1997, AmphibiaWeb 2009: <http://amphibiaweb.org/declines/UV-B.html>).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians. Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Anodonta heardi

Common Name:

Apalachicola Floater

G Rank:

G1

Range:

NatureServe (2008) reports the range of the Apalachicola Floater to be 250-1000 square km (about 100-400 square miles) in Alabama, Florida, and Georgia, but Williams et al. (2008) report that this species does not actually occur in Alabama, describing its range as the Coastal Plain reaches of the Apalachicola Basin in Florida and Georgia. This mussel is known from the Chattahoochee River near the junction with the Flint River, approximately 32 km downstream of the Alabama-Florida state line. The Brim Box and Williams (2000) report of this species from Alabama was a misidentification (Williams et al. 2008). This species may have occurred in Alabama historically (Williams et al. 2008).

Habitat:

The Apalachicola Floater inhabits floodplain lakes and rivers in mud where there is slow to no current (Deyrup and Franz 1994). One known site for this species is a backwater area with relatively deep water and a substrate made up of mud and packed-sand (Gordon and Hoeh 1993). Wisniewski (2008) describes this species' habitat as mud, sand, or detritus substrates in lakes, oxbows, sloughs, and backwaters.

Ecology:

Wisniewski (2008) states that the brooding period for this species is presumed to parallel that of the barrel floater (*Anodonta couperiana*), which exchanges gametes during late summer and broods until mid-November. The host fish for the Apalachicola floater is unknown.

Populations:

There are approximately four populations of Apalachicola Floater. There are three locations in Florida-- the Apalachicola River in Gadson County and in Calhoun County, and Tanvat Pond in Jackson County (Gordon and Hoeh 1993). This mussel also occurs in a tributary of the Flint River in Georgia (Brim Box and Williams 2000). Total population size for this mussel is low and is crudely estimated at 50 - 2500 individuals (NatureServe 2008). This mussel is known from very few individuals or shells at any one site.

Population Trends:

Available data indicate that this species, which was described in 1995, is declining (Brim Box and Williams 2000).

Status:

NatureServe (2008) ranks the Apalachicola Floater as critically imperiled in Alabama, Florida, and Georgia. This species is known from only a handful of occurrences in three adjoining river systems, with all occurrences represented by few individuals. It is "probably the most fragile of the *Anodonta* species in North America in terms of intrinsic vulnerability" and faces "imminent threats" (NatureServe 2008). It is listed as rare by the state of Georgia. This newly described species is being ranked as vulnerable by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

The Georgia Museum of Natural History describes the Apalachicola Floater as being "very susceptible to changes within its habitat." Habitat degradation and loss have contributed to the decline of this species, including the construction of impoundments, dredging of the Apalachicola River to maintain a barge channel, and water withdrawals (NatureServe 2008). NatureServe (2008) states that this mussel appears to have experienced some decline in overall habitat quality due to imminent threats and may experience more as development impacts its native range.

Wisniewski (2008) lists the following threats to this species: "Habitat fragmentation may isolate populations and prevent fish movement, limiting the distribution of host fishes carrying glochidia. Additionally, construction of impoundments could further fragment populations and inundate suitable habitat. Excessive water withdrawals in the lower Flint River basin coupled with severe drought could cause this species to become extirpated from Georgia. Excess sedimentation due to inadequate riparian buffer zones and incompatible agricultural practices may also cover suitable habitat and could potentially suffocate individuals."

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Apalachicola Floater, and no occurrences are appropriately protected and managed (NatureServe 2008). It is listed as rare by the state of Georgia, but this designation does not provide any regulatory protection. It has no state status in Alabama or Florida.

NatureServe (2008) provides the following management recommendations for this mussel: "End channel dredging of Apalachicola River. Monitor and attempt to control Asian clam. Protect Apalachicola and Chipola rivers from pollution, siltation, impoundment, and other disturbance; this must include headwaters in Alabama and Georgia. Limit withdrawal of surface and subterranean waters as necessary to maintain normal stream flows, especially during drought. Protect floodplain forests and at least 150 ft. (ca. 50 m) of adjoining upland from timber harvest, livestock, and development. Situate roads at least 0.25 mi. (0.4 km) from heads of all tributaries, and even more on steep slopes. Use silt fencing and vegetation to control runoff and siltation at all stream crossings, especially during construction and maintenance. Prohibit dredging and damming of streams and river. Avoid introduction of non-native invertebrates, especially zebra mussel (*Dreissena polymorpha*). Use and maintain sewer systems rather than septic tanks and stream-dumping for management of waste water. Ban use of agricultural pesticides on porous soils near streams. Identify and maintain fish populations that serve as mussel larval hosts."

Other factors:

Other factors which threaten the Apalachicola Floater include pollution, invasive species, and small population size. Pollution is believed to have contributed to the decline of this mussel, particularly agricultural runoff (NatureServe 2008). The spread of exotic species, including the Asiatic Clam (*Corbicula fluminea*) and Zebra Mussel (*Dreissena polymorpha*), threaten the Apalachicola Floater. In addition, because this species exists in very low numbers at only five or so sites, it is inherently vulnerable to extinction due to stochastic genetic or environmental events.

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Scientific Name:

Anodontoides radiatus

Common Name:

Rayed Creekshell

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The Rayed Creekshell occurs in Alabama, Florida, Georgia, Louisiana, and Mississippi. This mussel occurs from the Tickfaw River system in Louisiana (Vidrine 1985) to the Apalachicola, Chattahoochee and Flint (ACF) rivers. It is apparently absent from the Yellow, Choctawhatchee, and Chipola rivers (Deyrup and Franz 1994), but was recently detected in the Pea River. It occurs in the Tombigbee-Alabama River system in Alabama and Mississippi, and the Conecuh-Escambia system in Alabama (Heard 1975), although there are no known records of its occurrence from the latter drainage in Florida. Johnson (1967) reported it as absent from the intervening Choctawhatchee River system and the Chipola River of the Apalachicola River system. Blalock-Herod et al. (2005) confirmed the distribution gap in the Choctawhatchee River drainage based on historical literature, but found 12 new sites (11 in Alabama, 1 in Florida) there during recent survey efforts, mostly in small tributaries (NatureServe 2008).

Habitat:

Although the rayed creekshell is known from large rivers, most collections are from small to medium-sized creeks where it occurs in mud, sand, or gravel substrates in slow to medium currents (Clench and Turner 1956, Jenkinson 1973, Heard 1979, NatureServe 2008). Mirarchi et al. (2004) provide the following description of this species' habitat: "most commonly in small to medium sized coastal plain streams, but historical records exist from larger rivers as well (Brim Box and Williams 2000). Typically occurs in sand or silt substrata in areas of low to moderate current (Brim Box and Williams 2000, Haag et al. 2002, Blalock-Herod et al. 2005)."

Ecology:

Mirarchi et al. (2004) state that the ecology of this species is poorly known, but that gravid females have been detected in September and December (Brim Box and Williams 2000), suggesting that it is a long-term brooder. Glochidial hosts are unknown, but because closely related species are generalists, it may be able to use a variety of host fishes.

Populations:

The Rayed Creekshell is sporadically distributed in five southeastern states-- Alabama, Florida, Georgia, Louisiana, and Mississippi (NatureServe 2008). This mussel was historically known from 21 occurrences in the ACF Basin, but in a recent survey it was detected at only four of 324 surveyed sites (Brim Box and Williams 2000). Johnson (1967) cites historical sites in the Alabama-Coosa River System including the Tombigbee River drainage in Mississippi and Alabama, the Coosa River drainage in Alabama, and the Alabama River drainage in Alabama. In the Escambia River System, Johnson (1967) cites the Conecuh River drainage in Alabama, the Chattahoochee and Flint River drainages in Georgia, and the Apalachicola River drainage in Florida. In the Escambia River drainage this mussel was collected historically at 10 occurrences from tributaries and the main stem of the Conecuh River. Recently in a survey of the Escambia and Yellow rivers, it was detected at six sites, but was absent at all of the resurveyed historical locations, and appears to now be restricted to small, isolated tributaries in Alabama (Williams et al. 2000). In the Pea River system (Choctawhatchee River system), this mussel was recently detected at only one of approximately 50 surveyed sites (Blalock et al. 1998). Blalock-

Herod et al. (2005) confirm the distribution gap in the Choctawhatchee River drainage based on historical literature, and report a new site in Florida and 11 new sites in Alabama, mostly in small tributaries. Pilarczyk et al. (2006) surveyed 24 sites in the Choctawhatchee River drainage but did not detect this species. In the Coosa River basin in Georgia, this mussel was historically known from the Etowah and Oostanaula River drainages, but there have been no recent live detections there (Williams and Hughes 2001). Vidrine (1993) reported Louisiana distribution as western Louisiana, the Taucipano River in eastern Louisiana, and other scattered locations. Brown and Banks (2001) report this species from eastern Louisiana in the Amite and Tangipahoa Rivers.

Little is known about the rayed creekshell's historical abundance, but it was likely rare. NatureServe (2008) states: "Museum records suggest that historically it was seldom collected in large numbers, and today it is unusual to find more than a few individuals at a site. Clench and Turner (1956) noted that *Anodontoides radiatus* was "exceedingly rare" in the ACF Basin. Heard (1975) listed *A. radiatus* among species he considered to have a reduced range or abundance (i.e., are now very rare or extinct in part of their present or past range, respectively). One of the largest collections of *A. radiatus* was made by H. H. Smith on 25 June 1915 in Uchee Creek (Russell County, Alabama). The collection totaled 24 individuals (Brim Box and Williams, 2000). In a recent survey of the Escambia River drainage 15 live individuals were collected from six sites in upper tributaries (Williams et al., 2000), while a single live individual was found in the Pea River watershed (Blalock et al., 1998)."

Population Trends:

The Rayed Creekshell is declining in the short term (decline of 10-30 percent) and moderately declining to relatively stable in the long term (NatureServe 2008). This species appears to have been rare and sporadically distributed historically, and is currently experiencing a reduction in both distribution and abundance. NatureServe states: "Clench and Turner (1956) noted that it was "exceedingly rare" in the ACF Basin. Heard (1975) listed this species among species he considered to have a reduced range or abundance (i.e., are now very rare or extinct in part of their present or past range, respectively). Williams et al. (1993) considered the rayed creekshell to be of special concern throughout its range, indicating that it should be carefully monitored. It may be nearly extirpated in Florida (formerly in Mosquito Creek, Apalachicola River basin, see Clench and Turner, 1956). Based on the results of a recent survey, it was assigned a conservation status of endangered in the ACF Basin (Brim Box and Williams, 2000). Williams et al. (2000) considered the rayed creekshell to be threatened in the Escambia River drainage. Pilarczyk et al. (2006) did not find any specimens in a survey of 24 sites of the Choctawhatchee, Yellow, and Conecuh-Escambia River drainages of Alabama in 2004."

Status:

NatureServe (2008) ranks the Rayed Creekshell as critically imperiled in Alabama, imperiled in Georgia, Louisiana, and Mississippi, and state historical in Florida. The IUCN ranks it as Near Threatened. Though this species has a wide range, there have been recent reductions in both number of sites and abundance per site. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The Rayed Creekshell is threatened by stream modification, sedimentation resulting from bank destabilization, runoff from agricultural areas, and pollutants from point and non-point sources (NatureServe 2008). Any land-use activity that degrades water quality threatens this species' habitat. Blalock-Herod et al. (2000) report that this mussel is threatened by proposed impoundments in the

Choctawhatchee River drainage. Wisniewski (2008) provides the following account of threats to this species: "Habitat fragmentation may isolate populations and prevent fish movement, limiting the distribution of host fishes carrying glochidia. Additionally, construction of impoundments could further fragment populations and inundate suitable habitat. Excessive water withdrawals in the Lower Flint River Basin coupled with severe drought could cause this species to become extirpated from Georgia. Excess sedimentation due to inadequate riparian buffer zones and incompatible agricultural practices may also cover suitable habitat and could potentially suffocate individuals. Rapid development of the northern extent of the Flint River Basin could severely impact the remaining populations of this species." The Mississippi Dept. of Wildlife, Fisheries, and Parks (2010) reports that mussels in the Tombigbee Drainage are highly threatened by channel modification, agriculture, forestry, resource extraction, industrial development, dams, and headcutting. Gillies et al. (2003) report that urbanization threatens this species in the Atlanta area.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species, and no occurrences are appropriately protected and managed (NatureServe 2008). It is listed as Threatened in the state of Georgia and is a Species of Greatest Conservation Need in Alabama and Mississippi, but these designations do not provide the mussel or its habitat with substantial regulatory protection. It has no state status in Florida or Louisiana.

Other factors:

The Rayed Creekshell is threatened by any factor which degrades water quality. Wisniewski (2008) lists drought as a threat to this species.

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Scientific Name:

Antrorbis breweri

Common Name:

Manitou Cavesnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Manitou Cavesnail consists of less than 100 square km in Alabama (NatureServe 2008). This species is known only from its type locality, a cave in Fort Payne, DeKalb County, Alabama (Hershler and Thompson 1990, Mirarchi 2004).

Habitat:

This snail lives in the uppermost portion of a small (less than 1 m) cool stream that emerges amongst limestone rubble. The stream cascades through several narrow openings into a shallow (1-2 cm) pool in a small, rectangular, cement-lined structure (Hershler and Thompson 1990).

Populations:

There is only one population of Manitou Cavesnail, and total population size is crudely estimated at 50-2500 individuals (NatureServe 2008). Hershler and Thompson (1990) report that snails were extremely scarce at the time of collection.

Population Trends:

This species was recently identified, and no population trend data are available.

Status:

NatureServe (2008) ranks the Manitou Cavesnail as critically imperiled, and the IUCN ranks this species as vulnerable.

Habitat destruction:

Because the Manitou Cavesnail occurs at only a single location, it is extremely vulnerable to habitat degradation. Hershler and Thompson (1990) report that the cave where this species occurs was somewhat disturbed at the time of species' collection. The cave was formerly a commercial cave, but has been gated since 1980. Because a single habitat disturbing event could eradicate this species, even though the cave is now gated, this species' habitat is still vulnerable to illegal entry or potential future re-opening of the cave. Cave environments are very sensitive to perturbations, and can be degraded by activities that occur outside of the cave environment (Scott 2004). Scott (2004) states: "Subterranean ecosystems, aquatic and terrestrial, are extremely delicate environments with stable, constant temperatures, humidity, air circulation patterns, chemical characteristics, and detrital inputs. Even minor perturbative events can result in large kills of cave fauna. Threats include agricultural, industrial, and residential pollutants, especially pesticides and herbicides (which may simply leach through soils); erosion and siltation caused by destruction of vegetation at sink perimeters; changes in detrital input; pumping of water; collection of fauna; invasive exotic species; and disturbance of fauna or nutrient reserves by spelunkers and divers . . . Degradation of surface habitats may also threaten cave fauna" (p. 77).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Manitou Cavesnail. The single cave where this species occurs is currently gated. This species needs Endangered Species Act protection to ensure that its habitat is protected in perpetuity.

Other factors:

The Manitou Cavesnail is threatened by any factor which degrades the water quality or alters the environmental conditions to which it is adapted. Activities inside or outside the cave environment that alter the quality, temperature, or availability of water threaten this snail, including pollution, groundwater development, drought, or global climate change. The water that supplies the cave was once part of the municipal water supply for Fort Payne. As freshwater resources become more scarce, future diversion of this water supply could jeopardize the existence of this snail.

References:

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Scientific Name:

Aphaestracon asthenes

Common Name:

Blue Spring Hydrobe Snail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Blue Spring Hydrobe Snail consists of less than 100 square km in Volusia County, Florida (NatureServe 2008). This snail occurs only at its type locality, Blue Spring, in Blue Springs State Park, west of Orange City (Burgess and Franz 1978, Franz 1982, Johnson 1973, Thompson 1968, 1999).

Habitat:

This snail occurs in the upper portion of a spring run where plants and bottom debris are sparse (Thompson 1968, Franz 1982).

Populations:

There is only one population of this species, and population abundance is unknown (NatureServe 2008).

Population Trends:

Bleasdale et al. (2009) and Jnbaptiste et al. (2009) report that this snail is declining and is now present in lower densities than in 1992-1993.

Status:

The Blue Spring Hydrobe is critically imperiled (G1S1) (NatureServe 2008). It is categorized as Vulnerable by the IUCN. It is a C2 federal listing candidate (F.R. 84-05-22) in need of full ESA protection.

Habitat destruction:

NatureServe (2008) reports that deteriorating water quality due to erosion and runoff potentially threaten the single occurrence of this species. The Florida Wildlife Conservation Commission (2009) reports that spring habitats in the state are very highly threatened by nutrient loading from agricultural and urban runoff, and by invasive plants and animals. Bleasdale et al. (2009) report that "there is evidence suggesting chemical changes to the waters of Blue Spring and the St. John's River from direct spilling or dumping, runoff and flow rate changes from land use in the recharge basin, and/or seepage of chemicals into the groundwater source for Blue Spring." They also report that this species' habitat is threatened by the introduction of exotic species such as the Vermiculated Sailfin Catfish (*Pterygoplichthys disjunctivus*), which uses the long algal filaments that are a habitat component for the snail as a food source. Jnbaptiste et al. (2009) also report recent declines in water quality and outflow at the spring.

This snail is threatened by recreation, as there is a developed swimming area in part of the spring (Moss et al. 2009). The park management plan states that the spring has suffered from erosion due to people climbing on the spring banks (Florida Division of Recreation and Parks 1999). The Hydrobe is also threatened by logging, as the park management plan allows for timbering operations within park boundaries (Florida Division of Recreation and Parks 1999). Invasive tilapia (*Tilapia aurea*) are causing habitat degradation at Blue Spring. Tilapia make deep spawning beds in the sand bottom which can undermine bank stability (Florida Division of Recreation and Parks 1999).

Inadequacy of existing regulatory mechanisms:

This snail occurs in a state park, but the primary purpose of the park is outdoor recreation (Florida Division of Recreation and Parks 1999). It has no state status.

Other factors:

The lone population of this snail is threatened by any factor which causes water quality deterioration. Melhop and Vaughn (1994) report that due to relative immobility and dependence on highly oxygenated waters, endemic springsnails such as the Blue Spring Hydrobe are threatened by groundwater depletion, surface water diversion, and changes in water quality. Because this species occurs at only one site, spring alteration could result in species extirpation. Bleasdale et al. (2009) and Inbaptiste et al. (2009) report deteriorating water quality at the spring. This snail is inherently vulnerable to extinction because of its occurrence in a single population, which could be extirpated by stochastic genetic or environmental events. This snail is also threatened by invasive species which prey on filamentous algae such as sailfin catfish (Bleasdale et al. 2009) and tilapia (Florida Division of Recreation and Parks 1999).

References:

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Thompson, F.G. 1999. An identification manual for the freshwater snails of Florida. *Walkerana* 10(23): 1-96.

Scientific Name:

Aphaostracon chalarogyrus

Common Name:

Freemouth Hydrobe Snail

G Rank:

G1

Range:

The total range of this snail is less than 100 square km as it occupies a single spring in Alachua County, Florida (Burgess and Franz 1978, Johnson 1973, Thompson 1999).

Habitat:

This snail occurs on floating mats of filamentous algae in a spring pool that is approximately 10 ft deep. The spring is a water source for a swimming pool and has been impounded by a large circular concrete wall. The pool has a fine calcareous silty sand bottom and supports thick patches and mats of filamentous algae. There is a rectangular cement outflow pool adjacent to the spring that is 6 ft wide, 10 ft long, and a few inches deep with a "bottom of fine calcareous ooze overlying the cement and large mats of *Spirogyra* floating on the surface." Remaining water drains into a flatwood swamp bordered by a small creek with sand substrate. Thompson (1968) reported that the snail was abundant in the drainage pool by the spring, less common in the spring pool, but generally distributed over the cement wall, bottom silt, and vegetation. Snails were not detected in the spring run, flatwood swamp, or creek (NatureServe 2008).

Populations:

There is only one population of this snail and population size is unknown.

Population Trends:

Population trend is unknown for this species.

Status:

This snail is critically imperiled in Florida (G1S1) (NatureServe 2008).

Habitat destruction:

Because this snail occurs at only a single spring, disturbance to the spring could cause extinction of this species (NatureServe 2008). Magnesia Springs has been modified and flows into a swimming pool at a private recreation area. Alachua County (2006) reports that the spring is in fair condition and that the site is "endangered by residential development."

Inadequacy of existing regulatory mechanisms:

The lone population of this snail is in a private recreation area and is not appropriately protected and managed (NatureServe 2008). There are no existing regulatory mechanisms to ensure its survival.

References:

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Thompson, F.G. 1999. An identification manual for the freshwater snails of Florida. *Walkerana* 10(23): 1-96.

Scientific Name:

Aphaostracon monas

Common Name:

Wekiwa Hydrobe Snail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Wekiwa Hydrobe Snail is less than 100 square km in the St. John's River System in Orange County, Florida, where it is restricted to Wekiwa Springs and spring run (Franz 1982, Johnson 1973, Thompson 1968, 1999).

Habitat:

This snail occurs on submerged gravel, rocks, and plants in and adjacent to springs and spring runs with high mineral content and steady annual temperatures (Thompson 1968).

Populations:

There is one population of this snail and population size is unknown (NatureServe 2008).

Population Trends:

Trend information is not available for this species (NatureServe 2008).

Status:

NatureServe (2008) ranks this snail as critically imperiled (G1S1). It is categorized as vulnerable by the IUCN.

Habitat destruction:

The Wekiwa Hydrobe Snail is exceptionally vulnerable to habitat loss and degradation because the lone population of this species occurs in a heavily used state park recreation area. Recreational impacts could cause water pollution, increased siltation, decreased aquatic vegetation, and direct crushing and displacement of snails (NatureServe 2008, Reiter 1992). Decreasing water quality or quantity threaten this species, as do external sources of pollution and groundwater decline resulting from increasing urbanization (Walsh 2001).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species.

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Thompson, F.G. 1999. An identification manual for the freshwater snails of Florida. *Walkerana* 10(23): 1-96.

Scientific Name:

Aphaestracon pycnus

Common Name:

Dense Hydrobe Snail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

This snail is restricted to Alexander Springs Run in the Ocala National Forest in Lake County, Florida, St. Johns River system. It has a total global range of less than 100 square km (Burgess and Franz 1978, Franz 1982, Johnson 1973, Thompson 1968, 1999).

Habitat:

This snail occurs on aquatic vegetation such as water lettuce and hyacinths in shallow, quiet, clear pools with soft bottom substrate along a spring run (Thompson 1968).

Populations:

There is only one occurrence of this species and total population size is unknown.

Population Trends:

Population trend information is not available for this snail.

Status:

NatureServe (2008) ranks the Dense Hydrobe as critically imperiled (G1S1). It is categorized as Vulnerable by the IUCN. It is a Forest Service Southern Region Sensitive Species.

Habitat destruction:

Because there is only one known population of this snail, it is highly vulnerable to habitat loss and degradation. This snail occurs in a spring that is crossed by state Highway 445, making road runoff and degraded water quality a threat to its survival (Thompson 1968). Because this snail's entire habitat is in a National Forest, it is threatened by sedimentation from logging and recreational impacts (NatureServe 2008). Melhop and Vaughn (1994) report that due to relative immobility and dependence on highly oxygenated waters, endemic springsnails such as the Dense Hydrobe are threatened by groundwater depletion, surface water diversion, and changes in water quality. Because this species occurs at only one site, spring alteration could result in species extirpation. The Florida Wildlife Conservation Commission (2009) reports that spring habitats in the state are very highly threatened by nutrient loading from agricultural and urban runoff, and by invasive plants and animals.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species. NatureServe (2008) reports that is unknown whether the lone population of this snail is appropriately protected and managed. This snail occurs on the Ocala National Forest where it is a Forest Service sensitive species, but protection extended to sensitive species is discretionary. This snail has no state status in Florida.

Other factors:

Any factor which results in water quality degradation at the lone spring where this species occurs is a threat to its survival.

References:

Burgess, G.H. and R. Franz. 1978. Zoogeography of the aquatic fauna of the St. Johns River system with comments on adjacent peninsular faunas. *The American Midland Naturalist*, 100(1): 160-170.

Florida Wildlife Conservation Commission. 2009. Wildlife Habitats: Legacy Springs. http://www.fwc.state.fl.us/docs/WildlifeHabitats/Legacy_Spring.pdf.

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Thompson, F.G. 1968. The Aquatic Snails of the family Hydrobiidae of peninsular Florida. University of Florida Press: Gainesville, Florida. 268 pp.

Thompson, F.G. 1999. An identification manual for the freshwater snails of Florida. *Walkerana* 10(23): 1-96.

Scientific Name:

Aphaostracon theiocrenetum

Common Name:

Clifton Spring Hydrobe Snail

G Rank:

G1

Range:

The total range of the Clifton Spring Hydrobe is less than 100 square km in Seminole County, Florida (NatureServe 2008). This species is known only from Clifton Springs Run, which flows into Lake Jessup in the St. Johns River system (Burgess and Franz 1978, Thompson 1968, 1999).

Habitat:

This snail occurs in mats of Chara and other vegetation in flowing, shallow water over a clean, hard, sand substrate. The spring run where it occurs is 10-25 feet wide, 200 yards long, and ranges from a few inches to about 2 feet in depth. The spring is bound on both sides by high sand banks and has high hydrogen sulfide content (Thompson 1968).

Populations:

There is only one population of this snail. Within this population, snails are abundant (Thompson 1968).

Population Trends:

Population trend is unknown for this species.

Status:

NatureServe (2008) ranks the hydrobe as critically imperiled in Florida (G1S1). It is a Florida Species of Greatest Conservation Need.

Habitat destruction:

The lone population of this snail occurs in a private recreational facility, making it highly vulnerable to habitat loss and degradation. NatureServe (2008) reports that there are boat docks in the facility, and that this snail is potentially threatened by hydrocarbon pollution from boats. NatureServe (2008) also reports that this species is potentially threatened by a rumored dredging application. The Florida Natural Areas Inventory (2009) reports that there are 42 total habitat acres for this snail, only 3 acres of which are protected. The Florida Wildlife Conservation Commission (2009) reports that spring habitats in the state are very highly threatened by nutrient loading from agricultural and urban runoff, and by invasive plants and animals. The land use in the watershed planning unit where this snail occurs is 45 percent urbanized, and there are many sources of point and non-point source pollution (Florida Dept. of Environmental Protection 2003). Because hydrobes are sensitive to water quality, pollution of its habitat is a threat to this species' survival. Lake Jessup is polluted by excessive phosphorus, nitrogen, and organic "muck" deposits, and has become a hypereutrophic lake that is deficient of submerged aquatic vegetation and has declining fish numbers. The watershed planning unit where this snail occurs has 21 permitted point source dischargers, including 11 domestic wastewater facilities, 7 industrial wastewater facilities, 2 concrete batch plants, and a groundwater treatment system at a petroleum contamination site. There is also a Class I solid waste landfill and two delineated groundwater areas that are contaminated by ethylene dibromide (EDB) (Florida Dept. of Environmental Protection 2003).

Walsh (2001) reports that Florida's spring organisms are threatened by habitat loss, spring

modification, ground-water contamination, aquifer withdrawals, saltwater intrusion, and recreational activities, stating: "Springs are frequently modified for consumptive or recreational purposes, with concomitant impacts on aquatic organisms. Many of Florida's karst species are threatened by habitat modifications due to their very localized distributions . . . Perhaps the most serious potential threat to Florida's hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped . . . In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources. Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species."

Inadequacy of existing regulatory mechanisms:

There is only one population of this snail, and it is not appropriately protected and managed (NatureServe 2008). The spring where this snail occurs is in a private recreational facility. This snail is a Species of Greatest Conservation Need in Florida (<http://www.masgc.org/gmrp/plans/FL%20FWCII.pdf>) but this designation does not confer any regulatory protection.

Other factors:

This snail is threatened by water pollution.

References:

Burgess, G.H. and R. Franz. 1978. Zoogeography of the aquatic fauna of the St. Johns River system with comments on adjacent peninsular faunas. *The American Midland Naturalist*, 100(1): 160-170.

Florida Dept. of Environmental Protection. 2003. Division of Water Resource Management. Basin Status Report, Central District, Group 2 Basin, Middle St. Johns. Available at: http://tlhdwf2.dep.state.fl.us/basin411/sj_middle/status/MS-Johns.pdf Last accessed Jan. 7, 2010.

Florida Natural Areas Inventory. 2009. Florida Forever Conservation Needs Assessment Technical Report Version 3.2 Available at: http://www.fnai.org/PDF/FF_CNA_tech_report_aug09.pdf Last accessed January 7, 2010.

Florida Wildlife Conservation Commission. 2009. Wildlife Habitats: Legacy Springs. http://www.fwc.state.fl.us/docs/WildlifeHabitats/Legacy_Spring.pdf.

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Scientific Name:

Arnoglossum diversifolium

Common Name:

Variable-leaved Indian-plantain

G Rank:

G2

Range:

This plant is found in the Florida panhandle and adjacent portions of Georgia and Alabama. Records exist for Early, Baker, and Miller Counties, Georgia (Duncan et al. 1981, Jones and Coile 1988), Walton, Washington, Holmes, Jackson, Calhoun, Leon, disjunctly in Levy and Putnam Counties, Florida (Wunderlin and Hansen 2002), and Houston County, Alabama (ALNHP 1990).

Habitat:

The plantain inhabits floodplain forests over limestone formations, and is often found along the banks of woodland streams or in seasonally wet places in woody hammocks or calcareous swamps (Godfrey and Wooten 1981, Chafin 2007, Weakley 2008).

Ecology:

This plant is perennial.

Populations:

Roughly 30 occurrences of this species were reported as of 2007; locations and population sizes are not reported (NatureServe 2008).

Population Trends:

This plant is currently considered to be stable, but its habitat is widely threatened (NatureServe 2008).

Status:

NatureServe (2008) ranks the variable leaf Indian plantain as critically imperiled in Alabama, and imperiled in Florida and Georgia. It is state listed as threatened in both Florida and Georgia.

Habitat destruction:

Impoundments or other hydrological alterations destroy this plant's habitat, which is also degraded by urbanization, agriculture, and other human activities (NatureServe 2008). Recreation also threatens this species. Trampling destroys individuals, especially at popular fishing sites (Wunderlin 1980).

Inadequacy of existing regulatory mechanisms:

This species occurs in Marianna Caverns State Park (Florida) but is not adequately protected; no other populations are known to be appropriately protected or managed (Chagin 2007). Though it is listed as threatened in Florida and Georgia, this designation offers the variable leaf Indian plantain no substantive regulatory protections; no existing regulatory mechanisms adequately protect this species.

Other factors:

Invasive exotics, particularly Chinese privet (*Ligustrum sinense*) may outcompete and destroy colonies of *A. diversifolium*.

References:

- Alabama Natural Heritage Program. 1990. A database of Alabama flora; unpublished.
- Chafin, L.G. 2007. Field guide to the rare plants of Georgia. State Botanical Garden of Georgia, Athens, Georgia.
- Clewell, A.F. 1985. Guide to vascular plants of the Florida panhandle. Florida State Univ. Press, Tallahassee, Florida. 605 pp.
- Duncan, W.H., and J.T. Kartesz. 1981. Vascular flora of Georgia: an annotated checklist. University of Georgia Press, Athens, GA.
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- Godfrey, R.K., and J.W. Wooten. 1981. Aquatic and wetland plants of southeastern United States: Dicotyledons. Univ. Georgia Press, Athens. 933 pp.
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- Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.
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- Weakley, A. S. 2008. Flora of the Carolinas, Virginia, Georgia, northern Florida, and surrounding areas. Working Draft of 7 April 2008. University of North Carolina Herbarium (NCU), North Carolina Botanical Garden, University of North Carolina at Chapel Hill. Online. Available: <http://herbarium.unc.edu/flora.htm> (Accessed 2008).
- Wunderlin, R.P. and B.F. Hansen. 2003. Guide to the Vascular Plants of Florida. 2nd edition. University Press of Florida, Tampa. 788 pp.
- Wunderlin, R.P., et al. 1980. Endangered and Threatened Plant Status Surveys: Status Report on *Arnoglossum diversifolium*. U.S. Fish and Wildlife Service.

Scientific Name:

Automeris louisiana

Common Name:

Louisiana Eyed Silkmoth

G Rank:

G2

Range:

Automeris louisiana is found on the coast of Louisiana and adjacent Mississippi (NatureServe 2008). It may occur in adjacent coastal Texas. Its coastal marsh habitat is continuous, and is estimated at 5600 sq. km.

Habitat:

The Louisiana Eyed silkmoth is coastal and is found in "Southern cordgrass prairie" also known as salt or brackish marsh.

Populations:

NatureServe (2008), crudely estimates that there are from 21-300 populations within the continuous coastal marsh habitat of this moth. It is fairly common where found.

Population Trends:

NatureServe (2008) reports that this species is declining, perhaps severely, due primarily to recent hurricane activity (decline of 10 - greater than 70 percent). Data are needed after Hurricane Katrina which completely washed away significant habitat and affected most or all of the range severely. There can be no question the 2005 hurricanes killed huge numbers of all stages, probably most individuals, and permanently eliminated some habitat. It is not known how severe this damage was or how quickly the species might recover.

Status:

Automeris louisiana has a limited overall range, and coastal wetland loss in Louisiana is a constant and continuing threat (NatureServe 2008). The limited range and specialized habitat are sufficient to consider this moth globally uncommon, and in addition, virtually the entire known range was devastated by hurricanes in 2005. Probably all habitat rangewide was under water during at least one of the major storms that year. On this basis even G1 cannot now be ruled out, assuming some stages survived the hurricanes in some places. This species is currently ranked as G1G3 (critically imperiled to vulnerable), and as SNR (under review) in all states of occurrence.

Habitat destruction:

Hurricanes are a primary threat to this species, and much of the habitat was lost to Hurricane Katrina (NatureServe 2008). Coastal wetlands in Louisiana are also declining due to submergence related to channelization of the Mississippi River and to fossil fuel extraction (Gosselink et al. in Mac et al. 1998). It is also threatened by habitat fragmentation (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. NatureServe (2008) reports that it is unknown if any occurrences are protected.

Other factors:

This moth is threatened by spraying for mosquito control (NatureServe 2008). It is also threatened by global climate change, as climate change is expected to increase the frequency and intensity of

hurricanes (globalchange.gov), a primary threat to this species habitat.

References:

Gosselink, J. G., J. M. Coleman, and R. E. Stewart, Jr. 1998. Coastal Louisiana. Pages 385-436 in: Mac, M., Opler, P., Puckett Haecker, C. and P. Doran. Status and Trends of our Nation's Biological Resources. 2 Volumes. U.S. Department of the Interior, U.S. Geological Survey, Reston, Virginia.

Peigler, R.S. and Paul Opler. 1993. Moths of Western North America, 1. Distribution of Saturniidae of North America. Contributions of the C.P. Gillette Insect Biodiversity Museum Department of Entomology, Colorado State University.

Struttman, J. 1997. April 25, 2000-last update. Moths of North America. Saturniidae. U.S. Geological Survey, Biological Resources Division, Northern Prairie Wildlife Research Center, Jamestown, North Dakota. Online. Available:
<http://www.npwrc.usgs.gov/resource/distr/lepid/moths/usa/>.

Tuskes, P. M., J. P. Tuttle, and M. M. Collins. 1996. The Wild Silk Moths of North America: A Natural History of the Saturniidae of the United States and Canada. Cornell University Press, Ithaca, New York. 250 pp.

Scientific Name:

Balduina atropurpurea

Common Name:

Purple Balduina

G Rank:

G2

Range:

Also known as the purpledisk honeycombhead, *B. atropurpurea* is endemic to the southeastern Coastal Plain. Known occurrences are geographically scattered and the species is thought to be extirpated from several historical locations, possibly from some entire states (Patrick et al. 1995). The range of this species is disjunct; populations are known in southern Georgia, northeastern Florida, and adjacent parts of Alabama, and separately in North and South Carolina, though these have not been recently confirmed (Weakley 2007). The species may now be found only in Georgia and Florida, but more extensive surveys are needed.

Habitat:

The purple balduina is found in wet pine flatwoods (longleaf, *Pinus palustris*, or slash pine, *P. elliottii*), wet savannahs, hilly seepage bogs, and pitcherplant bogs (Chafin 2000).

Ecology:

This perennial flower blooms in fall (Chafin 2000).

Populations:

Approximately 45 populations of this species are known in Georgia, seven in Florida, and reports are not available for other states in which this species may or may not occur (Chafin 2007, 2000). This plant might be extirpated in Alabama and the Carolinas. Total population size is unknown. Population size at Fort Stewart, Georgia, which is thought to be the largest site for *B. atropurpurea*, was estimated at between 10,000 and 44,000 in 1996 (Lincicome 1998).

Population Trends:

NatureServe (2008) determined that *B. atropurpurea* has experienced large declines in the long term, and that the species continues to decline rapidly.

Status:

Occurrences of this flower are widely separated and declining in number; the species is now known only from historical locations in the Carolinas and Alabama. Its habitat is naturally rare and has been widely destroyed by conversion to timber plantations or agriculture. NatureServe (2008) ranks *B. atropurpurea* as critically imperiled in Florida and South Carolina, imperiled in Georgia, and reports that it is likely extirpated from Alabama and North Carolina. It is state-listed as endangered in Florida and rare in Georgia.

Habitat destruction:

Habitat loss and degradation is the primary threat to this species. Wetland loss is widespread in this species range. Wet savannahs are regularly drained and converted to timber plantations or agricultural uses (NatureServe 2008). Fire suppression also threatens this species as it facilitates the incursion of woody vegetation, excluding *B. atropurpurea* (Patrick et al. 1995). Vehicle traffic related to military activities may also threaten some populations (Lincicome 1998).

Inadequacy of existing regulatory mechanisms:

Several populations in Georgia reportedly occur on preserved lands but it is not known what degree of protection this affords (Chafin 2007). Though it is listed as endangered in Florida, this designation confers no substantial regulatory protection to *B. atropurpurea*; no existing regulatory mechanisms adequately protect this species.

References:

- Chafin, L. G. 2000. Field guide to the rare plants of Florida. Florida Natural Areas Inventory, Tallahassee.
- Chafin, L.G. 2007. Field guide to the rare plants of Georgia. State Botanical Garden of Georgia, Athens, Georgia.
- Lincicome, D.A. 1998. The rare perennial *Balduina atropurpurea* (Asteraceae) at Fort Stewart, Georgia. USACERL Technical Report 98/75 June 1998. US Army Corps of Engineers.
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Scientific Name:

Baptisia megacarpa

Common Name:

Apalachicola Wild Indigo

G Rank:

G2

Range:

This species is limited to a small range in Florida, Alabama, and Georgia; natural heritage records indicate that it is present in Alabama's Bibb, Bullock, Crenshaw, Henry, Lee, Macon, Montgomery, Pike, and Talledega Counties, in Florida's Gadsden, Holmes, and Liberty Counties, and in Georgia's Clay, Decatur, and Muscogee Counties (NatureServe 2008).

Habitat:

This plant is found in mixed hardwood and hardwood-pine forests, most often upslope from floodplains or streams or in ravines. It is usually associated with a canopy gap or other opening (NatureServe 2008).

Ecology:

The Apalachicola wild indigo is a perennial herb that may reach 4 ft. in height. It flowers in spring and fruits in early summer (April – June) (NatureServe 2008).

Populations:

Since 1982, only 20 occurrences of this species have been verified, and few contain more than 50 individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species is declining in both numbers and range, largely because habitat destruction has been accelerating in the past decade.

Status:

NatureServe (2008) ranks the Apalachicola wild indigo as critically imperiled in Georgia, and imperiled in Alabama and Florida. The species is listed as endangered by the state of Florida.

Habitat destruction:

Several factors threaten known occurrences of the Apalachicola wild indigo: land-use change and resultant habitat loss and fragmentation, unsustainable forest management practices, and anthropogenic alterations to regional hydrology (inundation of potential habitat and destruction of existing populations) are among the most widely cited causes of this species' decline (Southern Appalachian Species Viability Project 2002, NatureServe 2008).

Overutilization:

This plant may be threatened by collection in some locations (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Apalachicola wild indigo – though it is listed as endangered in Florida, this designation offers the species no substantial regulatory protections.

Other factors:

Invasive species such as Japanese honeysuckle, *Lonicera japonica*, outcompete native species and have a profound negative effect on the persistence of habitat specialists such as the Apalachicola wild indigo (NatureServe 2008).

References:

Kartesz, J.T. 1994. A synonymized checklist of the vascular flora of the United States, Canada, and Greenland. 2nd edition. 2 vols. Timber Press, Portland, OR.

Kral, R. *Baptisia megacarpa* Chapm. ex Torr. Streamside wild indigo. Paper 229.

Schotz, A. Community Ecologist/Botanist, Alabama Natural Heritage Program. Personal Communication.

Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

Scientific Name:

Bartonia texana

Common Name:

Texas Screwstem

G Rank:

G2

Range:

This plant is known from a very small range in east Texas: natural heritage records exist for Hardin, Jasper, Nacagdoches, Newton, Polk, San Augustine, San Jacinto, and Tyler Counties, though not all occurrences have been recently confirmed (NatureServe 2008).

Habitat:

This plant occurs along the margins of woodland streams, bogs, and creek bottoms in swamp tupelo (*Nyssa aquatica*) forest or baygall (*Ilex coriacea*) thickets, and often establishes on clumps of sphagnum moss or other hospitable substrate (NatureServe 2008).

Ecology:

This annual plant flowers in September and October (NatureServe 2008).

Populations:

Approximately 15 occurrences of this species are known, all of which are small and widely scattered. It is estimated that there are fewer than 1,000 individual plants growing annually (NatureServe 2008).

Population Trends:

Trend information is not available for this rare species.

Status:

Texas screwstem is endemic to a small range within which few populations are known, all of which are very small, and its habitat is threatened by several anthropogenic factors. NatureServe (2008) ranks this species as imperiled.

Habitat destruction:

Habitat loss is the gravest threat to this species, and is primarily driven by timber harvesting, urbanization and suburban sprawl, agricultural development, and grazing (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Populations are found on Big Thicket National Preserve and Sandylands Preserve, but may not be appropriately protected. No existing regulatory mechanisms adequately protect this species or its habitat.

References:

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: February 1, 2010)

Scientific Name:

Blarina carolinensis shermani

Common Name:

Sherman's Short-tailed Shrew

G Rank:

T1

Range:

Sherman's short-tailed shrew has a very limited range in southern Florida from just north of Ft. Myers south to the vicinity of Royal Palm (Benedict et al. 2006). It has not been detected at the Ft. Myers type locality since 1955. It could occur at undiscovered sites in southwestern Florida or it could be extinct (NatureServe 2008).

Habitat:

The Florida Natural Areas Inventory (2001) provides the following description of this species' habitat: "Generally found where there are abundant grasses at the edges of basin and depression marshes and mesic flatwoods; may use other mesic communities or ruderal areas with at least a moderate cover of grasses or forbs." Layne (1978) reports that this shrew occurs in drainage ditches with dense grass cover and in mole runs.

Populations:

There are only one or two known occurrences of this subspecies (Benedict et al. 2006).

Population Trends:

No population information is available for this subspecies, which hasn't been detected since 1955.

Status:

Sherman's short-tailed shrew is critically imperiled (T1S1) (NatureServe 2008), and is a species of greatest conservation need in Florida.

Habitat destruction:

Churchfield (1990) states that development threatens the existence of this shrew. Habitat destruction may already have extirpated this subspecies (NatureServe 2008).

Disease or predation:

Layne (1992) states that predation by cats may have played a significant role in the reduction or possible extinction of this shrew.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this shrew.

References:

Benedict, R. A., H. H. Genoways, and J. R. Choate. 2006. Taxonomy of short-tailed shrews (genus *Blarina*) in Florida. Occasional Papers, Museum of Texas Tech University (251):1-19.

Churchfield, S. 1990. The Natural History of Shrews. C. Helm/A & C Black. 178 pp.

Hamilton, W. J., Jr. 1955. A new subspecies of *BLARINA BREVICAUDA* from Florida. Proc. Biol. Soc. Washington 68:37-40.

Layne, J. N. 1992. Sherman's short-tailed shrew *BLARINA CAROLINENSIS SHERMANI*. Pages 328-334 in S. R. Humphrey, editor. Rare and endangered biota of Florida. Vol. I. Mammals. Univ. Press of Florida, Gainesville. xxviii + 392 pp.

Layne, J. N., editor. 1978. Rare and endangered biota of Florida. Vol. 1. Mammals. State of Florida Game and Freshwater Fish Commission. xx + 52 pp.

Scientific Name:

Boltonia montana

Common Name:

Doll's-daisy

G Rank:

G1

Range:

The doll's daisy is native to wetland habitat in New Jersey, Pennsylvania, and Virginia (NatureServe 2008). It is known in Sussex and Warren Counties in New Jersey, Dauphin County in Pennsylvania, and Augusta County in Virginia (Digital Atlas of the Virginia Flora 2009). Because this species was recently determined (2008) to be distinct from *Boltonia asteroides*, historical records and population data are scarce.

Habitat:

The doll's daisy is found in sinkhole pond (vernal pool) habitats and along stream- and riverbanks (NatureServe 2009, Minutes of the Rare Plant Forum French Creek State Park 2007).

Populations:

There are 6 to 7 extant occurrences throughout this species' range, and total population size is unknown (NatureServe 2008).

Population Trends:

Population trends are unknown, but NatureServe (2008) reports that this species' habitat is constantly under threat.

Status:

NatureServe (2008) reports that the doll's daisy is possibly extirpated from Pennsylvania, critically imperiled in Virginia, and under review in New Jersey.

Habitat destruction:

The sinkhole habitat that *Boltonia montana* prefers is threatened by several factors, most notably habitat loss or degradation caused by agricultural and residential development (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the doll's daisy.

References:

Digital Atlas of the Virginia Flora: *Boltonia montana*. Accessed online August 4, 2009 <<
[<http://www.biol.vt.edu/digital_atlas/index.php?do=plant&plant=690>>](http://www.biol.vt.edu/digital_atlas/index.php?do=plant&plant=690)

Minutes of the Rare Plant Forum at French Creek State Park. 2007. Accessed online August 4, 2009 <www.paconserve.org/assets/07rpf.doc>

Minutes of the Rare Plant Forum at French Creek State Park. 2007. Accessed online August 4, 2009 <www.paconserve.org/assets/07rpf.doc>

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: August 4, 2009).

Scientific Name:

Bouchardina robisoni

Common Name:

Bayou Bodcau Crayfish

G Rank:

G1

AFS Status:

Special Concern

Range:

This species is found in southwestern Arkansas, in Lafayette, Hempstead, Nevada and Columbia counties, in Bodcau and Dorcheat Bayou basins (Robinson and Allen, 1995). The total range for the Bayou Bodcau crayfish is less than 100-250 square km (less than about 40 to 100 square miles)(NatureServe 2008).

Habitat:

According to NatureServe (2008), this species inhabits shallow, detritus-rich, sluggish, sandy-bottomed backwaters and small intermittent streams, or overflow ditches with aquatic vegetation. It is apparently associated with aquatic vegetation, including *Ludwiga* sp., *Utricularia* sp. and grasses as the dominant plants. It has been collected from burrows that are over three meters deep (Robison and Allen, 1995). Hobbs (1977) reports that the species was found in a borrow ditch along roadside with sitting water no more than 0.5 m deep. The ditch bottom was made up of sandy clay covered by decaying leaves, and dominant adjacent trees were pines, oaks, and hawthorns.

Ecology:

Hobbs (1977) says that *B. robisoni* exhibits strong sexual dimorphism.

Populations:

This species has extremely limited numbers, with between 1 and 5 populations and less than 1000 individuals (NatureServe 2008). Four very experienced collectors secured only 40 individuals in 2.5 hours, indicating that this species is quite rare even in its prime habitat.

Population Trends:

The population trend for this species is unknown (NatureServe 2008).

Status:

This crayfish is listed as vulnerable by the American Fisheries Society (Taylor et al. 2007). It is ranked as critically imperiled by NatureServe (2008).

Habitat destruction:

NatureServe (2008) states that this extremely rare species exists only in a tenuous habitat. The Arkansas Wildlife Action Plan (2008) identifies habitat disturbance due to road construction, hydrological alteration due to forestry activities, and toxins and contaminants due to road construction as threats to this species' habitat.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Caecidotea cannula

Common Name:

A Cave Obligate Isopod

G Rank:

G2

Range:

NatureServe (2008) reports that *C. cannula* has a range less than about 40 square miles. It is known only from Alpena Cave No. 1, Gladly Cave and Bowden Cave in Randolph Co. and Cave Hollow Cave, Mill Run Cave and Harper Cave in Tucker Co. in West Virginia. Additional caves containing the species may be found as more are surveyed.

Habitat:

C. cannula inhabits subterranean streams and pools under flat rocks (NatureServe 2008).

Populations:

This cave obligate isopod is known from only 6 caves in 2 West Virginia Counties. The number of individuals was estimated to be between 1,000 and 3,000 by the West Virginia Natural Heritage Program in January 1991 (NatureServe 2008).

Population Trends:

Trend information is not available, but populations are assumed stable (Culver pers. comm. 1992 cited in NatureServe 2008).

Status:

According to NatureServe (2008), *Caecidotea cannula* is endemic to 6 caves in West Virginia and is potentially vulnerable to changes in water flow and quality. Its status in West Virginia is critically imperiled. The State of West Virginia classifies it as a species of greatest conservation need.

Habitat destruction:

The main threats to this species are any land use practices that negatively impact groundwater quality and/or quantity. Alterations of water flow and both point and nonpoint-source pollution can severely impact this community (Culver pers. comm. cited in NatureServe 2008). Caves also may be threatened by increased recreational use. Alpena Cave No. 1 is near a proposed route for a highway, which could increase pollution and threaten this species (NatureServe 2008). This species occurs on National Forest land, making it vulnerable to pollution impacts from logging, oil and gas drilling, and other approved projects on public lands.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect this species. It is currently receiving temporary protection from recreation because Cave Hollow Cave and other caves in the Monongahela National Forest are subject to an emergency, one-year public closure to protect populations of endangered bats (USFS 2009). Cave Hollow Cave is in Monongahela National Forest and is protected by an eight-foot, chain-link fence at the entrance. *C. cannula* is a Regional Forester Sensitive Species for Region 9 of the U.S. Forest Service. Pollution is the primary threat to this species, and cave closure is not adequate to protect the water in the cave from impacts from logging, development, etc.

Gating may be necessary if human visits are destructive. Both the surface and subsurface need to

be protected. It is crucial to protect the watershed. Food enters the cave from surface streams and

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Scientific Name:

Calamovilfa arcuata

Common Name:

Rivergrass

G Rank:

G2

Range:

This plant is known from Arkansas, Alabama, Oklahoma, and Tennessee; natural heritage records exist for Blount County, Alabama, Howard, Perry, and Scott Counties, Arkansas, Atoka, McCurtain, and Pushmataha Counties, Oklahoma, and Cumberland, Morgan, and Scott Counties, Tennessee, though it is now likely that the Alabama occurrence is extirpated (NatureServe 2008, CPC 2009).

Habitat:

This plant grows in full sun along open gravel or cobble bars maintained by periodic flood scouring where it roots in sandy substrate between rocks (Kral 1983, Keener 1999). Its habitat is dominated by herbaceous perennials but if scouring is infrequent, it may be encroached upon by woody shrubs such as hazel alder, *Alnus serrulata*, Henry's garnet, *Itea virginica*, and silky dogwood, *Cornus amomum* (NatureServe 2008).

Ecology:

This species is a perennial grass.

Populations:

There are approximately 44 known occurrences of this plant. Its distribution among states is not reported, nor is population size (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that *C. arcuata* is experiencing moderate decline; some populations appear to be stable, but others are threatened or have already been extirpated (Oklahoma Biological Survey 1999).

Status:

This species may be extirpated in Alabama, and though there are numerous remaining occurrences, a majority are located along just a few river systems, and any major hydrological changes would have an enormous impact on this species. NatureServe (2008) ranks *C. arcuata* as critically imperiled in Arkansas, Alabama, Oklahoma, and Tennessee. It is state listed as endangered in Tennessee, and was formerly a federal candidate species.

Habitat destruction:

Changes in water level, flow regime, or other factors that would interfere with the flood-scour maintenance of *C. arcuata*'s habitat are the greatest threats to this species' persistence. Sediment-generating activities may also be problematic, but are secondary to the importance of maintaining natural flood and flow regimes (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Cambarellus blacki

Common Name:

Cypress Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

Cambarellus blacki has a range of less than 100 square km (less than about 40 square miles). It is probably restricted to the northern two thirds of Escambia County, Florida, with possible shallow penetration into Alabama (NatureServe 2008).

Habitat:

This species is found in Cypress ponds among submergent and emergent vegetation (NatureServe 2008).

Populations:

This species is currently known from only one locality- a creek in Escambia Co. (Franz and Franz, 1990). The total count of this species is estimated at approximately 1000 - 2500 individuals.

Attempts at finding other populations have thus far been unsuccessful, but suitable habitat is still relatively inaccessible.

Status:

The Cypress crayfish is known from only one locality and is ranked as critically imperiled by NatureServe (2008). It is rated as Endangered by AFS and IUCN due to extremely few populations and very limited range.

Habitat destruction:

According to NatureServe (2008) the Cypress crayfish is currently probably secure, but expansion of nearby oil production activities could severely threaten the species, which is known from a single location.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Cambarellus diminutus

Common Name:

Least Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

Cambarellus diminutus is apparently confined to Mobile County, Alabama, and George and Jackson counties, Mississippi (Hobbs 1989).

Habitat:

The Least crayfish is found among vegetation in small to moderate blackwater streams, usually in pine woods (NatureServe 2008). This species also invades ditches near sluggish streams. It is tolerant of warm water, but prefers shaded areas (Hobbs 1989). It seems to prefer very sluggish flow with submerged, at least in part, vegetation, and it will burrow during dry conditions.

Populations:

There are 11 known sites for this species, eight in Alabama and three in Mississippi. Abundance is high in appropriate habitat.

Population Trends:

NatureServe (2008) reports that this species has declined by up to 30 percent in the short-term, stating: "It has a small extent of occurrence (<8000 sq. km) and has a continuing decline in habitat extent and quality due to dockland usage, agricultural intensification and urbanization."

Status:

NatureServe (2008) ranks this species as vulnerable in Alabama and imperiled in Mississippi. The State of Mississippi lists it as a Tier 2 Species of Greatest Conservation Need. In Alabama it is a Priority 2/High Conservation Concern species. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

NatureServe (2008) reports that this species is threatened by a "continuing decline in habitat extent and quality due to dockland usage, agricultural intensification and urbanization. Even though this species can be considered a generalist, its preference for temporary habitats consisting of thick vegetation, leaves it sensitive to any habitat alterations. Further, with the continued pollution associated with docklands and agriculture, it is not known when this will start to severely impact populations. Due to the intensification of agriculture, the likelihood of oxbow side ponds remaining long enough to be utilized by this species is uncertain. . . Mobile county is the third most populated city in the southern USA, consisting of a large dockland areas which is intensively used. This will be creating a substantial water pollution in this area of Mobile county, whilst also polluting surrounding streams and ponds. Further, *C. diminutus*' use of oxbow side ponds is useful for protection against predation, but these are only temporary habitats and therefore there must be other similar habitats available for this species to utilize once the oxbow side ponds have silted up (Peterson et al., 1996). However, this species is somewhat tolerant of differing water and habitat qualities to exist in these habitats (Hobbs, 1945). But, with the continued expansion of the Mobile docklands usage and intensive agriculture, it is unknown how long it will be able to use these habitats."

This species is also threatened by channelization. NatureServe (2008) reports that the Corps of

Engineers is trying to initiate a project to channelize the lower Escatawpa River.

Other factors:

This species is threatened by water pollution (NatureServe 2008). There is also evidence that another *Cambarellus* species may be expanding its range at the expense of this species (NatureServe 2008).

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Scientific Name:

Cambarellus lesliei

Common Name:

Angular Dwarf Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) states that this species is found in southwest Alabama (Baldwin, Mobile, and Washington Cos.) and southeast Mississippi (George Co.) (Hobbs, 1989).

Habitat:

Cambarellus lesliei prefers slow to moderate current, as well as shady still water (NatureServe 2008). It will hide among submergent vegetation in streams and pools (Hobbs, 1989).

Populations:

Seventeen records of this species are known from Alabama near Mobile Bay (Alabama, Mobile, Tombigbee drainages) (Mirarchi et al., 2004; appendix 1-2 pub. separately; Schuster and Taylor, 2004; Schuster et al., 2008). It is also known from George Co., Mississippi (NatureServe 2008). It is believed that about two dozen total populations are extant.

Population Trends:

Trend is unknown.

Status:

This species is only known from four counties near Mobile Bay, AL, with about two dozen records. IUCN lists this species as Vulnerable. The American Fisheries Society considers this species to be Threatened. It is ranked as imperiled in Alabama and vulnerable in Mississippi by NatureServe (2008). In Alabama it is considered to be a species of high conservation concern.

Habitat destruction:

According to the World Wildlife Federation (2009), this species may be threatened by hydropower operations. The Mississippi Dept. of Wildlife, Fisheries, and Parks (2010a) reports that the ephemeral ponds which support this species are highly threatened by agricultural conversion, channel modification, impoundments, forestry, and invasive species. In the Pascagoula drainage, aquatic species are highly threatened by forestry and invasive species, and are moderately threatened by development, recreation, and feedlots (MDWFP 2010b).

Inadequacy of existing regulatory mechanisms:

No regulatory mechanisms protect this species, and no occurrences are protected.

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Scientific Name:

Cambarus bouchardi

Common Name:

Big South Fork Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

The Big South Fork crayfish is endemic and restricted to the Roaring Paunch Creek drainage within the Big South Fork of the Cumberland drainage in Tennessee and Kentucky (Taylor and Schuster, 2004). O'Bara (1988) reported it in Roaring Paunch Creek, Scotty County, TN. The total range is less than 100-250 square km (less than about 40 to 100 square miles). The species' range in Tennessee is restricted to two streams (Knoxville News-Sentinel, April 14, 2002).

Habitat:

Cambarus bouchardi is found in streams with rubble and moderate current (NatureServe 2008).

Populations:

NatureServe (2008) reports between 6 and 20 total occurrences of this species. There are probably several populations, with around 22 localities recorded. A few occurrences could possibly be lumped into populations (O'Bara, 1988). In Kentucky, *Cambarus bouchardi* is restricted to Roaring Paunch Creek proper in McCreary Co. (Taylor and Schuster, 2004). A recent Tennessee survey by Williams et al. (2002), found the species in Perkins Creek proper (type locality), a headwater tributary to Perkins Creek, and Roaring Paunch Creek, as well as from 3 new stream localities-Isham Creek, Jones Branch, and Otter Creek.

Status:

The Big South Fork crayfish is critically imperiled in Kentucky and Tennessee (NatureServe 2008). The State of Tennessee lists this species as Endangered and a Species of Greatest Conservation Need. It was formerly listed as a Candidate 2 species by U.S. Fish and Wildlife Service. The American Fisheries Society lists this species as Endangered due to its restricted range. The U.S. Forest Service (2002) has designated *Cambarus bouchardi* as a Sensitive Species. It is ranked as vulnerable by the IUCN.

Habitat destruction:

Williams et. al (2002) report that habitat loss is a major concern. Siltation and polluted runoff from logging and mining and habitat destruction from residential development are all indicated as threats to this species.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species. It is state-listed in Tennessee, but this confers no habitat protection. It is being considered for inclusion in a proposed Cumberland Habitat Conservation Plan (Cumberland HCP 2006). This species is found on the Daniel Boone National Forest, where it is a Forest Service Sensitive Species (USFS 2005).

Other factors:

Williams et al (2002) report that competition from nonnative crayfish is a potential problem.

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Scientific Name:

Cambarus catagius

Common Name:

Greensboro Burrowing Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

Cambarus catagius is known from the PeeDee and Cape Fear river drainages in Guilford, Randolph, Montgomery, and Davidson counties, North Carolina (NatureServe 2008).

Habitat:

Cambarus catagius is a primary burrower which spends most of its life cycle in gallery systems in the subsurface water table (NatureServe 2008). McGrath (1994) indicates that this species was discovered on East Whittington Street in Greensboro, NC in people's yards. It was first collected from sandy clay soils with water table 5-60 cm deep.

Populations:

The Greensboro burrowing crayfish is known from 16 localities (McGrath 1994). More localities are likely to be found. LeGrand et al. (2006) cite this species as occurring in the Greensboro area to Uwharries in Davidson, Guilford, Montgomery, and Randolph Cos., North Carolina. NatureServe (2008) estimates 6-20 populations with a total of 1000 - 2500 individuals.

Population Trends:

In the short term, NatureServe (2008) believes this species has a stable population.

Status:

NatureServe (2008) ranks this species as imperiled. The State of North Carolina considers *C. catagius* to be a Species of Special Concern. It was a Federal C-2 Candidate Species until that list was abolished. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

Cambarus catagius occurs in in Abbotts Creek and Pounders Fork which flow into High Rock Reservoir. Both streams are part of the Yadkin-Pee Dee River Drainage that is impounded by dams of Alcoa Power Generating Inc. The on-going effects of these impoundments is unknown.

McGrath (1994) reports that this species' known range is restricted and impacted by urban development.

Inadequacy of existing regulatory mechanisms:

C. catagius occurs in the Uwharrie National Forest in North Carolina, but this does not confer regulatory protection to the species or its habitat. No existing mechanisms adequately protect this species.

Other factors:

The Greensboro burrowing crayfish is potentially threatened by an invasive species, *Procambarus clarkii*, which was observed in upper High Rock Reservoir near the mouth of South Potts Creek and north in the Yadkin River (Alcoa 2006).

According to the North Carolina Wildlife Resources Commission (2000): "Nonindigenous crayfishes

can affect natives via competition, predation, genetic dilution, and by serving as disease vectors. Further, introductions of nonindigenous crayfishes can enhance the negative effects of environmental change on native species because non-natives are often more tolerant to environmental degradation. Lodge et al. (2000a) consider nonindigenous crayfish introductions to be the single greatest threat to native crayfish biodiversity worldwide. In Europe, nonindigenous crayfishes have contributed to serious declines and even local extinctions of its 5 native species. In several areas of North America, combinations of environmental degradation and introductions of non-native crayfishes have led to declines in native species, and to the extinction of at least one native crayfish in northern California (Lodge et al. 2000a). During recent decades, at least 3 exotic crayfish species have been introduced into North Carolina; therefore, we are concerned about potential impacts to our ecosystems and native crayfish species.”

According to the South Carolina Department of Natural Resources (2006): “The red swamp crayfish has been introduced to South Carolina and has been observed at several locations in the southeastern plains and coastal plain, but it is unclear how widespread it is in the state. The lack of survey work since its introduction and the difficulty distinguishing the red swamp crayfish from a native catfish have made it particularly difficult to determine the extent of its introduced range. In North Carolina, it has become established in all drainages in the coastal plain and eastern piedmont plateau and appears to have extirpated all the native crayfish at one location (Cooper 2003). Introduced crayfish are thought to be the biggest threat to native crayfish species (Lodge et al. 2000 a,b) and the risk to our native species is great if further introductions or extensive spread on non-indigenous crayfish occurs.”

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Scientific Name:

Cambarus chasmodactylus

Common Name:

New River Crayfish

G Rank:

G4

AFS Status:

Currently Stable

Range:

The New River crayfish is endemic to the New River drainage system, from the upper reaches of the Greenbrier River in Pocahontas and Monroe counties, West Virginia, south through Virginia to Alleghany, Ashe and Watauga counties, North Carolina.

Habitat:

Preferred habitat for *C. chasmodactylus* is under and between rocks in unsilted, cool, swiftly moving streams with rocky riffle areas away from the shore, often in larger, turbulent streams.

Ecology:

In general crayfish occupy a small home range, as reported by NatureServe (2008). They are subject to predation by mammals, birds and herptiles. Helms and Creed (2005) found no influence of *Cambarus chasmodactylus* and coexisting *Orconectes cristavarius* (and associated differences in diet) on sediment accumulation and benthic invertebrate populations in a large river in North Carolina. Fortino and Creed (2006) found that in the headwaters of the South Fork of the New River (Watauga Co., North Carolina), "*C. chasmodactylus*, is the dominant crayfish species in third-order streams although *C. bartonii* is still present and occasionally co-dominant."

Populations:

Jezerinac et al. (1995) recorded this species from 33 localities. Total population is thought to number at least 2500 individuals.

Population Trends:

NatureServe (2008) states that this species is declining in the short term (decline of 10-30 percent). Prior to the 1930's the species was apparently common in the New River, but now specimens can only be found in the Greenbriar River and other tributaries. There is developmental pressure on these tributaries and with increasing levels of siltation appear to be adding to the species decline.

Status:

Cambarus chasmodactylus is apparently vulnerable to degradation of habitat, and appears to be declining as watersheds are developed. The status of *C. chasmodactylus* in WV, VA, and NC is vulnerable (NatureServe 2010). It was also a Class 2 Federal Candidate species until that list was abolished.

Habitat destruction:

The New River crayfish is threatened by habitat loss and degradation from several sources. Because it requires lotic waters of considerable size, impoundments eliminate its required riffle habitat (Cooper and Cooper 1977). Its apparent preference for large streams could prevent its establishment in smaller headwaters if displaced from larger waters by impoundment. Appropriate habitat for this species is already limited in the Virginia and West Virginia portions of its range, and there are "other apparent encroachments on the few known populations in those states" (Cooper and Cooper 1977).

NatureServe (2010) states that the species is no longer common in the Green River, and concerning the

tributaries where it still occurs, states: "there is developmental pressure on these tributaries and with increasing levels of siltation appear to be adding to the species decline."

Concerning the fragility of this species, NatureServe (2010) states: "New River riffle crayfish populations appear to be seriously impacted by siltation and structures that disrupt water flow. The populations are geographically isolated and vulnerable to localized destructive events which may cause local extinction with little chance of later natural recolonization."

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that no occurrences of this declining species are appropriately protected.

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Scientific Name:

Cambarus chaugaensis

Common Name:

Chauga Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

The Chauga crayfish is Endemic to tributaries of the Savannah River in a two county area: Oconee Co., South Carolina, and Rabun County, Georgia (Eversole and Foltz, 1993). LeGrand et al. (2006) also cite streams within the Savannah drainage in Jackson, Macon, and Transylvania Co., North Carolina.

Habitat:

The Georgia Museum of Natural History (2008) states that this species lives within fast-moving streams with rocky substrates.

Populations:

According to NatureServe, there are between 6 and 20 total populations of *Cambarus chaugaensis*. It was recorded from one location in Rabun County, Georgia, and 18 localities in Oconee County, South Carolina. LeGrand et al. (2006) also cite streams in Savannah drainage in Jackson, Macon, and Transylvania Co., North Carolina. Eversole and Jones (2004) include tributaries of the Savannah River in Oconee Co., South Carolina, and Rabun Co., Georgia; but most abundant in South Carolina in the Chauga River drainage. The total population is between 1000 and 2500 individuals.

Status:

NatureServe (2008) ranks this species as critically imperiled in Georgia, and imperiled in North and South Carolina. North Carolina has classified this species as Special Concern. The State of South Carolina list it as a Highest Conservation Priority species (SCDNR 2005). AFS now considers this species as threatened (Taylor et al 2007). The U.S. Forest Service (2002) has designated *Cambarus chaugaensis* as a Sensitive Species.

Habitat destruction:

This species is threatened by habitat degradation primarily from development. According to the North Carolina Wildlife Resources Commission (2001), concerning *Cambarus chaugaensis*: "Until recently, the North Carolina portion of the Savannah River Basin has remained relatively undeveloped and pristine. Currently, the area seems to be developing at a higher rate than in the past, with tourist attractions such as the towns of Highlands, Cashiers, and Sapphire, the newly established Gorges State Park, and Lake Toxaway. Some timber cutting in the region may also affect habitat and stream quality."

The Georgia Museum of Natural History (2008) states that "Water quality degradation, pollution and habitat destruction pose serious threats to this and all species of crayfish in the Southeast."

According to SCDNR (2005): "Physical alteration of habitat also represents a challenge to the survival of crayfish. Some aquatic crayfishes are quite adaptable and can live in ponds, impoundments and roadside ditches, while others are more sensitive to habitat alteration. Some crayfishes are oxygen regulators and are able to increase ventilation rates in response to reduced oxygen conditions, while

others, the oxygen conformers, are unable to do this (Hobbs 1991). Therefore, some species are better equipped to survive when the flow of water slows and oxygen levels decline. Some species... have been eliminated from parts of their range as a result of damming activities associated with reservoir construction. Channelization and dredging can also be very detrimental to aquatic crayfish that require rocks, crevices or tree roots along undercut banks as hiding places (Hobbs and Hall 1974). In general, crayfish are not as sensitive to siltation as some aquatic invertebrates such as mussels, but severe siltation has caused declines in or the extirpation of many populations of crayfish (Hobbs and Hall 1974).

Pollution has been known to eliminate crayfish from streams. Ortmann (1909) noted the extirpation of crayfish from some sections of streams and rivers due to mining and oil refineries.

Inadequacy of existing regulatory mechanisms:

According to USFS (2005) and NatureServe (2008), most of range of this species falls within the Chattahoochee National Forest in Georgia, the Sumter National Forest in South Carolina, and the Nantahala National Forest in North Carolina. It is a Forest Service Sensitive Species (USFS 2005), but this designation confers only discretionary protection and does not ensure habitat protection for this species. For example, the U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis (U.S. Forest Service 2008).

Other factors:

This species may be threatened by invasive crayfish species. According to the North Carolina Wildlife Resources Commission (2009): "Nonindigenous crayfishes can affect natives via competition, predation, genetic dilution, and by serving as disease vectors. Further, introductions of nonindigenous crayfishes can enhance the negative effects of environmental change on native species because non-natives are often more tolerant to environmental degradation. Lodge et al. (2000a) consider nonindigenous crayfish introductions to be the single greatest threat to native crayfish biodiversity worldwide. In Europe, nonindigenous crayfishes have contributed to serious declines and even local extinctions of its 5 native species. In several areas of North America, combinations of environmental degradation and introductions of non-native crayfishes have led to declines in native species, and to the extinction of at least one native crayfish in northern California (Lodge et al. 2000a). During recent decades, at least 3 exotic crayfish species have been introduced into North Carolina; therefore, we are concerned about potential impacts to our ecosystems and native crayfish species."

Similarly, SCDNR (2005) reports that: "The arrival of introduced species is probably the greatest challenge to crayfish (Lodge et al. 2000 a,b). The ranges and abundances of many native crayfish may have been reduced by invasive crayfish, both in the United States and in Europe (Lodge et al. 2000a; Hobbs et al. 1989).

Prevention of future introductions is most likely the only effective way to deal with the challenges caused by nonnative crayfish. No methods for eliminating invasive species without also harming native species are currently available. Even if effective biological control methods are developed, preventing introductions will still be much easier than eradicating an established species. Lodge et al. (2000b) proposed federal legislation that, if enacted and enforced, would drastically reduce the risk of future introductions. They include banning the use of live crayfishes as bait, and adopting a 'white list' approach for the sale of all crayfish in the aquarium, garden pond and educational trade."

Crayfish are harmed by a variety of insecticides, herbicides and industrial chemicals (Eversole et al. 1996). Juvenile crayfish are generally about four times as sensitive to water borne pollution than adults; early instars are about three times as sensitive as juveniles (Eversole and Sellers 1996). There is little knowledge of the differences in sensitivity to toxins among species. Nutrient enrichment is less likely to harm crayfish than other aquatic life because they are omnivorous and can act as scavengers as well as primary and secondary consumers. Hobbs and Hall (1974) noted several casual observations in which crayfish were actually more abundant downstream of areas with large amounts of garbage or animal remains. Enrichment may be harmful to crayfish, however, when it results in oxygen depletion (Hobbs and Hall 1974). Pollution of groundwater may impact terrestrial burrowers, because they inhabit water trapped in their burrows."

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Scientific Name:

Cambarus coosawattae

Common Name:

Coosawattae Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Skelton (2008) states that the species "is known only from the Coosawattee River system in Gilmer County, Georgia. Records are from streams and rivers upstream of Carter's Lake and are within the Blue Ridge physiographic province."

Habitat:

Cambarus coosawattae is found in moderately flowing streams with rubble bottoms; it appears mostly in riffle areas, as described by NatureServe (2008). Skelton (2008) states that "[a]dults are typically found under rocks in relatively fast currents within streams. Juveniles may be found in leaves or woody debris in slower moving water."

Populations:

NatureServe (2008) estimates fewer than 5 occurrences of this species, stating: "Hobbs (1981) listed 11 localities and Schuster (2001) found it at the 18 sites (6 of them Hobbs' original sites) in Gilmer and Pickens Cos. all within a limited range and restricted to the Elljay and Cartecay Rivers and their tributaries although Hobbs (1981) also reported from the Coosawattee River proper but this site has not been resurveyed."

Population Trends:

Skelton (2008) reports that "populations at collection locations were apparently secure during a survey conducted in 2001."

Status:

NatureServe (2008) ranks this species as critically imperiled. It is listed as Endangered by the state of Georgia and by the American Fisheries Society. Its habitat is being rapidly developed.

Habitat destruction:

NatureServe (2008) reports that this species' range has been severely fragmented by reservoir construction (Carter's Lake). The Coosawattee watershed has also experienced a large increase in residential development in recent years, and over 100,000 acres of forest have been allocated for residential building to be completed by 2030 (White 2009). The watershed also contains many confined animal feed operations scattered in the headwaters above Carter's Lake that can potentially impact habitat.

According to Skelton (2008) "[t]he small range of this species and the high development rates within that range are significant threats to the Coosawattee crayfish. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation. . . [c]onserving populations of the Coosawattee crayfish will require general watershed level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) states that several populations of *Cambarus coosawattae* occur in the Chattahoochee National Forest, but this does not protect the species from habitat degradation. It is listed as endangered in Georgia, but this designation provides no protection for the species' habitat which is being rapidly developed.

Other factors:

Skelton (2008) states that the introduction of non-native crayfishes is a threat to all native crayfishes.

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Scientific Name:

Cambarus cracens

Common Name:

Slenderclaw Crayfish

G Rank:

G1

AFS Status:

Endangered

Range:

NatureServe (2008) reports that the range of the Slenderclaw crayfish is 250-1000 square km (about 100-400 square miles). In Alabama, it is known questionably from the Black Warrior, Coosa and Tallapoosa River systems and described from the Tennessee River system (Hobbs, 1989; Mirarchi et al., 2004; in appendix 1-2 published separately). Schuster et al. (2008) cite only the Tennessee River system for Alabama.

Habitat:

C. cracens is found in clear, sluggish streams flowing over bedrock and sand (NatureServe 2008). The water is generally shallow (less than two feet). According to NatureServe (2008), this species was not collected outside rock-littered areas of streams. According to Bouchard and Hobbs (1976), *C. cracens* was first found in a "clear stream, some 35 to 40 feet wide and mostly less than one foot deep, flows rather sluggishly over a bed-rock and sandy bottom littered with large rocks. Shading the shore line are *Platanus occidentalis*, *Liriodendron tulipifera*, *Quercus* sp., and *Pinus* sp."

Populations:

This species was known from seven historical sites, but has been recently detected at only one of them (NatureServe 2010).

Population Trends:

NatureServe (2010) reports a very rapid short term decline of 50-70 percent for this species. It was only found recently at one historical sites of all historical known sites (7 total) (G. Schuster, C. Taylor, pers. comm., 2009 cited in NatureServe 2010). NatureServe (2010) states: "repeated survey efforts have only uncovered extant populations in one or two sites (decline greater than 80 percent and ongoing)."

Status:

The State of Alabama lists this species as a Priority 2 Species of Greatest Conservation Need. It is ranked by NatureServe (2008) as critically imperiled and by the American Fisheries Society as endangered.

Habitat destruction:

NatureServe (2010) reports that this species is highly, substantially, and imminently threatened, stating: "Historical and current stresses are the same: impoundment of the Tennessee River. Reasons for decline (based on current versus historic records) are not known but the species is absent from nearly all historical sites where it was formerly known (G. Schuster, C. Taylor, 2009)."

According to the World Wildlife Federation (2009), *C. cracens* is an Alabama Species of Greatest Conservation Need in the Tallapoosa drainage, and the species or its habitat may be impacted by hydropower operations.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this declining and highly threatened species.

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Scientific Name:

Cambarus cryptodytes

Common Name:

Dougherty Plain Cave Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that the Dougherty Plain cave crayfish occurs in the aquifer of the Dougherty Plain (Marianna Lowlands), from Decatur County, Georgia, to Jackson County, Florida, USA. All known sites can be enclosed by 50 km circle, and all lie within the Apalachicola River basin. Skelton (2008) states that "The species is currently known from Dougherty and Decatur counties in southwestern Georgia and Jackson and Washington counties, in the Panhandle of Florida. It almost certainly occurs in Mitchell and Baker counties, Georgia, as these counties lie between Dougherty and Decatur Counties, in southwest Georgia."

Habitat:

Cambarus cryptodytes lives in subterranean fresh waters, specifically low energy caves in carbonate rocks. It has been taken from wells, sinks, shallow caves, and spring caves (NatureServe 2008).

Ecology:

According to Purvis and Opsahl (2005), *C. cryptodytes* has a lower metabolic rate than surface crayfish, so is more able to survive in low oxygen habitats. They found that troglobitic crayfish can live as long as 16 years, much longer than surface crayfish. However, they reproduce infrequently and so the population of this species is not resilient.

Populations:

NatureServe (2008) states that there are between 6 and 20 populations with less than 1000 individuals in total. There are approximately 15-20 EOs, but these all are relatively close and may even be interconnected.

Status:

According to NatureServe (2008), *Cambarus cryptodytes* is narrowly endemic. While there are a moderate number of occurrences, they all lie within a small, county-sized area. In Florida this species has a status of imperiled, and in Georgia it is critically imperiled (NatureServe 2008). The State of Georgia lists the Dougherty Plains cave crayfish as Threatened (Skelton 2008). Florida lists it as a Species of Greatest Conservation Need. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

NatureServe (2008) reports that there are multiple threats to water quality throughout this species' range, which is largely an agricultural region. The aquifer may be contaminated with EDB and other chemicals. Unregulated spelunking and sewage leaks pose additional potential threats. The habitat of *Cambarus cryptodytes* is unable to support large numbers of macrocrustacea.

According to Dickson and Franz (1980) "[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and

biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality.”

Skelton (2008) states that "Small range size makes this species vulnerable to extirpation. Excessive water withdrawals from the Floridian Aquifer reduce the amount of habitat for the Dougherty Plain cave crayfish. Runoff of pesticides and nutrients from agricultural areas is also a threat."

According to Walsh (2001):

“Perhaps the most serious potential threat to Florida’s hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythrops*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation. These threats could be avoided by state acquisition of the springs, or through comprehensive land use planning.

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008) one site containing the Dougherty Plain cave crayfish is gated, at Florida Caverns State Park. Also, the Marianna Bat Cave (also known as Judges Cave) was purchased by state of Florida and The Nature Conservancy. This cave is now fenced. This crayfish is listed as threatened by the state of Georgia, but this designation does not provide habitat protection.

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Scientific Name:

Cambarus cymatilis

Common Name:

Conasauga Blue Burrower

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008) the range of *Cambarus cymatilis* is less than 100 square km (less than about 40 square miles). It is known only from Murray County, Georgia, and a seepage area along Mill Creek in Bradley County, Tennessee (Hobbs, 1981). These locations are within 15 miles of each other. Skelton (2008) reports that this species is found in "the Conasauga and Hiwassee river systems in the Ridge and Valley physiographic province in northwestern Georgia and southeastern Tennessee. In Georgia, it has been collected from only about 5 locations, most around Chatsworth in Murray County."

Habitat:

The Conasauga Blue burrower is found in elaborate burrows in open grassy areas with a high water table, commonly burrowing around houses and flower gardens (NatureServe 2008).

Populations:

NatureServe (2008) reports that there are 1-5 populations with between 1000 - 2000 total individuals. The first collection of this species produced 6 specimens in 1 hour; apparently it is not rare where it occurs.

Status:

The Conasauga Blue burrower has a status of critically imperiled in both Georgia and Tennessee (NatureServe 2008). Small populations are known from only two nearby places (NatureServe 2008). Tennessee and Georgia both classify this species as Endangered. The AFS status of Endangered is based on the species' extremely limited range. The U.S. Forest Service (2002) has designated *Cambarus cymatilis* as a Sensitive Species. It is ranked as vulnerable by the IUCN.

Habitat destruction:

Current threats to *Cambarus cymatilis* include loss of habitat due to development for home sites, according to NatureServe (2008). Skelton (2008) reports that for this species, the "small range size makes this species vulnerable to extirpation. About one-half of the known populations of this species occur within the Chatsworth city limits. One location is in a neighborhood, and the other was along a street that has now been paved over." *Cambarus cymatilis* occurs in the project area for a new powerline in Gordon and Whitfield Counties, Georgia, proposed by the Tennessee Valley Authority. Construction and maintenance of this powerline has the potential to disrupt aquatic ecosystems occupied by *C. cymatilis* (TVA 2007). The U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis (U.S. Forest Service 2008).

Inadequacy of existing regulatory mechanisms:

Skelton (2008) states that there is a single population of *Cambarus cymatilis* on state-owned property, the Conasauga River Natural Area. *C. cymatilis* is found on the Chattahoochee National

Forest, where it is a USFS Sensitive Species (USFS 2005). This species is listed as endangered in both Tennessee and Georgia. None of these designations provide significant regulatory protection for the species or its habitat.

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Scientific Name:

Cambarus eeseehensis

Common Name:

Grandfather Mountain Crayfish

G Rank:

G1

Range:

The Grandfather Mountain crayfish is found only in the Linville River of North Carolina and presently known to exist only above the falls of the Linville River; a distance of about 5-10 miles (Thoma 2005).

Habitat:

Cambarus eeseehensis has been observed under rocks in riffle areas of a small river in North Carolina (Thoma 2005).

Populations:

NatureServe (2008) reports that there are fewer than five occurrences with an unknown total population size.

Population Trends:

This species is newly described so no population or trend information is available (NatureServe 2008).

Status:

The Grandfather Mountain crayfish has a very limited distribution, occupying only mainstem portions of the Linville River. It is potentially imperiled due to invasive species (NatureServe 2008). AFS lists this species as Threatened and NatureServe (2008) ranks it as critically imperiled.

Habitat destruction:

The only locality of this species, Linville River, has numerous impoundments on its mainstem (Thoma 2005).

Inadequacy of existing regulatory mechanisms:

No regulatory mechanisms protect this recently described species.

Other factors:

NatureServe (2008) reports that this species is potentially imperiled due to invasive crayfish species likely introduced by fishermen who frequent the single area from which this species is known. Thoma (2005) reports that impoundments on the Linville River create the possibility of bait bucket introductions of non-native crayfish species, and that due to the placid nature of this species, the introduction of any mainstream dwelling species could result in extinction of the Grandfather Mountain crayfish.

According to the North Carolina Wildlife Resources Commission (2000): "Nonindigenous crayfishes can affect natives via competition, predation, genetic dilution, and by serving as disease vectors. Further, introductions of nonindigenous crayfishes can enhance the negative effects of environmental change on native species because non-natives are often more tolerant to environmental degradation. Lodge et al. (2000a) consider nonindigenous crayfish introductions to be the single greatest threat to native crayfish biodiversity worldwide. In Europe, nonindigenous crayfishes have contributed to serious declines and even local extinctions of its 5 native species.

In several areas of North America, combinations of environmental degradation and introductions of non-native crayfishes have led to declines in native species, and to the extinction of at least one native crayfish in northern California (Lodge et al. 2000a). During recent decades, at least 3 exotic crayfish species have been introduced into North Carolina; therefore, we are concerned about potential impacts to our ecosystems and native crayfish species.”

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Scientific Name:

Cambarus elkensis

Common Name:

Elk River Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008) the Elk River Crayfish has only been recorded from the Elk River above Sutton Lake, and in the Holly and Birch rivers in Webster, Nicholas, and Pocahontas counties, West Virginia. Its total range is about 100-250 square km (about 40-100 square miles).

Habitat:

Cambarus elkensis is found under loose rocks in riffles or pools that have current (Jezerinac et al. 1995). Is absent from headwater streams. Jezerinac and Stocker (1993) report that riverbed habitat for this species consists of sandstone boulders, cobble, gravel, and sand. It is found in an area with significant current, under cobble, on top of sand and gravel, or under loose rocks in riffles, or pools with currents. Vegetation in area consists of hemlocks, birches, alders, and rhododendrons.

Populations:

NatureServe (2008) estimates that Cambarus elkensis has between 6 - 20 populations with a total of 1000 - 2500 individuals. Eleven element occurrences have been recorded, one of which is historic and probably extirpated. To date a total of 95 specimens have been recorded (Jezerinac and Stocker, 1993), although little is known about actual population size.

Population Trends:

NatureServe (2008) reports that this crayfish is declining in the short-term by up to 30 percent.

Status:

NatureServe (2008) ranks this species as critically imperiled. AFS lists it as Threatened due to limited range and habitat loss. It is one of two crayfish species endemic to West Virginia (Jezerinac et al. 1995).

Habitat destruction:

NatureServe (2008) states, "It is currently known that coal mining, siltation, logging and other impacts to water quality are affecting Cambarus elkensis (Forests for Watersheds and Wildlife 2005). The Elk River Watershed is dominated by agricultural lands, and coal mining, and oil and gas extraction are common. This is causing acidic discharge into the river and having a severe negative impact on the river system (United States Environmental Protection Agency 2001)." The Upper Elk River is threatened by a sewage treatment plant, according to Ruediger (2005). Jezerinac and Stocker (1994) state that C. elkensis is found in Elk River above Sutton Lake and Birch River below Sutton Lake, but suitable habitat was destroyed between when the lake was constructed.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

Other factors:

This crayfish may be threatened by exotic crayfish. As a coldwater stream with a reservoir at one end, the opportunity for introduction of the exotic competitor, *Orconectes virilis*, is high. This crayfish is threatened by pollution from coal mining, agriculture, and development.

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Scientific Name:

Cambarus extraneus

Common Name:

Chickamauga Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that *Cambarus extraneus* is restricted to South Chickamauga Creek basin in Catoosa, Walker and Whitfield counties in Georgia and Hamilton County in Tennessee. The total range is about 250-1000 square km (about 100-400 square miles). Skelton (2008) reports 15 collection sites in Georgia.

Habitat:

The Chickamauga crayfish is found in moderately flowing, small, shallow, rock-littered streams, according to NatureServe (2008). It can also be found among trapped leaf litter.

Populations:

Hobbs (1981) found 12 populations in Georgia, based on thorough collecting; Tennessee is less well collected, but could add a few more locations. NatureServe (2008) reports between 6 and 20 populations with a total population of at least 2,500 individuals.

Population Trends:

Skelton (2008) believes that Tennessee populations are thought to be declining, while limited collecting in Georgia indicates the at least some populations are doing well.

Status:

NatureServe (2008) ranks this species as imperiled in Georgia and critically imperiled in Tennessee. The States of Georgia and Tennessee have both classified this species as Threatened. This species was a C2 Candidate Species under the Federal ESA before that list was abolished. The U.S. Forest Service (2002) has designated *Cambarus extraneus* as a Sensitive Species. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

The species is threatened by the always strong possibility for stream impoundment in the region (NatureServe 2008). There are several populations in or near the Chattanooga metropolitan area that could be impacted by development pressure. Skelton (2008) reports: "Small range size makes this species vulnerable to extirpation. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation. . . . [c]onserving populations of the Chickamauga crayfish will require general watershed level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

The U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis (U.S. Forest Service 2008).

Inadequacy of existing regulatory mechanisms:

According to the USFS (2005) this species occurs on National Forests in Alabama, where it is a

Other factors:

Skelton (2008) reports: "The introduction of non-native crayfishes is a threat to all native crayfishes."

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Scientific Name:

Cambarus fasciatus

Common Name:

Etowah Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) states that *Cambarus fasciatus* is restricted to the Etowah River drainage in northwest Georgia (a six county area) (Hobbs 1981). Skelton (2008) reports that "the Etowah crayfish is known only from the Etowah River system, primarily above Allatoona Dam. All of the records of this species are from the Piedmont physiographic province. Only three collections have been made downstream of Allatoona Dam and it is possible that this form represents an undescribed species."

Habitat:

The Etowah crayfish is found in swift parts of small streams (Nature Serve 2008). Skelton (2008) reports that "[t]he Etowah crayfish is usually found beneath rocks in moderately to swiftly flowing areas of streams. It is occasionally found in association with woody debris or aggregations of leaves."

Populations:

NatureServe (2008) estimates from 20-80 populations of this species, with no detailed information on population size or trend available.

Status:

NatureServe (2008) ranks this species as imperiled, and the State of Georgia lists it as Threatened, as does the American Fisheries Society.

Habitat destruction:

According to NatureServe (2008), most populations of this species are located near the rapidly expanding Atlanta metropolitan area. The range has already been fragmented by the Allatoona Lake reservoir, and more development could result in more habitat loss. Mining, agriculture and other human activities have also caused the degradation of water quality in the Etowah River basin in recent years (Walters et al. 2003)

Skelton (2008) indicates that "The small range of this species and the high development rates within that range are significant threats to the Etowah crayfish. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation. . . Conserving populations of the Etowah crayfish will require general watershed level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

The U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis. (U.S. Forest Service 2008).

Inadequacy of existing regulatory mechanisms:

According to Skelton (2008) "[s]ome populations occur on publicly owned conservation lands in

headwater tributaries to the Etowah River." This crayfish is listed as threatened by the state of Georgia, but this designation does not protect the species' habitat.

Other factors:

Skelton (2008) states that "The introduction of non-native crayfishes is a threat to all native crayfishes."

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Scientific Name:

Cambarus georgiae

Common Name:

Little Tennessee Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports the Little Tennessee crayfish is known from Rabun County, Georgia and Macon County, North Carolina (50 stream miles of the Little Tennessee River drainage). It is also found in the upper Little Tennessee River basin of North Carolina and Georgia. LeGrand et al. (2006) cite the Little Tennessee drainage in Jackson and Macon Cos., North Carolina. NatureServe estimates a total range of 100-250 square km (about 40-100 square miles).

Habitat:

C. georgiae is associated with debris in slower parts of swift streams and areas lacking other crayfish competitors (NatureServe 2008).

Ecology:

NatureServe (2008) reports that this species seems unable to compete with *Cambarus Bartonii* in riffle areas, and hides in trapped leaf debris.

Populations:

NatureServe (2008) states that species is known from fewer than 10 localities and that it has been collected from 37 sites. Global abundance is estimated at 1000-2500 individuals: "An intensive survey for the species collected 302 individuals from 37 sites. Hobbs (1981) cites 51 specimens from a single site on the Little Tennessee River in Rabun Co., Georgia and Macon Co., North Carolina. It was collected at one of 13 sites surveyed recently (but not sites known to have historical occurrences) (Simon and Fraley, 2008)."

Population Trends:

NatureServe (2008) reports that this crayfish has declined in the short-term by up to 30 percent. Hobbs (1981) notes some decline, especially at the type locality, based upon competition with *Cambarus bartonii*.

Status:

The State of Georgia lists this species as Endangered. In North Carolina it is a species of Special Concern. AFS now lists it as Vulnerable (Taylor et al. 2007). It was a Federal C2 Candidate Species until that list was abolished. The U.S. Forest Service (2002) has designated *Cambarus georgiae* as a Sensitive Species. NatureServe (2008) ranks it as critically imperiled in Georgia and imperiled in North Carolina.

Habitat destruction:

The U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis. U.S. Forest Service (2008).

According to Skelton (2008), "[t]he small range of this species and the high development rates within that range are significant threats to the Little Tennessee crayfish. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation." Furthermore, "[t]he upper

Little Tennessee River in Georgia is surrounded by intensive agriculture and urban development and is in very poor condition." And, "Conserving populations of the Little Tennessee crayfish will require general watershed level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

Inadequacy of existing regulatory mechanisms:

Though this crayfish is state-listed in Georgia, this designation provides no regulatory protection for the species' habitat. The species occurs in the Nantahala and Chattahoochee National Forests in NC and GA, where it is a U.S. Forest Service Sensitive Species (USFS 2002) but this protection is discretionary. According to Skelton (2008), "[a] large tributary to the Little Tennessee River, Betty's Creek, has high habitat quality and has a conservation easement in place in its headwaters" which may confer some protection to the species in that location.

Other factors:

NatureServe (2008) reports that this species can tolerate some sedimentation, but is intolerant to point source pollution and is absent from the most polluted areas in its range (McLarney 1993).

This species is apparently unable to compete with the sympatric *C.(C.) bartonii*, and has declined at some localities due to competition (NatureServe 2008).

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Scientific Name:

Cambarus harti

Common Name:

Piedmont Blue Burrower

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

Despite intensive collection by a very experienced collector, this species is known from only two sites in the piedmont of Flint and Chattahoochee river systems, in Meriwether County, Georgia. Skelton (2008) “found bluish crayfish specimens that may represent the Piedmont blue burrower at six additional locations in Meriwether County, but none were males and thus the identifications are considered tentative. A recent collection of blue burrowing crayfishes from the Whites Creek system (Flint tributary) may also be the Piedmont blue burrower.”

Habitat:

The Piedmont Blue burrowing crayfish burrows in the seepage area of stream floodplains with water level near the surface, according to NatureServe (2008). Skelton (2008) reports that *C. harti* occupies “complex burrows adjacent to streams and seepage areas, or in low areas where the water table is near the surface of the ground.”

Ecology:

C. harti digs complex burrows in sandy, organically rich, water saturated soil with many roots (NatureServe 2008).

Populations:

NatureServe (2008) reports that there are less than 5 populations with a total of fewer than 1000 individuals of *C. harti* extant. There are only two confirmed sites.

Status:

NatureServe (2008) indicates that *Cambarus harti* is made up of small populations with a restricted range and ranks it as critically imperiled. The State of Georgia lists it as Endangered, as do the IUCN and the American Fisheries Society.

Habitat destruction:

According to Fiegel (2009), “There is concern for *C. harti* vulnerability to extirpation due to habitat changes, destruction or degradation. For example, the site where Hart and Hart (1974) captured individuals has been altered due to logging operations and the species may be gone from that location.”

According to Skelton (2008), “[s]mall range size makes this species vulnerable to extinction. The small size of individual populations makes them vulnerable to land disturbing activities. Any expansion of the Warm Springs National Fish Hatchery or the Warm Springs water works would threaten this species.”

Inadequacy of existing regulatory mechanisms:

One population is on property owned by the city of Warm Springs and is somewhat protected (Skelton 2008). This species is listed as endangered by the state of Georgia, but this designation provides no habitat protection.

Other factors:

According to Fiegel (2009), "This species is an obligate burrowing crayfish and may be susceptible to climate changes related to drought."

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Scientific Name:

Cambarus jezerinaci

Common Name:

Spiny Scale Crayfish

G Rank:

G2

Range:

NatureServe (2008) reports that the range of *C. jezerinaci* is 100-250 square km (about 40-100 square miles). This species is confined to small tributaries of the Powell River in Lee County, Virginia and Claiborne County, Tennessee (Thoma 2000).

Thoma (2009) reports that this species "is found in Kentucky, Tennessee, and Virginia. The Virginia and Tennessee populations are found in the Powell River basin and the Kentucky populations is found throughout the Cumberland River basin upstream of Pine Mountain."

Habitat:

The Spiny Scale crayfish prefers first and second order, spring fed streams of higher altitude and high gradient. It is a secondary burrower (Thoma 2000).

Populations:

NatureServe (2010) states: "In Tennessee it occurs in the Ridge and Valley province in the Powell River, on a tributary of Mill Hollow, east of Bacchus, Claiborne Co. (Williams and Bivens, 2001). In Virginia it is in two counties (including Lee Co.) in the Powell River basin in streams abutting Cumberland and Stone Mountain only. A third Virginia population in the South Fork Powell River of Wise Co., Virginia, is morphologically similar somewhat to *C. parvovulus* but is far separated from nominal *C. parvovulus* populations but has not been analyzed genetically; therefore it is currently placed tentatively in *C. jezerinaci* (Thoma and Fetzner, 2008). Kentucky populations are found in the upper Cumberland River above Pine Mountain and Kentucky River headwaters (R. Thoma, pers. comm., 2009)."

Population Trends:

NatureServe (2010) reports that this species is declining in the short-term by up to 30 percent due to forestry and mining.

Status:

NatureServe (2010) ranks this species as critically imperiled in Virginia and not ranked in Tennessee and Kentucky. AFS lists it as Stable. Virginia classifies it as a Tier II Species of Greatest Conservation Need.

Habitat destruction:

According to Thoma (2009), *C. jezerinaci* is "impacted by human activities such as urbanization, agriculture, and enrichment (especially from sewage). In small streams with adjacent roads *C. jezerinaci* populations were either suppressed or completely absent. Roads resulted in increased nutrient loads from adjacent lawns and septic systems associated with houses. Bed load sediments also tended to be higher in such streams. The best populations were found in streams such as White Branch that drained directly from Cumberland Mountain in a west to east direction. These streams have steep narrow valleys and afford little opportunity for human habitation or road building. They are also heavily wooded. The greatest threat to the species is thought to be timbering activities, especially clear cutting and associated road building that would result in

increased bedload sediments. Unregulated grazing on steep terrain also has negative effects on instream sediment and consequently *C. jezerinaci* populations."

NatureServe (2010) reports that there are some declines in Virginia populations due to forestry activities as well as decline on the Tennessee/Virginia line due to coal mining. Coal mining also occurs in this species range in Kentucky, and could pose a threat there as well. NatureServe (2010) states: "It is likely to be undergoing localized declines due to climate change, water pollution and alterations to the hydrological regime."

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Cambarus jonesi

Common Name:

Alabama Cave Crayfish

G Rank:

G2

AFS Status:

Currently Stable

Range:

NatureServe (2008) states that the species is found in Tennessee River caves between Florence and Guntersville, AL (Hobbs, 1989; Buhay et al., 2007). On Federal land, it is found in Key Cave National Wildlife Refuge. A new study by Buhay and Crandall (2009) found that "a single population of *C. jonesi* along with some newly discovered neighboring populations all in Marshall County, Alabama actually represented a distinct cryptic evolutionary lineage ... herein described as *Cambarus speleocoopi*."

Habitat:

This species lives in cave pools (NatureServe 2008). Its population falls in the middle of three co-extensive troglobitic crawfishes without any reported habitat partitioning. The species is found alongside *Cambarus tenebrosus* (facultative cave dweller) and *Orconectes australis* (obligate cave dweller) in Madison Co., *Orconectes sheltae* (obligate cave dweller) and *Cambarus veitchorum* (obligate cave dweller) in Limestone Co., and *Procambarus pecki* (obligate cave dweller) in Colbert, Lauderdale, and Morgan Cos., Alabama (Buhay et al., 2007).

Ecology:

Buhay et al (2007) report that "[u]nlike *C. hamulatus*, *C. jonesi* is known to co-occur with other obligate cave-dwelling crayfish species."

Populations:

There are between 6 and 20 populations with 1000-10000 total individuals, according to NatureServe (2008).

The species is currently found in 12 caves in Alabama (Buhay et al. 2007). Similar crayfish identified in caves in Marshall Co. are a distinct species currently being described. Thus the species occurs in Colbert, Limestone, Lauderdale, Madison, and Morgan Cos., Alabama. Information (Hobbs 1981) indicating the species occurs in the Chattahoochee River in Georgia to Halawakee Creek in Alabama is now believed to be incorrect (see also Mirarchi et al., 2004; in appendix 1-2 published separately; Schuster and Taylor, 2004; Schuster et al., 2008).

All occurrences have very low populations with low fecundity (Buhay et al., 2007).

Population Trends:

In the short-term, this species is declining rapidly, by 30-50 percent, as reported by NatureServe (2008). Long term, the decline is 25-50 percent. The species was previously found in 14 sites in the Highland Rim region of northern Alabama (6 counties on both sides of the Tennessee River); this has now fallen to 12 sites. A decline in cave habitat quality has been noted (Buhay et al., 2007).

Status:

This species has a low area of occupancy, low number of occurrences, and each population has

Habitat destruction:

The entire range of the species is subject to intensive stream impoundment and modification, as reported by NatureServe (2008). Development associated with rapid expansion of cities within the range continues to degrade habitat (Decatur and Huntsville, Alabama urban areas).

According to Dickson and Franz (1980) “[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality.”

As a cave obligate, its habitat requirements are very narrow, and the species is a specialist with key requirements scarce. Recreation is a potential threat to this species.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species. Some occurrences are on Key Cave National Wildlife Refuge (USFWS Undated), but even these may not adequately be protected from pollution impacts. It is an Alabama species of greatest conservation need, but this designation conveys no regulatory protection.

Other factors:

NatureServe (2008) reports that the species is subject to low fecundity and a long immature period.

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Web Page for Key Cave National Wildlife Refuge: <http://www.fws.gov/keycave/>. Last accessed March 26, 2009.

Scientific Name:

Cambarus nerterius

Common Name:

Greenbrier Cave Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Cambarus nerterius is known from a single cave in Pocahontas County and 15 caves or springs in Greenbrier County, West Virginia (NatureServe 2008). The range is thus less than 100-250 square km (less than about 40 to 100 square miles).

Habitat:

NatureServe (2008) reports that the Greenbrier cave crayfish is generally found in subterranean streams, usually in the upper portions of the cave, but small specimens have also been collected from dry stream beds that were nearly saturated by humidity.

Populations:

Cambarus nerterius is known from 16 caves or springs. Total population is estimated to number at least 2,500. The density was observed to be about one crayfish per 2.4 square meters of habitat (Jezerinac et al. 1995).

Population Trends:

NatureServe (2008) indicates that the short-term trend for this species is stable, and long-term trend is unknown.

Status:

NatureServe (2008) ranks this species as critically imperiled, the IUCN ranks it as vulnerable, and AFS lists it as Endangered, due to limited range.

Habitat destruction:

NatureServe (2008) reports that this species is most likely intolerant of perturbations. According to the U.S. Forest Service (2001), cave crayfish including *C. nerterius* face numerous threats. These include contamination from sewage, pesticides and herbicides, hazardous materials from industrial accidents, habitat destruction from logging, mining and road construction, farming, and other groundcover disturbances. Impoundments also harm cave species by interrupting streamflow and modifying habitat, while smoke and quarrying have been noted as reducing water quality in caves. Oil, gas and water extraction can also damage cave habitats, and invasive species can devastate cave-obligate endemic species. Finally, human intrusion and vandalism have also reduced crayfish viability at times. Road and trail construction near caves encourages human use and associated impacts. Despite these pervasive effects, the Forest Service is not currently monitoring *C. nerterius*.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species. *C. nerterius* is a USFS Regional Forester Sensitive Species that occurs in caves on the Monongahela National Forest in West Virginia, but protection for sensitive species is discretionary. This species also occurs in General Davis Cave, which is owned and gated by The Nature Conservancy.

Other factors:

Water pollution from a variety of sources threatens this crayfish.

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Scientific Name:

Cambarus obeyensis

Common Name:

Obey Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Obey crayfish is confined to headwaters and tributaries of the East Fork Obey River in Cumberland, Fentress, Putnam, and Overton counties, Tennessee (Williams et al. 2006).

Habitat:

Cambarus obeyensis occurs in small to large streams where they are found beneath large rocks in moderate to slow current (NatureServe 2008). However, the known range distribution suggest that it is restricted to the upper reaches of streams that remain on the table rock portion of the Cumberland Plateau upstream of where the streams have began to cut through the Pennsylvanian Sandstone caps (Williams et al. 2006).

Populations:

There are 8 known occurrences of this species. In the original description, Hobbs and Shoup (1947) reported only three known localities, all occurring within Hurricane Creek and its tributaries. Since then, this species has been collected from only one other locality outside the Hurricane Creek watershed (a single record collected from Dripping Springs Creek, a tributary to Meadow Creek, a northerly flowing tributary just southwest of Hurricane Creek). Recent survey efforts (Williams et al., 2006) found populations at all historic sites except Dripping Springs Creek plus one new stream locality record within a tributary of Hurricane Creek.

Population Trends:

NatureServe (2010) reports that this species has experienced short-term decline of up to 30 percent, stating: "Although one population may have been lost in Dripping Springs Creek (more surveys are needed to confirm this), all historical occurrences have been confirmed to be extant and viable recently (Williams et al., 2006). However, in 2008, most of the streams dried completely so decline is imminent. These streams dry periodically causing fluctuations in population numbers (T. Jones, R. Thoma, pers. comm., 2009)."

Status:

C. obeyensis is only known from the headwaters of one river system in Tennessee. Its status in Tennessee is imperiled, and it is critically imperiled globally (G1S2) (NatureServe 2008). The American Fisheries Society re-ranked this species from Threatened to Endangered (Taylor et al. 2007). The State of Tennessee classifies *C. obeyensis* as Threatened. Williams et al. (2006) suggest that its state status be elevated to endangered. NatureServe (2010) states: "This species is only known from the headwaters of one river system at the junction of three counties in Tennessee in a very small area and populations fluctuate up and down due to periodic drought. Also poor water quality is contributing to declining habitat for this species. It is extremely rare and localized."

Habitat destruction:

Concerning threats to this species, NatureServe (2010) states: "Habitat loss and poor water quality issues from point and non-point source pollution have plagued aquatic organisms within these streams for many decades. While efforts are currently underway to improve water quality in a few streams, much of

the watershed continues to suffer from historical surface coal mining practices. Although much of the East Fork Obey River system remains forested, increasing residential development and poor logging and agricultural practices pose continuing threats. Note there is the potential (not realized) threat of a large sand mine being considered for construction in heart of range (per R. Thoma to C. Taylor, pers. comm., 2008)."

C. obeyensis may occur near the Mine Lick Creek Interchange on Interstate 40 in Putnam County, and could be impacted by construction (TDOT 2006). No surveys were performed and no analysis of impacts was undertaken in the Environmental Assessment.

The Obey crayfish also occurs in the vicinity of the Algood 161KV Transmission line, which is due to be upgraded. (TVA 2008.) While this project has the potential to degrade the aquatic habitat of this species, again no surveys were performed and no analysis of impacts was undertaken by the action agency.

Inadequacy of existing regulatory mechanisms:

C. obeyensis and *C. bouchardi* are being considered for inclusion in a proposed Cumberland Habitat Conservation Plan (Cumberland HCP 2006). This species is state listed in Tennessee, but this designation conveys no habitat protection.

Other factors:

According to Williams et al. (2006) "the potential for nonindigenous crayfish species introductions merit reason for additional concern, although none were found during our surveys."

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Scientific Name:

Cambarus parrishi

Common Name:

Hiwassee Headwater Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that a very experienced collector was able to produce only five localities of Hiwassee headwater crayfish in Towns County, Georgia, and two in adjacent Clay County, North Carolina in ten years of intensive collecting; all localities are in the headwaters of the Hiwassee River. Recent efforts have discovered four additional localities in Clay County, North Carolina and one in Cherokee Co.. The total range is estimated at 100-250 square km (about 40-100 square miles).

Habitat:

According to NatureServe (2008), *Cambarus parishi* inhabits very swift, clear water flowing over a bed of sand and rocks.

Ecology:

Although occurring in riffle areas, Hiwassee Headwater crayfish is most common in the rocky areas between riffles, under rocks & in debris trapped by the rocks (NatureServe 2008); this apparently allows it to partition the habitat with the sympatric *C. bartoni*.

Populations:

This species is known from a total of 11 locations, and it is estimated that there are less than 1000 total individuals (NatureServe 2008). A total of 143 specimens have been collected, and this species is apparently never abundant.

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks this species as critically imperiled in Georgia and imperiled in North Carolina. The U.S. Forest Service (2002) has designated *Cambarus parrishi* as a Sensitive Species. In North Carolina it is designated a Special Concern species. The State of Georgia lists it as Endangered. It was also a Federal C-2 Candidate species before that list was abolished. It is ranked as vulnerable by the IUCN and as endangered by the American Fisheries Society.

Habitat destruction:

According to Skelton (2008), "The small range of this species and the high development rates within that range are significant threats to the Hiwassee headwater crayfish. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding place on which crayfishes rely to avoid predation . . . Conserving populations of the Hiwassee headwater crayfish will require general watershed level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

The U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis. U.S. Forest Service (2008).

Inadequacy of existing regulatory mechanisms:

The USFS (2005) reports that this species is found on the Chattahoochee and Nantahala National Forests, where it is a USFS Sensitive Species, but this designation conveys no regulatory protection for the species' habitat. This crayfish is listed by the state of Georgia, which provides protection from collection, but not habitat protection.

Other factors:

Skelton (2008) states that the introduction of non-native crayfishes is a threat to all native crayfishes. According to the North Carolina Wildlife Resources Commission (2000): "Nonindigenous crayfishes can affect natives via competition, predation, genetic dilution, and by serving as disease vectors. Further, introductions of nonindigenous crayfishes can enhance the negative effects of environmental change on native species because non-natives are often more tolerant to environmental degradation. Lodge et al. (2000a) consider nonindigenous crayfish introductions to be the single greatest threat to native crayfish biodiversity worldwide. In Europe, nonindigenous crayfishes have contributed to serious declines and even local extinctions of its 5 native species. In several areas of North America, combinations of environmental degradation and introductions of non-native crayfishes have led to declines in native species, and to the extinction of at least one native crayfish in northern California (Lodge et al. 2000a). During recent decades, at least 3 exotic crayfish species have been introduced into North Carolina; therefore, we are concerned about potential impacts to our ecosystems and native crayfish species."

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Scientific Name:

Cambarus pristinus

Common Name:

Pristine Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008) the range of *C. pristinus* is 100-250 square km (about 40-100 square miles). This species maintains a spotty distribution within the upper tributaries of the Caney Fork River drainage, Cumberland Co. (possibly White and Van Buren Cos.), Tennessee.

Habitat:

This species is restricted to sandstone-derived streams of the Upper Caney Fork drainage of the Cumberland Plateau. It is found in small to large streams under slabrock resting on bedrock, with interspersed small rocks, cobble and sand occupying areas of 0-100 percent canopy cover. It is associated strongly with streams in or near headwaters (Rohrbach and Withers 2006).

Populations:

C. pristinus has recently been discovered in several additional localities (approximately 15 newly discovered since 2004) and is now known from 19 streams in Cumberland, Van Buren, White and Bledsoe Cos., Tennessee (Rohrbach and Withers 2006).

Population Trends:

According to NatureServe (2008) this species is increasing in the short term and relatively stable in the long term. Recently several new localities of *C. pristinus* were discovered, but the Fall Creek Falls State Park record is now considered historical (Rohrbach and Withers 2006).

Status:

The pristine crayfish is critically imperiled (NatureServe 2008). It is a Federal Species of Management Concern, and the State of Tennessee lists it as Endangered. It is ranked as vulnerable by the IUCN, and as endangered by the American Fisheries Society.

Habitat destruction:

Rohrbach and Withers (2006) report that the primary threat to this species is siltation associated with silvicultural and agricultural practices. Many sites where this species occurs are significantly influenced or surrounded by large tracts of corporate timberland.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few (1-3) occurrences of *C. pristinus* are appropriately protected. One small segment of Oldfield Branch within Bledsoe State Forest was inhabited by this species, and other streams on the forest are expected to contain the species. Blade Creek, also on the state forest, once had a population but it is now considered historical (Rohrbach and Withers 2006). Occurrence on a state forest does not necessarily protect this species from logging impacts, a primary threat. This species is state-listed in Tennessee, but this designation conveys no habitat protection.

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Scientific Name:

Cambarus scotti

Common Name:

Chattooga River Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008) this species occupies less than 100-250 square km (less than about 40 to 100 square miles). It is restricted to the Chattooga River basin in Chattooga and Walker counties in Georgia and Cherokee County, Alabama. The Alabama specimens are tentatively assigned to this species, but do not share all characters (Hobbs 1981), although it is listed as occurring in Alabama in Taylor et al. (2007). Mirarchi et al. (2004; appendix 1.2 pub. separately) lists this species in Alabama from 9 records in the upper Coosa River system (Chattooga River).

Habitat:

Cambarus scotti is most abundant in areas with swift water and rocks (Hobbs, 1981).

Populations:

The Chattooga River crayfish was collected at 14 sites in Georgia and five sites in Alabama (Hobbs 1981). Mirarchi et al. (2004; appendix 1.2 pub. separately) and Schuster and Taylor (2004) list this species in Alabama from 9 records in the upper Coosa River system (Chattooga River) (Schuster et al. 2008). This species can be locally abundant.

Status:

This species is ranked as imperiled in Georgia and it is not ranked in Alabama (NatureServe 2008). AFS classifies this species as Threatened due to restricted range. Georgia lists this species as Threatened.

Habitat destruction:

According to Skelton (2008), "[t]he small range of this species and poor land use practices within that range are potential threats to the Chattooga River crayfish. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation. . . "[c]onserving populations of the Chattooga River crayfish will require general watershed level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

Inadequacy of existing regulatory mechanisms:

The Chattooga River was designated as a Wild and Scenic River in 1974 by The Wild and Scenic Rivers Act. This species is listed as threatened in Georgia, but this designation conveys no habitat protection.

Other factors:

According to Skelton (2008), "The introduction of non-native crayfishes is a threat to all native crayfishes."

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Scientific Name:

Cambarus speciosus

Common Name:

Beautiful Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008), this species is confined to a short stretch of the Coosawattee River in Gilmer, Murray and Pickens counties, Georgia (Hobbs, 1989). The area is less than 100 sq. km (less than 40 sq. miles).

Skelton (2008) reports that "The Beautiful crayfish is endemic to the Coosawattee River system (Upper Coosa River system) in northwest Georgia. Records are known from Talking Rock Creek and several other streams and rivers upstream of Carter's Lake Reservoir."

Habitat:

Cambarus speciosus occurs in clear to slightly cloudy water flowing swiftly over rocky bottom, generally in a sandy and rocky substrate (NatureServe 2008).

Ecology:

NatureServe (2008) states that the Beautiful crayfish has not been found in small headwaters streams. Most specimens were taken from under rocks or in beds of Podostemum.

Populations:

NatureServe (2008) states that a very experienced collector produced only 9 sites with 76 Beautiful crayfish in more than a decade of study. Less than 1000 individuals are believed to exist.

Population Trends:

This species is declining in the short term by 10-30 percent, according to NatureServe (2008).

Status:

AFS considers this species endangered due to its small range and small population. IUCN lists it as vulnerable, and NatureServe (2008) considers it to be imperiled. The Beautiful crayfish is listed as Endangered by the State of Georgia. The U.S. Forest Service (2002) has designated Cambarus speciosus as a Sensitive Species. Schuster (2001) reports this species as stable.

Habitat destruction:

NatureServe (2008) indicates that Carter's Dam & other impoundment dams are destroying its habitat.

The U.S. Forest Service states that "management activities most likely to create adverse effects to this aquatic species are those that disturb soil and cause excessive siltation. Dams that restrict water flow and change stream also create problems for this crayfish."

Skelton (2008) relates the following: "The small range of this species and the high development rates within that range are significant threats to the beautiful crayfish. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation."

The U.S. Forest Service is conducting herbicide-based vegetation management in the range of this species without surveys or species-level analysis (U.S. Forest Service 2008).

Skelton (2008) warns that "conserving populations of the beautiful crayfish will require general watershed-level protection measures, including the protection of riparian zones, control of sediment and nutrient runoff from farms and construction sites, and limiting the amount of impervious cover (e.g., pavement) within occupied watersheds."

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

This species is found in the Chattahoochee National Forest, where it is a USFS Sensitive Species, but this designation does not effectively protect this species because the management of sensitive species is discretionary, and the Forest Service is conducting potentially harmful activities in the range of this species without surveys or species-level analysis (U.S. Forest Service 2008).

It is listed as endangered by the state of Georgia, but this designation provides no protection for the species habitat.

Other factors:

Skelton (2008) states that "The introduction of non-native crayfishes is a threat to all native crayfishes." Non-native crayfish are being spread by fishermen who use them as bait.

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Scientific Name:

Cambarus spicatus

Common Name:

Broad River Spiney Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Broad River Spiney Crayfish is restricted to the Little and Broad River drainages in Fairfield, Richland, and Spartanburg counties, South Carolina (Eversole, 1995). Cooper and Braswell (1995) report a new record from Cleveland County, North Carolina. LeGrand et al. (2006) cite streams in the Broad River drainage in Cleveland and Polk Cos., North Carolina.

Habitat:

NatureServe (2008) reports that this species is found in streams of small to medium size with trapped leaf litter. Clamp (1999) states that *Cambarus spicatus* is found in "debris along the margins of the stream."

Populations:

NatureServe (2008) estimates that there are at least 21 occurrences of this species, with at least 2,500 individuals. It is not uncommon within its limited range.

Status:

NatureServe (2008) ranks this species as imperiled in North Carolina and vulnerable in South Carolina. IUCN classifies this species as Vulnerable based on its restricted range.

In North Carolina, *Cambarus spicatus* is a Species of Special Concern. In South Carolina, it is a Species of High Conservation Concern. It is ranked as threatened by the American Fisheries Society (Taylor 1996).

Habitat destruction:

Where *Cambarus spicatus* is found near urban areas, its habitat is flanked by major impoundments (NatureServe 2008). There is also an unquantified threat from recreational development and further impoundments.

SCDNR (2005) states that:

"Physical alteration of habitat also represents a challenge to the survival of crayfish. Some aquatic crayfishes are quite adaptable and can live in ponds, impoundments and roadside ditches, while others are more sensitive to habitat alteration. Some crayfishes are oxygen regulators and are able to increase ventilation rates in response to reduced oxygen conditions, while others, the oxygen conformers, are unable to do this (Hobbs 1991). Therefore, some species are better equipped to survive when the flow of water slows and oxygen levels decline. Some species... have been eliminated from parts of their range as a result of damming activities associated with reservoir construction. Channelization and dredging can also be very detrimental to aquatic crayfish that require rocks, crevices or tree roots along undercut banks as hiding places (Hobbs and Hall 1974). In general, crayfish are not as sensitive to siltation as some aquatic invertebrates such as mussels, but severe siltation has caused declines in or the extirpation of many populations of crayfish (Hobbs and Hall 1974).

Pollution has been known to eliminate crayfish from streams. Ortmann (1909) noted the extirpation of crayfish from some sections of streams and rivers due to mining and oil refineries. Crayfish are harmed by a variety of insecticides, herbicides and industrial chemicals (Eversole et al. 1996). Juvenile crayfish are generally about four times as sensitive to water borne pollution than adults; early instars are about three times as sensitive as juveniles (Eversole and Sellers 1996). There is little knowledge of the differences in sensitivity to toxins among species. Nutrient enrichment is less likely to harm crayfish than other aquatic life because they are omnivorous and can act as scavengers as well as primary and secondary consumers. Hobbs and Hall (1974) noted several casual observations in which crayfish were actually more abundant downstream of areas with large amounts of garbage or animal remains. Enrichment may be harmful to crayfish, however, when it results in oxygen depletion (Hobbs and Hall 1974). Pollution of groundwater may impact terrestrial burrowers, because they inhabit water trapped in their burrows."

Other factors:

Fullerton and Watson (2001) believe that *Cambarus spicatus* may be threatened by the appearance of the non-native crayfish *Orconectes rusticus*, which has caused problems for native crayfish in other places.

According to the NC Wildlife Resources Commission (2000): "Nonindigenous crayfishes can affect natives via competition, predation, genetic dilution, and by serving as disease vectors. Further, introductions of nonindigenous crayfishes can enhance the negative effects of environmental change on native species because non-natives are often more tolerant to environmental degradation. Lodge et al. (2000a) consider nonindigenous crayfish introductions to be the single greatest threat to native crayfish biodiversity worldwide. In Europe, nonindigenous crayfishes have contributed to serious declines and even local extinctions of its 5 native species. In several areas of North America, combinations of environmental degradation and introductions of non-native crayfishes have led to declines in native species, and to the extinction of at least one native crayfish in northern California (Lodge et al. 2000a). During recent decades, at least 3 exotic crayfish species have been introduced into North Carolina; therefore, we are concerned about potential impacts to our ecosystems and native crayfish species."

According to SCDNR (2005): "The arrival of introduced species is probably the greatest challenge to crayfish (Lodge et al. 2000 a,b). The ranges and abundances of many native crayfish may have been reduced by invasive crayfish, both in the United States and in Europe (Lodge et al. 2000a; Hobbs et al. 1989).

Prevention of future introductions is most likely the only effective way to deal with the challenges caused by nonnative crayfish. No methods for eliminating invasive species without also harming native species are currently available. Even if effective biological control methods are developed, preventing introductions will still be much easier than eradicating an established species. Lodge et al. (2000b) proposed federal legislation that, if enacted and enforced, would drastically reduce the risk of future introductions. They include banning the use of live crayfishes as bait, and adopting a 'white list' approach for the sale of all crayfish in the aquarium, garden pond and educational trade."

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Scientific Name:

Cambarus strigosus

Common Name:

Lean Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

Cambarus strigosus is found in the Broad and Little River basins in Elbert, Oglethorpe and Wilkens counties, Georgia; Hobbs (1981) thinks it is probably more widespread in these basins in the Piedmont Province.

Habitat:

The Lean crayfish forms elaborate burrows in sandy clay substrates, in densely matted roots, and in high organic matter soils. NatureServe (2008) reports that this species digs complex burrows and is usually found beneath water level.

Populations:

According to NatureServe (2008), *C. strigosus* is currently known from only 5 localities, with an estimated total population of 1000 - 2500 individuals. This is a crude estimate and available data is very limited.

Status:

NatureServe (2008) ranks this species as imperiled. The State of Georgia has classified it as Threatened. It is ranked as threatened by the American Fisheries Society and as endangered by the IUCN.

Habitat destruction:

Skelton (2008) reports that "[t]he small range of this species makes it vulnerable to land disturbing activities around streams and wetlands."

Inadequacy of existing regulatory mechanisms:

Skelton (2008) indicates that "[a] large population is known from Nancy Hart State Park." NatureServe (2008) reports that it is unknown if any occurrences of this species are appropriately protected.

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Scientific Name:

Cambarus unestami

Common Name:

Blackbarred Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

This crayfish is confined to streams on Lookout and Sand Mountains (Tennessee River system) in extreme northwestern Georgia and northeastern Alabama. Hobbs Jr. (1981) reports that this species is found at altitudes of approximately 333 to 500 meters. Georgia DNR (2008) reports that the range of this species is "the Cumberland Plateau and the Ridge and Valley physiographic provinces in tributaries of Chattanooga, Cole City, and Lookout Creeks in northwestern Georgia and extreme northeastern Alabama. These streams are in the Tennessee River drainage. It has also been taken from tributaries to the Little River, which is part of the Coosa River system."

Habitat:

The Blackbarred crayfish lives in streams under rocks (Schuster and Taylor 2004). According to Georgia DNR (2008), "[t]he blackbarred crayfish is usually collected in medium-sized streams from beneath rocks or within leaf litter in moderate to swift current."

Populations:

NatureServe (2008) estimates that there are between 21 and 80 populations of this species with a total of 2500-10,000 individuals. In Alabama, *Cambarus unestami* is known only from two database records from the Tennessee River system in Jackson County (Mirarchi et al., 2004, appendix 1.2 pub. separately; Schuster and Taylor, 2004; Schuster et al., 2008).

Population Trends:

The population trend for this species is not known.

Status:

In Alabama and Georgia this species has a status of imperiled due to restricted range and proximity to a large metropolitan area (NatureServe 2008). The State of Georgia lists this species as Threatened (Georgia DNR 2008), as does the American Fisheries Society (2008).

Habitat destruction:

According to NatureServe (2008), this species' range is located adjacent to the expanding Chattanooga, Tennessee metropolitan area. Development pressures from road construction, human residence and commercial enterprises will likely reduce water quality and degrade or destroy habitat.

Georgia DNR (2008) states that "[t]he small range size of this species makes it vulnerable to extirpation. Heavy sedimentation resulting from poor development and land management practices may cover substrates and other daytime hiding places on which crayfishes rely to avoid predation."

The U.S. Forest Service (2008) reports that in the range of *Cambarus unestami*, "the viability of many of the rare crustaceans is most threatened because of their small ranges. Impacts to habitats that would reduce or extirpate local populations of other taxonomic groups might result in extinction of some crustaceans. Crayfish are somewhat tolerant of desiccation, but permanent

conversion of wetlands to pasture or urban uses could eliminate populations and lead to extinctions."

Inadequacy of existing regulatory mechanisms:

This species likely occurs in streams in Cloudland Canyon State Park (Georgia DNR 2008). No information on any additional protection is available for this population. This crayfish is listed as threatened in Georgia, which protects it from collection but not from habitat degradation.

Other factors:

According to Georgia DNR (2008), "the introduction of non-native crayfishes is a threat to all native crayfishes."

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Scientific Name:

Cambarus veteranus

Common Name:

Big Sandy Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that the Big Sandy crayfish is found in the upper Guyandotte River and Bluestone River drainage of West Virginia, and the upper Big Sandy drainage in Virginia (Buchanan and Dickerson counties) and Kentucky (Floyd and Pike counties). Recent fieldwork in Kentucky found the species to occur in both Tug Fork (Pike County) and Levisa Fork (Floyd County) of the Big Sandy drainage. There are now seven confirmed sites in Kentucky. More fieldwork will probably identify additional populations. Jezerinac and Stocker (1989) failed to find the Big Sandy crayfish in the Guyandotte river.

Habitat:

Cambarus veteranus inhabits moderately sized streams (10-20 meters wide) with bedrock, cobble, boulder, and sand substrate and permanent, fast-flowing water.

Populations:

According to NatureServe (2008), this species occurs in three river drainages in small areas in KY, VA, and WV. There are 7 occurrences in KY, 8 in VA and 16 in WV. The 16 WV occurrences includes 8 pre-1971 occurrences, 7 from 1988-89 and 1 update in 2000. Despite the historic occurrences, the species has been sought every year since 2000 in WV without success.

In Kentucky, it is known only from the upper portions of the Big Sandy River drainage in Floyd and Pike Cos. and a single collection from the Red River in Estill Co. (Kentucky River drainage). The Big Sandy Crayfish exists in the Eastern Kentucky University Crayfish Collection, but

Population Trends:

NatureServe (2008) reports this species is very rapidly declining in the short-term, by 50-70 percent, stating: "Special efforts have been made to collect this species from adjacent streams in neighboring counties but to no avail (Jezerinac et al. 1995). The species has lost half of its distribution in Virginia, is near extirpation in West Virginia, and still occupies a small portion of the Russell Fork mainstem in Kentucky (R. Thoma, pers. comm., 2009). The species has been searched for every year since 2000 in WV without success including intensive survey effort based on habitat suitability modeling (Channell, 2004)." This crayfish has suffered long-term decline of up to 75 percent.

Status:

The Big Sandy crayfish is critically imperiled in Kentucky, Virginia, and West Virginia (NatureServe 2008). Kentucky lists the Big Sandy Crayfish as a species of Special Concern, and Tennessee considers this species to be of Greatest Conservation Need. Virginia lists this species as State Endangered. U.S. Fish and Wildlife Service classified this species as a Candidate 2 for listing under the Endangered Species Act, before that status was discontinued. It is ranked as threatened by the American Fisheries Society and as vulnerable by the IUCN. This rapidly declining species is severely threatened by coal mining activities.

subsequent surveys have not found the species in the state (Taylor and Schuster, 2004).

Habitat destruction:

Continued coal mining presents a significant threat to *Cambarus veteranus* (NatureServe 2008). This crayfish is threatened by surface coal mining and by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, disrupting the food web (Wood 2009). In West Virginia, there are major mining threats in the area and plans for interstate construction as well (Jennifer Wykle, WV DNR, pers. comm., January 2005 cited in NatureServe 2008). Jezerinac et al. 1995 report that the species is absent from streams polluted with human-generated organic material from septic tanks and coal dust.

Thoma (2009) reports that "Recent collection efforts by the author and others (Channell, 2004) have failed to find the species in its West Virginia range. Mr. Zac Loughman, of West Liberty University, has reported finding the species in very low numbers in Pinnacle Creek of Wyoming County, WV (personal communication, June, 2009). It now appears nearly extirpated from West Virginia. The Kentucky population appears, based on Taylor and Schuster (2004), to be primarily derived from upstream populations in Virginia. The Virginia populations in the Russell Fork basin and Dismal Creek of the Levisa Fork basin are the only known healthy, self sustaining populations. Channell (2004) reported a collection from the mainstem Levisa Fork downstream of the Dismal Creek confluence but followup sampling in spring 2009 failed to find the species in that area. It seems likely that any individuals in Levisa Fork downstream Dismal Creek would likely be derived from the Dismal Creek population and not represent a permanent, viable population. Garden Creek, also in the Levisa Fork basin, was historically known to harbor *C. veteranus* but efforts to find the species there failed. The stream is heavily impacted by bedload sediments derived from coal mining activities."

Furthermore, "Much of its former range in Virginia is subject to dense, stream side urbanization (Levisa Fork). These areas have been subjected to elevated non-point run off, especially from roads, and, in unsewered areas, elevated nutrient loads from septic systems. Many streams no longer impacted by septic input have been channelized during installation of instream sewer lines. These stream reaches now have degraded habitat quality not suitable to supporting the species. In Virginia, unlike West Virginia, coal mining impacts are limited. Few sampled sites had significant loads of coal in the stream bed though some, like Garden Creek, were impacted by heavy bedload sediments derived from coal mining. Only a few sites were found that lacked *C. veteranus* as a consequence of coal mining impacts. The presence of a coking facility at the mouth of Dismal Creek with Levisa Fork may, in part, explain the absence of the species in Levisa Fork. Also, considerable coal transportation by truck occurs adjacent Dismal Creek and spills (coal & diesel fuel) resulting from wrecks could negatively impact *C. veteranus* in its only habitat in the Levisa Fork basin. Since the Dismal Creek population is the last viable population in the Levisa Fork basin (along with numerous 17 fish species) special attention should be accorded to protecting of this stream system."

Also, "Below the town of Pound, sewage is negatively impacting Pound River for at least six miles. A site 5.5 miles downstream of the town was noticeably suffering the effects of excess sewage and only two *C. veteranus* could be found after an extended search period. Sludge and excess algal

growth was abundantly evident and the substrate was heavily imbedded with fine sediment. In McClure River, downstream of McClure, heavy bed load sediments, primarily of sand, have eliminated much of the favorable habitat and substrates are heavily imbedded."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that no occurrences of this species are appropriately protected. Its state designations provide no habitat protection. This species is rapidly declining due to coal mining, and urgent protection is needed for its habitat.

Thoma (2009) suggests that "if possible, West Virginia, Guyandotte River basin material should be brought into captivity and efforts made to maintain the population. Its genetic status should be determined as the two known populations may represent unique genetic units of the species."

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Scientific Name:

Cambarus williami

Common Name:

Brawleys Fork Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

This species has a limited range of the upper East Fork Stones River drainage in Cannon Co., Tennessee (Rohrbach and Withers, 2006; Bouchard and Bouchard 1995). The area covered by the Brawleys Fork crayfish is less than 100 square km (less than about 40 square miles) according to NatureServe (2008). Huggins (2002) reports that "[t]he species is apparently restricted to small, spring-fed, gravel and chert-bottomed streams, where it inhabits burrows constructed in the substrate. It was reported only from Brawley's Fork until the Division of Natural Heritage initiated surveys in 2000. Currently, Cambarus williami is reported from 13 sites in 10 streams, all within the escarpment of the Eastern Highland Rim in the East Fork Stones drainage."

Habitat:

Cambarus williami burrows primarily into gravel substrates in fast to moderately rapidly flowing water. It has also been found under rocks at the shoreline and in the water (Bouchard and Bouchard, 1995). It may be found in small burrows at or near the surface of cobble substrates, both in areas of uniform cobble distribution and in more isolated pockets of such material in streams with more pervalent bedrock (Rohrbach and Withers 2006).

Populations:

NatureServe (2008) reports that there are between 6 and 20 populations with up to 1000 total individuals. Although originally known only from the type locality at Brawley's Fork (East Fork of Stones River), Cannon Co., Tennessee, it has been discovered since 2004 in several new localities (including the East Fork Stones River proper) bringing the total distribution to 18 streams in Cannon Co. (Rohrbach and Withers, 2006).

Status:

The State status of this species in TN is imperiled (NatureServe 2008). The Tennessee Wildlife Resources Agency listed this species as Endangered in 2001. AFS and IUCN list this species as Endangered based on its very limited range.

Habitat destruction:

During 2004-2005, installation of a gas pipeline along the East Fork Stones River occurred, with poor erosion control and several stream crossings causing a significant amount of unconsolidated material to enter the uppermost part of the watershed. The overall impacts to the watershed or this species have not yet been determined. Outside Woodbury, the large rural agricultural areas often provided cattle access to creeks, which were subsequently devoid of crayfish. Rohrbach and Withers (2006) noted the primary threat to this species appears to be habitat destruction through sedimentation, which is increasing due to residential growth particularly in the Woodbury area.

Inadequacy of existing regulatory mechanisms:

This crayfish is listed as endangered by the state of Tennessee, but this designation provides no regulatory protection for the species' habitat. Currently, no public lands exist in the East Fork Stones

River watershed of Cannon County.

References:

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Scientific Name:

Carex brysonii

Common Name:

Bryson's Sedge

G Rank:

G1

Range:

Bryson's sedge is endemic to Alabama's Cumberland Plateau where it occurs in several gorges in the upper Sipsey Fork drainage, and part of the Black Warrior River drainage (NatureServe 2008). There are only 5 known occurrences of this species, and natural heritage records indicate it is present in Tuscaloosa, Walker, and Winston Counties.

Habitat:

This species occurs in shaded forest slopes above streams or riparian areas. Dominant species in *C. brysonii*'s preferred habitat include sugar maple (*Acer saccharum*), American hornbeam (*Carpinus caroliniana*), American beech (*Fagus grandifolia*), tulip tree (*Liriodendron tulipifera*), eastern hemlock (*Tsuga canadensis*), and numerous pine species (Naczi 1993). The richwoods sedge (*Carex oligocarpa*), and Boott's sedge (*Carex picta*) are also closely associated with *C. brysonii*. Soil type within this species' preferred habitat is moist, sandy loam. While this species has specific ecological requirements, the habitat it prefers is not naturally rare within its range.

Ecology:

This perennial sedge fruits in the spring (Flora of North America 2002).

Populations:

Only five occurrences of this species are known, and total population size is low (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that while populations are currently considered stable, given the imminent threats of habitat loss and invasive species and the very small global population size of *C. brysonii*, the long-term viability of all occurrences is in jeopardy.

Status:

Small population size and restricted range make this species highly vulnerable to the loss or degradation of habitat or population losses to other threats. NatureServe (2008) ranks the Bryson's sedge as critically imperiled in Alabama.

Habitat destruction:

This species is imminently threatened by habitat-fragmenting agricultural and residential development, and also by timber harvesting operations (Southern Appalachian Species Viability Project 2002). Most populations of this species occur on public lands, and timber management is extensive throughout much of Alabama (NatureServe 2008). Three of the five known occurrences of *Carex brysonii* are also threatened by invasive species, particularly privet (*Ligustrum sinense*). Recreational development and a proposed campground development also apparently threatens one occurrence of this species on land administered by the Army Corps of Engineers (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Two of the five known populations occur in the Sipsey Wilderness Area of the Bankhead National Forest, where no evidence of disturbance was present as of 2004 (pers. comm. as cited in

NatureServe 2008); these are the only protected occurrences of this species. No existing regulatory mechanisms adequately protect other occurrences of the Bryson's sedge, nor are any recovery or management programs being developed or implemented, though the species is demonstrably in need of protection.

Other factors:

Because occurrences are small and sparsely distributed, they are vulnerable to stochastic extinction events and other perils faced by small, isolated populations (NatureServe 2008). Invasive privet also threatens this sedge (NatureServe 2008).

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Flora of North America Editorial Committee. 2002. Flora of North America north of Mexico. Vol. 23. Magnoliophyta: Commelinidae (in part): Cyperaceae. Oxford Univ. Press, New York. xxiv + 608 pp.

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Scientific Name:

Carex impressinervia

Common Name:

Impressed-nerved Sedge

G Rank:

G2

Range:

Also known as the ravine sedge, *C. impressinervia* is currently known from sites in Alabama, Mississippi, and North and South Carolina. Natural heritage records place *C. impressinervia* in Autauga, Bibb, Bulter, Chilton, Monroe, Russell, and Wilcox Counties, Alabama, Forrest, George, Marion, and Winston Counties, Mississippi, and Anson, Harnett, Montgomery, and Stanly Counties, North Carolina (NatureServe 2008). It may be present in Georgia, but no occurrences are confirmed. Known occurrences are widely scattered.

Habitat:

This sedge is found in moist to wet deciduous forests (Southern Piedmont) on slopes above small streams or within ravines (FNA 2002).

Ecology:

The impressed-nerved sedge is a perennial species that forms dense clumps. It flowers March-April, and fruits April-May in the southern portion of its range (NatureServe 2008).

Populations:

This plant is currently known from between 20 and 25 sites, all widely disjunct. Most populations are found in Alabama and Mississippi, fewer in North and South Carolina. Total population size is not known, though occurrences may contain thousands of individuals (NatureServe 2008).

Population Trends:

Trend information is not available for this species.

Status:

This species is currently known from relatively few disjunct sites, and most of these are not considered to be of good viability. It is threatened across its range by various causes of habitat loss. NatureServe (2008) ranks *C. impressinervia* as critically imperiled in Alabama, Mississippi, and North and South Carolina.

Habitat destruction:

This plant is threatened primarily by conversion of habitat to agricultural, residential, or silvicultural uses and unsustainable forest management practices (Southern Appalachian Species Viability Project 2002). *Carex impressinervia* prefers mature forests, which are destroyed or excluded by most current forestry practices. Hydrologic changes wrought by anthropogenic activities also threaten this species and its habitat (USFS 2006).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect *C. impressinervia*; though it is listed as threatened in North Carolina, this designation offers the species no substantial regulatory protections.

Other factors:

This sedge may be threatened by invasive exotics like the Japanese honeysuckle (*Lonicera*

japonica) (USFS 2006).

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Scientific Name:

Cicindela marginipennis

Common Name:

Cobblestone Tiger Beetle

G Rank:

G2

IUCN Status:

NT - Near threatened

Range:

The cobblestone tiger beetle may have historically occurred in Alabama, Indiana, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, South Carolina, Vermont, and West Virginia (NatureServe 2008). Current populations are found on the Coosa River in Alabama, Whitewater River in Indiana, Sciota River in Ohio, Connecticut River in New Hampshire and Vermont, and Delaware River in New Jersey and Pennsylvania. Historic collection sites in Mississippi and West Virginia, and perhaps in Ohio and Pennsylvania, were flooded by dams. Alabama populations may be tenuous. There is uncertainty about the original range of this species (NatureServe 2008).

Habitat:

The cobblestone tiger beetle inhabits sandy cobble beaches on the banks or upstream side of islands in free-flowing rivers (Pyzikiewicz 2006). These areas are characterized by sparse vegetation due to seasonal flooding and/or scouring by ice in winter, which maintains the beetle's preferred cobblestone habitat (Pyzikiewicz 2006).

Ecology:

C. marginipennis is active during the summer months, generally from early July to early September, though emergence and disappearance times vary annually. Full development from larval stage to adult stage takes two years; larvae burrow into subterranean habitat in September and remain there through the winter. Both larval and adult stages are predatory invertivores, sit-and-wait foragers that feed on smaller insects, particularly ants and flies (NatureServe 2008). Adults are volant and are capable of dispersing substantial distances, but the flow of individuals and/or genes among populations has not been well studied.

Populations:

In 1995 there were only 25 populations of *C. marginipennis* throughout its range, and populations of *C. marginipennis* are generally small (comprised of fewer than 100 adults) (NatureServe 2008). This beetle was likely extirpated from Pennsylvania, Mississippi, West Virginia, and Alabama by dam-related flooding (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the cobblestone tiger beetle has experienced long-term declines of up to 90 percent in parts of its range, and populations continue to decline.

Status:

NatureServe (2008) lists this species as critically imperiled in Alabama, New Hampshire, New Jersey, New York, Pennsylvania, Vermont, and West Virginia, and imperiled in Indiana and Ohio. It is not ranked or under review in Maryland and South Carolina, and reportedly extirpated from Mississippi. The cobblestone tiger beetle is listed as threatened in Ohio, Vermont, and West Virginia, and as endangered in New Hampshire. It has been a candidate for federal listing since 1997 (McCollough 1997).

Habitat destruction:

Habitat loss caused by dam construction and operation is considered the greatest threat to *C. marginipennis* (Kinsley and Schultz 1997, New York State Dept. of Environmental Conservation 2005, NatureServe 2008). Dams and other impoundments destroy cobblestone tiger beetle habitat by prolonging seasonal inundation or permanently submerging formerly terrestrial habitat, killing both larvae and adults in their subterranean burrows. Parts of this species' historical range in Pennsylvania and West Virginia have been flooded by dams, causing their local extirpation, and the single known occurrence in Mississippi was destroyed by the Tenn-Tom Waterway (NatureServe 2008). Dams have been proposed in Pennsylvania, New Jersey, Vermont, and New Hampshire, but are not actively being considered at present (NatureServe 2008). Impoundment and disruption of natural flooding regimes can also cause cobble bars to become overgrown with dense herbaceous and shrub vegetation, rendering them unsuitable for this beetle (New York State Dept. of Environmental Conservation 2005).

Canoeists, campers, and all-terrain vehicle riders can also destroy beetle habitat by crushing stones and compacting soil habitat, and may simultaneously kill larvae (Pyzikiewicz 2006). In studies of other rare tiger beetle species, populations were small to nonexistent in areas of heavy recreation and larger in areas where recreation was limited and vehicles were prohibited (USFWS 1990).

The New York State Dept. of Environmental Conservation (2005) reports that this beetle is threatened by gravel mining, ATV use, dams, and channelization, stating: "There are a number of existing (gravel mining) permits on both the Genesee River (Taft 2002) and Cattaraugus Creek that have the potential to negatively impact populations of *Cicindela marginipennis*. Off road vehicle use of cobble bars can destroy larval habitat and has been noted as a threat both in the literature and during on site surveys in western New York . . . Population declines would be expected to occur should gravel mining of cobble bar habitat and ATV use of cobble bar habitat continue and/or if additional dams, and channelization projects take place on rivers and creeks that support these species. *Cicindela marginipennis*, if truly present on just two rivers in the state, could especially face extirpation if gravel mining and other threats are widespread on the two rivers."

Overutilization:

Intensive collecting by private collectors has been noted as a threat to some species of tiger beetle and is a potential threat to *Cicindela marginipennis* (New York State Dept. of Environmental Protection 2005).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Cobblestone Tiger Beetle: though it is listed as state-endangered or state-threatened in several states, these designations do not afford any significant regulatory protection.

While invertebrates like the Cobblestone Tiger Beetle certainly benefit from broader-scale conservation efforts designed to protect vertebrates and other more wide-ranging taxa, many invertebrates are fine-scale habitat specialists, and as such, require more precise, fine-tuned protections (McCollough 1997).

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Scientific Name:

Clonophis kirtlandii

Common Name:

Kirtland's Snake

G Rank:

G2

Range:

The Kirtland's Snake is a small, nonpoisonous snake species found in the Midwestern and Southeastern United States. It is currently known in Illinois, Indiana, Michigan, Missouri, Ohio, and Pennsylvania, though its historical range was significantly larger (NatureServe 2008). Wilsmann and Sellers (1988) reported that Kirtland's snake was once known from more than 100 counties in eight states, but that since 1980, it has been observed in only one quarter of those counties.

Habitat:

The Kirtland's snake occupies wet, relict prairie areas, including prairie fens, meadow wetlands, open and wooded wetlands, seasonal marshes, open swamps, and other water-associated habitat (NatureServe 2008). However, because these habitats are increasingly rare, the snake is currently found most often in urban areas: vacant lots near to streams or wetlands provide the closest approximation of their preferred habitat in most regions. These urban populations are likely remnants of larger populations that have been mostly extirpated by urbanization, although they can be quite abundant (Minton et al. 1983, Minton 2001). A secretive species, *C. kirtlandii* is most often found beneath debris or underground, often using burrows excavated by other species (Harding 1993). Fossorial habitat is more thermally moderate (fewer temperature extremes), more humid, and provides food resources for this snake, which feeds on earthworms, slugs, and leeches (Wilsmann and Sellers 1988, Conant 1943, Minton 1972).

Ecology:

This species feeds on earthworms, slugs, and leeches (Wilsman and Sellers 1988, Conant 1943, Minton 1972). While the Kirtland's snake regularly consumes native slug species (*Deroceras* spp.), it will not feed on non-native, introduced European slugs, *Limax maximus* (Tucker 1994).

Both adults and juveniles aestivate or hibernate during periods of extreme heat or cold, though active individuals have been observed aboveground in all months (pers. comm. as cited in NatureServe 2008). Mating occurs in early summer, and parturition in late summer or early fall; clutch size reportedly ranges from 4 to 15 young (USDA FS 2004, Conant 1943, Tucker 1976).

Populations:

Total population size is unknown but estimated to be at least a few thousand (NatureServe 2008). Dense populations are found in several locations, though the species has declined across its entire range in recent decades (Harding 1997, Barbour 1971). Kirtland's snake was once known in more than 100 counties in eight states, but since 1980 it has been observed in just a quarter of that historical range. Ohio, Illinois, and Indiana contain a significant proportion of the remaining populations (Wilsmann and Sellers 1988). No studies have been conducted on the population biology of this species, which makes determining population viability challenging (USDA FS 2004).

Population Trends:

NatureServe (2008) determined that the Kirtland's snake has experienced major declines (up to 90%) in recent decades, and that populations continue to decline rapidly.

Status:

NatureServe (2008) reports that the Kirtland's Snake is critically imperiled in Michigan and Missouri (a single record from 1964), imperiled in Illinois, Indiana, Kentucky, and Ohio, and extirpated from Pennsylvania.

It is state-listed as endangered in Indiana, Pennsylvania (last recorded in 1965), and threatened in Illinois, Ohio, and Kentucky.

Habitat destruction:

Development, both residential and agricultural, and all associated habitat loss, fragmentation, and degradation are the primary threats to the Kirtland's snake (NatureServe 2008). Historically, most of the snake's habitat has been lost to agricultural land use (Wilsmann and Sellers 1988), but as urban and suburban sprawl continue to encroach on formerly undeveloped lands, residential development has become a substantial driver of *C. kirtlandii*'s decline. Remnant populations occupy patchy remaining habitat in these developed areas, but are small, isolated, and therefore highly vulnerable to extirpation by further development.

Overutilization:

Collection for the pet trade poses a threat to many populations, and should be prohibited to curtail further losses from this practice (Harding 1997).

Disease or predation:

Natural predators include other snakes, birds, carnivorous mammals, and some fish species (Wilsmann and Sellers 1988). While it is not thought that natural levels of predation exert a significant detrimental force on populations of *C. kirtlandii*, additive mortality perpetrated by domestic pets present in developed areas may be unsustainable. No data has been collected on diseases that may affect the Kirtland's snake (USDA FS 2004).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few occurrences are appropriately protected or managed. A range-wide survey conducted in 1985 indicates that two populations of this snake were located on designated natural areas, and two in wildlife sanctuaries (NatureServe 2008). Though the Kirtland's snake is listed as state endangered, protected, or as a species of special conservation concern in several states, this designation affords no significant regulatory protections to the species. The Kirtland's snake occurs in the Hoosier National Forest (Indiana) and the Huron-Manistee National Forest (Michigan), and is listed as a Regional Forester's Sensitive Species, but management plans are not specifically tailored to this species in any locations (USDA FS 2004).

It can thus be concluded that existing regulatory mechanisms do not adequately protect this rare and declining snake species.

Other factors:

Other factors widely cited in the decline of the Kirtland's snake include:

- Agricultural pesticides that permeate watersheds and soil: Wilsmann and Sellers (1988) report an absence of the Kirtland's snake from habitat contaminated by chemical pollutants. The

replacement of natural fire regimes by herbicides as a means of controlling ecological succession also poses a threat to the Kirtland's snake and all other species with which it co-occurs (USDA FS 2004).

- Collection of individuals for the pet trade: Kirtland's snake has been shown to do poorly in captivity – individuals collected can thus be seen as absolute losses to the greater population (Conant 1943)

- Climate change

- Competition from invasive or non-native species (NatureServe 2008)

Additionally, because the burrows excavated by crayfish are so commonly used by *C. kirtlandii*, activities that harm crayfish or crayfish habitat, such as water pollution or alteration to local hydrological regimes, are also detrimental to this species (USDA FS 2004). The Kirtland snake's environmental specificity is a natural part of its life history that makes it highly vulnerable to habitat losses. In developed areas, the Kirtland's snake is also vulnerable to mortality on roads and in mowed areas, which may have a detrimental influence on population health or persistence: Minton (1972) reports 18 dead *C. kirtlandii* on 0.4 miles of suburban road (Bavetz 1993, Dalrymple and Reichenbach 1984). Finally, prescribed burns that occur in the summer months when *C. kirtlandii* is most active may result in direct disturbance or mortality (Seigel 1986).

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Scientific Name:

Cordulegaster sayi

Common Name:

Say's Spiketail

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

Say's spiketail resides in the Florida Panhandle and Peninsula south to Gainesville and north to central Georgia (NatureServe 2008).

Habitat:

Eggs and larvae of this species are found in silt of seeps, while adults forage in weedy fields (NatureServe 2008). This dragonfly requires a specific combination of adjacent habitats-- seeps in or near deciduous forest with openings nearby (NatureServe 2008).

Populations:

C. sayi is known from 20 populations in Florida and southeastern Georgia (NatureServe 2008). There are an estimated 250 - 10,000 individuals in toto, with probably hundreds of larvae per site, but relatively few mature.

Population Trends:

NatureServe (2008) reports that this species is declining in the short term, based on a former large colony in Gainesville, Florida being reduced to low density by development.

Status:

Cordulegaster sayi has a very limited range and is threatened by development (NatureServe 2008). Its habitat is more specialized and vulnerable than co-existing gomphids. It also has a specific combination of adjacent habitats that is needed for larvae and adults. The known localities are few and fragmented, and certain populations (Gainesville, Florida) are declining (Abbott, 2007). Its state rankings are FL (S2), GA (S1S2), NC (SNA).

C. sayi was denied listing as Endangered under the Federal ESA "because the taxon presently is not in danger of extinction or likely to become so in the foreseeable future." 60 Fed. Reg. 36380, July 17, 1995.

A Positive 90-day finding was made on October, 26, 1994. 59 Fed.Reg. 53776. This finding was based on the potential devastation of the largest known breeding area by a proposed City of Gainesville flood-control project.

It was a Federal C-2 Candidate species until that list was abolished. The State of Georgia lists *Cordulegaster sayi* as Threatened. Ga. Comp. R. & Regs. r. 391-4-10-.09.

Habitat destruction:

Pesticides, tree-cutting and subsequent run-off destroy necessary habitat, according to NatureServe (2008). Fields used for feeding are also often altered for development.

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), most known sites are publicly owned. In Florida *C. sayi* occurs in two state parks, a state preserve, two state forests, and a military base. In Georgia it occurs in a state park and a military reservation.

It is considered a Sensitive Species by the U.S. Forest Service (2007).

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Scientific Name:

Coreopsis integrifolia

Common Name:

Ciliate-leaf Tickseed

G Rank:

G1

Range:

The ciliate-leaf tickseed is a rare species endemic to the Southeast United States. It is known from just a few widely scattered locations in northern Florida, southern Georgia, and southeastern South Carolina (NatureServe 2008). Natural heritage records show this species is present in Florida's Calhoun, Jackson, Nassau, St. Johns, and Washington Counties, in Georgia's Calhoun, Camden, Decatur, and Glynn Counties, and in South Carolina's Berkeley, Charleston, and Horry Counties (NatureServe 2008).

Habitat:

This plant occurs on moist sandy banks and low, flat floodplains of rivers and creeks (NatureServe 2008).

Ecology:

The tickseed is a perennial herb that flowers in late summer (NatureServe 2008).

Populations:

There are few populations of this species rangewide, and global population size is not known.

Population Trends:

Trend information is not available

Status:

This species is found in a few widely scattered locations, is considered rare, and is widely threatened by habitat destruction. NatureServe (2008) ranks the ciliate-leaf tickseed as critically imperiled in Florida, Georgia, and South Carolina.

Habitat destruction:

The tickseed is threatened by dams, diversions, and alterations to natural hydrological patterns that may either inundate or dessicate its habitat, by clearcutting in bottomland habitat, and by mowing or herbicide application on roadside verges or right-of-way areas (Chafin 2000, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the ciliate-leaf tickseed - it is listed as endangered in Florida, but this designation affords it no substantive regulatory protections.

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Scientific Name:

Crangonyx grandimanus

Common Name:

Florida Cave Amphipod

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008) the Florida Cave Amphipod has a range of 100-250 square km (about 40-100 square miles). It is known from 12 counties in Florida, USA. Most of these are in the northern part of the peninsula and the nearby eastern panhandle, with approximately half of the occurrences lying within the Suwannee River drainage. Other sites are clustered in the Woodville Karst region south of Tallahassee, and along the Gulf coast north of Tampa Bay. It is not known west of the Ochlockonee River. The Dade County occurrence in southern Florida is an odd outlier.

Habitat:

Crangonyx grandimanus is found in caves, wells, and karst springs (Zhang and Holsinger 2003).

Populations:

NatureServe (2008) grossly estimates that there are between 6 and 80 populations of this species, with 1000 - 2500 individuals. It has been collected from at least 20 sites in 12 counties (Alachua, Citrus, Dade, Gilchrist, Hernando, Leon, Levy, Madison, Marion, Pasco, Suwannee, Wakulla Cos.) in Florida, USA (Zhang and Holsinger, 2003; Walsh, 2001), so it is probable that more occurrences could exist. At least some of the known occurrences are united by a common aquifers. The exact number of individuals is unknown. Although relatively widespread, the species is not common, and population densities appear to be low.

Status:

NatureServe (2008) reports that *Crangonyx grandimanus* is endemic to Florida, with relatively few known occurrences, most of which are unprotected at present. Its status in Florida is imperiled. It was a Federal C-2 Candidate Species until that list was abolished. The State of Florida classifies it as a Species of Greatest Conservation Need. It is ranked as vulnerable by the IUCN.

Habitat destruction:

NatureServe (2008) states that *C. grandimanus* is probably sensitive to degradation of aquifers and alteration of detrital flow.

According to Walsh (2001): "Perhaps the most serious potential threat to Florida's hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythropterus*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species."

The Florida Department of Community Affairs (2008) states that Florida's freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation. These threats could be avoided by state acquisition of the springs, or through comprehensive land use planning.

Inadequacy of existing regulatory mechanisms:

Crangonyx grandimanus occurs in the caves of Edward Ball Wakulla Springs State Park, and in the springs of Peacock Springs and Manatee Springs State Parks (Florida DEP 2002, 2004, 2007) which provides habitat protection from development but not from pollution or recreation. There are no existing regulatory mechanisms which adequately protect this species.

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Scientific Name:

Crangonyx hobbsi

Common Name:

Hobb's Cave Amphipod

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that the range of *Crangonyx hobbsi* is less than 100-250 square km (less than about 40 to 100 square miles). It is endemic to Florida, where it occurs mostly in the northern peninsula and adjacent eastern panhandle. It is currently known from 13 counties, including Alachua, Citrus, Columbia, Dade, Gilchrist, Hernando, Leon, Levy, Madison, Marion, Pasco, Suwannee, and Wakulla. It has not been found west of the Ochlockonee River. Approximately half of known sites occur in the Suwannee River drainage. The Dade County occurrence in southern Florida is widely disjunct from others.

Habitat:

This species occurs in subterranean fresh waters in caves and wells in limestone bedrock (Zhang and Holsinger 2003). It is usually found near entrances and detritus.

Populations:

Currently more than three dozen occurrences of this species are known, all in submerged caves in Florida (Walsh 2001). Additional, undiscovered occurrences may exist. Zhang and Holsinger (2003) list Alachua, Citrus, Columbia, Dade, Gilchrist, Hernando, Leon, Levy, Madison, Marion, Pasco, Suwannee, Wakulla Cos. Population size and trend have not been reported.

Status:

Hobb's cave amphipod is endemic to Florida, and although it has a fairly wide range within the state, there are no known protected populations (NatureServe 2008). Its status in Florida is critically imperiled (NatureServe 2008). In 1985, the US Fish and Wildlife Service determined that this species warranted listing under the Endangered Species Act, but was precluded by efforts to list other species. After that, it was a Federal C-2 Candidate Species until that list was abolished. It is ranked as vulnerable by the IUCN.

Habitat destruction:

According to NatureServe (2008), *C. hobbsi* is potentially susceptible to changes in aquifer water quality and disruption of detrital flow, both as a result of human disturbance.

According to Walsh (2001): "Perhaps the most serious potential threat to Florida's hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythrops*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species."

The Florida Department of Community Affairs (2008) states that Florida's freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation. These threats could be avoided by state acquisition of the springs, or through comprehensive land use planning.

Inadequacy of existing regulatory mechanisms:

Hobb's Cave amphipod may occur in caves on the Ocala National Forest, where it is a USFS Sensitive Species, but this designation provides no regulatory protection for this species. It also occurs in the springs of Peacock Springs and Manatee Springs State Parks (Florida DEP 2002, 2004). No existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Croton elliotii

Common Name:

Elliott's Croton

G Rank:

G2

Range:

Elliott's croton is endemic to the coastal plains of South Carolina, Georgia, Alabama, and historically Florida, though the species is now thought to be extirpated from Florida. Natural heritage records indicate *C. elliotii*'s presence in Barnwell, Aiken, and Clarendon Counties, South Carolina, in Barbour, Geneva, and Houston Counties, Alabama, and in Baker, Decatur, and Grady Counties, Georgia (NatureServe 2008). Suitable habitat is present in a very small part of this range.

Habitat:

This plant is found along shorelines and exposed bottomlands, limesink ponds, lakes, and pools, and Carolina bays, with occurrences sometimes extending up into flatwoods, fields, agricultural areas, and pine plantations. Preferred substrate includes sand, sandy peat, and peat. It requires annually fluctuating water levels, and abundance is highest in years with dry spring and summer conditions and flooding during winter months which reduces the abundance of competing perennial species. This plant seems to exploit disturbance to soils, as individuals have been observed flourishing on plowed pond edges (NatureServe 2008).

Ecology:

This plant has specific germination requirements; it prefers dry conditions in spring and summer, and flooding in winter which eliminates competition from co-occurring perennials.

Populations:

Three occurrences of this plant have been confirmed in Alabama, 21 in South Carolina, and approximately 51 in Georgia, though some of these could be consolidated as they are closely occurring (NatureServe 2008). Global population size is unknown, and estimation is complicated by the sporadic nature of this plant's population cycles. Because the species has such precise requirements for germination, population size fluctuates greatly from year to year (Kral 1983).

Population Trends:

NatureServe (2008) and other sources report that this species is in decline across its range, and particularly in Alabama. Several sites in Barnwell County, SC, have not reported any occurrences of this species since 2003, though it was historically present (P. McMillan pers. comm. cited in NatureServe 2008).

Status:

This plant is declining across its already-small range and its habitat is rapidly being degraded or lost to anthropogenic activities. NatureServe (2008) ranks *C. elliotii* as critically imperiled in Alabama, and imperiled in Georgia and South Carolina.

Habitat destruction:

Disruptions to local hydrological regime (dams, diversions, or drainage) and conversion of habitat to timber plantations, agricultural uses, or residential development are the most imminent threats

to this species (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

The majority of Georgia occurrences are located on the Ichauway Plantation/Joseph W. Jones Ecological Research Center, which is managed so as to maintain natural conditions (prescribed burns), protecting populations of *C. elliotii*. Beyond this reserve, no other populations of *C. elliotii* are appropriately protected, and many occur on private lands. No existing regulatory mechanisms adequately protect this species from the habitat loss that imperils it.

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Scientific Name:

Cryptobranchus alleganiensis

Common Name:

Hellbender

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The hellbender once occurred in many eastern states, but has been extirpated from much of its range. AmphibiaWeb (2010) provides this description of the hellbender's historic range: "Historically, hellbenders (*Cryptobranchus alleganiensis*) were found in the Susquehanna system (Atlantic drainage) in New York, Pennsylvania, and Maryland; tributaries of the Savannah River (Atlantic drainage) in South Carolina and Georgia; the Tennessee system in Georgia, Virginia, Alabama, Mississippi, Tennessee, North Carolina, and Kentucky; and the Ohio system in New York, Maryland, Pennsylvania, Virginia, West Virginia, Ohio, Indiana, Kentucky, and Illinois (eastern hellbenders; *C. a. alleganiensis*). A second cluster of populations inhabits portions of the Missouri drainage in south-central Missouri; the Meramec (Mississippi drainage) in eastern Missouri; and the White system in southern Missouri and north-central Arkansas (Ozark hellbenders; *C. a. bishopi*). Cope (1889) listed a specimen in the U.S. National Museum from Des Moines, Iowa, and Firschein (1951b) mentioned an unverified record from the Skunk River (Mississippi drainage) in southeastern Iowa. Others have referred to the hellbender's presence in Iowa (e.g., Hay, 1892; McMullen and Roudabush, 1936), suggesting that Iowa might be within the historical range. Firschein (1951b) convincingly discredited a specimen from Vernon County, Missouri (Arkansas drainage). Two specimens from the Neosho River (Arkansas drainage) in southeastern Kansas (Hall and Smith, 1947) have come under scrutiny. Based on the extreme hiatus between the Kansas records and the nearest verified records to the east, several authors have speculated that these specimens were either introduced (Smith and Kohler, 1977) or are otherwise invalid (Dundee, 1971). Records from the Great Lakes, Louisiana, and New Jersey are certainly invalid and represent introductions or confusion with other species (see summary in Nickerson and Mays, 1973a)."

Habitat:

This salamander occurs in rocky, clear creeks and rivers, usually where there are large shelter rocks. It generally avoids water warmer than 20 degrees C. Males prepare nests and attend eggs beneath large flat rocks or submerged logs (NatureServe 2008).

Ecology:

AmphibiaWeb (2010) provides the following information on the ecology of the hellbender:

"A. Breeding.

- i. Breeding migrations. The suggestion by Alexander (1927) that male hellbenders move many km to reach their breeding grounds in the fall has not been supported by recent research. Most authorities agree that no actual breeding migration takes place, although males may move short distances within their home ranges to brooding sites.
- ii. Breeding habitat. The breeding season is variable but occurs mainly in September and October, although evidence of breeding activity as late as December and January has been reported for the Spring River in Arkansas (Peterson et al., 1989). Only a few specific breeding dates are given in the literature. Smith (1907) reported egg-laying dates from 28 August–8 September in six consecutive years starting in

1906 in northwestern Pennsylvania. Swanson (1948) stated that egg-laying takes place about the 1 September in Venango County, Pennsylvania. The release of milt from captured males and the presence of gravid females was documented between 7 September–11 October during two years of study in the Blue River of Indiana (Kern, 1986b). Nests with eggs have been reported in the North Fork River, Missouri, on 13 September (Nickerson and Mays, 1973a), and 2 and 8 October (Nickerson and Tohulka, 1986). Dundee and Dundee (1965) noted a nest containing eggs in the Niangua River, Missouri, on 14 November, and Johnson (1981) noted a clump of eggs in the same river on 19 September. Bothner and Gottlieb (1991) reported nests in the Susquehanna River in New York on 10 and 11 September. Green (1934) reported the spawning season of the hellbender in the vicinity of Elkins, West Virginia, to be from the middle of August to early September. The release of milt from captured males was reported from 20 August–11 September in the West Fork of the Greenbrier River in West Virginia (Humphries, 1999). A nest with eggs has also been reported from the Williams River in Webster County, West Virginia, during September 1997 (S. Blackburn, personal communication).

B. Eggs.

i. Egg deposition sites. The beginning of the breeding season is marked by a change in behavior of hellbenders, especially males. They leave their routine hiding places and move around the stream bottom, even during daylight, exploring cavities under flat rocks and crevices or holes in the bedrock (Smith, 1907). Eventually a male occupies a suitable site and may actively prepare a nest by moving gravel to create a saucer-shaped depression (Bishop, 1941b). Peterson (1988) also reported males using a hole in a mud-gravel bank for nesting. The males lie at the opening of their nests, frequently with their heads exposed, waiting for gravid females. Females may enter nest sites voluntarily or they may be forced into the cavity by the male. As soon as the female starts to deposit eggs, the male moves alongside or slightly above the female and sprays the eggs with snowy-white seminal fluid that may take the form of a cloudy mass or ropy chunks (Smith, 1907).

ii. Clutch size. A single female may deposit from 200 to > 400 eggs (Smith, 1907, 1912a; Bishop, 1941b, Nickerson and Mays, 1973a), but this may not represent all the eggs available for oviposition, as > 20% of a female's complement may be resorbed (Topping and Ingersol, 1981; Petranksa, 1998). Males may accept several females into their nest cavity, so the total number of eggs in a single nest may be > 2,000 (Bishop, 1941b). Deposited eggs are often eaten by both males and females (Smith, 1907; Bishop, 1941b). The eggs are yellow, round, approximately 6 mm in diameter, and surrounded by two transparent envelopes. The inner envelope is attached as a solid rope from egg to egg resulting in long egg strings (Nickerson and Mays, 1973a). The eggs swell with water and eventually increase to 18 mm in diameter (Smith, 1912a). It may take > 2 d for a female to expel her eggs, at which time she either leaves the nest or is expelled by the male (Bishop, 1941b). Males usually remain in the nest cavity with the eggs, and both Smith (1907) and Bishop (1941b) witnessed episodes of active nest guarding by males. Bishop (1941b) also observed a brooding male swaying from side to side over the eggs, which may increase the oxygen supply to the eggs. The duration of this brooding period varies, but Smith (1907, 1912a,b) found males attending nests that contained embryos about 3 wk old.

Bishop (1941b) estimated the incubation period at 68–84 d for western New York and Pennsylvania. Peterson (1988) encountered hatchlings in the Niangua River, Missouri, that he believed to be no more than 45 d old. Temperature undoubtedly plays a major role in determining length of embryonic period. Smith (1912) provides the most comprehensive data on embryonic development and should be consulted for details.

C. Larvae/Metamorphosis.

i. Larval stage. Newly hatched larvae are approximately 30 mm TL and are well pigmented dorsally and on the tail. The venter is unpigmented except for the yellow of the yolk sac. The mouth and eyes are

conspicuous, the gills are short and flattened, the front limbs terminate in two lobes, and the hindlimbs are paddle-shaped and unlobed (Bishop, 1941b). Development is rapid, and hatchlings double their size during the first year (Bishop, 1941b). Larvae normally lose their external gills in the second summer after hatching, at 100–130 mm TL (Smith, 1907; Bishop, 1941b; Nickerson and Mays, 1973a).

ii. Larval requirements.

a. Food. The diet of larval hellbenders has not been studied but probably includes invertebrates.

b. Cover. Nickerson and Mays (1973a) reported that larval hellbenders utilize small stones and chert for cover. They also reported an anecdotal account of a larval hellbender taken from the interstices of a gravel bed in an area of subsurface percolation. The scarcity of records for larval hellbenders compared to adults supports this suggestion (Kern, 1986c; Petranksa, 1998).

iii. Larval polymorphisms. None reported. iv. Features of metamorphosis. The major morphological features of hellbender metamorphosis are the loss of the external gills and the attainment of adult color pattern.

v. Post-metamorphic migrations. None known.

vi. Neoteny. Not reported.

D. Juvenile Habitat. Same as for adults.

E. Adult Habitat. Adult hellbenders are found in fast-flowing streams containing abundant cover in the form of large flat rocks, bedrock shelves and crevices, and logs (Bishop, 1941b; Nickerson and Mays, 1973a).

F. Home Range Size. Home range has been reported in various forms for several populations of hellbenders. Using minimum area convex polygon in Missouri, average home range size was 28 m² for females and 81 m² for males (Peterson and Wilkinson, 1996). Coatney (1982) calculated an elliptical home range of 90 m² for seven Ozark hellbenders radio-tracked nocturnally for 2 wk. In Pennsylvania, average inter-captured distance was 18.8 m for males and 18.7 m for females (Hillis and Bellis, 1971). The mean activity radius for this population was 10.5 m. Calculated as a circular home range, the average home range was 346.4 m². Linear distance between captures in Tennessee ranged from 5–60 m (Casey et al., 1993). Topping and Peterson (1985) provided evidence for size-specific movement in hellbenders in Missouri. They demonstrated a tendency for upstream movements ranging from 2.3–25.7 m/d. In contrast, Peterson (1987) detected no net movement upstream or downstream in the Niangua River, Missouri. Mean linear movement of hellbenders in a West Virginia stream was 20.1 m, ranging from 0.8–70.2 m between captures at least 1 mo apart (Humphries, 1999).

G. Territories. Home ranges of hellbenders overlap (Peterson and Wilkinson, 1996), but they apparently avoid being in the area of overlap at the same time (Coatney, 1982). However, hellbenders have been observed in close proximity to each other at night without conflict between individuals (Humphries, 1999). Rarely is > 1 hellbender found beneath the same rock except during the breeding season (Smith, 1907; Hillis and Bellis, 1971; Nickerson and Mays, 1973a; Peterson, 1988), and they are known to defend shelter rocks (Peterson and Wilkinson, 1996; Hillis and Bellis, 1971). Hellbenders will utilize rocks recently vacated by other individuals (Hillis and Bellis, 1971; Peterson and Wilkinson, 1996; Humphries, 1999). Male hellbenders become extremely territorial during the breeding season and will defend nest holes or rocks (Smith, 1907; Bishop, 1941b; Peterson, 1988). Blais (1996) reported that during the breeding season in New York, male hellbender's home ranges tended to overlap more than those of females.

H. Aestivation/Avoiding Dessication. Not reported; however, Green (1934) stated that hellbenders in West Virginia moved to deeper holes in summer to find colder water.

I. Seasonal Migrations. See "Breeding migrations" above. Seasonal change in nocturnal activity has been reported in high elevation populations in West Virginia (Humphries and Pauley, in press). Hellbenders were most active during early summer (May–June), with decreased activity in later months. Nocturnal searches with flashlights were most productive in early summer in these populations; however, nocturnal activity shifts have not been reported in other parts of the hellbender's range. Noeske and Nickerson (1979) reported on seasonal changes in activity rhythms in the laboratory.

J. Torpor (Hibernation). In most streams, hellbenders likely become inactive during winter. Overwintering sites in New York included deep pools > 2 m deep, fast-flowing riffles that remained free of ice cover, and deep water pockets within riffles 1.5–2 m deep (Blais, 1996). However, hellbenders sometimes breed in Missouri and Arkansas during winter (Dundee and Dundee, 1965; Peterson et al., 1989).

K. Interspecific Associations/Exclusions. None known.

L. Age/Size at Reproductive Maturity. Sexual maturity is reached from 300–400 mm TL, with males normally maturing at a smaller size than females (Taber et al., 1975), although there is much variation reported in the literature (see Petranka, 1998, for a review). Age at sexual maturity has been estimated at 3–4 yr (Smith, 1907) and 5–6 yr (Bishop, 1941b) for eastern populations and 5–6 yr (Dundee and Dundee, 1965; Nickerson and Mays, 1973a) for Ozark populations.

M. Longevity. Hellbenders have survived as long as 29 yr in captivity (Nickerson and Mays, 1973a). Extrapolations from growth rate data suggest that some large individuals may live as long as 30 yr in nature (Taber et al., 1975; Peterson et al., 1983; Petranka, 1998).

N. Feeding Behavior. Crayfish are the most important food item for hellbenders, as indicated by their position at the top of most food lists in the literature (Smith, 1907; Green, 1935; Bishop, 1941b; Swanson, 1948). Other items that have been recorded include fish, insects, earthworms, snails, tadpoles, fish eggs, other hellbenders, and hellbender eggs (Nickerson and Mays, 1973a)."

NatureServe (2008) provides the following information on hellbender ecology and life history:

"General Description: A large (up to 74 cm) salamander with a broad flattened head, wrinkled, fleshy folds of skin along each side and a conspicuous gill slit (sometimes missing) just in front of each forelimb (Green and Pauly 1987).

Diagnostic Characteristics: Adults differ from other large salamanders by lacking external gills and by their strongly flattened head and body. Larvae have four limbs; external gills; flattened, fleshy toes; a broad, flattened snout; and loose skin along the sides of the noncylindrical body.

Reproduction Comments: Lays eggs in late summer or fall (August, September, early October; e.g., Jensen et al., 2004, *Herpetol. Rev.* 35:156); winter breeding has been observed in the Spring River, Arkansas (Peterson et al. 1989). Clutch size averages about 350-500; increases with female body length. Several females may oviposit in same site. Males guard developing eggs. Larvae hatch in 1.5-3 months, lose gills about 18 months after hatching. Sexually mature in 5-8 years (Minton 1972, Peterson et al. 1988). Longevity 25+ years. Ecology Comments: In Missouri, 80% of recaptures were within 30 m of tagging site. Also in Missouri, average home range size was 28 sq m in females, 81 sq m in males; there was considerable overlap in the home ranges of both males and females; number of rocks used as shelter ranged from 1 to 13 (Peterson and Wilkinson 1996). In Pennsylvania, home range averaged 346 sq m (Hillis and Bellis 1971).

Populations:

It is unknown how many populations of hellbender are still extant. There are many occurrences in at least several dozen rivers, and the lack of new distributional records since 1995 suggests that

relatively few occurrences remain undiscovered (NatureServe 2008). AmphibiaWeb (2010) states: "Because of the secretive nature of hellbenders and their confusion with mudpuppies (*Necturus maculosus*), the present range is not known with certainty. They are no longer present in Iowa (if they ever occurred there), and they are almost certainly extirpated from the Ohio drainage in Illinois, although there is a verified 1991 record from the Wabash River in White County. Hellbenders have been eliminated from Indiana except for a small population in the Blue River and the lower portions of the South Fork of the Blue River (Kern, 1986a). In Ohio, Pfingsten (1989a) spent 2,000 person-hours searching for hellbenders from 1985-'88 and failed to find any in the Miami River or its tributaries, but did locate them in the other main drainages of the Ohio River. Populations in the remainder of the Ohio drainage are extant, as are most of those in the Tennessee drainage. Green (1934) reported hellbenders to be common in the Ohio River, but not so common in the tributaries near Huntington, West Virginia. Hellbenders were also reported from the Ohio River near Marietta, Ohio (Krecker, 1916). Records for the hellbenders in the Ohio River have not been reported since these early sightings. Humphries (1999) reported hellbenders to still be common in many high elevation streams in West Virginia. Bothner and Gottlieb (1991) studied the distribution and abundance of hellbenders in New York and found the species in both the Allegheny and Susquehanna drainages. The same is true for both systems in Pennsylvania and Maryland (Gates, 1983). No recent data are available for the Savannah drainage populations in Georgia and South Carolina. Populations are also still found throughout the species' historical range in Missouri and Arkansas (Trauth et al., 1992a,b; Trauth et al., 1993b; LaClaire, no date)" (accessed April 12, 2010 at: <http://www.amphibiaweb.org>).

Population Trends:

Several populations of hellbender have been extirpated, and many others are near extirpation, or dwindling. Anecdotal data indicate that the hellbender is declining across its range. Although quantitative data are not available, the data that are available indicate that this species is in decline, and that some extant populations may no longer be viable. In Indiana, although several nests have been located during the past 11 years, evidence of juveniles has not been found (Nature Conservancy 2010). Concerning the status of the species in Ohio, Lipps (2010) states, "Recent surveys in Ohio by Lipps and Pfingsten have found an 80 percent decline in Hellbender populations compared to the mid-1980's. Nearly all remaining populations are made up of very large (old) individuals, raising concerns about recruitment of new individuals into aging populations." In Georgia, a 2005 survey of all historic hellbender streams found them to be absent at 38 percent of the sites they were originally reported from. Of the historic streams that still contain hellbenders at the original reporting sites, 31 percent were considered "unhealthy," that is, they are not likely to support long-term, viable populations of this species (Jensen and Humphries 2007).

Furniss (2003) reports that due to the life-history characteristics of this species, declines may not become apparent until they are severe, stating "Hellbenders do not reproduce until approximately 7 years of age. Declines being observed presently may be the result of activities that occurred years earlier. Because juvenile hellbenders are rarely observed, it takes many years to detect population trends. The lack of recruitment in most all Ozark hellbender populations is a significant sign that little reproduction has occurred in these populations for several years. Delayed reproduction, when paired with a long life span, can disguise declines until they become fairly severe (Rogers, 2001). The present distribution and status of Ozark hellbender populations in the White and Black River systems in Arkansas and Missouri may be demonstrating the characteristics mentioned above. Genetic studies have demonstrated repeatedly very low genetic diversity in hellbender populations, which may be a factor in the decline of the species. The current combination of population fragmentation and habitat degradation

may prohibit this species from recovering without the intervention of conservation measures designed to facilitate hellbender recovery (Rogers, 2001)."

NatureServe (2008) reports that the hellbender has declined by up to 30 percent in the short-term and by up to 75 percent in the long-term, stating: " Compared to historical conditions, the species has significantly declined in population size, extent of occurrence, area of occupancy, and number and condition of occurrences (subpopulations) to a moderate extent (actual degree of decline is unknown but is closer to 25% than to 75%) (Nickerson and Mays 1973, Williams et al. 1981, Minton 2001, Wheeler et al. 2003, Trauth et al. 2004, Phillips and Humphries 2005). Some populations in Illinois (Phillips et al. 1999, Phillips and Humphries 2005), Indiana (Kern 1986, Minton 2001), and Ohio (Pfingsten 1990) have been extirpated. In Indiana, most reductions occurred between 1935 and 1965 (Minton 2001). However, populations are still present in most of the historical range. For example, the species is still common in many high-elevation streams in West Virginia (Humphries 1999). Populations still remain in the Allegheny and Susquehanna drainages in New York (Bothner and Gottlieb 1991) and in those systems in Pennsylvania and Maryland (Gates 1983). The species still occurs throughout the historical range in Missouri and Arkansas (Phillips and Humphries 2005), although a population in the Spring River in Arkansas is nearing extirpation (Trauth et al. 2004). Aside from the Spring River population, good documentation of population declines is scarce (Phillips and Humphries 2005). Assessment of status throughout the range is needed."

AmphibiaWeb (2010) states: "As early as 1957, it was noted that the hellbender's range was rapidly shrinking as a result of human modification of stream habitats (Smith and Minton, 1957). Dundee (1971) listed "siltation, general pollution, and thermal pollution" as being responsible for eliminating the hellbender from "much of the Ohio River drainage, and from other industrialized regions." Bury et al. (1980) mentioned channelization and impoundment of streams and rivers as an agent of decline specifically for Alabama, Maryland, Missouri, Tennessee, and West Virginia. They also cited Nickerson and Mays (1973) when implicating industrialization, agricultural runoff, and mine wastes as contributing factors in Ohio, Pennsylvania, and West Virginia. Other authors have alluded to the range-wide decline in hellbender numbers (Williams et al., 1981; Gates et al., 1985). However, rigorous quantification of effort is lacking in most hellbender surveys, so there are few data to back up these claims. The sole exception of which we are aware, that of Trauth et al. (1992a), documented a drastic decline in hellbenders along the Spring River of Arkansas. They attributed the decline to over-collection of specimens for scientific purposes (see "Predators" above), habitat alteration related to recreational activities, elimination of riparian habitats leading to an increase in the silt burden, and water pollution associated with human occupation and development along the river. Rigorous historical abundance data exist for other streams (see "Historical versus Current Abundance" above) and these areas should be targeted for resurvey."

Historical versus Current Abundance. Historical data on abundance are available for only a few populations and even in those cases, rigorous quantification of effort is lacking. For example, Green (1935) reported catching 34 hellbenders between the hours of 8 (PM) and midnight on 21 June 1934 in the headwaters of the Shavers Fork of the Cheat River (New River drainage of West Virginia). Hellbenders were detected using an acetylene light, but the number of observers or the length of the stream surveyed was not specified. Swanson (1948) reported collecting (and permanently removing) over 650 hellbenders from a 4.8 km (3 mi) stretch of Big Sandy Creek (Allegheny drainage) in Venango County, Pennsylvania, from 1932-'48. Other vague accounts are available for Bear Creek in the Tennessee drainage of northeastern Mississippi (Ferguson, 1961b), French Creek in the Allegheny drainage of northwestern Pennsylvania (Hillis and Bellis, 1971), and the streams in the Ohio River

drainage of Ohio (Pfingsten, 1989a).

More rigorously documented abundance data are available for Allegheny River drainage of New York State where Bothner and Goettlieb (1991) performed mark-recapture studies to estimate both abundance and density at eight sites along Ischua Creek, Oswayo Creek, and the Allegheny River. Abundance estimates ranged from 3–58 individuals in study areas that ranged from 424–14,003 m² of stream bed. A series of mark-recapture studies has been conducted in Missouri starting with Nickerson and Mays (1973b), who estimated abundance at 1,142 hellbenders in a 2.67-km stretch of the North Fork River (White River drainage) in 1969 and 269 hellbenders in a single riffle (4,600 m²) in the same river in 1970. Effort, in person-hours, was recorded as 750 in 1969 and 108 in 1970. Peterson et al. (1983) conducted a mark-recapture study in the same riffle during 1977–'78, and their estimate of 231 fell within the 95% confidence interval of Nickerson and Mays, indicating no change in abundance in that riffle during a 7-yr period. Mark-recapture estimates of hellbender abundance ranging from 0.9–6.1 hellbenders/100 m² were reported for the Spring and Eleven Point rivers (White River drainage of Missouri and Arkansas) and Big Piney and Gasconade rivers (Missouri River drainage of Missouri) during 1980–'82 (Peterson et al., 1988). Peterson (1987) captured 110 adults in a 2600-m² study site in the Niangua River in Missouri during 1985. Humphries (1999) conducted a mark-recapture study from 1998–'99 in the West Fork of the Greenbrier River in West Virginia. An abundance estimate of 31 individuals was found within a 216 m stretch of stream. The density in this section was 0.80 individuals/100 m².

Four streams in the White River drainage of Missouri were surveyed in 1992 (Ziehmer, 1992). Numbers encountered and effort were recorded as follows: Jack's Fork, 4 in 66 person-hours; Current, 12 in 60 person-hours; North Fork, 122 in 49 person-hours; Bryant's Creek, 0 in 22 person-hours. Trauth et al. (1992a) surveyed seven sites on the Spring River (White River drainage of Arkansas) in 1991, including two of the same sites that Peterson (1985) had studied between 1908 and 1982. Trauth et al. (1992a) did not encounter any hellbenders at a site where Peterson had marked 60 and encountered only 5 where Peterson had marked 310. This is the only evidence of decline in a hellbender population that has been documented rigorously in the literature. "

Status:

NatureServe (2008) ranks the hellbender as globally vulnerable because it has a wide range, but many populations have declined or have been eliminated by dams, sedimentation, water pollution, and overcollecting, and better information is needed on the conservation status of this species in much of its range. It is ranked as critically imperiled in Maryland, Mississippi, Illinois, and Ohio, as imperiled in Alabama, Arkansas, Georgia, New York, Virginia, and West Virginia, and as vulnerable in Kentucky, Pennsylvania, and Tennessee (NatureServe 2008). It is classified by the IUCN as near threatened.

Hellbenders are classified as Endangered in Illinois, Indiana, Maryland, and Ohio; Rare in Georgia; Of Special Concern or Species of Concern in New York, North Carolina, and Virginia; Watch List in Missouri; and Deemed in Need of Management in Tennessee (AmphibiaWeb 2010). *C.a. bishopi* is a federal candidate, and *C.a. alleganiensis* is a federal Species of Concern. Because the data which are available indicate range-wide decline and ongoing threats, the Center is petitioning for the entire *C. alleganiensis* species complex.

Concerning *C.a. bishopi*, Furniss (2003) states: "The viability of the Ozark hellbender is in question. A decline in populations numbers begin in the mid 1980's and was marked by a shift in age structure. Today's hellbender populations have fewer individuals and those left in the population are of a large

size, indicating poor recruitment. The population declines of the Ozark hellbender is documented in a study over a 20 period as declining by an average of approximately 70 percent. (Wheeler et al. 2003). The cause(s) for this dramatic decline in population numbers and shift in age structure is unknown.”

Habitat Destruction:

NatureServe (2008) reports that the principal threat to the hellbender's survival is degradation of habitat, "including impoundments, channelization, ore and gravel mining, silt and nutrient runoff (e.g., from timber harvest, agriculture, faulty septic and sewage treatment systems), other water pollution, and den site disturbance due to recreational uses of rivers (Nickerson and Mays 1973, Mount 1975:109, Bury et al. 1980, Williams et al. 1981, LaClaire 1993, Phillips et al. 1999, Wheeler et al. 1999, Minton 2001, Trauth et al. 2004). The species depends on cool, flowing, well-oxygenated water, and it needs a coarse (rocky) substrate. In agricultural regions, most of the former rocky habitat has been buried under silt (Phillips et al. 1999). Hellbenders appear to be intolerant of heavy recreational use of the habitat" (NatureServe 2008).

The Arkansas Game and Fish Commission (2005) reports the following threats to the hellbender's habitat: chemical alteration from municipal and industrial point sources, nutrient loading from confined animal feeding operations, grazing, urban development, and point sources, sedimentation from grazing, forestry, road construction, and urban development. The Society for the Study of Amphibians and Reptiles (2000) reports that hellbenders in Arkansas are threatened by deforestation, human alteration of cedar glades, and agriculture, and that populations of hellbenders in the Spring River are severely imperiled.

Jensen and Humphries (2007) report that the hellbender in Georgia is threatened by “the deteriorating quality of habitat resulting from stream impoundment, chemical pollution from agricultural and industrial runoff, and siltation originating from adjacent land disturbance . . . Stream impoundment and thus decreased water flow reduce the dissolved oxygen content necessary for efficient respiration of all stream fauna which do not breathe air. Because hellbenders breathe almost exclusively through their skin, toxic chemicals introduced into streams may become absorbed in their bodies. Acid rain may be another agent of chemical pollution threatening this species. In addition, sedimentation often creates unsuitable habitat by plugging the gaps beneath rocks used for shelter and breeding; suffocation of eggs may occur as a result of persistent sediment influxes.” The Georgia Museum of Natural History (2008) lists habitat destruction as a primary threat to the species in Georgia, particularly from siltation, pollution, and impoundment.

The Virginia Department of Game and Inland Fisheries (2010) reports that hellbender habitat in Virginia is threatened by water pollution and impoundments, stating: “Dams eliminate free-flowing sections of rivers and produce low oxygen conditions on the river bottom. Untreated sewage, sedimentation, and chemical runoff from lawns, fields, and parking lots all contribute to a reduction in their populations. Because respiration is through the skin, any toxic substance in the water can have significant adverse health effects. Removal of streamside vegetation and soil disturbance can cause sedimentation. Sedimentation affects hellbender survival by suffocating eggs, filling in hiding places of the young and killing invertebrates, such as crayfish they feed on.”

The Kentucky Dept. of Fish and Wildlife Resources (2005) reports that hellbenders in the state are threatened by gravel and sand quarrying, dredging, impoundments, woody debris removal, logging of riparian zones for agriculture and development, channelization, coal mining, road construction, and urbanization.

The Maryland Dept. of Natural Resources (2006) reports that hellbenders in the state are threatened by sedimentation from erosion, mine run-off, dam construction, and pollution. The New York State Department of Environmental Conservation (2005) reports that hellbenders in New York are threatened by channelization, silt loading, pollutants, dams, and bridge construction and repair. Lipps (2010) reports that hellbenders in Ohio are threatened by pollution and degradation of stream habitat, removal of rocks, and stream channelization and damming, and excessive siltation, resulting from the conversion of forests to agriculture and human development. In Indiana, hellbenders are threatened by pollution, siltation, and impoundment (The Nature Conservancy 2010). The Tennessee Amphibian Monitoring Program (2004) reports that hellbenders in Tennessee are threatened by impoundments and pollution.

Nickerson et al. (2002) report that even in Great Smoky Mountains National Park, hellbenders are threatened by habitat loss and degradation, stating: "Salamander populations have been eliminated within GSMNP streams downstream from road building and areas where road fill was utilized in projects near streams (Huckabee et al., 1975). These salamander kills were associated with lowered pH (4.5-5.9) and increased sulfate and metal concentrations, which occur naturally via leaching of pyritiferous phyllite from geological formations within GSMNP (Huckabee et al., 1975). Disturbances that expose the Anakeesta rock formations eliminate nearly all of a stream's macroinvertebrates as well as aquatic salamander populations (Kucken et al., 1994). The slightly acidic readings within Little River and Noland Creek (Table 1), suggest that water quality should be routinely monitored at those and other GSMNP sites. *Cryptobranchus alleganiensis* have unique respiratory components, including a single hemoglobin that does not show a Bohr effect (Taketa and Nickerson, 1973a, b), therefore, a shift toward a more acidic habitat might negatively affect populations. Crayfish are the major diet of *C. alleganiensis* throughout their range (Nickerson and Mays, 1973a; Nickerson and Ashton, 1983). The GSMNP streams surveyed had low populations of crayfishes when compared to Ozark streams with high populations of *Cryptobranchus* (Nickerson, unpubl. data). Several otters (*Lutra canadensis*) were observed within Little River, and large populations of otters could significantly reduce crayfish populations. Some aspects of fish population management may also be hazardous to *Cryptobranchus* and *Necturus* survival. Use of chemicals to reduce "competitive and rough fish" populations are implicated in *Cryptobranchus* and *Necturus* declines (Matson, 1990; C J. McCoy, pers. comm). In the past, Pro-noxfish (liquid rotenone) has been used to eliminate fishes from Abrams and Indian Creeks and their tributaries (Lennon and Parker, 1957, 1959). Rotenone undoubtedly affected salamander and macroinvertebrate populations, the latter of which may have been part of the salamander food base. We do not know the effects of electro-shocking on gravid *Cryptobranchus*, their eggs or larvae" (Nickerson et al. 2002).

In a status report of the eastern hellbender, Mayasich et al. (2003) report: "As early as 1957 it was noted that the hellbender's range was rapidly shrinking as a result of human modification of stream habitats (Smith and Minton 1957). Indeed, destruction and modification of habitat is considered the main threat to hellbender population persistence (Williams et al. 1981a) and to amphibian populations worldwide (Wyman 1990). Dundee (1971) listed "siltation, general pollution, and thermal pollution" as being responsible for eliminating the hellbender from "much of the Ohio River drainage, and from other industrialized regions." Bury et al. (1980) mentioned channelization and impoundment of streams and rivers as an agent of decline specifically for Alabama, Maryland, Missouri, Tennessee, and West Virginia. They also cited Nickerson and Mays (1973) when implicating industrialization, agricultural runoff, and mine wastes as contributing factors in Ohio, Pennsylvania and West Virginia. Other authors have alluded to the range-wide decline in hellbender numbers (Williams et al. 1981a, Gates et al. 1985). However, rigorous quantification of effort is lacking in most hellbender surveys so there are few data to

back up these claims. Exceptions to this circumstance, that of Trauth et al. (1992) and Wheeler et al. (2003) documented drastic declines in hellbenders along rivers in Arkansas (Ozark hellbender) and Missouri (Ozark and eastern hellbender). Although no data were collected to monitor potential causal factors in these studies the authors speculated that the declines were attributed to factors such as habitat alteration and degradation, elimination of riparian habitats leading to an increase in the silt burden, and water pollution associated with anthropogenic activities. Siltation: Evidence from studies of amphibian populations in the Pacific Northwest (Welsh and Ollivier 1998) link fine sediment accumulation to reduced densities of Pacific giant salamanders (*Dicamptodon tenebrosus*) which rely on coarse streambed substrates as larval habitat. Sediment deposition effectively fills interstitial spaces among coarse sediments reducing cover and foraging habitat. Although very little is known about the habitat requirements of larval hellbenders, it is reasonable to suspect that coarse bottom substrates are vital as refugia from predation and as foraging sites. Nickerson and Mays (1973) cite a personal communication from S. Minton in which sediment accumulation is suspected of destroying eggs and juvenile hellbenders (see also Jensen 1999). Prey abundance may also decline as a result of increased siltation. Siltation can also impede the movement of individuals and Routman (1993) speculates that colonization of new habitat will only occur when rivers have low silt loads. Erosion events associated with road construction, timber harvesting and other development activities in riparian habitats may therefore contribute to hellbender population declines by reducing the availability of potentially crucial microhabitat conditions. Impoundment: Because hellbenders breathe primarily through the skin (Guimond 1970) they are dependent on cool, well-oxygenated, flowing water. Construction of dams stops swift water flow and submerges riffles; thereby reducing hellbender habitat and degrading the suitability of the lotic environment through declines of dissolved oxygen concentration and increased water temperature (Jensen 1999). Impoundments also act to fragment hellbender habitat, blocking the flow of immigration and emigration between populations in addition to preventing recolonization from source populations (Dodd 1997). Small, isolated populations are more susceptible to environmental perturbation and demographic stochasticity, both of which may lead to local extinction (Lande 1988, Wyman 1990). Water Quality: Nickerson et al. (2002) cites a study by Huckabee et al. (1975) which implicated lowered pH levels and increased sulfate and metal concentrations as the likely contributor to salamander elimination from streams in the Great Smoky Mountains National Park. Salamander kills occurred downstream from road building projects (Huckabee et al. 1975). Dodd (1997) describes the environmental effects of mining which destroys and alters habitat through toxic pollution, decreased pH levels, and increased siltation. Both acid mine drainage (Humphries 1999) and streambed gravel mining are considered possible threats to hellbender populations. Since hellbenders' primary means of respiration is cutaneous (Guimond 1970), introduced toxins are readily absorbed (Jensen 1999) and can cause either direct mortality or interference with physiological processes, effectively reducing individual fitness and recruitment."

The IUCN (International Union for the Conservation of Nature) (2004) states: "The principal threat to this species is degradation of habitat, since it is a habitat specialist with little tolerance of environmental change (Williams et al. 1981). It breathes primarily (approximately 90%) through the skin (Guimond 1970) and is therefore dependent on cool, well-oxygenated, flowing water. Construction of dams stops swift water flow and submerges riffles. Logging, mining, road construction and maintenance, and other activities, can cause extensive sedimentation that covers the loose rock and gravel important as nest sites, and for shelter and food production. In Illinois, "most former rocky habitat has been buried under silt" (Phillips, Brandon and Moll 1999). Chemical pollutants and acid mine drainage are probably destructive, especially to eggs and larvae. Thermal pollution of water with a consequent oxygen loss would also be detrimental. Several streams in Alabama "have been polluted, impounded, or otherwise modified to the extent that they are, from all indications, incapable of supporting hellbender

populations" (Mount 1975). Nickerson and Mays (1973b) noted additional factors they suspected might affect local populations, such as gigging (hunting of the species at night), heavy canoe traffic, dynamiting of large boulders to enhance commercial canoe traffic, and riverside cattle and pig pens. Hellbenders generally are intolerant of heavy recreational use of habitat." The IUCN lists the following threats to hellbender habitat: residential and commercial development, tourism and recreation areas, energy production and mining, quarrying, transportation and service corridors, roads and railroads, logging and wood harvesting, recreational activities, dams and water management, pollution, domestic and urban wastewater, industrial and military effluents, mine seepage, and agricultural and forestry effluents.

Overutilization:

Overutilization is a known threat to the hellbender. Hellbenders are taken accidentally during fishing, intentionally for fishing or for persecution, are collected for the pet and biological trades, and have been overcollected by scientists as well. The viability of small populations of hellbender can be reduced by removal of relatively few adults (NatureServe 2008). Furniss (2003) states, "Because the species is long lived and does not reproduce until approximately age seven, the removal of even a few individuals from a population that is experiencing declines can impact the recruitment potential of that population (Rogers 2001)." Collection is especially harmful to populations that are already declining due to habitat degradation. For example, in West Virginia, where the hellbender is threatened by mountaintop removal coal mining, there is an organized effort by sport groups to eradicate hellbenders because they are believed to be harmful to fish.

Many states list intentional take, persecution, fishing, and recreational use as threats to the hellbender, including Arkansas, Georgia, Kentucky, Maryland, New York, Ohio, Virginia, and Tennessee (Tennessee Amphibian Monitoring Program 2004, Arkansas Game and Fish Commission 2005, Kentucky Dept. of Fish and Wildlife Resources 2005, New York State Department of Environmental Conservation 2005, Maryland Dept. of Natural Resources 2006, Lipps 2010, Virginia Dept. of Game and Inland Fisheries 2010).

Jensen and Humphries (2007) state, "Anglers who catch hellbenders while in pursuit of sport fish sometimes kill them out of spite, fear, or the erroneous belief that they impact trout populations. Ironically, trout anglers would reasonably be one of this species' best allies since stream impacts that harm the hellbender typically also harm the trout fishery. The introduction of liquid bleach into streams to collect bait salamanders ("spring lizards") is a technique that likely threatens all aquatic life in localized areas, including hellbenders and their prey."

The IUCN (2004) states: "Injuries and deaths sometimes also result when the salamanders are hooked by anglers, and some fishermen still believe that hellbenders are dangerously poisonous and also destroy game fish and their eggs (both beliefs are false), and therefore kill them at every opportunity. In the past, there were even attempts by organized sportsmen's groups in West Virginia to eradicate them. There is some collecting of hellbenders for sale as live animals or as preserved specimens. Over-collecting has been considered a serious threat in some parts; a decline was noted in the early 1990s, apparently due to collecting."

AmphibiaWeb (2010) states, "Man is an important predator, as a result of both commercial collecting and scientific research. Swanson (1948) reported taking over 650 individuals from a 4.8-km stretch of Big Sandy River, Pennsylvania. Peterson (1989) killed 108 hellbenders in the Niangua River, Missouri, in 1974 and 62 from the Spring River, Arkansas, in 1985-'86 for a study of food habits. Ingersol et al.

(1991) killed 127 from the Niangua River in 1979–'80 to document their reproductive cycle. . . Trauth et al. (1992a), documented a drastic decline in hellbenders along the Spring River of Arkansas. They attributed the decline to over-collection of specimens for scientific purposes, habitat loss, and other factors . . . In 1992, a gentleman from Alabama confessed to illegally collecting 100 or more hellbenders from the North Fork of the White River in the mid 1980's to sell to the pet trade. Also, hellbenders are susceptible to anglers, gigging for suckers. Over the years, gigged hellbenders have been found throughout the range of hellbenders in Missouri (Briggler pers. com.).”

Mayasich et al. (2003) state: “The illegal pet trade is a likely threat to eastern hellbenders. Nickerson and Mays (1973) quote live hellbenders as selling for \$15 to \$35 dollars each in 1972. Although collection for commercial sale is illegal in several states (e.g., Missouri, Ohio, Indiana, Illinois, New York, North Carolina, Virginia, West Virginia), once removed, hellbenders can be legally sold to pet wholesalers in states where restrictions have not been enacted. In 2001 an advertisement in a Buffalo, New York newspaper was selling hellbenders for \$50 each (A. Bresich, pers. comm.). Jeff Briggler (pers. comm.) provided an anecdotal report that a single group collected over 100 Ozark hellbender individuals during the 1980s in Missouri and that as recent as the 1990s, hellbenders were still being collected in Arkansas. Trauth et al. (1992) suggested that removal of specimens for “scientific or other purposes” in addition to habitat degradation has contributed to the decline of the Ozark hellbender in Arkansas. There is some indication that extensive scientifically motivated collections may have negatively impacted hellbender populations. Mike Pinder (VA Dept. of Game and Inland Fisheries, pers. comm.) described hellbender removal by a university teacher for sale to biological supply companies. Gates et al. (1985) suggest that recreational fishing may have a negative impact on hellbender populations due to an unfounded animosity towards hellbenders which are thought to be poisonous and/or to interfere with fisheries production. The extent and impact of this threat is difficult to gauge.”

The Nature Conservancy (2010) states, “As a large but apprehensive amphibian, the adult hellbender's main threat is man. An angler using live bait may accidentally hook one or may unknowingly destroy their nests while trudging along the riverbed. Then there are those who search to capture the hellbender to keep them as pets or sell them to pet stores.”

Disease or predation:

Hellbenders are increasingly threatened by both disease and predation. NatureServe (2008) states: “Some recent studies found open sores, tumors, and missing limbs and eyes in hellbenders in the Spring and Eleven Point rivers in the Ozark region (see Wheeler et al. 2002, Trauth et al. 2004). The cause of the abnormalities is unknown. AmphibiaWeb (2010) reports that captive hellbenders are often infected by water mold (*Saprolegnia* sp.) (AmphibiaWeb 2010). Briggler et al. (2007) report that chytrid fungus now threatens wild hellbender populations, stating: “Both eastern (*Cryptobranchus alleganiensis alleganiensis*) and Ozark hellbenders (*Cryptobranchus alleganiensis bishopi*) have experienced marked population decline with an average of 77% since the 1970s. Data reveal a shift in age structure of hellbender populations, with larger, mature individuals being most prevalent and young age classes being virtually absent . . . One emerging threat that has received increased attention in the past few years is the prevalence of amphibian chytrid fungus, *Batrachochytrium dendrobatidis* (Bd). In March 2006, captive-reared hellbenders, which were raised from eggs collected from two rivers in Missouri in 2002, tested positive for Bd. Tests for Bd on hellbenders in the wild began and immediately yielded positive confirmation of Bd on hellbenders. With this new discovery, additional information has been collected over the past two field seasons to document the frequency and distribution of this fungus on hellbenders in Missouri. This fungus was found on hellbenders in five of the eight rivers surveyed in 2006 and 2007. Positive Bd results were found in three Ozark hellbender rivers and two eastern hellbender rivers with

the majority of the infected animals occurring in Ozark hellbender rivers. Positive animals tended to be isolated to a few locations on each river, and frequency of infection was between 2% and 25% of hellbenders tested. Infected animals' total length ranged from 26.5 cm to 51.5 cm with longer hellbenders having higher average infection rates."

Hellbenders may be particularly vulnerable to disease because they exhibit low genetic diversity and are distributed as small, isolated populations (Furniss 2003). Concerning the threat of disease to hellbenders, Furniss (2003) states: "The majority of hellbenders captured in the past few years have an alarming number of abnormalities; mainly the absence of toes with exposed flesh (Trauth pers. com.). Although the causes of these abnormalities are unknown, some type of disease might be involved." Mayasich et al. (2003) state: "Nickerson and Mays (1973) describe various fungi, protozoans, nematodes, trematodes, cestodes, acanthocephalans, and annelids (leaches) as hellbender parasites. Krecker (1916) reported the worm *Filaria cingula* to be a skin parasite of *C. alleganiensis*. These factors can adversely affect individual eastern hellbenders although relative to the threatening factors associated with habitat degradation, it is doubtful that they have negatively impacted populations of eastern hellbenders, significantly. However, the cumulative effects of multiple environmental and biological stressors may increase hellbender mortality rates. For example, Kiesecker et al. (2001) describe how climate-induced changes in UV-B exposure increase susceptibility to pathogen outbreaks in western United States amphibian populations" (Mayasich et al. 2003)."

Hellbenders are also threatened by predation, especially in conjunction with other threats including habitat loss, collection, and disease. Furniss (2003) states: "Within their natural range, most aquatic plants and animals are kept in check by the powerful forces of competition, predation, and disease. If moved to new regions, however, these aquatic species may be freed from their normal biological and physical constraints, and spread unfettered. They displace native aquatic plants and animals, disrupt ecological processes, upset the stability of ecosystems, and can permanently change our natural landscapes. In the past decade, numerous publications have indicated the negative impacts that non-native trout have on native species with the majority of the work focusing on amphibian assemblages in mountain lakes Conservation Assessment for Ozark hellbender (*Cryptobranchus alleganiensis bishopi*) (Bradford 1989, Bradford et al. 1993, Brana 1996, Frank and Dunlap 1999, Knapp and Mathews 2000). Although there has not been any direct evidence of the effects of trout on hellbenders; other species of salamanders have been impacted by trout (Tyler et al. 1998a and 1998b, Rundio and Olson 2003). Salamanders have developed the ability to respond (fright response) to chemical cues of known predators. Recent evidence by Unger (2003) indicates that hellbender larvae from Missouri do not show a fright response to chemical cues by trout. Thus, indicating that larvae hellbenders would not adjust their behavior to trout and therefore, trout could decrease the survival of hellbender larvae. Since trout and hellbender habitats overlap in Missouri and Arkansas, further investigation is warranted."

Mayasich et al. (2003) state: "Nickerson and Mays (1973) contains thorough accounts of hellbender predators and parasites. They identify northern pike and muskellunge, turtles and water snakes, and humans as hellbender predators. Over one third of the state agencies contacted, speculated that introduced game fish may have detrimental effects on hellbender populations."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that several occurrences of hellbender are somewhat protected because they occur on public lands, but that these habitats may still be negatively impacted by water pollution emanating from upstream portions of the watershed. Occurrence on public lands also does not prevent the hellbender from being negatively affected by logging projects or other actions, for example,

hellbenders in Great Smoky Mountains National Park have been negatively affected by agency actions (Nickerson et al. 2002).

The hellbender is listed by several states, but these listings provide protection only from collection, not from habitat destruction. Although collection for commercial sale is illegal in several states (e.g., Missouri, Ohio, Indiana, Illinois, New York, North Carolina, Virginia, West Virginia), once removed, hellbenders can be legally sold to pet wholesalers in states where restrictions have not been enacted. Further, even in areas where hellbenders are collected, enforcement is inadequate to protect the species.

AmphibiaWeb (2010) states: "Hellbenders are classified as Endangered in Illinois, Indiana, Maryland, and Ohio; Rare in Georgia; Of Special Concern or Species of Concern in New York, North Carolina, and Virginia; Watch List in Missouri; and Deemed in Need of Management in Tennessee. The actual degree of protection each of these designations afford varies by state, but generally, Endangered status requires that a permit be secured before a hellbender can be captured and provides penalties for possessing hellbenders without such a permit. The other categories listed above do not afford this level of protection, but do allow for some acknowledgment that the future of the species within their boundaries is not totally secure. Other states such as Alabama, Arkansas, Kentucky, Mississippi, South Carolina, and West Virginia track hellbender distribution records in a database, but do not generally afford them protection from take. Pennsylvania apparently neither tracks hellbender records nor protects them from take."

Mayasich et al. (2003) report that existing regulatory mechanisms that could protect the hellbender are not being implemented and enforced, stating: "Existing regulatory mechanisms merit some discussion here because they are coupled with most of the anthropogenic activities that manifest themselves as threats to the eastern hellbender. The Clean Water Act has provisions to address the water quality requirements of the eastern hellbender and the National Environmental Protection Act (NEPA) addresses land-use issues that affect eastern hellbender habitat. The provisions of the Federal Insecticide Fungicide Rodenticide Act (FIFRA) minimize the risks associated with pesticide use. In and of themselves, these regulatory mechanisms appear appropriately focused and sufficiently robust to prevent or control the human activities that are potentially hazardous to eastern hellbenders. However, given the threats to eastern hellbender populations presented above, implementation and enforcement of existing regulatory mechanisms appears to be problematic. Proving that a direct "take" will occur because of a specific land use activity is often difficult, but most certainly the cumulative effects of increased development, logging, and mining has made survival more difficult for hellbenders (E.Thompson, pers. comm.). Many states have afforded the eastern hellbender some level of protective status). However, the extent to which overutilization is reported indicates that implementation and/or enforcement of these protective measures is lacking."

Similarly, Furniss (2003) states: "The states of Arkansas and Missouri prohibit the taking of Ozark hellbenders for any purpose without a state collecting permit. However, enforcement of this permit requirement is difficult. Additionally, state regulations do not protect hellbenders from other threats. Existing authorities available to protect riverine ecosystems, such as the Clean Water Act (CWA), administered by the Environmental Protection Agency (EPA) and the U.S. Army Corps of Engineers, may not have been fully used to prevent instream activities and the resulting habitat degradation. This may have contributed to the general habitat degradation apparent in riverine ecosystems and loss of populations of aquatic species in the southeast. Although the Ozark hellbender coexists with other federally listed species throughout parts of its range, listing under the Endangered Species Act would provide additional protection, as the threats to hellbenders and the other endangered species are not identical. Federal permits would be required to take the species, and federal agencies would be required

to consult with the Service when activities they fund, authorize, or carry out may adversely affect Ozark hellbenders (Rogers, 2001)."

Other factors:

The hellbender is threatened by several other factors. Many populations are now small and isolated, making them vulnerable to stochastic genetic and environmental events. NatureServe (2008) states: "Many populations have become reduced to the point at which the usual problems associated with small population size come into effect. Fragmentation of populations as a result of habitat loss/degradation is making it increasingly unlikely that extirpated populations can be reestablished through natural dispersal."

The Kentucky Dept. of Fish and Wildlife Resources (2005) reports that hellbenders in the state are threatened by stochastic events such as droughts, unusual weather, pine beetle damage, and flooding. An exceptionally large flood event may have contributed to the decline in the Spring River, Arkansas (Trauth et al. 1999). This species has also experienced unexplained declines in some areas (KY Dept. of Fish and Wildlife Resources 2005).

Concerning other factors which threaten the species, Mayasich et al. (2003) state: "Among other threatening factors, there is some indication that hellbender populations suffer from low genetic variability, that recruitment is limited by endocrine disruption, and that adverse effects could result from a complex of interactions associated with global climate change . . . Hellbenders display lower allozyme variation than other salamanders, suggesting a reduction in genetic variation in their evolutionary history (Merkle et al. 1977, Shaffer and Breden 1989, Routman 1993). Shaffer and Breden (1989) attributed this low allozyme variation to the nontransforming nature of hellbender life history and suggest that non-transforming species which are generally restricted to aquatic habitats are subject to genetic bottlenecks more often than more terrestrial, metamorphosing species. Routman (1993) found within-population mitochondrial DNA (mtDNA) to be less variable than variation in mtDNA between populations, possibly as a result of a severe genetic bottleneck followed by rapid mtDNA evolution with gene flow between rivers remaining lower than within a given river. Routman (1993) also hypothesized that high silt loading may be an impediment to gene flow since it prevents migration and reduces the availability of cover and breeding sites. This hypothesis is based on the logic that sedimentation and dissolved oxygen are negatively correlated and that because sedimentation tends to increase in downstream reaches of lotic systems, hellbender migration is impaired. These small, and increasingly isolated hellbender populations may continue to suffer from decreasing within-population diversity as inbreeding among close relatives, which can lead to problems such as reduced fertility and fitness, increases in likelihood (Noss and Cooperrider 1994). Similarly, the random loss of adaptive genes through genetic drift may function to limit the ability of hellbenders to respond to changes in their environment (Noss and Cooperrider 1994). Small population sizes and inhibited gene flow between hellbender populations may increase the likelihood of local extinction (Gilpin and Soulé 1986). As previously mentioned, hellbenders are long-lived species capable of living up to 30 years (Nigrelli 1954, Taber et al. 1975, Petranka 1998) with sexual maturity estimated at around 4-5 years (Smith 1907, Bishop 1941). Negative aspects associated with delayed reproduction include the risk of death prior to reproduction and lengthened generation times (Congdon et al. 1993). Hellbender specimens less than five years of age are uncommon (Taber et al. 1975, Pfingsten 1990) and recent research has indicated that a shift in age structure has resulted in the prevalence of older individuals (Pfingsten 1990, Wheeler et al. 2003). For example, A. Bresich (pers. comm) reported that data compiled by the Endangered Species Unit in the state of New York include approximately 150 records of eastern hellbenders from 1883 to present. Almost all of these were for mature adults and 20 reports include reference to eggs and three indicate

that eggs were hatching into larvae. However, there are no reports of finding larvae in New York other than when associated with hatching eggs (A. Bresich, pers. comm.). Likewise, R. Pfingsten (pers. comm.) reported that larvae have not been detected in Ohio waters. He speculated that eastern hellbenders may not be highly dependent on recruitment in order for a population to remain extant, simply because of their longevity. However, empirical and theoretical evidence suggests that the amount of generation overlap within a population (i.e., high survivorship among juveniles) is necessary to maintain stable populations (Congdon et al. 1993, Ellner and Hairston 1994). Lack of sufficient recruitment may be limiting population stability as well as the ability of hellbender populations to maintain genetic diversity as alteration of habitat quality occurs within their range (Wheeler et al. 2003). However, Pfingsten (1990) also cautions that lack of larvae detection could mean that they occupy an unknown microhabitat that has yet to be surveyed. The disruption of endocrine system functions by anthropogenic chemicals may play a role in the near absence of recruitment observed in hellbender populations by causing developmental abnormalities in sexual organs (Colborn et al. 1993). For example, Guillette et al. (1994) found permanent modification of American alligator (*Alligator mississippiensis*) gonads as a response to estrogen mimicking compounds released into aquatic habitat. Guillette et al. (1994) suggest that these chemicals effectively alter sexual development and result in declining reproductive success. Dr. Yue-Wern Huang at the University of Missouri-Rolla is currently working to develop techniques for monitoring hellbender exposure to endocrine disruptors in Missouri waters (see <http://web.umar.edu/~huangy/research.htm> for proposal abstract). Climate Change: Dodd (1997) has thoroughly reviewed threats to amphibians of the southeastern states. In addition to addressing the factors listed above, he presents discussions on other factors and activities that conceivably could impact eastern hellbenders; e.g. climate change, low pH (water), and UV-B radiation. Increasing air and water temperatures over the past 30 years are thought to have had serious influence on declines of amphibian populations worldwide (Pounds 2001). Reliance on cool, well oxygenated streams may inhibit the ability of eastern hellbenders to acclimate to higher water temperatures. Changing precipitation patterns have resulted in reduced water depths which may have dried up critical hellbender habitat or increased the amount of UVB radiation penetrating the water column. Kiesecker et al. (2001) documented the connection between pathogen outbreaks in amphibian populations and climate-induced changes in water depth and UV-B exposure. Kiesecker et al. (2001) acknowledge the complex interactions between global climate trends and ecological responses at the local level to UV-B radiation. However, caution must be used when assigning causal relationships between climate change and amphibian declines since the pathways are not fully understood (Pounds 2001). Furthermore, the role of locally mediated interactions with global climate fluctuations must be considered when attempting to predict ecological responses such as population declines (e.g., Kiesecker et al. 2001)."

Similarly, Furniss (2003) states: "Certain population characteristics of Ozark hellbenders cause the species to be fairly vulnerable to extirpations and extinction. The Ozark hellbender, having specialized habitat requirements, is extremely vulnerable to environmental perturbations. When populations are small, they are less likely to rebound following these perturbations. In addition, Ozark hellbenders exhibit very low genetic diversity (Merkle et al. 1977, Wagner et al. 1999). This genetic uniformity is consistent with habitat specialization (Nevo 1978, Wagner et al. 1999). Ozark hellbenders have adapted to a relatively constant environment, and therefore several structural, behavioral, and physiological specializations have resulted (Williams et al. 1981). These specializations, in combination with the stable environment, seem to have resulted in very low levels of genetic diversity (Wagner et al. 1999). This has been exacerbated with the fragmentation of populations by impoundments, habitat degradation, and other impediments to dispersal. Without the level of interchange the hellbender experienced historically, many small, isolated populations do not receive the influx of new genetic material that once occurred. As the populations decrease in size, genetic diversity is lost and inbreeding can occur, which

may result in decreased fitness, and the loss of genetic heterozygosity can result in a significantly increased risk of extinction in localized natural populations (Saccheri et al. 1998). With fragmentation, local extinctions cannot be repopulated (Rogers, 2001). Ozark hellbenders do not reproduce until approximately 7 years of age. Declines being observed presently may be the result of activities that occurred years earlier. Because juvenile hellbenders are rarely observed, it takes many years to detect population trends. The lack of recruitment in most all Ozark hellbender populations is a significant sign that little reproduction has occurred in these populations for several years. Delayed reproduction, when paired with a long life span, can disguise declines until they become fairly severe (Rogers, 2001). The present distribution and status of Ozark hellbender populations in the White and Black River systems in Arkansas and Missouri may be demonstrating the characteristics mentioned above. Genetic studies have demonstrated repeatedly very low genetic diversity in hellbender populations, which may be a factor in the decline of the species. The current combination of population fragmentation and habitat degradation may prohibit this species from recovering without the intervention of conservation measures designed to facilitate hellbender recovery (Rogers 2001)."

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Scientific Name:

Crystallaria asprella

Common Name:

Crystal Darter

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

VU - Vulnerable

Range:

Historically the Crystal Darter was found in the Mississippi River basin, from Wisconsin (Becker 1983) and Minnesota east to Ohio (Trautman 1981) and West Virginia (Cincotta and Hoeft 1987, Osier 2005) and south to southern Mississippi (Ross 2001), northern Louisiana, and southeastern Oklahoma (Miller and Robison 2004), and the Gulf slope in the Escambia, Mobile Basin, and Pearl River drainages (Page and Burr 1991, Ross 2001, Boschung and Mayden 2004). The species is now absent from much of its former range, including almost all of the northeastern portion of the range in Ohio (Trautman 1981), Indiana, Illinois (Smith 1979), Tennessee (Etnier and Starnes 1993), and Kentucky (Burr and Warren 1986), and it has apparently disappeared from much of the upper Mississippi River basin. It is rare in Wisconsin (Becker 1983), Minnesota, Iowa, and Missouri (Pflieger 1997) (NatureServe 2008).

Habitat:

The crystal darter is found in clear to slightly turbid water of raceways and swift to moderately swift riffles of small to medium rivers with expanses of clean sand or gravel. This fish is intolerant of mud or clay substrates and avoids areas of submerged vegetation (Etnier and Starnes 1993, Pflieger 1997, Ross 2001, Boschung and Mayden 2004). It is usually found in water more than 60 centimeters deep (NatureServe 2008).

Populations:

There are now fewer than 100 extant occurrences of this once widely distributed fish. Total adult population size is unknown but is presumably at least several thousand. The crystal darter is common in only a few streams; it is localized and generally rare (Page and Burr 1991). In Missouri, where it was never common, most records are represented by three or fewer specimens (one collection of 11 specimens) (Pflieger 1997). Crystal darters appear to be rare in the Elk River, West Virginia; Osier (2005) captured only two specimens during 20 sampling occasions during 2002-2004. This species is not easily detected using standard fish survey methods (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species has declined in the short-term by up to 30 percent, and in the long-term by up to 50 percent. It has been extirpated from 5 states in the northeastern portion of the historical range. In Iowa, recent records are available from Turkey Creek and pools 9 and 11 of the Mississippi River. This species is declining in most areas (Mayden, pers. comm., 1994; Burr, pers. comm., 1993; Douglas, pers. comm., 1993; Shute, pers. comm., 1993; Ross 2001, in NatureServe 2008). With continued habitat exploitation and degradation, the decline is expected to continue (Mayden, pers. comm. 1994 in NatureServe 2008). In Alabama, the darter's conservation status has deteriorated since the late 1970s, and the extent of suitable habitat continues to decline (Boschung and Mayden 2004). Lyons (pers. comm., 1994) characterized Wisconsin populations as rare but probably stable. Some populations in the southern portions of the range may be stable (Stewart, pers. comm., 1993 in NatureServe 2008). Populations tend to

fluctuate. A population may appear abundant in a locality for a time and then be essentially absent (Shute, pers. comm., 1994 in NatureServe 2008).

Status:

The crystal darter is extirpated in Illinois and Indiana, critically imperiled in Florida, Iowa, Mississippi, Missouri, and Wisconsin, imperiled in Arkansas and Louisiana, and vulnerable in Alabama and Minnesota (NatureServe 2008). NatureServe's ranking of this species as vulnerable in Alabama is contradicted by Boschung and Mayden's ranking of this fish as threatened in the state. NatureServe ranks this species as vulnerable globally (G3) but this rank does not appear to reflect the species actual status because it is discontinuously distributed, rare, declining, and threatened by ongoing habitat loss and degradation. Concerning the conservation status of this species, Boschung and Mayden (2004) state: "The crystal darter is considered a species of special concern throughout its range; threatened in Florida, and extirpated in several states, having last been seen in Illinois in 1901, Ohio in 1925, Kentucky in 1929, and Tennessee in 1939. It is no longer abundant anywhere in Missouri. It was last collected in the Pascagoula River system in 1933, although what appears to be suitable habitat is still there. Discovery of crystal darters in the Kanawha River in West Virginia offers hope for the survival of the species in the Ohio River basin." (However, in West Virginia this species is highly threatened by coal mining). Boschung and Mayden continue, "The Mississippi River, however, is probably no longer an effective dispersal pathway for the crystal darter because of its increased silt load. The populations remaining in the Mobile Basin are isolated from one another by dams and silty impoundments. Genetically the isolated populations of the crystal darter are highly divergent from one another (Wood and Raley 2000). Every disjunct population examined by those authors is identified as an independent evolutionary lineage and warranting protection. The Elk River population in West Virginia is critically imperiled and is the most divergent lineage within the species. In Alabama, suitable habitats for the crystal darter continue to dwindle. It is now essentially limited to the main channel of the lower Cahaba, lower Tallapoosa, and Conecuh rivers. Despite its widespread imperilment, the crystal darter has no federal status. Only time will tell whether the few individuals in the Tombigbee and its major tributaries represent viable populations or stragglers destined for extirpation. Because the crystal darter's overall wellbeing in Alabama has worsened since the late 1970s, we recommend threatened status" (p. 484, internal citations omitted).

Habitat destruction:

The crystal darter now occurs as declining, fragmented populations that are highly vulnerable to extirpation from habitat loss and degradation.

Jelks et al. (2008) list habitat loss and degradation as the primary threat to the crystal darter.

NatureServe (2008) reports that this fish is threatened by "siltation and other forms of pollution from urbanization, strip-mining, logging, natural gas exploration, and improper agricultural practices, as well as stream alteration projects, such as damming, dredging, and channelization. Dredging for navigation is believed to be a major threat in the upper Mississippi River system. Waterway construction has destroyed and degraded habitat in some areas. Construction of the Tennessee-Tombigbee Waterway, which connected the Tennessee and Tombigbee drainages, was followed by declines in crystal darter populations (Ross 2001, Boschung and Mayden 2004). . . The Mississippi River probably no longer serves as an effective dispersal corridor due to its increased silt load (Bauer and Clemmer, pers. comm., cited by Boschung and Mayden 2004). In

the lower Cahaba River, Alabama, the species is threatened by increased siltation and eutrophication perpetuated by upstream urbanization and strip mining (Boschung and Mayden 2004). Populations remaining in the Mobile Basin are isolated from one another by dams and silty impoundments (Boschung and Mayden 2004). This fish requires swift moving currents and therefore is susceptible to water flow modifications (dams, etc.). Altered flows due to hydropower dams are a possible problem in the lower Chippewa and St. Croix rivers in Wisconsin (Lyons, pers. comm., 1994 cited in NatureServe 2008). Crystal darters are relatively tolerant of nondestructive intrusion, though heavy recreational use of habitat potentially could be excessively disruptive.”

In the Appalachian region, the crystal darter is threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009). Boschung and Mayden (2004) state that the discovery of crystal darters in the Kanawha River in West Virginia offers hope for the survival of the species in the Ohio River basin, but these populations are directly threatened by mountaintop removal. Wood and Raley (2000) found that the Elk River population in West Virginia is critically imperiled and is the most divergent lineage within the species, making coal mining activity in West Virginia a direct threat to the genetic diversity of this species.

The Arkansas Game and Fish Commission (2005) reports that this species is threatened by channel alteration and maintenance, dams, confined animal feeding operations, agriculture, and grazing.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species or its habitat. NatureServe (2008) reports that few (1-3) occurrences of this species are appropriately protected and managed, stating: "At least one site is known to be protected, the lower Bayou Pierre complex in Claiborne and Copiah counties, Mississippi. For the most part, the species is protected from harvest, but generally there is no protection from upstream siltation or pollution sources. Protection from erosion and point-source pollutants should encompass entire watersheds. Broad riparian buffer strips, stiff enforcement of enhanced pesticide regulations, upland erosion control, and modern pollution control systems may be needed to prevent habitat degradation in some areas. Threats from dredging, toxic spills, and regulated flows from hydropower dams need to be addressed. Beneficial management practices include those that limit and/or control activities such as stream channelization, impoundment, removal of riparian vegetation, and careless agricultural practices (Bauer and Clemmer 1983)."

Other factors:

The crystal darter is potentially threatened by the introduction of nonindigenous fish species (Bauer and Clemmer 1983, NatureServe 2008). It is also vulnerable to stochastic genetic and environmental events because of its distribution in localized populations (NatureServe 2008). Across its range, this fish is threatened by water pollution from a variety of sources.

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Scientific Name:

Cumberlandia monodonta

Common Name:

Spectaclecase

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The Spectacle Case Pearly Mussel historically occurred in a 5000-20,000 square km area in 45 streams in 15 states in the upper and lower Mississippi (Mulberry, Ouachita Rivers), lower Missouri, Ohio, Cumberland, and Tennessee River Systems (Butler 2003, USFWS 2003, NatureServe 2008). Currently this mussel is only found in riverine reaches downstream of Wilson and Guntersville Dams (Mirarchi et al. 2004). The species is still extant in 20 stream systems in 10 states and 3 Service regions: Alabama (Tennessee River), Arkansas (Mulberry, Ouachita Rivers- both single sites only; Harris et al., 1997), Illinois (Mississippi, Ohio Rivers), Iowa (Mississippi River), Kentucky (Ohio, Green Rivers), Minnesota (Mississippi, St. Croix Rivers; Rush Creek), Missouri (Mississippi, Meramec, Bourbeuse, Big, Gasconade, Big Piney Rivers; Osage Fork), Tennessee (Tennessee, Clinch, Nolichucky, Duck Rivers; Caney Fork), Virginia (Clinch River), West Virginia (Kanawha River), and Wisconsin (Mississippi, St. Croix, Chippewa Rivers). Ahlstedt et al. (2004) recently reported sporadic occurrences (two recent) from the lower Duck River in a reach less than 30 miles long with historical occurrences in the upper Duck as well (NatureServe 2008). Though this species is widely distributed, it is absent from many historical locations and is now disjunctly distributed.

Habitat:

In comparison to other mussels, the Spectaclecase is a habitat specialist, occurring in large rivers in microhabitats that are protected from the main current, often in outside river bends below bluffs (Baird 2000). Substrates which support this species include gravel, cobble, sand, mud, and boulders, but the species tends to be found in firm mud between large rocks (Stansbery 1967). The mussel occurs in relatively shallow riffles and in shoals with currents ranging from slow to swift, and may prefer quiet water near the current interface (Stansbery 1967, Buchanan 1980, Parmalee and Bogan 1998, Baird 2000, NatureServe 2008). Most mussel species may move around to some degree, but the Spectacle Case seldom moves except to burrow more deeply into the substrate (Oesch 1995, NatureServe 2008). Stranding during drought conditions can lead to death (Oesch 1995, NatureServe 2008). This mussel requires suitable water quality and appropriate host fish for reproduction.

Ecology:

The Spectaclecase is a short-term brooder which is gravid in April and May. White feathery conglomerates have been observed, but it is not known if they were host attractants or merely aborted glochidial contents. Glochidial hosts have not been experimentally determined, but glochidia have been observed on bigeye chub and shorthead redhorse. This mussel often occurs in dense beds, with local densities of 120 per square meter having been reported (Mirarchi et al. 2004).

Populations:

The spectaclecase is known from 20 streams in 10 states (NatureServe 2008). This species is missing from hundreds of river miles and from numerous reaches of historical habitat. Seven of the 20 extant populations consist of only a single individual. In Arkansas where this species was thought to be

extirpated, it is only known from two sites in the Ouachita River that consist of one individual each and a lone site in the Mulberry River with one individual (Harris et al. 1997).

Relatively strong populations of this species survive in the Meramec and Gasconade Rivers in Missouri, in the St. Croix River in Minnesota/Wisconsin, and possibly in the Upper Clinch River in Tennessee (Butler 2003, USFWS 2003), but data from the Tennessee Valley Authority indicate a rapid decline in the Upper Clinch (NatureServe 2008).

Population Trends:

The spectaclecase is very rapidly to rapidly declining in the short term and substantially declining in the long term (50-75 percent). There has been documented rapid decline throughout its former range, except in the Gasconade River, Missouri. In many streams this species has not been reported for decades, and several populations are comprised of single individuals (Butler 2003). In Illinois where it was formerly present in eight drainages, it is now restricted to the Ohio and Mississippi Rivers where it is sporadic and very rare (Cummings and Mayer 1997). The species is absent from hundreds of river miles and from numerous reaches of habitat in which it occurred historically (NatureServe 2008). This mussel's range has been drastically reduced (near 50 percent) and populations continue to decline with many remaining populations with poor or no viability.

Status:

The Spectaclecase is presumed to be extirpated (SX) in Indiana, Kansas, and Ohio, and is critically imperiled (S1) in Alabama, Arkansas, Iowa, Illinois, Kentucky, Virginia, Wisconsin, and West Virginia. It is imperiled (S2) in Minnesota and Tennessee, and is vulnerable (S3) in Missouri (NatureServe 2008). Many populations have been extirpated for decades, and most surviving populations face significant threats (NatureServe 2008). It is a federal candidate species and warrants full protection before populations decline to the point that recovery is impossible. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The spectaclecase has declined primarily due to habitat loss and degradation. The major causes of habitat loss for this species are impoundments, channelization, mining, and sedimentation (Williams et al. 1993, Neves 1993, Neves et al. 1997, Watters 2000). NatureServe (2008) states even large, viable populations of this species face serious threats and that the Service should "closely monitor and work to alleviate the immediacy of threats to these important spectaclecase populations." Mirarchi et al. (2004) report that this species has suffered extensive habitat loss and fragmentation caused by impoundments of rivers and other human perturbations.

The Kentucky Dept. of Fish and Wildlife Resources (2005) reports that this mussel is threatened by dredging, gravel and sand quarrying, impoundments, stream channelization, coal mine drainage, oil and gas operations, agriculture, silviculture, and urban runoff.

The spectaclecase is also specifically threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, interfering with the food web (Wood 2009).

Overutilization:

NatureServe (2008) states that the spectaclecase is not a commercially valuable species, but may be increasingly sought by collectors as it becomes more rare. Because many populations of this species consist of only a single individual, even small amounts of collection could have deleterious effects on the survival and genetic diversity of this species.

Disease or predation:

NatureServe (2008) states that little is known about the incidence of disease or predation for this mussel, but that these factors could have greater impact on this species because it is particularly long-lived (Butler 2003).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the spectaclecase, and there are no known management programs for this species (NatureServe 2008).

NatureServe (2008) states that few to several (1-12) occurrences of this species are appropriately protected.

In terms of land management, Nature Conservancy reserves protect two stream systems with extant populations of the spectaclecase-- the upper Clinch/Powell River in Tennessee and Virginia, and the upper Green River in Kentucky. Several waterways harboring this species are within or adjacent to public lands. A small portion of the Clinch River watershed (e.g., several small tributaries) is located in the Jefferson National Forest. The St. Croix River population of this mussel is within the St. Croix National Scenic Riverway, Minnesota and Wisconsin (SCNSR). Several state-owned properties (e.g., Chengwatana, Governor Knowles, St. Croix State Forests; Minnesota Interstate, St. Croix, St. Croix Wild River, William O'Brien, Wisconsin Interstate State Parks; St. Croix Islands Wildlife Area; Rock Creek Wildlife Management Area) are adjacent to sections of the scenic river, which could potentially provide some degree of water quality protection if managed appropriately. The Upper Mississippi River National Wildlife and Fish Refuge manages many islands and shoreline acres throughout a significant portion of the upper Mississippi, with in-holdings extending from the mouth of the Chippewa River downstream to Muscatine, Iowa. The Mark Twain National Wildlife Refuge (MTNWR) has numerous in-holdings between Muscatine and Keithsburgs, Illinois, and a disjunct portion, the Gardner Division, is in the area of Canton and La Grange, Missouri. Sections of the lower Big Piney River and several reaches of the upper Gasconade River are adjacent or inside the Mark Twain National Forest; the lower Big Piney also flows through Ft. Leonard Wood Military Reservation. Several units of public land along the Meramec River include Meramec, Pacific Palisades, and River Round Conservation Areas, and Meramec, Onandaga Cave, and Robertsville State Parks (USFWS 2003 in NatureServe 2008).

In terms of management recommendations, NatureServe (2008) suggests that protection of the populations in the Missouri Ozarks and the upper Clinch River should be a priority, given that the upper Clinch is particularly vulnerable due to the rapidly declining numbers. Other recommendations include assessing the feasibility of maintaining viable populations at other sites, and developing management plans and cooperative agreements, including on the upper Mississippi River. NatureServe (2008) further recommends close monitoring of point sources of pollution, upgrading effluent standards, monitoring land use practices in the watershed to reduce siltation resulting from agriculture and construction activities, and avoiding river modifications such as dredging and impoundment.

NatureServe (2008) lists the following recovery objectives: "1) Maintain high quality habitat, consisting

of flowing water sites in medium-to-large rivers with good water quality (new national wildlife refuge on Clinch River planned; modified reservoir releases from some dams to improve water quality by Tennessee Valley Authority may allow for potential reintroduction). 2) Monitor and regulate land use upstream to minimize erosion of silt to rivers. 3) Maintain ongoing conservation outreach program focused on the St. Croix River and its mussel fauna (including The St. Croix River Research Rendezvous group)."

Other factors:

Several other factors threaten the spectaclecase. Hypolimnetic releases from reservoirs negatively impact mussel survival and reproduction, and are known to be adversely affecting seven spectaclecase populations. NatureServe (2008) states, "Threats to some populations, such as those isolated and downstream of persistent coldwater releases, clearly have placed them in jeopardy of extirpation (i.e., threats are imminent and the likelihood of survival and recovery are marginal)." Degraded water quality from sedimentation and chemical contamination also threatens this mussel.

Population isolation and lack of recruitment is a dire threat for many populations. NatureServe (2008) states, "Threats and risk of extirpation are clearly imminent for these eleven populations."

Loss of fish host species could preclude reproduction for some spectaclecase populations (NatureServe 2008).

The spectaclecase is potentially threatened by invasive species such as the Asiatic clam, zebra mussel, and black carp (NatureServe 2008).

Mirarchi et al. (2004) state that this species' restricted distribution, specialized habitat requirements, and declining population make it vulnerable to localized extirpation.

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Scientific Name:

Cyprinella callitaenia

Common Name:

Bluestripe Shiner

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The bluestripe shiner is endemic to the main channels and lower reaches of major tributaries of the Chattahoochee, Flint, and Apalachicola River Systems in Alabama, Florida, and Georgia (Mayden 1989, Page and Burr 1991, Boschung and Mayden 2004, NatureServe 2008). The bluestripe shiner has lost up to 90 percent of its range in the Chattahoochee River and is at very reduced numbers in Uchee Creek (Shepard et al. 1995, SFC and CBD 2010).

Habitat:

This fish is found in areas of flowing water with little or no aquatic vegetation in large alluvial rivers with open, sand- or rock-bottomed channels. Eggs are deposited into crevices (Lee et al. 1980, Page and Burr 1991).

Populations:

Page and Burr (1991) describe it as localized and generally uncommon. A 1995 survey by Shepard et al. (1995) in the Chattahoochee River system in Alabama found that although the bluestripe shiner was encountered at a number of localities, most collections were small in comparison to historical collections and that although the Uchee Creek system was historically known to produce good collections of the shiner, 12 samples at six stations in the system failed to produce any specimens in the survey. Marcinek (2003) documented that bluestripe shiners are doing well on a 50 km reach of the Upper Flint River in Georgia.

Population Trends:

Boschung and Mayden (2004) report that this species is declining in Alabama. Freeman and Dinkins (2009) report that recent sampling efforts in the Chattahoochee River system documented the absence of the bluestripe shiner from these streams. Shepard et al. (1995) concluded that the "overall abundance" of the blue shiner "has been reduced throughout its range in Alabama." Since that time, the species has continued to decline, particularly in the Chattahoochee (SFC and CBD 2010).

Status:

There are a small number of occurrences of this fish and its habitat has been significantly reduced. It is ranked as critically imperiled in Alabama and as imperiled in Florida and Georgia (NatureServe 2008). It is classified as vulnerable by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and degradation. Although there was a recognition that bluestripe shiners can still be found in decent numbers in the Upper Flint River of Georgia (Marcinek 2003), at a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that this species should be listed as threatened based on sharp declines in the Chattahoochee, which comprises a significant portion of the species range (SFC and CBD 2010).

Habitat destruction:

The bluestripe shiner is threatened by habitat loss and degradation from impoundments, development, forestry, agriculture, withdrawal of ground and surface water, and recreation. This species' habitat in the lower Chattahoochee has been reduced by impoundments; it was formerly present at shoals that are now

innundated by fifteen large impoundments (NatureServe 2008). Marcinek et al. (2003) report that many shoal habitats in the Piedmont of Georgia have been destroyed by reservoir construction, and that the remaining shoals are still threatened. Freeman and Dinkins (2009) report that impoundment threatens this species in the Chattahoochee and Flint River systems.

Urbanization and development are also major threats to this species due to direct habitat loss, water diversion, and pollution from runoff (Holcomb 2005, Freeman and Dinkins 2009). Holcomb (2005) reports that the amount of land development in Georgia increases on a daily basis, stating: "As a result of the unprecedented growth in the region, the Upper Chattahoochee River system is under extreme pressure from sprawl development, water supply reservoir proliferation, increased water withdrawal, increased wastewater discharge and other threats associated with urban development as metro Atlanta continues to move northward. This rapidly expanding human population growth combined with recent technological advances have led to more persistent and ecologically devastating impacts on the landscape, especially in the last 20 years (Noss and Peters 1995)." In the Chattahoochee Watershed, Johnston and Maceina (2009) found a change in fish assemblages between 1965 and 2001 that included a decline in the bluestripe shiner and appeared to correlate with changes in forest cover towards pine plantations and a decline in water availability.

The shiner is also threatened by habitat loss and degradation from agriculture and forestry (Freeman and Dinkins 2009). The Georgia Dept. of Natural Resources (GDNR 2009) reports that this species' Southeastern Plains habitat is threatened by agriculture, withdrawal of ground and surface waters, development, recreation, and dams. Agriculture and other ground-disturbing activities result in erosion and degradation of water quality. Groundwater and surface water withdrawals for agriculture substantially reduce stream flow, and agriculture contributes to nutrient and silt loading which stresses aquatic organisms (GDNR 2009). Development increases sediment levels in streams (GDNR 2009). Unmanaged recreation and ATV use destabilizes streambanks, increases sedimentation, pollutes water with fuel, and crushes aquatic organisms outright (GDNR 2009). Dams and other structures which alter stream flows on the Southeastern Plains cause significant problems for high priority species such as this snail (GDNR 2009). The Florida Wildlife Conservation Commission (2005) reports that this species' large alluvial stream habitat is highly threatened by dam operations, water-control structures, and channel modification.

Jelks et al. (2008) list habitat loss as a threat to this species.

An impending threat to the bluestripe shiner is a base realignment in Fort Benning that is predicted to result in a substantial increase in human population with concurrent impacts to Uchee Creek (SFC and CBD 2010).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. NatureServe (2008) states that no managed areas adequately protect the riverine habitat occupied by this species. This fish is listed as threatened by the state of Florida, but this designation does not confer substantial protection to the species or its habitat.

Other factors:

Several other factors threaten the bluestripe shiner including pollution and invasive species. Johnston and Hartfield (2009) report that the invasive red shiner, (*Cyprinella lutrensis*) is a highly aggressive species which is spreading in bluestripe shiner habitat and which threatens the

bluestripe shiner through hybridization. Freeman and Dinkins (2009) report that siltation from forestry, agriculture, and development threaten this species because the shiner is dependent on small crevices for egg deposition and increasing levels of sedimentation can preclude successful spawning.

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Scientific Name:

Cyprinella xaenura

Common Name:

Altamaha Shiner

G Rank:

G2

AFS Status:

Vulnerable

Range:

The Altamaha shiner is limited to the Altamaha River drainage above the Fall Line in north-central Georgia in both the Ocmulgee and Oconee systems (NatureServe 2008). Freeman et al. (2009) report:

"Historically, this species has been recorded from 25 different HUC 10 watersheds within its range. Between 1998 and 2009, this species has been documented within 18 of these watersheds."

Habitat:

This fish occurs in pool habitats in small to moderate-sized streams that are cold and clear (Lee et al. 1980, Page and Burr 1991).

Populations:

NatureServe (2008) states there are fewer than 20 populations based on Lee et al. (1980), who mapped 19 collection sites. In recent surveys, the species was found in 18 of 25 HUC 10 watersheds within its range. Where the species remains, it can be abundant (B. Albanese personal communication).

Population Trends:

Trend information is unavailable for this species, but much of its habitat has been urbanized and it is in the path for future urban sprawl (NatureServe 2008). In some cases, it has persisted in urban watersheds (B. Albanese personal communication), although the long-term viability of these populations is uncertain. It also has been lost from a large number of watersheds within its range (Freeman et al. 2009).

Status:

This fish has a small range in streams of the Altamaha River drainage, Georgia, where NatureServe (2008) considers it to be imperiled. Jelks et al. (2008) list this fish as vulnerable due to habitat loss and narrow range. The state of Georgia lists it as threatened (Freeman et al. 2009).

Habitat destruction:

Jelks et al. (2008) list this species as vulnerable due to the present or threatened destruction, modification or curtailment of habitat range and a narrow range. Georgia DNR (1999) note principle threats from "degradation and impoundment of tributary streams in the upper Altamaha drainage" resulting from "construction sites and bridge crossings, and increased stormwater runoff from developing urban and industrial areas." They further note that the "range of the Altamaha shiner includes the rapidly developing Piedmont physiographic province where many streams have become damaged by urban development to the point that they support only a very few hardy, tolerant fishes (Georgia DNR 1999).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) states that it is unknown whether any element occurrences are protected, but that such protection is necessary given the small number of locations of the species. The Shiner is listed as a threatened species by the state of Georgia. Although this designation prohibits direct harm to the fish, it does not provide any protection for habitat. Given that habitat loss and degradation is the greatest threat to the shiner, this is a critical omission.

Other factors:

Jelks et al. (2008) list this species as vulnerable because of a narrowly restricted range. Georgia DNR (1999) notes that "the invasive red shiner, if it spreads throughout the Altamaha system, could be a very significant threat to the Altamaha shiner through hybridization and competitive displacement effects." The Altamaha shiner is also threatened by pollution (Georgia DNR 1999).

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Scientific Name:

Cyprogenia aberti

Common Name:

Western Fanshell

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

The Western Fanshell occurs in Arkansas, Kansas, and Missouri, and historically in Louisiana, Mississippi, and Oklahoma (NatureServe 2008). In the Ozark and Ouachita mountain ranges, this mussel was known historically from the Little Missouri, Saline, Caddo and Ouachita Rivers in the Red River drainage, from the Spring, Elk, Fall, Caney, Neosho, Verdigris and Shoal Rivers in the Arkansas River drainage, from the Little Black, Black, Buffalo, Current, Spring, Strawberry, and White Rivers, and from Cane and Castor Creeks in the White River drainage, and from the St. Francis River (NatureServe 2008). There are historical reports from the Big Sunflower and Yazoo Rivers in Mississippi, but no extant occurrences in the state (Jones et al. 2005). Serb (2003, 2006) suggests that *C. aberti* may actually comprise several species.

Habitat:

This mussel uses rock, gravel, and soft mud substrates in flowing water in medium-sized rivers (NatureServe 2008).

Populations:

There are 20 total known extant occurrences for this once widespread species-- nine in Arkansas, seven in Missouri, and four in Kansas. In Arkansas, it occurs in the White, Black, Spring, Ouachita, Saline, Little Missouri, Buffalo, and Strawberry rivers (Gordon 1982, Harris and Gordon 1987, Christian 1995, Harris et al. 1997, Davidson and Gosse 2003). In Missouri, it occurs in the St. Francis, Black, and Spring rivers (Oesch 1995). Ahlstedt and Jenkinson (1991) report that it is extremely rare in the St. Francis River system in Missouri. Obermeyer et al. (1997) reports this species from the Spring, Verdigris, and Fall Rivers, and reports historical occurrences in the Neosho, Elk, and Caney Forks, where it is now extirpated. In Kansas, in the Marais des Cygnes, Elk, and Fall Rivers, only dead shells have been recently reported (Combes and Edds 2005). It is considered to be extirpated in the Spring and Neosho Rivers in Kansas (Couch 1997). It is also extirpated in the Oklahoma portion of the Verdigris and Spring rivers (Vaughn 1998), and from Castor and Cane Creeks in Missouri (Oesch 1995). The population in the Little Black River is not considered to be viable, although some adults still exist in a Missouri reach (Bruenderman, pers. comm. cited in NatureServe 2008). This mussel is rare throughout the majority its range, comprising less than 0.1 percent of a local mussel assemblage (B. Obermeyer, pers. comm. cited in NatureServe 2008, Obermeyer et al. 1997), but is locally abundant in several rivers in Arkansas where it typically makes up two to five percent of a mussel bed, and in some parts of the Black River and Spring River it comprises 5-10 percent of the assemblage (J. Harris, pers. comm. cited in NatureServe 2008, Christian 1995, Davidson 1997, Posey 1997, Harris et al. 1997).

Population Trends:

This mussel has declined from 25-50 percent in the long-term, and is rapidly declining in the short-term, from 30-50 percent (NatureServe 2008). It has been extirpated from much of its range, including all of Oklahoma, Mississippi, and Louisiana (Mather 1990, Vidrine 1993, Jones

et al. 2005). It no longer occurs in the Caney, Elk and Neosho rivers (Obermeyer et al. 1997, Vaughn 1998). It is extirpated from the Oklahoma portion of the Verdigris and Spring rivers (Vaughn 1998), and from Castor and Cane Creeks in Missouri (Oesch 1995). The population in

Status:

This mussel is critically imperiled in Kansas, imperiled in Arkansas and Missouri, and state historical (SH) in Louisiana, Mississippi, and Oklahoma (NatureServe 2008). It is ranked as endangered by the IUCN. NatureServe (2008) states, "The species is a regional endemic that has experienced significant declines in the last 30 years, particularly in the last 10 years, has been extirpated from a large portion of its range, and is quite rare throughout most of its remaining range." It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

This species has already been extirpated from most of its former range due to habitat loss and degradation. NatureServe (2008) reports that most remaining populations of this species are threatened by habitat degradation or destruction. It is threatened by existing and planned impoundments and channelization, gravel mining, and agricultural runoff including siltation and eutrophication (Obermeyer 2000, NatureServe 2008). The Missouri Dept. of Conservation (2010) reports that the Spring River population of this species is threatened by poor water quality downstream from the confluence of Turkey and Center creeks and by channelization, gravel dredging, strip-mine runoff, feedlot runoff, isolation of downstream populations due to dams, and isolation from host fishes. The Arkansas Game and Fish Commission (2005) reports that this mussel is threatened by habitat destruction due to dams, grazing, resource extraction, nutrient loading, confined animal feeding operations, sedimentation, forestry, and road construction. Roe (2004) reports threats to this species as gravel mining, siltation, pollution, and impoundments.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that confer substantial protection to this species. Miller (1993) reports an occurrence of this mussel on the Verdigris River Freshwater Mussel Refuge in Kansas.

Other factors:

The zebra mussel now occurs in the Arkansas River drainage and thus potentially threatens this species (NatureServe 2008). Roe (2004) reports invasive species as a threat to this mussel.

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the Little Black River is no longer viable (NatureServe 2008). In Arkansas, this mussel has Christian, A.D. 1995. Analysis of the commercial mussel beds in the Cache and White Rivers in experienced declines, but to a lesser degree and several populations are still locally abundant Arkansas. M.S. Thesis, Arkansas State University. 210 pp.
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Scientific Name:

Deirochelys reticularia miaria

Common Name:

Western Chicken Turtle

G Rank:

T5

Range:

NatureServe (2008) reports that this subspecies occurs in Arkansas, Missouri, and Mississippi, but that distribution data is known to be incomplete or has not been reviewed for this taxon.

Conant and Collins (1998) report that this subspecies occurs in Missouri, Arkansas, Louisiana, Mississippi, Texas, and Oklahoma.

Habitat:

The chicken turtle inhabits still water ponds, marshes, sloughes, and ditches (Conant and Collins 1998).

Populations:

Population information is unavailable for this subspecies.

Population Trends:

Population trend has not been reviewed for this subspecies. Buhlmann and Gibbons (1997) report that it is declining in Arkansas. This subspecies was thought to be extirpated in Missouri but was redetected in 1995 and is now considered Rare in the state (Buhlmann and Johnson 1995). Due to recent collection pressure, this subspecies is thought to be in danger of extinction (Center for Biological Diversity 2008). The Texas Parks and Wildlife Dept. reports that populations in Texas are stable but face many threats

(http://www.tpwd.state.tx.us/publications/pwdpubs/media/pwd_bk_w7000_1672.pdf).

Status:

The status of this subspecies has not been reviewed since 1996 and needs to be updated.

NatureServe (2008) ranks this turtle as critically imperiled in Missouri and vulnerable in Arkansas and Mississippi. This species is not currently categorized by the IUCN, which states that its status needs to be updated

(<http://www.iucn-tftsg.org/deirochelys-reticularia-014/>). It is state listed as Endangered in Missouri (<http://mdc4.mdc.mo.gov/Documents/145.pdf>) and is a Mississippi Species of Greatest Conservation Need (<http://www.mdwfp.com/homeLinks/More/Final/Appendix%208.pdf>).

Habitat destruction:

The State of Texas reports that habitat loss threatens this subspecies in Texas, including loss of foraging areas

(http://www.tpwd.state.tx.us/publications/pwdpubs/media/pwd_bk_w7000_1672.pdf). The State of

Missouri reports that due to habitat loss, this subspecies is now Endangered in Missouri

(<http://mdc.mo.gov/nathis/herpetol/turtles/>). In Missouri, the floodplain swamps in the Bootheel region, where these turtles historically occurred have been almost completely destroyed for agriculture (Buhlmann and Gibbons 1997). The State of Arkansas reports that this subspecies is threatened by habitat destruction due to crop production practices and wetland loss

(<http://www.wildlifearkansas.com/materials/updates/16reptile.pdf>). Buhlmann and Gibbons

(1997) report that this turtle is declining in Arkansas due to loss of shallow weedy ponds and swamps. The State of Mississippi reports that this turtle is threatened by loss of pond habitat due to agricultural conversion, channel modification, dams and impoundments, and forestry

(<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Type%2011.pdf>).

Overutilization:

The State of Arkansas reports that commercial collection is a threat to this subspecies (<http://www.wildlifearkansas.com/materials/updates/16reptile.pdf>). Reed and Gibbons (2003) report that more than 240 chicken turtles were declared as exported from 1996-2000, at least 132 of which were wild-caught. This does not include the number of unreported and illegally harvested turtles. This number is lower than numbers for other turtles, likely because Western Chicken Turtles are already depleted. Population status of this subspecies is currently unknown, and collection pressure has increased tremendously in recent years (Center for Biological Diversity 2008). Buhlmann and Gibbons (1997) state that even presently abundant southeastern turtle species are threatened by collection because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Western Chicken Turtles are illegally traded over the internet, such as in this advertisement which was accessed in the classified ads on Kingsnake.com on Jan. 27, 2010: "LARGE ADULT FEMALE CHICKEN TURTLE, APPROXIMATELY 9 1/2 INCHES IN LENGTH, DEFINITE FEMALE, SUPER FAT AND HEALTHY, SURE TO BE A GREAT BREEDER OR ADDITION TO ANY POND, RAVENOUS FEEDER, \$250 EMAIL OR CALL (954)428-8005. BE SURE TO CHECK US OUT ONLINE AT WWW.UNDERGROUNDREPTILES.COM!" (<http://market.kingsnake.com/detail.php?cat=39&de=746851>).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this subspecies. It is state listed as Endangered in Missouri, but this designation provides no substantial regulatory protection. It lacks any legal protection throughout the remainder of its range.

Other factors:

The State of Texas reports that this subspecies is threatened by road mortality (http://www.tpwd.state.tx.us/publications/pwdpubs/media/pwd_bk_w7000_1672.pdf). Buhlmann and Gibbons (1997) report that road associated mortality is high for this subspecies.

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Scientific Name:

Desmognathus abditus

Common Name:

Cumberland Dusky Salamander

G Rank:

G2

Range:

The Cumberland Dusky Salamander occurs in a small area on the Cumberland Plateau in Tennessee. The northern boundary of its range is just south of the Cumberland Mountains section of the Plateau near Wartburg, Morgan County, Tennessee. The southern and western boundary is near Tracy City in Grundy County. In a narrow zone on Walden Ridge, *D. abditus* appears to be parapatric or to have hybridized with *D. ocoee* (Anderson and Tilley 2003, NatureServe 2008).

Habitat:

The Cumberland Dusky Salamander occurs near streams on a forested plateau. Individuals are generally encountered on land within a meter of water. This species is found under rocks, moss, and debris, and on vertical rock faces behind cascades (NatureServe 2008).

Ecology:

The Cumberland Dusky Salamander likely preys on leaf litter invertebrates and is potentially preyed upon by large sympatric *Desmognathus*, other salamander species, snakes, small mammals, and possibly species of birds that forage in leaf litter (AmphibiaWeb 2009).

Populations:

This salamander is known from approximately 12 locations (Anderson and Tilley 2003). Population size is unknown. *Desmognathus abditus* is apparently not abundant. Anderson and Tilley (2003) obtained specimens with difficulty (http://www.cumberlandhcp.org/files/natureserve/Amphibians_10.23.08/Cumberland_Dusky_Salamander_10.13.08.pdf).

Population Trends:

Population trend is unknown.

Status:

The Cumberland Dusky Salamander is imperiled in Tennessee. It is ranked as Near Threatened by the IUCN. It lacks legal protective status.

Habitat destruction:

NatureServe (2008) reports that a major threat to the Cumberland Dusky Salamander is habitat loss and degradation due to the building of second and retirement homes.

Gratwicke (2008) cites residential development, energy production and mining as threats to salamanders in the Appalachians, including the Cumberland Dusky.

The State of Tennessee identifies habitat loss, degradation, and fragmentation as the greatest threat to salamanders in the state (<http://www.state.tn.us/twra/tamp/salamanders.htm>).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history

stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous” (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranka et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: “Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranka et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: “Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased

amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

The State of Tennessee identifies collection as a threat to the state's salamanders (<http://www.state.tn.us/twra/tamp/salamanders.htm>). Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

The state of Tennessee identifies disease as a threat to the state's salamanders (<http://www.state.tn.us/twra/tamp/salamanders.htm>). New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, see <http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and is a threat to this species. Gratwicke (2008) cites disease as a threat to salamanders in the Appalachian mountains. In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

Native amphibians in the Southeast potentially face predation pressures from introduced species of fishes and from cattle egrets, armadillos, and wild hogs (Dodd 1997). Amphibian populations can also be negatively affected by increases in populations of native predators such as raccoons (Dodd 1997) and corvids (Liebezeit 2002).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Cumberland Dusky Salamander. AmphibiaWeb (2009) states: "Maintaining intact habitat for Cumberland dusky salamanders should be a top priority. This recently described species has had no studies done on population size or viability; they do not receive protection by the state of Tennessee or by the federal government." NatureServe (2008) reports that the species occurs on two protected areas, Frozen Head State Natural Area Reserve and Obed National Scenic River.

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Scientific Name:

Desmognathus aeneus

Common Name:

Seepage Salamander

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The Seepage Salamander is distributed in relatively isolated, local populations in southwestern North Carolina, eastern Tennessee, north-central Alabama, South Carolina, and in a disjunct population in the Piedmont of northeastern Georgia (Harrison 1992, NatureServe 2008). There is a gap in distribution in Alabama between western populations in the Fall Line Hills region and eastern populations in the Blue Ridge and adjacent Piedmont regions. The record for this species in Transylvania County, North Carolina is erroneous (NatureServe 2008).

Habitat:

Seepage Salamanders are found near small creeks, springs, and seepage areas on the forest floor in leaf litter and surface debris in mixed hardwood forests, and in damp shaded ravines. They occur at elevations from 30-1340 m (NatureServe 2008). AmphibiaWeb (2009) provides this description of their habitat: "Adults are terrestrial and live at the interface between the leaf or leaf mold layer and the underlying soil in the vicinity of seepages and small streams in heavily shaded hardwood or mixed forests (Harrison, 1967, 1992; Folkerts, 1968; Jones, 1981; Hairston, 1987; Bruce, 1991). When active, adults are nocturnal (Brandon and Huheey, 1975), typically remain under surface cover, and are not known to climb or actively burrow (Harrison, 1967; Folkerts, 1968; Jones, 1981; and Hairston, 1987). One apparent exception to the lack of scansorial activity by this species was given by Wilson (1984), who observed seepage salamanders climbing on grasses and bushes and jumping from branch to branch at a locality in central Alabama. Harper and Guynn (1999) studied the factors affecting salamander density and distribution within four forest types in the Southern Appalachian Mountains. They found that seepage salamanders and other salamanders preferred moist microsites within each forest type, with the highest densities occurring on sites with a northern and/or eastern exposure and within northern hardwood forests. They noted that densities were lowest on 0–12-yr plots but equal on 13–39 and ≥ 40 -yr plots, suggesting a quicker recovery from clearcutting than reported by previous researchers. As plots with salamanders had significantly higher numbers of snails than plots without them, the authors also suggested that snails may be a necessary source of calcium for salamanders and may have a substantial impact on salamander distribution."

Ecology:

Seepage Salamanders are fully terrestrial with no free-living aquatic larval stage. Females move from retreats in leaf litter to the vicinity of streams and seepage areas to lay eggs which are deposited in protected depressions or under moss (Conant and Collins 1998, AmphibiaWeb 2009). AmphibiaWeb (2009) provides the following details on the ecology of this species: "In Alabama, clutches of eggs are found from early February to late May, but most oviposition in west Alabama occurs in February and March (Valentine, 1963c; Folkerts, 1968). In east Alabama, most clutches are found in April, and there is also a fall oviposition period with egg deposition occurring from mid July to early October (Folkerts, 1968). In Georgia and North Carolina, oviposition occurs during late April to early May; hatching takes place from late May to early August (Harrison, 1967). In Tennessee, oviposition occurs from late April

to early May and hatching from mid June to mid July (Jones, 1981). Both sexes reach sexual maturity at 18–19 mm SVL (measured to anterior margin of vent) at 2 yr (Harrison, 1967). Arthropods are the principal foods, primarily insects, but arachnids, isopods, amphipods, centipedes, millipedes, nematodes, earthworms, and land snails are also eaten (Folkerts, 1968; Donovan and Folkerts, 1972; Jones, 1981). Donovan and Folkerts (1972) also reported the occurrence of a recently hatched seepage salamander in the stomach of a small adult male. Most of the items consumed are leaf litter species, indicating the confinement of foraging activity to that microhabitat rather than the forest floor surface (Jones, 1981). Predators are unknown, but individuals could be preyed upon occasionally by large sympatric *Desmognathus* of other species, spring salamanders (*Gyrinophilus* sp.), ring-necked snakes (*Diadophis punctatus*), and possibly species of birds that forage in leaf litter. Folkerts (1968) listed ring-necked snakes as an associate of seepage salamanders in his study of Alabama populations. Eggs are deposited under mosses, logs, leaf litter, and root mats or other objects in seepage areas or near streams (Valentine, 1963c; Harrison, 1967; Folkerts, 1968; Jones, 1981). Females lay 3–19 eggs (Bishop and Valentine, 1950; Harrison, 1967; Folkerts, 1968; Jones, 1981; Beachy, 1993a; Collazo and Marks, 1994). For a description of eggs, see Brown and Bishop (1948); for a staging table, see Marks and Collazo (1998)."

Populations:

There are from 21-100 known locations of this salamander in North Carolina, 14 in Tennessee, 52 in Georgia, two in South Carolina, and from 6-20 in Alabama. Total population size is unknown.

Population Trends:

The Seepage Salamander is declining in the short term and moderately declining to relatively stable in the long term. NatureServe (2008) provides this account of population trend: "In the southern Appalachians, populations fluctuated over a 20-year period (early 1970s to early 1990s), with no apparent long-term trend (Hairston and Wiley 1993). Declining in Alabama (M. Bailey, pers. comm., 1997). Possibly declining in North Carolina (A. Braswell, pers. comm., 1997). Listed in Tennessee as in need of management (Redmond and Scott 1996). Believed stable in South Carolina (S. Bennett, pers. comm., 1997)."

Status:

The Seepage Salamander is critically imperiled (S1) in Tennessee, imperiled (S2) in Alabama, vulnerable (S3) in Georgia and North Carolina, and not ranked in South Carolina. It is classified as Near Threatened by the IUCN. It lacks legal protective status.

Habitat destruction:

NatureServe (2008) reports that the Seepage Salamander is threatened by poor forest management practices. The species is threatened by clearcutting and by the conversion of hardwood forests to pine plantations (Petranka 1998). Some populations in Alabama have been extirpated by logging activities (Folkerts 1968, NatureServe 2008). AmphibiaWeb (2009) reports that roughly half of the known populations in Alabama in the mid-1970's no longer exist, primarily because of various forestry practices (G.W. Folkerts, personal communication). Ford et al. (2002) found higher abundances of seepage salamanders in stands of older, hardwood trees, implicating the loss of mature deciduous stands as a threat to this species. Gratwicke (2008) identifies numerous threats to the seepage salamander and other salamanders in Appalachia, including mining, logging, development, and pesticide use.

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd

(1997) states: “The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous” (p. 177-8).

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Overutilization:

Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, see <http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009). In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

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Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms that adequately protect the Seepage Salamander. It is not protected in North Carolina, Tennessee, or Georgia. It is a Priority 2 species of greatest conservation need in Alabama, and a state species of concern in South Carolina, but these designations do not provide regulatory protection. The species occurs on national forest lands, but this does not provide any protection from logging, a primary threat (NatureServe 2008). The U.S. Fish and Wildlife Service (1994c) listed Seepage Salamanders as a Category 2 candidate in 1994, but that designation was removed in 1996 (AmphibiaWeb 2009).

Other factors:

Other factors which may threaten the seepage salamander include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

During the past few decades, levels of UV-B radiation in the atmosphere have significantly

increased. For amphibians, UV-B radiation can cause direct mortality as well as sublethal effects including decreased hatching success, decreased growth rate, developmental abnormalities, and immune dysfunction (Dodd 1997, AmphibiaWeb 2009: <http://amphibiaweb.org/declines/UV-B.html>).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians. Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Liebezeit, J.R. 2002. A summary of predation by Corvids on threatened and endangered species in California and management recommendations to reduce corvid predation.

Scientific Name:

Dexteria floridana

Common Name:

Florida Fairy Shrimp

G Rank:

GH

IUCN Status:

CR - Critically endangered

Range:

NatureServe (2008) states that *Dexteria floridana* is only known from the type locality, a "temporary pool approximately 6 km south of Gainesville", Florida. The type locality is probably lost. It is possible (though unlikely) that the Florida fairy shrimp may still exist in some undeveloped portions of Florida or possibly Cuba (Rogers, 2002). The total range is quantified as less than 100 square km (about 40 square miles).

Habitat:

This species was only ever found in a temporary pool (NatureServe 2008).

Populations:

This species is known from only one population which was lost to habitat development. Unless the species is found in other populations, it may be extinct.

Population Trends:

The type locality of this species was lost to development and it is not known from anywhere else (Rogers 2002).

Status:

NatureServe (2008) reports that *Dexteria floridana* may be extinct. It is ranked as critically endangered by the IUCN.

Habitat destruction:

The only known location was destroyed by development (Rogers 2002).

Inadequacy of existing regulatory mechanisms:

No regulatory mechanisms protect this species. The only known population was lost to development.

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Scientific Name:

Distocambarus carlsoni

Common Name:

Mimic Crayfish

G Rank:

G2

AFS Status:

Special Concern

Range:

The Mimic crayfish occurs in at least three counties in northwestern South Carolina in the Savannah and Saluda river drainages (Eversole and Jones 2004).

Habitat:

NatureServe (2008) reports that *D. carlsoni* forms complex burrows in sandy clay soil rich in humus with a relatively high water table, but with static water flow.

Ecology:

According to NatureServe (2008) the type colony occupies an area of about 200 square meters. *D. carlsoni* seems to partition environment with *Cambarus carolinus* by occupying the area where there is no significant movement in the ground water.

Populations:

NatureServe (2008) crudely estimates that Mimic crayfish have 6-20 populations with a total of 2500-10,000 individuals. It occurs in the Savannah and Saluda River basins in Abbeville, Greenwood, and Saluda Cos., South Carolina (Eversole and Jones, 2004). Population and trend information are unknown.

Status:

NatureServe (2008) ranks this species as globally imperiled and under review in South Carolina (SNR). The American Fisheries Society reclassified this species from special concern to threatened (Taylor et al. 2007). The State of South Carolina lists it as a Highest Priority Conservation Species (SCDNR 2005).

Habitat destruction:

Concerning threats to this species' habitat, NatureServe (2010) states: "In the Savannah River Basin surface water abstraction from the Savannah river provides drinking water for the municipalities. There are also 183 facilities, including municipalities and industry, authorized to discharge waste water into the Savannah River Basin (Georgia River Network 2002). Habitat destruction and alteration has been stated as 'challenges' to this species (South Carolina Department of Natural Resources 2005)."

Concerning threats to crayfish in South Carolina, SCDNR (2005) reports:

"Physical alteration of habitat also represents a challenge to the survival of crayfish. Some aquatic crayfishes are quite adaptable and can live in ponds, impoundments and roadside ditches, while others are more sensitive to habitat alteration. Some crayfishes are oxygen regulators and are able to increase ventilation rates in response to reduced oxygen conditions, while others, the oxygen conformers, are unable to do this (Hobbs 1991). Therefore, some species are better equipped to survive when the flow of water slows and oxygen levels decline. Some species... have been eliminated from parts of their range as a result of damming activities associated with reservoir construction. Channelization and dredging can also be very detrimental to aquatic crayfish that require rocks, crevices or tree roots along undercut

banks as hiding places (Hobbs and Hall 1974). In general, crayfish are not as sensitive to siltation as some aquatic invertebrates such as mussels, but severe siltation has caused declines in or the extirpation of many populations of crayfish (Hobbs and Hall 1974).

Pollution has been known to eliminate crayfish from streams. Ortmann (1909) noted the extirpation of crayfish from some sections of streams and rivers due to mining and oil refineries. Crayfish are harmed by a variety of insecticides, herbicides and industrial chemicals (Eversole et al. 1996). Juvenile crayfish are generally about four times as sensitive to water borne pollution than adults; early instars are about three times as sensitive as juveniles (Eversole and Sellers 1996). There is little knowledge of the differences in sensitivity to toxins among species. Nutrient enrichment is less likely to harm crayfish than other aquatic life because they are omnivorous and can act as scavengers as well as primary and secondary consumers. Hobbs and Hall (1974) noted several casual observations in which crayfish were actually more abundant downstream of areas with large amounts of garbage or animal remains. Enrichment may be harmful to crayfish, however, when it results in oxygen depletion (Hobbs and Hall 1974). Pollution of groundwater may impact terrestrial burrowers, because they inhabit water trapped in their burrows.

The most serious known challenge to terrestrial burrowing crayfish is the alteration of soil hydrology. These species appear to be able to coexist with some agriculture and timber harvest practices, although they may not survive frequent tilling of soil. In some areas, fire suppression or the lack of fire management may be a threat, since some species appear to prefer piedmont prairies, savannahs and other open canopy habitats to densely wooded areas."

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

Other factors:

This species is potentially threatened by the spread of invasive crayfish. According to SCDNR (2005): "The arrival of introduced species is probably the greatest challenge to crayfish (Lodge et al. 2000 a,b). The ranges and abundances of many native crayfish may have been reduced by invasive crayfish, both in the United States and in Europe (Lodge et al. 2000a; Hobbs et al. 1989). Prevention of future introductions is most likely the only effective way to deal with the challenges caused by nonnative crayfish. No methods for eliminating invasive species without also harming native species are currently available."

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Scientific Name:

Distocambarus devexus

Common Name:

Broad River Burrowing Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

The range of *Distocambarus devexus* is less than 100-250 square km (less than about 40 to 100 square miles) according to NatureServe (2008). It is found in the Savannah River basin in Elbert, Oglethorpe, and Wilkes counties, Georgia (Hobbs, 1989). A very experienced collector in an extensive multi-year program found only three localities.

Habitat:

NatureServe (2008) reports that this species burrows in floodplain in sandy clay soil. According to Skelton (2008), this species occupies "[s]imple and complex burrows adjacent to streams or in low areas where the water table is near the surface of the ground. A single specimen was collected from a burrow that did not penetrate the water table and was only damp in the bottom. This species, particularly juveniles, are frequently collected in temporary pools and ephemeral streams."

Ecology:

The Broad River Burrowing crayfish is a primary burrower with moderately complex burrows, as reported by NatureServe (2008).

Populations:

There are five reported occurrences of this species (Barry and Skelton 2004), with far less than 1000 total individuals. Hobbs (1981) estimated the largest colony at less than 24 adults.

Population Trends:

Trend information is not available for this very rare species.

Status:

This species has a restricted range. NatureServe (2008) ranks this crayfish as critically imperiled, while the State of Georgia classifies *Distocambarus devexus* as threatened. Barry and Skelton (2004) found five occurrences and consider the Broad River Burrowing Crayfish to be endangered. It is ranked as endangered by the American Fisheries Society (2008) and as vulnerable by the IUCN.

Habitat destruction:

Distocambarus devexus is historically and currently stressed by impoundment of the Savannah River, according to NatureServe (2008). Skelton (2008) says that "[t]he small range of this species makes it vulnerable to land disturbing activities around streams and wetlands."

Inadequacy of existing regulatory mechanisms:

Skelton (2008) recommends that "[a]reas with burrows should be protected from land disturbing activities." This crayfish is listed as threatened by the state of Georgia, but this designation does not protect the species' habitat.

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Scientific Name:

Distocambarus youngineri

Common Name:

Newberry Burrowing Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Distocambarus youngineri is only known from the Saluda River drainage in Newberry County, South Carolina (Eversole and Jones 2004). Price (2006) states that "it has been found only in Newberry County, primarily within a portion of the Saluda River basin but also at one site within the Broad River basin."

Habitat:

The Newberry Burrowing crayfish can be found in complex burrows in sandy clay soil with dense growth of *Pinus*, *Quercus*, and *Nyssa* surrounding small woodland pools (NatureServe 2008). According to Price (2006): "D. youngineri is found in moist terrestrial areas with leaf litter and a mixed-hardwood overstory, usually near stream headwaters or intermittent streams. Although it is found in the general area of headwater streams, it is not found very close to streambanks and does not appear to be directly associated with the streams themselves. In areas where D. youngineri is found, the soil becomes saturated and may be covered with shallow water during periods of precipitation in the winter, spring and sometimes into the early summer. Water has been found in the burrows even under drought conditions. This species appears to have been extirpated from its type locality following a clearcut in the area during 1989. Most of the sites where D. youngineri has been recorded are in moist wooded areas, but it has also been found in a selectively logged pine stand and in grass lawn areas."

Populations:

NatureServe (2008) estimates that there are 6 - 20 populations with less than 1000 individuals extant. A recent status survey by an experienced collector found ten locations. Eversole (1995) found this species at two historical sites and four new sites within the Saluda River basin in Newberry Co., South Carolina.

Population Trends:

According to NatureServe (2008), this species is facing a short-term decline of 10-30 percent based on the fact that the species is no longer present at its type-locality. The area around the type-locality was clearcut in 1989 and repeated attempts have been made to recollect there without success.

Status:

NatureServe (2008) ranks this species as critically imperiled. The State of South Carolina lists it as a species of Highest Conservation Priority. It was also a Federal C-2 Candidate species before that list was abolished. It is ranked as vulnerable by IUCN and as endangered by the American Fisheries Society.

Price (2006) reports: "There is concern that D. youngineri may be in decline. Eversole (1995) found the species at only six sites despite intensive survey efforts; it now appears to be extirpated from one of those sites. A more recent survey (Welch and Eversole unpublished data) found populations at three new sites. However, all of these new locations were still within a small

portion of Newberry County. Given the extremely restricted distribution and low population numbers of *D. youngineri*, it should be protected. Land that this species inhabits should be protected, since its restricted distribution makes *D. youngineri* so vulnerable.”

Habitat destruction:

This species was extirpated from its type locality by a clearcut, and logging activities could threaten other populations (NatureServe 2008). Water drawdown also threatens this species' habitat.

SCDNR (2005) reports: "Physical alteration of habitat also represents a challenge to the survival of crayfish. Some aquatic crayfishes are quite adaptable and can live in ponds, impoundments and roadside ditches, while others are more sensitive to habitat alteration. Some crayfishes are oxygen regulators and are able to increase ventilation rates in response to reduced oxygen conditions, while others, the oxygen conformers, are unable to do this (Hobbs 1991). Therefore, some species are better equipped to survive when the flow of water slows and oxygen levels decline. Some species... have been eliminated from parts of their range as a result of damming activities associated with reservoir construction. Channelization and dredging can also be very detrimental to aquatic crayfish that require rocks, crevices or tree roots along undercut banks as hiding places (Hobbs and Hall 1974). In general, crayfish are not as sensitive to siltation as some aquatic invertebrates such as mussels, but severe siltation has caused declines in or the extirpation of many populations of crayfish (Hobbs and Hall 1974). Pollution has been known to eliminate crayfish from streams. Ortmann (1909) noted the extirpation of crayfish from some sections of streams and rivers due to mining and oil refineries. Crayfish are harmed by a variety of insecticides, herbicides and industrial chemicals (Eversole et al. 1996). Juvenile crayfish are generally about four times as sensitive to water borne pollution than adults; early instars are about three times as sensitive as juveniles (Eversole and Sellers 1996). There is little knowledge of the differences in sensitivity to toxins among species. Nutrient enrichment is less likely to harm crayfish than other aquatic life because they are omnivorous and can act as scavengers as well as primary and secondary consumers. Hobbs and Hall (1974) noted several casual observations in which crayfish were actually more abundant downstream of areas with large amounts of garbage or animal remains. Enrichment may be harmful to crayfish, however, when it results in oxygen depletion (Hobbs and Hall 1974). Pollution of groundwater may impact terrestrial burrowers, because they inhabit water trapped in their burrows.

The most serious known challenge to terrestrial burrowing crayfish is the alteration of soil hydrology. These species appear to be able to coexist with some agriculture and timber harvest practices, although they may not survive frequent tilling of soil. In some areas, fire suppression or the lack of fire management may be a threat, since some species appear to prefer piedmont prairies, savannahs and other open canopy habitats to densely wooded areas."

Inadequacy of existing regulatory mechanisms:

This species was found in the Andrew Pickens Ranger District of the Sumter National Forest, but the majority of its populations are on private land (Eversole 1995). No existing regulatory mechanisms protect this species or its habitat. Even on the National Forest, it is threatened by logging activities.

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Scientific Name:

Elassoma boehlkei

Common Name:

Carolina Pygmy Sunfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The Carolina pygmy sunfish is limited to tributaries of the Waccamaw and Santee Rivers in North and South Carolina, where it has an irregular and localized distribution (Quattro et al. 2001, Sandel and Harris 2007). Rohde and Arndt (1987) reported that the species occurs in two areas in the Waccamaw River drainage, and one in the middle Santee River drainage. In the upper Waccamaw of North Carolina, the species occurs in Juniper Creek, which joins the Waccamaw downstream of Lake Waccamaw in Brunswick and Columbus counties, and in a roadside ditch that drains into Big Creek, which is a tributary to Lake Waccamaw, Columbus County (Rohde and Arndt 1987). In the lower Waccamaw in South Carolina, the species occurs in old ricefield ditches off Jericho Creek in the Samworth Game Management Area, near Georgetown, Georgetown County (Ibid.). Finally, in the Santee River in South Carolina, the species occurs in "a small pool adjacent to Big Pine Tree Creek, near Camden, Kershaw County" (Ibid.) Quattro et al. (2001) and Sandel and Harris (2007) both report a small number of additional populations in these general areas, including in the Lumber and Cape Fear Drainages in North Carolina and in additional tributary ditches to the Waccamaw in South Carolina.

Habitat:

Sandel and Harris (2007) observe that the species occupies heavily vegetated, tannic swamps and sloughs with substrates of mud or fine sand covered by leaf litter. The species is found in roadside ditches, which may be a means to avoid competition and predation from congeners that have excluded the species from more optimal habitat (Sandel and Harris 2007).

Ecology:

Rohde and Arndt (1987) observed that the species is subject to pronounced variations in abundance, which may have the effect of increasing the risk of local extirpations.

Populations:

Total abundance of the Carolina pygmy sunfish has not been estimated or reported. Rohde and Arndt (1987) showed four collection sites in three population centers and reported that the species can undergo pronounced fluctuations in abundance, which in their view may "explain an apparent disjunct population." At the time, the authors believed further sampling would potentially identify more populations in the lower Waccamaw and Santee, but that extensive sampling had occurred in the upper Waccamaw without documentation of additional populations (Rohde and Arndt 1987). Sandel and Harris (2007) refer to a few additional populations. They note that the species' occurrence is irregular and localized, that it can be common in the few known habitat patches, and finally, that it can undergo large seasonal fluctuations in abundance (Sandel and Harris 2007).

Population Trends:

Sandel and Harris (2007) observe that populations in South Carolina seem stable, but that populations near Lake Waccamaw in North Carolina seem to be in decline.

Status:

NatureServe (2008) lists the species as critically imperiled in both North and South Carolina, Jelks et al. (2008) list the species as threatened, and the U.S. Fish and Wildlife Service list it as a species of concern. It is also listed as threatened by both North and South Carolina. Sandel and Harris (2007) specifically recommended "a federal listing of threatened" for the Carolina pygmy sunfish. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the Carolina pygmy sunfish should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) list the present or threatened destruction, modification or reduction in habitat or range as a primary factor in the Carolina pygmy sunfish being considered a threatened species. Sandel and Harris (2007) report that "roadside populations are certainly affected by pollution and habitat alteration," and that "urbanization has been associated with local extirpation events." Likewise, Rohde and Arndt (1987) observe that the few number of populations and their "occurrence mostly along roadsides, with attendant high risks from various types of potential habitat alteration or pollution, underscores" the species' status as vulnerable and emphasize that it should be "given a large measure of protection by the appropriate state governments as well as by the Federal Government."

Overutilization:

Sandel and Harris (2007) note that "some populations are at considerable risk of over-harvesting by private aquarists."

Disease or predation:

Sandel and Harris (2007) note that predation from congeners may be a factor in this species occurring in roadside ditches, which are likely less than optimal habitat.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that none to few occurrences are appropriately protected and that the species occurs in very few if any protected areas. It is listed as threatened by both North and South Carolina, which raises its profile and perhaps provides some protection from collection, but does not provide substantial protections from habitat destruction and pollution, which are major threats to the species.

Other factors:

There are a number of other threats to the continued existence of the Carolina pygmy sunfish. Sandel and Harris (2007) report that "competition with congeners may exclude this species from optimum habitat," and that "recent droughts have severely affected the populations in North Carolina," indicating that both of these factors are threats to the species. Given the threat of droughts, climate change may be an additional threat should it result in more climate variability, including more extreme droughts.

Pollution is a major threat to the species. A "Comprehensive Wildlife Conservation Strategy" developed by the South Carolina Department of Natural Resources divides the state into various ecobasins, identifies species of concern in those ecobasins, and characterizes the condition of habitats (Available at <http://www.dnr.sc.gov/cwcs/>). The Carolina pygmy sunfish occurs in the Pee Dee Coastal Plain Ecobasin, which is identified as having serious problems with water pollution, including within rivers that provide habitat for the sunfish. The Conservation strategy notes:

"Water quality was impaired at 70 of 110 sites (64 percent) sampled by SCDHEC. Aquatic life uses were not supported at 23 sites due to low dissolved oxygen levels (11 sites), abnormal pH values (5 sites), copper contamination (3 sites), lack of invertebrate diversity (3 sites) and zinc contamination (1 site). Recreational uses were not supported at three sites due to the presence of high concentrations of fecal coliform bacteria. Due to high levels of mercury in fish tissue, SCDHEC has issued a fish consumption advisory for the entire length of every major river (Pocotaligo River, Black River, Black Mingo Creek, Lynches River, Pee Dee River, Little Pee Dee River, Lumber River, Waccamaw River) in the ecobasin."

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Scientific Name:

Elimia acuta

Common Name:

Acute Elimia

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The range of the Acute Elimia occurs in a narrow range in Alabama and Tennessee. This freshwater snail occurs in the main channel of the Cahaba River in north Central Alabama near Booth's Ford in Shelby County (Mirarchi 2004, NatureServe 2008). In the Tennessee River basin, this snail occurs in discrete stream drainages (P. Johnson, AL DCNR, pers. comm., November 2006 cited in NatureServe 2008). This snail occurred historically in the Little Cahaba River in Bibb County, but was not detected there in 1993 (Bogan and Pierson 1993). There are historical records for the Acute Elimia in the Coosa River basin, but they are disputed (NatureServe 2008). Goodrich (1941) reported this species from tributaries of the Tennessee River in northern Alabama and southern Tennessee.

Habitat:

The Acute Elimia occurs in moderate to fast current on bedrock and slabs (NatureServe 2008).

Populations:

NatureServe (2008) estimates that there are from 6 - 20 populations of Acute Elimia, providing the following details: "Goodrich (1930) documented this species at Muscle Shoals, (Tennessee River), Jackson and Franklin Cos., Alabama; the Tennessee River, Flint River, Piney Creek (Limestone Co., Alabama), and Elk River. Bogan and Pierson (1993) recently identified this species at 2 sites. *Elimia acuta* is more broadly distributed in the Tennessee River basin, however, populations are restricted to discrete stream drainages (P. Johnson, AL DCNR, pers. comm., November 2006)." Total population size for Acute Elimia is estimated at less than 1000 individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the Acute Elimia is very rare and apparently declining (estimated 10-30 percent decline). Only one occurrence has been reported from recent surveys (Pierson 1997 pers. comm. in NatureServe 2008).

Status:

NatureServe (2008) ranks the Acute Elimia as critically imperiled in Alabama and Tennessee. The IUCN classifies this snail as Vulnerable. It is a Tier One Species of Greatest Conservation Need in Tennessee. It is a Federal Species of Concern.

Habitat destruction:

The Acute Elimia is threatened by habitat loss and degradation due to a variety of activities. This species may have been extirpated from the Coosa River due to construction of the Logan Martin and Lay Dams (NatureServe 2008). This snail has declined in the Cahaba and Little Cahaba Rivers due to excessive sedimentation and eutrophication (Hartfield 1994). This species is threatened by poor water quality in the Cahaba River due to high nutrient inputs (McGregor et al. 2000).

Sedimentation threatens the Acute Elimia because it causes shell erosion, decreases the survival of eggs, and inhibits the growth of algae on which snails depend for food (Hart and Fuller 1974, Neves et al.

1997, Herrig and Shute 2002). This snail is vulnerable to extinction because of habitat loss and alteration, and barriers to dispersal created by dams (Herrig and Shute 2002). The *Elimia*'s habitat is also threatened by spring modification and diversion, which alters the aquatic conditions to which springsnails are adapted, and by groundwater withdrawal which negatively affects the quantity and quality of spring water and artificially creates drought-like conditions (Hobbs 1992, Hubbs 1995, Herrig and Shute 2002). Agricultural activities and livestock grazing also potentially threaten the Acute *Elimia*, as springsnails are particularly vulnerable to decreased water quality and are known to be negatively impacted by grazing (Herrig and Shute 2002).

In Tennessee, the Acute *Elimia* is threatened by habitat loss and degradation due to forestry, mining, agriculture, grazing, commercial and industrial development, oil and natural gas drilling, dams and impoundments, and withdrawal of ground and surface water (Tennessee Wildlife Action Plan, available at: <http://www.wildlifeactionplans.org/tennessee.html> Last accessed June 9, 2009).

Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms to protect the Acute *Elimia*. NatureServe (2008) reports that no occurrences are adequately protected and managed. It has no protective status in either Alabama or Tennessee. This snail is a Tier One Species of Greatest Conservation Need in Tennessee, but this classification affords no regulatory protection. This snail is a Federal Species of Concern (<http://ecos.fws.gov/speciesProfile/profile/speciesProfile.action?spcode=G09A> Last accessed June 8, 2009), but this designation does not confer any regulatory protection.

Other factors:

Several other factors threaten the Acute *Elimia* including pollution and invasive species. Aquatic habitats in southern forests are threatened by immediate and persistent impacts of pollution from petroleum spills, urban and agricultural pesticides, and chemical, manufacturing, and wood product wastes (Abell et al. 2000, Hart and Fuller 1974, Herrig and Shute 2002). Native mollusk species such as the Acute *Elimia* are also threatened by competition and predation from invasive species such as zebra mussels (Hart and Fuller 1974, Herrig and Shute 2002, Tennessee Wildlife Action Plan 2005).

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Scientific Name:

Elimia alabamensis

Common Name:

Mud Elimia

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The extant range of the Mud Elimia covers less than 100 square km (less than about 40 square miles) in Alabama (NatureServe 2008). This snail is endemic to the middle reaches of the Coosa River and adjunct tributaries (Mirarchi 2004).

Habitat:

The Mud Elimia occurs in flowing water environments (Mirarchi 2004).

Populations:

There are fewer than five extant populations of Mud Elimia, and possibly only one (Bogan and Pierson 1993, Mirarchi 2004, NatureServe 2008). The only recent record of this species is from a single site on the Coosa below Mitchell Dam (Bogan and Pierson 1993). Goodrich (1936) reported this species in the Coosa from Ft. William Shoals in Talladega County to Lonigan Shoals in St. Clair County, and from Yellowleaf Creek in Shelby County. Burch (1989) reports this species from middle sections of the Coosa from creeks in Talladega County. Dillon (1989) cites occurrences at the tailwaters of Mitchell Dam in Chilton County. Total population size of this species is unknown.

Population Trends:

NatureServe (2008) reports that the Mud Elimia is very rapidly declining to declining (decline of 10-70 percent) in the short-term, and that this species has experienced a large to moderate long-term decline of 25-90 percent.

Status:

The Mud Elimia is ranked by NatureServe (2008) as critically imperiled, and by the IUCN as vulnerable. It is on the Alabama Freshwater Snail Watch List as a species of moderate conservation concern. The moderate ranking is due to an insufficiency of data on distribution. The distribution of this species is now "extremely contracted" (NatureServe 2008). The species has only been recorded recently from a single site (Bogan and Pierson 1993). The Mud Elimia is a former Candidate for ESA protection (FWS 1994).

Habitat destruction:

The range of the Mud Elimia is now severely constricted and this species has only been recently reported from a single site. As such, this species is particularly vulnerable to further habitat degradation. Aquatic habitats on the Coosa have been lost and degraded by impoundment, pollution, and siltation (NatureServe 2008). A variety of land uses and activities threaten the Mud Elimia's habitat, including sedimentation and nutrient enrichment caused by gravel mining, feedlots, cropland erosion, agriculture, silviculture, mining, and urbanization (ADCNR 2005).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Mud Elimia. It is on the Alabama Freshwater Snail Watch List but this does not convey legal protection. The Mud Elimia was formerly a Candidate for ESA protection (FWS 1994).

Other factors:

The Mud Elimia is threatened by a variety of other factors. Low population size and restricted range make this species vulnerable to stochastic genetic and environmental events, such as droughts and flooding. Any factor which decreases water quality threatens this species.

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Scientific Name:

Elimia ampla

Common Name:

Ample Elimia

G Rank:

G1

IUCN Status:

CR - Critically endangered

Range:

The range of the Ample Elimia is less than 100 square km (less than about 40 square miles) in Alabama where it is restricted to the main channel of the Cahaba River (Stein 1976). There are historical records for this species from Centerville and Lily Shoals in Bibb County (Goodrich 1941, Burch 1989). This mussel is currently extant between Centerville and Booth Ford in Shelby County (Mirarchi et al. 2004).

Habitat:

This muscle occurs in shoals with moderate to fast current (Mirarchi et al. 2004).

Populations:

NatureServe (2008) reports that there are from 1-5 populations of Ample Elimia. Bogan and Pierson (1993) detected this species at nine sites, not all of which may represent separate breeding occurrences (Mirarchi et al. 2004).

Total population size for this species is estimated at fewer than 1000 individuals. Stein (1976) reported that this species has never been detected in large numbers. Pierson (1997 pers. comm. cited in NatureServe 2008) described the Ample Elimia as "very rare," with only one occurrence being reported in the most recent surveys.

Population Trends:

NatureServe (2008) states that the Ample Elimia is declining rapidly (decline of 30-50 percent) throughout its range.

Status:

The Ample Elimia is now restricted to one section of the Cahaba River where it is considered uncommon even where detected. NatureServe (2008) ranks this snail as critically imperiled. The IUCN classifies the Ample Elimia as critically endangered. It is an Alabama Species of Greatest Conservation Need.

Habitat destruction:

NatureServe (2008) states that the Ample Elimia is very threatened throughout its range due to sedimentation, siltation, and impoundments. Sedimentation threatens the Ample Elimia because it causes shell erosion, decreases the survival of eggs, and inhibits the growth of algae on which snails depend for food (Hart and Fuller 1974, Neves et al. 1997, Herrig and Shute 2002). This snail is vulnerable to extinction because of habitat loss and alteration, and barriers to dispersal created by dams (Herrig and Shute 2002). The Elimia's habitat is also threatened by spring modification and diversion, which alters the aquatic conditions to which springsnails are adapted, and by groundwater withdrawal which negatively affects the quantity and quality of spring water and artificially creates drought-like conditions (Hobbs 1992, Hubbs 1995, Herrig and Shute 2002). Agricultural activities and livestock grazing also potentially threaten the Ample Elimia, as springsnails are particularly vulnerable to decreased water quality and are known to be negatively

impacted by grazing (Herrig and Shute 2002). The Alabama Dept. of Conservation and Natural Resources. (2008) reports that the state's aquatic snails are threatened by habitat degradation, and identifies this snail as a Species of Greatest Conservation Need (<http://www.outdooralabama.com/research-mgmt/cwcs/Chapter1.pdf> Accessed February 1, 2010).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Ample Elimia, and no occurrences are appropriately protected and managed (NatureServe 2008). The Ample Elimia is an Alabama Species of Greatest Conservation Need, but this does not provide the snail with any regulatory protection.

Other factors:

Several other factors threaten the Ample Elimia including pollution and invasive species. Aquatic habitats in southern forests are threatened by immediate and persistent impacts of pollution from petroleum spills, urban and agricultural pesticides, and chemical, manufacturing, and wood product wastes (Abell et al. 2000, Hart and Fuller 1974, Herrig and Shute 2002). Native mollusk species such as the Ample Elimia are also threatened by competition and predation from invasive species such as zebra mussels (Hart and Fuller 1974, Herrig and Shute 2002).

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Scientific Name:

Elimia annettae

Common Name:

Lilyshoals *Elimia*

G Rank:

G1

IUCN Status:

CR - Critically endangered

Range:

The extant range of the Lilyshoals *Elimia* consists of less than 100 square km in Alabama (NatureServe 2008). Goodrich (1941) describes this species' range as the transition zone of the Cahaba River, from Lily Shoals to Pratt's Ferry. Stein (1976) and Burch (1989) report that this snail is restricted to the main stem of the Cahaba River in Bibb County. Mirarchi et al. (2004) describe this species' distribution as the mainstem of the Cahaba from Centreville to the Bibb/Shelby County line, the lower Little Cahaba, and the mouth of Six Mile Creek (Bogan and Pierson 1993).

Habitat:

Goodrich (1941) reports that this snail appears to prefer areas of moderate current. Mirarchi (2004) states that this snail occurs in shoal habitats with fast to moderate current (Mirarchi 2004).

Populations:

Bogan and Pierson (1993) identified this species at six sites, but each of these may not represent separate occurrences after populations are delineated (NatureServe 2008). Pierson (1997 pers. comm. cited in NatureServe 2008) reported two to three occurrences for this species in recent surveys. Total population size for this species is estimated at fewer than 1000 individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species is declining in the short-term (decline of 10-30 percent) and moderately declining (decline of 25 - 50 percent) in the long-term.

Status:

NatureServe (2008) ranks the Lilyshoals *Elimia* as critically imperiled. The IUCN ranks this species as critically endangered. Stein (1976) classifies this species as endangered. It is a Priority 2 Species of High Conservation Concern in Alabama. There is some uncertainty concerning the taxonomic delineation of this species (NatureServe 2008).

Habitat destruction:

The limited distribution of the Lilyshoals *Elimia* makes this species particularly vulnerable to habitat degradation. Aquatic habitats in the Cahaba and Little Cahaba have been degraded by excessive sedimentation and eutrophication (Hartfield 1994). Sedimentation threatens the Lilyshoals *Elimia* because it causes shell erosion, decreases the survival of eggs, and inhibits the growth of algae on which snails depend for food (Hart and Fuller 1974, Neves et al. 1997, Herrig and Shute 2002). This species is threatened by poor water quality in the Cahaba River due to high nutrient inputs (McGregor et al. 2000). The *Elimia*'s habitat is also threatened by spring modification and diversion, which alters the aquatic conditions to which springsnails are adapted, and by groundwater withdrawal which negatively affects the quantity and quality of spring water and artificially creates drought-like conditions (Hobbs 1992, Hubbs 1995, Herrig and Shute 2002). Agricultural activities and livestock grazing also potentially threaten the Lilyshoals *Elimia*, as springsnails are particularly vulnerable to decreased water quality and are known to be negatively impacted by grazing (Herrig and Shute 2002). FWS (2007) states that

physical alteration of the Cahaba River and water quality degradation present significant challenges to the survival of aquatic biota, citing dams, channelization, dredging, and coal mining as specific threats. Physical alterations to the river have degraded substrates and have led to temperature fluctuations, changes in sediment transport, water depth, and variable stream velocity, and variable dissolved oxygen and pH (FWS 2007). The Cahaba River is also threatened by rapid urbanization and commercial development in Jefferson, Shelby and St. Clair Counties (Ibid.). FWS (2007) states that rampant development of Jefferson and Shelby Counties, and decades of coal mining have degraded river water quality and hydrologic flows that continue to place stress on present-day populations of freshwater mollusks in the Cahaba River.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Lilyshoals Elimia, and no occurrences are appropriately protected (NatureServe 2008). Mirarchi et al. (2004) state that this snail is listed as endangered in Alabama, citing Stein 1976, but the Alabama Natural Heritage Program Tracking List (Available at : www.alnhp.org/track_2008.pdf . Last accessed June 30, 2009) does not indicate that this species has any protective status in Alabama.

Other factors:

Pollution threatens the Cahaba Pebblesnail (NatureServe 2008). The Cahaba River receives domestic and industrial wastewaters, and there are at least 103 industrial discharge permits in the Cahaba Basin, which release a variety of toxic metals, chemicals and other substances (FWS 2007). There are six municipal wastewater treatment plants in the upper basin with a combined discharge of 19 million gallons a day. River testing has revealed high levels of nitrogen and phosphorus, heavy metals, low dissolved oxygen, organic enrichment, siltation, and chemical spills in the upper basin. Water quality is degraded by historic and current coal mine drainage. FWS (2007) states: “Characterization of Cahaba River water quality by the U.S. Environmental Protection Agency (Howard et al. 2002) documented the following problems in the basin:

- Excessive sedimentation and nutrient enrichment are affecting watershed biology;
- A decline in pollution-sensitive fish species with a concomitant increase in pollution-tolerant fish species;
- A prominence of the filamentous green algae *Cladophora*, which is often associated with nutrient enrichment and nuisance conditions;
- Total phosphorus and total nitrogen ranged from 12 to 960 ppb and 230 to 21,094 ppb, respectively (12 ppb TP and 230 ppb TN considered adequate);
- Excessive sediments have degraded and altered benthic community and species diversity in portions of the river;
- Dramatic increase in “disturbed land” in the basin since 1990; and
- High incidence of NPDES permit violations for nutrient or nutrient related parameters over the last several years.”

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Last accessed June 12, 2009.

Scientific Name:

Elimia arachnoidea

Common Name:

Spider Elimia

G Rank:

G2

Range:

The range of the Spider Elimia encompasses 250-1000 square km in east Tennessee and southwest Virginia. This snail is known from Claiborne County, Tennessee (Burch 1989). Garner (in NatureServe 2008) detected the Spider Elimia in 21 streams that are tributaries to the Tennessee River in eastern TN, but not all of these populations were viable. Dillon and Robinson (2007) detected the Spider Elimia in a tributary to the Clinch River in TN near the Virginia border. In southwest Virginia, Dillon and Robinson (2007) found this snail at 13 sites in Lee and Wise counties, including tributaries of the Powell River (NatureServe 2008). Historical records of the species in tributaries of the Holston River were not confirmed.

Habitat:

The Spider Elimia occurs in small streams.

Populations:

NatureServe (2008) crudely estimates that there are between 6-80 populations of the Spider Elimia. Overall population size is unknown.

Population Trends:

The Spider Elimia has experienced wide population decline, has been extirpated from much of its former habitat, and some remaining populations are not viable (NatureServe 2008).

Status:

The Spider Elimia is critically imperiled in Virginia and imperiled in Tennessee. This snail still occurs in "a few streams" in Tennessee and is rare in southwest Virginia, where it is state listed as endangered (NatureServe 2008).

Habitat destruction:

The Spider Elimia is threatened by siltation, pollution, and run-off (Dillon and Robinson 2007). Gordon (1993) reports that "a lot of former habitat has been catastrophically impacted." Surface coal mining is prevalent in east Tennessee and southwest Virginia and is a common source of water pollution in the region. Any activity that negatively affects water quality is likely detrimental for this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms in place to protect the Spider Elimia. It is state listed as endangered in Virginia, but this designation does not convey substantial regulatory protection.

Other factors:

Any factor which reduces water quality threatens the Spider Elimia.

References:

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Dillon, R.T., Jr. and J.D. Robinson. 2007. The Goniobasis ("Elimia") of southwest Virginia, I. Population genetic survey. Final Report (Part I) to the Virginia Division of Game and Inland Fisheries, Richmond, Virginia. 25 pp.

Goodrich, C. 1935. Studies of the gastropod family Pleuroceridae-IV. Occasional Papers of the Museum of Zoology, University of Michigan, 311: 1-11.

Scientific Name:

Elimia bellacrenata

Common Name:

Princess Elimia

G Rank:

G1

Range:

The extant range of the Princess Elimia consists of less than 100 square km in Alabama (NatureServe 2008). This snail is endemic to tributaries of the Cahaba River in Bibb, Shelby, and Tuscaloosa Counties (Goodrich 1941, Burch 1989, Mirarchi 2004). Goodrich (1941) documented this species at Limestone Spring, Davis Creek, Clear Creek, and Spring Creek in Shelby County, at Miller and Woodstock Springs in Bibb County, and at Cahaba River tributaries in Tuscaloosa County. This species has only been detected recently at Shoal Creek in Shelby County (Mirarchi et al. 2004).

Habitat:

This snail occurs in springs and small streams (Mirarchi 2004).

Populations:

There is only one known extant population of Princess Elimia (NatureServe 2008). Genetic samples taken by Campbell and Harris (2006) in the Upper Little Cahaba system upstream from Montevallo could increase the known occurrences of this species. Total population size is unknown.

Population Trends:

The Princess Elimia is very rapidly to rapidly declining (decline of 30-70 percent) in the short-term, and has experienced a large to substantial long-term decline of 50-90 percent (NatureServe 2008).

Status:

The most recent survey of the Cahaba River detected only one population of Princess Elimia (Mirarchi et al. 2004). NatureServe (2008) ranks this species as critically imperiled. It is a Priority 1 Species of Highest Conservation Concern in Alabama.

Habitat destruction:

Mirarchi et al. (2004) report that the single known extant site for this species is degraded by heavy algal growth, which suggests nutrient pollution. This snail is extremely vulnerable to habitat degradation because of its existence at only a single location. Aquatic habitats in the Cahaba have been degraded by excessive sedimentation and eutrophication (Hartfield 1994). This species is threatened by poor water quality in the Cahaba River due to high nutrient inputs (McGregor et al. 2000). FWS (2007) states that physical alteration of the Cahaba River and water quality degradation present significant challenges to the survival of aquatic biota, citing dams, channelization, dredging, and coal mining as specific threats. Physical alterations to the river have degraded substrates and have led to temperature fluctuations, changes in sediment transport, water depth, and variable stream velocity, and variable dissolved oxygen and pH (FWS 2007). The Cahaba River is also threatened by rapid urbanization and commercial development (Ibid.).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Princess Elimia. This snail is on the Alabama Natural Heritage Program Tracking List, but this does not confer regulatory protection.

Other factors:

Mirarchi et al. (2004) state that the Princess Elimia is vulnerable to extinction due to limited distribution, specialized habitat requirements, and declining population trend. Because this species is currently known from only a single population, it is vulnerable to stochastic genetic and environmental events. This species is also significantly threatened by water pollution.

References:

- Burch, J.B. 1989. North American Freshwater Snails. Malacological Publications: Hamburg, Michigan. 365 pp.
- Campbell, D. and P. Harris. 2006. Report on molecular systematics of poorly-known freshwater mollusks of Alabama. Report to the Alabama Department of Conservation and Natural Resources, Montgomery, Alabama. 34 pp.
- Goodrich, C. 1941. Distribution of the gastropods of the Cahaba River, Alabama. Occasional Papers of the Museum of Zoology, University of Michigan, 428: 1-30.
- Goodrich, C. 1941. Pleuroceridae of the small streams of the Alabama River system. Occasional Papers of the University of Zoology, University of Michigan, 427: 1-10.
- Hartfield, Paul. 1994. Status review summary of seven Mobile River Basin aquatic snails. USFWS, Jackson, MS. 11 pp.
- McGregor, S.W., P.E. O'Neil, and J.M. Pierson. 2000. Status of the freshwater mussel (Bivalvia:Unionidae) fauna in the Cahaba River system, Alabama. Walkerana, 11:215–238.
- Mirarchi, R. E., J. T. Garner, M. F. Mettee, and P.E. O'Neil. 2004. Alabama wildlife. Volume 2. Imperiled aquatic mollusks and fishes. University of Alabama Press, Tuscaloosa, Alabama. xii + 255 pp.
- Mirarchi, R.E. 2004. Alabama Wildlife. Volume One: A Checklist of Vertebrates and Selected Invertebrates: Aquatic Mollusks, Fishes, Amphibians, Reptiles, Birds, and Mammals. University of Alabama Press: Tuscaloosa, Alabama. 209 pp.
- U.S. Fish and Wildlife Service. 2007. Cahaba River National Wildlife Refuge Draft Habitat Management Plan. February 2007. Available online at: www.fws.gov/cahabariver/pdf/Final%20Draft%20Cahaba%20River%20HMP
Last accessed June 12, 2009.

Scientific Name:

Elimia bellula

Common Name:

Walnut Elimia

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Walnut Elimia is less than 100 square km in the middle reaches of the Coosa River and Yellowleaf and Choccolocco Creeks in Alabama (Burch 1989, Bogan and Pierson 1993, Mirarchi 2004). It is no longer extant in the mainstem of the Coosa (C. Lydeard, University of Alabama, pers. comm., 2000 cited in NatureServe 2008). Goodrich (1936) reported this species in the Coosa River from Wetumpka to Fitz's Ferry.

Habitat:

This snail occurs in lotic habitats (Mirarchi 2004).

Populations:

There are two extant populations of this snail, and total population size is unknown (NatureServe 2008).

Population Trends:

This snail has experienced a large to moderate long-term decline of 25-90 percent (NatureServe 2008). Populations in the mainstem of the Coosa have been extirpated (Lydeard 2000 in NatureServe 2008).

Status:

This snail is critically imperiled (G1S1) (NatureServe 2008). It is categorized as vulnerable by the IUCN.

Habitat destruction:

This snail is no longer extant in the mainstem of the Coosa, likely due to habitat degradation. The remaining populations are extremely vulnerable to habitat degradation, as they occur in two creeks in a river system that is heavily impacted by impoundments and also degraded by pollution and siltation from a variety of activities including gravel mining, feedlots, cropland erosion, agriculture, silviculture, mining, and urbanization (ADCNR 2005, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species.

References:

Alabama Department of Conservation and Natural Resources. 2005. Alabama's Comprehensive Wildlife Conservation Strategy. Available at: www.outdooralabama.com/outdoor-alabama/Strategy.pdf. Last accessed June 15, 2009.

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Scientific Name:

Elimia chiltonensis

Common Name:

Prune Elimia

G Rank:

G2

Range:

The range of the Prune Elimia consists of 250-1000 square km (about 100-400 square miles) in Alabama where it is endemic to tributaries of the Coosa River (Mirarchi 2004). Burch (1989) reports this species from Weguska Creek in Coosa County and from Waxahatchee Creek and three of its tributaries in Chilton and Shelby Counties. This snail was originally described from Warsan Creek in Chilton County (Goodrich 1941). Bogan and Pierson (1993) report that this species occurs in six Coosa River tributaries.

Habitat:

The Prune Elimia uses lotic habitats (Mirarchi 2004).

Populations:

There are six extant occurrences of Prune Elimia, in six different tributaries of the Coosa River (Bogan and Pierson 1993).

Population Trends:

NatureServe (2008) reports that the Prune Elimia is stable in the short-term (10 percent fluctuation) and relatively stable (25 percent change) in the long-term.

Status:

NatureServe (2008) ranks the Prune Elimia as imperiled. This snail is on the Alabama Natural Heritage Program Tracking List.

Habitat destruction:

Habitats for aquatic species in the Coosa River basin have been degraded by a variety of human activities and are heavily impacted by impoundments, water pollution, and siltation (NatureServe 2008). Rare aquatic snails in the Coosa Basin including the Prune Elimia are threatened by sedimentation and nutrient enrichment caused by gravel mining, feedlots, cropland erosion, agriculture, silviculture, mining, and urbanization (ADCNR 2005).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Prune Elimia. This species is on the Alabama Natural Heritage Program Tracking List, but this does not confer regulatory protection.

Other factors:

The Prune Elimia is threatened by water quality degradation and invasive species. Aquatic habitats in the Coosa basin are classified as impaired due to organic enrichment, low dissolved oxygen concentration, altered pH, and altered flow regimes due to impoundment, industrial discharges, and urban and rural non-point source pollution (Alabama Department of Conservation and Natural Resources 2005). Non-native crayfish also pose a threat to native aquatic species in the Coosa basin (ADCNR 2005).

References:

Alabama Department of Conservation and Natural Resources. 2005. Alabama's Comprehensive Wildlife Conservation Strategy. Available at: www.outdooralabama.com/outdoor-alabama/Strategy.pdf . Last accessed June 15, 2009.

Bogan, A.E. and J. M. Pierson. 1993. Survey of the aquatic gastropods of the Coosa River basin, Alabama: 1992. Final report submitted in February, 1993 to Alabama Natural Heritage Program, Montgomery Alabama, Contract Number 1923. 10 pp.

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Goodrich, C. 1941. Two new species of *Goniobasis*. Occasional Papers of the Museum of Zoology, University of Michigan, 426: 1-4.

Mirarchi, R.E. 2004. Alabama Wildlife. Volume One: A Checklist of Vertebrates and Selected Invertebrates: Aquatic Mollusks, Fishes, Amphibians, Reptiles, Birds, and Mammals. University of Alabama Press: Tuscaloosa, Alabama. 209 pp.

Scientific Name:

Elimia cochliaris

Common Name:

Cockle Elimia

G Rank:

G1

Range:

The range of the Cockle Elimia consists of less than 100 square km (less than about 40 square miles) in central Alabama (NatureServe 2008). Historically this species occurred in springs and springbrooks of the Little Cahaba River in Bibb, Jefferson, and Tuscaloosa counties (Goodrich 1941). Bogan and Pierson (1993) report that this snail is extant at only one to two localities in Bibb County. Mirarchi et al. (2004) report that it is extant at a single small spring that is a tributary to the Little Cahaba.

Habitat:

Mirarchi et al. (2004) describe this snail's habitat as springs and small spring-fed streams.

Populations:

There are only one to two extant populations of Cockle Elimia. This snail is known from a spring that is a tributary to the Little Cahaba (Bogan and Pierson 1993, Mirarchi et al. 2004, Campbell and Harris 2006), and potentially from another site where it is pending confirmation (NatureServe 2008). Total population size is unknown but likely low.

Population Trends:

The Cockle Elimia has experienced a very large long-term decline of over 90 percent, and is also severely declining in the short-term (NatureServe 2008).

Status:

NatureServe (2008) ranks the Cockle Elimia as critically imperiled meaning it is at very high risk of extinction throughout its range. This snail is confirmed to be extant at only a single site. It is a Priority 1 Species of Highest Conservation Concern in Alabama.

Habitat destruction:

NatureServe (2008) reports that there are ongoing threats to the Cockle Elimia's habitat. This snail occurs at only one to two sites and is thus severely vulnerable to habitat degradation. NatureServe (2008) reports that habitat in the Cahaba River is vulnerable to development impacts. Herrig and Shute (2002) and Buckner et al. (2002) describe multiple threats to aquatic species' habitat in the Cahaba Basin including development, agriculture, recreation, spring development and diversion, logging, and mining. This species is also threatened by poor water quality in the Cahaba River due to high nutrient inputs (McGregor et al. 2000). FWS (2007) states that physical alteration of the Cahaba River and water quality degradation present significant challenges to the survival of aquatic biota, citing dams, channelization, dredging, and coal mining as specific threats. Physical alterations to the river have degraded substrates and have led to temperature fluctuations, changes in sediment transport, water depth, and variable stream velocity, and variable dissolved oxygen and pH (FWS 2007). The Cahaba River is also threatened by rapid urbanization and commercial development in Jefferson, Shelby and St. Clair Counties (Ibid.). FWS (2007) states that rampant development of Jefferson and Shelby Counties, and decades of coal mining have degraded river water quality and hydrologic flows that continue to place stress on present-day populations of freshwater mollusks in the Cahaba River.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Cockle Elimia, and no occurrences are appropriately protected and managed (NatureServe 2008). This species is on the Alabama Natural Heritage Program Tracking List, but this does not confer regulatory protection.

Other factors:

Several other factors threaten the Cockle Elimia. This species is vulnerable to random extirpation events due to limited dispersal capability, restricted range, and low population number (NatureServe 2008). Mirarchi et al. (2004) state that this species is vulnerable to extinction due to extremely limited distribution, specialized habitat requirements, and declining population trend. Water quality in the Cahaba Basin has been degraded, and continues to be degraded, by a variety of factors (Herrig and Shute 2002, Buckner et al. 2002). Any factor which decreases water quality threatens the survival of the remaining 1-2 population(s) of Cockle Elimia.

References:

- Bogan, A.E. and J.M. Pierson. 1993. Survey of the aquatic gastropods of the Cahaba River Basin, Alabama: 1992. Final report submitted in October 1993 to Alabama Natural Heritage Program, Montgomery, Alabama, Contract Number 1922. 20 pp.
- Buckner, M.M., W. Smith, and J.A. Takats. 2002. Tennessee, Cumberland, and Mobile River Basins at Risk. Nashville, TN. 52 pp.
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- Goodrich, C. 1941. Pleuroceridae of the small streams of the Alabama River system. Occasional Papers of the University of Zoology, University of Michigan, 427: 1-10.
- Herrig, J. and P. Shute. 2002. Chapter 23: aquatic animals and their habitats. Southern Region, USDA Forest Service and Tennessee Valley Authority. 45 pp. In: Wear, David N.; Greis, John G., eds. 2002. Southern forest resource assessment. Gen. Tech. Rep. SRS-53. Asheville, NC: U.S. Department of Agriculture, Forest Service, Southern Research Station. 635 pp. Available at: www.srs.fs.usda.gov/sustain/report/pdf/chapter_23e.pdf Last accessed June 8, 2009.
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www.fws.gov/cahabariver/pdf/Final%20Draft%20Cahaba%20River%20HMP
Last accessed June 12, 2009.

Scientific Name:

Elimia cylindracea

Common Name:

Cylinder Elimia

G Rank:

G2

Range:

The current range of the Cylinder Elimia consists of less than 100 square km (less than about 40 square miles) in Alabama and Mississippi (NatureServe 2008). Historically this species was widespread in the Tombigbee River system in Alabama (Mirarchi 2004). Goodrich (1936) also reported this species from the Black Warrior River. This snail was considered to be possibly extinct, but was detected in Noxubee Creek, Noxubee County, Mississippi (Lydeard et al. 1997) and in the Sucarnoochee River, both tributaries of the Tombigbee (McGregor et al. 1999). This snail is also known from Clay, Lowndes, and Oktibbeha counties in Mississippi (NatureServe 2008).

Habitat:

Mirarchi (2004) reports that this snail occurs on rock ledges, and is generally found in areas with at least some current.

Populations:

There are two confirmed extant populations of Cylinder Elimia-- Noxubee Creek (Lydeard et al. 1997) and the Sucarnoochee River (McGregor et al. 1999), and possibly other extant populations. Total population size is unknown but likely low.

Population Trends:

NatureServe (2008) reports that the Cylinder Elimia is rapidly declining in the short term (decline of 30-50 percent) and that it has experienced a very large long-term decline of 75-90 percent.

Status:

NatureServe (2008) ranks the Cylinder Elimia as imperiled in Alabama and as not ranked in Mississippi. This species was previously thought to be extinct and is now known from only a few populations.

Habitat destruction:

Because of its limited range and limited mobility, this snail is very vulnerable to habitat loss and degradation. The Cylinder Elimia was previously thought to be extirpated due to habitat destruction of the Tombigbee River (NatureServe 2008). Because the range of this snail is now severely restricted, any further impacts to its habitat could result in extirpation. This snail is threatened by habitat impacts from impoundments and sedimentation, which degrade water quality and isolate populations (Herrig and Shute 2002). Sedimentation inhibits the growth of algae on which snails depend for food (Neves et al. 1997), causes the erosion of snail shells, and negatively affects the survival of snail eggs (Hart and Fuller 1974). Sedimentation of snail habitat results from a variety of sources and land-use activities (Neves et al. 1997). The Alabama Rivers Alliance (1999) reports that aquatic species in the Tombigbee watershed, including the Cylinder Elimia, are threatened by sedimentation, sand and gravel mining, impoundment, and pollution from domestic sewage and agricultural and animal feedlot runoff.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Cylinder Elimia. It does not have any state or federal protective status.

Other factors:

The Cylinder Elimia is threatened by any factor which degrades water quality. Chronic pollution and pollution events such as chemical spills threaten this species (Hart and Fuller 1974, Neves et al. 1997, Herrig and Shute 2002). Exotic species such as zebra mussels also potentially threaten the Cylinder Elimia (Hart and Fuller 1974, Herrig and Shute 2002).

References:

Alabama Rivers Alliance. 1999. The State of Alabama's Rivers: A Blueprint for the Conservation Of Alabama's Freshwater River Ecosystems Through the 21st Century. Available at: http://www.ag.auburn.edu/auxiliary/BC/PAGESL1/EnvFacts/EnvFactPagesL2/EnvFactsWATER/EnvFactsWater_Texts/stofALRvrs4.doc Last accessed Jan. 7, 2010.

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Hart, C.W., Jr.; and S.L.H. Fuller. 1974. Pollution ecology of freshwater invertebrates. New York: Academic Press. 312 p.

Herrig, J. and P. Shute. 2002. Chapter 23: aquatic animals and their habitats. Southern Region, USDA Forest Service and Tennessee Valley Authority. 45 pp. In: Wear, David N.; Greis, John G., eds. 2002. Southern forest resource assessment. Gen. Tech. Rep. SRS-53. Asheville, NC: U.S. Department of Agriculture, Forest Service, Southern Research Station. 635 pp. Available at: www.srs.fs.usda.gov/sustain/report/pdf/chapter_23e.pdf Last accessed June 8, 2009.

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Neves, R. J., A. E. Bogan, J. D. Williams, S. A. Ahlstedt, and P. W. Hartfield. 1997. Status of aquatic mollusks in the southeastern United States: A downward spiral of diversity. In Aquatic Fauna in Peril: The Southeastern Perspective. G. W. Benz, and D. E. Collins (eds.). Special Publication 1, Southeast Aquatic Research Institute, Lenz Design & Communications, Decatur, GA, p. 43-86.

Scientific Name:

Elimia lachryma

Common Name:

Nodulose Coosa River Snail

G Rank:

G1

IUCN Status:

EX - Extinct

Range:

There are two common names for this snail, the Teardrop Elimia and the Nodulose Coosa River Snail. The extant range of this species is less than 100 square km in Alabama (NatureServe 2008). Historically this species occurred in the Coosa River from Hall's Island in Talladega County to Ten Acre Island in Etowah County (Goodrich 1936, Mirarchi 2004). Burch (1989) describes this species' distribution as from Gilbert's Ferry in Etowah County to near Childersburg in Talladega County. Today this species only occurs at a single location below Lake Logan Martin (NatureServe 2008).

Populations:

There is only one extant population of this snail. This species was considered to be extinct until a population was rediscovered below Lake Logan Martin in 2004 (NatureServe 2008). Total population size is unknown but likely low.

Population Trends:

This species has undergone a large long-term decline of over 90 percent, with only one population remaining (NatureServe 2008).

Status:

NatureServe (2008) ranks the Nodulose Coosa River Snail as critically imperiled. It is classified as extinct by the IUCN, but this needs to be updated, as a population was rediscovered in 2004. It is on the Alabama Natural Heritage Program Tracking List.

Habitat destruction:

All of the former habitat of the Nodulose Coosa River Snail has been impounded (NatureServe 2008). This species now persists in a single population below Lake Logan Martin. Because the range of this species is so severely constricted, it is highly vulnerable to any further habitat degradation. Aquatic snails are vulnerable to siltation, sedimentation, and other habitat degradation from a variety of human activities (Neves et al. 1997, Herrig and Shute 2002). Threats to mollusks in the Coosa are well documented (ADCNR 2005).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this snail. This species is on the Alabama Natural Heritage Program Tracking List, but this does not provide any regulatory protection.

Other factors:

Because the Nodulose Coosa River Snail now exists in only a single population, it is very vulnerable to stochastic genetic and environmental events. This snail is also threatened by any factor which degrades water quality.

References:

Alabama Department of Conservation and Natural Resources. 2005. Alabama's Comprehensive Wildlife Conservation Strategy. Available at: www.outdooralabama.com/outdoor-alabama/Strategy.pdf . Last accessed Jan. 15, 2010.

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Scientific Name:

Elimia melanoides

Common Name:

Black Mudalia

G Rank:

G2

IUCN Status:

CR - Critically endangered

Range:

The range of the Black Mudalia now consists of less than 100 square km (less than about 40 square miles) in Alabama (NatureServe 2008). Historically, this snail occurred in the upper half of the Black Warrior River drainage, in and above Tuscaloosa (Minton et al. 2003). It now occurs only in portions of the Locust Fork of the Black Warrior River and the Little Warrior River in Blount and Marshall Counties (Minton et al. 2003; Mirarchi et al. 2004). Before the detection of these populations, this snail was considered extinct (Turgeon et al. 1998)

Habitat:

Elimia melanoides occurs in shoals and riffles with clean gravel, cobble, or boulder substrate. It has also been detected on submerged logs (USFWS 2007). Mirarchi et al. (2004) describe this species habitat as "margins of channels on rocks or vegetation," stating that it appears to be tolerant of minor sedimentation, but may be sensitive to alterations in hydrologic regime.

Populations:

There are approximately eight known populations of Black Mudalia (P. Johnson, AL DCNR, pers. comm., November 2006 cited in NatureServe 2008). Minton et al. (2003) reported seven populations at two disjunct locations. Goodrich (1922) recorded this species from the Black Warrior River and possibly the Alabama River. The Black Mudalia is currently extant in two shoals in Gurley Creek in Jefferson County (Pierson, 2006); five localized shoals in an approximately 30 mile reach of the upper Locust Fork of the Black Warrior River in Blount County (Minton et al. 2003); two shoals in a one mile reach of the Blackburn Fork of the Little Warrior River (Clark in litt, 2006 cited in NatureServe 2008), and at a tributary to Blackburn Fork in Blount County (USFWS 2007). This snail was detected at Sipsey Fork in the Bankhead National Forest in Winston County in 1985, 1990, 1993, and 2006 (Wendell Haag, U.S. Forest Service, pers. comm. 2006 cited in NatureServe 2008, Pierson 2006).

Total population size for this species is estimated at 1000-100,000 individuals. In 1922 Goodrich described this snail as "perhaps a vanishing race" due to its low numbers and small range. Stein (1976) reported it as possibly extinct, and Turgeon et al. (1998) reported it as presumed extinct. It was redetected in 1996 (Minton et al., 2003). In 2006, densities of 248 to 616 individuals per square meter were reported from one shoal at Blackburn Fork (Pierson, 2006). Population estimates for other locations are not available but may be higher (P. Johnson, AL DCNR, pers. comm., November 2006 cited in NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the Black Mudalia has severely declined in the short term (decline of greater than 70 percent) and has experienced large decline in the long term (decline of 75-90 percent). This snail has been extirpated from more than 80 percent of its former range (USFWS 2007).

Status:

NatureServe (2008) ranks the Black Mudalia as critically imperiled in Alabama. The IUCN classifies this snail as Critically Endangered. It is a Federal Candidate for listing under the Endangered Species Act. It is a Species of Greatest Conservation Need in Alabama.

Habitat destruction:

Habitat destruction and modification is the primary threat to the Black Mudalia (NatureServe 2008). Over 150 miles of this snail's habitat was degraded and/or destroyed by the construction of dams on the Black Warrior River in 1940 and 1966, and on the lower Sipsey Fork in 1975 (FWS 2007). Unimpounded areas of this species habitat are threatened by coal mine drainage, agricultural runoff, and industrial and municipal pollution, including non-point source pollution from a variety of land-use activities (FWS 2007).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Black Mudalia and no occurrences are appropriately protected and managed (NatureServe 2008). It is a Species of Greatest Conservation Need in Alabama, but this designation does not confer regulatory protection. Stein (1976) reports this species as Endangered in Alabama, but the Alabama Wildlife Action Plan does not currently list it as a protected species. It is a Federal Candidate species for listing under the Endangered Species and is in dire need of ESA protection to prevent its extinction.

Other factors:

The Black Mudalia is threatened by water pollution. In the Black Warrior drainage, this species' habitat has been polluted by coal mine drainage, industrial and municipal pollution, and agricultural runoff (FWS 2007). The quality of this snail's habitat was rated as poor at stations in the Calvert Prong of the Little Warrior River (USFWS 2007). FWS (2007) states: "Point source discharges and land surface runoff (nonpoint pollution) can cause eutrophication, decreased dissolved oxygen concentration, increased acidity and conductivity, and other changes in water chemistry that are likely to seriously impact aquatic snails. Nonpoint source pollution from land surface runoff can originate from virtually all land use activities, and include sediments, fertilizers, herbicides, pesticides, animal wastes, septic tank and gray water leakage, and oils and greases. Excessive sediments can impact riverine snails requiring clean, hard shoal stream and river bottoms, by making the habitat unsuitable for feeding or reproduction. Excessive nutrient input (from fertilizers, sewage waste, animal manure, etc.) can result in periodic low dissolved oxygen levels that are detrimental to aquatic species, including pleurocerid snails."

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Scientific Name:

Elimia nassula

Common Name:

Round-rib *Elimia*

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The extant range of the Round-rib *Elimia* consists of less than 100 square km in north-central and northwestern Alabama (NatureServe 2008). Populations have been recently reported from five springs in four counties: Buzzards Roost and Tuscumbia Springs in Colbert County, Wheeler Spring in Lawrence County, Big Spring in Madison County, and Cave Spring in Morgan County (Burch 1989, Burch and Tottenham 1980) but the population at Tuscumbia Springs may be extirpated (Mirarchi et al. 2004). The historical range of this species was broader, with documented occurrences at Big Spring and Graham Spring in Huntsville and at Muscle Shoals in the Tennessee River system (Goodrich 1930).

Habitat:

The Round-rib *Elimia* occurs in springs and spring branches on gravel and cobble substrates, and on submerged macrophytes (Mirarchi et al. 2004).

Populations:

There are 4-5 extant populations of Round-rib *Elimia*. This species has been recently reported from five springs, but may have since been extirpated at one of them (Mirarchi et al. 2004).

Population Trends:

NatureServe (2008) reports that the Round-rib *Elimia* is declining in the short-term (decline of 10-30 percent), and has undergone moderate long-term decline (25 - 50 percent).

Status:

NatureServe (2008) ranks the Round-rib *Elimia* as critically imperiled. It is classified as vulnerable by the IUCN. This snail is a Priority 1 Species of Greatest Conservation Need in Alabama (www.masgc.org/gmrp/plans/AL%20DCNR%20II.pdf).

Habitat destruction:

The Round-rib *Elimia* has a very restricted range in which it faces imminent threats to its habitat (Buckner et al. 2002, Mirarchi et al. 2004). Mirarchi et al. (2004) state that the Round-rib *Elimia* has low tolerance for silty conditions. One of the springs where this species occurs lies in an urban setting, which makes it particularly susceptible to habitat disturbance (Mirarchi et al. 2004). The population at Tuscumbia Spring may have been extirpated by recent "improvements," in which water was diverted from the channel while substrata were removed with heavy equipment (Mirarchi et al. 2004). Buckner et al. (2002) state that the Wheeler Lake watershed, where this species occurs, is threatened by habitat fragmentation and conversion, sedimentation from residential and commercial development, and degradation from agriculture, forestry, and gravel mining. This snail is also threatened by habitat loss due to declining water supplies, water management issues, and groundwater depletion (Buckner et al. 2002).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Round-rib *Elimia*. This species is on the Alabama Natural Heritage Program Tracking List (www.alnhp.org/pdf/track_2007.pdf) but this classification does not confer regulatory protection. This snail was a former candidate for protection under the Endangered Species Act (FWS 1994).

Other factors:

Mirarchi et al. (2004) state that limited distribution, highly specific habitat requirements, and declining population trend make this species vulnerable to extinction. Freshwater snails are sensitive to degradation in water quality (Neves et al. 1997). The Round-rib *Elimia* is threatened by point and non-point source pollution, toxins, and contaminants in the Wheeler Lake watershed (Buckner et al. 2002). Because the watershed where this species occurs is already threatened by water management issues and groundwater depletion (Buckner et al. 2002), global climate change threatens this snail because freshwater resources in the Southeastern United States are expected to become increasingly stressed by drought and warming climate conditions (Karl et al. 2009).

References:

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<http://www.epa.gov/EPA-SPECIES/1994/November/Day-15/pr-42.html>

Scientific Name:

Elimia olivula

Common Name:

Caper Elimia

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The extant range of the Caper Elimia consists of less than 100 square km (less than about 40 square miles) in central Alabama (NatureServe 2008). Historically this species was known from the Alabama River from Claiborne in Monroe County to west of Camden in Wilcox County and to Selma and north of Tyler in Dallas County, and from the lower Cahaba River near Marion in Perry County below Falls Line, and from the Tombigbee River (Goodrich 1936, 1941, Burch 1989). Bogan and Pierson (1993) did not detect this species in the lower Cahaba in Dallas County where it was previously known to occur. This species is currently known only from a tributary to the Alabama River in Montgomery County (McGregor et al. 1999) and from the lower Cahaba (C. Lydeard, University of Alabama, pers. comm., 2000 cited in NatureServe 2008).

Habitat:

This snail occurs in medium to large river habitats, and has been detected on soapstone substrate (NatureServe 2008). McGregor et al. (1999) report this species from a creek habitat.

Populations:

There are only two known extant populations of Caper Elimia. McGregor et al. (1999) detected this species in Pintlalla Creek, an Alabama River tributary, in Montgomery County during surveys in the mid 1990's. A personal communication in NatureServe (2008) reports that Pierson detected an extant population of this species in the lower Cahaba (C. Lydeard, University of Alabama, pers. comm., 2000). Mihalcik and Thompson (2002) report a snail species from the Escambia River system in southern Alabama that was previously thought to be *Elimia curvicastrata* but that may be more closely related to *Elimia olivula*. Total population size for this species is unknown (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the Caper Elimia is declining severely to very rapidly (decline of 50 percent to greater than 70 percent) in the short term, and has experienced a large to very large long-term decline of 75-90 percent.

Status:

NatureServe (2008) ranks the Caper Elimia as critically imperiled, meaning it is at very high risk of extinction throughout its range. The IUCN classifies this snail as Vulnerable. There are only two known extant populations of this snail. This species is on the Alabama Natural Heritage Program tracking list. It was formerly a federal candidate for listing under the Endangered Species Act.

Habitat destruction:

Habitat loss and degradation pose a dire threat to the two surviving populations of Caper Elimia. The population in the Cahaba is threatened by habitat degradation. FWS (2007) states that physical alteration of the Cahaba River and water quality degradation present significant challenges to the

survival of aquatic biota, citing dams, channelization, dredging, and coal mining as specific threats. Physical alterations to the river have degraded substrates and have led to temperature fluctuations, changes in sediment transport, water depth, and variable stream velocity, and variable dissolved oxygen and pH (FWS 2007). The Cahaba River is also threatened by rapid urbanization and commercial development in Jefferson, Shelby and St. Clair Counties (Ibid.). FWS (2007) states that rampant development of Jefferson and Shelby Counties, and decades of coal mining have degraded river water quality and hydrologic flows that continue to place stress on present-day populations of freshwater mollusks in the Cahaba River. This species is also threatened by poor water quality in the Cahaba River due to high nutrient inputs (McGregor et al. 2000).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Caper Elimia. This snail is on the Alabama Natural Heritage Program tracking list, but this classification does not bestow any regulatory protection. The Caper Elimia was formerly a federal candidate for listing under the Endangered Species Act (FWS 1994, Available at: www.epa.gov/EPA-SPECIES/1994/November/Day-15/pr-42.html . Last accessed June 15, 2009).

Other factors:

The Caper Elimia is very vulnerable to extinction because it now occurs in only two isolated populations. Any factor which degrades water quality is a threat to the survival of this species. The one remaining population of this species in the Cahaba is particularly threatened by water pollution. The Cahaba River receives domestic and industrial wastewaters, and there are at least 103 industrial discharge permits in the Cahaba Basin, which release a variety of toxic metals, chemicals and other substances (FWS 2007). There are six municipal wastewater treatment plants in the upper basin with a combined discharge of 19 million gallons a day. River testing has revealed high levels of nitrogen and phosphorus, heavy metals, low dissolved oxygen, organic enrichment, siltation, and chemical spills in the upper basin. Water quality is degraded by historic and current coal mine drainage. FWS (2007) states: “Characterization of Cahaba River water quality by the U.S. Environmental Protection Agency (Howard et al. 2002) documented the following problems in the basin:

- Excessive sedimentation and nutrient enrichment are affecting watershed biology;
- A decline in pollution-sensitive fish species with a concomitant increase in pollution-tolerant fish species;
- A prominence of the filamentous green algae *Cladophora*, which is often associated with nutrient enrichment and nuisance conditions;
- Total phosphorus and total nitrogen ranged from 12 to 960 ppb and 230 to 21,094 ppb, respectively (12 ppb TP and 230 ppb TN considered adequate);
- Excessive sediments have degraded and altered benthic community and species diversity in portions of the river;
- Dramatic increase in “disturbed land” in the basin since 1990; and
- High incidence of NPDES permit violations for nutrient or nutrient related parameters over the last several years.”

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Scientific Name:

Elimia perstriata

Common Name:

Engraved Elimia

G Rank:

G1

Range:

The range of the Engraved Elimia is confined to a few springs and small streams of northern Alabama. This species has been extirpated from historical locations in Jackson and Franklin counties at Muscle Shoals in the Tennessee River and in Bird Spring Creek in Madison County (Goodrich 1930, 1936). It has only been detected recently in Big Spring Branch and Indian Creek in Madison County and in Fox and Sandy Creeks in Lawrence County (NatureServe 2008).

Habitat:

Burch (1989) describes this species' habitat as springs and small streams. Mirarchi et al. (2004) state that this snail uses sand, gravel, or cobble substrates, and that it appears to have low tolerance to silt.

Populations:

There are from one to five extant populations of Engraved Elimia (NatureServe 2008). This species is known to be extant in Big Spring Branch and Indian Creek in Madison County, Alabama, and in Fox and Sandy creeks in Lawrence County (Mirarchi et al. 2004). Total population size is unknown.

Population Trends:

The Engraved Elimia is declining (decline of 10-30 percent) in the short-term and moderately declining in the long-term (decline of 25 - 50 percent) (NatureServe 2008).

Status:

The Engraved Elimia is critically imperiled in Alabama (NatureServe). It is a former candidate species for protection under the Endangered Species Act. It is a Priority 1 Species of Highest Conservation Concern in Alabama. Mirarchi et al. (2004) state that limited distribution, declining population trend, and specific habitat requirements make this species vulnerable to extinction.

Habitat destruction:

Mirarchi et al. (2004) state that the Engraved Elmiia has a low tolerance for silt and that the springs and small streams where it occurs are easily disturbed and destroyed. Both Big Spring Branch and Indian Creek are threatened by impacts from urbanization in and around Huntsville (Mirarchi et al. 2004). The populations in Fox and Sandy creeks are threatened by impacts from agriculture, including sedimentation, pollution from pesticides and fertilizers, and water withdrawal for irrigation (Mirarchi et al. 2004).

The Engraved Elimia occurs in waters in or adjacent to Wheeler National Wildlife Refuge Complex. The Comprehensive Conservation Plan and Environmental Assessment for Wheeler (FWS 2007) states that habitat loss and fragmentation and the degradation of aquatic ecosystems are two of the most important ecological threats facing the complex. Habitat has been lost and degraded for development to support burgeoning human population. The region surrounding the refuge is one of the fastest growing areas in Alabama. Habitat has been lost and degraded for agriculture, flood-control projects, transportation corridors, and residential development (FWS

2007). The Conservation Plan states that aquatic ecosystems have been greatly deteriorated by human activities including impoundment and other activities that cause erosion and sedimentation.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Engraved Elimia. It is on the Alabama Natural Heritage Program Tracking List, but this does not confer regulatory protection.

Other factors:

The Engraved Elimia is threatened by other factors including invasive species and water quality degradation. The Engraved Elimia occurs in waters in or adjacent to Wheeler National Wildlife Refuge Complex. The Comprehensive Conservation Plan and Environmental Assessment for Wheeler states that the proliferation of invasive species is one of the most important ecological threats facing aquatic species in the complex and the region (FWS 2007). Mirarchi et al. (2004) state that the Engraved Elimia is threatened by water pollution from runoff from agriculture and urbanization, and by water withdrawals for irrigation. FWS (2007) states that aquatic habitats in and adjacent to Wheeler National Wildlife Refuge have been degraded by point and non-point source pollution from fertilizers, pesticides and herbicides, and toxic discharges, and by the persistent effects of organochlorine pesticides (e.g., DDT, PCB's, toxaphene, dieldrine, and lindane), and heavy metals, such as mercury.

References:

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Scientific Name:

Elimia showalteri

Common Name:

Compact *Elimia*

G Rank:

G1

Range:

The range of the Compact *Elimia* consists of less than 100 square km (less than about 40 square miles) in the main channel of the upper Cahaba River in Bibb County, Alabama (Goodrich 1941, McGregor et. al. 1999). Burch (1989) reports that this snail occurs from Lily Shoals to two miles east of Harrisburg.

Habitat:

The Compact *Elimia* occurs in moderate-current shoals on cobble-boulder-slab substrate (Mirarchi 2004).

Populations:

There are only two to three occurrences for this species (Pierson pers. comm. cited in NatureServe 2008). Bogan and Pierson (1993) identified this snail at 13 sites in the upper Cahaba basin, but each of these cites may not represent an individual occurrence. Total population size for this species is estimated at fewer than 1,000 individuals (NatureServe 2008).

Population Trends:

The Compact *Elimia* is severely to rapidly declining (decline of 30 percent to greater than 70 percent) in the short-term, and has experienced substantial long-term decline of 50 to over 90 percent (NatureServe 2008).

Status:

NatureServe (2008) ranks the Compact *Elimia* as critically imperiled, meaning it is at very high risk of extinction throughout its range. This species is on the Alabama Natural Heritage Program Tracking List.

Habitat destruction:

The Compact *Elimia* is particularly vulnerable to habitat loss and degradation because its range is restricted to a short section of the Cahaba River where it is threatened by habitat impacts from agricultural and industrial land uses, impoundment, and urbanization (NatureServe 2008). Herrig and Shute (2002) and Buckner et al. (2002) describe multiple threats to aquatic species' habitat in the Cahaba Basin including development, agriculture, recreation, spring development and diversion, logging, and mining. FWS (2007) states that physical alteration of the Cahaba River and water quality degradation present significant challenges to the survival of aquatic biota, citing dams, channelization, dredging, and coal mining as specific threats. Physical alterations to the river have degraded substrates and have led to temperature fluctuations, changes in sediment transport, water depth, and variable stream velocity, and variable dissolved oxygen and pH (FWS 2007). The Cahaba River is also threatened by rapid urbanization and commercial development in Jefferson, Shelby and St. Clair Counties (Ibid.). FWS (2007) states that rampant development of Jefferson and Shelby Counties, and decades of coal mining have degraded river water quality and hydrologic flows that continue to place stress on present-day populations of freshwater mollusks in the Cahaba River. This species is also threatened by poor water quality in the Cahaba River due to high nutrient inputs (McGregor et al. 2000).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Compact *Elimia*. This species is on the Alabama Natural Heritage Program Tracking List but this does not confer regulatory protection. NatureServe (2008) reports that no occurrences of this species are adequately protected and managed.

Other factors:

Other factors which threaten the Compact *Elimia* include degraded water quality and reduced viability. NatureServe (2008) states that only one to two populations of Compact *Elimia* are considered to have decent viability. Small isolated populations are inherently more vulnerable to extinction. The remaining populations of this species are highly vulnerable to degraded water quality. This snail faces water quality degradation from agricultural and industrial pollution, impoundment, and human settlement (NatureServe 2008). The Cahaba River receives domestic and industrial wastewaters, and there are at least 103 industrial discharge permits in the Cahaba Basin, which release a variety of toxic metals, chemicals and other substances (FWS 2007). There are six municipal wastewater treatment plants in the upper basin with a combined discharge of 19 million gallons a day. River testing has revealed high levels of nitrogen and phosphorus, heavy metals, low dissolved oxygen, organic enrichment, siltation, and chemical spills in the upper basin. Water quality is degraded by historic and current coal mine drainage. FWS (2007) states:

“Characterization of Cahaba River water quality by the U.S. Environmental Protection Agency (Howard et al. 2002) documented the following problems in the basin:

- Excessive sedimentation and nutrient enrichment are affecting watershed biology;
- A decline in pollution-sensitive fish species with a concomitant increase in pollution-tolerant fish species;
- A prominence of the filamentous green algae *Cladophora*, which is often associated with nutrient enrichment and nuisance conditions;
- Total phosphorus and total nitrogen ranged from 12 to 960 ppb and 230 to 21,094 ppb, respectively (12 ppb TP and 230 ppb TN considered adequate);
- Excessive sediments have degraded and altered benthic community and species diversity in portions of the river;
- Dramatic increase in “disturbed land” in the basin since 1990; and
- High incidence of NPDES permit violations for nutrient or nutrient related parameters over the last several years.”

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Scientific Name:

Elimia teres

Common Name:

Elegant Elimia

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The total global range of the Elegant Elimia consists of less than 100 square km in Tennessee (NatureServe 2008). This snail occurs only in small streams on Walden Ridge (Goodrich 1940, Burch 1989).

Habitat:

This snail occurs in small streams (Goodrich 1940, Burch 1989).

Populations:

NatureServe (2008) reports that there are from 1-5 populations of Elegant Elimia. This snail occurs on a single ridge in Tennessee, and total population size is unknown.

Population Trends:

Population trend information is not available for this species.

Status:

NatureServe (2008) ranks this snail as critically imperiled (G1S1). It is a Tier 1 Species of Greatest Conservation Need in Tennessee. It is categorized as vulnerable by the IUCN.

Habitat destruction:

This snail is imminently threatened by surface coal mining. This species occurs only on Walden Ridge, which was named one of the most endangered mountains in America by the environmental group Appalachia Voices (2008) due to a pending mountaintop removal coal mining proposal. Mountaintop removal has "pervasive and irreversible" ecosystem impacts (Palmer et al. 2010) and could extirpate this limited-range species. Coal exploration drilling was completed recently in the Rock Creek Watershed in southern Bledsoe County. Highland Lands Company and America Energy Company are seeking a permit for surface coal mining of approximately 1700 acres in this snail's watershed.

Walden Ridge is also threatened by logging, proposed impoundments, and other land uses which are incompatible with maintaining riparian and stream habitats (FWS 2007). Timber removal activities on Walden Ridge often do not employ adequate streamside management zones or best management practices for road construction (FWS 2007). Aquatic snails are very sensitive to sedimentation and water quality degradation resulting from logging, mining, and impoundments (Neves et al. 1997). The Tennessee Wildlife Resource's Agency (2005) states that impoundments and pollution have taken a great toll on the state's mollusk fauna.

The Elegant Elimia is also threatened by urbanization. NatureServe (2008) states that this snail is threatened by the expanding urban areas of Knoxville and Oak Ridge. Buckner et al. (2002) reports that development is burgeoning in previously rural areas around Knoxville, with resultant impacts on aquatic species. From 1990-2000 the population of Tennessee increased by 17 percent, increasing the pressures on land and water resources.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this critically imperiled snail. Due to imminent threats to this species' habitat, it is in dire need of Endangered Species Act protection.

Other factors:

Any factor which degrades the water quality of its small stream habitat threatens the survival of this species.

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Scientific Name:

Elimia vanuxemiana

Common Name:

Cobble Elimia

G Rank:

G1

IUCN Status:

EX - Extinct

Range:

The range of the Cobble Elimia consists of less than 100 square km (less than about 40 square miles) in Alabama. This species is endemic to the middle and lower reaches of the Coosa River and the mouths of a few of its tributaries (Burch 1989, Mirarchi 2004). Goodrich (1936, 1941) recorded this species in the Coosa from Wetumpka in Elmore County to center Landing in Cherokee County and in the Alabama River from Claiborne in Monroe County to Selma in Dallas County. This snail was thought to be extinct, and is now known from only one population that was redetected in 2004 in the Coosa below Lake Logan Martin (The Birmingham News, May 3, 2005 cited in NatureServe 2008).

Habitat:

The historical habitat of this snail consisted of shallow backwater areas with still or gentle current where it used stone or log substrate (Goodrich 1936).

Populations:

There is only one known surviving population of Cobble Elimia, below Lake Logan Martin in the Coosa River in Alabama. Population size is unknown, but likely low.

Population Trends:

The Cobble Elimia has experienced a very large long-term decline of over 90 percent, and was thought to be extinct, with only one remaining population of this species having been detected in 2004.

Status:

NatureServe (2008) ranks the Cobble Elimia as critically imperiled. It is ranked by the IUCN as extinct, but this needs to be revised, as a single population was redetected in 2004. It is on the Alabama Natural Heritage Program Tracking List. It was formerly a Federal Candidate for ESA protection.

Habitat destruction:

The majority of the Cobble Elimia's habitat was destroyed by impoundment (NatureServe 2008), driving this species to the brink of extinction. Habitat degradation poses a dire threat to the single remaining population of this species, as a single habitat disturbing event could extirpate the species. Impoundments have caused extensive loss, degradation, and fragmentation of habitat for aquatic snails in the Coosa River and remaining free-flowing habitats are severely limited (Alabama Department of Conservation and Natural Resources (ADCNR) 2005). The Cobble Elimia's small remaining habitat is threatened by degradation from sedimentation and nutrient enrichment caused by gravel mining, feedlots, cropland erosion, agriculture, silviculture, and urbanization (ADCNR 2005).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Cobble Elimia. It is on the Alabama Natural Heritage Program Tracking List, but this does not confer regulatory protection. It was formerly a federal candidate for protection under the Endangered Species Act (FWS 1994).

Available at: www.epa.gov/EPA-SPECIES/1994/November/Day-15/pr-42.html . Last accessed June 15, 2009).

Other factors:

The Cobble *Elimia* is highly vulnerable to extinction because there is only one surviving population of this species, which faces increased susceptibility to stochastic genetic and environmental events. This snail is further threatened by water quality degradation and invasive species. Aquatic habitats in the Coosa basin are classified as impaired due to organic enrichment, low dissolved oxygen concentration, altered pH, and altered flow regimes due to impoundment, industrial discharges, and urban and rural non-point source pollution (Alabama Department of Conservation and Natural Resources 2005). Non-native crayfish also pose a threat to native aquatic species in the Coosa basin (ADCNR 2005).

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Scientific Name:

Elliptio ahenea

Common Name:

Southern Lance

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The southern lance is confined to Florida where it is known from the St. Mary's, St. Johns, Kissimmee, and Suwannee rivers, but is absent from several of the smaller Gulf drainages. *Elliptio ahenea* may also be present in the Ochlockonee River system (Johnson 1970, 1972, Williams and Butler 1994). NatureServe (2008) reports that the total range of this species will not be known accurately until a genetic analysis is conducted on *Elliptio* species in Florida.

Habitat:

This mussel prefers stable soft silty sand and mud substrates in slow currents (Johnson 1972). The lower portion of the Black Creek population in the St. Johns basin occurs in the tidally influenced portion of the stream.

Ecology:

This mussel is apparently the smaller stream counterpart of *E. waltoni*. It is not as abundant as sympatric congeners *E. buckleyi*, *E. Icterina*, and *E. monroensis*.

Populations:

Most occurrences of this species are in the lower and middle tributaries of the St. Johns River system, and unknown occurrences may exist (NatureServe 2008). Recent occurrences are in the upper Suwannee River mainstem and lower Withlacoochee River mainstem. There were possibly historic occurrences exist in the Kissimmee River system. This species may also be present but rare in the Ochlockonee River system (J. Brim Box, pers. obs. in NatureServe 2008). This species is seldom abundant or dominant like other congeners, except at some sites in the lower Withlacoochee River where it appears to be the only *Elliptio*. It appears to be rare in the mainstem of the Suwannee River system (NatureServe 2008).

Population Trends:

Trend information is unknown for this species due to historical confusion with *Elliptio jayensis*, but it is possibly fairly stable. Mussel populations in general appear to be in decline in Suwannee River tributaries, including the Santa Fe and New rivers (J. Brim Box, pers. obs. in NatureServe 2008).

Status:

NatureServe (2008) ranks this species as vulnerable globally (G3) and under review in Florida (SNR). Evidence of severe decline is lacking and this species appears stable with some exceptions (e.g. Suwannee River tributaries), but it has a restricted range that is threatened by continuing urban development and is often uncommon or rare when found. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The Southern Lance is threatened by eutrophication, pollution, and excessive urban runoff as a result of tremendous growth in central Florida, in Orlando County in particular (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect this species. NatureServe (2008) reports that it is unknown whether any occurrences are appropriately protected. A possible occurrence exists in the Wekiwa River (springs) State Park (occurrence in lower Wekiwa River).

Other factors:

Water pollution is a significant threat to this mussel (NatureServe 2008).

References:

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Scientific Name:

Elliptio arca

Common Name:

Alabama Spike

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

DD - Data deficient

Range:

The Alabama Spike occurs in the Mobile Basin in Alabama, Georgia, Mississippi, and Tennessee (NatureServe 2008). In Mississippi, it also occurs in the Pearl River, Pascagoula River, and Tombigbee River drainages (Jones et al. 2005), and in Georgia, it occurs in the Coosa River Basin (Williams and Hughes 1998, NatureServe 2008).

Habitat:

This species has been detected in lateral gravel bars in swift currents (Hartfield and Jones 1990, NatureServe 2008). It uses lotic areas in medium to large streams, and occurs at highest densities in swift, shallow shoals in gravel-sand substrates. Individuals have also been detected in runs with slow, steady current and deep gravel and sand bottoms. This mussel rarely occurs in pools, silty stream margins, or backwater areas (Mirarchi et al. 2004). USFS (2007) states that this mussel requires habitat stability, including substrate and water quality.

Ecology:

This mussel is a short-term brooder, with females releasing glochidia in June and July. Glochidia are released with copious amounts of mucus, and while the exact method of host infestation is unknown, the mucus may serve to entangle fishes. Redspotted and blackbanded darters are the primary hosts for glochidia, and the southern sanddarter is a marginal host (Mirarchi et al. 2004).

Populations:

NatureServe (2008) estimates that there are from 6-80 populations of Alabama Spike. The number of extant and historical occurrences of this bivalve is difficult to assess due to taxonomic uncertainty and because rangewide surveys have not been conducted. The current distribution is disjunct across five states and some populations have limited to poor viability. This mussel has been detected at 11 sites in the Buttahatchee River in Mississippi and Alabama (Hartfield and Jones 1990). This species is widespread in the Mobile Basin in Alabama, but the only healthy populations are in the Sipsey River (Mirarchi et al. 2004) and Yellow Creek (Williams et al. 2008). Parmalee and Bogan (1998) report extant occurrences in the Conasauga River in Bradley and Polk counties in Tennessee. The species also occurs in the Conasauga River of northwestern Georgia (GA NHP, pers. comm., March 2007 in NatureServe 2008). Vidrine (1993) and Brown and Banks (2001) report it from the Amite River in Louisiana and Pearl River in Mississippi and possibly into Louisiana. This mussel is known in Mississippi from creeks in Lowndes, Jones, Itawamba, Monroe, Clark, and Wayne Cos. (MS NHP, pers. comm. 2006 in NatureServe 2008), and from the Pearl, Pascagoula, and Tombigbee drainages (Jones et al. 2005). In the Coosa River basin in Georgia, it appears to be extant only in the Coosawattee drainage (Williams and Hughes 1998). It is very rare in the Black Warrior River in Tuscaloosa and Greene/Hale Cos. and the upper Tombigbee River in Sumter and Greene Cos., Alabama (Williams et al. 1992).

Population Trends:

This mussel is rapidly declining in the short term, and appears to be moderately declining to relatively stable in the long term (NatureServe 2008). There is a paucity of information on population viability and trends for this species, but in some drainages this mussel is known to have undergone recent declines (NatureServe 2008). The population in the Buttahatchee River in Alabama and Mississippi appears stable at over 200 individuals (Yokley 1978, Hartfield and Jones 1990), but Hartfield and Jones (1990) note that unionid mussels have been virtually extirpated from the mouth of the Buttahatchee River to U.S. Hwy 45. The Sipsey River population appears to be the only healthy occurrence in the Mobile Basin in Alabama (Mirarchi et al. 2004). Williams et al. (2008) report that this mussel is now extant only in several disjunct populations, and that it is uncommon everywhere except the Sipsey River and Yellow Creek in the Tombigbee drainage. The species has not been recently detected at historical occurrences in the Coosa, Etowah, Oostanaula River drainages in Georgia, but is still extant in the Coosawattee (Williams and Hughes 1998).

Status:

The Alabama Spike is critically imperiled (S1) in Georgia, imperiled in Alabama and Tennessee (S2), vulnerable in Mississippi (S3), and not ranked in Louisiana (SNR) (NatureServe 2008). This mussel is listed as endangered by the state of Georgia, is a Priority 1 Species of Greatest Conservation Need in Alabama, and is a Tier 1 Species of Greatest Conservation Need in Mississippi. This mussel is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The Alabama Spike is threatened by habitat loss and degradation including sedimentation, channelization, mining, and impoundment. Within this species' range in Louisiana and Mississippi, abandoned and active gravel mines have led to the disappearance of numerous mussel species (Hartfield and Ebert 1986, Brim Box and Mossa 1999). Kaolin strip mining has also lowered water quality in this species' habitat (Hartfield and Jones 1990). The construction of reservoirs and other waterway projects such as the Tenn-Tom Waterway are known to have negatively altered mussel habitat.

Mirarchi et al. (2004) state that the small remaining populations of this species in the Black Warrior, Coosa, and Tombigbee River systems "could be easily lost with further habitat degradation" (p. 44).

The Georgia Dept. of Natural Resources (Wisniewski 2008) lists the following threats to this mussel: "Excess sedimentation due to inadequate riparian buffer zones, development, and agriculture covers suitable habitat and could potentially suffocate mussels. Poor agricultural practices may also cause eutrophication and degraded water quality. Incompatible dam operations on the Coosawattee River are thought to be a reason for the possible extirpation of this species from the river" (available at: <http://georgiawildlife.dnr.state.ga.us/content/displaycontent.asp?txtDocument=89&txtPage=15>).

USFS (2007) states that the Alabama Spike is sensitive to water quality degradation and sedimentation from ground-disturbing activities within a watershed. This species occurs in the Bankhead National Forest and is thus potentially threatened by logging and recreational activities.

The Alabama Spike is also threatened by habitat inundation, alterations in the timing and duration of flow, and interrupted connectivity due to the operation of the Martin Hydroelectric Project (Takats 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species, and no occurrences are appropriately protected and managed (NatureServe 2008). This mussel is listed as Endangered by the state of Georgia, is a Priority 1 Species of Greatest Conservation Need in Alabama, and a Tier 1 Species of Greatest Conservation Need in Mississippi, but these designations do not provide any meaningful regulatory protection. This mussel has no state status in Tennessee or Louisiana.

The Georgia Dept. of Natural Resources (Wisniewski 2008) lists the following management recommendations for this mussel: "Changing the operations of Carters Reservoir was identified as a high priority management need for the restoration of the Alabama spike to the Coosawattee River. Irregular flow regimes coupled with cold hypolimnetic discharges are believed to have caused the decline of the species in the Coosawattee and Oostanaula rivers. Minimizing the impacts of sedimentation within the Conasauga River may improve existing habitat within the river and provide suitable areas for reintroduction/augmentation of the species. Surveys should be done to assess the abundance and distribution of the Alabama spike in the Upper Coosa River Basin" (available at: <http://georgiawildlife.dnr.state.ga.us/content/displaycontent.asp?txtDocument=89&txtPage=15>).

Other factors:

This mussel is vulnerable to extinction because of its restricted distribution, rarity, and declining population trend. It is now distributed primarily in small, isolated populations which heightens its susceptibility to extinction (Mirarchi et al. 2004). In addition, any factor which leads to decreased water quality threatens this species. The Alabama Spike is also threatened by any factor which threatens the host fish on which it depends for reproduction.

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Scientific Name:

Elliptio arctata

Common Name:

Delicate Spike

G Rank:

G2

AFS Status:

Special Concern

Range:

The Delicate Spike occurs in the Alabama-Coosa River systems, and in the Escambia River system discontinuously east to the Apalachicola River system and west to the Pearl River system in Mississippi (Parmalee and Bogan 1998). *Elliptio arctata* was historically known throughout the Coosa, Cahaba, Tallapoosa and Tombigbee drainages in Alabama, Georgia, Mississippi, and Tennessee, with early surveys and museum records indicating that this species was once abundant in headwater streams and shoals of large rivers (van der Schalie 1938, Hurd 1974). Recent records are available for the Alabama-Coosa River systems (Gangloff 2003, Johnson 1997, Williams and Hughes 1998), ACF basin (Brim Box and Williams 2000), Choctowhatchee, Yellow and Escambia basin (Williams et al. 2000, Blalock et al. 1998, Pilarczyk et al. 2006), and Cahaba River (McGregor et al. 2000). This species is likely extirpated from historical sites in the Chattahoochee River system. Although it is reported from throughout the Mobile Basin, there is some taxonomic uncertainty (Mirarchi et al. 2004). It occurs in Mississippi in the Pearl, Pascagoula, and Tombigbee River drainages (Jones et al. 2005). Parmalee and Bogan (1998) report it from the Conasauga River in Bradley and Polk Cos., Tennessee. Johnson (1970) describes the range as the Atlantic Coast drainages from the Cape Fear River in North Carolina south to the Savannah River in Georgia and South Carolina, however, records from the Atlantic slope are likely erroneous (Parmalee and Bogan 1998). This mussel appears to be missing from drainages south of the Savannah River in Georgia, peninsular Florida, and Gulf drainages east of the Apalachicola Basin (Brim Box and Williams 2000). Records from the Peace River in peninsular Florida (van der Schalie 1940) are likely erroneous (NatureServe 2008).

Williams et al. (2008) describe the distribution of this species as most of the eastern Gulf Coast drainages from the Apalachicola Basin in Georgia and Florida west to the Pearl River drainage, Mississippi, including the Mobile Basin. In the Mobile Basin, this species is widespread but uncommon and highly fragmented. Williams et al. (2008) state that there is no evidence to support reports of this species in Atlantic Coast drainages.

Habitat:

This mussel has been found among and under rocks along the shoreline in rivers (Johnson 1970), in sand-gravel and sand-limestone substrates (Brim Box and Williams 2000), and in fine gravel and sand in moderate currents (Heard 1979). Parmalee and Bogan (1998) describe this species habitat as coarse sand and gravel, often under and around large rocks, and usually in current. Hurd (1974) reports that this mussel is found packed vertically under large rocks. Catena Group (2007) found this species associated with hard substrates in run habitats under rocks.

Ecology:

Little is known about the ecology of this mussel, but it is presumed to be a short-term brooder similar to its congeners (Mirarchi et al. 2004).

Populations:

NatureServe (2008) reports that there are from 6-80 populations of Delicate Spike. Many historical populations are no longer extant. This mussel has been recently detected in the Coosa drainage in Little River and in Hatchet, Kelly, Big Canoe, and Terrapin creeks, and in the Tallapoosa Drainage in Choctawhatchee, Saugahatchee, and Loblockee creeks (Godwin and Shelton 1999, Gangloff 2003). In Alabama, it is now extremely rare in the Coosa and Tallapoosa drainages. It is also extremely rare in the Conasauga (Evans 2001) and Upper Tallapoosa drainages (Johnson 1997). In the Pea River system it was recently detected at two historic occurrences and six new occurrences (Blalock et al. 1998), but was absent at 42 other surveyed locations (Blalock et al. 1998). This species was only detected at 17 of 324 sites recently surveyed sites in the Apalachicola, Chattahoochee, and Flint (ACF) rivers of Alabama, Florida, and Georgia, in contrast to 91 historical records from 66 sites in the ACF Basin (Brim Box and Williams 2000). The species was only detected at 2 of 13 historical sites in the Escambia River drainage (Williams et al. 2000). This species is also missing from two historical sites in the Yellow River drainage (Williams et al. 2000). Of 179 surveyed sites in the Escambia and Yellow rivers, only one individual was found at a single site in the Conecuh (Williams et al. 2000). Only relict shell materials have been detected in Jefferson and Bibb counties in Alabama, in the Cahaba River at the Little Cahaba confluence, and in the North River in Tuscaloosa County, Alabama (Pierson pers. comm. 1997 in NatureServe 2008). This species was reported from the Cahaba River in 1991, but was absent in 2000 (McGregor et al. 2000). This mussel was only detected at two of 24 surveyed sites in the Choctawhatchee, Yellow, and Conecuh-Escambia River drainages in southern Alabama in 2004 (Pilarczyk et al. 2006). Williams and Hughes (1998) report that it not been collected live recently in Georgia in the Coosa, Etowah, Oostanaula, or Conasauga River drainages. Vidrine (1993) reports that this species is absent in Louisiana, but present nearby in the Strong River in the Pearl River drainage, however, Darden et al. (2002) report that this species appears to be missing in the Strong River in Mississippi (cited in NatureServe 2008). Catena Group (2007) detected this species at three sites in the Savannah River with the sites supporting 1, 4, and 13 individuals.

Historical abundance is difficult to estimate due to taxonomic uncertainty. The species is now uncommon in the Escambia, Yellow and Pea rivers (Blalock et al. 1998, Williams et al. 2000) and in many other areas throughout its historic range (NatureServe 2008).

Population Trends:

The Delicate Spike is declining in the short term and moderately declining to relatively stable in the long term (NatureServe 2008). These trends are based on poor historical records and may understate the level of decline this species has experienced based on very low population abundance or total absence at many occurrences. Many populations throughout the historical range are known to be dwindling, and the species is extirpated from some river systems and declining in most, particularly in the Coosa-Tallapoosa and Choctawhatchee-Escambia drainages (NatureServe 2008).

Status:

The Delicate Spike is critically imperiled in Mississippi and Georgia, imperiled in Alabama, Florida, and Tennessee, and not ranked in South Carolina (NatureServe 2008). It is listed as Endangered by the state of Georgia, and is a Tier 1 Species of Greatest Conservation Need in Mississippi, and a Priority 1 Species of Greatest Conservation Need in Alabama. Its rank is being changed from special concern (Williams et al. 1993) to threatened by the American Fisheries Society (draft 2010, in review).

Habitat destruction:

Habitat loss and degradation from a variety of factors has caused the extirpation of many populations of Delicate Spike and pose an ongoing threat to this declining species (NatureServe 2008). The Delicate Spike is significantly threatened by poor land management practices which result in decreased water quality due to sedimentation, and by bank and streambed destabilization and water withdrawals (NatureServe 2008). This mussel is threatened by impoundment (Williams et al. 1992). The widescale conversion of hardwood forests to pine plantations threaten this mussel (NatureServe 2008). Catena Group (2007) report that mussel habitat in the Savannah River has been degraded by bank instability and unstable, shifting sediments. The Georgia Dept. of Natural Resources (2008) reports that this mussel is threatened by sedimentation and eutrophication resulting from agriculture, development, and inadequate riparian buffer zones. This mussel is also threatened by incompatible dam operations on the Coosawattee River. Water withdrawals for agriculture in the Lower Flint basin threaten populations in small streams, particularly during periods of drought (GDNR 2008). Gillies et al. (2003) report that urbanization threatens this species in the Atlanta area.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Delicate Spike, and no occurrences are appropriately protected and managed (NatureServe 2008). It is listed as Endangered by the state of Georgia, and is a Tier 1 Species of Greatest Conservation Need in Mississippi, and a Priority 1 Species of Greatest Conservation Need in Alabama, but these designations do not provide any substantial regulatory protection. It is not listed in Tennessee, South Carolina, or Florida. NatureServe (2008) states, "Because *E. arctata* is now rare, has a highly restricted range (Mobile Endemic), and specific microhabitat requirements, *E. arctata* should be considered for federal protection."

Other factors:

The Delicate Spike is threatened by factors which decrease water quality or negatively affect host-fish populations. This mussel is threatened by runoff, chemical pollutants, and siltation (NatureServe 2008). Because many populations of this species have been extirpated, it is threatened by population isolation. Mirarchi et al. (2004) state, "Limited distribution, rarity, and specialized habitat requirements make *E. arctata* vulnerable to extinction" (p. 45).

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Scientific Name:

Elliptio fraterna

Common Name:

Brother Spike

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

DD - Data deficient

Range:

The Brother Spike is a naturally rare mussel known only from the Flint and Chattahoochee Rivers of Alabama and Georgia, and the Savannah River drainage of South Carolina (Mirarchi et al. 2004). This distribution is disjunct, and there are no known records from intervening drainages (Brim Box and Williams 2000, NatureServe 2008).

Habitat:

This species has been found on sand bars in the mainstem of the Savannah River (Britton and Fuller 1979). Johnson (1970) describes its habitat as sandy substrates in the main channel of rivers and larger tributaries in swift currents, but this may have been in reference to a closely related species (NatureServe 2008).

Ecology:

Mirarchi et al. (2004) report that this mussel is likely a short-term brooder like its congeners.

Populations:

There are less than five populations of Brother Spike, with five records being known from three occurrences (NatureServe 2008). Catena Group (2007) recently detected this species at 3 sites in the Savannah River, with one individual being encountered per site. Before this, the species had last been reported from the Savannah drainage in 1972 (Britton and Fuller 1979), which at the time was the only record from the drainage since the species' description in 1852. This mussel was last detected in the ACF Basin in the Flint River in 1929. A recent survey of 324 sites in the ACF basin failed to detect this mussel (Brim Box and Williams 2000).

Total population size is estimated at 50 - 2500 individuals (NatureServe 2008), but this is likely an overestimate, as there are only seven known specimens from the Chattahoochee and Flint drainages, and three from the Savannah River (Catena Group 2007), with the Savannah River individuals having been collected for genetic analysis.

Population Trends:

The Brother Spike is rapidly declining in the short term and substantially to moderately declining in the long term (NatureServe 2008). This species is considered to be naturally rare in the Savannah system, and historically uncommon in the Chattahoochee and Flint drainages as well (NatureServe 2008). The species is probably extirpated in Alabama (Mirarchi et al. 2004).

Status:

NatureServe (2008) ranks the Brother Spike as extirpated in Alabama (SX), and critically imperiled in Georgia and South Carolina. This species was historically rare and is now limited to less than five fragmented occurrences. It is possibly extirpated from the Chattahoochee and Flint rivers of Alabama and Georgia (NatureServe 2008). The American Fisheries Society ranks *Elliptio fraterna* as Endangered (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

This mussel is threatened by habitat loss and modification including damming, dredging, extremely low water levels, and sedimentation resulting from poor land management practices (NatureServe 2008). Catena Group (2007) state that unstable banks and substrates threaten mussels in the Savannah River, which may harbor the only extant populations of this species. The South Carolina Dept. of Natural Resources (2005) reports that this mussel is sensitive to channel modification, pollution, sedimentation and low oxygen conditions.

Overutilization:

Overutilization threatens the survival of this mussel because so few individuals survive in the wild. This species had not been detected in the Savannah River since 1972 and was thought to be extirpated in that system. The three individuals which were detected in the Savannah were removed from the wild for genetic analyses (Catena Group 2007), which could contribute to the extirpation of Brother Spike in the Savannah drainage if they were not returned.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species. This mussel is likely extirpated in Alabama, and is not protected by the states of Georgia or South Carolina. NatureServe (2008) reports that no occurrences are appropriately protected and managed.

Other factors:

Any factor which reduces water quality or quantity will negatively affect this species. This mussel is threatened by decreased survival and reproductive success due to decreased water quality resulting from pollutants and sedimentation (NatureServe 2008). It is also threatened by rarity, low population size, and drastically reduced range.

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Scientific Name:

Elliptio lanceolata

Common Name:

Yellow Lance

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

NT - Near threatened

Range:

The yellow lance is a freshwater mussel native to the Coastal Plain of the southeastern United States. It occurs in Virginia and North Carolina, and possibly in Maryland and South Carolina. There are unresolved taxonomic issues for this mussel in Maryland, and if it ever existed there, it may now be extirpated. Taxonomy of this species is also unresolved in South Carolina.

Natural heritage records indicate that this species is known from Duplin, Edgecombe, Franklin, Granville, Halifax, Johnston, Nash, Vance, Wake, Warren, and Wayne Counties in North Carolina, potentially in Abbeville, Chesterfield, Edgefield, Greenwood, Lancaster, Laurens, McCormick, Newberry, Oconee, and Saluda Counties in South Carolina, and in Alleghany, Amherst, Bath, Bedford, Botetourt, Brunswick, Buckingham, Buena Vista, Chesterfield, Craig, Culpeper, Cumberland, Dinwiddie, Emporia, Fauquier, Fluvanna, Goochland, Greensville, Hanover, Louisa, Lunenburg, Madison, Nelson, Nottoway, Orange, Powhatan, Prince William, Rappahannock, Rockbridge, Southampton, and Spotsylvania Counties in Virginia. It is likely extirpated from Fairfax and Stafford Counties in Virginia (NatureServe 2008).

Habitat:

The yellow lance inhabits creeks and medium-sized rivers and is found in areas with low flow rates, in sandy, rocky, or mud substrates (Johnson 1970). It may deeply entrench itself in sandy substrate and incidentally migrate with moving sand, although major dispersal typically only occurs by means of glochidial host movement in the larval phase (NatureServe 2008).

Ecology:

The yellow lance reproduces as do most other freshwater mussels: larvae are parasitic on various fish species, though glochidial host species for *E. lanceolata* are unknown (NatureServe 2008).

Populations:

Numerous historic occurrences of this species are likely extirpated. There are possibly 15 extant occurrences of this mussel in North Carolina, and several in Virginia. Within the range where the yellow lance is currently recognized to occur, Johnson (1970) lists three historical occurrences from the Neuse River drainage, two from the Tar River, two from the Roanoke River system, one from the Chowan River system, seven from the James River drainage, two from the South Anna River drainage, and four from the Rappahannock River system. It may currently be extirpated from the Roanoke River system and from the main stem of the Rappahannock River (J. Alderman, pers. comm. cited in NatureServe 2008). In Virginia, this mussel is extirpated, or nearly so, from the Lower Chesapeake and James River basins with extant occurrences only in the Rapidan-Upper Rappahannock and Mattaponi, and the Upper James and Middle James-Willis (J. Alderman, pers. comm., 2000; VA NHP, pers. comm., 2006, both cited in NatureServe 2008).

The abundance of *Elliptio lanceolata* is unknown, but it is thought that there are at least 2500 individuals. This mussel appears to be declining in abundance throughout most of its historical range. For example, only one individual was found in a recent survey of parts of the South Anna River

drainage (J. Alderman, pers. comm. cited in NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species has declined by up to 30 percent in the short-term, and up to 50 percent in the long-term stating: "It is extremely threatened with extirpation in the Neuse River system. Stable populations exist in the Tar River, but these are patchily distributed and therefore vulnerable to extirpation. It appears to be extirpated from historical occurrences in the Tar River below Rocky Mount, North Carolina (J. Alderman, pers. comm.). It also appears to have been recently extirpated from Ruin creek in Vance County and the Tar River in Edgecombe County, North Carolina. *Elliptio lanceolata* occurs in the Chowan River basin, but has a restricted range within that system. Is now very rare in the James, S. Anna, and Rappahannock rivers, and no information is available regarding its current status in the Roanoke River (J. Alderman, pers. comm.). . . In Virginia, it is extirpated, or nearly so from the Lower Chesapeake and James River basins with extant occurrences only in the Rapidan-Upper Rappahannock and Mattaponi, and the Upper James and Middle James-Willis (J. Alderman, pers. comm., 2000; VA NHP, pers. comm., 2006)." If this species ever occurred in Maryland, it is likely now extirpated from the state. Records from South Carolina are taxonomically uncertain. NatureServe (2008) states, " Despite questions about its taxonomy, whether it eventually is deemed to be a species or species complex, the taxon is clearly beginning to experience the effects of decline throughout much of its range."

Status:

NatureServe (2008) reports that the yellow lance is critically imperiled in North Carolina and imperiled in Virginia; its status has not been evaluated in Maryland or South Carolina due to taxonomic uncertainty. It is listed as endangered in North Carolina and as a species of special concern in Virginia. Many remaining populations are small and of dubious viability. It is ranked as endangered by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The yellow lance is threatened across its range by habitat degradation and loss resulting from agriculture, logging, and municipal development. Additionally, in parts of its range, this mussel is threatened by impoundment and channelization.

In North Carolina, aquatic species in the Neuse drainage have been negatively affected by the construction of Falls Lake, which has significantly altered water temperatures below the dam. Thermal alteration and general pollution problems around Raleigh have reduced habitat in the upper Neuse River (NatureServe 2008). The Neuse is routinely considered to be an endangered basin (American Rivers Foundation 2007) with impacts such as urban wastewater, fertilizer, industrial development and animal operations all contributing to eutrophication (Pinckney et al. 1997, Paerl et al. 1998). In-stream habitat in the Neuse Basin has been lost and degraded by forestry, urban and residential development, impoundments, and effluent (North Carolina Department of Environment and Natural Resources 2002). Agriculture and farming operations have contributed to habitat degradation, and development is rapidly increasing (Midway 2008). The North Carolina Wildlife Resources Commission (2005) reports that aquatic species in the Neuse Basin are threatened by agriculture, forestry, impoundments, water withdrawals for irrigation, development, wastewater discharges, and increasing human population. The human population within the basin is expected to grow by more than 867,000 by 2020 to almost 3 million people. Development, confined animal feeding operations, and forestry also threaten aquatic species in the Tar River basin, but to a lesser extent than the Neuse (Starnes 2002).

Aquatic species in the Tar River basin are threatened by erosion, sedimentation, channelization, agriculture, irrigation withdrawals, confined animal feeding operations, and increasing human population growth and development pressure (North Carolina Wildlife Resources Commission 2005b).

In Virginia, the yellow lance is threatened by sediment load alteration from agriculture, forestry, and municipal development, by channel and shoreline alteration from agriculture, and by hydrologic regime alteration from municipal development (Virginia Dept. of Game and Inland Fisheries 2010).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the yellow lance. Though it is listed as endangered in North Carolina and as a species of special concern in Virginia, these designations offer this species no substantial regulatory protections from habitat destruction or degradation and cannot be deemed sufficient. NatureServe (2008) reports that no occurrences of this species are appropriately protected and managed.

Other factors:

Water pollution threatens the survival of this mussel. The Virginia Dept. of Game and Inland Fisheries (2010) reports that the yellow lance is threatened by toxins from roadways and from municipal development, and by organic matter from agriculture. Aquatic species in Virginia's Mid-Atlantic Coastal Plain are threatened by water pollution including low dissolved-oxygen levels, high fecal coliform counts, and altered pH resulting from agricultural and urban runoff. In Virginia's Southern Piedmont, water is polluted by these same sources and by abandoned mine runoff. In the Blue Ridge mountains, aquatic species face the same threats and are also contaminated with mercury and PCBs (Virginia Wildlife Action Plan 2006a, 2006b, 2006c). Water pollution is also a documented threat to this species in North Carolina (North Carolina Wildlife Resources Commission 2005a, 2005b).

The yellow lance is also threatened by any factor which threatens the host fish on which it is dependent for reproduction.

This mussel is also threatened by stochastic genetic and environmental events due to its extant distribution primarily in small, isolated populations.

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Scientific Name:

Elliptio monroensis

Common Name:

St. John's Elephantear

G Rank:

G2

Range:

The St. John's elephantear is endemic to the St. Johns River system in Florida with the intervening St. Marys and Satilla Rivers separating this species from the similar *Elliptio dariensis* in the Altamaha River system in Georgia (Butler 1994).

Habitat:

This mussel occurs in sandy substrates in lakes and in streams with little current (Heard 1979).

Populations:

Within the single river system where it occurs, this mussel is found in Black Creek, Lake Monroe, and the St. Johns River in the St. Johns River system (Butler 1984, Johnson 1972). Johnson (1972) lists St. John's River drainage specimens (as *Elliptio dariensis*) from the Econlockhatchee River near the St. John's River confluence, the St. John's River in Osceola and Sanford (Seminole Co.), Lake Monroe (also Seminole Co.), and Lakes Beresford and Woodruff in Volusia Co., as well as the St. Johns River in Georgetown (Putnam Co.). It has also been reported from Julington Creek just south of Jacksonville (St. Johns River drainage) (NatureServe 2008). Population data are not available.

Population Trends:

NatureServe (2008) does not have population data for this species. It is considered to be threatened by the American Fisheries Society (2010 draft, in review) which indicates likely decline.

Status:

NatureServe (2008) ranks this mussel as imperiled globally and under review in Florida (G2SNR). It is being ranked as threatened by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

This species is endemic to one small river system and is thus particularly vulnerable to habitat degradation. The St. John's river is highly threatened by eutrophication from urban runoff (St. Johns River Water Management District 2010).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this mussel, and NatureServe (2008) reports that it is unknown whether any occurrences are appropriately protected.

Other factors:

This mussel is threatened by water pollution.

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Scientific Name:

Elliptio purpurella

Common Name:

Inflated Spike

G Rank:

G2

Range:

The range of the Inflated Spike is estimated at 250-1000 square km in the Apalachicola Drainage Basin in Alabama, Florida, and Georgia below the Fall Line. Historically this mussel occurred in the mainstem and tributaries of the Flint, Chattahoochee, and Chipola rivers, but is now extant only in tributaries of the Flint River in Georgia, and is possibly extirpated from the Chipola and Chattahoochee river drainages and from the mainstem of the Flint River (Brim Box and Williams 2000, Gagnon et al. 2006). The species is possibly extirpated in Alabama where it formerly occurred in the Chattahoochee and Chipola River systems, but recently detected unconfirmed individuals from Big Creek in the Chipola River headwaters in Houston Co. appear to be *Elliptio purpurella* (Mirarchi et al. 2004). Williams et al. (2008) report that this mussel is extant in Alabama only in the headwaters of the Chipola River.

Habitat:

The Inflated Spike occurs in creeks and rivers, mostly in areas of flowing water, but occasionally in lakes under some conditions. Sand and clay substrates are preferred, and this mussel is associated with limestone (Brim Box and Williams 2000).

Ecology:

This mussel is likely a short-term brooder that is gravid during spring. Glochidial hosts are unknown (Williams et al. 2008).

Populations:

NatureServe (2008) estimates that there are from 6-20 populations of Inflated Spike. There are 14 known sites in tributaries of the Flint River on the coastal plain of Georgia in the Apalachicola/Chattahoochee/Flint basin (Brim Box and Williams 2000). In southwestern Georgia, this mussel occurs in about a dozen tributary streams in the lower Flint River Basin (Golladay et al. 2004). There is one extant population in Alabama (Williams et al. 2008).

In tributaries of the Flint River, 369 live individuals were recorded in 1991-1992 (Brim Box and Williams 2000). This mussel made up 1.03 percent (relative abundance) of the 14,873 mussels collected in surveys of 46 sites in 12 tributary streams of the lower Flint River Basin, Georgia in 1999 (Gagnon et al. 2006). In 1999 and 2001, this species was found in 10 sites (99 specimens) and 8 sites (161 specimens), respectively, in surveys of 21 sites (each year) in about a dozen tributary streams of the lower Flint River Basin, in southwestern Georgia (Golladay et al. 2004).

Population Trends:

The Inflated Spike is declining in the short term, and moderately declining in the long term (decline of 25-50 percent). This species is now known from a restricted range in one river drainage in Georgia, and it is nearly extirpated in Alabama. In Georgia and Alabama it is likely extirpated from the Chattahoochee River drainage and from the mainstem of the Flint River (Brim Box and Williams 2000).

Status:

The Inflated Spike is critically imperiled in Alabama and imperiled in Georgia (NatureServe 2008). It is a Priority 1 Species of Greatest Conservation Need in Alabama, and is a Threatened species in Georgia. It is extirpated in Florida. It is being ranked as vulnerable by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

Because this species is either extirpated or nearly extirpated in Alabama and occurs in a single drainage in Georgia, it is highly vulnerable to habitat alteration. Freshwater mussels are threatened by any activities which decrease water availability or water quality including diversion, impoundment, and ground-disturbing activities. The Georgia Dept. of Natural Resources (Wisniewski 2008) lists the following threats for this species: "Excess sedimentation due to inadequate riparian buffer zones, development, and agriculture covers suitable habitat and could potentially suffocate mussels. Poor agricultural practices may also cause eutrophication and degrade water quality. Excessive agriculture water pumping in the lower Flint River basin appears to stress the aquatic resources of the basin in periods of extreme drought" (available at: <http://georgiawildlife.dnr.state.ga.us/content/displaycontent.asp?txtDocument=89&txtPage=15>). The Alabama Clean Water Partnership (2006) reports that Big Creek, a Chipola River tributary which is the only extant site for this species in Alabama, contains excessive nutrients, organic enrichment, and low dissolved oxygen, potentially from stormwater from urbanized industrial areas and wastewater discharges (p. 1-13). Water quality in the Chipola Basin is threatened by nonpoint source pollution including sediment, nutrients, animal and human waste, and petroleum products from widespread, hard-to-identify sources (ACWP 2006).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Inflated Spike, and no occurrences of this mussel are appropriately protected and managed (NatureServe 2008). It is a Priority 1 Species of Greatest Conservation Need in Alabama, and is a Threatened species in Georgia, but these designations do not provide any substantial regulatory protection.

The Georgia Dept. of Natural Resources (Wisniewski 2008) lists the following management recommendations for this mussel: "Examination of basic life history was identified as a top research priority needed for the conservation of this species during the 2005 Georgia Wildlife Action Plan. Understanding the basic life history of this species will provide the foundation upon which all other research and conservation actions should be built. Investigating the effects of groundwater withdrawals on the distribution and abundance of rare species in the lower Flint River basin was also identified as a high priority research need for this species" (available at: <http://georgiawildlife.dnr.state.ga.us/content/displaycontent.asp?txtDocument=89&txtPage=15>).

Other factors:

Mirarchi et al. (2004) state that limited distribution and rarity make this species vulnerable to extinction. In addition, any factor which decreases water quality or quantity threatens this species, including drought and pollution. After a drought in 1999-2001, this mussel declined in abundance in the Flint River drainage in Georgia (Chastain et al. 2005).

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Scientific Name:

Elytraria caroliniensis var. *angustifolia*

Common Name:

Narrowleaf Carolina Scalystem

G Rank:

T2

Range:

Narrowleaf Carolina scalystem is endemic to southern Florida, where it is found only in Dade, Collier, Lee, and Broward counties (NatureServe 2008).

Habitat:

This plant is largely restricted to calcareous areas within wet woodlands, seepage slopes, wet prairies, or pine rocklands (NatureServe 2008).

Populations:

There are nine known occurrences of this plant, but population sizes are not reported (NatureServe 2008). It is most common in and around Long Pine Key (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that populations are stable in the short-term, but longer-term trends are not known. This plant is no longer found along the edges of the "finger glades" that transect the Miami ridge pinelands.

Status:

This plant is endemic to a small range in southern Florida, within which it is relatively rare and restricted to particular habitat type (calcareous marshes). It no longer occurs in parts of its historical range. NatureServe (2008) ranks this species as imperiled.

Habitat destruction:

The scalystem is threatened by anthropogenic alteration of wetland hydrology (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

The occurrences of this plant in Everglades National Park are fairly well-protected, and this plant may also occur in Big Cypress National Park. It also occurs in some state parks, where protections are minimal. In sum, no existing regulatory mechanisms adequately protect the Carolina scalystem or its habitat.

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Scientific Name:

Encyclia cochleata var. *triandra*

Common Name:

Clam-shell Orchid

G Rank:

T2

Range:

E. cochleata var. *triandra* is a rare orchid endemic to the state of Florida, and is likely restricted to three counties within the state, though conclusive surveys have not been conducted. Its total range probably covers less than 100 square miles (NatureServe 2008).

Habitat:

This orchid is found in tropical hammocks, buttonwood (*Platanus* spp.), and cypress strands (NatureServe 2008).

Ecology:

The orchid is an epiphytic perennial.

Populations:

Population size for *E. c.* var. *triandra* is not known, but very few populations are confirmed throughout its small range (NatureServe 2008).

Population Trends:

Trend information is not available for this species.

Status:

This orchid is very rare and is endemic to a small range in northern Florida. NatureServe (2008) reports that the clam-shell orchid is imperiled throughout this range.

Overutilization:

Widespread collection of this species poses the greatest threat to its persistence and has caused significant reductions to several local populations (NatureServe 2008)

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

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McCartney, Chuck. 1985. South Florida's epiphytic orchids - how healthy are they? Palmetto, Summer 1985, p. 3-5.

Scientific Name:

Epidendrum strobiliferum

Common Name:

Big Cypress Epidendrum

G Rank:

G4

Range:

This small orchid species is widely distributed in Central America, but found in just one site in Florida, in Collier County (NatureServe 2008). It is present in Southern Florida, from Mexico to Brazil, in Cuba, Hispaniola, the Lesser Antilles, and Trinidad.

Habitat:

In Florida, this epiphyte grows on pop ash (*Fraxinus caroliniana*) and pond apple (*Annona glabra*) within cypress sloughs. In more southerly portions of its range, this species is found in tropical hammocks at up to 4500 ft in elevation (NatureServe 2008).

Ecology:

The big cypress epidendrum is a small, perennial, epiphytic orchid (NatureServe 2008)

Populations:

Global population size is not known, but this species is reportedly rare at the one site where it occurs in Florida, but widespread in Central America (NatureServe 2008).

Population Trends:

Population trends are not reported.

Status:

Though this species is widespread in Central America, it is found in just one site in Florida. The state of Florida lists it as endangered as it is considered highly rare (Ward et al. 2003). In Florida, it is ranked as critically imperiled (N1) by NatureServe (2008).

Habitat destruction:

Habitat destruction is a factor in the decline of many epiphytic orchids in the Southern U.S., including *Epidendrum strobiliferum* (McCartney 1985). Development, pollution and siltation generated by agriculture or industry, and logging are among the primary drivers of habitat destruction within this species' range.

Overutilization:

This species is threatened by overexploitation by orchid collectors (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few occurrences are appropriately protected or managed: one population occurs within Fahkahatchee Strand State Preserve in southern Florida. It is listed as endangered by the state of Florida, but this designation does not protect the species from habitat destruction, a primary threat to its survival.

Other factors:

Because the range of this species within the U.S. is already so limited, fragmentation or destruction of suitable habitat is enormously harmful: isolated, small populations are vulnerable to stochastic extinction events and inbreeding depression. *E. strobiliferum* also appears to be

something of a habitat specialist, which makes the protection of its preferred habitat all the more imperative (NatureServe 2008).

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McCartney, Chuck. 1985. South Florida's epiphytic orchids - how healthy are they? Palmetto, Summer 1985, p. 3-5.

NatureServe. 2008. NatureServe Explorer: an online encyclopedia of life. Version 7.1. NatureServe, Arlington, VA. Available online: <http://www.natureserve.org/explorer>. Accessed November 25, 2009.

Ward, D., Austin, D., and N. Coile. 2003. Endangered and threatened plants of Florida, ranked in order of rarity. *Castanea* 68: 160-174.

Scientific Name:

Epioblasma triquetra

Common Name:

Snuffbox

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

NE - Not evaluated

Range:

The snuffbox is a freshwater mussel species endemic to the eastern United States and Canada. It has experienced a drastic reduction in range in recent decades; historically widespread in the upper Mississippi and Ohio River drainages and throughout the Tennessee River system, it is now limited to a much smaller range. Fewer than 50 reproducing populations are known, and the majority of remaining populations are small and geographically isolated (R.J. Neves as cited in NatureServe 2008). Populations are currently known in Alabama (Paint Rock River, Mirarchi 2004, Williams et al. 2008), Arkansas (few sites with very small populations in the White, Spring, and Strawberry Rivers, Harris and Gordon 1987, Harris et al. 1997), Illinois (short reach of the Embarras River, Cummings and Mayer 1997), Indiana (few scattered populations within Wabash River tributaries, Fisher 2006), Kentucky (sporadically found in the upper Green River, Cicerello and Schuster 2003), Michigan (Clinton River, the southeastern portion of Lake Michigan, and the Pine and Belle River basins, Trdan and Hoeh 1993, Strayer 1980, Badra and Goforth 2003), Minnesota (lower St. Croix River, Sietman 2003), Missouri (Bourbeuse, Meramac, and St. Francis Rivers, Oesch 1995), Mississippi (Tennessee River drainage, Jones et al. 2005), Ohio (Scioto River tributaries, Big Darby Creek, Watters 1992, 1995), Ontario (Sydenham River, possible small population in lower reaches of the Thames River, Metcalfe-Smith et al. 2003, Cudmore et al. 2004), Pennsylvania (Muddy Creek in the Erie National Wildlife Refuge, Mohler et al. 2006), Tennessee (few areas throughout the Clinch, Powell, North and South Fork Holston, lower Nolichucky, Little, Elk, Duck, Cumberland, Obey, and Tennessee Rivers, Parmalee and Bogan 1998), West Virginia (locations unreported), and Wisconsin (Wolf and St. Croix drainages, WI NHP 2007 as cited in NatureServe 2008). It is likely extirpated from New York, though two empty shells (the first state records since 1950) were recently collected in the Towanda Creek Basin (Strayer and Jirka 1997, Marangelo and Strayer 2000).

Habitat:

The snuffbox is generally found in medium-sized and large rivers with swift current and rock or sand substrate, often buried in the river bottom (Baker 1928). It is intolerant of poor water quality: the sites where it remains show little disturbance to substrate or nearby riparian areas (NatureServe 2008).

Ecology:

Known glochidial host species include the Ozark sculpin, *Cottus hypselarus*, blackspotted topminnow, *Fundulus olivaceus*, banded sculpin, *Cottus carolina*, blackside darter, *Percina maculata*, mottled sculpin, *Cottus bairdi*, and the logperch, *Percina caprodes* (Hill 1986, Sherman 1993, Yeager and Saylor 1995, Hove et al. 1998, 2003, Hillegrass and Hove 1997, Barnhart and Baird 1998, Hove et al. 2000, Hove and Kapuscinski 1998, Watters et al. 2005).

Populations:

There are less than 50 extant reproducing occurrences of this mussel; NatureServe (2008) reports that "several dozen occurrences remain." Total population size is unknown, but it is thought that there are at least 2500 individuals. This mussel has become "increasingly rare" and many remaining populations are small (NatureServe 2008). The extant distribution of the snuffbox is widespread, but greatly fragmented. NatureServe (2008) states: "It was historically widespread in the Midwest. . . In Minnesota, this species has been extirpated from the Mississippi River below St. Anthony Falls (Sietman, 2003). It was recently documented in the Fox River basin in Illinois by a single weathered valve in Nippersink Creek with no specimens on the Wisconsin side of the basin (Schanzle et al., 2004). The species has not been collected alive in New York (historically known from Niagara River, Lake Erie, Buffalo River) since 1950 (Strayer and Jirka, 1997) but spent shells have been found recently. Historically in Canada it occurred in Ontario in Lake St. Clair, Lake Erie, the Thames, Grand, Niagara, Ausable, and Soudan Rivers but has been extirpated from all but the latter two (Metcalf-Smith and Cudmore-Vokey, 2004)."

Population Trends:

Numerous sources report that this species has experienced major reductions in overall range and abundance and that declines are continuing (e.g., NatureServe 2008, Parmalee and Bogan 1998). NatureServe (2008) estimates that this species has declined by up to 50 percent in the long-term and by up to 30 percent in the short-term. The long-term viability of most populations is questionable.

Status:

NatureServe (2008) ranks the snuffbox as critically imperiled in Alabama, Arkansas, Illinois, Indiana, Kentucky, Michigan, Mississippi, Missouri, Ohio, Pennsylvania, Virginia, and Wisconsin, imperiled in Minnesota, West Virginia, and Ontario, vulnerable in Tennessee, and reports that it is extirpated from Kansas and New York. It is listed as endangered in Indiana, Kentucky, Virginia, West Virginia, Illinois, Michigan, Mississippi, and Wisconsin, and as threatened in Ohio and rare in Missouri (Cummings and Mayer 1992). It is endangered in Canada. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Across its range, the habitat of the snuffbox is threatened by many factors. Impoundments reduce water flow that is necessary for essential physiological activities such as feeding, waste removal, and reproduction, and may also reduce dissolved oxygen levels, increase siltation, disrupt population connectivity, and interfere with host-fish dispersal and migration (NatureServe 2008). Many sources cite impoundment as a threat to this species (Carman and Goforth 2000, Kentucky Department of Fish and Wildlife Resources 2005, Michigan Dept. of Natural Resources 2006).

The snuffbox is also known to be threatened by habitat fragmentation, dredging, channelization, riparian zone removal for agriculture, forestry, or development, gravel and sand mining, coal mining, oil and gas drilling, grazing, and recreation (Arkansas Game and Fish Commission 2005, Kentucky Department of Fish and Wildlife Resources 2005, Michigan Dept. of Natural Resources 2006, Pennsylvania Fish and Boat Commission 2010).

This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic

macroinvertebrates (Wood 2009).

Concerning threats to this mussel in Pennsylvania, for example, the Pennsylvania Fish and Boat Commission (2010) states: “[T]he lock and dam system in the Allegheny and Ohio Rivers, combined with maintenance/commercial sand and gravel dredging have destroyed Snuffbox habitat, eliminated habitat continuity and genetically isolated upstream subpopulations from other subpopulations. Large impoundments such as the Allegheny Reservoir, Pymatuning Reservoir and Shenango River Lake have also destroyed Snuffbox habitat and eliminated genetic/host connectivity to downstream subpopulations. . . Sedimentation from oil and gas developments, forestry and agricultural practices could have an adverse effect on mussel/host interactions. The Snuffbox uses a unique strategy (fish capture) to lure hosts and transmit glochidia (parasitic larvae phase of freshwater mussels). Excessive turbidity associated with increased sedimentation would likely alter host numbers or behavior and reduce Snuffbox recruitment.”

Inadequacy of existing regulatory mechanisms:

Though some populations occur in areas that are ostensibly protected by designation as a wildlife sanctuary or natural area, even these protected areas are not immune to watershed-level disturbances (e.g., pollution, siltation, impoundment, or diversion) and should not be considered adequate to restore or sustain effective populations.

No existing regulatory mechanisms adequately protect the snuffbox from the habitat loss and degradation that endangers it. Though this mussel is listed by several states, these designations do not provide regulatory protection for the species' habitat.

Other factors:

Several other factors threaten the continued existence of the snuffbox. Water pollution is a dire threat to this species. Known causes of pollution in snuffbox habitat include siltation from impoundments, logging, agriculture, dredging, mining, and development, chemical pollution from mining, urban runoff, and agriculture, waste water discharge, and accidental chemical spills, and nutrient loading from grazing, confined animal feeding operations, and urban development (Carman and Goforth 2000, Arkansas Game and Fish Commission 2005, Kentucky Department of Fish and Wildlife Resources 2005, Michigan Dept. of Natural Resources 2006). Acute or chronic pollution events could destroy isolated populations of this species (Pennsylvania Fish and Boat Commission 2010).

Invasive species, such as the zebra mussel, *Dreissena polymorpha*, and the Asian clam, *Corbicula fluminea*, also threaten the snuffbox (Neves 1999, Michigan Dept. of Natural Resources 2006, NatureServe 2008). Zebra mussels are known to have destroyed the Lake Erie subpopulation and to have colonized the Allegheny River, Ohio River, and French Creek. Ongoing snuffbox mortality from zebra mussel infestation is expected (Pennsylvania Fish and Boat Commission 2010).

Declines in glochidial host species may threaten the snuffbox in parts of its range (Carman and Goforth 2000, NatureServe 2008).

The snuffbox is also threatened by stochastic genetic and environmental events due to isolated population distribution, small population size, and low gene flow (Kentucky Department of Fish and Wildlife Resources 2005, Pennsylvania Fish and Boat Commission 2010).

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Scientific Name:

Erimystax harrisi

Common Name:

Ozark Chub

G Rank:

G3

AFS Status:

Vulnerable

Range:

The Ozark chub occurs in the St. Francis and White river drainages of southern Missouri and northern Arkansas. The Current and Jack Fork rivers are considered to be this species' stronghold (NatureServe 2008).

Habitat:

This fish generally occurs in large, medium-gradient, moderately clear streams and rivers with clean gravel bottom where it prefers riffle, run, and flowing pool habitats over gravel or rubble (Page and Burr 1991). It is often found in shoal areas with moderate flow (Lee et al. 1980).

Populations:

In Arkansas, Robison and Buchanan (1988) mapped approximately 44 collection sites for this fish from 1960-1987. In Missouri, Pflieger (1997) mapped 45-50 collection sites. Total population size is unknown. This fish is reported as common in appropriate habitat.

Population Trends:

The Ozark chub has declined in the short-term by 10-30 percent (NatureServe 2008). In Missouri, it was formerly widespread in most of the larger streams in the southern Ozarks, but drastic declines have occurred in the White, Black, and St. Francis rivers since dams were erected (Pfleiger 1997).

Status:

NatureServe (2008) categorizes this species as vulnerable in Arkansas and as not rated in Missouri. It is classified as vulnerable by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and degradation.

Habitat destruction:

Impoundment is a primary threat to the Ozark chub. Drastic population declines occurred in the White, Black, and St. Francis rivers in Missouri following construction of reservoirs (Pfleiger 1997). The chub is intolerant of turbidity and siltation (Robison and Buchanan 1988) and remaining populations are thus threatened by a variety of activities. The Arkansas Game and Fish Commission (2005) report that this fish is threatened by sedimentation from grazing, resource extraction, and road construction. The Missouri Dept. of Conservation (2010) reports that fish in the White River watershed are threatened by urbanization, livestock grazing, gravel mining, and reservoir operations. Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species.

Other factors:

The chub is threatened by water pollution from siltation (NatureServe 2008).

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Scientific Name:

Eriocaulon koernickianum

Common Name:

Small-headed Pipewort

G Rank:

G2

Range:

Also known as the dwarf pipewort or gulf pipewort, *E. koernickianum* is restricted to small areas of the Interior Highlands portion of Arkansas and Oklahoma, adjacent coastal plains of Oklahoma and Texas, and a disjunct area of the Georgia Piedmont. In Texas, this species is reported from Anderson, Henderson, Limestone, van Zandt, and Gillespie Counties (extirpated from Brazos County, Poole et al. 2007). In Oklahoma, there are current reports from Pushmataha and Atoka Counties (extirpated from Muskogee County), in Arkansas, it is found in Calhoun, Frankling, Johnson, Madison, Pope, Saline, and Van Buren Counties (believed extirpated from Benton, Conway, Logan, Montgomery, and Pulaski Counties), and in Georgia, *E. koernickianum* is considered extant in Clarke, DeKalb, Greene, Gwinnett, Hancock, Meriwether, Newton, Rockdale, and Walton Counties (NatureServe 2008, Smith 1988, Jones and Coile 1988).

Habitat:

Habitat usage varies across the pipewort's range; in Georgia, this species is found in seeps and wet depressions in granite outcroppings, and in Arkansas, Oklahoma, and Texas, it is most often noted in sandy hillside seepage areas, along bog margins, and less often along prairie streambanks. Considered an early successional species, it rarely establishes among dense vegetation, prefers open sites maintained by fire or drought, and is intolerant of shade.

Ecology:

This plant is either an annual or weak perennial, and reproduces by seed only. It appears to be somewhat fire-maintained, at least in the western portion of its range (Watson et al. 1994).

Populations:

Approximately 47 occurrences of this species are believed to be currently extant; 21 historical occurrences could not be confirmed by recent surveys and are therefore considered extirpated. The majority of remaining populations are found in Arkansas and Georgia. Populations are highly variable in size, those in Arkansas are small, while in Oklahoma, *E. koernickianum* may be locally abundant under suitable conditions.

Population Trends:

NatureServe (2008) determined that this species is relatively stable in the short-term, but roughly one-third of historical occurrences have not been recently confirmed, and threats to its long-term persistence are substantial.

Status:

This species is considered vulnerable based on its reliance on disturbance, low genetic variability, and low recruitment at some sites. NatureServe (2008) ranks *E. koernickianum* as critically imperiled in Georgia, Oklahoma, and Texas, and imperiled in Arkansas. It is state listed as endangered in Arkansas, as a species of special concern in Georgia, and was previously a federal candidate species.

Habitat destruction:

Threats to this species' habitat include drainage, impoundment, or other hydrological alterations, fire suppression, and residential and agricultural development (NatureServe 2008). Fire suppression has been linked with population loss in Oklahoma (Watson et al. 1994). Off-road vehicle (ORV) recreation is a threat in some places (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Many occurrences of this species are found within the Ozark National Forest, and these may be protected if properly managed. In other locations, no existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Eriocaulon nigrobracteatum

Common Name:

Black-bract Pipewort

G Rank:

G1

Range:

This recently described species is endemic to the Gulf Coastal Lowlands in the east-central Florida panhandle: natural heritage records indicate the species is present in Bay, Calhoun, and Gulf Counties (NatureServe 2008, Atlas of Florida Vascular Plants 2008).

Habitat:

This species is restricted to deep sapric soils within acidic mires; little wood or Sphagnum moss is present in the muck it seems to prefer. Within these fen habitats, black-bract pipewort is abundant in water-saturated areas (Orzell and Bridges 1993).

Ecology:

E. nigrobracteatum is a perennial herb that forms dense clumps of short, linear leaves. It blooms in March and April (NatureServe 2008). This species reproduces vegetatively.

Populations:

Eleven occurrences are reported in Orzell and Bridges (1993). Total global population size is not reported, but NatureServe (2008) estimates that there are fewer than 2500 individuals rangewide.

Population Trends:

Population trends are unreported but based on habitat loss and degradation, this species is likely in decline (NatureServe 2008).

Status:

This species is endemic to a small range in the Florida panhandle, within which it occupies a narrow range of environmental conditions. NatureServe (2008) ranks the black-bract pipewort as critically imperiled. It is also state-listed as endangered in Florida.

Habitat destruction:

Anthropogenic alteration of habitat or area surrounding habitat is detrimental to this species: diversions or dams, pollution, and siltation generated by agriculture, industry, or development are among the primary threats to the black-bract pipewort and its habitat (NatureServe 2008). This species may be shaded out by shrubby undergrowth that is able to establish because of fire suppression or other anthropogenic changes to habitat.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that no occurrences are appropriately protected or managed, and it seems clear that no existing regulatory mechanisms adequately protect the black-bract pipewort. Though it is listed as endangered in Florida, this designation offers the species no substantial regulatory protections and cannot be deemed sufficient to ensure persistence or recovery.

Other factors:

The pipewort is threatened by siltation from a variety of activities.

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Scientific Name:

Etheostoma bellator

Common Name:

Warrior Darter

G Rank:

G2

AFS Status:

Vulnerable

Range:

The warrior darter is endemic to the Black Warrior River system above the Fall Line in the Sipsi Fork, Mullberry Fork, Locust Fork and Valley Creek (Boschung and Mayden 2004). In the Locust Fork, the warrior darter is "currently only known from three miles of Mill Creek" and recent efforts to collect the species in two historic locations were unsuccessful (Kuhajda 2004). In the Sipsi Fork, it is known only from the Fork itself and three tributaries and is presumed extirpated in the lower Sipsi Fork by Lewis Smith Reservoir (Ibid.)

Habitat:

The warrior darter occurs in small and medium sized streams with bedrock, cobble, or gravel substrates and slack to fast currents (Boschung and Mayden 2004).

Populations:

Boschung and Mayden (2004) mapped approximately 20-30 locations within the warrior darter's highly limited range. It is very uncommon in collections (SFC and CBD 2010).

Population Trends:

Current information on population trend is not available.

Status:

NatureServe (2008) classifies the warrior darter as imperiled. Jelks et al. (2008) list it as vulnerable. Boschung and Mayden (2004) conclude that because "much of the warrior darter's limited habitat is in industrial and suburban areas," the species should be granted "special concern status."

Habitat destruction:

NatureServe (2008) concludes that the warrior darter is "threatened by habitat alteration and modification due to development and impoundments." Jelks et al. (2008) classify the warrior darter as vulnerable because of the present or threatened destruction, modification or reduction of habitat or range. Boschung and Mayden (2004) note that the warrior darters limited habitat is predominated by suburban and industrial areas. Dewatering of streams is a problem, for example populations in Five-Mile Creek were severely impacted by a quarry that dewatered the stream (SFC and CBD 2010). Development from Birmingham threatens a number of populations, as does sod farming in a portion of range (Ibid.) Kuhajda (2008) noted that Mill Creek has "sedimentation problems associated with agriculture and urbanization," and that Sipsi Fork and primarily its tributaries suffers from "some sedimentation associated with poor forestry management."

Inadequacy of existing regulatory mechanisms:

The warrior darter is not protected by any law or regulation and is not currently the subject of any major conservation efforts.

Other factors:

The warrior darter is vulnerable because of a narrow, restricted range (Jelks et al. 2008).

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Kuhajda, B. 2004. Locust Fork Darter. In Mirarchi, R.E., J.T. Garner, M.F. Mettee and P.E. O'Neil (eds.). *Alabama Wildlife Volume Two: Imperiled Aquatic Mussels and Fishes*. University of Alabama Press, Tuscaloosa, AL.

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Suttkus R.D. and R.M. Bailey. 1993. *Etheostoma colorosum* and *E. bellator*, two new darters, subgenus *Ulocentra*, from southeastern United States. *Tulane Studies in Zoology and Botany* 29(1): 1-28.

Scientific Name:

Etheostoma brevirostrum

Common Name:

Holiday Darter

G Rank:

G2

AFS Status:

Endangered

Range:

The holiday darter actually represents a species complex including as many as five distinct forms that are all endemic to the upper Coosa River system of Georgia, Alabama and southeastern Tennessee (Page and Burr 1991, Etnier and Starnes 1993, Boschung and Mayden 2004, Georgia DNR 2009). One form of this darter occurs in Alabama in Shoal Creek and three springs in the Choccolocco Creek system (Boschung and Mayden 2004, Pierson 2004, Freeman and Hagler 2009). The four other forms are found in Georgia in the upper Conasauga system, upper Coosawattee system, and upper Etowah River system (Freeman and Hagler 2009). In the Etowah, one form is found in the upper Etowah River and its tributaries, and another in Amicalola Creek and its tributaries (Ibid.) These various forms likely qualify as distinct population segments and should be considered as such.

The species was recently determined to have dissappeared from lower Shoal Creek in Alabama (Pierson 2004).

Habitat:

The holiday darter occurs in small creeks to moderate sized rivers with cool, clear water and bedrock and gravel substrates, where it is frequently associated with lush growths of river weed (Etnier and Starnes 1993, Boschung and Mayden 2004).

Populations:

A recent review considered the holiday darter to be uncommon in its limited range in the Coosa River System of Alabama and rare in the upper Coosa of Tennessee and Georgia (Boschung and Mayden 2004). Freeman and Hagler (2009) observed that the species can be locally abundant in the Etowah and Conasauga River systems.

The following summary of population status comes from SFC and CBD (2010):

"1) The nominal population in Shoal Creek used to extend down into Choccolocco Creek; existing Shoal Creek populations are fragmented by two or three small impoundments in the Talladega National Forest. It has been relatively common at the type locality since at least 1992. 2) It is moderately common in tributaries and patchily distributed in the mainstem Conasauga River; abundance increases above agricultural areas adjacent to the Cherokee National Forest, TN and upstream into the Chattahoochee National forest, GA. 3) The Coosawattee River population occurs in tributaries above Cartersville Reservoir, NW of Ellijay in upper half of Mountaintown Creek, including the tributary Bear Creek where it is relatively common in limited portions of the creek, and NE of Ellijay in Turniptown and lower Little Turniptown Creeks, and in Rock Creek, but is absent from tributaries of the Cartecay River SE of Ellijay. Total range in the Coosawattee is limited and tributary populations may be fragmented, possibly be reduced water quality of the Ellijay and Cartecay rivers. 4) The Amicalola Creek system population is the most abundant of the five allopatric populations; it is relatively common in tributaries. 5) The uppermost mainstem

Etowah River population is the most restricted population; darters are relatively uncommon, patchily distributed and have a limited range occurring in ca 12-15 stream miles total."

Population Trends:

NatureServe (2008) classify as declining to stable, noting that "trends appear to be highly variable or unknown with some populations declining or possibly extirpated and others possibly stable." Boschung and Mayden (2004) note that "prime habitat" for the holiday darter in Shoals Creek was destroyed by impoundment.

Status:

Jelks et al. (2008) list the holiday darter as endangered in Amicalola and Shoal Creeks, and in the Conasauga, Coosawattee, and Etowah Rivers. Boschung and Mayden (2004) recommend that the species should be of special concern in Alabama. NatureServe (2008) list it as critically imperiled in Alabama and Tennessee and imperiled in Georgia. Etnier and Starnes (1993) state that because of the holiday darter's "restricted range in small portions of only three states, it is likely a candidates for future consideration for Federal protected status." Georgia DNR (2009) consider the species endangered in the state. In Alabama, Pierson (2004) warned that "any further decline in distribution, or reduction in population size, would make species a likely candidate for some level of federal protection." At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was general support for listing the holiday darter as endangered (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) list several populations as endangered based on the present or threatened destruction, modification or curtailment of habitat or range. NatureServe (2008) states that the holiday darter is threatened by logging, road building, impoundments, and any activities which remove riparian cover. Urban sprawl is rampant throughout its range, including houses right along the Coosawattee (SFC and CBD 2010). Freeman and Hagler (2009) identified a number of threats to the holiday darter, stating:

"potential threats to the holiday darter are habitat loss due to excess silt and sediment runoff, reduced water quality and stream impoundment. The holiday darter is a montane species, and poor riparian management practices, including inadequate implementation of Forestry Best Management Practices (BMPs), pose a significant threat to the species. Sedimentation may also result from failure to control erosion from construction sites and bridge crossings. Holiday darters require clean cobble or other stable substrate for spawning, thus excess sediment could inhibit spawning success. Stream degradation results from increased stormwater runoff from developing urban and industrial areas."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) observes that few to several occurrences of the holiday darter are appropriately protected. The species is listed as a priority one species of greatest conservation need in Alabama, as threatened in Tennessee, and as endangered in Georgia. None of these designations, however, provide substantial protection for the species or its habitats.

Other factors:

Jelks et al. (2008) list several populations as endangered because of a narrow, restricted range. This fish is also threatened by water pollution, primarily siltation (NatureServe 2008).

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Scientific Name:

Etheostoma cinereum

Common Name:

Ashy Darter

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

VU - Vulnerable

Range:

The ashy darter is patchily distributed in the Tennessee, Cumberland, and Duck Rivers in Kentucky and Tennessee (Etnier and Starnes 1993). It has been extirpated from Georgia, Alabama, and Virginia (Jenkins and Burkhead 1994). NatureServe (2008) reports that ashy darters have been found in the Big South Fork and Rockcastle rivers in the Cumberland River Watershed in Kentucky and Tennessee, and Buffalo, Little, Emory, and Elk rivers in the Tennessee River Watershed. Powers et al. (2004) noted that degradation of habitats has "fragmented the range of *E. cinereum* into several disjunct populations and eliminated it from many historical localities" and further that populations inhabiting the Cumberland, Duck, and upper Tennessee River drainages show genetic and morphological differences warranting recognition of separate management units. Given these differences it is clear that any one of these units could be considered either a distinct population segment or a significant portion of range.

Habitat:

The ashy darter inhabits clear flowing small to moderate streams, where it appears to require clean gravel and rubble substrates, pools with some current, and cover from undercut banks, boulders or vegetation (Lee et al. 1980, Etnier and Starnes 1993, Jenkins and Burkhead 1994).

Populations:

Powers et al. (2004) report that recent collections of the ashy darter have been restricted to seven river reaches with single specimens found in two additional rivers. Of the three management units, the ashy darter is most in trouble in the upper Tennessee (Powers et al. 2004).

Population Trends:

NatureServe (2008) reports substantial to moderate long term declines, citing Shepard and Burr (1984) to conclude that it "has been extirpated or nearly extirpated from about half of the tributary systems in which it is known to have been extant during the past few decades." Powers et al. (2004) report that between 1993 and 2002, ashy darter in Little River, Tennessee declined from being quite common to "very rare" and identify urbanization as the likely reason.

Status:

Powers et al. (2004) conclude that "The rarity of this species and apparent extirpation from the majority of its former range caused it to be considered threatened in Tennessee (Etnier and Starnes, 1993), a species of special concern in Kentucky (Kentucky State Nature Preserves Commission, 2000), threatened throughout its range by Warren et al. (2000), and a category II candidate species prior to the elimination of the category by U.S. Fish and Wildlife Service (Federal Register, 1996)." NatureServe (2008) lists the species as vulnerable in Kentucky, imperiled in Tennessee and critically imperiled in Virginia. AFS (2008) list this species as endangered and declining in the lower Tennessee River, endangered in the upper Tennessee, and vulnerable in the Duck and upper Cumberland.

Etnier and Starnes (1993) listed the largest populations as being in Big South Fork and Buffalo Rivers in the Tennessee River system. In the Emory and Elk rivers, only single specimens were found, indicating "very small populations may exist there" (see Powers et al. 2004). It is likely that additional populations were extirpated prior to discovery (Etnier and Starnes 1993).

Habitat destruction:

Powers et al. (2004) observe that "habitat degradation is widespread throughout the range of *E. cinereum* and has caused fragmentation into small populations with linear distributions." The ashy darter's dependence on pools with flow makes it particularly sensitive to impoundment and pollution, particularly siltation (Etnier and Starnes 1993). Given these habitat dependencies, the species has experienced substantial declines in response to extensive impoundment and severe siltation related to agriculture, logging and urban sprawl and is now found in a limited number of isolated populations that continue to be threatened by these and other factors (Etnier and Starnes 1993, Powers et al. 2004, NatureServe 2008).

Jelks et al. (2008) lists this fish as endangered and vulnerable because of the "present or threatened destruction, modification, or reduction of a taxon's habitat or range."

The ashy darter is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) noted that "few (1-3) occurrences" are appropriately protected, identifying protection for only the populations in the Big South Fork River, which receives some protection in the "Big South Fork National Rivers and Recreation Area" and in the Emory and Obed River systems in Tennessee, which receive some protection in the Obed National Wild and Scenic River.

The ashy darter is listed as a species of greatest conservation need in Kentucky and as threatened by the state of Tennessee. Neither of these designations provide regulatory protection for the darter's habitat.

Other factors:

AFS (2008) lists the ashy darter as vulnerable and endangered because of "a narrowly restricted range." This fish is also threatened by pollution from a variety of sources (Etnier and Starnes 1993).

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Scientific Name:

Etheostoma forbesi

Common Name:

Barrens Darter

G Rank:

G1

Range:

The barrens darter is limited to a small number of tributaries of the Barren Fork and lower Collins River in Tennessee and may have occurred formerly in the adjacent Duck River system (Page et al. 1992, Etnier and Starnes 1993, NatureServe et al. 2008).

Habitat:

The barrens darter inhabits pools and gently flowing riffles in small streams with cobble substrates (Etnier and Starnes 1993, Hansen et al. 2006)

Populations:

The barrens darter is likely one of the rarest freshwater fishes in North America (Page et al. 1992, Hansen et al. 2006). Surveys in 1994 identified barrens darter at 11 sites in nine streams with the largest population apparently in Charles Creek (Madison 1995). A 2004 survey of ten sites, including nine of the 1994 sites, found the species in eight sites (Hansen et al. 2006). In contrast to the 1994 survey, the species was found in low abundance in Charles Creek in 2004 and was not found in two streams where it occurred in 1994 (Witty and Mud Creeks). Abundances were generally low in both the 1994 and 2004 surveys (Madison 1995, Hansen et al. 2006).

Population Trends:

NatureServe (2008) listed the barrens darter as having a stable short term trend based on surveys by Madison (1995) and Hansen et al. (2006) and as having experienced a moderate to relatively stable long term trend.

Status:

The barrens darter has a very restricted range, where it receives little protection and faces threats from livestock grazing and agricultural water withdrawal and pollution (NatureServe 2008). NatureServe (2008) lists it as critically imperiled and Jelks et al. (2008) list it as threatened. Hansen et al. (2006) conclude "[d]ue to its restricted distribution, small population sizes, and current threats, the Barrens darter warrants consideration for federal listing as an endangered species." At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the barrens darter should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) classify this species as threatened due to habitat loss and degradation. NatureServe (2008) describe increasing threat from agriculture, including increased groundwater withdrawal that is leading to declining stream flows and degraded water quality from siltation and pesticides. Madison (1995) reports that this fish is threatened by heavy silt loads at some sites due to livestock grazing. Bergen et al. (2008) that a population in Mud Creek had low abundance and that the stream appeared to be "suboptimal" because of dewatering and poor water quality. A growth in nurseries in the area has increased water demands and stream withdrawals (SFC and CBD 2010).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) determined that no known locations are appropriately protected. The barrens darter is listed as endangered in the state of Tennessee, but this designation does not provide any protection for the species' habitat.

Other factors:

The barrens darter is potentially threatened by hybridization with the fringed darter (Hansen et al. 2006). AFS (2008) lists the barrens darter as threatened because of "a narrowly restricted range." This species is threatened by pollution from agriculture and cattle grazing (Madison 1995, NatureServe 2008).

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Scientific Name:

Etheostoma maculatum

Common Name:

Spotted Darter

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The spotted darter is a small percid species that currently occurs in Indiana, Kentucky, New York, Ohio, Pennsylvania, and Virginia in two waters of the Allegheny River Drainage, eight waters of the Green River Drainage, one water of the Kanawha River Drainage, six waters of the Ohio River Drainage, and two waters of the Wabash River Drainage (Mayasich et al. 2004). Historically, the species also occurred in the Mahoning and Shonango Rivers, as well as presumably many other waters in the above drainages where the species is presently absent (Ibid.) For example, the species is absent from Big Walnut and Deer Creeks in the Ohio River drainage, portions of the Barrens River and a portion of the Elk River, all in places where they had previously been collected (Ibid.)

Habitat:

This species is found in small to medium-sized rivers in clear, high quality waters in habitat characterized by cobbles or boulders in rapidly flowing, deep riffles (Lee et al. 1980, Page and Burr 1991). Adults overwinter in deeper, slower waters (Kuehne and Barbour 1983).

Ecology:

This invertivorous species feeds primarily on caddisfly larvae and juvenile stoneflies, mayflies, beetles, and water mites (Page 1983). Spawning occurs between late May and July, and females may spawn several times in a season, laying eggs beneath stones in calm waters near their preferred riffle habitat (Page 1983). Males guard developing eggs, and are highly territorial (USFS 2005). Females become sexually mature at 2 years, and lifespan is estimated to be 4 years at most (Page 1983, Kuehne and Barbour 1983, Bart and Page 1992).

Populations:

The spotted darter is still found in French Creek in the Allegheny Drainage, the Walhonding, Olentangy, Blue, and Kokosing Rivers and Big Darby Creeks in the Ohio River, the Russell, Big Pitman, Little Barren, Barren, Gasper and Green River itself and Drakes and Meadow Creeks in the Green River Drainage, the Elk River in the Kanawha Drainage and the East Fork of the White and Tippecanoe River in the Wabash River Drainage (Mayasich et al. 2004, Simon 2005). The species is reported to be sporadic and uncommon throughout its range (Ibid.)

Population Trends:

The spotted darter is no longer found in a number of rivers and streams where it was formerly recorded, including the Mahoning River, Muskingum, Licking, lower Kanawha, Shenango, portions of the Barren and Scioto Rivers and Deer and Big Walnut creeks (Mayasich et al. 2004, Simon 2005). Although one population in Big Darby Creek appears to be expanding, the spotted darter is considered to be declining throughout its range (Simon 2005).

Status:

NatureServe (2008) reports that the spotted darter is critically imperiled in New York, Ohio, and West Virginia, and imperiled in Indiana, Pennsylvania, and Kentucky. It is listed as endangered in

Ohio, threatened in New York, Pennsylvania, and West Virginia, and is a species of special conservation concern in Indiana. Jelks et al. (2008) list the species as threatened and declining.

Habitat destruction:

As evidenced by the loss of many populations, the spotted darter is considered highly sensitive to habitat degradation from sedimentation, impoundment, and channelization (Mayasich et al. 2004, Simon 2005). Simon (2005), for example, concluded:

"The spotted darter occurs in moderate- to large rivers with coarse substrates such as gravel, cobble, and boulder habitats and is extremely sensitive to siltation, which results from watershed disturbance. The spotted darter is among the first darter species to disappear when siltation levels increase (T.P. Simon, unpublished data). The species utilizes interstitial pore spaces for reproduction around large cobble and boulders and seeks refuge from predators beneath rocks. As embeddedness increases from siltation, pore spaces would be unavailable if covered by high silt levels. Poor agricultural techniques, removal of riparian buffer strips along stream margins, and tributary channelization represent the greatest source of increased silt loads in rivers containing *E. maculatum*. Efforts to remove riffle, run, and pool habitats through activities aimed at improving watershed drainage (i.e., channelization) and the removal of bottom substrates by instream cobble and gravel mining could adversely affect the species. The species requires cobble and boulder substrates for refuge. Removal of such habitat components would significantly increase predation rates. Stream impoundment is another habitat alteration that represents a threat to the spotted darter."

Mayasich et al. (2004) report that "reservoir construction on the Barren River and Green River Lakes in Kentucky destroyed spotted darter habitat and fragmented populations in the Green River." They also identified a number of threats for each state in the range of the spotted darter, including impoundment and siltation in Kentucky, "siltation, pollution: animal waste, sewage plant failure, agricultural runoff (pesticides and fertilizers)" in New York, water quality in Ohio, "water quality, pollution: agricultural runoff" in Pennsylvania, and "siltation, pollution: domestic waste, mine drainage, industrial discharge, animal waste, low dissolved oxygen" in West Virginia.

Spotted darters in West Virginia are also threatened by the sedimentation and contamination caused by mountaintop removal, which is credited with the degradation and destruction of approximately 2000 miles of stream habitat in Appalachia to date (US EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Consistent with all of the above, Jelks et al. (2008) classified the spotted darter as threatened because of the present or threatened destruction, modification or reduction of habitat or range.

Disease or predation:

Impoundments alter habitat, and may make conditions more favorable for species that prey on the spotted darter, such as the blackbasses, *Micropterus* spp., temperate basses, *Morone* spp., pikes, *Esox* spp., sculpins, *Cottus* spp., and various minnow or sunfish species. If impoundments are

large enough to support recreational fisheries, introduced game species may also be problematic (Simon 2005). Invasive species have already harmed darter populations in Eastern waterways; the introduction of the round goby, *Neogobius melanostomus*, to the Great Lakes has caused major reductions in native darter populations (USFS 2005).

Inadequacy of existing regulatory mechanisms:

A portion of spotted darter populations occur in streams on the Hoosier and Allegheny National Forests, where they are listed as sensitive species (Simon 2005). This designation, however, does not provide protection for the spotted darter's habitat. Instead, it requires the Forest Service to consider the impacts of their actions on the darter, but not to choose a benign alternative or to stop a project because of impacts to the species. Likewise, the darter is listed as endangered or threatened in several states, but these designations do not provide regulatory protection for the darter's habitat.

Other factors:

Remaining populations of spotted darter are small and isolated and therefore vulnerable to stochastic extinction, inbreeding depression, and other perils that face small populations with low genetic diversity (NatureServe 2008).

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Scientific Name:

Etheostoma microlepidum

Common Name:

Smallscale Darter

G Rank:

G2

AFS Status:

Vulnerable

Range:

The smallscale darter is found in the lower Cumberland River from Stones River to Little River in Tennessee and Kentucky (Etnier and Williams 1989, NatureServe 2008).

Habitat:

The smallscale darter is typically found in fast riffles with gravel, boulder and rubble substrates in generally shallow water (Etnier and Starnes 1993).

Populations:

Populations are found in the Stones, Little, Harpeth and Red River systems (Hendricks and Timmons 2008, NatureServe 2008).

Population Trends:

There is no information available on population trends in smallscale darter.

Status:

NatureServe (2008) classifies the species as critically imperiled in Kentucky and imperiled in Tennessee. The smallscale darter has a small range in tributaries of the lower Cumberland River drainage, Tennessee and Kentucky, where urbanization and impoundments have impacted and threaten populations (NatureServe 2008, SFC and CBD 2010). Jelks et al. (2008) list as vulnerable. It is "deemed in need of management" in Tennessee and a "species of greatest conservation need" in Kentucky. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the smallscale darter should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

The smallscale darter is threatened by impoundment, urbanization and impaired water quality (NatureServe 2008, SFC and CBD 2010). Percy Priest Reservoir, for example, has reduced available habitat in the Stones River system and the Little River has undergone substantial urbanization in recent decades and is considered impaired (Etnier and Starnes 1993, Hendricks and Timmons 2008, SFC and CBD 2010).

Inadequacy of existing regulatory mechanisms:

There are currently no regulatory protections for the smallscale darters.

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SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Etheostoma osburni

Common Name:

Candy Darter

G Rank:

G3

AFS Status:

Vulnerable

IUCN Status:

NT - Near threatened

Range:

The candy darter occurs in the New River drainage in the Ridge and Valley province of Virginia and the Appalachian Plateaus of West Virginia (Jenkins and Burkhead 1994). In Virginia, it is generally distributed only in Big Stony Creek, and may only occur above the gypsum plant at Kimbalton. It has an extremely localized distribution in Laurel Fork of the Wolf Creek system, and a very limited range in the New River. This fish is also known from Reed, Big Walker, Little Stony, and Sinking creeks, and from Spruce and Pine runs, but there have been no recent detections in these streams (Burkhead and Jenkins 1991).

Habitat:

This darter occurs in swiftly flowing water over stone and boulder substrates in cool montane streams. It is associated with rocky, typically clear, cool to warm, small to large creeks. Adults typically occur in silt-free runs, riffles, and swift pockets of current in and around large rubble and boulders (Burkhead and Jenkins 1991). It is also known from fast rubble riffles of small to medium rivers (Page and Burr 1991). This darter may spawn in patches of sand in swift water (Burkhead and Jenkins 1991).

Populations:

Lee et al. (1980) mapped 29 collection sites for this species. Jenkins and Burkhead (1994) mapped 18 sites in Virginia, representing at least several distinct occurrences, but the candy darter may no longer be extant in some of those areas. The West Virginia Division of Natural Resources (WVDNR) (2002) report around 40 collection sites in the state, not all of which represent distinct occurrences. Total population size is unknown, but low numbers are estimated. This darter is described as rare in both Virginia and West Virginia (Jenkins and Burkhead 1994, WVDNR 2002, NatureServe 2008).

Population Trends:

The candy darter is declining in the short-term (decline of 10-30 percent) and has experienced a long-term decline of 25-75 percent (NatureServe 2008). Burkhead and Jenkins (1991) report that the darter is much reduced or absent in most tributaries in Virginia where it was detected from 1940-1970. Chipps et al. (1993) report concern about the darter's status in Deer Creek, Anthony Creek, and the Williams River, and report declines in several streams which supported populations in the late 1970s. Cincotta et al. (2000) report that this darter has been extirpated at some sites, occurs only in low numbers at others, and is declining at some historic locations.

Status:

This rare fish is declining within its limited range where it occurs at low abundance and faces several threats. It is critically imperiled in Virginia and imperiled in West Virginia (NatureServe 2008). It is a Species of Concern federally and in both states. It is categorized as vulnerable by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and narrow range. Jenkins and Burkhead (1994) stated that they previously (Burkhead and Jenkins 1991) may have underrated the jeopardy of this species in Virginia by recommending it for only special concern status; in 1994 they rated it as

endangered or threatened in Virginia due to "localization or extirpation of most populations." At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the candy darter should be listed as threatened or potentially endangered (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) list habitat loss as a threat to this species. The candy darter is particularly vulnerable to habitat loss and degradation because it is sensitive to changes in water quality and temperature (West Virginia Division of Natural Resources (WVDNR) 2002). The primary threats to the candy darter are siltation and turbidity from a variety of anthropogenic activities (Berkman and Rabeni 1987, Burkhead and Jenkins 1991). Stream altering activities such as changes to the stream channel or bank from adding boulders or removing canopy which provide shade and bank stabilization severely negatively impact candy darters (WVDNR 2002). Chipps et al. (1993) report that the darter is absent or much diminished in areas with excessive siltation. The Virginia Dept. of Game and Inland Fisheries (2006) identifies siltation, mine wastes, industrial and municipal effluent pollution, and agricultural and urban runoff as threats to fish in Virginia's northern ridge and valley province. Recreational fishing potentially threatens the candy darter. Burkhead and Jenkins (1991) report that wading by anglers may negatively affect darter populations. The candy darter is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Disease or predation:

The candy darter may be particularly vulnerable to predation due to its bright colors (Page and Swofford 1984, Power 1987). Chipps et al. (1993) report that they did not detect the darter in pools or areas inhabited by large, piscivorous fish and suggest that predation is a limiting factor for this species. Trout stocking likely threatens the candy darter (Kuehne and Barbour 1983, Burkhead and Jenkins 1991, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. It is a Species of Concern federally and in Virginia and West Virginia, but this designation does not confer any regulatory protection.

Other factors:

Other factors which threaten the candy darter include water pollution (Burkhead and Jenkins 1991, Chipps et al. 1993), introduction of predatory fishes (Kuehne and Barbour 1983), and hybridization with *E. variatum*, which has been introduced into the New River above Kanawha Falls (Switzer et al. 2008).

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Scientific Name:

Etheostoma pallididorsum

Common Name:

Paleback Darter

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The paleback darter occurs in the Caddo River drainage in Arkansas. This fish is found upstream from the confluence of the South Fork Caddo River in the main stem of the Caddo and several tributaries including Five Mile Creek, though it has not been recently detected in Five Mile Creek (NatureServe 2008). It is also known from Mayberry Creek, a secondary tributary of the Ouachita River in west-central Arkansas (USFWS 1991).

Habitat:

The darter is associated with quiet shallow pools at the margins of gravel-bottomed, spring-fed streams and rivulets, and generally avoids swift riffles (Lee et al. 1980). It often occurs near vegetation over mud substrates (Robison and Allen 1995). Spawning occurs "in small seepage water in open pastures or wooded areas" (Robison and Allen 1995), usually in temporary water (USFWS 1991).

Populations:

NatureServe (2008) estimates that there are fewer than five populations of this species. Populations are not contiguous. There are seven sites where spawning has been confirmed, and it is thought that there are additional sites (USFWS 1991).

Population Trends:

Trend information is not available for this species.

Status:

The paleback darter has a very restricted range where it is threatened by habitat destruction and water pollution. It is ranked as imperiled by NatureServe (2008) and as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat degradation and narrow range.

Habitat destruction:

Within its very limited range, the paleback darter is threatened by habitat loss and degradation from several factors. The paleback darter is threatened by sand and gravel mining in the upper Caddo River and tributaries (FWS 1991). It is threatened by loss of habitat through channelization, which eliminates much of the shallow backwater areas which are preferred by the species (Robison 2004). It is also threatened by habitat fragmentation caused by road crossings, and destruction of spawning habitat as a result of municipal development (B. Crump, pers. comm., 1995 cited in NatureServe 2008). The Arkansas Game and Fish Commission (2005) lists threats to this species as resource extraction, dams, channel alteration, forestry, and road construction. Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

Disease or predation:

The Arkansas Game and Fish Commission (2005) lists predation as a problem for this species, but does not provide any details.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species, and no occurrences are appropriately protected and managed.

Other factors:

The paleback darter is threatened by sedimentation from sand and gravel mining, forestry, and development, and by chemical pollution from resource extraction (Arkansas Game and Fish Commission 2005). It is also threatened by nutrient inputs from chicken and hog farms (B. Crump, pers. comm., 1995 cited in NatureServe 2008).

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Scientific Name:

Etheostoma pseudovulatum

Common Name:

Egg-mimic Darter

G Rank:

G1

AFS Status:

Threatened

Range:

The egg-mimic darter is known from five tributaries systems of the Duck River, Tennessee, including Piney River, Beaverdam Creek, Happy Hollow Creek, Only Creek, and Little Piney Creek (Ceas and Page 1995, NatureServe 2008).

Habitat:

Like other members of the lollypop darter group, the egg-mimic darter occurs in cool, clear, small to medium gravelly streams, typically beneath overhanging banks in low-gradient areas and often in areas with dense mats of vegetation or tree roots (Etnier and Starnes 1993, Ceas and Page 1995).

Populations:

Ceas and Page (1995) surveyed 43 sites, finding the species in 10 historic and seven new sites. Based on these surveys, they noted that populations in Only, Happy Hollow, and probably Little Piney Creeks "continue to survive on a year-to-year basis but are highly susceptible to extirpation," that the species was likely never abundant in Beaverdam Creek, and that the Piney River populations are the most secure in the long term. NatureServe (2008) cites Peggy Shute (pers. comm., 1998) as having recorded 18 occurrences with "an estimated condition of 20% excellent, 20% good, 40% fair, and 20% poor." In high quality habitat, the species can be common, but has a very restricted range and is found in low abundance in a several of the few systems in which it occurs (Ceas and Page 1995, NatureServe 2008).

Population Trends:

Trend information is not available for this species and there have not been rangewide status surveys since 1995.

Status:

Ceas and Page (1995) note that "since the entire range of the egg-mimic darter encompasses an extremely small area, continuing degradation of the headwater streams may eventually lead to the extirpation of the egg-mimic darter." NatureServe (2008) lists the species as critically imperiled, noting that "populations are highly vulnerable to extinction." AFS (Jelks et al. 2008) lists it as threatened because of present or threatened destruction, modification or reduction of habitat or range and because of a narrow restricted range.

Habitat destruction:

Speaking of the lollypop, crown and egg-mimic darters, Ceas and Page (1995) conclude that: "All have extremely small total ranges, and all inhabit headwater streams. Localized extirpations of populations from various streams may eventually lead to the widescale endangering of these species. Headwaters are continuously being altered by human activities, and all forms of habitat degradation and pollution are detrimental to the natural ecology of streams, especially to the benthic organisms such as darters." They go on to note that diversions, channelization, bedload removal, dams, destruction of riparian vegetation, point and non-point source pollution from domestic, municipal and industrial sources, agricultural pollution from pesticides, herbicides and animal wastes, livestock grazing, logging and other factors

threaten the habitat of the three darters and that specifically bedload removal for gravel is common in the Beaverdam Creek system, which is one of the five tributaries occupied by the egg-mimic darter.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) notes that none of the populations of egg-mimic darter are appropriately protected. It is listed in Tennessee, but this affords no protection to the species' habitat. Ceas and Page (1995) conclude that: "Additional protection could be gained by requiring federal, state, and local agencies to consult with the USFWS when projects they possess knowledge of, fund, carry out, or authorize may negatively affect these species," or in other words listing of the species under the Endangered Species Act.

Other factors:

Jelks et al. (2008) list this species as threatened in part because of a narrow restricted range. The egg-mimic darter is threatened by water pollution from a variety of sources (Ceas and Page 1995).

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Scientific Name:

Etheostoma striatulum

Common Name:

Striated Darter

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The striated darter is confined to tributaries of the Duck River in Bedford, Lewis, Marshall, and Maury counties, Tennessee (Burr et al. 1993, Etnier and Starnes 1993). A survey of 30 sites in 2006 failed to find the striated darter in four historic reaches, including the type locality (Abernathy and Mattingly 2007).

Habitat:

The striated darter occurs in slabrock pools in small to medium, low gradient creeks and shelters under rocks, undercut banks or tree roots (Page 1980, Etnier and Starnes 1993, NatureServe 2008). Males guard eggs, which are deposited on the underside of slabrocks (Page 1980).

Populations:

In total, the striated darter has been collected at 28 locations, but in the most recent surveys has only been found in a slightly more than a third as many sites (Burr et al. 1993, Abernathy and Mattingly 2007). Burr et al. (1993) only found the species at 10 sites. Abernathy and Mattingly (2007) found the species at six of these same sites, could not find the species at four sites, including the type locality, and identified five new reaches where the species was found.

Populations of the striated darter are very rare. Based on their 1996 survey, Abernathy and Mattingly concluded:

"This study reaffirmed that *Etheostoma striatum* is a rare species with a relatively small geographic range. Only 24 adults and 78 juveniles were seen in 11 sites in 2006 and only 26 individuals were seen in 10 sites in the previous (1992) survey."

Population Trends:

NatureServe (2008) concluded that short term trend is unknown, but that "habitat extent and quality probably are still declining," and that the species has experienced a substantial long term decline. In surveys in 1992, this species was detected at only one-third of historical locations (Burr et al. 1993) and in surveys in 2006, the species was not found at four of ten sites where it was previously found (Abernathy and Mattingly 2007).

Status:

Etnier and Starnes (1993) conclude that "although not currently protected by state or federal endangered species legislation, its occurrence in fewer than a dozen creeks in a four-county area makes it extremely vulnerable to significant depletion," further noting that "recent attempts to collect *E. striatulum* from four of these localities have met with no success, making its status very questionable." NatureServe (2008) lists the striated darter as critically imperiled, noting that it is "threatened by habitat degradation resulting from agricultural practices." Jelks et al. (2008) list it as threatened and declining. Abernathy and Mattingly (2007) concluded:

"The combination of low abundance, small geographic range, declining abundance at selected

sites, and anecdotal evidence of degraded stream conditions warrants the attention of biologists and policymakers charged with resource conservation duties in the Tennessee Region. The striated darter should be considered for federal listing under the Endangered Species Act and its state status should be elevated if possible. Periodic monitoring of population trends and interim efforts to restore and protect stream habitat quality would be prudent conservation measures to encourage the persistence of this unique Duck River species."

At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the striated darter should be listed as endangered (SFC and CBD 2010).

Habitat destruction:

NatureServe (2008) concludes that "habitat degradation resulting from agricultural practices pose the greatest threat to this species," and more specifically that "cattle manure being dropped directly into streams is causing severe oxygen depletion," and that "siltation from nonpoint agricultural sources also is a problem." Jelks et al. (2008) list this species as threatened because of the present or threatened destruction, modification or reduction of habitat or range. Abernathy and Mattingly (2007) concluded: that "habitat degradation is occurring in striated darter streams and the species may be suffering as a result," noting that the "darter was not observed at four sites where it was seen in 1992, including the type locality which is in a degraded condition."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) concludes that it is unknown whether any occurrences of the striated darter are appropriately protected. It is listed as threatened by the state of Tennessee, but this designation does not provide any protection for the species' habitat.

Other factors:

Jelks et al. (2008) list the striated darter as threatened because of a narrow, restricted range. Water pollution from agricultural sources also threatens this species. Finally, because the striated darter is an annual species, it is vulnerable to population extirpation caused by lapses in reproduction due to environmental or anthropogenic stochasticity, such as stream dewatering due to drought or withdrawal (Abernathy and Mattingly 2007).

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SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Etheostoma tecumseh

Common Name:

Shawnee Darter

G Rank:

G1

AFS Status:

Threatened

Range:

This fish has one of the smallest known ranges for any darter and occurs only in upland tributaries of the upper Pond River in the Green River system in Christian, Todd, and extreme southeastern Hopkins counties in Kentucky. These headwaters originate on the Dripping Springs Escarpment of the Mammoth Cave Plateau and drain a small part of the Shawnee Hills section of the Interior Low Plateaus Province (Ceas and Page 1997).

Habitat:

The shawnee darter occurs in upland headwater creeks with sand-gravel or pebble-cobble substrates. It is found in riffles, glides, and pools (Burr et al. 2004).

Populations:

There are less than five populations of this fish. Within its single river basin, it is widely distributed and common. This species was detected at 24 of 30 sites sampled in 2002, but these represent a very small number of distinct occurrences (Cicerello and Butler 2007, NatureServe 2008).

Population Trends:

This fish has declined by 10-30 percent (NatureServe 2008).

Status:

This endemic fish is restricted to upland tributaries of a single river system. It is ranked as imperiled (G1S2) by NatureServe (2008) and as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and narrow range.

Habitat destruction:

The Shawnee darter is highly vulnerable to habitat degradation because the flow and water quality of headwater streams is tied more closely to local land use than in larger streams (Cicerello and Butler 2007). Within its extremely limited range, the darter faces threats from multiple land-uses. Agriculture is the primary land-use in the Pond River system, and extensive row crops and pasture fragment upland forests (Cicerello and Butler 2007). Clearing of upland and riparian forests negatively influences water quality and threatens this darter, particularly during drought years, by reducing ground water inflow and raising stream temperature (Ibid.). Cicerello and Butler (2007) state, "We observed several upper Pond River system streams that were reduced to isolated pools during the relatively dry summer of 2002. Some headwater streams normally cease flowing in summer, but conversion of forests to other uses could have increased the duration and extent of zero flow periods." Agricultural run-off, including sedimentation and pesticides, are known threats to sensitive fish. Ceas and Pages (1997) reported declines of this darter related to a tractor fuel spill and the construction of small impoundments. Many streams in the area are impounded for flood control, and others, such as Buck Fork Pond River and Jarrels Creek are channelized (Cicerello and Butler 2007). Impoundments cause increased siltation and population isolation and fragmentation. Numerous populations of this species have been isolated by flood control reservoirs, and movement and gene flow in many streams is precluded, which could

negatively influence the long-term viability of the species (Ibid.). Coal mining also threatens this fish. Broad areas in the region have been surface-mined for coal, leaving abandoned mine lands and highly degraded aquatic habitats. Many streams, including the entire mainstem Pond River, do not support or only partially support their designated uses because of contaminants and other factors such as low pH, PCBs, pathogens, chlorides, and silt (Ibid.). Coal mining occurs in the northern portion of the southern half of the system, and mining has occurred adjacent to lower McFarland and Jarrels creeks and near the confluence of the West and East Forks Pond rivers (Ibid.). Although this species is common within its lone river system, its habitat has been and continues to be degraded and this endemic fish could easily be extirpated by the cumulative impacts of agriculture, logging, coal mining, and impoundment. Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

Disease or predation:

Cicerello and Butler (2007) report that migrating *E. tecumsehi* are threatened by stocked predatory game fishes (e.g., *Lepomis* spp., *Micropterus* spp.).

Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms which protect this fish or its habitat.

Other factors:

The Shawnee darter is threatened by pollution from agriculture and coal mining (Cicerello and Butler 2007).

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Scientific Name:

Etheostoma tippecanoe

Common Name:

Tippecanoe Darter

G Rank:

G3

AFS Status:

Vulnerable

Range:

The Tippecanoe darter has a wide but sporadic distribution in the Ohio River basin, from the Allegheny River and French Creek drainages in western Pennsylvania, Muskingum and Scioto river drainages in Ohio, Tippecanoe River and East Fork White River drainages in Indiana, and the Little Kanawha and Elk rivers in West Virginia south to the Cumberland River drainage in Tennessee and Kentucky (Lee et al. 1980, Cooper 1983, Burr and Warren 1986, Etnier and Starnes 1993, Felbaum 1995, Stauffer et al. 1995, Skelton and Etnier 2000). In Tennessee, this darter occurs in short reaches of the Big South Fork Cumberland, Red, and Harpeth rivers (Skelton and Etnier 2000). Populations which have been reported from the upper Tennessee River drainage, Tennessee and Virginia, and the Duck and Buffalo rivers in the lower Tennessee River drainage, are now known to represent another species, *E. denoncourti* (Stauffer and van Snik 1997, Skelton and Etnier 2000, Kinziger et al. 2001).

Habitat:

This darter uses medium-sized upland rivers and large creeks with moderate gradient and warm water which is usually clear. Adults are found in both shallow and deep, moderate and swift runs and long shallow gravel/sand riffles (Trautman 1981, Burr and Warren 1986, Burkhead and Jenkins 1991). Spawning occurs in areas with gentle current at the heads or tails of clean-swept gravel and pebble riffles in water 8-46 centimeters deep (Lee et al. 1980, Page 1983, Burr and Warren 1986).

Ecology:

Population size of this species exhibits major annual fluctuations (see Burkhead and Jenkins 1991).

Populations:

Skelton and Etnier (2000) coarse-scale mapped approximately 40 collection sites for this species, which may represent more than 20 distinct occurrences. The number of locations (as defined by IUCN) exceeds 10. Total adult population size is unknown. In Kentucky, where most of the best remaining populations are known, Burr and Warren (1986) reported the darter as sporadic and generally uncommon in the Green river, the South and Middle Forks Kentucky river, and the Big South Fork Cumberland River, and as occasional and seasonally common in the middle to lower Licking River. NatureServe (2008) reports that subsequent surveys have found this species to be more common than previously known, though it has been extirpated from many areas.

Population Trends:

NatureServe (2008) reports that the Tippecanoe darter has experienced long-term decline of up to 50 percent, and that it is probably relatively stable to slowly declining in the short-term. It has been eliminated from many areas (Etnier and Starnes 1993).

Status:

NatureServe (2008) ranks the Tippecanoe darter as critically imperiled in Tennessee, imperiled in Ohio, West Virginia, and Pennsylvania, vulnerable in Indiana, and secure in Kentucky. The vulnerable ranking in Indiana may not accurately reflect its status in the state, where it is listed as threatened. This species is discontinuously distributed from the Ohio River basin to the Cumberland River drainage, and is regarded as endangered, threatened, or special concern in all states except Kentucky. Its habitat has declined due to impoundments, siltation, coal mining, and possibly agricultural contaminants. It is state-listed as threatened in Indiana, and Ohio, endangered in Pennsylvania, is a Virginia Species of Greatest Conservation Need, and a Tennessee species in need of management. It is ranked as vulnerable by the American Fisheries Society (Jelks et al. 2008).

Habitat destruction:

Habitat loss and degradation is the primary threat to this species (Jelks et al. 2008). Some populations have been extirpated by impoundments and siltation (Etnier and Starnes 1993). Agricultural runoff, including siltation and possibly contamination, is a chronic limiting factor in some areas (NatureServe 2008). The Tippecanoe darter is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect this species. It is state-listed in Indiana, Pennsylvania, and Ohio, but these designations provide no regulatory protection for the species' habitat. It lacks protective status in Virginia, West Virginia, and Kentucky where it is threatened by pollution from mining and agriculture. NatureServe (2008) reports that at least a few occurrences appear to be adequately protected.

Other factors:

Water pollution is a dire threat to this species.

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Scientific Name:

Etheostoma trisella

Common Name:

Trispot Darter

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

The trispot darter is found in portions of the upper Coosa River system in Alabama, Tennessee and Georgia, including the Conasauga River system above the Coosawatee River and eight of its tributaries, the Coosawatee River and three tributaries below Carters Reservoir, and in at least one tributary to the Oostanaula River system (Etnier and Starnes 1993, Boschung and Mayden 2004, Georgia DNR 2008). It was long believed extinct in Alabama, but was recently found on "protected forest land east of Gadsden, Alabama" (Freeman 2009).

Habitat:

The trispot darter utilizes two distinct habitats (Boschung and Mayden 2004). When not breeding, the darter occupies slack water in the Conasauga and its tributaries, Coahulla and Mill Creeks in association with detritus, logs, sticks and beds of water willow (Ibid.) For spawning, the darter migrates during flooding to seepage waters and small ditches in pastures adjacent to Mill Creek and floodplain forests adjacent to the Conasauga River (Etnier and Starnes 1993, Boschung and Mayden 2004). This requirement for two distinct, but interconnected habitats makes the species highly sensitive to habitat modification.

Populations:

Although populations of the trispot darter may have occurred throughout the Ridge and Valley corridor portion of the Conasauga River, populations are currently restricted to limited areas of a few mainstem rivers and a small number of tributaries (Etnier and Starnes 1993, Freeman 2009).

Population Trends:

The trispot darter is extirpated from portions of its historic range from impoundment and other factors, indicating long-term decline (Boschung and Mayden 2004, Freeman 2009).

Status:

The tripost darter was reclassified from threatened to endangered by Jelks et al. (2008) due to increasing threats to its survival. Boschung and Mayden (2004) conclude, "[b]ecause the trispot darter is so restricted in range, so few in numbers, and needs two distinctly different and interconnecting habitats, it is very vulnerable to any environmental insults that would disrupt its normal life cycle," adding that they "concur with Warren et al. (2000) in recommending endangered status for the trispot darter." NatureServe (2008) lists the species as extirpated in Alabama and critically imperiled in Georgia and Tennessee. At a meeting of the Southeastern Fishes Council and the Center for Biological Diversity, there was consensus that this species should be listed (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) cite the present or threatened destruction, modification, or reduction in habitat or range as a threat to the survival of this species. This fish is known to have been lost from portions of the mainstem Coosa River following impoundment (Etnier and Starnes 1993, Boschung and Mayden 2004, Georgia DNR 2008). Freeman (2009) concluded:

"The greatest threat to the trispot darter is habitat loss and degradation, including loss of access to spawning areas in seepage streams. Dams built on tributary streams and springs and dredging or filling in small seepage streams could eliminate spawning habitat for the trispot darter. Droughts or excessive water withdrawal which de-water spring runs could also lead to reproductive failure."

Although trispot darter have to date remained stable, conditions in the Conasauga River, where the largest population occurs, have declined with Freeman (2009) noting: "declines or apparent loss of some fish species (e.g., the Coosa chub (*Macrhybopsis* sp. cf. *M. aestivalis* and Coosa madtom (*Noturus* sp. cf. *N. munitus*)), decline in the aquatic macrophyte, riverweed, and an apparent increase in algal production."

Freeman (2009) states that this species' habitat needs to be protected from runoff from agriculture and development.

This species is also threatened by urban sprawl from Birmingham in the Little Canoe River area (SFC and CBD 2010).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that it is unknown whether any occurrences are appropriately protected. Freeman (2009) highlights the need to "protect habitat quality in main channel and small tributary streams by eliminating sediment runoff from upland construction, maintaining or enhancing forested buffers along stream banks, eliminating inputs of contaminants, such as fertilizers or other nutrients and pesticides, and maintaining natural patterns of stream flow," but did not suggest any mechanisms for accomplishing these goals. Georgia lists the species as endangered and Tennessee as threatened. Alabama included the darter on its list of species of greatest conservation need, but as extinct. None of these designations provide substantial regulatory protections for the species.

Other factors:

Jelks et al. (2008) list the species as endangered because of a narrow, restricted range. This fish is also threatened by water pollution from agriculture and development (Freeman 2009).

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of some fish species (e.g., the Coosa chub (*Macrhybopsis* sp. cf. *M. aestivalis* and *Coosa madtom*
www.georgiawildlife.com

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SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Etheostoma tuscumbia

Common Name:

Tuscumbia Darter

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Tuscumbia darter occurs in springs and spring runs of the southern bend of the Tennessee River in northern Alabama and formerly Tennessee (Etnier and Starnes 1993, Boschung and Mayden 2004).

Habitat:

The Tuscumbia darter occurs in well vegetated springs with substrates of fine gravel, sand and silt with Boschung and Mayden (2004) concluding, "In degraded habitats, the substrate is silted, much of the vegetation has been lost and replaced with filamentous algae; and the water is often turbid. In healthy habitats, the darter usually occurs in large numbers amid thick mats of native vegetation."

Populations:

Of 26 known populations of the Tuscumbia darter, at least twelve have been lost to impoundments and other causes of habitat destruction and degradation (Etnier and Starnes 1993, Boschung and Mayden 2004, NatureServe 2008). In Tennessee, two known Tuscumbia darter sites were inundated by Pickwick Pool (Boschung and Mayden 2004). In Alabama, 10 of 12 historic sites "are inundated by impoundments or otherwise destroyed or degraded to the point that they not longer support the darter" (Ibid.) Discovery of new sites has led to documentation of a total of 14 populations with Boschung and Mayden (2004) noting that "some springs appear to be in good and stable condition, but others (Tuscumbia and Bradham springs) are in poor condition and support only small populations." This species can be abundant in individual springs (SFC and CBD 2010).

Population Trends:

Many sites of this species have been lost or degraded to impoundment and other factors, indicating long-term decline (Etnier and Starnes 1993, Boschung and Mayden 2004, NatureServe 2008).

Status:

Jelks et al. (2008) list the Tuscumbia darter as threatened. NatureServe (2008) lists the darter as imperiled in Alabama and extirpated in Tennessee, noting that it is "extirpated from roughly half of known sites in Alabama," and that "populations are vulnerable to alteration of spring heads." Kuhajda (2004) concluded:

"Restricted to 14 springs and spring runs in five counties in Alabama, but most springs have some degradation, including removal of aquatic vegetation and water, excessive sedimentation, livestock entering spring, and small impoundments. Have disappeared from almost half of historic springs; seven sites have been inundated by impoundment of Tennessee River, and have disappeared from an additional five springs due to extensive habitat modification."

Habitat destruction:

At least 12 populations of the Tuscumbia darter have been lost to destruction of habitat from impoundments and other factors (Etnier and Starnes 1993, Boschung and Mayden 2004, Kuhajda

2004, NatureServe 2008). Boschung and Mayden (2004) conclude:

"Where spring habitats persist and vegetation is maintained in the spring head area, the darter is able to maintain adequate population numbers. However, the species is extirpated in some springs because of gross habitat modification. Some springs have been converted into fishing ponds, and others have been completely denuded of vegetation by wholesale use of herbicides to 'clear out' the spring."

Jelks et al. (2008) identify the present or threatened destruction, modification or reduction of habitat or range as a reason for classifying the species as threatened.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) concludes that no occurrences of the Tuscumbia darter are appropriately protected or managed. Alabama considers the species a priority 2 species of greatest conservation need, but this designation does not provide any regulatory protection.

Other factors:

Jelks et al. (2008) identify a narrow, restricted range as a factor in this species being considered threatened.

References:

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Etnier, D. A., and W. C. Starnes. 1993. The fishes of Tennessee. University of Tennessee Press, Knoxville, Tennessee. xiv + 681 pp.

Jelks, H.J., S.J. Walsh, N.M. Burkhead, S. Contreras-Balderas, E. Díaz-Pardo, D.A. Hendrickson, J. Lyons, N.E. Mandrak, F. McCormick, J.S. Nelson, S.P. Platania, B.A. Porter, C.B. Renaud, J.J. Schmitter-Soto, E.B. Taylor, and M.L. Warren, Jr. 2008. Conservation Status of Imperiled North American Freshwater and Diadromous Fishes. Fisheries, V. 33(8): 372-407.

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Mayden, R. L. 1994. Tuscumbia darter population status. Annual report to Alabama Department of Conservation and Natural Resources, Montgomery, Alabama.

SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Eumeces egregius egregius

Common Name:

Florida Keys Mole Skink

G Rank:

T2

Range:

The Florida Keys Mole Skink has a restricted range in southern Florida. It is the southernmost of the mole skink subspecies. It occurs in Dry Tortugas and Lower Keys in Monroe County. It might occur in Upper and Middle Keys, and in Key West, Middle Torch Key, Key Vaca, Stock Island, Big Pine Key, Grassy Key, Upper Matecumbe, and Saddlebunch. Intergrade forms occur on Key Largo. It may no longer be extant on Indian Key (Lazell 1989, Christman 1992, NatureServe 2008).

Habitat:

The Florida Keys Mole Skink usually occurs near the shoreline in sandy areas where it burrows into soil. It likely requires fairly loose soil. It uses stones, debris, driftwood, and tidal wrack for cover (Christman 1992, Conant and Collins 1991).

Ecology:

Mole Skinks reach sexual maturity after one year. They mate in winter; after which the female lays three to seven eggs in spring in a shallow nest cavity less than 30 cm below the surface. The eggs incubate for 31 to 51 days, during which time the female tends the nest (http://www.redorbit.com/education/reference_library/reptiles/mole_skink/760/index.html).

Populations:

NatureServe (2008) estimates that there are from 6-20 populations of Florida Keys Mole Skink distributed on at least seven islands in the Florida Keys. Total population size is unknown. It was once thought to be locally common, but Lazell (1989) regarded this lizard as genuinely rare and probably endangered.

Population Trends:

The Florida Keys Mole Skink has experienced a decline of 10-30 percent and elemental occurrences are probably decreasing (NatureServe 2008).

Status:

The Florida Keys Mole Skink is imperiled (T2S2) in Florida (NatureServe 2008). It is considered to be a Species of Special Concern by the State of Florida.

Habitat destruction:

NatureServe (2008) reports that habitat loss and degradation due to development is a major threat for the Florida Keys Mole Skink, and that it is "highly threatened by increasing development." The Florida Dept. of Environmental Protection reports that the Florida Keys has been a fast-growing area since the middle of the 20th Century and remains very vulnerable to habitat conversion for development (http://www.dep.state.fl.us/cmp/programs/files/final_proj_flkeys_08.pdf). The Florida Wildlife Conservation Commission reports that the transitional/disturbed habitat with which the species is associated is threatened by degradation, altered fire and hydrologic regime, pollution, fragmentation, development, conversion to agriculture, logging, mining, and recreation (myfwc.com/docs/WildlifeHabitats/Legacy_Disturbed.pdf). The pine rockland habitat with which this species is associated are highly threatened by habitat conversion, landscape alteration, altered

fire regime, roads, and development

(http://myfwc.com/docs/WildlifeHabitats/Legacy_Pine_Rockland.pdf). This species' beach habitats are very highly threatened by disturbance, degradation, and recreation, and are highly threatened by erosion, altered soil structure, and sea level rise (http://myfwc.com/docs/WildlifeHabitats/Legacy_Beach.pdf).

Overutilization:

Overcollection by herpetological enthusiasts is a major threat to the Florida Keys Mole Skink (NatureServe 2008). Christman (1992) noted that amateur collecting on the National Key Deer Wildlife Refuge should be controlled. NatureServe (2008) notes that the state ban on collection except by scientific permit needs to be enforced.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this subspecies. The skink is a Species of Special Concern in Florida, but this designation does not convey regulatory protection. This lizard occurs at Fort Jefferson National Monument, at Bahia Honda SRA, and it might occur on Key Deer and Crocodile Lakes National Wildlife Refuges.

Other factors:

The Florida Center for Environmental Studies reports that the Florida Keys Mole Skink is in danger of extinction from sea level rise due to global climate change (<http://www.ces.fau.edu/floc/presentations/presentations.php?id=14>).

References:

Christman, S. P. 1992. Florida Keys mole skink EUMECES EGREGIUS EGREGIUS (Baird). Pages 178-180 in P. E. Moler, editor. Rare and endangered biota of Florida. Vol. III. Amphibians and reptiles. Univ. Press of Florida.

Conant, R. and J. T. Collins. 1991. A field guide to reptiles and amphibians: eastern and central North America. Third edition. Houghton Mifflin Co., Boston, Massachusetts. 450 pp.

Florida Center for Environmental Studies. 2008. Between the Devil and the Deep Blue Sea: Characteristics of Terrestrial Climate Sensitive Species. Harris, L., R. Noss, T. Hootor, M. Volk, S. Beyeler, J. Oetting, J. Weiss, and J. Overpeck.
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Florida Wildlife Conservation Commission. 2008. Wildlife Habitats: Legacy Beach.
http://myfwc.com/docs/WildlifeHabitats/Legacy_Beach.pdf

Florida Wildlife Conservation Commission. 2008. Wildlife Habitats: Legacy Disturbed.
myfwc.com/docs/WildlifeHabitats/Legacy_Disturbed.pdf

Florida Wildlife Conservation Commission. 2008. Wildlife Habitats: Legacy Pine Rockland.
http://myfwc.com/docs/WildlifeHabitats/Legacy_Pine_Rockland.pdf

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Scientific Name:

Eupatorium paludicola

Common Name:

No common name

G Rank:

G2

Range:

Recently described (2007), this species is found along the Atlantic Coastal Plain of North and South Carolina. Natural heritage records exist for Onslow and Scotland Counties, North Carolina, and Marlboro County, South Carolina (NatureServe 2008).

Habitat:

This species occurs in isolated wet Coastal Plain depressions, including clay-based Carolina bays. Its habitat is typically inundated in the winter and spring, and drier in summer and fall, though sites may remain flooded throughout the growing season. This plant prefers habitat that is generally open, with no shrub layer and few trees, though it sometimes occurs with pond cypress, *Taxodium ascendens* (LeBlond et al. 2007).

Populations:

Eight occurrences of this plant are currently known: five in North Carolina, two in South Carolina, and one on the border between the states. Population sizes are not reported, and researchers do not anticipate that many new populations will be discovered since surveys throughout potential habitat have been extensive (LeBlond et al. 2007).

Population Trends:

Population trend has not been reported for this recently described species.

Status:

This recently described plant is known only from eight locations, has high habitat specificity, and is dependent on consistent hydroperiods. It is possibly threatened by hybridization with *E. mohrii*. NatureServe (2008) has not yet ranked this species.

Habitat destruction:

This plant is very sensitive to changes in hydrology (LeBlond et al. 2007).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

Other factors:

Hybridization with the widespread species *Eupatorium mohrii* has been observed at some locations, and may outnumber pure *E. paludicola*; such assimilation represents a major threat to this species' genetic integrity (LeBlond et al. 2007).

References:

LeBlond, R. J., E. E. Schilling, R. D. Porcher, B. A. Sorrie, J. F. Townsend, P. D. McMillan, and A. S. Weakley. 2007. *Eupatorium paludicola* (Asteraceae): A new species from the coastal plain of North and South Carolina. *Rhodora* 109(938): 137-144.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: August 11, 2009)

Scientific Name:

Euphyes dukesi calhouni

Common Name:

Dukes' Skipper

G Rank:

T2

Range:

E. d. calhouni is known from at least seven counties in the northern Florida peninsula. NatureServe (2008) reports that this subspecies may become elevated to a full species. Should that occur, the Center hereby petitions for either the subspecies or the species.

Habitat:

The calhouni subspecies of E. dukesi inhabits sedge patches within swamps, which may be cypress, gum, red maple or mixed canopy (NatureServe 2008).

Populations:

There are seventeen known occurrences of this butterfly.

Population Trends:

According to NatureServe (2008), this species is declining by 10-30 percent in the short-term due to loss of habitat to urbanization and other uses.

Status:

NatureServe (2008) ranks Duke's skipper as an imperiled subspecies (T2).

Habitat destruction:

Duke's skipper is threatened by conversion of its wetland habitat to development and other uses (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. NatureServe (2008) reports that it is unknown if any occurrences are protected. Minno (1994 in Deyrup and Franz) specifically points out the inadequacy of wetland regulations for protecting specialized species such as this one.

Other factors:

This butterfly is threatened by chemicals sprayed in mosquito control efforts.

References:

Deyrup, Mark and Richard Franz. 1994. Rare and Endangered Biota of Florida, Vol. IV: Invertebrates. R. E. Ashton Jr. (series ed.). University of Florida Press, Gainesville, FL. 798 pp.

Shuey, John A., 1996. Another new EUPHYES from the southern United States Coastal Plain (Hesperiidae). Journal of the Lepidopterists' Society 50(1): 46-53.

Scientific Name:

Euphyes pilatka klotsi

Common Name:

Palatka Skipper

G Rank:

T1

Range:

This butterfly is known from the following Florida Keys: Big Pine, Big Torch, Cudjoe, No Name, Sugarloaf, Stock Island (NatureServe 2008). Its total range is less than 100-250 square km (less than about 40 to 100 square miles).

Habitat:

This species is found in tropical pinelands and sawgrass marshes at the edges of mangroves with substantial sawgrass (Minno, in Deyrup and Franz, 1994).

Populations:

Population information has not been quantified for this rare species. Marc Minno (pers. co, to D. Schweitzer, cited in NatureServe 2008) searched for it in the lower Keys nearly every month from August 2006 to January 2008 and only found nine adults and a few larvae.

Population Trends:

Less than 10 adults of this species have been recently detected.

Status:

In 1994 the Palatka skipper was already considered to be Threatened (Minno in Deyrup and Franz 1994) and it is much rarer now. Fewer than 10 adults of this species were detected from 2006-2008 (NatureServe 2008). It is critically imperiled, and is a Species of Greatest Conservation Need.

Habitat destruction:

This butterfly is threatened by habitat loss from development and succession (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

This butterfly is a Species of Greatest Conservation Need in Florida, but this confers no habitat protection. NatureServe (2008) reports that it is unknown if any occurrences are protected and states that any protection would have to include restrictions on biocide use as well as land preservation.

Other factors:

This butterfly is threatened by the spraying of biocides for mosquito control (NatureServe 2008).

References:

Deyrup, Mark and Richard Franz. 1994. Rare and Endangered Biota of Florida, Vol. IV: Invertebrates. R. E. Ashton Jr. (series ed.). University of Florida Press, Gainesville, FL. 798 pp.

Minno, Marc C., and Thomas C. Emmel. 1993. Butterflies of the Florida Keys. Scientific Publishers, Gainesville, FL. 168 pp.

Opler, P. A., and A. D. Warren. 2002. Butterflies of North America. 2. Scientific Names List for Butterfly Species of North America, north of Mexico. C.P Gillette Museum of Arthropod Diversity, Department of Bioagricultural Sciences and Pest Management, Colorado State University, Fort Collins, Colorado. 79 pp.

Scientific Name:

Eurybia saxicastellii

Common Name:

Rockcastle Wood-Aster

G Rank:

G1

Range:

This wood-aster is known from reaches of the Rockcastle River in Laurel, McCreary, and Pulaski Counties, Kentucky, and along the Big South Fork River in Scott County, Tennessee (NatureServe 2008, Bailey et al. 2000, USDA Plant Database 2009).

Habitat:

This plant occurs on sandstone boulder or cobble river bars that are flooded in spring, dry in summer, and exhibit a range of successional stages (FNA 2006, Bailey 2000). *E. saxicastellii* is found within the transitional zone between open grassy vegetation on river bars and upslope forests, and may be associated with hazel alder (*Alnus serrulata*), groundnut (*Apios americana*), blue wood aster (*Aster cordifolius*), black birch (*Betula nigra*), sweetgum (*Liquidambar styraciflua*), swamp tupelo (*Nyssa sylvatica*) and various ferns (Campbell and Medley 1989, Shea 1994).

Ecology:

The wood-aster is perennial and flowers August-October (Campbell and Medley 1989).

Populations:

This flower is known from approximately 16 occurrences each in Tennessee and Kentucky, all along the Rockcastle or Big South Fork Rivers. Population sizes are not reported (NatureServe 2008).

Population Trends:

NatureServe (2008) determined that this species is stable in the short term but threats to its habitat are widespread.

Status:

Known from an extremely restricted range, the long-term viability of this plant is threatened by various anthropogenic factors. NatureServe (2008) ranks this species as critically imperiled in both Tennessee and Kentucky. It is state-listed as threatened in Kentucky and endangered in Tennessee.

Habitat destruction:

The rockcastle wood-aster is threatened widely by anthropogenic alterations to regional or local hydrology, timber harvesting, agriculture, and other sediment-generating activities, and locally by road and trail construction and ATV use (KSNPC 2006, Southern Appalachian Species Viability Project 2002, Shea 1994). Habitat protections are vital to this species' conservation because its distribution is so limited.

Inadequacy of existing regulatory mechanisms:

Tennessee occurrences are found within the Big South Fork National River Recreation Area, which may provide minor protection from some threats but not all. Several Kentucky populations occur within the Big South Fork National River Recreation Area or Daniel Boone National Forest, but specific management for this species is likely limited. No existing regulatory mechanisms adequately protect this species or its habitat. This flower is state-listed in both Kentucky and Tennessee, but this

designation does not confer habitat protection.

Other factors:

Invasive exotic plants may threaten *E. saxicastellii* at some sites (NatureServe 2008).

References:

Bailey, C. 2000. Field survey for *Aster saxicastellii* (Rockcastle aster) and *Calamovilfa arcuata* (Cumberland sandgrass). Report submitted to the U.S. Fish and Wildlife Service by Tennessee Department of Environment and Conservation, Division of Natural Heritage.

Campbell, J.J.N., and M.E. Medley. 1989. *Aster saxicastellii* (Asteraceae), a new species from the Rockcastle River bars in southeastern Kentucky. *Sida* 13(3): 277-284.

Flora of North America Editorial Committee. 2006b. *Flora of North America North of Mexico*. Vol. 20. Magnoliophyta: Asteridae, part 7: Asteraceae, part 2. Oxford Univ. Press, New York. xxii + 666 pp.

Jones, R. L. 2005. *Plant Life of Kentucky*. The University Press of Kentucky. 834 pp.

Kentucky State Nature Preserves Commission (KSNPC). 2006. Kentucky Rare Plant Database. Available online:<< <http://eppcapps.ky.gov/nprareplants/>>> (accessed February 1 2010).

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 19, 2010).

Shea, M.M. 1994. Status survey for *Aster saxicastellii*. Cooperative Agreement No. 14-16-0004-89-956, Kentucky Endangered Species Program for the U.S. Fish and Wildlife Service, Asheville Field Office, Asheville, NC.

Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

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Scientific Name:

Eurycea chamberlaini

Common Name:

Chamberlain's Dwarf Salamander

G Rank:

G5

IUCN Status:

DD - Data deficient

Range:

Chamberlain's Dwarf Salamander has been detected in the Piedmont region and central coastal plain of North Carolina, the Piedmont region and upper coastal plain of South Carolina, and also from Alabama, Georgia, and Florida (Harrison and Guttman 2003, NatureServe 2008). To further delineate the range, genetic study is needed on the differentiation of *E. chamberlaini* from *E. quadridigitata*.

Habitat:

Chamberlain's Dwarf Salamander is a semi-terrestrial species that occurs in a variety of habitats but generally occurs in seepage areas near ponds and streams, particularly in upper coastal plain and Piedmont areas (Harrison and Guttman 2003, NatureServe 2008, AmphibiaWeb 2009). Information from 33 records in the files of the North Carolina State Museum of Natural History indicates that they normally occupy the margins of streams or seepages (70 percent) or floodplain or pond sites (30 percent) (A. Braswell, personal communication in AmphibiaWeb 2009).

Ecology:

Chamberlain's Dwarf Salamanders have a biphasic life cycle with semi-terrestrial adults and aquatic eggs and larvae. This species breeds in the winter in North Carolina, but in the fall in South Carolina (AmphibiaWeb 2009). Salamanders might have limited dispersal from upland areas to wetlands for breeding. Brimley (1923) reported that eggs are deposited singly or in groups of 3-6 among downed leaves in seepages and spring areas, and most hatching takes place in March with transformation 2-3 mo later (AmphibiaWeb 2009). Clutch size is likely 35-64 (Harrison and Guttman 2003). It is thought that this species preys on amphipods, ostracods, cladocerans, chironomid larvae, earthworms, several kinds of insects, spiders, pseudoscorpions, mites, ticks, and millipedes (Carr 1940; McMillan and Semlitsch, 1980; Powders and Cate, 1980 in AmphibiaWeb 2009). This salamander has a highly projectile tongue, and is likely a sit-and-wait predator. This species is likely preyed upon by crayfish, predaceous insects, large spiders, small snakes, and birds.

Populations:

There are 21 known sites for this species in North and South Carolina (Harrison and Guttman 2003). Overall population size is unknown.

Population Trends:

The long-term population trend for Chamberlain's Dwarf Salamander is moderately declining to relatively stable (NatureServe 2008).

Status:

Chamberlain's Dwarf Salamander is critically imperiled (S1) in Georgia, vulnerable in North Carolina (S3), and not ranked in Florida and South Carolina (NatureServe 2008). It lacks legal protective status.

Habitat destruction:

The Florida Fish and Wildlife Conservation Commission reports that the seep habitats on which this salamander depends are highly threatened by altered hydrologic regime (myfwc.com/docs/WildlifeHabitats/Legacy_Seepage.pdf, p. 311) and that forested habitats which support this salamander face numerous threats including altered fire regime and community structure, fragmentation, degradation, and conversion (http://myfwc.com/docs/WildlifeHabitats/Legacy_Mixed_Hardwood_Pine.pdf, p. 263).

Florida's Comprehensive Wildlife Conservation Strategy states that this species' habitat is threatened by agricultural conversion, groundwater withdrawal, development, and forestry (www.masgc.org/gmrp/plans/FL%20FWCII.pdf, p. 231).

This salamander is potentially threatened by habitat degradation due to new construction at the Dept. of Energy Savannah River Site (U.S. DOE 2005, http://www.srs.gov/general/pubs/envbul/ea-1538_12-08-05.pdf).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous" (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: "There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranka et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations" (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: "Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species" (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces

the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: "Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranks et al. 1993)" (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007).

Dodd (1997) states: "In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers" (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: "Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park" (p. 180).

Overutilization:

This salamander is potentially threatened by overcollection. Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: "Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available" (p. 183).

Disease or predation:

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, see <http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on

amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and thus potentially threatens this species. In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

Native amphibians in the Southeast potentially face predation pressures from introduced species of fishes and from cattle egrets, armadillos, and wild hogs (Dodd 1997). Amphibian populations can also be negatively affected by increases in populations of native predators such as raccoons (Dodd 1997) and corvids (Liebezeit 2002).

Inadequacy of existing regulatory mechanisms:

Chamberlain's Dwarf Salamander has no legal protective status (AmphibiaWeb 2009).

Other factors:

This salamander is also threatened by pollution, acidification, climate change, invasive species, and synergies between multiple threats. On threats to cave-dwelling organisms, Scott (2004) states: "Subterranean ecosystems, aquatic and terrestrial, are extremely delicate environments with stable, constant temperatures, humidity, air circulation patterns, chemical characteristics, and detrital inputs. Even minor perturbative events can result in large kills of cave fauna. Threats include agricultural, industrial, and residential pollutants, especially pesticides and herbicides (which may simply leach through soils); erosion and siltation caused by destruction of vegetation at sink perimeters; changes in detrital input; pumping of water; collection of fauna; invasive exotic species; and disturbance of fauna or nutrient reserves by spelunkers and divers. Humans have slaughtered entire bat colonies in some caves and caused partial or total abandonment of others, depleting the guano that supplies important nourishment for many cave invertebrates. Degradation of surface habitats may also threaten cave fauna" (p. 77).

Other factors which threaten imperiled amphibian populations in the Southeast include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The

presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

During the past few decades, levels of UV-B radiation in the atmosphere have significantly increased. For amphibians, UV-B radiation can cause direct mortality as well as sublethal effects including decreased hatching success, decreased growth rate, developmental abnormalities, and immune dysfunction (Dodd 1997, AmphibiaWeb 2009: <http://amphibiaweb.org/declines/UV-B.html>).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians.

Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians.

Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Eurycea tynnerensis

Common Name:

Oklahoma Salamander

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The range of the Oklahoma Salamander is poorly understood and needs further research. AmphibiaWeb (2009) states that the distribution of known localities appears to be considerably smaller than the proposed range. This species might be restricted to a small area in eastern Oklahoma, because previously identified populations in Missouri and Arkansas could be a different species (NatureServe 2008).

NatureServe (2008) states: "According to Bury et al. (1980), range includes the drainages of the Neosho and Illinois rivers, Springfield Plateau section of Ozark plateaus of southwestern Missouri (McDonald County), northwestern Arkansas (Benton, Washington, and Carroll counties), and northeastern Oklahoma (Adair, Cherokee, Delaware, Mayes, and Ottawa counties). Petranks (1998) also indicated that the range includes eastern Oklahoma, southwestern Missouri, and northwestern Arkansas. However, preliminary electrophoretic data indicate that *E. Tynnerensis* is restricted to a few counties in eastern Oklahoma; populations in Arkansas and Missouri are not genetically distinct from *E. multiplicata griseogaster* (Wilkinson, in Figg 1991). Johnson (2000) accordingly did not recognize *E. Tynnerenis* as a member of the Missouri herpetofauna."

Habitat:

Oklahoma Salamanders are found in shallow streams with slow current and medium-sized, partially embedded rocks, and also in small springs and seeps with leaf litter and mud and detritus substrate. They are most abundant in areas with high densities of invertebrates (Tumblison et al. 1990, Tumblison and Cline 1997, in AmphibiaWeb 2009). NatureServe (2008) describes their habitat as small, clear, spring-fed streams with temperatures generally below 24 C, at elevations below 305 m, with coarse sand, gravel, or bedrock substrate (Bury et al. 1980). The species is commonly found in gravelly (primarily chert) substrates, in spaces between stones and pebbles in loose, coarse sand under cold swift shallow water. During drought, the salamander seeks refuge below the substrate (references cited by Tumblison et al. 1990). This salamander is associated with Ordovician-Silurian strata (Tumblison and Cline 2003), and may use karst passages to move within or between stream systems (Tumblison et al. 1990).

Ecology:

The Oklahoma Salamander is neotenic, meaning it is an aquatic obligate that reaches sexual maturity while retaining juvenile body form (Conant and Collins 1998). Populations thus consist of permanently aquatic, non-transforming individuals (Petranks 1998). Eggs are laid on the undersides of rocks, and clutch size is estimated at 1-11. Gravid females have been detected in May and November (Moore and Hughes 1939, Trauth et al. 1990, AmphibiaWeb 2009). Oklahoma Salamanders reach sexual maturity in 2-3 yr (Dundee, 1958) at approximately 26 mm SVL (Dundee, 1965b) (AmphibiaWeb 2009). This species might undergo seasonal migrations. AmphibiaWeb (2009) states: "The discovery of subterranean isopods (*Caecidotea* sp.) in the stomachs of two specimens and the location of many

individuals in small, isolated springs distant from a main stream course led Tumblison and Cline (1997) to propose that Oklahoma salamanders may be migrating along subterranean corridors to reach resource-rich habitats on the surface. However, high densities of Oklahoma salamanders in rather atypical habitats might also be interpreted as a sequestering of all individuals into the last remaining moist habitats to survive drought conditions." Oklahoma salamanders are sympatric with cave salamanders (*E. lucifuga*), dark-sided salamanders (*E. longicauda melanopleura*), gray-bellied salamanders (*E. m. griseogaster*), and grotto salamanders (*Typhlotriton spelaeus*). This species preys on dipterans, ephemeropterans, plecopterans, coleopterans, trichopterans, hymenopterans, thysanopterans, odonates, ostracods, isopods, amphipods, decapods, hydracarians, and pulmonates (Tumblison et al. 1990, AmphibiaWeb 2009).

Populations:

Both number of populations and total population size of the Oklahoma Salamander are currently unknown and in need of genetic research. The species was presumably detected at 50 of 213 sites examined in three states (Tumblison and Cline 2003), but there is genetic uncertainty regarding the distribution of this salamander, and it may only occur in Oklahoma (NatureServe 2008).

Population Trends:

NatureServe (2008) states that this salamander is probably stable to slightly declining in population size, area of occupancy, and number/condition of occurrences over the short term, with unknown trend over the long term. Cline and Tumblison (2001) found this species at 50 percent of historic sites in Oklahoma, and reported declines or extirpations from several

Status:

The Oklahoma Salamander is vulnerable in Arkansas and Oklahoma (S3) (NatureServe 2008). It is classified as Near Threatened by the IUCN. It lacks legal protective status.

Habitat destruction:

Dodd (1997) reports that the Oklahoma Salamander is threatened by habitat alteration. NatureServe (2008) states that it is threatened by direct habitat destruction (e.g., flooding by impoundments), and by activities (agriculture, urbanization, stream channelization, gravel removal) that result in silting or pollution of aquatic habitat (Bury et al. 1980). Bury et al. (1980) state: "Individuals are common where there is suitable habitat, but such areas are rapidly being destroyed by construction projects. Other threats are agricultural use, urbanization, and manipulation of the aquatic habitat" (p. 24). Cline and Tumblison (2001) report that this salamander is threatened by graveling operations, siltation from livestock grazing, permanent flooding from damming of streams, and water diversion for livestock and recreation. The State of Arkansas (2008) reports that the species is threatened by nutrient loading from confined animal feeding operations, and by grazing, sedimentation, contaminants, and urban development (http://www.wildlifearkansas.com/materials/updates/04a_amphibian.pdf).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce

and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous” (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranka et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: “Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranka et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: “Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can

negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, see <http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and it is imperative that equipment be disinfected so that research efforts to protect species do not inadvertently introduce this fungus or other pathogens to imperiled amphibian populations. In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

Native amphibians in the Southeast potentially face predation pressures from introduced species of fishes and from cattle egrets, armadillos, and wild hogs (Dodd 1997). Amphibian populations can also be negatively affected by increases in populations of native predators such as raccoons (Dodd 1997) and corvids (Liebezeit 2002).

Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms that protect the Oklahoma Salamander. It was previously listed as Rare in Missouri, but is no longer considered a valid taxon in that state. It is a species of special concern in Arkansas and Oklahoma, but this designation does not provide any protection. NatureServe (2008) reports that one site where this species occurs is at a church camp, which might provide some habitat protection, but not necessarily.

Other factors:

The Oklahoma salamander is threatened by water pollution and may be threatened by other factors which widely threaten southeastern amphibians.

This salamander sometimes uses karst passages. On threats to cave-dwelling organisms, Scott (2004) states: “Subterranean ecosystems, aquatic and terrestrial, are extremely delicate environments with stable, constant temperatures, humidity, air circulation patterns, chemical characteristics, and detrital inputs. Even minor perturbative events can result in large kills of cave

fauna. Threats include agricultural, industrial, and residential pollutants, especially pesticides and herbicides (which may simply leach through soils); erosion and siltation caused by destruction of vegetation at sink perimeters; changes in detrital input; pumping of water; collection of fauna; invasive exotic species; and disturbance of fauna or nutrient reserves by spelunkers and divers. Humans have slaughtered entire bat colonies in some caves and caused partial or total abandonment of others, depleting the guano that supplies important nourishment for many cave invertebrates. Degradation of surface habitats may also threaten cave fauna” (p. 77).

Other factors which threaten imperiled amphibian populations in the Southeast include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats. NatureServe (2008) states that "being permanently aquatic leaves the Oklahoma Salamander particularly vulnerable to alterations in water quality and pollutants."

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Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Fallicambarus burrisi

Common Name:

Burrowing Bog Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Burrowing Bog crayfish is currently known from the Escambia River drainage in Washington County, Alabama, Jackson County, Mississippi and the Chickawawhay River drainage in Green County, Mississippi (NatureServe 2008). In Alabama, the species is known from only two database records from the Escatawpa River system in southwestern Alabama (Mirarchi et al., 2004).

Habitat:

According to Fitzpatrick (1987), *F. burrisi* burrows in saturated sandy soils of hillside Sarracenia bogs. All specimens were found in pitcher plant bogs in the Chichasawhay and Escatawpa drainages of Alabama and Mississippi. It is always burrowing, usually into sandy clay substrate. The burrows exhibit complex branching patterns, and there is usually water running through habitat (except in midsummer). Johnson and Fiegiel (1995) report that this species' bog habitat is turning into a terrestrial environment. The species can be found in ditches and seeps, so it might not be confined to pitcher plant bogs.

Populations:

In Alabama, this species known from only two database records from the Escatawpa River system in southwestern Alabama (in Mobile Bay and Pascagoula drainages) (Mirarchi et al., 2004; appendix 1.2; Schuster and Taylor, 2004; Schuster et al., 2008). There are estimated to be between 6 and 20 total populations (NatureServe 2008) with 100-2500 total individuals.

Status:

NatureServe (2008) ranks this species as imperiled in Mississippi and vulnerable in Alabama. It was a C2 Candidate species under the federal ESA before that list was abolished. It is ranked as threatened by the American Fisheries Society and as vulnerable by the IUCN. Guisasu (2007) states that *F. burrisi* and *F. gordonii* "have not only small ranges, but also apparently very specific and narrow habitat requirements. Both species seem to be found only in pitcher plant bogs." Therefore, "they require special attention from a conservation standpoint."

Habitat destruction:

NatureServe (2008) suggests that removal of pitcher plant bogs is long-term threat. The U.S. Forest Service (2008) reports that in the range of *Fallicambarus burrisi*, "the viability of many of the rare crustaceans is most threatened because of their small ranges. Impacts to habitats that would reduce or extirpate local populations of other taxonomic groups might result in extinction of some crustaceans. Crayfish are somewhat tolerant of desiccation, but permanent conversion of wetlands to pasture or urban uses could eliminate populations and lead to extinctions."

Inadequacy of existing regulatory mechanisms:

Part of the range of this species includes the DeSoto National Forest in Mississippi. No existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Fallicambarus danielae

Common Name:

Speckled Burrowing Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Speckled Burrowing crayfish occurs spottily in Mobile County, Alabama, and Harrison, Jackson, Scott and Stone counties, Mississippi. The exact range and relationships of several populations of this species, and the closely related and environmentally equivalent *F. burris* and *F. byersi* need to be determined (NatureServe 2008).

Habitat:

F. danielae is found almost exclusively in pitcher plant bogs or on the edges of such as a primary burrower (NatureServe 2008). The dominant vegetation in its habitat is *Sarracenia* spp., *Drosera* spp. and other bog species.

Populations:

In Alabama, this species is known from four records from the Mobile and Pascagoula River systems (AL NHP; Mirarchi et al., 2004, appendix 1.2 pub. separately; Schuster and Taylor, 2004; Schuster et al., 2008). Information on population size and trend is not available.

Status:

NatureServe (2008) ranks this species as critically imperiled in Alabama and imperiled in Mississippi. The State of Alabama lists it as a Priority 2 Species of Greatest Conservation Need, while Mississippi considers it a Tier 2 SGCN. It was a Federal C-2 Candidate Species until that list was abolished. It is ranked as vulnerable by the IUCN and as Threatened by the American Fisheries Society.

Habitat destruction:

NatureServe (2010) states that it is likely that this species is undergoing localized declines in areas of urbanization or in areas where there are alterations to the hydrological regime or water pollution. The pitcher plant habitats on which this species depends are apparently being drained for development even when no immediate alternate use is in sight. This species apparently does not survive the destruction/draining of its habitat and is unable to adjust to a modified environment.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that it is unknown whether any occurrences are appropriately protected and managed

This species occurs on the DeSoto National Forest in Mississippi, where it is considered a Forest Service Sensitive Species, but this designation confers only discretionary protection.

Other factors:

Guiasu (2007) reports that *Fallicambarus* species have fairly limited to very limited distributions and are particularly vulnerable intrinsically to decline and extirpation.

NatureServe (2008) reports that *Fallicambarus* species are imperiled by pollution, "including air, water and soil pollution as these species spend time burrowing and in temporary waters."

This crayfish may also be threatened by global climate change. NatureServe (2008) states: "Because burrowing crayfish tend to prefer warmer climates and the milder and shorter winters currently found in southeastern areas of the U.S. and because they live in semi-terrestrial habitats sometimes far removed from permanent water bodies, they are often prevented from expanding their ranges and, theoretically may be susceptible to the effects of global warming."

Competition from introduced crayfish species (*Orconectes rusticus*, *Procambarus clarkii*, *Cambarus robustus*) is considered a threat to the species in this genus (Guinasu 2007).

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Scientific Name:

Fallicambarus gilpini

Common Name:

Jefferson County Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that the total range of *F. gilpini* is less than 100-250 square km (less than about 40 to 100 square miles). It is currently known only from the type locality in Jefferson County, Arkansas (Robison and Allen 1995).

Habitat:

Fallicambarus gilpini digs complex burrows on slopes in seepage areas (NatureServe 2008). The species may prefer flowing groundwater rather than static (Robison and Allen 1995).

Populations:

This species has been collected only once from one location, with three females and no males captured (NatureServe 2008).

Population Trends:

Trend information is not available for this very rare species.

Status:

Fallicambarus gilpini is extremely rare, being known only from the type locality and three specimens (NatureServe 2008). Within Arkansas and globally its status is critically imperiled (NatureServe 2008). It was a Federal C2 candidate species until that list was abolished. It is ranked as vulnerable by the IUCN and as endangered by the American Fisheries Society.

Habitat destruction:

The Arkansas Wildlife Action Plan (2008) reports that this species' habitat is threatened by road construction and hydrological alteration from forestry.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this rare species.

Other factors:

This species is threatened by water pollution from logging activities including herbicides and sedimentation (Arkansas Wildlife Action Plan 2008).

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Scientific Name:

Fallicambarus harpi

Common Name:

Ouachita Burrowing Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

NatureServe (2008) estimates the range of Fallicambarus harpi to be 100-250 square km (about 40-100 square miles). This crayfish is endemic to the southern Ouachitas and is known from 12 sites in Garland, Hot Spring, Montgomery, and Pike counties, Arkansas (Robison and Crump 2004).

Habitat:

The Ouachita Burrowing crayfish digs burrows in grassy areas with a high water table. It is associated with Pinus, Quercus, and Cornus florida at the type locality (Robison and Allen 1995).

Populations:

NatureServe (2008) estimates that F. harpi has 6 - 20 populations with less than 1000 total individuals. It was initially known from only two sites in Pike County, Arkansas (Hobbs and Robison, 1985; Robison and Allen, 1995). The two known localities are within 0.1 miles of each other. It is now known from 12 sites in Garland, Hot Spring, Montgomery, and Pike Counties, Arkansas (Robison and Crump, 2004). Population size is unknown.

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks this species as critically imperiled. AFS now lists it as threatened (Taylor et al 2007) rather than endangered. It was also a Federal C-2 Candidate species until that list was abolished. It is classified as endangered by the IUCN.

Habitat destruction:

According to the Arkansas Wildlife Action Plan (2008), this species faces threats from road construction, which involves habitat destruction and toxic contamination, and recommends that this species be protected from construction activities and herbicide applications.

NatureServe (2008) reports that species in this genus are threatened by habitat destruction and degradation due to habitat modification for agriculture and wetland destruction.

Inadequacy of existing regulatory mechanisms:

This species occurs on the Ouachita National Forest, where it is a Species of Viability Concern (USFS 2005) but this designation provides no regulatory protection. NatureServe (2008) reports that it is unknown whether any occurrences are appropriately protected and managed.

Other factors:

NatureServe (2008) reports that Fallicambarus species are widely threatened by pollution, "including air, water and soil pollution as these species spend time burrowing and in temporary waters."

This species may be threatened by global climate change, "because burrowing crayfish tend to prefer warmer climates and the milder and shorter winters currently found in southeastern areas of the U.S. and

because they live in semi-terrestrial habitats sometimes far removed from permanent water bodies, they are often prevented from expanding their ranges and, theoretically may be susceptible to the effects of global warming" (NatureServe 2008).

This species may also be threatened by competition from introduced crayfish species (*Orconectes rusticus*, *Procambarus clarkii*, *Cambarus robustus*) (Guigas 2007).

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Scientific Name:

Fallicambarus hortonii

Common Name:

Hatchie Burrowing Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

F. hortonii is known only from immediate vicinity of the type-locality in McNairy County, Tennessee (Hobbs 1989).

Habitat:

The Hatchie Burrowing crayfish digs simple shallow burrows in sandy soil (NatureServe 2008). F. hortonii is found in sandy soil with only short herbaceous flora, and small Salix nigra at the water's edge (NatureServe 2008).

Populations:

The only known colony of this species probably does not exceed 100 adults (NatureServe 2008).

Population Trends:

Trend information is not available for this rare species.

Status:

Due to its restricted range and small population, the status of Fallicambarus hortonii is critically imperiled (NatureServe 2008). The State of Tennessee, the American Fisheries Society, and the IUCN list it as Endangered.

Habitat destruction:

Habitat destruction and degradation from agriculture and pollution are the main threats to all Fallicambarus crayfishes, including the Hatchie burrowing crayfish (NatureServe 2008).

Fallicambarus hortonii occurs in the same area in Tennessee as a proposed National Park Service expansion of the Corinth Civil War Battlefield (National Park Service 2004). Increased development and visitation could negatively impact this species' habitat

Inadequacy of existing regulatory mechanisms:

This species is listed as endangered by the state of Tennessee, but this designation provides no regulatory protection for the species' habitat.

Other factors:

This species is potentially threatened by global climate change. NatureServe (2008) states: "Because burrowing crayfish tend to prefer warmer climates and the milder and shorter winters currently found in southeastern areas of the U.S. and because they live in semi-terrestrial habitats sometimes far removed from permanent water bodies, they are often prevented from expanding their ranges and, theoretically may be susceptible to the effects of global warming."

This species is also potentially threatened by competition from introduced crayfish species (Orconectes rusticus, Procambarus clarkii, Cambarus robustus) (Guinasu 2007).

Because of its very limited distribution, this species is particularly vulnerable intrinsically to decline and extirpation (Guinasu 2007).

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Scientific Name:

Fallicambarus petilicarpus

Common Name:

Slenderwrist Burrowing Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

CR - Critically endangered

Range:

NatureServe (2008) states that the range of Fallicambarus petilicarpus is less than 100-250 square km (less than about 40 to 100 square miles). It is known only from the type locality in Union County, Arkansas (Robison and Allen 1995).

Habitat:

This species forms complex burrows in seepage areas (NatureServe 2008).

Populations:

There is only one known site for this species, which is known from only 18 specimens collected on two dates (NatureServe 2008). It is "always rare and never abundant locally."

Status:

NatureServe (2008) ranks this species as critically imperiled. The State of Arkansas classifies it as a Species of Greatest Conservation Need. It was a Federal C-2 Candidate Species before that list was abolished. It is ranked as endangered by the AFS and as critically endangered by the IUCN.

Habitat destruction:

The Arkansas Wildlife Action Plan (2008) reports that the habitat of this species is threatened by habitat disturbance due to road construction, and toxins and contaminants from road construction. The Plan recommends protecting known occurrences from construction activities and herbicide applications.

NatureServe (2008) reports that habitat destruction and degradation are the main threats to all Fallicambarus crayfishes, primarily habitat modification for agriculture and wetland draining and destruction.

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that no occurrences are appropriately protected and managed.

Other factors:

NatureServe (2008) reports that Fallicambarus species are imperiled by pollution, "including air, water and soil pollution as these species spend time burrowing and in temporary waters."

This crayfish may also be threatened by global climate change. NatureServe (2008) states: "Because burrowing crayfish tend to prefer warmer climates and the milder and shorter winters currently found in southeastern areas of the U.S. and because they live in semi-terrestrial habitats sometimes far removed from permanent water bodies, they are often prevented from expanding their ranges and, theoretically may be susceptible to the effects of global warming."

Competition from introduced crayfish species (Orconectes rusticus, Procambarus clarkii,

Cambarus robustus) is considered a threat to the species in this genus (Guiasu 2007).

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Scientific Name:

Fallicambarus strawni

Common Name:

Saline Burrowing Crayfish

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Saline Burrowing crayfish is known from small portions of the Red and Ouachita River drainages in Howard, Pike, and Sevier counties, Arkansas.

Habitat:

Fallicambarus strawni inhabits seeps in elevated areas in pine woods, and digs complex but shallow burrows in saturated sand-clay soils (NatureServe 2008).

Ecology:

According to NatureServe (2008) this species is a primary burrower.

Populations:

This species is known from 13 locations. Total population is estimated at 1000-2500 individuals (NatureServe 2008).

Population Trends:

Trend is unknown.

Status:

The State of Arkansas classifies this crayfish as a Species of Greatest Conservation Need. It is ranked as critically imperiled by NatureServe (2008) and as vulnerable by the IUCN. The American Fisheries Society classifies this species as threatened.

Habitat destruction:

According to the Arkansas Wildlife Action Plan (2008), F. strawni is threatened by habitat destruction due to road construction. The plan recommends protecting known occurrences from construction activities.

NatureServe (2008) reports that habitat destruction and degradation are the main threats to all Fallicambarus crayfishes, primarily habitat modification for agriculture and wetland draining and destruction.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

Other factors:

According to the Arkansas Wildlife Action Plan (2008), F. strawni is threatened by exposure to toxics from efforts to control other species' populations.

NatureServe (2008) reports that Fallicambarus species are imperiled by pollution, "including air, water and soil pollution as these species spend time burrowing and in temporary waters."

This crayfish may also be threatened by global climate change. NatureServe (2008) states:

"Because burrowing crayfish tend to prefer warmer climates and the milder and shorter winters currently found in southeastern areas of the U.S. and because they live in semi-terrestrial habitats sometimes far removed from permanent water bodies, they are often prevented from expanding their ranges and, theoretically may be susceptible to the effects of global warming."

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Scientific Name:

Farancia erythrogramma seminola

Common Name:

South Florida Rainbow Snake

G Rank:

T1

Range:

The South Florida Rainbow Snake is known only from a single population in Fish Eating Creek, flowing into the west side of Lake Okeechobee, in the southern peninsula of Florida (NatureServe 2008).

Habitat:

This is an aquatic snake that has only been found in a freshwater stream with substantial aquatic vegetation. Fisheating Creek, its only known location, is a sluggish, small to moderate sized stream flowing through a cypress stand. During drought Fisheating Creek is reduced to a series of disconnected lakes (Moler 1992). Though the South Florida Rainbow Snake has only been found in creeks, it could possibly inhabit areas similar to other rainbow snakes (Florida Museum of Natural History 2000).

Populations:

There is only one known population of the South Florida Rainbow Snake, and only three individuals have ever been detected, one from 1949, and two from 1952 (NatureServe 2008). The Florida Museum of Natural History reports that several unsuccessful searches have been conducted for this snake since the 1950s (Florida Museum of Natural History 2000).

Population Trends:

No population trend data are available for this subspecies, as it is known only from three individuals, the last of which was detected in 1952 (NatureServe 2008).

Status:

The South Florida Rainbow Snake is critically imperiled (T1S1) (NatureServe 2008).

Habitat destruction:

The South Florida Rainbow Snake is known only from a single creek, making it extremely vulnerable to habitat degradation. The creek where this species occurs is vulnerable to degradation from channelization or pollution, especially agricultural runoff (NatureServe 2008). During periods of drought, Fisheating Creek is reduced to a series of disconnected lakes (Moler 1992), making drought a threat for this aquatic snake.

Overutilization:

Because of the South Florida Rainbow Snake's extreme rarity, any level of collection poses a threat to its survival. Only three individuals of this subspecies have ever been detected (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the South Florida Rainbow Snake, and the single known population is not appropriately protected and managed (NatureServe 2008).

References:

Florida Museum of Natural History. 2000. South Florida Rainbow Snake.
<http://www.flmnh.ufl.edu/natsci/herpetology/fl-guide/Faranciaeseminola.htm>

Moler, P. E., editor. 1992. Rare and endangered biota of Florida. Volume III. Amphibians and reptiles. University Press of Florida, Gainesville. xviii + 291 pp.

Scientific Name:

Fimbristylis perpusilla

Common Name:

Harper's *Fimbristylis*

G Rank:

G2

Range:

This small annual sedge species is endemic to the southern Coastal Plain from Delaware to Georgia, occurring in Delaware, Georgia, Maryland, North Carolina, South Carolina, Tennessee, and Virginia (NatureServe 2008). The Tennessee population is entirely disjunct from the Coastal Plain population and is found exclusively in the Cumberland Plateau (Wofford and Jones 1988). Natural heritage records indicate that this species is currently known in Kent and New Castle Counties in Delaware, Baker, Seminole, and Sumter Counties in Georgia, in Caroline, Kent, and Queen Anne's Counties in Maryland, in Brunswick and Columbus Counties in North Carolina, in Horry County in South Carolina, and in Franklin and York Counties in Tennessee (NatureServe 2008). Within this range, occurrences are patchy and local abundance is highly variable across years, largely because this species has very precise ecological preferences and can only become established under certain environmental conditions.

Habitat:

Harper's *fimbristylis* occurs in areas that are exposed but not entirely desiccated during seasonal dry periods. It inhabits the mud or silt margins of ponds and blackwater rivers, mudflats, river shores, sloughs, sandbars, and depressions in low woodlands (NatureServe 2008). This species germinates only in years or locations with adequate seasonal inundation and exposure cycles; the conservation of natural hydrological patterns is therefore essential to its survival (NatureServe 2008, Kral 1971). It is thought that this species' seeds may lie dormant in substrate until suitable hydrological conditions develop (Kral 1971, NatureServe 2008).

Ecology:

Very little is known about this plant's ecology beyond its specific habitat and hydrological requirements (NatureServe 2008); means of dispersal is not fully understood (Wofford and Jones 1988).

Populations:

There are 55 known extant occurrences for this plant, though only half of these have been confirmed in the past 24 years; the temporally sporadic abundance of this species makes censusing difficult. NatureServe (2008) reports that only a few occurrences consist of substantial population sizes (more than 1,000 individuals) have been recently documented. It is estimated that the abundance of this species has declined more than 70 percent in comparison to its historical abundance (NatureServe 2008). Several populations have disappeared in recent years, and numerous known sites have been destroyed.

Population Trends:

NatureServe (2008) reports that the Harper's *fimbristylis* has experienced major declines in recent decades (up to 90 percent) and that populations continue to decline rapidly.

Status:

NatureServe (2008) reports that the Harper's *fimbristylis* is critically imperiled in Delaware, Georgia, North Carolina, Tennessee, and Virginia, and imperiled in Maryland and South Carolina.

It is state-listed as endangered in Georgia, Maryland, Tennessee, and Virginia, and threatened in North Carolina. Most populations are isolated and/or in decline.

Habitat destruction:

Anthropogenic alteration of local hydrology by any means is highly detrimental to this species as it is so particular in its moisture preferences. Logging, forest clearing, and drainage for agriculture are among the top recognized contributors to the decline of the Harper's fimbristylis, and residential and agricultural development play an increasingly significant role, both by causing habitat loss and increasing water demand (NatureServe 2008). Alterations to patterns of natural succession are also of concern because changes in vegetation structure may exclude this shade-intolerant plant. Trampling by livestock, humans, and off-road vehicles is also a localized threat in some areas (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in Georgia, Maryland, Tennessee, and Virginia, and threatened in North Carolina, these designations afford the Harper's fimbristylis no significant regulatory protection. Therefore, no existing regulatory mechanisms adequately protect this species from the threats it faces.

References:

Kral, R. 1971. A treatment of *Abildgaardia*, *Bulbostylis*, and *Fimbristylis* (Cyperaceae) for North America. *Sida* 4:57-227.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: October 5, 2009).

Wofford, B.E., and R.L. Jones. 1988. *Fimbristylis perpusilla* Harper (Cyperaceae) from the Cumberland Plateau of Tennessee. *Castanea* 52: 299-302.

Scientific Name:

Fissidens appalachensis

Common Name:

Appalachian Fissidens Moss

G Rank:

G2

Range:

This species is limited to a few counties in North Carolina and Tennessee: records indicate it is or was present in Caldwell, Macon, MacDowell, Munroe and Watauga Counties, North Carolina, and Carter County, Tennessee, though more recent confirmation of this range is unavailable (Crum and Anderson 1981, USFS 2003, NC NHP 2004).

Habitat:

This moss is found submerged in rock crevices in shallow, fast-running waters, and is characterized as a riparian-dependent species (Crum and Anderson 1981). It is often found at high elevations in montane streams (USFS 2009).

Ecology:

This species' dark green or black foliage forms fan-shaped clumps within crevice habitat, and individuals are typically dioecious though synoecious populations have been found (Crum and Anderson 1981).

Populations:

It is not known how many populations of this species occur.

Population Trends:

Total global population size is not known, nor are population trends reported. Based on the various threats to its habitat, though, populations are likely in decline (NatureServe 2008).

Status:

It is considered a sensitive species in many National Forests. NatureServe (2008) ranks the Appalachian fissidens moss as critically imperiled in Tennessee, and imperiled in North Carolina.

Habitat destruction:

This species is threatened by changes in regional hydrological patterns as a result of dams, diversions, or other anthropogenic activities, and siltation or pollution by industry or upstream residential or commercial development.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Appalachian fissidens moss: though many remaining populations occur on National Forest lands, and the species is listed as sensitive within these jurisdictions, this designation offers no substantial regulatory protections.

Other factors:

This species is imperiled by its small range, which makes it highly vulnerable to any further habitat loss or other local threats (Southern Appalachian Species Viability Project 2002).

References:

Crum, H.A., and L.E. Anderson. 1981. Mosses of eastern North America. 2 Volumes. Columbia Univ. Press, New York. 1328 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: November 9, 2009)

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<http://www.ncnhp.org/Images/Other%20Publications/2004%20Rare%20Plant%20List.pdf>
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USFS Southern Region. 2003. Biological evaluation for management activities in Sylco Ridge II, compartments 323, 324, 325: Cherokee National Forest, Ocoee Ranger District. Accessed online November 30, 2009 <<
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Scientific Name:

Fissidens hallii

Common Name:

Hall's Pocket Moss

G Rank:

G2

Range:

An extremely rare species throughout its range, *F. hallii* is known from Florida, Louisiana, North Carolina, and Texas (Crum and Anderson 1981).

Habitat:

This moss is found on decaying wood or bark, most often in cypress swamps, and also grows on clay soil in swamp habitat (Crum and Anderson 1981).

Ecology:

This moss is small and dioecious.

Populations:

The North Carolina Natural Heritage Program (2008) describes this species as significantly rare throughout its range with fewer than 100 populations total, but more precise estimates of the number of occurrences or total population size are unavailable.

Population Trends:

Trend information is not available for this species.

Status:

NatureServe (2008) reports that this species is critically imperiled in North Carolina, but rankings are not available for the rest of the range of this extremely rare moss.

Habitat destruction:

This moss' habitat is threatened by anthropogenic alteration of regional hydrology, outright conversion to agriculture, residential, or silvicultural uses, and pollution or siltation generated by these land uses in adjacent areas.

Inadequacy of existing regulatory mechanisms:

F. hallii is listed as a Regional Forester's Sensitive Species in North Carolina, but this designation offers it no substantial regulatory protection; no existing regulatory mechanisms adequately protect this species.

Other factors:

This species is particularly vulnerable to extirpation due to its rarity and limited distribution (Southern Appalachian Species Viability Project 2002).

References:

Anderson, L.E., H.A. Crum, and W.R. Buck. 1990. List of the mosses of North America north of Mexico. *The Bryologist* 93(4):448-499.

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NatureServe. 2008. NatureServe explorer: an online encyclopedia of life. Available at: <http://www.natureserve.org/explorer>. Accessed December 3, 2009.

North Carolina Natural Heritage Program. 2008 Rare Plants List. Accessed online December 17, 2009.

Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

Scientific Name:

Floridobia mica

Common Name:

Ichetucknee Siltsnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The known range of this snail is approximately 10 square yards at Ichetucknee Springs State Park in Florida (Florida Dept. of Environmental Protection 2000). It occurs only at Coffee Spring along the west bank of the Ichetucknee River (Thompson 1968, 1999).

Habitat:

This snail occurs on submerged mosses and cypress rootlets in sand and gravel-bottomed karst spring pools (Thompson 1968). Its spring issues from two sources beneath a sandstone outcropping before forming a large circular pool that is 40 feet wide and 1-2 feet deep with sand-gravel-silt substrate. The pool is open and continuous with the Ichetucknee River, and has large patches of submerged bryophytes covering sticks and rocks (Thompson 1968).

Populations:

There is only one population of this snail and total population size is unknown (NatureServe 2008).

Population Trends:

Trend information is not available for this species.

Status:

The Ichetucknee Siltsnail is critically imperiled (G1S1, NatureServe 2008). It is categorized as vulnerable by the IUCN.

Habitat destruction:

This snail's extremely limited habitat occurs in a state park which is heavily used for recreation, particularly "tubing," diving, and canoeing (NatureServe 2008). The spring where this snail occurs is continuous with the river, and the spring may be subject to unintentional degradation through recreational activities. The state park management plan states that extinction of the species could occur if the the spring is disturbed, and staff erected a fence across the mouth of the spring (Florida Dept. of Environmental Protection 2000). The species is vulnerable to habitat loss from water quality degradation from recreational activities. Florida's spring karst habitats are also threatened by a variety of factors including groundwater contamination, aquifer withdrawals, and saltwater intrusion (Walsh 2001).

Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms in place to protect this snail.

Other factors:

This species is threatened by water pollution from recreation and from activities outside the park where it occurs.

References:

Florida Dept. of Environmental Protection. 2000. Ichetucknee Springs State Park Approved Unit Management Plan. Division of Recreation and Parks.
<http://www.dep.state.fl.us/parks/planning/parkplans/IchetuckneeSpringsStatePark.pdf> Last accessed Jan. 11, 2010.

Thompson, F.G. 1968. The Aquatic Snails of the family Hydrobiidae of peninsular Florida. University of Florida Press: Gainesville, Florida. 268 pp.

Thompson, F.G. 1999. An identification manual for the freshwater snails of Florida. *Walkerana* 10(23): 1-96.

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Walsh, S.J. 2001. Freshwater Macrofauna of Florida Karst Habitats. In Eve L. Kuniansky, editor, 2001, U.S. Geological Survey Karst Interest Group Proceedings, Water-Resources Investigations Report 01-4011, p. 78-88

Scientific Name:

Floridobia monroensis

Common Name:

Enterprise Siltsnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

This snail is restricted to a single site in Volusia County, Florida, with a total extant range of less than 0.4 square km (Franz 1982, Thompson 1968, 1999; Watson 2000). Despite extensive surveys, this snail is known only from its type locality.

Habitat:

This snail occurs on leaf detritus on the edge and bottom of a seepage run (Franz 1982). The seepage is a few cm deep with mud and silt substrate, slow current, and leaf litter, woody debris, and orange flocculent matter (Watson 2000).

Populations:

This snail is only known from one occurrence, and total population size is unknown (NatureServe 2008).

Population Trends:

The population trend for this snail is thought to be stable to relatively stable, though available habitat has been reduced by alterations at the spring where it occurs (Watson 2000, NatureServe 2008).

Status:

NatureServe (2008) ranks the Enterprise Siltsnail as critically imperiled. It is categorized as vulnerable by the IUCN.

Habitat destruction:

With a total extant range of less than one square kilometer, the Enterprise Siltsnail is exceedingly vulnerable to habitat loss and degradation. This species' limited habitat has already been drastically reduced by alteration of the spring where it occurs (NatureServe 2008). Benson's Mineral spring was once a broad stream that formerly flowed into Lake Monroe (Johnson 1973). The spring is now capped, and most of its waters have been divested for human use. NatureServe (2008) reports that the snail "is still holding on in seeps associated with the original spring." This species is threatened because the remaining seepage is highly vulnerable to water table decline resulting from drought or human consumption. Walsh (2001) reports that hydroelectric development at the spring is a severe threat that could extirpate this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Enterprise Siltsnail. NatureServe (2008) reports that the lone occurrence of this species is not appropriately protected and managed.

References:

Franz, R. (ed.) 1982. Rare and Endangered Biota of Florida: Volume Six: Invertebrates. University Press of Florida: Gainesville, Florida. 131 pp.

Johnson, R.I. 1973. Distribution of Hydrobiidae, a family of fresh and brackish water gastropods, in peninsular Florida. Occasional Papers on Mollusks, 3(46): 281-303.

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- Watson, C.N., Jr. 2000. Results of a survey for selected species of Hydrobiidae (Gastropoda) in Georgia and Florida. Pages 233-244 in R.A. Tankersley, D.I. Warmolts, G.T. Watters, B.J. Armitage, P.D. Johnson, and R.S. Butler (eds.). *Freshwater Mollusk Symposia Proceedings*. Ohio Biological Survey, Columbus, Ohio. 274 pp.

Scientific Name:

Floridobia parva

Common Name:

Pygmy Siltsnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The Pygmy Siltsnail is endemic to the upper part of Blue Springs in Volusia County, Florida, with a total range of far less than 100 square km (Johnson 1973, Thompson 1968, 1999, NatureServe 2008).

Habitat:

This snail occurs on vegetation, gravel, and debris in a freshwater karst spring run. The springs form a 50-ft wide circular pool that issues from a large central boil and several smaller boils. The spring run varies in depth from 5-15 ft and is approximately 30 yards wide. The water is clear and supports thick aquatic vegetation. The substrate is silty-sand and gravel over soft limestone. The spring run is approximately one-quarter mile long before entering the St. John's River (Thompson 1968).

Populations:

There is one population of this snail and population size is unknown.

Population Trends:

Bleasdale et al. (2009) and Inbaptiste et al. (2009) report that this snail is declining and is now present in lower densities than in 1992-1993.

Status:

The Pygmy Siltsnail is critically imperiled (G1S1) (NatureServe 2008). It is categorized as vulnerable by the IUCN.

Habitat destruction:

The lone population of this snail is in a recreational area, making recreational impacts a threat to its survival. There is a developed swimming area in part of the spring (Moss et al. 2009). The park management plan states that the spring has suffered from erosion due to people climbing on the spring banks (Florida Division of Recreation and Parks 1999). The Siltsnail is also threatened by logging, as the park management plan allows for timbering operations within park boundaries (Florida Division of Recreation and Parks 1999). Invasive tilapia (*Tilapia aurea*) are causing habitat degradation at Blue Spring. Tilapia make deep spawning beds in the sand bottom which can undermine bank stability (Florida Division of Recreation and Parks 1999).

Deteriorating water quality also threatens this species. The Florida Wildlife Conservation Commission (2009) reports that spring habitats in the state are very highly threatened by nutrient loading from agricultural and urban runoff, and by invasive plants and animals. Bleasdale et al. (2009) report that "there is evidence suggesting chemical changes to the waters of Blue Spring and the St. John's River from direct spilling or dumping, runoff and flow rate changes from land use in the recharge basin, and/or seepage of chemicals into the groundwater source for Blue Spring." They also report that this species' habitat is threatened by the introduction of exotic species such as the Vermiculated Sailfin Catfish (*Pterygoplichthys disjunctivus*), which uses the long algal filaments that are a habitat component for the snail as a food source. Inbaptiste et al. (2009) also report recent declines in water quality and outflow at the spring.

Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms that currently protect this species.

References:

Bleasdale, C.J., M.A. Reiter, and A.J. Brooks-Walter. 2009. Potential drivers impacting the endemic snail populations of Blue Spring, Volusia County FL. Abstracts of the 73rd Annual Meeting of the Florida Academy of Sciences, in conjunction with the Tampa Bay Section of the American Chemical Society. Saint Leo University, Saint Leo, Florida 20-21 March 2009.

Florida Division of Recreation and Parks. 1999. Blue Spring State Park and Hontoon Island State Park Unit Management Plan. Approved Plan. State of Florida Dept. of Environmental Protection. Available at: <http://edocs.dlis.state.fl.us/fldocs/dep/parks/unitmanplan/1999/BlueSpringStatePark.pdf> Last accessed Jan. 6, 2010.

Florida Wildlife Conservation Commission. 2009. Wildlife Habitats: Legacy Springs. http://www.fwc.state.fl.us/docs/WildlifeHabitats/Legacy_Spring.pdf.

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Scientific Name:

Floridobia ponderosa

Common Name:

Ponderous Siltsnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Ponderous Siltsnail is approximately 200 acres in Seminole County, Florida (Florida Dept. of Environmental Protection 2003). It is known only from Sanlando Springs in the Middle St. John's watershed (Burgess and Franz 1978, Johnson 1973, Thompson 1968, 1999).

Habitat:

The spring where this snail occurs issues from a large limestone cavern 15 feet below the water surface, and forms a pool 50 feet across at the base of low rolling hills in a semi-wooded area along the east bank of the Little Wekiva River. The spring has been dammed below the pool and modified into a recreation area. Two runs flow from opposite ends of the pool and enter the river about 200 yards apart. Snails are abundant in the spring and in the Little Wekiva River to about 500 yards below the spring runs, but do not occur beyond this point. Snails are found on vegetation and on sand and gravel substrates (Thompson 1968).

Populations:

There is only one population of this species and population size is unknown.

Population Trends:

Trend information is not available for the lone population of this snail.

Status:

The Ponderous Siltsnail is critically imperiled (G1S1). It is classified as vulnerable by the IUCN.

Habitat destruction:

This species has only 200 total habitat acres, none of which are protected, making it very vulnerable to habitat degradation (FDEP 2003). The single spring where this snail occurs has been modified by damming to create a swimming pool, making recreational and water quality impacts a threat to its survival. The spring is surrounded by development, which further threatens water quality (NatureServe 2008). The Little Wekiva watershed has undergone "intense development" and rapid and extensive urbanization which has caused water quality problems and loss of native species (FDEP 2003). Spring habitats in Florida are also threatened by groundwater contamination, aquifer withdrawals, and saltwater intrusion (Walsh 2001).

Inadequacy of existing regulatory mechanisms:

There are no regulatory mechanisms that currently protect this species which occurs in a single population on private land.

Other factors:

This snail is threatened by pollution from recreation and development.

References:

Burgess, G.H. and R. Franz. 1978. Zoogeography of the aquatic fauna of the St. Johns River system with comments on adjacent peninsular faunas. *The American Midland Naturalist*, 100(1): 160-170.

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Scientific Name:

Floridobia wekiwae

Common Name:

Wekiwa Siltsnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Wekiwa Siltsnail is less than 100 square km in the St. John's River System in Orange County, Florida, where it is restricted to Wekiwa Springs and spring run (Burgess and Franz 1978; Johnson 1973, Thompson 1999). The area of occupancy of this species is less than 0.4 square km (NatureServe 2008).

Habitat:

This snail occurs in a freshwater karst spring that issues from five submerged horizontal caverns before forming a pool about 120 feet across. The snails are found in the spring pool and run for a short distance below the pool. The pool has fine sand substrate and the spring run has thick mats of submerged vegetation. The spring run is approximately 75 feet wide and 3 feet deep (Thompson 1968).

Populations:

There is one population of this snail and population size is unknown (NatureServe 2008).

Population Trends:

Trend information is not available for this species (NatureServe 2008).

Status:

This snail is critically imperiled (G1S1). It is categorized as vulnerable by the IUCN.

Habitat destruction:

The Wekiwa Siltsnail is exceptionally vulnerable to habitat loss and degradation because the lone population of this species occurs in a heavily used state park recreation area. Recreational impacts could cause water pollution, increased siltation, decreased aquatic vegetation, and direct crushing and displacement of snails (NatureServe 2008, Reiter 1992). Decreasing water quality or quantity threaten this species, as do external sources of pollution and groundwater decline resulting from urbanization (Walsh 2001).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species.

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Scientific Name:

Forestiera godfreyi

Common Name:

Godfrey's Privet

G Rank:

G2

Range:

Godfrey's privet is known from a limited range. This species is present in nine counties in northern Florida, southeastern Georgia, and southeastern South Carolina (Anderson 1985, Weakley 1996, Kartesz 1998). Most occurrences are found in Florida. Population size and density are variable across its range. Natural heritage records show this species is present in Alachua, Dixie, Duval, Gadsden, Jackson, Jefferson, Liberty, and Marion Counties, Florida, Camden County, Georgia, and in Beaufort, Charleston, and Colleton Counties, South Carolina (NatureServe 2008).

Habitat:

The privet is found on forested slopes along lake or river bluffs, in rocky woodlands and hardwood hammocks, and often occurs on limestone outcroppings (Anderson 1985, FNAI 2000).

Ecology:

The privet is a deciduous shrub which flowers mid-January to mid-February and fruits through May (FNA 2000).

Populations:

This species is rare across its range (Anderson 1985): one occurrence is known in South Carolina, one in Georgia, and approximately 15 in Florida (Weakley, 1996, Chafin 1999). Several historical occurrences have been extirpated in recent decades (NatureServe 2008). Populations are dense at some sites and sparse at others. Total population size is not known, and estimation is difficult because the species forms dense thickets.

Population Trends:

NatureServe (2008) reports that the Godfrey's privet has experienced substantial declines (up to 75 percent in recent decades), and that populations continue to decline precipitously.

Status:

This species is known from a limited range across which it is already rare and in continuing decline. NatureServe (2008) ranks *F. godfreyi* as critically imperiled in Georgia and South Carolina, and imperiled in Florida.

Habitat destruction:

The habitat of Godfrey's privet is threatened by logging, establishment of tree plantations, and conversion to residential development (NatureServe 2008, FNAI 2000).

Inadequacy of existing regulatory mechanisms:

Several occurrences of this plant are found on protected parks or reserves; at some of these sites competition with invasive exotics still imperils the species (Chafin 1999, NatureServe 2008). Though it is listed as endangered in Florida, this designation affords no substantial protection, and no existing regulatory mechanisms adequately protect the Godfrey's privet or its habitat.

Other factors:

Competition with invasive exotic species, particularly Chinese privet (*Ligustrum sinense*) and heavenly bamboo (*Nandina domestica*), threatens this species in certain parts of its range (FNAI 2000).

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Scientific Name:

Fundulus julisia

Common Name:

Barrens Topminnow

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Historically, the barrens topminnow was found in the headwaters of the Duck and Elk Rivers and the Caney Fork River system of the Cumberland drainage (Etnier and Starnes 1993). It is apparently extirpated from the Duck River where it was last collected in 1964 (Ibid.)

Habitat:

The barrens topminnow occupies heavily vegetated pools in springs and slow moving streams and appears to prefer areas with filamentous algae and watercress (Etnier and Starnes 1993).

Populations:

The barrens topminnow is a critically endangered species that is currently limited to four localities with perhaps a few hundred fish (Rakes 1996, Winford 2002, Goldsworthy and Bettoli 2005).

The topminnow has been stocked into additional sites, but in most cases reproduction has not occurred and populations have not been established likely because of introduced mosquitofish (Goldsworthy and Bettoli 2005).

Population Trends:

The barrens topminnow has experienced rapid and substantial population declines (Rakes 1996, Goldsworthy and Bettoli 2005, NatureServe 2008). Surveys in 1983 identified the species at 14 sites with 4500-5000 adults (Rakes 1996, Goldsworthy and Bettoli 2005). By 1994, there were only seven sites with a few hundred adults and by 2004, there were only four sites, all of which are of questionable viability (Ibid.)

Status:

The barrens topminnow is listed as critically imperiled by NatureServe (2008), as endangered and declining by AFS (2008) and as endangered by the state of Tennessee. It is threatened by habitat degradation related to livestock grazing and agriculture, drought and introduced mosquitofish (Goldsworthy and Bettoli 2005, NatureServe 2008). Mosquitofish are present at two of the remaining four sites with wild populations and a third has recently been threatened by drought (Goldsworthy and Bettoli 2005). Etnier and Starnes (1993) concluded that the "species has to be considered in jeopardy." At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the barrens topminnow should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

Habitat for the barrens topminnow faces a multitude of threats, including impoundment, stream diversions and irrigation withdrawals associated with agricultural, pollution silt, petroleum products, and pesticides, and degradation by livestock, draining, bulldozing and dredging (Rakes 1996, Goldsworthy and Bettoli 2005, NatureServe 2008). Of the four remaining wild populations, for example, Goldsworthy and Bettoli (2005) report that "the stability of these populations was questionable" because "one site occurred behind a new housing subdivision and the other occurred on an active cattle farm adjacent to a major highway."

Disease or predation:

This fish is threatened by predation from introduced mosquitofish (Goldsworthy and Bettoli 2005).

Inadequacy of existing regulatory mechanisms:

The barrens topminnow was first proposed for listing in 1977 along with four other species of fish (Federal Register 42: 65209, December 30, 1977). This proposal was withdrawn along with proposals for roughly 2,000 species in 1979 after FWS failed to finalize these proposals prior to a one year deadline established by Congress in a 1978 amendment that added new requirements for public comment and designation of critical habitat (Federal Register 44, March 6, 1979). In the thirty-one years that have followed this failure, the barrens topminnow has continued to decline and threats have increased.

A number of voluntary efforts have been established in an attempt to recover the topminnow. In particular, a "Barrens Topminnow Working Group" was established in 2001, including the FWS, Nature Conservancy, two major universities, Tennessee Wildlife Resources Agency and others. The working group has been engaged in individual habitat restoration projects, such as constructing fencing to exclude livestock, and artificial propagation and stocking into springs within the species historic range. Although admirable, these efforts have not successfully recovered the barrens topminnow. To date, efforts to establish new populations through artificial propagation have not been successful with Goldsworthy and Bettoli (2005) concluding:

"Barrens topminnow *Fundulus julisia* populations have declined precipitously since the species was described in 1982. Propagation and reintroductions have been the primary means of recovery since 2001, but the reintroductions have been generally unsuccessful in creating self-sustaining populations."

NatureServe (2008) report that "all known extant populations are on private property." In most cases, these populations are receiving little protection and as noted in the section on destruction of habitat, two of the remaining four wild populations are actively threatened by livestock grazing and urban sprawl (Goldsworthy and Bettoli 2005). The type locality of the species, which is a spring tributary to West Fork Hickory Creek, is afforded some protection by a cooperative agreement with the owner and the Nature Conservancy. Despite this protection, the population was almost lost to drought in the early 1980s (Rakes 1996).

The species is listed as threatened by the state of Tennessee, but this designation affords the species no regulatory protection.

Other factors:

The barrens topminnow is severely threatened by the introduction and spread of the mosquitofish (*Gambusia affinis*). NatureServe (2008) reports that "mosquitofish populations are becoming more widespread and abundant in the range of *F. julisia* and appear to be replacing *F. julisia*." Goldsworthy and Bettoli (2005) determined that mosquitofish were likely the biggest factor in lack of recruitment for reintroduced populations. Mosquitofish are sympatric with at least two of the four remaining wild populations.

Barrens topminnow is also threatened by climate change, which will increase temperatures and reduce water availability and thereby increase drought (Karl et al. 2009). Drought is already known to be threat for the species with the type locality having to be rescued from drying habitat in the early 1980s.

Barrens topminnow is threatened by pollution from a variety of sources (Goldsworthy and Bettoli 2005).

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Scientific Name:

Pleuronaia barnesiana

Common Name:

Tennessee Pigtoe

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

This mussel, formerly known as *Fusconaia barnesiana*, occurs in Alabama, Georgia, Mississippi, North Carolina, Tennessee, and Virginia. This mussel is restricted to the Cumberlandian regions of the Tennessee River drainage in Tennessee, Alabama, and Virginia (Simpson 1914, Parmalee and Bogan 1998). There are also recent records for two counties in North Carolina (LeGrand et al. 2006). Many populations persist as small, isolated remnants with diminished numbers in fragmented reaches of the Clinch, Powell, Holston, Nolichucky, Little Pigeon, Paint Rock, Elk, and Duck river systems (NatureServe 2008). This mussel is found in many small to medium-sized rivers in East and Middle Tennessee (Parmalee and Bogan 1998). This mussel also occurs in the Tennessee River drainage in Mississippi (Jones et al. 2005). This mussel may no longer be extant in Georgia, but might occur in the state in the Tennessee River basin (J. Wisniewski, GA NHP, pers. comm., January 2007 cited in NatureServe 2008).

Habitat:

This mussel inhabits riffle and shoal areas with moderate to swift current, and is rarely encountered in pools, slackwater areas, or depths exceeding one meter (Ahlstedt 1984). Preferred substrates range from cracks in bedrock to mixtures of coarse sand, gravel, cobble, and boulder-sized particles. Mirarchi et al. (2004) provided the following description of this species' habitat: "Varies from small streams to medium-sized rivers. However, historically occurred in Tennessee River at Muscle Shoals, but was extirpated when river was impounded (Garner and McGregor 2001). Appears to prefer shallow water with moderate current and a substratum of coarse sand, silt, and gravel (Parmalee and Bogan 1998)."

Ecology:

Mirarchi et al. (2004) state this species is presumably a short-term brooder that is gravid from spring through mid-summer.

Populations:

NatureServe (2008) roughly estimates that there are from 6-80 populations of Tennessee Pigtoe. This mussel's historical distribution has been severely fragmented, and it is now distributed sporadically throughout its former range at very low population densities. In North Carolina this mussel is only known from Macon and Swain counties in the Hiwassee and Little Tennessee River basins (Bogan 2002, LeGrand et al. 2006). In Alabama it is extant only in the Paint Rock River system and in a few tributaries to the Tennessee River, including Limestone and Round Island Creeks (Mirarchi et al. 2004). In Tennessee, it is extant in many small to medium-sized rivers in the east and center of the state including the upper Clinch and Powell, Little Pigeon, Nolichucky, Little, Elk, Duck, Buffalo, and Hiwassee (Parmalee and Bogan 1998). Johnson et al. (2005) report this mussel from the Hiwassee River inside and adjacent to Cherokee National Forest in Polk County, Tennessee. Jones et al. (2005) report this species from the Tennessee River drainage in Mississippi. In Georgia, this species might still occur in the Tennessee basin, but could be extirpated (J. Wisniewski, GA NHP, pers. comm., January 2007 cited in NatureServe 2008). In Virginia, this mussel occurs in the Powell, Clinch, North and South Fork Holston

rivers, and in Copper Creek (Jones et al. 2001, Fraley and Ahlstedt 2000, VA NHP, pers. comm. 2007 cited in NatureServe 2008). Jones and Neves (2007) describe the distribution in the upper North Fork Holston River in Smyth and Bland counties, Virginia as rkm 142.7 to 199.6.

Most extant populations exist at very low densities but the species persists in considerable numbers in several tributary systems of the Tennessee (Little Pigeon and Hiwassee) (NatureServe 2008).

Population Trends:

The Tennessee Pigtoe is very rapidly to rapidly declining (decline of 30-70 percent) in the short term and moderately declining (decline of 25 - 50 percent) in the long term. NatureServe (2008) states: "Populations continue to be lost at a high rate. TVA data indicates a sharp drop in occurrence in the Clinch River over the last 10 years. In Tennessee, it was known from the Emory, Watauga, French Broad, Holston, Sequatchie, and Tennessee Rivers but only prior to 1960 (Parmalee and Bogan, 1998). It is questionable as to whether this species still occurs in Georgia but it may still be surviving in the Tennessee River basin somewhere (J. Wisniewski, GA NHP, pers. comm., January 2007)." This species was extirpated from the mainstem of the Tennessee River by impoundments, but there are extant populations in many of the small to medium-sized rivers in east and middle Tennessee, though current populations are now reduced and localized (Parmalee and Bogan, 1998, NatureServe 2008).

Status:

NatureServe (2008) ranks the Tennessee Pigtoe as critically imperiled in Alabama, Mississippi, and North Carolina, imperiled in Tennessee and Virginia, and not ranked in Georgia. It is classified as Near Threatened by the IUCN. The range has been markedly reduced and the species is declining. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Habitat alteration is the greatest threat to freshwater mussels in the southeastern U.S. (Neves et al. 1997). The habitat of the Tennessee Pigtoe has been severely impacted by alteration and inundation of river channels, siltation from agriculture and clear-cutting, and toxic run-off from coal mines (NatureServe 2008). Mussels in the Clinch and Powell watershed are threatened by coal mining and agricultural practices (U.S. EPA 2002). This species is also threatened by mountaintop removal coal mining in particular (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009). Virginia's Comprehensive Wildlife Conservation Strategy (2006) cites siltation, dredging, pollution, mining, water withdrawal, and impoundment as threats to aquatic species in the Southern Cumberlands. The North Carolina Wildlife Resources Commission (2010) reports that aquatic species in the Hiwassee River and Little Tennessee River basin, including the Tennessee Pigtoe, are threatened by erosion, sedimentation, impoundment, and increasing development. Mississippi's Comprehensive Wildlife Conservation Strategy (2009) reports that aquatic species in the Northeast Hills of the Tennessee River drainage, including this mussel, are highly threatened by agriculture, forestry, poor water quality, and operation of dams. The Alabama Dept. of Environmental Management (2003) reports that the Tennessee River basin has been widely degraded by nonpoint source pollution from many sources, particularly agriculture, urban

development, logging, and surface coal mining (ADEM 2003). There are more than 130 confined animal feeding operations in the Tennessee River basin (ADEM 2003). Aquatic habitats in the basin are also degraded by water-related recreational activities and nonpoint source pollution from onsite residential sewage systems (ADEM 2003).

Disease or predation:

Neves and Odom (1989) cite muskrat predation as a threat to imperiled mussels in the North Fork of the Holston in Virginia, noting specific predation on the Tennessee Pigtoe.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Tennessee Pigtoe, and few occurrences of this mussel are appropriately protected and managed (NatureServe 2008). It is listed as Endangered by the State of North Carolina, and as a Species of Greatest Conservation Need in Alabama and Mississippi, and is a species of Special Concern in Virginia, but these designations do not provide the species with any substantial regulatory protection. It has no state status in Georgia or Tennessee. This species occurs on The Nature Conservancy's Pendleton Island Preserve, but the degree of habitat protection for this species at this site is "limited by inadequate protection from potential catastrophic threats within the watershed from upstream" (NatureServe 2008). It is unknown whether other populations occur within protected areas. Johnson et al. (2005) reported this mussel from the Hiwassee River inside and adjacent to Cherokee National Forest, Polk Co., Tennessee, but this confers little habitat protection. NatureServe (2008) provides the following management recommendations for this species: "All populations should receive protection through acquisition, easement, registry, and working with local, state, and federal government agencies on issues relating to development, water quality, river designation, etc. Management plans to control siltation and acid coal mine run-off are essential."

Other factors:

Any factor which degrades water quality is a threat to the Tennessee Pigtoe. NatureServe (2008) states that chemical and organic pollution have severely impacted this species, stating that management is necessary to protect water quality and to control siltation and acid coal mine run-off. Population isolation and low abundance of some populations heighten the susceptibility of this species to extirpation. The North Fork of the Holston River has been severely impacted by mercury releases (Stansberry and Clench 1975, Neves 1991 in Flebbe et al. 1996). Invasive species also threaten this mussel and its host fish. Several invasive species are known to be present in this mussel's habitat including blueback herring, Asian clam, striped bass, snail bullhead, rainbow trout, and brown trout (North Carolina Wildlife Resources Commission 2010).

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Scientific Name:

Fusconaia escambia

Common Name:

Narrow Pigtoe

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

The range of the Narrow Pigtoe encompasses 250-1000 square km in the Escambia River drainage in Alabama and Florida and the Yellow River drainage in Florida (Williams and Butler 1994, NatureServe 2008). Its historical distribution included the main channel of the Escambia River, in Escambia and Santa Rosa Counties in Florida, the Conecuh River in Escambia, Covington, Crenshaw, and Pike Counties in Alabama, and Murder Creek in Conecuh County, Alabama. This bivalve is known from the main channel of the Yellow River in Okaloosa County, Florida (Williams et al. 2000), but may be extirpated in the Yellow River system. The known range in the Escambia drainage was recently expanded based on surveys in which either live individuals or shell materials were encountered, and now includes Patsaliga Creek in Covington and Crenshaw Counties, Bottle Creek in Conecuh County, and Panther and Three Runs Creeks in Butler County, Alabama, all within the Escambia River drainage (FWS 2003).

Habitat:

This species is found primarily in the channels of small to medium-sized streams and rivers in sand, silty sand, gravel, or sandy gravel substrate in slow to moderate currents (Heard 1979, Deyrup and Franz 1994, Mirarchi et al. 2004). At least one population is known from a silty backwater area, and this species may occur in sloughs and river oxbows (NatureServe 2008).

Ecology:

The Narrow Pigtoe is a short-term brooder. Females are gravid in June and eggs and glochidia are red. Host fish species are unknown (Mirarchi et al. 2004).

Populations:

NatureServe (2008) estimates that there are from 6-80 populations of Narrow Pigtoe. There are 21-22 extant populations in the Escambia River drainage, and the species may be extirpated in the Yellow River drainage (Mirarchi et al. 2004, Williams et al. 2000, NatureServe 2008). All remaining populations of this species have low numbers of individuals, with an average of 3 surviving individuals per site (Williams et al. 2000). This species has questionable long-term viability, and it is unknown whether recruitment is occurring. If recruitment is occurring, it is likely low (USFWS 2003). Pilarczyk et al. (2006) reported newly detected populations of this species in the Choctawhatchee River drainage in southern Alabama (NatureServe 2008).

NatureServe (2008) estimates the total population size of Narrow Pigtoe to be 2500 - 10,000 individuals, but this is likely an overestimate. Williams et al. (2000) reported 65 individuals from 179 sites. Pilarczyk et al. (2006) reported two live individuals from Patsaliga Creek in the Choctawhatchee River drainage.

Population Trends:

The Narrow Pigtoe is declining in the short term and moderately declining in the long term (25-50 percent). Recent surveys show a 24 percent decline in historic range (29 historical sites), but this number does not reflect the loss of the species in an entire river basin. Within its limited range, this

species occurs in low abundance. This mussel is extirpated from the Yellow River drainage and is declining in the Choctawhatchee River drainage in Alabama and Florida (Pilarczyk et al. 2006, NatureServe 2008).

Status:

The Narrow Pigtoe is critically imperiled in Florida, and imperiled in Alabama (NatureServe 2008). It is listed as endangered by the IUCN. It is a federal Candidate species. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

NatureServe (2008) lists habitat loss and degradation as the most significant threat to the Narrow Pigtoe. Many land-use activities threaten the integrity of stream and river habitats by contributing to sedimentation and water quality degradation including highway and reservoir construction, improper forestry practices, agricultural runoff, housing developments, pipeline crossings, and livestock grazing. Sedimentation can kill mussels by deposition and suffocation (Ellis 1936, Brim Box and Mossa 1999) and can prevent or decrease the recruitment of juvenile mussels (Negus 1966, Brim Box and Mossa 1999). Suspended sediment interferes with feeding (Dennis 1984). Many of the confirmed extant populations of Narrow Pigtoe are near highway and unpaved road crossings. Highway and bridge construction and maintenance could negatively affect populations of Narrow Pigtoe due to erosion and sedimentation. Reservoir construction and the resultant habitat changes (e.g., changes of sediments, flow, water temperature, dissolved oxygen) can negatively impact mussel populations (Neves et al. 1997). This mussel's habitat is also degraded by nutrient input such as phosphorus and nitrogen emanating from agricultural fields, residential lawns, livestock feedlots, poultry houses, and leaking septic tanks (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Narrow Pigtoe. This mussel is currently a Federal Candidate species in dire need of Endangered Species Act protection. It is a Priority 1 Species of Greatest Conservation Need in Alabama, but this designation provides no regulatory protection. It is not listed by the state of Florida. NatureServe (2008) states that few (1-3) occurrences are appropriately protected, noting that at least one occurrence may border the Conecuh River in Alabama, but the sites are largely unprotected. NatureServe (2008) also notes the inadequacy of existing regulatory mechanisms to reduce non-point source pollution impacts, particularly in terms of sedimentation in small stream drainages. Some efforts have been made to work with private landowners to encourage the use of Best Management Practices to protect water quality (FWS 2003, NatureServe 2008).

In addition to consideration of federal listing, NatureServe (2008) provides the following management recommendations for this species: "Protect populations through acquisitions and easements by working with government agencies and conservation organizations; establish buffers and streamside management zones for all agricultural, silvicultural, mining, and developmental activities; maintain high water and benthic habitat quality; consider propagation and reintroduction of cultured stock."

Other factors:

Several other factors imperil the Narrow Pigtoe. This species is particularly vulnerable to catastrophic events because populations are generally small and geographically isolated. Any factor which negatively affects host fish populations is detrimental for the Narrow Pigtoe. This

species is potentially negatively affected by invasive species such as the Asiatic clam, zebra mussel, and black carp (USFWS 2003, NatureServe 2008). In addition, this mussel is threatened because some populations may be below the effective population size to maintain long-term viability (NatureServe 2008).

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Scientific Name:

Fusconaia masoni

Common Name:

Atlantic Pigtoe

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

The range of the Atlantic Pigtoe is now highly reduced as the majority of populations in large river mainstems have been extirpated, and the mussel is now limited to headwater areas in the drainages where it still exists (NatureServe 2008).

This species once ranged from the Ogeechee drainage in Georgia north to the James River drainage in Virginia (Bogan 2002). In Georgia, populations in the Ogeechee system have been reduced to Jefferson and Jenkins counties, and these populations are likely not viable (Sukkestad et al. 2006, NatureServe 2008). In South Carolina, the species has not been detected in the Savannah drainage in over a century, and its status in the Pee Dee drainage in South Carolina is unknown (Bogan and Alderman 2004, NatureServe 2008). In Virginia, the Atlantic Pigtoe still occurs in the Upper and Middle James, Nottoway, Appomattox, Lower Dan, Meherrin, Rivanna, and Upper Roanoke systems. In North Carolina, this mussel has been extirpated in Northampton County and from the Deep River in Moore County, Cape Fear River in Harnett and Cumberland Counties, and Black River in Sampson, Bladen, and Pender Counties (Bogan 2002, LeGrand et al. 2006, NatureServe 2008). It still occurs in North Carolina in the Catawba, Pee Dee (including Goose Creek), Cape Fear, Neuse, Pamlico, and Roanoke River basins, in Bladen, Caswell, Chatham, Curham, Edgecombe, Franklin, Granville, Halifax, Harnett, Johnston, Montgomery, Moore, Nash, Orange, Pender, Person, Pitt, Randolph, Sampson, Union, Wake, Warren, Wayne, and Wilson counties (Bogan 2002, LeGrand et al. 2006, NatureServe 2008).

Habitat:

The Atlantic Pigtoe is dependent on clean, fast flowing water with high dissolved oxygen content in riverine or larger creek environments. Because this species prefers more pristine conditions, it typically occurs in headwaters and rural watersheds. It is associated with gravel and coarse sand substrates at the downstream edge of riffles, and less commonly occurs in cobble, silt, or sand detritus mixtures (Adams et al. 1990, Bogan and Alderman 2004, NatureServe 2008).

Ecology:

The ecology of the Atlantic Pigtoe has not been studied, but based on its drastically reduced range, this mussel is likely negatively affected by reduced water quality, altered flow regimes, eutrophication, siltation, and pollution (NatureServe 2008).

Populations:

The Atlantic Pigtoe was once widespread, but now exists in fewer than 20 populations, having experienced a large reduction in range, numbers and extent. The total population size for this species is unknown (NatureServe 2008).

Population Trends:

The Atlantic Pigtoe has undergone a very rapid population decline of 50-70 percent in the short term, and has also declined substantially over the long term, from 50-75 percent. In most historic large river habitats, populations have either been extirpated or are highly reduced. The species was once found in

every Atlantic drainage in North Carolina except for two, but has been reduced to only six basins (Johnson 1970, Bogan 2002, NatureServe 2008). In Georgia the species is either extirpated or nonviable (Sukkestad et al. 2006). The species may also be extirpated in South Carolina (Bogan and Alderman 2004, NatureServe 2008).

Status:

NatureServe (2008) ranks the Atlantic Pigtoe as critically imperiled in Georgia and North Carolina, imperiled in Virginia, and its status in South Carolina has not been determined. It is ranked as Endangered by the IUCN. Both range and population size for this species have been highly reduced, with most extant populations harboring only a few individuals (NatureServe 2008). It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Habitat loss and degradation is the greatest threat to the Atlantic Pigtoe. This species depends on clean, fast-flowing water with high dissolved oxygen content and is thus negatively affected by impoundments, alterations in flow regime, and water pollution. Augspurger et al. (2003) found that the glochidia of this mussel are especially sensitive to pollution. High intensity land use, point and non-point source pollution, siltation, and eutrophication all threaten the continued existence of this species (NatureServe 2008). This mussel is specifically threatened by reduced water quality due to logging (NatureServe 2008). Because mussels are dependent on host fishes for reproduction, any factor which threatens the Atlantic Pigtoe's host fish threatens the survival of the mussel. In Georgia, the Dept. of Natural Resources reports that this species is threatened by development and timber removal in the Ogeechee River basin, excessive sedimentation from development and agriculture, and eutrophication and degraded water quality from poor agricultural practices (Wisniwewski 2008). The Virginia Dept. of Game and Inland Fisheries (2010) reports that the Atlantic pigtoe is threatened by habitat fragmentation from agriculture and municipal development, sediment load and turbidity alteration from agriculture and forestry, and hydrologic regime alteration from municipal development.

Inadequacy of existing regulatory mechanisms:

Existing regulatory mechanisms are inadequate to protect the Atlantic Pigtoe which has declined precipitously and is in dire need of protection. This mussel is state listed as endangered in South Carolina where historical populations may no longer be extant (Bogan and Alderman 2004, NatureServe 2008). It is listed as endangered in the state of Georgia, but this designation does not confer substantial regulatory protection for the species' habitat. Riparian lands purchased to mitigate adverse effects to mussels on sections of Turkey and Moccasin creeks in North Carolina may provide the species with some habitat protection, but it lacks meaningful protective status throughout its range.

Other factors:

Factors which degrade water quality and contribute to pollution threaten the Atlantic Pigtoe including point and non-point contamination, siltation, eutrophication, and alterations in flow regime (NatureServe 2008). The Virginia Dept. of Game and Inland Fisheries (2010) reports that the Atlantic pigtoe is threatened by insecticides from agriculture and municipal development. Because mussels are dependent on host fishes for reproduction, any factor which threatens the Atlantic Pigtoe's host fish threatens the survival of the mussel (Neves et al. 1997).

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Scientific Name:

Fusconaia rotulata

Common Name:

Round Ebonyshell

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

The Round Ebonyshell has one of the most restricted ranges of any North American mussel. The total historical range of this species is approximately 95 km, and the extant range is 43 km. It is endemic to the Apalachicola region and is restricted to the main channel of the Escambia/Conecuh River in Florida and Alabama, where it is known from east Brewton, Escambia County, Alabama (Conecuh River), downstream for a distance of approximately 75 river km, with no known occurrences in tributaries (Williams and Butler 1994, Johnson 1967, NatureServe 2008). The historical range of the Round Ebonyshell was recently expanded, based upon the detection of relict shells, to include the Conecuh River from the junction with the Sepulga River, Escambia County, Alabama, downstream in the Escambia River to Bluff Springs, Escambia and Santa Rosa Counties, Florida for a total historic range of approximately 95 km (59 river miles B RM) (USFWS 2003, Williams et al. 2000).

Habitat:

The Round Ebonyshell occurs in moderate current in areas of sand and gravel substrate (Williams and Butler 1994, Mirarchi et al. 2004).

Ecology:

This species is presumed to be a short-term brooder based on characteristics of its congeners. Host fishes are unknown (Mirarchi et al. 2004).

Populations:

There are three remaining populations of Round Ebonyshell, which consist, on average, of two live individuals per population. Only 3 of 9 historic locations contain living mussels. It is not known whether remaining populations are capable of reproduction and recruitment (USFWS 2003, NatureServe 2008). NatureServe (2008) estimates total population size of this species at 50 - 1000 individuals.

Population Trends:

The Round Ebonyshell is declining very rapidly (decline of 50-70 percent) in the short term and substantially declining (decline of 50 - 75 percent) in the long term (NatureServe 2008). There are three remaining sites which support an average of two individuals each.

Status:

The Round Ebonyshell is critically imperiled in Florida and Alabama (NatureServe 2008). It is a Federal Candidate and is likely to become extinct on the candidate list waiting for full protection as there are only three extant populations supporting roughly two individuals each. This mussel is in critical need of immediate ESA protection. It is ranked as endangered by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The Round Ebonyshell is particularly susceptible to extinction from habitat degradation based on its limited distribution and rarity (Mirarchi et al. 2004). It occurs only in a small stretch of a

single river, and NatureServe (2008) describes this river as “one of the most degraded in Florida.” NatureServe (2008) states that habitat modification is the most significant threat to this species. A single habitat destroying event could drive this species to extinction. It is threatened by activities which degrade water quality (eg. eutrophication, sedimentation) including road building and maintenance, logging, agricultural and municipal runoff, urbanization, and livestock grazing.

Overutilization:

Any level of collection would have dire consequences for this species since so few individuals remain.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Round Ebonyshell, and no occurrences are appropriately protected and managed, with all known populations occurring in an unprotected reach of the Conecuh/Escambia River (NatureServe 2008). It is a Priority 1 Species of Greatest Conservation Concern in Alabama, but this designation does not afford the mussel with any regulatory protection. It is not state-listed in Florida. This species is currently a Federal Candidate and should be immediately listed to prevent extinction.

NatureServe (2008) provides the following management recommendations for the Round Ebonyshell: "Strongly consider federal listing as endangered; propagate for culture/future reintroduction. Protect populations through acquisitions and easements by working with government agencies and conservation organizations; establish buffers and streamside management zones for all agricultural, silvicultural, mining, and developmental activities; maintain high water and benthic habitat quality. Conservation activities have been limited to working with private landowners in south Alabama and west Florida to encourage the use of Best Management Practices to reduce the effects of agriculture and silviculture (see U.S. Fish and Wildlife Service, 2003)."

Other factors:

The Round Ebonyshell is threatened by several other factors. Because there are only three extant populations, all of which are small and isolated, this species is particularly vulnerable to catastrophic events. Remaining populations may be below effective population size to maintain long-term genetic viability. This mussel is potentially threatened by invasive species such as Asiatic clam, zebra mussel, and black carp (USFWS 2003, NatureServe 2008). Any factor which threatens host fishes also threatens the Round Ebonyshell (NatureServe 2008).

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Scientific Name:

Fusconaia subrotunda

Common Name:

Longsolid

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The longsolid is a relatively large freshwater mussel native to the eastern United States; it is found in Alabama, Arkansas, Georgia, Illinois, Indiana, Kentucky, North Carolina, Ohio, Pennsylvania, Tennessee, Virginia, and West Virginia (NatureServe 2008). It is known from the Detroit River, Lake Erie, the Ohio River drainage from Pennsylvania downstream to Illinois and Kentucky, the Cumberland River drainage downstream of the falls, and the Tennessee River drainage (Williams et al. 2008).

Habitat:

It is found in large and medium-sized rivers with moderate or fast flow gradients, and prefers sand or gravel substrate (Watters 1995, Cicerello and Schuster 2003).

Ecology:

Reproduction is similar to that of other freshwater mussel species, but glochidial hosts are currently unknown (NatureServe 2008). Juveniles (glochidia) are parasitic on their hosts, and adults filter feed on detritus in the water column. This species is not migratory: adults are essentially sessile, though may be moved downstream by water currents. The longsolid is highly sensitive to water pollution, siltation, or other disturbance to habitat, and to the loss of glochidial hosts (NatureServe 2008).

Populations:

NatureServe (2008) estimates that there are 6-20 elemental occurrences of this species, and total population size is estimated at 1000-2500 individuals.

Once widely distributed across the Ohio River drainage, this mussel is now found only in the Muskingum River and parts of the upper Tennessee and Cumberland River drainages in Ohio, and is considered rare. It is known from the Hiwassee and French Broad Rivers (Bogan 2002), and from Cherokee, Clay, and Transylvania Counties (LeGrand et al. 2006) in North Carolina, and is extirpated from Buncombe County. In Tennessee, it is reported from the Clinch, Powell, Elk, lower Tennessee, and Cumberland Rivers, occasionally from the Holston, Tellico and Hiwassee Rivers, and is likely extirpated from the Little Tennessee (Parmalee and Bogan 1998). In Alabama, it remains only in the Tennessee River tailwaters of the Guntersville and Wilson dams, and in the Paint Rock River (rare in all locations), though it was once present in reaches of the Tennessee, Cumberland, and Ohio River (Mirarchi et al. 2004, Williams et al. 2008). Kentucky's populations are patchily distributed in the lower Green River (Cicerello and Schuster 2003), and in the middle Green and Barren Rivers (Cochran and Layzer 1993). In Pennsylvania, the longsolid is found in Muddy Creek, and in the Connoquenessing and middle Allegheny-Tionesta, though it was once widespread throughout the state (PA NHP pers. comm. as cited in NatureServe 2008). Remnant populations are known in Copper Creek and the upper Clinch River in Virginia (Fraley and Ahlstedt 2000, Jones et al. 2001). This species is believed extirpated from Indiana (Fisher 2006) and from Illinois (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the longsolid has experienced long-term decline of up to 75 percent, and that this mussel has declined in the short-term by up to 30 percent. It is extirpated from many historical locations.

Status:

NatureServe (2008) lists the longsolid as critically imperiled in Alabama, North Carolina, and Pennsylvania, imperiled in Arkansas and West Virginia, and vulnerable in Kentucky, Tennessee, and Virginia. It is reportedly extirpated from Illinois and Indiana, likely extirpated from Georgia, and its status is under review in Ohio. It is state-listed as endangered in Ohio and Indiana, threatened in West Virginia, and of special concern in Kentucky and Virginia. The Kentucky Dept. of Fish and Wildlife Resources (2005) reports that this mussel is vulnerable to critically imperiled in over 90 percent of its global range. Its rank is being changed from special concern (Williams et al. 1993) to threatened by the American Fisheries Society (draft 2010, in review).

Habitat destruction:

Numerous sources report that longsolid is threatened by habitat loss and degradation caused by impoundments, dredging, gravel and sand quarrying, agriculture, development, and coal mining (U.S. EPA 2002, Kentucky Dept. of Fish and Wildlife Resources 2005, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the longsolid: though some populations occur in ostensibly protected sanctuaries or natural areas, it is not possible to exclude the impacts of upstream impoundments, pollution, dredging, or other harmful activity from these areas. NatureServe (2008) reports that no occurrences of this species are adequately protected. Though it is listed as endangered, threatened, or of special concern in several states (Ohio, Indiana, West Virginia, Kentucky, Virginia), these state-level designations afford the longsolid no substantial regulatory protection.

Other factors:

The longsolid is threatened by water pollution from agriculture, coal mining, urban runoff, and confined animal feeding operations (U.S. EPA 2002, Kentucky Dept. of Fish and Wildlife Resources 2005, NatureServe 2008). It is also potentially threatened by invasive bivalves, such as the zebra mussel (NatureServe 2008). Many populations of this species are now isolated and have very low abundance, which diminishes their genetic viability.

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Scientific Name:

Gomphus consanguis

Common Name:

Cherokee Clubtail

G Rank:

G3

IUCN Status:

EN - Endangered

Range:

This dragonfly is recorded from Virginia, Tennessee, Georgia, Alabama and North Carolina. It is known from the SE highlands area from SW Virginia to NE Alabama, plus one locality in the western Piedmont of North Carolina (Roble 1997).

Habitat:

The Cherokee clubtail inhabits small, spring-fed streams (usually first or second order) with sand, gravel and fine detritus substrate in partly shaded to open areas. Adults and larvae are often concentrated in mud-bottomed sections of these streams (NatureServe 2008). *G. consanguis* requires high water quality, and its habitats are usually spring-fed (NatureServe 2008).

Populations:

NatureServe (2008) estimates 21 - 80 populations with fewer than 1000 individuals of this species. There are probably not more than 50 adults at any time in most populations, but this species may have a two-year larval stage (Carle in Terwilliger 1991).

In Georgia, this species is known from nine streams in the extreme northwestern part of the state (Beaton, 2007b). It is rare and locally uncommon and occurs in Chattooga, Floyd, and Walker counties (Maufray and Beaton, 2005). In Alabama, this species is rare and only known from Blount and St. Clair counties (Tennessen et al., 1995). In North Carolina, known from Burke and Davie counties (LeGrand et al., 2006). In Tennessee, known from Sullivan County (TN Natural Heritage Program, 2008) and Meigs and McMinn counties (Hopper and Tennessen, 2007). In Virginia there are 9-10 occurrences (Roble, 1997). There are 3 occurrences in Washington County and 6 occurrences in Scott County (VA Natural Heritage Program, 2008). Surveys in Virginia (Stevenson and Roble, 1995; Roble, 1996, 1997) suggest that this species is considerably more common than previously (e.g., Carle in Terwilliger, 1991; Morse et al. in Benz and Collins, 1997) believed. Tennessen and Hopper (2007) found *G. consanguis* in two counties in eastern Tennessee (McMinn and Meigs) in 2004-2005. There are probably several dozen more populations to be discovered elsewhere within the current known range.

Population Trends:

NatureServe (2008) reports a short-term decline of 10-30 percent. It is probably declining due to habitat loss and degradation, but may be stable. Apparently the species is tolerant of some organic pollution.

Status:

This species is listed as Endangered by IUCN (Odonata Specialist Group, 1996), and it was a Federal C-2 Candidate Species until that list was abolished. The Cherokee clubtail is listed as Threatened in the State of Georgia (Ga. Comp. R. & Regs. r. 391-4-10-.09 2009).

According to Tennessen and Hopper (2007), "Dunkle (2004) rated *G. consanguis* as a species of conservation concern, stating that it occurs in 'rather pristine spring fed streams' and appears to be

sensitive to watershed disturbance.”

NatureServe (2008) ranks this species as critically imperiled in Alabama (S1S2), Georgia (S1S2), North Carolina (S1S2), and Tennessee (S1), and imperiled in Virginia.

Habitat destruction:

Although this dragonfly is tolerant of some organic pollution, its habitat faces numerous threats across its range.

NatureServe (2008) indicates that part of one Tennessee stream is used as a fish hatchery. Other streams where this species occurs are subject to agricultural pollution, and spring-fed habitats are subject to developmental usage and pollution. NatureServe also reports that the headwaters of some streams are degraded by cattle grazing.

Tennessen and Hopper (2007) report that “[t]he streams in which the species was found are impacted by farm operations, and population numbers appear to be low. ... The streams surveyed in this report are not pristine. Evidence indicates that logging, road building, farming practices, and cattle waste continue to impact the watersheds. It is highly likely that population numbers were higher prior to settlement and development of this area, but without historical data, it is not possible to assess the degree of impact. The new records fill a gap in knowledge of the distribution of *G. consanguis*, but the low population numbers indicate its status as rare should not be changed. “

The Cherokee Clubtail is threatened by a proposed quarry near Alabaster, Alabama. The threats the quarry poses, according to the University of Montevallo, are water cycle changes that would dry up the swamp; accelerated sinkhole development in the surrounding area; and increased downstream flooding. Mike Hardig, UM associate professor of biology, warns that "a limestone quarry in this location will have widespread and profound effects on the ecology of the region. It will adversely impact the local flora and fauna as well as the people that live in the area" (Wilstach 2005).

This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009).

Individuals of *G. consanguis* and its habitat were likely impacted by the Tsali Forest Health Project on the Cheoah Ranger District of the Nantahala National Forest (USFS 2001a).

G. consanguis is found near Beaver Creek and Clear Creek Reservoirs in Tennessee, managed by the Tennessee Valley Authority (TVA 2009a). The TVA Index of Biotic Integrity Scores for Beaver Creek Reservoir has been poor every year since 1995, and for Clear Creek Reservoir it has been fair or poor.

It also occurs near South Holston Reservoir in Tennessee and Virginia, where the Benthic

Community Assemblage scores have been poor every year since 2000 in both the forebay and the transition zone, except for 2006 when the transition zone was Fair (TVA 2009b).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that there are no currently protected sites occupied by this species. One site in Virginia is likely to be purchased by the state in the near future (1999-2000) but the headwaters of this stream will continue to be impacted by grazing cattle. *Gomphus consanguis* is a U.S. Forest Service Sensitive Species on the Cherokee National Forest (USFS 2001b) and is found on the Nantahala National Forest (USFS 2001a), but protection provided to Sensitive Species is discretionary. In 2001 The Nature Conservancy bought The Cleveland Barrens in Russell County, VA, including habitat for *G. consanguis*, and donated it to the State of Virginia (Bowman 2000).

Other factors:

The invasive Hemlock Woolly Adelgid may impact individuals of *G. consanguis* (USFS 2005).

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Scientific Name:

Gomphus sandrius

Common Name:

Tennessee Clubtail

G Rank:

G1

IUCN Status:

EN - Endangered

Range:

This dragonfly is known from six streams, all northern tributaries of the Duck River in the southern one-third of the Inner Basin of the Central Basin of south-central Tennessee (NatureServe 2008). It occurs in a 60 square mile area within three counties.

Habitat:

G. Sandrius is found in slow streams with limestone substrate (NatureServe 2008). Larvae burrow in silt behind rocks or clumps of water willow, while adults forage in fields.

Populations:

G. sandrius is known from six streams. There are probably hundreds to thousands on each stream, with a total estimated population of at least 2500 adults.

Population Trends:

Tennessen (1994), based on anecdotal evidence, thought the population had decreased by 25-50 percent in ten years.

Status:

NatureServe (2008) ranks this species as critically imperiled. IUCN (2010) now considers this species Vulnerable. It was a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

This species is threatened by agriculture including pesticide run-off, organic pollution, and cattle trampling of banks (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Gomphus septima

Common Name:

Septima's Clubtail

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

Septima's clubtail is a river-breeding dragonfly endemic to the mid-Atlantic and southeastern United States; populations are disjunctly distributed in New Jersey, New York, North Carolina, and Alabama (NatureServe 2008, Krotzer 2002). Natural heritage records place this species in Chatham, Harnett, Lee, Moore, Stanley, Union, and Wake Counties in North Carolina (extirpated from Durham County), in Mercer, Sussex and Warren Counties in New Jersey, and in New York's Sullivan County. It is currently known from roughly 16 rivers, the majority in North Carolina (NatureServe 2008).

Habitat:

This clubtail is present only in rocky sites with high water quality (well-oxygenated, rapid current) over gravel substrate, often in areas with silt or muddy reaches (NatureServe 2008, IUCN 2007). It is found in inland wetlands and permanent streams, rivers, and creeks (IUCN 2007).

Ecology:

Adults are diurnally active and feed on invertebrates, foraging both from the ground and from trees. Juveniles overwinter in their larval form, emerging in May and June (NatureServe 2008).

Populations:

This dragonfly is known from approximately 16 rivers. The Delaware River population could count as four occurrences, but additional surveys may link these occurrences into one continuous occurrence. Total population size is unknown (NatureServe 2008).

Population Trends:

Septima's clubtail has declined by up to 30 percent in the short-term, and up to 50 percent in the long-term (NatureServe 2008). This species has been extirpated from several sites in North Carolina (North Carolina Dept. of Environment and Natural Resources 2001).

Status:

NatureServe (2008) reports that this species is critically imperiled in New Jersey, New York, Alabama, and North Carolina, and not yet assessed in Tennessee and Virginia. It is considered a species of special conservation concern across most of its range, and is a former candidate for federal protection.

Habitat destruction:

Loss and degradation of habitat is a primary threat to this dragonfly (Hudsonia Environmental Research Institute 2010, NatureServe 2008). The New York State Dept. of Environmental Conservation (2005) reports that this species is threatened by changes in the natural hydrology such as the building of dams, and increases in sediment loads resulting from logging, agriculture, and other activities. The type locality of the subspecies of this species was destroyed by the construction of a dam (Bick 2003). The damming of rivers is an ongoing threat to this species (Abbott 2007 in IUCN 2008). The Laurel Bluffs North Carolina site on the Rocky River is threatened by runoff from a large agricultural field that extends to the river with no riparian buffer

(Chatham County Planning Dept. 2010). This dragonfly is also threatened by development (NatureServe 2008). Populations are also fragmented and isolated by changes in habitat quality, which contributes to declines in long-term viability.

Overutilization:

This species is sought by dragonfly collectors, as evidenced by this blog account of a collector actively seeking this species:

"Weather is always a major factor in any dragonfly collecting trip . . . My target was Septima's Clubtail, *Gomphus septima*, a fairly large, dully marked species that I had originally planned to look for on my return trip through North Carolina. Giff and Steve Krotzer had seen them on the Cahaba River just a few days before . . . Later I did find my Septima's Clubtails (males are very wary) then headed back east" (Accessed March 31, 2010 at: http://homepage.mac.com/edlam/dragonflyroad/5_07SE.html).

The extent to which collecting affects populations has not been assessed, but given the rarity of this species, and in conjunction with other threats such as pollution and habitat loss, collecting could increasingly threaten this dragonfly.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few occurrences are appropriately protected or managed: the Delaware population occurs within the Delaware River National Recreation Area, and the Little Cahaba River population in Alabama is on a Nature Conservancy preserve (Krotzer 2002).

No existing regulatory mechanisms adequately protect the Septima's clubtail; though it is considered a species of special conservation concern in much of its range, this designation offers the clubtail no substantial regulatory protection.

Other factors:

Septima's clubtail is limited to areas of high quality water, and is thus threatened by pollution (Abbott 2007 in IUCN 2008). The New York State Dept. of Environmental Conservation (2005) reports that this species is threatened by changes in dissolved oxygen content, direct effects of pesticides, and chemical contamination. The Laurel Bluffs North Carolina site on the Rocky River is threatened by agricultural run-off and wastewater treatment effluent (Chatham County Planning Dept. 2010). Chemical pollution can kill larvae and adults directly and can negatively impact both life stages by reducing prey availability. Mosquitoes and blackflies are important food sources for dragonflies, and spraying to control these pests may greatly reduce available food in any given location (Hudsonia Environmental Research Institute 2010). Adult dragonflies can be killed by collisions with vehicles, which can negatively impact small populations in areas of limited habitat availability (Hudsonia Environmental Research Institute 2010).

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Scientific Name:

Gomphus westfalli

Common Name:

Westfall's Clubtail

G Rank:

G1

Range:

The entire range of Westfall's clubtail in Florida is only about 25 km in diameter (Deyrup and Franz 1994). This dragonfly is known from only four streams in Santa Rosa County within or near the Blackwater River State Forest. Westfall's clubtail could possibly be a subspecies of *G. diminutus*, and the Center is hereby petitioning for either the species or the subspecies, should it be validated.

Habitat:

G. westfalli is associated with sphagnum-bog trickles and streams (Deyrup and Franz, 1994). Larvae burrow in silt, and adults forage in open forest near ground level. Surrounding habitat needs periodic burning, which should not be done during the spring flight season. Larvae require acidic slowly moving water (NatureServe 2008).

Populations:

G. westfalli is known from only four streams in Santa Rosa County within or near the Blackwater River State Forest (NatureServe 2008). It is estimated that there are hundreds of individuals on each stream.

Population Trends:

NatureServe (2008) reports that this species is stable in the short term, based on the assumption that state forest habitat is being properly managed for this species.

Status:

NatureServe (2008) ranks this species as critically imperiled. IUCN categorizes it as Vulnerable (Abbott 2007). It was also a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

Excessive clear-cutting is a threat to this species (NatureServe 2008). This species requires patches created by fire, and is potentially threatened by altered fire regime or by prescribed fires if conducted in the wrong season.

According to Abbott (2007): "Gomphus westfalli is in greater jeopardy than previously believed; although this insect is locally abundant, the entire known range is encompassed within a radius of a few kilometers. At present the future of the species must be considered highly uncertain (Carle and May 1987). Bick (2003) indicated that the total range of this species is very likely the smallest of any U.S. Anisopteran. The species is known from only four streams, but most populations are within a state forest and so could be fairly well protected/managed. The assessment is based on its restricted range, with less than five known locations, and the potential threat of deforestation."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that most populations of *G. westfalli* are within Blackwater State Forest so could be fairly well protected/managed. There are no data, however, to indicate that the forest is being appropriately managed to accommodate this species, which is threatened by clearcutting and requires appropriate fire regime. No existing regulatory mechanisms protect this dragonfly.

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Scientific Name:

Graptemys barbouri

Common Name:

Barbour's Map Turtle

G Rank:

G2

IUCN Status:

NT - Near threatened

Range:

Barbour's Map Turtle occurs in Florida, Georgia, and Alabama (NatureServe 2008). It principally occurs in the Apalachicola River system in the panhandle of Florida and in adjacent Georgia and Alabama, including the Flint River up to Lack Blackshear, the Chattahoochee River up to Russell County, and Chipola rivers and tributaries. It was recently detected in the Ochlockonee River, but this could have resulted from introduction (Enge et al. 1996). There is an erroneous record from the Escambia River (Sanderson and Lovich 1988, Lovich and McCoy 1992). There are Pleistocene fossils of this turtle from the Santa Fe River in Florida (NatureServe 2008).

Habitat:

This turtle is associated with relatively wide, clear streams with swift currents and abundant snags and downed trees, often in areas with exposed limestone. It is found in alluvial and spring-fed rivers and tributaries in areas that support its mollusk prey. At night it uses submerged limbs just below the water's surface for resting. During cold periods, it rests in limestone substrate depressions. Eggs are buried in sand at the water's edge. Like many turtles, Barbour's Map Turtle uses logs for basking (NatureServe 2008).

Ecology:

Barbour's Map Turtles prey primarily on snails and bivalves and also consume aquatic insects, particularly caddisfly larvae. Ashton and Ashton (1985) reported that this turtle's home range consists of only a few hundred square yards. Females may not become sexually mature until age 15 or older. Clutch size ranges from 4 to 11 eggs which are deposited on sandbars or on the riverbank. Multiple clutches may be produced annually.

Populations:

There are fewer than 20 populations of Barbour's Map Turtle, but the exact number of elemental occurrences is difficult to determine as all individuals within a river system potentially interbreed. Total population size is unknown and is estimated at 1000-10,000 individuals, with a few thousand individuals considered likely (NatureServe 2008). It is scarce in some parts of its range, such as the Chattahoochee, and fairly abundant in others. In the Apalachicola, Flint, and Chipola rivers it is relatively common, with more than 12 turtles being detected per mile. Updated population data are needed due to increasing threat from collection.

Population Trends:

Based on survey data from the 1990's, Barbour's Map Turtle has experienced moderate decline. Decline in Florida has been suggested but is undocumented, and available data more strongly suggest decline in Georgia (P. Moler, pers. comm., 1995, cited in NatureServe 2008).

Status:

Barbour's Map Turtle is imperiled (S2) in Alabama, Florida, and Georgia (NatureServe 2008). It is classified as Near Threatened by the IUCN. It is a State Protected species in Alabama, a Species of Special Concern in Florida, and is listed as Threatened by the State of Georgia.

Habitat destruction:

Habitat loss and degradation resulting from channelization, dredging, and pollution are known threats for Barbour's Map Turtle (NatureServe 2008). The Georgia Dept. of Natural Resources also reports that habitat degradation resulting from impoundment is a threat to this species (http://georgiawildlife.dnr.state.ga.us/assets/documents/gnhp/graptemys_barbouri.pdf). This turtle is dependent on a healthy molluscan prey base for survival, and its mollusk prey are also threatened by siltation and water quality deterioration resulting from dredging, channelization, impoundment and pollution (NatureServe 2008). According to the Georgia Dept. of Natural Resources (GDNR), anthropogenic impacts have slowed water flow, altered water quality conditions, and drastically reduced the native molluscan prey base. Removal of trees and snags for navigability also threatens this turtle by reducing the availability of basking sites (GDNR). This turtle is also threatened by collisions with barge traffic (NatureServe 2008).

Overutilization:

Overutilization is a dire threat for Barbour's Map Turtle. Despite some legal restrictions, this turtle is harvested for meat, collected for the pet trade, and snagged and killed on trotlines and bush hooks intended for other targets (NatureServe 2009, GDNR 2009). Recreational shooting also threatens the Barbour's Map Turtle (GDNR 2009). Collection exacerbates the threat this species already faces from habitat loss. Overutilization contributes to localized declines and extirpations and is pushing this restricted-range species closer to extinction. Reed and Gibbons (2003) report that nearly 1,200 Barbour's Map Turtles were declared as exported from 1996-2000, at least 800 of which were wild-caught. This does not include the number of unreported and illegally harvested turtles. Schlaepfer et al. (2005) argue that the status of map turtles is so dire that the trade in wild-caught animals should be halted or severely reduced due to the level of export and life-history characteristics that make them particularly vulnerable to overharvesting. Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. The Florida Fish and Wildlife Conservation Commission reports that demand for freshwater turtles is increasing. In recent decades heavy commercial harvest of southeastern freshwater turtles has occurred to meet foreign demand for turtles for use as meat, pets, and in traditional medicine. Over 13 million adult turtles were being sold annually in Asian countries by the late 1990s. Even limited take of turtles is unsustainable because of the key role of large adult female turtles in sustaining populations (http://myfwc.com/docs/CommissionMeetings/2009/2009_Apr_FreshwaterTurtle.pdf).

Disease or predation:

Proliferative shell disease is a known threat for Barbour's Map Turtle (Society for the Study of Amphibians and Reptiles 2009, <http://www.ssarherps.org/pages/stateconservation.php>). The Flint River population of this species is also threatened by a sometimes fatal disease of unknown etiology (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species. It is a Species of Special Concern in Florida. NatureServe (2008) reports that no occurrences are appropriately

protected and managed. Despite some state protections against take, this turtle is illegally harvested for meat and for the pet trade, is intentionally shot, and is accidentally trapped on lines set for other species. Schlaepfer et al. (2005) state that map turtles are not adequately protected against overcollecting despite their current legal status under CITES. This species is in dire need of Endangered Species Act protection.

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Scientific Name:

Graptemys ernsti

Common Name:

Escambia Map Turtle

G Rank:

G2

IUCN Status:

NT - Near threatened

Range:

The Escambia Map Turtle is found only in the Pensacola (Escambia) Bay drainage (NatureServe 2008). It occurs in the Conecuh, Escambia, Yellow, and Shoal rivers in southern Alabama and western Florida (Lovich and McCoy 1992, 1994).

Habitat:

This turtle uses medium to large rivers that have more alluvial than blackwater characteristics (Lovich and McCoy 1992). It is also frequently detected in small streams. It requires basking sites and beaches with fine sand for nesting. It does not occur in rivers that do not support its freshwater mollusk prey and also avoids salt waters, rarely occurring within a mile of the river mouth (NatureServe 2008).

Populations:

There are fewer than five populations of Escambia Map Turtle, with this turtle only occurring in two river systems, both of which drain into a single bay, making elemental occurrences difficult to determine. Data are lacking on total population size, but it is estimated that there are several thousand individuals (NatureServe 2008).

Population Trends:

The population trend for this turtle is thought to be stable, but due to recent collection pressure, surveys are needed to confirm stability (NatureServe 2008).

Status:

The Escambia Map Turtle is Imperiled in Alabama and Florida (NatureServe 2008). It is a State Protected species in Alabama. It is categorized as Near Threatened by the IUCN.

Habitat destruction:

This turtle is threatened by habitat loss and degradation due to channelization, impoundment, and removal of snags which are required for basking (NatureServe 2008, Buhlmann and Gibbons 1997). It is also threatened by any factor that reduces habitat suitability for its molluscan prey, as it is absent from streams that lack mollusks (NatureServe 2008). The Florida Wildlife Conservation Commission reports that this species' stream habitats are highly threatened by fragmentation, altered hydrologic regime, and sedimentation (http://myfwc.com/docs/WildlifeHabitats/Legacy_Softwater_Stream.pdf). The Army Corps of Engineers (2006) reports that this turtle is threatened by habitat loss due to urbanization encroachment (<http://www.crrel.usace.army.mil/library/technicalreports/ERDC-TR-06-4.pdf>).

Overutilization:

Overutilization is a primary threat for the Escambia Map Turtle. The majority of adult mortality is attributable to trapping, shooting, and commercial collection (NatureServe 2008). Currently this species is legally allowed to be taken with a possession limit. Schlaepfer et al. (2005) argue that the status of map turtles is so dire that the trade in wild-caught animals should be halted or severely reduced due to the level of export and life-history characteristics that make them particularly vulnerable to overharvesting. Studies have shown that the removal of long-lived, slow-growing animals with life

history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. The Florida Fish and Wildlife Conservation Commission reports that demand for freshwater turtles is increasing. In recent decades heavy commercial harvest of southeastern freshwater turtles has occurred to meet foreign demand for turtles for use as meat, pets, and in traditional medicine. Over 13 million adult turtles were being sold annually in Asian countries by the late 1990s. Even limited take of turtles is unsustainable because of the key role of large adult female turtles in sustaining populations (http://myfwc.com/docs/CommissionMeetings/2009/2009_Apr_FreshwaterTurtle.pdf). The Florida Turtle Conservation Trust (2008) suggests that due to the broad range of conservation challenges this species faces, legal take should be eliminated and enforcement programs should be developed.

Disease or predation:

This species is preyed upon by alligator snapping turtles (NatureServe 2008). In conjunction with other threats, natural predation could increasingly threaten this species. Nest predation has been reported in excess of 90 percent (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species (NatureServe 2008). The Yellow River forms the boundary of Eglin Air Force Base, but this does not assure habitat protection. It has no status in Florida. It is state-listed in Alabama, but this designation conveys no habitat protection. Schlaepfer et al. (2005) state that map turtles are not adequately protected against overcollecting despite their current legal status under CITES. Due to recent commercial collection pressure, this species is in dire need of Endangered Species Act protection.

Other factors:

This turtle is threatened by water pollution, particularly heavy metal pollution. Because of its dependence on molluscan prey, it is also threatened by any form of pollution which harms freshwater mollusks, including siltation (Buhlmann and Gibbons 1997).

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Scientific Name:

Graptemys gibbonsi

Common Name:

Pascagoula Map Turtle

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The Pascagoula Map Turtle has a relatively small range in the Pascagoula and Pearl river systems in Mississippi and eastern Louisiana (Lovich and McCoy 1992, NatureServe 2008). In the Pascagoula watershed, this turtle occurs in the Pascagoula, Leaf, and Chickasawhay rivers, and in Red, Bowie, Okatoma, and Tallahala creeks. This species is not found in Big Creek, Black Creek, the Escatawpa River, or in Alabama tributaries of the Pascagoula River (Lovich and McCoy 1992, 1994). In the Pearl River watershed, this species is found in the Pearl and Bogue Chitto rivers and in Ross Barnett Reservoir (Lovich and McCoy 1992). Dundee and Rossman (1989) reported this turtle from the Tickfaw River in Livingston Parish, Louisiana, but Lovich and McCoy (1992, 1994) questioned the validity of this record.

Habitat:

The Pascagoula Map Turtle uses medium to large rivers especially those with abundant mollusk prey, deep pools, sandy banks or sandbars for nesting, and logs or other structures for basking (Lovich and McCoy 1992). At night it usually clings to submerged objects just below water's surface, but sometimes comes onto sandy beaches or into shallow water (Dundee and Rossman 1989).

Populations:

There are an estimated 6-20 populations of Pascagoula Map Turtle, with occurrences in several streams in two major drainage basins (Lovich and McCoy 1994). Total population size is unknown but it is thought that there are at least a few thousand individuals (NatureServe 2008).

Population Trends:

The population trend of this turtle is reported as moderately declining to relatively stable (NatureServe 2008), but better documentation of trend is needed due to recent increases in commercial collection. It is thought to be declining in the Pascagoula River (Robert Jones, pers. comm., 1997 cited in NatureServe 2008). Lindeman (1999) suggested decline in the Pearl River. Selman and Qualls (2009) reported low abundances of *G. gibbonsi* in the Pascagoula River system, and failed to find this species in many historical locations, suggesting localized extirpations.

Status:

NatureServe (2008) categorizes the Pascagoula Map Turtle as vulnerable in Louisiana and Mississippi. It is categorized as Near Threatened by the IUCN. Based on updated survey data, Selman and Qualls (2009) recommend that *G. gibbonsi* be listed as state Endangered in Mississippi and Louisiana, U.S. federally listed as Threatened, and upgraded to Endangered (EN) under IUCN listing guidelines.

Habitat destruction:

The The Pascagoula Map Turtle is threatened by loss of basking sites due to the removal of logs and snags for boat navigation (Dundee and Rossman 1989). This turtle is also threatened by habitat loss and degradation due to stream channelization, point-bar mining, and impoundment (NatureServe 2008). The

state of Mississippi reports that this turtle's stream habitat is highly threatened by channel modification, dams and impoundments, headcutting, forestry, resource extraction, and urban and suburban development

(<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Type%2012-2.pdf>).

Similarly, the state of Mississippi reports that this turtle's sandbar habitat is highly threatened by channel modification, dams and impoundments, recreational activities, resource extraction, and invasive species (<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Type%205.pdf>).

Overutilization:

Overutilization threatens the survival of the Pascagoula Map Turtle. Commercial collection of this turtle has increased dramatically in recent years (Chris Lechowicz, unpublished report, 2006; <http://graptemys.com/exploitation.htm>). There has been a substantial increase in trade of Graptemys species (FWS Office of Law Enforcement 2000, <http://www.fws.gov/policy/library/2005/05-24099.html>). Schlaepfer et al. (2005) argue that the status of map turtles is so dire that the trade in wild-caught animals should be halted or severely reduced due to the level of export and life-history characteristics that make them particularly vulnerable to overharvesting. Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries.

Disease or predation:

In conjunction with other threats, predation poses an increasing threat to this turtle. Synanthropic species such as raccoons and crows are known to be major nest predators of this species (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Pascagoula Map Turtle. Reed and Gibbons (2003) rank the Pascagoula Map Turtle among the ten most vulnerable turtle species that lack significant legal protection. Schlaepfer et al. (2005) state that map turtles are not adequately protected against overcollecting despite some existing state regulations. This species is included in CITES Appendix III (USFWS 2005). Selman and Qualls (2009) recommend Endangered Species Act protection for this species.

Other factors:

Pollution is a threat to the Pascagoula Map Turtle. The state of Mississippi reports that water quality degradation is high-level threat to this turtle's stream habitat (Mississippi's Comprehensive Wildlife Conservation Strategy,

<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Type%2012-2.pdf>).

This species is threatened by industrial effluents (Dundee and Rossman 1989). Ernst et al. (1994) report that Graptemys species were absent downstream of a pulp processing plant on the Leaf River in 1986, whereas upstream they were abundant. This turtle is also threatened by any factor which threatens the molluscan prey on which it depends.

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Scientific Name:

Graptemys nigrinoda

Common Name:

Black-knobbed Map Turtle

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The Black-knobbed Map Turtle occurs in the Mobile Bay drainage system of Alabama and Mississippi (NatureServe 2008). It is found below the Fall Line in the Alabama, Tombigee, Black Warrior, Coosa, Tallapoosa, and Cahaba rivers (Tinkle 1959, Shoop 1967, Cliburn 1971, Lahanas 1986, Conant and Collins 1991, Ernst et al. 1994).

Habitat:

This turtle uses rivers and streams with moderate current and sand or clay substrates. It uses logs and other structures for basking (Ernst et al. 1994). Eggs are deposited in nests excavated on sandy beaches generally within 50 meters of the water line in sunny areas (Ernst et al. 1994).

Populations:

It is estimated that there are fewer than 20 populations of Black-knobbed Map Turtle (NatureServe 2008). This turtle occurs in several dozen locations in several rivers (Iverson 1992). There are less than ten elemental occurrences if each major river is classified as a distinct occurrence (NatureServe 2008). Mirarchi (2004) reports that this turtle is locally fairly common.

Population Trends:

This turtle is declining, but the rate of decline is not known (NatureServe 2008). Mirarchi (2004) reports the turtle as fairly common in Alabama, but not as common as in the past. There has been strong documented decline in Mississippi (Ernst et al. 1994).

Status:

The Black-knobbed Map Turtle is classified as Vulnerable in Alabama and Imperiled in Mississippi (NatureServe 2008). It is listed as Endangered by the state of Mississippi and as a species of Moderate Conservation Concern in Alabama (Mirarchi 2004). It is categorized as Near Threatened by the IUCN.

Habitat destruction:

The Black-knobbed Map Turtle is threatened by habitat loss and degradation from channelization, impoundment, and removal of logs and snags which eliminates essential habitat elements including nesting and basking sites (McCoy and Lovich 1993, NatureServe 2008). This turtle is also threatened by recreation. Adults are killed in collisions with outboard motors and drowned in gill nets, and nests are destroyed by picnickers and hikers (Ernst et al. 1994).

Overutilization:

Overutilization poses a dire threat to the Black-knobbed Map Turtle. The map turtle's life history traits make it especially vulnerable to overharvesting, with loss of adult females having long-term population effects. Reed and Gibbons (2003) report that nearly 10,000 Black-knobbed Map Turtles were declared as exported from 1996-2000, at least 5,500 of which were wild-caught. This does not include the number of unreported and illegally harvested turtles. Schlaepfer et al. (2005) argue that the status of map turtles is so dire that the trade of wild-caught animals should be halted or severally reduced due to the level of export and life-history characteristics that make them particularly vulnerable to overharvesting. NatureServe (2008) reports that this species is also threatened by target shooting and exploitation for the

pet trade. This turtle's meat and eggs are also harvested for use as food (Lahanas 1982, CITES Proposal 1996). Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. The Florida Fish and Wildlife Conservation Commission reports that demand for freshwater turtles is increasing. In recent decades heavy commercial harvest of southeastern freshwater turtles has occurred to meet foreign demand for turtles for use as meat, pets, and in traditional medicine. Over 13 million adult turtles were being sold annually in Asian countries by the late 1990s. Even limited take of turtles is unsustainable because of the key role of large adult female turtles in sustaining populations (http://myfwc.com/docs/CommissionMeetings/2009/2009_Apr_FreshwaterTurtle.pdf).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species, and few if any occurrences are adequately protected and managed (NatureServe 2008). Due to harvesting pressure, Reed and Gibbons (2003) rank the Black-knobbed Map Turtle among the ten most vulnerable turtle species that lack legal protection. Schlaepfer et al. (2005) state that map turtles are not adequately protected against overcollecting despite their current legal status. This species is in dire need of Endangered Species Act protection.

Other factors:

Pollution threatens this species (NatureServe 2008).

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Scientific Name:

Graptemys pulchra

Common Name:

Alabama Map Turtle

G Rank:

G4

IUCN Status:

NT - Near threatened

Range:

The Alabama map turtle occurs in Alabama, Georgia, and possibly Mississippi (NatureServe 2008). The global range of this turtle is restricted to the Tombigbee and Alabama river systems that empty into Mobile Bay. In northwest Georgia it is only known from the Conasauga River (Buhlmann and Gibbons 1997).

Habitat:

This turtles occurs in large creeks to medium-sized rivers with moderate current, an abundance of aquatic vegetation, and logs for basking. It also occurs in oxbows and lakes. It requires sand bars or sandy banks for nesting, logs or other structures for basking, deep pools, and an abundance of molluscan prey (Lovich and McCoy 1992, Behler and King 1979).

Ecology:

Females of this species take up to 14 years to reach sexual maturity. Males may become sexually mature in 4 years (Behler and King 1979).

Populations:

NatureServe (2008) reports that there are likely at least several occurrences of this species, assuming each major occupied stream system is a distinct occurrence. Total population size is unknown. This is the most common turtle in the Pearl, Tombigbee, and Escambia rivers (NatureServe 2008).

Population Trends:

The Alabama Map Turtle is moderately declining (NatureServe), but due to recent increases in collection pressure, updated surveys are warranted to determine trend. Populations of this species have been notably reduced in accessible portions of its range (Bartlett and Bartlett 1999). Buhlman and Gibbons (1997) report that this species is declining in Mississippi due to degraded water quality in the Tombigbee River system (T. Mann, Mississippi Natural Heritage Program, pers. comm.)

Status:

NatureServe (2008) ranks this turtle as critically imperiled (S1) in Georgia, imperiled in Mississippi (S2?), and vulnerable in Alabama (S3). It is categorized as Near Threatened by the IUCN. It is categorized as Rare by the state of Georgia.

Habitat destruction:

NatureServe (2008) reports that this species is threatened by impoundment and stream channel alteration. The State of Georgia reports that this species is threatened by habitat loss and degradation due to impoundment, hydrological modifications, and the removal of snags and logs which are necessary for basking (http://www.georgiawildlife.com/sites/default/files/uploads/wildlife/nongame/pdf/accounts/reptiles/graptemys_pulchra.pdf). The state of Mississippi reports that this turtle's sandbar habitat is highly threatened by channel modification, dams and impoundments, recreational activities, resource extraction, and invasive species

(<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Type%205.pdf>). Similarly, the state of Mississippi reports that the Tombigbee drainage is highly threatened by channel modification, dams and impoundments, headcutting, agriculture, forestry, resource extraction, and industrial development (<http://www.mdwfp.com/homeLinks/More/Final/Chapter%204.%20Habitat%20Types%2012-1.pdf>). Buhlman and Gibbons (1997) report that this species is declining in Mississippi due to degraded water quality in the Tombigbee River system (T. Mann, Mississippi Natural Heritage Program, pers. comm.).

Overutilization:

The Alabama Map Turtle is threatened by commercial collection and by intentional shooting for recreation (NatureServe 2008). Bartlett and Bartlett (1999) cite collection for the pet trade as a threat to this turtle. The State of Georgia reports that this species is threatened by illegal take for human consumption and the pet trade (http://www.georgiawildlife.com/sites/default/files/uploads/wildlife/nongame/pdf/accounts/reptiles/graptemys_pulchra.pdf). Reed and Gibbons (2003) report that over 160 Alabama Map Turtles were declared as exported from 1996-2000, at least 111 of which were wild-caught. This does not include the number of unreported and illegally harvested turtles. There has been a substantial increase in trade of Graptemys species (FWS Office of Law Enforcement 2000, <http://www.fws.gov/policy/library/2005/05-24099.html>). Schlaepfer et al. (2005) argue that the status of map turtles is so dire that the trade in wild-caught animals should be halted or severely reduced due to the level of export and life-history characteristics that make them particularly vulnerable to overharvesting. Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. The Florida Fish and Wildlife Conservation Commission reports that demand for freshwater turtles is increasing. In recent decades heavy commercial harvest of southeastern freshwater turtles has occurred to meet foreign demand for turtles for use as meat, pets, and in traditional medicine. Over 13 million adult turtles were being sold annually in Asian countries by the late 1990s. Even limited take of turtles is unsustainable because of the key role of large adult female turtles in sustaining populations (http://myfwc.com/docs/CommissionMeetings/2009/2009_Apr_FreshwaterTurtle.pdf).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Alabama Map Turtle. Schlaepfer et al. (2005) state that map turtles are not adequately protected against overcollecting despite their protected status in some states, due to the recent increase in collection pressure.

Other factors:

This species is threatened by pollution (Buhlman and Gibbons 1997, NatureServe 2008). The State of Georgia reports that this species is threatened by siltation and pollution, and by factors which threaten its molluscan prey (http://www.georgiawildlife.com/sites/default/files/uploads/wildlife/nongame/pdf/accounts/reptiles/graptemys_pulchra.pdf).

degraded water quality in the Tombigbee River system (T. Mann, Mississippi Natural Heritage Program, pers. comm.).

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Scientific Name:

Grus canadensis pratensis

Common Name:

Florida Sandhill Crane

G Rank:

T2

Range:

The Florida sandhill crane has a limited range in Florida and Georgia. It occurs in extreme southeastern Georgia around Okefenokee Swamp and in suitable habitat throughout Florida, but is scarce south of Lake Okeechobee (NatureServe 2008).

Habitat:

The Florida sandhill crane occurs in prairies, shallow flooded open areas, marshy lake regions, low lying pastures, along sloughs and in open flats, and near ponds and scattered wooded hammocks. The crane avoids forests and deep marshes, and may preferentially use open upland habitats such as pastures and transitional pastures (Nesbitt and Williams 1990). Nesting typically takes place in marshes, or shallow lakes and ponds with dense emergent vegetation (Johnsgard 1983). Nests are composed of herbaceous plant material in shallow water or on the ground in marshy areas. Nest disturbance can lead to abandonment (Stys 1997), but some populations appear to have become tolerant to limited disturbance (Dwyer and Tanner 1992).

Ecology:

The Florida sandhill crane is non-migratory and exhibits year-round home-range fidelity. Subadult home ranges in north-central Florida average 2132 ha (Nesbitt and Williams 1990). Territorial adult home ranges are influenced by several factors including habitat quality, status, and season, and average 447 ha (Nesbitt and Williams 1990). In Georgia, adult cranes establish several nonoverlapping annual home ranges which average 92 ha, and they move less than 1.0 km among seasonal ranges (Bennet 1989). Subadult home ranges in Georgia average several hundred hectares (Bennet 1989). In a study in Georgia, maximum recorded linear movement for adults was 2.6 km, and was 10.5 km for subadults (Bennett 1989).

Populations:

NatureServe (2008) estimates that there are from 21-80 populations of this subspecies. The exact number of populations is unknown and is dependent upon how isolated ponds and pairs are lumped into population delineations. There are "a few dozen" population concentrations. A 2003 GIS analysis estimated a total population size of 4,594 individuals (Florida Fish and Wildlife Conservation Commission 2009).

Population Trends:

NatureServe (2008) reports that this subspecies is declining (decline of 10-30 percent). The Florida Fish and Wildlife Conservation Commission (2009) reports a 35.7 percent decline in the crane population statewide from 1974 to 2003. In 2003, a GIS analysis of landcover using occupied suitable crane habitat and annual home range sizes, age structure, and average flock size yielded a population estimate of 4,594 cranes. Based on landcover in 1974, the same analysis yields a population estimate of 7,142 cranes. Bennett (1989) estimated the total population size as 5000-6000 individuals, about a quarter of which were nonbreeding subadults. When the 2003 land cover information was compared to actual land-use data from 2006, it was determined that only 12.2 percent of occupied suitable habitat remained in areas of conservation lands. The Fish and Wildlife Conservation Commission reports that this habitat is probably not actively managed

for cranes, and is therefore of average quality. Based on this assumption, there are probably no more than 263 breeding pairs of sandhill cranes currently being sustained on public lands in Florida (Nesbitt and Hatchitt 2007).

Status:

The Florida sandhill crane is ranked by NatureServe (2008) as imperiled in Florida and critically imperiled in Georgia. It is listed as threatened by the state of Florida, and has no status in Georgia.

Habitat destruction:

NatureServe (2008) reports that habitat destruction threatens the Florida sandhill crane, especially the draining of wetlands for agriculture and real estate development. Activities in crane habitat threaten this bird because it disappears from areas of heavy human usage.

The Florida Fish and Wildlife Conservation Commission (2009) reports that habitat loss and degradation is the most common threat to this subspecies. The shallow freshwater marshes and adjacent uplands on which this bird depends are easily degraded.

Scott (2004) reports that many wetlands that were inhabited for generations by sandhill cranes have now been drained for agriculture and development. Within its already limited range, the crane's specialized habitat is shrinking. Sandhill cranes do forage in improved pastures, but these areas do not usually furnish adequate nesting sites (Scott 2004).

The Florida Natural Areas Inventory (2001) reports that the crane is threatened by ongoing loss of open rangeland and native prairie to development and conversion to more intensive agricultural uses such as citrus groves and row crops. The shallow wetlands used by cranes are easily threatened by drainage change patterns in adjacent uplands even if the wetlands themselves are not directly disturbed (Florida Natural Areas Inventory 2001). The crane is threatened by altered fire regime, as periodic fire is important to retard the invasion of woody vegetation in crane habitat (Florida Natural Areas Inventory 2001). The crane is also threatened by altered hydrologic regimes (Florida Natural Areas Inventory 2001).

Stys (1997) reports that the long-term survival potential of the Florida sandhill crane is at risk due to the increasing rate of habitat loss or modification from filling or draining of wetlands, degradation or loss of prairie and range habitats, and fragmentation of remaining habitat into patches too small or too isolated to be suitable for use. Habitat fragmentation and human disturbances may force sandhill cranes to temporarily or permanently abandon the areas they inhabit, and may reduce the overall fitness of populations by forcing cranes to travel greater distances to find foraging and roosting sites. Loss of habitat has resulted in an increasing number of cranes using suburban and urban areas where they are easily disturbed and face increased mortality (Stys 1997). Breeding may be delayed or abandoned if suitable foraging or breeding habitat cannot be located (Nesbitt 1996, Nesbitt and Williams 1990).

The crane is also potentially threatened by reduced wetland habitat quality due to livestock grazing, which can reduce the amount of vegetation in and around wetlands (Florida Fish and Wildlife Conservation Commission 2009). The crane can be threatened by poorly timed wetland management activities which can flood existing nests or detrimentally impact foraging habitat (Florida Wildlife Conservation Commission 2009).

The Florida Fish and Wildlife Conservation Commission (2005) identifies threats to the crane's habitat by habitat type. The agriculture habitats where this species forages are threatened by conversion to commercial, industrial, and residential development, and by pollution from pesticides and nutrient loading. The disturbed and transitional habitats with which this species is associated are threatened by development, incompatible recreational activities, and invasive species such as *Melaleuca*, Australian pine, Brazilian pepper, and *Eucalyptus*. The crane's dry prairie habitat is very highly threatened by conversion to housing and urban development and road construction and highly threatened by conversion to commercial and industrial development, and altered hydrologic and fire regime. The crane's freshwater marsh and wet prairie habitat is very highly threatened by conversion to agriculture, and conversion to housing and urban development, and highly threatened by surface water withdrawal, nutrient loading, mining and drilling, road building, and invasive vegetation. The grassland and improved pasture habitat which supports the crane is highly threatened by conversion to more intensive agriculture, conversion to housing, urban development, and recreation areas, and road building. The large alluvial streams with which the crane is associated are highly threatened by impoundments, water control structures, and channel modification. The crane's natural lake habitats are highly threatened by nutrient loading, invasive plants, dam operations, and conversion to housing and urban development. The reservoir and managed lake habitats which support the crane are highly threatened by water quality degradation, contaminants, sedimentation, nutrient loading, recreation, and invasive plants and animals (Florida Fish and Wildlife Conservation Commission 2005).

Overutilization:

Historically, overhunting negatively impacted this subspecies (Meine and Archibald 1996). Concerning the threat of hunting to the crane, Scott (2004) states: "Shooting cranes for sport and fun caused the initial decline of this species in Florida. The state now outlaws the hunting of sandhills, although a few cranes are undoubtedly shot each year" (p. 175).

Disease or predation:

Predation by raccoons and fish crows is a cause of nest failure. Eggs and chicks are also lost to feral hogs, river otters, red-tailed hawks, great-horned owls, American alligators, and coyotes (Stys 1997). Free-ranging dogs and cats also prey on crane eggs and young (Nesbitt 1996, Scott 2004). Predation by synanthropic predators such as raccoons, crows, coyotes, and feral pets is likely to increase with increasing development.

Cranes in other states have succumbed to avian cholera, avian botulism, and avian tuberculosis, and have been killed by mycotoxins from moldy agricultural wastes (Windingstad 1988).

The degree to which disease and/or predation are negatively affecting the Florida sandhill crane has not been quantified, but in conjunction with ongoing habitat loss and degradation, could increasingly threaten this subspecies.

Inadequacy of existing regulatory mechanisms:

The crane occurs on several managed areas in Florida and on Okefenokee National Wildlife Refuge in Georgia. The Florida Natural Areas Inventory (2001) reports that due to the crane's large home-range requirements, public lands do not protect large populations of cranes (Florida Natural Areas Inventory 2001). Also, nesting success in human-altered areas is well below that of native areas (Florida Natural Areas Inventory 2001). Cranes are also easily disturbed (Stys 1997).

NatureServe (2008) states that the protection of large tracts (at least 2000 acres) of suitable nesting and foraging habitat is an important measure that could be taken to conserve this bird. This subspecies is listed as threatened by the state of Florida, but this designation does not provide meaningful habitat protection. Scott (2004) suggests that a few cranes are still shot annually, despite state protection. No existing regulatory mechanisms adequately protect this subspecies.

Other factors:

The Florida sandhill crane is threatened by several other factors. Standing water is an important nesting component for this subspecies, and drought conditions can seriously threaten sandhills during the breeding season (Scott 2004). Flooding also threatens this bird, as flooding is a major cause of egg loss in north central Florida (Stys 1997). Proximity of road surfaces to nest sites increases the risk of flooding and has contributed to nest failure (Dwyer and Tanner 1992). The crane is easily disturbed and field observers and other disturbances have contributed to nest failure (Dwyer and Tanner 1992, Hipes et al. 2000).

Sandhill cranes are vulnerable to hazards such as powerlines and fences (Windingstad 1988). Becoming entangled in barbed wire and other fences is a leading cause of injury and death for Florida sandhill cranes (Scott 2004). Several cranes in south Florida have been killed or injured due to collisions with vehicles or airplanes (Stys 1997). Vehicle mortality is especially high when Florida sandhill cranes are caring for fledgling young (Hipes et al. 2000). Lead poisoning has caused crane deaths in Colorado and Nebraska (Windingstad 1988).

The long-term survival potential of the Florida sandhill crane is at risk due to small population size and low reproductive potential (i.e. small clutch size, low recruitment rate, seasonal nesting) (Stys 1997). The relatively low reproductive rate of Florida sandhill cranes is not conducive to rapid recovery (Scott 2004).

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Scientific Name:

Gyrinophilus palleucus

Common Name:

Tennessee Cave Salamander

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The Tennessee Cave Salamander is patchily distributed in central and south-central Tennessee, northern Alabama, and northwestern Georgia (Frost 2002, Beachy 2005, NatureServe 2008). Two subspecies of Tennessee Cave Salamanders are recognized: Sinking Cove Cave Salamanders (*G. p. palleucus*) and Big Mouth Cave Salamanders (*G. p. necturoides*) (AmphibiaWeb 2009).

Habitat:

The Tennessee Cave Salamander occurs at elevations ranging from 150-400 meters. NatureServe (2008) describes this species' habitat as "streams in caves that contain amphipods and other aquatic organisms that can serve as food; individuals may be found in rimstone pools, stream runs and pools, and pools isolated by receding waters; water tends to be clear and free of sediment; substrates include rock, gravel, sand, and mud (Godwin 1995). Sinkholes are an important habitat component, allowing for detritus inflow (Caldwell and Copeland 1992). Occasionally occurs in epigean environments; probably these individuals have been washed out of caves (Bury et al. 1980)."

AmphibiaWeb (2009) provides the following description of this species' habitat: "Tennessee cave salamanders are found in sinkhole-type caves or phreatic cave systems in the vicinity of sinkholes. This association is due to the nutrients that flow into these systems and the prey base they support. Caldwell and Copeland (1992) suggest that inflow (sinkhole) caves versus outflow caves may provide the best habitat. Animals are found under rocks in rocky and sandy substrates in quiet, shallow pools (McCrady, 1954; Simmons, 1975; see also Petranksa, 1998)."

Ecology:

The Tennessee Cave Salamander is primarily neotenic with only a few transformed adults to have ever been found. Conant and Collins (1998) state, "Like most salamanders that spend their entire existence beneath the water, this one has external gills, lacks eyelids, and has small eyes."

AmphibiaWeb (2009) provides the following information on the ecology of this species. Females likely lay eggs in autumn or early winter, based on the detection of males with spermatophores in August (Lazell and Brandon, 1962) and the occurrence of small hatchlings in caves in December–February (Simmons, 1975; see also Petranksa, 1998 in AmphibiaWeb 2009).

Tennessee Cave Salamanders consume benthic invertebrates. They are found both under rocks and in the open and are highly sedentary with individuals often being detected repeatedly in exactly the same location, indicating small home ranges. Population estimates from various caves reveal sizes of 25, 32, 48, and 88 animals, with densities ranging from 0.06–0.15 animals/m² (AmphibiaWeb 2009). Males reach sexual maturity at 66-100 mm SVL. The inner contour of the vent is sexually dimorphic (Brandon, 1967a). Tennessee Cave Salamanders prey on conspecifics and on invertebrates such as amphipods, annelids (oligochaetes and earthworms), cladoceran zooplankton, crayfish, and insects such as coleopterans, plecopterans, ephemeropterans, trichopterans, dipterans (chironomid larvae), and thrips. Tennessee Cave Salamanders are eaten by

conspecifics (Lazell and Brandon, 1962; Simmons, 1975) and American bullfrogs (*Rana catesbeiana*), which can inhabit the mouths of cave entrances (Lee, 1969b), and possibly crayfish (in AmphibiaWeb 2009).

Populations:

NatureServe (2008) reports that there are approximately 24 known populations of Tennessee Cave Salamanders, with other possible occurrences (Godwin 1995). Abundance is difficult to determine but total adult population size probably exceeds 1,000. Available information suggests that populations contain small numbers of individuals, based on surveys which rarely yield more than 10-20 individuals per cave visit (Petranka 1998). Population estimates for individual caves usually are a few to several dozen individuals per cave (Simmons 1975, Petranka 1998). Jess Elliot Cave is reported as the most significant site in Alabama (Godwin 1995), and Cave Cove Cave (elevation approximately 425 meters) supports the largest population in Tennessee (Caldwell and Copeland 1992).

Population Trends:

The Tennessee Cave Salamander is declining in the short term and appears to be relatively stable over the long term (NatureServe 2008), but several researchers have reported that most populations appear to be declining (Simmons 1975, Caldwell and Copeland 1992, Redmond and Scott 1996 in AmphibiaWeb 2009, Beachy 2005). The population in Custard Hollow Cave, Tennessee, appears to be declining (Caldwell and Copeland 1992).

Status:

NatureServe (2008) ranks the Tennessee Cave Salamander as critically imperiled (S1) in Georgia and imperiled (S2) in Alabama and Tennessee. It is ranked as Vulnerable by the IUCN. It lacks legal protective status.

Habitat destruction:

Dodd (1997) lists habitat alteration as a threat to the Tennessee Cave Salamander. Habitat destruction and alteration is reported by NatureServe (2008) as a major threat to the Tennessee Cave Salamander. Petranka (1998) reports that the abundance of some populations has been affected by siltation and increased water flows associated with deforestation (Petranka 1998). Tennessee Cave Salamanders are also known to be threatened by mining, urbanization, deposition of fill and trash in sinkholes, and flooding following dam construction (Caldwell and Copeland 1992, Godwin 1995, Petranka 1998, Beachy 2005).

Miller and Niemiller (2008) cite habitat degradation as an immediate threat to Tennessee Cave Salamander populations, stating, "In particular, agricultural and silvicultural practices, and urbanization adversely affect water quality by increasing herbicide and pesticide load, silt load, and exhaust runoff from roads. . . the Rutherford and Wilson Co. populations of *G. pallescens* are in expanding urban areas and are likely to be negatively impacted by urban development" (p. 13). Gratwicke (2008) states that coal mining threatens cave salamanders in Appalachia.

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared

in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous” (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranka et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: “Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranka et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007).

Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Overutilization:

Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: "Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available" (p. 183).

Disease or predation:

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (<http://amphibiaweb.org/declines/diseases.html>, Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, reviewed in AmphibiaWeb 2009). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and potentially threatens the Tennessee Cave Salamander.

Inadequacy of existing regulatory mechanisms:

The Tennessee Cave Salamander was designated by the U.S. Fish and Wildlife Service (1994) as a Category 2 candidate for Federal listing, but was dropped from the 1996 list (USFWS 1996). Currently it is a federal species of management concern and is a Priority 2 species of greatest conservation need in Alabama, but these designations do not provide the species with any regulatory protection. It is listed as threatened by the states of Tennessee and Georgia. The state listings do not provide regulatory protection for the species or its habitat, but do require scientific permits for collection. This salamander occurs in Russell Cave National Monument (Godwin 1995), which provides some habitat protection at that site. NatureServe (2008) recommends protecting all known populations. Petranka (1998) recommends protecting water quality and watersheds that drain into sinkhole systems through protective land management initiatives. Caldwell and Copeland (1992) provide management recommendations for specific sites in Tennessee.

Other factors:

Tennessee Cave Salamanders are threatened by any factor which decreases water quality including water pollutants in runoff from agricultural and residential areas (NatureServe 2008).

On threats to cave-dwelling organisms, Scott (2004) states: "Subterranean ecosystems, aquatic and terrestrial, are extremely delicate environments with stable, constant temperatures, humidity, air circulation patterns, chemical characteristics, and detrital inputs. Even minor perturbative events can result in large kills of cave fauna. Threats include agricultural, industrial, and residential pollutants, especially pesticides and herbicides (which may simply leach through soils); erosion and siltation caused by destruction of vegetation at sink perimeters; changes in detrital input; pumping of water; collection of fauna; invasive exotic species; and disturbance of fauna or nutrient reserves by spelunkers and divers. Humans have slaughtered entire bat colonies in some caves and caused partial or total abandonment of others, depleting the guano that supplies important nourishment for many cave invertebrates. Degradation of surface habitats may also threaten cave

fauna” (p. 77).

Other factors which threaten imperiled amphibian populations in the Southeast include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997). Southeastern amphibians are also threatened by the invasion of non-native species which prey on or

compete with native amphibians.

Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Gyrinophilus subterraneus

Common Name:

West Virginia Spring Salamander

G Rank:

G1

IUCN Status:

EN - Endangered

Range:

The West Virginia Spring Salamander occurs in a single small cave system in southeastern West Virginia in Greenbrier County. The entire range of the species is less than 100-250 square km (NatureServe 2008).

Habitat:

The elevation of the cave system where this species occurs is approximately 530 m (Petranka 1998, NatureServe 2008). This salamander is restricted to the cave and the muddy banks along the stream in the vicinity of the limestone cave. The stream has sizeable quantities of decaying organic matter. The salamander relies on consistent water quality and the recharge of organic material supplied from outside the cave (NatureServe 2008). The stream usually varies in depth from 15–30 cm, but will flood following rains. Spelunkers have observed West Virginia Spring Salamanders almost 2 km into the cave (NatureServe 2008, AmphibiaWeb 2009).

Ecology:

This salamander feeds on small invertebrates in and along the cave stream (Conant and Collins 1998) including crayfish (*Cambarus nerterius*), amphipods (*Gammarus minus*; *Stygobromus spinatus*), isopods (*Asellus holsingeri*), and carabid beetles (*Pseudanophthalmus grandis*; *P. laldermani*) and potentially Cavernous collembolans (*Pseudosinella gisini*), millipedes (*Trichopetalum weyeriense* and *Pseudotremia* sp. [probably *fulgida*]), a pseudoscorpion (*Kleptochthonius henroti*), and an oligochaete (*Allobophora chlorotica*) (AmphibiaWeb 2009). Larvae metamorphose at about 95 mm SVL, and the largest larvae are either near or have attained sexual maturity (AmphibiaWeb 2009).

Populations:

The West Virginia Spring Salamander is known only from a single population, the species type locality. Population size is unknown but is likely less than 300 individuals. Surveys have reported from ten to less than 100 individuals per survey (NatureServe 2008).

Population Trends:

The population trend for the West Virginia Spring Salamander is uncertain, but is estimated to be likely stable in the absence of habitat alteration (NatureServe 2008).

Status:

NatureServe (2008) ranks this species as critically imperiled (G1S1) (WV). It occurs in a small population in single cave system which is incompletely protected (NatureServe 2008). It is classified as Endangered by the IUCN. It lacks legal protective status.

Habitat destruction:

The West Virginia Spring Salamander is threatened by habitat modification. The current land owner has proposed logging within the watershed which supports the species. Recently during the construction of a pond, the land owner nearly compromised the structural integrity of the cave (NatureServe 2008). Because this species occurs at only a single site, modification of its habitat will result in extinction.

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: “The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous” (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranks et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranks et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Overutilization:

The West Virginia Spring Salamander occurs at only a single location, therefore collection is a potential threat to the species. Because of its restricted distribution, Besharse and Holsinger (1977) strongly recommend that future collecting of specimens be done sparingly (AmphibiaWeb 2009). Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

The West Virginia Spring Salamander is not currently known to be threatened by disease, but given the rapid spread of disease to amphibian populations globally, disease is a potential threat to this species, especially in conjunction with the species' restriction to a single cave system. Because this salamander occurs at a single site, population extirpation would result in species extinction. Because this cave is used recreationally, spelunkers could inadvertently introduce disease to this population.

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (<http://amphibiaweb.org/declines/diseases.html>, Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, reviewed in AmphibiaWeb 2009). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and thus potentially threatens this salamander.

Inadequacy of existing regulatory mechanisms:

Existing regulatory mechanisms are inadequate to protect the West Virginia Spring Salamander. It is considered Rare by the state of West Virginia, but this designation does not provide any regulatory protection. The single occurrence of this species is not appropriately protected (NatureServe 2008). The Nature Conservancy holds title to one entrance to the cave which supports this species, and holds an easement on the cave system, but one entrance to the cave is unmanaged and the watershed which supports the cave is unprotected (NatureServe 2008). For the protection of the species, NatureServe (2008) recommends acquiring the second cave entrance, restricting activities in the watershed, limiting human access to the cave, and protecting the surface environment which supports the cave ecosystem. AmphibiaWeb (2009) states, "Every attempt should be made to preserve this (species') habitat and its water sources."

Other factors:

On threats to cave-dwelling organisms, Scott (2004) states: "Subterranean ecosystems, aquatic and terrestrial, are extremely delicate environments with stable, constant temperatures, humidity, air circulation patterns, chemical characteristics, and detrital inputs. Even minor perturbative events can result in large kills of cave fauna. Threats include agricultural, industrial, and residential pollutants, especially pesticides and herbicides (which may simply leach through soils); erosion and siltation caused by destruction of vegetation at sink perimeters; changes in detrital input; pumping of water; collection of fauna; invasive exotic species; and disturbance of fauna or nutrient reserves by spelunkers and divers. Humans have slaughtered entire bat colonies in some caves and caused partial or total abandonment of others, depleting the guano that supplies important nourishment for many cave invertebrates. Degradation of surface habitats may also threaten cave fauna" (p. 77).

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Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians.

Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states:

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Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Haideotriton wallacei

Common Name:

Georgia Blind Salamander

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The Georgia Blind Salamander occurs in Jackson County, Florida, and in Dougherty and Decatur counties, Georgia, in the Marianna Lowlands-Dougherty Plain physiographic region which is a vast karst area of passageways and exposed vadose caves (NatureServe 2008, AmphibiaWeb 2009). The species is known from a deep well in Albany, Georgia, and from Climax Cave. In the Florida Panhandle the species has been detected at approximately a dozen sites which allow access to the Floridan Aquifer, which circulates in underground passageways in limestones of the Ocala and Suwannee formations (AmphibiaWeb 2009).

Habitat:

This salamander is a resident of the Marianna Lowlands (Dougherty Plain) karst aquifer, at 20-60 m elevation. It is usually found at the bottom of subterranean streams, in clear pools in caves, or in deep wells, but may sometimes leave the water and climb the limestone walls of caves (NatureServe 2008). AmphibiaWeb (2009) provides the following information on Georgia Blind Salamander habitat: Georgia Blind Salamanders are commonly encountered in pools and underground streams where bats defecate over or near the water. They become less abundant farther back in subterranean tunnels away from air, likely because their food is scarce. Their water is usually crystal clear, but following heavy rainfall becomes turbid. Water temperature is generally 18–21 °C. Their habitat has limestone walls and ceiling with fine red clay and silt floors.

Ecology:

Blind salamanders have no need for functional eyes because they spend their entire lifetime in the dark. They have pink or white translucent skin and dark internal organs are visible through the lower sides and belly. The Georgia Blind Salamander has a long, broad, but not greatly flattened head (Conant and Collins 1991). These salamanders move slowly, resting on the bottom or climbing on limestone sidewalls and underwater ledges (Pylka and Warren 1958, Means 1992c in AmphibiaWeb 2009). Breeding may be aseasonal; gravid females have been detected in May and September, and adults possibly move to sites of energy recharge (bat caves, sinkholes) to breed. Gravid females with enlarged ova have been found in May and November (Carr 1939, Means 1977 in AmphibiaWeb 2009). This species is most likely an obligate neotene-- no transformed individuals have been detected, and larvae do not respond to metamorphosis-inducing agents in the lab (Dundee 1962, Petranksa 1998). This salamander is commonly detected with the Dougherty Plain blind crayfish (*Cambarus cryptodytes*; Pylka and Warren, 1958; Means, 1977, 1992c in AmphibiaWeb 2009). Adult Georgia Blind Salamanders measure 51–76 mm TL; growth rates and size at maturity have not been studied (Petranksa 1998). These salamanders prey on ostracods, amphipods, isopods, copepods, mites, and beetles, and are likely preyed upon by Dougherty Plain blind crayfish, freshwater eels (*Anguilla rostrata*), brown bullheads (*Ameiurus nebulosus*), and Florida chubs (*Notropis harperi*) (Means 1992c, AmphibiaWeb 2009).

Populations:

There are less than fifteen known populations of Georgia Blind Salamander. Total adult population size is unknown (NatureServe 2008).

Population Trends:

The population trend for the Georgia Blind Salamander is uncertain, but is believed to be relatively stable or slightly declining in the short term and moderately declining in the long term (NatureServe 2008). NatureServe (2008) estimates a long-term decline of 30 percent.

Status:

The Georgia Blind Salamander is critically imperiled in Georgia (S1) and imperiled in Florida (S2). It is categorized as vulnerable by the IUCN.

Habitat destruction:

The Georgia Blind Salamander is threatened by habitat loss and degradation from activities that negatively affect water quality, including pollution and water level fluctuation. Activities which disturb the ground surface above the caves could also degrade or destroy this species' habitat (NatureServe 2008). At least two formerly occupied caves are known to have been destroyed by human activities (Means 2005).

The Florida Wildlife Conservation Commission Natural Areas Inventory (2001) cites changes in water level due to groundwater withdrawal and stream impoundment as threats to this salamander, as well as rock quarrying (http://myfwc.com/docs/FWCG/Georgia_BLind_sal.pdf). The commission cites threats to cave habitats associated with this species as incompatible resource extraction, mining, and drilling (http://myfwc.com/docs/WildlifeHabitats/Legacy_Terrestrial_Cave.pdf).

Florida's karst biota, including the Georgia Blind Salamander, are threatened by habitat loss, spring modification, ground-water contamination, aquifer withdrawals, saltwater intrusion, and recreational activities (Drew and Hötzl, 1999, Katz et al. 1999, Walsh 2000, cited in Walsh 2001). Walsh (2001) states: "Springs are frequently modified for consumptive or recreational purposes, with concomitant impacts on aquatic organisms. Many of Florida's karst species are threatened by habitat modifications due to their very localized distributions . . . Perhaps the most serious potential threat to Florida's hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped . . . In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources. Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species."

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them

changes in aquatic amphibian populations, have been enormous” (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranks et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: “Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is a potential threat if it leads to decreased water quality or reduced input of external nutrients into Blind Salamander habitat (Dodd 1997, LaClaire 1997).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Overutilization:

NatureServe (2008) states that "over-collecting for science or by herp enthusiasts" is a potential threat to this species. AmphibiaWeb (2009) states that "heavy collecting" of this species has occurred in one or two locations. Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, reviewed in AmphibiaWeb 2009 (<http://amphibiaweb.org/declines/diseases.html>)). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to

disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and thus poses a potential threat to this species.

Inadequacy of existing regulatory mechanisms:

The Georgia Blind Salamander is a threatened species in Georgia and is a species of special concern in Florida. These state designations do not provide this species with substantial regulatory protection. There are two occurrences of this species on managed areas in Florida, but these management areas may not overlap entire aquifers/occurrences, and NatureServe (2008) reports that few to no occurrences of this salamander are appropriately protected. The caves in Marianna Caverns State Park have habitat protection, but are potentially vulnerable to recreational impacts. NatureServe (2008) recommends protecting from all disturbance all inhabited caves as well as protecting the ground surface above the entire cave system, and limiting pollution and water table fluctuations. Additional recommendations include limiting human entrance into caves with signs and fencing and protecting associated bat populations.

Other factors:

The Georgia Blind Salamander is threatened by factors which degrade water quality, such as runoff, siltation, and pollutants, and by water level fluctuations from human use (NatureServe 2008) and potentially from drought and climate change.

Dodd (1997) lists rarity as a threat to the Georgia Blind Salamander.

The Florida Wildlife Conservation Commission Natural Areas Inventory (2001) cites pollution from agricultural chemicals and vandalism as threats to this species (http://myfwc.com/docs/FWCG/Georgia_BLind_sal.pdf). The Commission also cites recreation and solid waste as threats to the cave habitats with which this species is associated (http://myfwc.com/docs/WildlifeHabitats/Legacy_Terrestrial_Cave.pdf).

Florida's karst biota are threatened by competition and predation from nonindigenous species (Walsh 2001).

Because this salamander commonly occurs in pools where bats defecate, loss of bat populations also potentially threatens this species (NatureServe 2008).

On threats to cave-dwelling organisms, Scott (2004) states: "Subterranean ecosystems, aquatic and terrestrial, are extremely delicate environments with stable, constant temperatures, humidity, air circulation patterns, chemical characteristics, and detrital inputs. Even minor perturbative events can result in large kills of cave fauna. Threats include agricultural, industrial, and residential pollutants, especially pesticides and herbicides (which may simply leach through soils); erosion and siltation caused by destruction of vegetation at sink perimeters; changes in detrital input; pumping of water; collection of fauna; invasive exotic species; and disturbance of fauna or nutrient reserves by spelunkers and divers. Humans have slaughtered entire bat colonies in some caves and caused partial or total abandonment of others, depleting the guano that supplies important

nourishment for many cave invertebrates. Degradation of surface habitats may also threaten cave fauna” (p. 77).

Other factors which threaten imperiled amphibian populations in the Southeast include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians.

Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Hamiota australis

Common Name:

Southern Sandshell

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

NE - Not evaluated

Range:

The Southern Sandshell occurs in the Escambia River drainage in Alabama, and the Yellow and Choctawhatchee River drainages in Alabama and Florida (Blalock-Herod et al. 2002). The historical range of this mussel was recently expanded (Williams et al. 2000, Blalock-Herod et al. 2002, 2005). In the Escambia River basin in Alabama, this mussel is known from the main channel of the Conecuh River in Covington, Crenshaw, and Pike Counties, from Burnt Corn Creek in Escambia County, from the Sepulga River in Conecuh County, and from Little Patsaliga Creek in Crenshaw County. In the Yellow River drainage, this mussel is known from the main stem of the Yellow River in Covington County, Alabama. From the Choctawhatchee River drainage in Florida, this mussel is known from Alligator Creek in Washington County, from Holmes and Tenmile Creeks in Holmes County, from Limestone Creek in Walton County, and from the main stem of the Choctawhatchee in Holmes County. From the Choctawhatchee drainage in Alabama, it is known from the Choctawhatchee main stem in Dale, Geneva, and Houston counties, from the Pea River in Barbour, Coffee, and Dale counties, from the East Fork Choctawhatchee in Dale and Henry Counties, from the West Fork Choctawhatchee in Barbour and Dale counties, from the Little Choctawhatchee in Dale and Houston counties, from Whitewater Creek in Coffee County, from Pea Creek in Dale County, and from another Pea Creek in Barbour County (Williams et al. 2000, Blalock-Herod et al. 2002, 2005).

Habitat:

This mussel is found in slow to moderate current in sandy substrate in clear, medium-sized creeks to rivers (Deyrup and Franz 1994, Williams and Butler 1994).

Ecology:

This mussel often occurs syntopically with *Ptychobranhus jonesi*, but *H. australis* occurs more often in smaller streams than *P. jonesi* (NatureServe 2008).

Populations:

Blalock-Herod et al. (2005) detected this mussel at 4 of 16 historical sites and at 15 new sites in the Choctawhatchee River drainage of Alabama and Florida including the West Fork Choctawhatchee River, Eightmile Creek, Yellow River, East Fork Choctawhatchee River, and Jordan Creek. This mussel remains widespread but fragmented in the Choctawhatchee River and many of its tributaries, but it appears to be declining in many areas. From the Escambia and Yellow River drainages, there are few recent records (Williams et al. 2008). In the Escambia basin, the sandshell has declined from 7 historic sites to 3 currently active sites and one site where its status is unknown. In the Yellow River basin, it has declined from 9 historic sites to 8 currently active sites. In the Choctawhatchee River basin, the sandshell has declined from 35 historic sites to 19 currently active sites (Blalock-Herod et al. 2002) and 4 sites with unknown population status. Overall this mussel has declined from a total of 51 historic sites to 30 active sites and 5 sites with unknown status. The Southern Sandshell is now extirpated from approximately 31-41 percent of its historic range.

At the best known location in 1988, roughly one dozer per hour were detected. Florida populations are modest. Williams et al. (2000) consider its abundance to be low in the Escambia and Yellow River systems. In recent surveys an average of 2 -3 live animals per site were detected (fide Williams et al. unpublished data; Blalock-Herod et al. unpublished data cited in NatureServe 2008). In the Choctawhatchee drainage, only two sites were encountered which supported at least 20-30 individuals (Blalock-Herod et al. 2002). Gravid females were detected in these two larger populations, and low levels of recruitment are likely occurring, but no juveniles were detected. Other populations may no longer be viable (USFWS 2003).

Population Trends:

This mussel is declining in the short-term (10-30 percent), and has experienced a long-term decline of 25-50 percent. It is extirpated from 31-41 percent of its historic range (FWS 2003). In the Choctawhatchee drainage, it is reduced 75 percent from its historic distribution, but has been recently detected at a few new sites in recent surveys (Blalock-Herod et al. 2002, 2005). It has been extirpated from multiple historic occurrences in the Pea, Escambia, and Yellow rivers, but has been found at a few new sites in these systems.

Status:

NatureServe (2008) ranks the Southern Sandshell as critically imperiled in Alabama and not ranked in Florida. This mussel is restricted to three river drainage basins where it occurs at extremely low abundance at all but a few sites (NatureServe 2008). It is a federal candidate for ESA protection. Its rank is being changed from threatened (Williams et al. 1993) to endangered (2010 draft, in review) by the American Fisheries Society.

Habitat destruction:

NatureServe (2008) reports that habitat loss and degradation is the primary threat to this species, from a variety of sources. The Southern Sandshell is thought to be highly sensitive to siltation and habitat modification and to require stable substrate and clean water. Because it produces a superconglutinate lure to attract host fish, it requires clear water to complete its life cycle. Its habitat is threatened by runoff from agricultural and silvicultural activities, gravel and sand mining, industrial and municipal pollution, development, and livestock grazing (NatureServe 2008). In southern Alabama, it is threatened by pollution from the growing poultry farm industry. It is threatened by oil and gas operation in the Escambia drainage. This mussel is also threatened by impoundment. In the Choctawhatchee river system alone, there are about two dozen proposed impoundments (Blalock et al. 1998). Blalock-Herod et al. (2002) report that increased turbidity in the waterways where this mussel occurs may reduce the efficiency of superconglutinates to attract host species or delay attraction time to the lures, interfering with reproduction.

Inadequacy of existing regulatory mechanisms:

The Southern Sandshell was listed as a federal candidate in 2004, but this listing provides the species with no meaningful protection. There are no existing regulatory mechanisms which protect this declining mussel.

Other factors:

This mussel is threatened by stochastic genetic and environmental events due to its distribution in generally small and isolated populations. Some populations may no longer be of effective size to be reproductively viable. It is threatened by any factor which threatens the host fish on which it depends for reproduction. It is also potentially threatened by invasive species such as the Asiatic clam, zebra mussel, and black carp (FWS 2003).

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Scientific Name:

Hartwrightia floridana

Common Name:

Hartwrightia

G Rank:

G2

Range:

This plant is endemic to a small range in Florida and Georgia. It has been recently confirmed in Nassau, Clay, Putnam, Alachua, Polk, Highlands, and Volusia Counties, Florida, and in Camden, Charlton and Ware Counties, Georgia (NatureServe 2008, Patrick et al. 1995).

Habitat:

Hartwrightia occurs in wet substrates that are usually sphagnum or peat-enriched and sometimes sandy (FNA 2006). It generally prefers full sunlight or only partial shade. It is most often found in slash pine (*Pinus elliotti*) or longleaf pine (*P. palustris*), saw palmetto (*Serenoa repens*), gallberry (*Ilex* spp.), or titi (*Cyrilla racemiflora*) flatwoods, acidic seepages, or pineland swamps or bogs (Kral 1983). Its habitat is at least partially maintained by fire, which eliminates competing shrub or grass species.

Ecology:

This perennial plant reaches 1.2 m in height. It blooms September-November, and fruits October-December (Patrick et al. 1995).

Populations:

There are currently approximately 50 known occurrences in Florida and eight in Georgia (NatureServe 2008, Chafin 2007). Population size is not known.

Population Trends:

Trend information is not available for this species.

Status:

Hartwrightia is restricted to a small range where it is threatened by extensive and systematic habitat destruction. NatureServe (2008) ranks *H. floridana* as critically imperiled in Georgia and imperiled in Florida.

Habitat destruction:

Habitat loss is the primary threat to *H. floridana*: much of this species' habitat has been systematically converted to grazing lands or pine plantations (NatureServe 2008). Livestock razing may damage individuals and/or habitat (Chafin 2000). Residential development also poses a significant threat. Drainage, ditch construction, and other hydrological alterations degrade or destroy habitat, and fire suppression has also played a role in the decline of this species as it alters natural patterns of succession and facilitates canopy closure (Patrick et al. 1995, Chafin 2000, 2007).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect Hartwrightia or its habitat; though it is listed as endangered in both Alabama and Florida, this designation offers it no substantial regulatory protections.

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Scientific Name:

Helianthus occidentalis ssp. *plantagineus*

Common Name:

Shinner's Sunflower

G Rank:

T2

Range:

The fewleaf sunflower is restricted to a small area within east Texas, Arkansas, and Louisiana. Current natural heritage records exist for Perry County, Arkansas, Austin, Caldwell, Colorado, DeWitt, Lavaca, Lee, and Newton Counties, Texas, and for Caddo, Lincoln, and Beauregard Counties, Louisiana (NatureServe 2008, USDA Plant database 2009).

Habitat:

The sunflower grows in thin sandy soil on top of clay, often in claypan savannas with post oak (*Quercus stellata*) and blackjack oak (*Q. marilandica*), or upland sandstone woods. It is occasionally found in high quality cobble bars or terraces in mountain streams (NatureServe 2008).

Ecology:

This plant is perennial (Cronquist 1980).

Populations:

Roughly five populations of this sunflower are known in Arkansas, and between 10 and 15 are known in Texas. Reports from Louisiana need verification (NatureServe 2008). Population sizes have not been reported.

Population Trends:

NatureServe (2008) determined that this species is experiencing moderate decline as urbanization expands into historic habitat.

Status:

Restricted to a relatively small range within which it is infrequently reported, this species is threatened by habitat loss. NatureServe (2008) ranks *H. occidentalis* ssp. *Plantagineus* as critically imperiled in Arkansas and Louisiana, and imperiled in Texas.

Habitat destruction:

Urbanization is a substantial threat to this species' habitat, particularly in Texas (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

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<<http://plants.usda.gov/java/county?state_name=Louisiana&statefips=22&symbol=HEOCP>>

Scientific Name:

Hexastylis speciosa

Common Name:

Harper's Heartleaf

G Rank:

G2

Range:

Harper's heartleaf is known from only Chilton, Autauga, and Elmore counties in Alabama (NatureServe 2008).

Habitat:

This plant is found in open pine-deciduous woodlands and alluvial swamps in shaded habitat, and prefers well-drained sandy loam soils, often establishing above acidic streams or bogs (FNA 1997, Blomquist 1957).

Ecology:

Harper's heartleaf is low-growing, perennial, and evergreen, and blooms during April and May (NatureServe 2008).

Populations:

Number of occurrences and total population size are unknown for this rare plant.

Population Trends:

NatureServe (2008) reports that *H. speciosa* is experiencing substantial declines, primarily as a result of habitat loss.

Status:

Harper's heartleaf is endemic to a small range comprised of just 3 counties in Alabama, is in decline, and is threatened by extensive habitat loss and invasive exotics. NatureServe (2008) ranks *H. speciosa* as imperiled.

Habitat destruction:

Harper's heartleaf is threatened by the loss of habitat to residential development and timber harvesting, which both destroy and fragment habitat (Southern Appalachian Species Viability Project 2002).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few occurrences of this species are appropriately protected. It is not protected by the state of Alabama.

Other factors:

Invasive exotics, such as kudzu (*Pueraria montana* var. *lobata*) and Japanese honeysuckle (*Lonicera japonica*) threaten *H. speciosa* across much of its range: these fast-growing non-natives outcompete native plant life and may cause local or regional extirpations (Southern Appalachian Species Viability Project 2002).

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Scientific Name:

Hobbseus cristatus

Common Name:

Crested Riverlet Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008), the Crested Riverlet crayfish has a range of 250-5000 square km (about 100-2000 square miles). It is known from four sites in the Tombigbee River basin in Kemper, Lauderdale, Lowndes, and Noxubee counties, Mississippi (Hobbs 1989).

Habitat:

According to Adams (2008), "Most of the H. cristatus were collected from roadside ditches with shallow (less than 0.3 m), turbid water, and some were from "sluggish" portions of streams or simple burrows (about 0.3 – 0.5 m deep). All those collected from the water were in grass and or accumulations of detritus on clay substrate. The species is probably a secondary burrower (Hobbs 1955)."

Populations:

This species has only been collected once since 1957 with all other occurrences documented as historical (NatureServe 2008).

Population Trends:

In the short term, NatureServe (2008) reports that this species is very rapidly declining (decline of 50-70 percent). In the long term, a large decline is noted (75-90 percent).

Status:

Hobbseus cristatus is restricted to a single river drainage in a four county area. It is ranked as imperiled by NatureServe (2008), as a Tier 1 species of greatest conservation need by the state of Mississippi, and as vulnerable by the IUCN. It is ranked as threatened by the American Fisheries Society.

Habitat destruction:

The reasons for the large decline of this species are unknown, but NatureServe (2008) reports that it is likely to be undergoing localized declines in areas of urbanization due to alteration to the hydrological regime, water pollution, and the paving of roadside ditches.

Inadequacy of existing regulatory mechanisms:

Part of the range of the Crested Riverlet crayfish is in the Tombigbee National Forest, but this provides no regulatory protection for the species or its habitat.

References:

Adams, S. B. 2008. Hobbseus cristatus fact sheet. Version 1.0. USDA Forest Service, Crayfishes of Mississippi website. Available online at maps.fs.fed.us/crayfish/factsheets/FS0048.pdf. Last accessed April 28, 2009.

Hobbs, H. H., Jr. 1955. A new crayfish of the genus *Cambarus* from Mississippi. *Proceedings of the Biological Society of Washington* 68:95-100.

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Smithsonian Institution Press, Washington, D. C. 236 pp.

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Scientific Name:

Hobbseus orconectoides

Common Name:

Oktibbeha Riverlet Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

Hobbseus orconectoides has a range of less than 100 square km (less than about 40 square miles) according to NatureServe (2009). Most records are historical with few substantiated recent occurrences. The type locality was lost to development. It is known from the Sand Creek drainage in Oktibbeha, Lowndes, and Webster counties, Mississippi (Hobbs 1989).

Habitat:

NatureServe (2008) reports that the Oktibbeha Riverlet crayfish can be found in lentic situations such as wadland ponds, puddles, ditches, and also in lotic habitats such as moderately flowing sand-bottomed streams (Fitzpatrick and Payne 1968). It is found in association with emergent vegetation and littoral zones with abundant detritus (Fitzpatrick and Payne 1968). This species burrows during the summer dry periods and is a secondary burrower (Hobbs 1989). It appears to be reasonably tolerant of variable water quality conditions.

Populations:

NatureServe (2010) reports that there are fewer than five occurrences of this species, and that abundance is unknown.

Population Trends:

NatureServe (2010) reports that this species is very rapidly declining (decline of 50-70 percent) in the short-term, stating: "Most records are from the late 1960's and much of the remaining habitat in the Sand Creek drainage is under intense development pressure associated with expanding populations in Starkville and Columbus. The type locality is apparently gone (housing development) and recent surveys found it in only one place (couple of specimens), after having looked at most of the localities where it once occurred (several historic localities were in roadside ditches which are now lined with concrete or otherwise dredged clean and smooth with no crayfish) (MS NHP, pers. comm., January 2009)." Long-term decline is estimated at up to 90 percent.

Status:

NatureServe (2010) changed the status of this species from vulnerable to critically imperiled. The State of Mississippi lists it as a Tier 1 Species of Immediate Conservation Need. This species was a Federal C2 Candidate species before that list was abolished. It is ranked as threatened by the American Fisheries Society.

Habitat destruction:

NatureServe (2010) reports that this species is imminently threatened by habitat loss and degradation, stating: "Development of regional airport area or growth of Mississippi State University could imperil this species. Most records are from the late 1960's and much of the remaining habitat in the Sand Creek drainage is under intense development pressure associated with expanding populations in Starkville and Columbus. The type locality is apparently gone (housing development) and recent surveys found it in only one place (couple of specimens), after having

looked at most of the localities where it once occurred (several historic localities were in roadside ditches which are now lined with concrete or otherwise dredged clean and smooth with no crayfish) (MS NHP, pers. comm., January 2009)."

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that no occurrences of this species are protected.

References:

- Adams, S. B. 2008. *Hobbsseus orconectoides*. USDA Forest Service, Crayfishes of Mississippi website, Oxford, MS. Available online at <http://maps.fs.fed.us/crayfish/factsheets/FS0065.pdf>. Last accessed November 18, 2009.
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- Hobbs, H. H., Jr. 1989. A illustrated checklist of the American crayfishes (Decapoda: Astacidae, Cambaridae, and Parastacidae). *Smithsonian Contributions to Zoology*, No. 480. 236 pp.
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- Taylor, Christopher A., Schuster, Guenter A., Cooper, John E., DiStefano, Robert J., Eversole, Arnold G., Hamr, Premek, Hobbs, Horton H., III., Robison, Henry W., Skelton, Christopher E., Thoma, Roger F.. 2007. A reassessment of the Conservation Status of Crayfishes of the United States and Canada after 10+ Years of increased awareness. *Fisheries* 32(8):372-389

Scientific Name:

Hobbseus petilus

Common Name:

Tombigbee Riverlet Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008) the Tombigbee Riverlet crayfish is endemic to Mississippi, occurring in Itawamba, Lee, Monroe, and Oktibbeha Counties (Hobbs, 1989; Fitzpatrick, 1977; 1996; 2002). Fitzpatrick (2002) also reported the species from Clay County, Mississippi, but the location of voucher specimens from that county is unknown.

Habitat:

H. petilus occupies slow to moderately flowing small shallow streams with silty bottoms and emergent vegetation (NatureServe 2008).

Populations:

NatureServe (2008) roughly estimates that there are 21 - 80 populations with 2500-10,000 individuals. Precise population data is lacking. The species is common within its limited range.

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks this species as imperiled. The State of Mississippi has classified this species as a Tier 1 Species in need of Immediate Conservation Action. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

The potential impact of the Tenn-Tom Waterway on the habitat of *Hobbseus petilus* is great. Its headwaters location makes it especially vulnerable to early effects (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that it is unknown whether any occurrences are appropriately protected and managed.

References:

Adams, S. B. 2008. *Hobbseus petilus*. USDA Forest Service, Crayfishes of Mississippi website, Oxford, MS. Available online at <http://maps.fs.fed.us/crayfish/factsheets/FS0066.pdf>. Last accessed November 18, 2009.

Hobbs, H. H., Jr. 1989. A illustrated checklist of the American crayfishes (Decapoda: Astacidae, Cambaridae, and Parastacidae). Smithsonian Contributions to Zoology, No. 480. 236 pp.

Hobbs, Horton. H. Jr. 1989. An Illustrated Checklist of the American crayfishes (Decapoda: Astacidae, Cambaridae & Parastacidae). Smithsonian Contributions to Zoology 480. Smithsonian Institute Press, Washington, D. C. 236 pp.

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Scientific Name:

Hobbseus yalobushensis

Common Name:

Yalobusha Riverlet Crayfish

G Rank:

G3

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

This species occurs in the headwaters of the Yalobusha River (Yazoo River system) in Calhoun, Chickasaw and Webster Counties, Mississippi (Fitzpatrick and Busack, 1989; USFS, 2009). Fitzpatrick (2002) also reported the species from Attala and Choctaw counties, Mississippi, but the locations of voucher specimens from those counties are unknown (NatureServe 2010).

Habitat:

Hobbseus yalobushensis is found in restricted to small headwater streams in the upper Yazoo River system, with silty bottoms and emergent vegetation (NatureServe 2008). The type locality was a shaded stream reach, 1 - 2 m wide and up to 0.7 m deep, flowing slowly through agricultural land. The type specimens were collected by dipnet on bare clay with some leaf litter nearby.

Ecology:

Adams (2008) reports that Fitzpatrick and Busack (1989) collected specimens in streams from February to June, which suggests that the species is not a primary burrower.

Populations:

NatureServe (2010) estimates 6-20 occurrences of this species, stating: "A wider range of localities than indicated by type series was once thought to be probable but most collections are from over 20 years ago and the species is no longer present in the type locality and was recently found to be absent from several previously known localities (MS NHP, pers. comm., January 2009)."

Population Trends:

NatureServe (2010) estimates that this species is rapidly declining to stable (10 percent fluctuation to 50 percent decline). It has been extirpated from the type locality and from several other previously known localities (MS NHP, pers. comm., January 2009 cited in NatureServe 2010).

Status:

This crayfish is ranked as imperiled by NatureServe (2008). It is a Tier 1 Species in Need of Immediate Conservation Action in Mississippi. It is ranked as vulnerable by the IUCN and as Endangered by the American Fisheries Society.

Habitat destruction:

According to Taylor et al. (2007), "[h]abitat alteration, such as stream channelization and substrate removal can negatively impact crayfishes. Channelization and high erosion rates at the type-locality for the Yalobusha riverlet crayfish (Hobbseus yalobushensis) ... may have contributed to its extirpation at the site."

This crayfish occurs in a very rural area. NatureServe (2010) reports that channelization, head-cutting, and loss of riparian strips are all potential threats but none are imminent, though this

species is known to have been extirpated from several sites.

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that no occurrences of this species are appropriately protected and managed.

References:

Adams, S. B. 2008. *Hobbseus yalobushensis*. USDA Forest Service, Crayfishes of Mississippi website, Oxford, MS. Available online at <http://maps.fs.fed.us/crayfish/factsheets/FS0068.pdf>. Last accessed November 18, 2009.

Fitzpatrick, J. F., Jr. 1996. Rare and endangered crawfishes of Mississippi. Museum Technical Report No. 93, Mississippi Department of Wildlife, Fisheries, and Parks, Jackson.

Fitzpatrick, J. F., Jr. and C. A. Busack. 1989. *Hobbseus yalobushensis*, a new crawfish from central Mississippi. (Decapoda: Cambaridae). *Proceedings of the Biological Society of Washington*. 102(3):637-643. 1 figure, 1 table.

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Scientific Name:

Hydroptila okaloosa

Common Name:

Rogue Creek Hydroptila Caddisfly

G Rank:

G1

Range:

This caddisfly is only known from Eglin Air Force Base, Florida (NatureServe 2008).

Habitat:

This caddisfly is dependent on clean creeks.

Populations:

This species is only known from three creeks in Eglin Air Force Base, Florida (Rasmussen 2006).

Population Trends:

Trend is unknown for this rare species.

Status:

This caddisfly is critically imperiled (NatureServe 2008).

Habitat destruction:

Because it is dependent on clean water, this caddisfly is threatened by any form of pollution, siltation or degradation of surrounding habitat (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

This species occurs on Eglin Air Force Base in the Florida Panhandle (NatureServe 2008). It is unknown if it is appropriately protected from activities what would degrade water quality and eliminate the species.

References:

Clemson University Department of Entomology (J.C. Morse, ed.). 2002. Last Updated 5 September 2006. Trichoptera World Checklist. Online. Available: <http://entweb.clemson.edu/database/trichopt/index.htm>.

Harris, S.C. 2002. New species of microcaddisflies (Trichoptera: Hydroptilidae) from northern Florida. *Annals of Carnegie Museum*, 71(1): 47-57.

Rasmussen, A.K. 2006. Caddisfly (Insecta: Trichoptera) records from the Florida A&M University database.

Scientific Name:

Hydroptila sarahae

Common Name:

Sarah's Hydroptila Caddisfly

G Rank:

G1

Range:

There are four known occurrences of *Hydroptila sarahae* within approximately 500 square kilometers in Eglin Air Force Base, Florida (NatureServe 2008).

Habitat:

This caddisfly is dependent on clean creeks.

Populations:

There are four known occurrences of this species, all are in Eglin Air Force Base (NatureServe 2008).

Population Trends:

Trend is unknown for this rare species.

Status:

This species is critically imperiled (NatureServe 2008).

Habitat destruction:

NatureServe (2008) reports that anything that adversely affects water quality, such as pollution, siltation or degradation of surrounding habitat would be a threat to this species.

Inadequacy of existing regulatory mechanisms:

This species occurs only on Eglin Air Force Base (NatureServe 2008). It is unknown if it is appropriately protected from activities that would degrade water quality and eliminate the species.

References:

Clemson University Department of Entomology (J.C. Morse, ed.). 2002. Last Updated 5 September 2006. Trichoptera World Checklist. Online. Available: <http://entweb.clemson.edu/database/trichopt/index.htm>.

Harris, S.C. 2002. New species of microcaddisflies (Trichoptera: Hydroptilidae) from northern Florida. *Annals of Carnegie Museum*, 71(1): 47-57.

Rasmussen, A.K. 2006. Caddisfly (Insecta: Trichoptera) records from the Florida A&M University database.

Scientific Name:

Hydroptila sykorai

Common Name:

Sykora's Hydroptila Caddisfly

G Rank:

G1

Range:

This species is only known from one spring run (NatureServe 2008) with a total range less than 100 square km (less than about 40 square miles).

Populations:

H. sykorai is known from one spring run in Gadsden County, Florida, on the Florida Agricultural and Mechanical University Farm (Rasmussen 2006). This caddisfly is known from only five specimens (NatureServe 2008).

Status:

This species is critically imperiled (NatureServe 2008).

Habitat destruction:

This species is only known from the farm at Florida AMU and is threatened by pollution, siltation or degradation of surrounding habitat (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this rare species.

References:

Clemson University Department of Entomology (J.C. Morse, ed.). 2002. Last Updated 5 September 2006. Trichoptera World Checklist. Online. Available: <http://entweb.clemson.edu/database/trichopt/index.htm>.

Harris, S.C. 2002. New species of microcaddisflies (Trichoptera: Hydroptilidae) from northern Florida. *Annals of Carnegie Museum*, 71(1): 47-57.

Rasmussen, A.K. 2006. Caddisfly (Insecta: Trichoptera) records from the Florida A&M University database.

Scientific Name:

Hymenocallis henryae

Common Name:

Henry's Spider-lily

G Rank:

G2

Range:

Henry's spider-lily is endemic to a small range within the Florida panhandle. This species is currently present in Bay, Gulf, Liberty, and Walton Counties, Florida (NatureServe 2008, FNA 2009).

Habitat:

This plant is found only within the narrow ecotone between dome swamps and wet flatwoods or prairies, often in cypress depressions (Kral 1983, Smith and Flory 1990). It occurs in a variety of soil types, and has been found in standing water, wet sandy peat soil, or dry soil (Smith and Flory 1990).

Ecology:

This plant is perennial, forms clumps, and reproduces both sexually and vegetatively (NatureServe 2008). It flowers during May and June (Smith and Flory 1990).

Populations:

At last survey, the Florida Natural Areas Inventory recorded 25 occurrences of this species sporadically distributed throughout the south-central area of the Florida panhandle. Population size data are not available (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that *H. henryae* is experiencing substantial declines across its already small range.

Status:

The spider-lily is endemic to a small range within the Florida panhandle, and is in decline across this range as a result of habitat loss or degradation. This species is a habitat specialist and therefore highly sensitive to habitat loss. NatureServe (2008) reports that it is imperiled in Florida, and the state also lists *H. henryae* as endangered.

Habitat destruction:

Habitat loss is the primary threat to this species: conversion of habitat to silvicultural plantations, agricultural use, or residential development is widespread, and wetland drainage and fire suppression also destroy or degrade habitat suitable for *H. henryae* (NatureServe 2008, Weekley et al. 2008).

Overutilization:

Overcollection threatens some populations of this rare plant (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though occurrences on the Apalachicola National Forest are somewhat protected from habitat destruction, specimens are still frequently collected (NatureServe 2008).

No existing regulatory mechanisms adequately protect this species; though it is listed as endangered in Florida, this designation affords *H. henryae* no substantial regulatory protections.

References:

Clewell, A.F. 1985. Guide to the vascular plants of Florida Panhandle. University Press of Florida, Gainesville. 605 PP.

Flora of North America, Vol. 26. Species account for *Hymenocallis henryae*. Accessed online December 16, 2009

<<http://www.efloras.org/florataxon.aspx?flora_id=1&taxon_id=242101678>>

Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. Accessed October 5, 2009.

Smith, G., and W. Flory. 1990. Studies on *Hymenocallis henryae* (Amaryllidaceae). *Brittonia* 42: 212-220.

Weekley, C., Gordon, D., Maguire, J., Maschinski, J., Menges, E., Pence, V., and C. Peterson. 2008. Florida's Endangered and Threatened Plant Conservation Grant Program. *The Palmetto* 25: 8-12.

Scientific Name:

Hypericum edisonianum

Common Name:

Edison's Ascyrum

G Rank:

G2

Range:

This plant is endemic to a small area in Florida's Lake Wales Ridge; natural heritage records show presence in Polk, Highlands, Glades, and DeSoto Counties, though the species may be extirpated from DeSoto County. Its range encompasses less than 100 square miles (NatureServe 2008).

Habitat:

This plant occurs in sandy soils in low, wet prairies, pine flatwoods, and along the margins of flatwood ponds or other water bodies (Godfrey and Wooten 1981). It grows amongst a diversity of grasses, sedges, orchids, and palmetto (*Sabal palmetto*). Its preferred habitat is naturally fire-maintained (Kral 1983).

Ecology:

Edison's ascyrum is a perennial shrub that is generally insect-pollinated (Cronquist 1981). Its persistence in any given area is closely tied to the maintenance of natural hydrologic and fire regimes (NatureServe 2008).

Populations:

The Florida Natural Areas Inventory database contains 24 occurrence records in Florida as of 1997, the most recent survey (NatureServe 2008). Population size is unknown and is difficult to estimate because the species spreads vegetatively, often forming dense colonies.

Population Trends:

Populations across this species' already-small range are in decline (NatureServe 2008)

Status:

This species is uncommon across its very narrow range, and remaining populations are rapidly diminishing as a result of high development pressure and other anthropogenic threats.

NatureServe (2008) ranks the species as imperiled in Florida.

Habitat destruction:

Habitat loss is the principle threat to this species: anthropogenic changes in hydrological regime and rapid and extensive land use change in the form of residential and agricultural development are the forces responsible for the decline of this rare endemic plant (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Populations of this species on Archbold Biological Station are fairly well-protected, but across the remainder of its range, no existing regulatory mechanisms adequately protect the Edison's ascyrum. Though it is state listed as threatened in Florida, this designation offers it no substantial regulatory protections.

References:

Godfrey, R.K., and J.W. Wooten. 1981. Aquatic and wetland plants of southeastern United States: Dicotyledons. Univ. Georgia Press, Athens. 933 pp.

Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.

NatureServe. 2008. NatureServe explorer: an online encyclopedia of life. Available at: <http://www.natureserve.org/explorer>. Accessed December 3, 2009.

Ward, D.B. (ED). 1979. Rare and Endangered Biota of Florida, Vol. 5: Plants. University Press of Florida, Gainesville.

Scientific Name:

Hypericum lissophloeus

Common Name:

Smooth-barked St. John's-wort

G Rank:

G2

Range:

This species occurs only in Bay and Washington Counties in Florida (NatureServe 2008).

Habitat:

Very specific in its habitat preferences, this species is found along the fluctuating, sandy, moist-to-wet shores of sinkhole ponds or small lakes. Plants are tolerant of temporary extremes of inundation and exposure, and are generally found in full sun and acidic soils. It also grows in longleaf pine-scrub oak sandhills in the Coastal Plain (Kral 1983). This plant is often found with Kral's yellow-eyed grass (*Xyris longisepala*), quillwort yellow-eyed grass (*X. isoetifolia*), and panhandle meadow beauty (*Rhexia salicifolia*)(NatureServe 2008).

Ecology:

Perennial and long-lived, this species forms dense thickets and may be the most abundant shrub in some locations where it is found (Godfrey and Wooten 1981, Kral 1983).

Populations:

The Florida Natural Areas Inventory reported 35 occurrences as of 1997; more recent survey data is not available (NatureServe 2008). Populations are reportedly large, but it has not been determined whether these dense occurrences are composed of clones or genetic individuals.

Population Trends:

Population trend has not been reported for this species.

Status:

This species has very high habitat specificity, and preferred sites are naturally rare and ecologically fragile. NatureServe (2008) ranks this species as imperiled. It is state-listed as endangered in Florida.

Habitat destruction:

Ongoing lakeshore development is widespread in this species' range, and poses an immediate threat to some populations (NatureServe 2008). Various activities, such as timber harvesting or establishment of timber plantations, and agricultural, residential, or commercial development, within the upland matrix that surrounds *H. lissophloeus*' shoreline habitat promote erosion, pollution, and other habitat-degrading influences. The use of off road vehicles (ORVs) for shoreline recreation presents a direct threat to some populations.

Inadequacy of existing regulatory mechanisms:

One population is present on Eglin Air Force Base, and some occur on land owned by the Northwest Florida Water Management District, and so are protected from direct threats, though not more diffuse or widespread factors (NatureServe 2008). Though this species is listed as endangered in Florida, this designation confers no substantial regulatory protection; no existing regulatory mechanisms adequately protect *H. lissophloeus*.

References:

Godfrey, R.K., and J.W. Wooten. 1981. Aquatic and wetland plants of southeastern United States: Dicotyledons. Univ. Georgia Press, Athens. 933 pp.

Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 22, 2010)

Wunderlin, R.P. 1982. Guide to the vascular plants of Central Florida. University Press.

Scientific Name:

Illicium parviflorum

Common Name:

Yellow Anisetree

G Rank:

G2

Range:

Though it was historically also present in Georgia, *I. parviflorum* is now thought to be restricted to a few counties in Florida: natural heritage records exist for Lake, Marion, Orange, Polk, Seminole, and Volusia Counties (NatureServe 2008).

Habitat:

This plant occurs in moist sandy loams or sandy peat mucks in wetland hammocks and floodplain swamps, often along large spring-fed streams. It is found with Atlantic white cedar, *Chamaecyparis thyoides*, Florida leucothoe (*Agarista populifolia*), cabbage palmetto (*Sabal palmetto*), dwarf palmetto (*Sabal minor*), needle palm (*Rhapidophyllum hystrix*), sweetbay magnolia (*Magnolia virginiana*), and swamp bay (*Persea palustris*), sometimes on karst formations (NatureServe 2008). This species is often an understory tree in floodplain forest, though it can reach over 6 m in height.

Ecology:

This plant is perennial, long-lived, and restricted to moist soils (Godfrey 1986).

Populations:

As of 1997 this species was known from fewer than 20 occurrences in five Florida counties (NatureServe 2008).

Population Trends:

This tree is in decline, and its range is contracting (historically present in Florida).

Status:

NatureServe (2008) ranks the yellow anise tree as imperiled in Florida, where it is also listed as endangered.

Habitat destruction:

This species' habitat is threatened by a variety of human activities, including wetland drainage and various timber management practices (Kral 1983).

Overutilization:

This tree is used for landscaping, and may be taken from the wild for cultivation (Kral 1983, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are two occurrences of this tree in Ocala National Forest, and it also found in Wekiwa Springs State Park and DeLeon Springs State Recreation Area, though none of these occurrences are appropriately protected or managed. Though it is listed as endangered in Florida, this designation offers *I. parviflorum* no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species.

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NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 13, 2010)

Scientific Name:

Io fluviatilis

Common Name:

Spiny Riversnail

G Rank:

G2

IUCN Status:

EN - Endangered

Range:

The total range of the Spiny Riversnail is less than 100-250 square km. This snail is endemic to western Virginia and eastern Tennessee in the Tennessee River drainage and its main tributaries including the Clinch, Powell, French Broad, Holston, and Nolichucky rivers (Burch 1989). This snail once occurred in the Tennessee River systems south to Muscle Shoals, Alabama, but was extirpated in Alabama as the result of impoundment in the early 20th century (Ahlstedt 1991, Mirarchi 2004). Reported occurrences from Kentucky are erroneous (NatureServe 2008). There are historical specimens from the Little Tennessee, Tellico, and West Prong Little Pigeon Rivers in Tennessee where the species is no longer extant (Parmalee and Bogan 1987).

Habitat:

The Spiny Riversnail occurs in shallow water shoals with moderate to rapid flow and high dissolved oxygen content.

Populations:

There are from 6-20 extant populations of the Spiny Riversnail (NatureServe 2008). Total population size is unknown. Davis (1974 in NatureServe 2008) reported less than 28 occurrences in three river drainages. Bogan and Parmalee (1983) reported that this species was restricted to relict populations in the lower Nolichucky River and Powell River (Claiborne, Hancock, and Lee counties, TN), and the Clinch River from Claiborne County, TN upstream to Lee County, Virginia. The Riversnail has been reintroduced successfully into the lower North Fork Holston River at two sites (Ahlstedt 1991) and into the tailwaters of Nickajack Dam in Marion County, TN (Mirarchi et al. 2004).

Population Trends:

In the short term, the Spiny Riversnail has declined rapidly, from 30-50 percent. Over the long term the species has experienced widespread declines of 75-90 percent (NatureServe 2008). As of the mid-1970's, the number of extant sites was reported as 21 percent of historical locations (Davis 1974).

Status:

The Spiny Riversnail is imperiled in Tennessee and Virginia and is presumed to be extirpated in Alabama (NatureServe 2008). It is classified as Endangered by the IUCN.

Habitat destruction:

This species is a narrow endemic threatened by habitat alteration and changes in water quality (NatureServe 2008). Part of its habitat has been destroyed by impoundment (Ahlstedt 1991, Mirarchi 2004). EPA (1996) reports that agricultural runoff and livestock grazing is a serious threat to aquatic species in this snail's habitat. EPA (2002) reports that coal mining activities and agricultural practices, past and present, are having adverse impacts on stream habitats in the Clinch and Powell watershed. This snail is sensitive to chemical pollution and populations were documented as being threatened by chemical pollution before the turn of the 20th century (FWS

1984). Virginia's Comprehensive Wildlife Conservation Strategy (2006) cites siltation, dredging, pollution, mining, water withdrawal, and impoundment as threats to aquatic species in the Southern Cumberlands. This snail occurs within the potentially affected watershed of a proposed industrial facility by the Chicago Bridge and Iron Company on the Tennessee River in Marion County (TVA 2009). The Commonwealth of Virginia (2005) reports that the Clinch River is threatened by declining water quality from coal mining and agricultural practices, by litter and trash, and by development of second homes in remote areas. Part of this species habitat could be affected by proposed developments at the Oak Ridge Reservation (U.S. DOE 2009). The spiny riversnail is specifically threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009).

Inadequacy of existing regulatory mechanisms:

There are no occurrences of the Spiny Riversnail which are appropriately protected (NatureServe 2008) and there are no regulatory mechanisms in place to protect this snail. Pendleton Island on the Clinch River in Virginia is owned by The Nature Conservancy, but is subject to outside pollution. The North Fork of the Holston River harbors a successfully reintroduced population of this species, and a propagation effort is being conducted (Ahlstedt 1991).

Other factors:

Any factor which leads to declines in water quality or alterations in hydrologic regime will negatively impact the Spiny Riversnail. The North Fork of the Holston River has been severely impacted by mercury releases (Stansberry and Clench 1975, Neves 1991 in Flebbe et al. 1996), and much of this species' habitat has been polluted by coal mining and agriculture.

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Scientific Name:

Isoetes hyemalis

Common Name:

Winter Quillwort

G Rank:

G2

Range:

Also called the evergreen quillwort, this species is currently known in Virginia, North and South Carolina, Florida, Georgia, and possibly Alabama. It is found primarily in the Coastal Plain, though a few populations occur in the Piedmont region (Brunton et al. 1994). Natural heritage records indicate *I. hyemalis* is present in Houston County, Alabama, in Miller and Seminole Counties, Georgia, in Charlotte, Halifax, and Mecklenburg Counties, Virginia, in Holmes County, Florida, in Brunswick, Harnett, Hoke, Orange, and Richmond Counties, North Carolina, and possibly in Dorchester County, South Carolina (Wunderlin and Hansen 2002, Brunton et al. 1994, NatureServe 2008). Many sites, however, have not been confirmed since the early to mid-1990s.

Habitat:

This plant most often occurs in shallow, running waters of creeks, sloughs, and rivershores. It is found in dense shade beneath deciduous or mixed swamp forest. Species typical of this habitat include bald cypress (*Taxodium distichum*), red maple (*Acer rubrum*), longleaf pine (*Pinus serotina*), and American sweetgum (*Liquidambar styraciflua*). *I. hyemalis* generally grows in single-species patches, but may occasionally be associated with other herbaceous plants. *I. hyemalis* prefers fresh, cool, subacid-to-neutral flowing water (Brunton et al. 1994).

Ecology:

This plant is aquatic or amphibious, perennial, and spores mature in June-July (NatureServe 2008).

Populations:

At least 20 occurrences of this species are extant-- 10 in North Carolina, at least 4 in Virginia, and at least 2 each in South Carolina and Georgia, though surveys for this species have not been extensive (NatureServe 2008). Population sizes are not well-documented.

Population Trends:

Population trends have not been reported for this species, but threats to its habitat suggest that decline is occurring or imminent (NatureServe 2008).

Status:

NatureServe (2008) ranks the winter quillwort as critically imperiled in Alabama, Georgia, South Carolina, and Virginia and imperiled in North Carolina. Its status is under review in Florida, where it is state listed as endangered.

Habitat destruction:

This species' habitat is threatened by residential development, logging, channelization and other hydrological alterations (D. Brunton, pers. comm. as cited in NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in Florida, this designation affords the winter quillwort no significant regulatory protections; no existing regulatory mechanisms adequately protect this

species or its habitat.

References:

Brunton, D.F., D.M. Britton, and W.C. Taylor. 1994. *Isoetes hyemalis*, sp. nov. (Isoetaceae): A new quillwort from the southeastern United States. *Castanea* 59(1): 12-21.

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Scientific Name:

Isoetes microvela

Common Name:

Thin-wall Quillwort

G Rank:

G1

Range:

Endemic to a small area of the Atlantic Coastal Plain, *I. microvela* is known only from Brunswick County, Jones, Onslow, and Sampson Counties, North Carolina, though some of these reports may not be current (Brunton and Britton 1998, NatureServe 2008).

Habitat:

The quillwort occurs along the banks of permanent streams on sandy alluvial soil, usually without other vegetation present. Its preferred habitat is frequently affected by storm-related flooding. It is most often found in areas of deep shade within deciduous swamp forests, associated with calcareous substrate (Brunton and Britton 1998).

Ecology:

This plant is perennial.

Populations:

This species was known from roughly three populations in North Carolina as of 2004 (NatureServe 2008).

Population Trends:

Because this species was described so recently, population trends are not reported (NatureServe 2008).

Status:

NatureServe (2008) ranks this species as critically imperiled.

Habitat destruction:

This species is threatened by any changes in local hydrology resulting from dams, diversions, or other anthropogenic alterations. One population is threatened by recreational activities at a campground (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

Other factors:

Severe rainfall events threaten this species because its habitat preferences expose it to flood scouring. Some populations were destroyed by post-hurricane flooding in 1996-1997 (NatureServe 2008). The frequency and intensity of severe storms is expected to increase in the southeastern United States due to global climate change, magnifying the threat to this species.

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Scientific Name:

Kinosternon baurii pop. 1

Common Name:

Striped Mud Turtle - Lower Florida Keys

G Rank:

T2

Range:

The Lower Florida Keys Striped Mud Turtle, also known as the Key Mud Turtle, occurs in the Lower Keys in Monroe County, including many islands from Big Pine Key to Key West (NatureServe 2008).

Habitat:

This turtle usually uses soft-bottomed temporary freshwater ponds, but also occurs in permanent freshwaters and excavated mosquito control ditches that are fed by the freshwater table. It also occurs in brackish water, up to 15 ppt. Suitable ponds are generally found in or along the edge of elevated hardwood hammocks (Dunson 1992). Pondsides are typically dominated by buttonwood (*Conocarpus*), sometimes with red and black mangroves. At disturbed sites, cattail is present (Dunson 1992). This turtle exhibits high site fidelity, with individuals rarely using more than a single pond (Dunson 1992). If ponds dry or become too saline, turtles may use terrestrial retreats, under rock ledges or among tree roots. Certain terrestrial retreats may be used repeatedly (Dunson 1992). Eggs are deposited in nests excavated in sand or decaying vegetation (NatureServe 2008).

Ecology:

In suitable habitat, Key Mud Turtles may occur at high densities. The highest recorded density is 59 turtles in 52 sq m of mosquito control ditches (Dunson 1992). Alligators are known predators (NatureServe 2008).

Populations:

It is estimated that there are from 6-20 populations of Key Mud Turtle (NatureServe 2008). Total population size is unknown. The largest populations are thought to occur in patches of especially favorable habitat on some of the smaller islands. On Summerland Key, it is estimated that a population of several hundred turtles occurs south of Highway 1 (Dunson 1992). It is estimated that 50 turtles occur on Johnston Key. Big Pine Key supports only a small population (Dunson 1992).

Population Trends:

Population trend is unknown. Because of specific habitat requirements and high site fidelity, loss and degradation of habitat likely leads to population extirpation (NatureServe 2008).

Status:

The Key Mud Turtle is imperiled (T2S2) (NatureServe 2008). It is listed as Endangered by the State of Florida.

Habitat destruction:

Rapid habitat destruction in the Lower Keys is a major threat to the Keys Mud Turtle (Dunson 1992). The Lower Keys are undergoing rapid development (NatureServe 2008). Increasing human demand for freshwater and resultant groundwater decline are dire threats for this freshwater-dependent turtle (NatureServe 2008). As this turtle uses mosquito control ditches, it is threatened

by filling in of ditches to accommodate management recommendations for key deer (Dunson 1992).

Overutilization:

This turtle is especially vulnerable to overutilization because of its extremely limited range. Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. The Florida Fish and Wildlife Conservation Commission reports that demand for freshwater turtles is increasing. In recent decades heavy commercial harvest of southeastern freshwater turtles has occurred to meet foreign demand for turtles for use as meat, pets, and in traditional medicine. Over 13 million adult turtles were being sold annually in Asian countries by the late 1990s. Even limited take of turtles is unsustainable because of the key role of large adult female turtles in sustaining populations (http://myfwc.com/docs/CommissionMeetings/2009/2009_Apr_FreshwaterTurtle.pdf).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this turtle or its habitat. A large percentage of the total population occurs on private land which is undergoing rapid development pressure. There are six protected occurrences on Key Deer and Great White Heron national wildlife refuges. This turtle is listed as Endangered by the State of Florida, but this designation does not convey substantial regulatory protection.

Other factors:

The Florida Center for Environmental Studies reports that the Lower Florida Keys population of Striped Mud Turtle is in danger of extinction from sea level rise due to global climate change (<http://www.ces.fau.edu/floc/presentations/presentations.php?id=14>).

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Scientific Name:

Lampsilis fullerkati

Common Name:

Waccamaw Fatmucket

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The range of the Waccamaw Fatmucket consists of less than 100 square km in the Waccamaw River basin in North Carolina, and potentially in South Carolina (NatureServe 2008). This mussel occurs in Lake Waccamaw, below the lake dam, and near Longs, South Carolina (Johnson 1984, Porter 1985, Bogan 2002, Bogan and Alderman 2004, LeGrand et al. 2006).

Habitat:

In Lake Waccamaw this mussel is most abundant in deeper water with sandy substrate along the northeast and eastern shore (Johnson 1984). The lake has a maximum depth of approximately 3 m, there is little algal biomass in the water column, and pH is relatively constant (NatureServe 2008).

Populations:

There are an estimated 1-5 populations of Waccamaw Fatmucket (NatureServe 2008). There are three known occurrences of this mussel all within the Waccamaw basin in North Carolina and adjacent South Carolina (Bogan 2002, LeGrand et al. 2006). Within Lake Waccamaw, this mussel occurs in moderate densities, with an average density of 1.6 per square m (estimated population of 14,240 individuals if uniform density across lake bottom) (Porter 1985).

Population Trends:

The population trend of this mussel is fairly stable, but juvenile recruitment in Lake Waccamaw is low (Porter 1985).

Status:

NatureServe ranks the Waccamaw Fatmucket as critically imperiled in North Carolina. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Because of this mussel's extremely limited distribution, it is highly vulnerable to habitat loss and degradation. The survival of this mussel is dependent on high water quality in Lake Waccamaw and in the Waccamaw River basin. Water quality in the watershed and in the lake is threatened by several sources, including development along the lakeshore, agricultural runoff, and clear-cutting along the river bank. The Cape Fear Arch Conservation Collaboration (2009) identifies development, logging, and decreasing water quality as threats to aquatic species in the region, including this mussel. At certain times of the year, the lake is particularly sensitive to increased nutrient and sediment loading (NatureServe 2008). Nutrients, sediments, and pesticides from agricultural and forestry operations threaten water quality in the lake and river (NatureServe 2008). Channelization of swamp creeks north of the lake also threatens water quality, as many of these creeks drain agricultural areas. NatureServe (2008) reports that a golf course may be planned around the lake. Water quality is also threatened by out-of-compliance discharges from the Lake Waccamaw wastewater treatment plant (NatureServe 2008). The shoreline of Lake Waccamaw is densely developed with cottages and homes, which is likely to contribute to nutrient loading and wide-scale algal blooms in the lake (Shute 1997).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this species, and no occurrences are appropriately protected and managed (NatureServe 2008). Lake Waccamaw is critical habitat for the Lake Waccamaw Silverside (*Menidia extensa*), but NatureServe (2008) states that this designation may not be sufficient to protect the Waccamaw Fatmucket population in the lake, likely due to the need to protect high water quality in order to ensure the mussel's survival.

Other factors:

This mussel is threatened by the invasion of the Asiatic clam, *Corbicula fluminea*. The Asiatic clam is established in Lake Waccamaw, and the mussels are currently coexisting, but if water quality in the lake deteriorates, *Corbicula* could become more dominant in more eutrophic conditions (Green 1971). The Asiatic clam has already become more abundant in the lake since 1997 (NC Wildlife Resources Commission 1998). Water pollution from a variety of sources is also a primary threat (NatureServe 2008).

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Scientific Name:

Lasmigona holstonia

Common Name:

Tennessee Heelsplitter

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The Tennessee Heelsplitter occurs in Alabama, Georgia, North Carolina, Virginia, and Tennessee. The historic range of this mussel included the western slope of the Appalachians and the valley-ridge portion of the Cumberlandian section of the Tennessee River drainage in Virginia, Tennessee, Georgia, and Alabama, and the Alabama River basin in Georgia and Alabama (Clarke 1985). In Virginia, extant populations occur at a few sites in the Clinch and Middle Fork Holston River systems. In Tennessee, populations persist in very short sections of some tributaries to the Little, Little Tennessee, Hiwassee, and Holston Rivers. In Georgia the species is still extant in the Conasauga River drainage. Forms of the Tennessee Heelsplitter reported from the Cahaba and Coosa rivers in the Mobile Basin are likely a distinct species, *Lasmigona etowaensis* (Mirarchi et al. 2004 and update). Historical reports of the Heelsplitter from the Duck River may represent a different entity, *Lasmigona diversa* (Conrad 1856, Ortmann 1924).

Habitat:

The Tennessee Heelsplitter generally occurs in small streams on fine sand-mud substrates in shallow water. It is normally found near riffles, but has also been detected in backwaters and pool-like features. Historically it was reported from small side channels and sloughs of larger rivers (Clarke 1985, Ortmann 1918). It has been detected in springs with a width as small as 30 cm. This mussel is associated with headwater areas (NatureServe 2008). Mirarchi et al. (2004) describe this species' habitat as "creeks with flowing water over substrata of sand and mud (Parmalee and Bogan 1998). Sometimes found below riffles in shallow stream margins. May occur in very small creeks where often it is only mussel species present."

Ecology:

The ecology of the Tennessee Heelsplitter is not well known. In a second-order creek in Polk County, Tennessee, eighteen individuals were observed over 300 m, sex ratio was approximately equal, and gravid females were detected (NatureServe 2008). This species is a long-term brooder (Parmalee and Bogan 1998). Fish hosts include banded sculpin, rock bass, and possibly other species of headwater fishes, because this mussel appears to be a host generalist (Mirarchi et al. 2004).

Populations:

There are from 21-80 populations of the Tennessee Heelsplitter, with several very small, disjunct populations having been recently detected. In Cherokee County, Alabama the species persists in a few tributaries of the Coosa River including Terrapin and Spring Creeks, and in Jackson County, a single mussel was detected in Hurricane Creek in the Paint Rock drainage (Mirarchi et al. 2004). NatureServe (2008) provides the following account on populations of this species: "McGregor et al. (2000) collected specimens in the Cahaba River, Alabama. In North Carolina, it is known from Valley Creek, Cherokee Co., Hiwassee River basin, Mills River, French Broad River basin, and Lotla Creek, Little Tennessee River basin (Bogan, 2002) in Cherokee (extirpated) and Henderson Cos. (LeGrand et al., 2006). In Tennessee, it was formerly in numerous small creeks and rivers in east Tennessee including the upper Holston River (Hawkins Co.), Hiwassee River (Polk Co.),

small streams in Campbell, Knox, Cocke, Sevier, Rhea, and Monroe Cos., and also in the Conasauga River (Polk and Bradley Cos.) and in Hickory Creek (Coffee Co.) (Parmalee and Bogan, 1998). In a survey of 134 sites in the New River Drainage in Virginia, Pinder et al. (2002) found this species at 4 sites (3 in the Upper Wolf Creek system, Bland Co.; 1 in the Bluestone River in Tazewell Co.; all Middle New drainage). Overall in Virginia, it occurs in the New River (upper Walker Creek, upper Wolf Creek), and tributaries of the Clinch (Jones et al., 2001), (Copper Creek- Fraley and Ahlstedt, 2000), Powell, and Holston drainages (Pinder et al., 2002). In the Coosa River basin in Georgia, it is known historically from the Etowah, Oostanaula, Conasauga, and Coosawattee River drainages but has not been collected live recently (except see below) (Williams and Hughes, 1998). It can also be found in shallow streams that are part of the Tennessee River system, Etowah River, Oostanaula River, and Conasuaga River in the extreme northwestern portion of Georgia (GA NHP, pers. comm., March 2007)." NatureServe (2008) states that is locally common in some areas (per Steve Ahlstedt) and that there are likely 1000 or more individuals combined for Tennessee, Georgia, and North Carolina.

Population Trends:

The Tennessee Heelsplitter is declining in the short term (decline of 10-30 percent) and moderately declining (decline of 25 - 50 percent) in the long term. Its distribution has been reduced and it continues to decline (NatureServe 2008).

Status:

The Tennessee Heelsplitter is critically imperiled in Alabama, Georgia, North Carolina, and Virginia, and is imperiled in Tennessee (NatureServe 2008). It is ranked as Near Threatened by the IUCN. Although a relatively large number of extant occurrences are known, some have extremely low population sizes and some local extirpations have occurred in Tennessee and Alabama. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Habitat loss and degradation is the greatest threat to imperiled southeastern mollusks like the Tennessee Heelsplitter (Neves et al. 1997). This species' habitat has already been majorly restricted (NatureServe 2008). Tennessee Heelsplitter habitat has been fragmented by impoundment and reservoir construction. Water quality for this mussel has been degraded by siltation and stream bed physical disruption from poor agricultural practices including uncontrolled cattle grazing, which NatureServe (2008) describes as a major threat for this species. This species is also threatened by urban and industrial development (NatureServe 2008). Virginia's Comprehensive Wildlife Conservation Strategy (2006) cites siltation, dredging, pollution, mining, water withdrawal, and impoundment as threats to aquatic species in the Southern Cumberlands. The strategy also outlines livestock, municipal development, and wastewater treatment as specific threats to this mussel (p. H-32). The Alabama Dept. of Environmental Management (2003) reports that the Tennessee River basin has been widely degraded by nonpoint source pollution from many sources, particularly agriculture, urban development, logging, and surface coal mining (ADEM 2003). There are more than 130 confined animal feeding operations in the Tennessee River basin (ADEM 2003). Aquatic habitats in the basin are also degraded by water-related recreational activities and nonpoint source pollution from onsite residential sewage systems (ADEM 2003). Mussels in the Clinch watershed are threatened by coal mining and agricultural practices (U.S. EPA 2002). This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants

associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009). This mussel is also threatened by impoundment and dam operations for the Claytor Hydroelectric Project (American Electric Power 2009).

Disease or predation:

Neves and Odom (1989) cite muskrat predation as a threat to imperiled mussels in the North Fork of the Holston in Virginia.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Tennessee Heelsplitter, and no occurrences are appropriately protected and managed (NatureServe 2008). This species was a federal Candidate for listing in 1994, and is still in dire need of ESA protection. It is listed as Endangered by the states of North Carolina and Virginia, and as a Species of Greatest Conservation Need in Alabama, but these designations do not provide substantial regulatory protection. This mussel has no state status in Georgia or Tennessee. NatureServe (2008) provides the following management recommendations for this species: "All populations should receive protection through acquisition, easement, registry, and working with local, state, and federal government agencies on issues relating to development, water quality, river designation, etc. Cooperative development of basin-wide development plans to maintain water quality and prevent environmental degradation should be a high priority. In particular, the establishment of environmentally compatible soil conservation programs need to be established and emphasized as economically advantageous to land owners (e.g., maintenance of higher agricultural productivity, cost effectiveness, etc.)."

Other factors:

The Tennessee Heelsplitter faces heightened susceptibility to extinction because of population isolation and low population abundance, which decrease the genetic viability of individual populations and increase the risk of extirpation from stochastic genetic and environmental events. Any factor which degrades water quality also threatens this mussel, and NatureServe (2008) lists pollution as a threat to the survival of this species. The North Fork of the Holston River has been severely impacted by mercury releases (Stansberry and Clench 1975, Neves 1991 in Flebbe et al. 1996).

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Scientific Name:

Lasmigona subviridis

Common Name:

Green Floater

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The green floater occurred historically in Alabama, Georgia, Tennessee, Kentucky, North Carolina, Virginia, Washington D.C., Maryland, West Virginia, Pennsylvania, New York, and New Jersey. It is extant only in Tennessee, North Carolina, Virginia, West Virginia, Pennsylvania, Maryland, New York and New Jersey. Williams et al. (2008) state: "*Lasmigona subviridis* occurs in Atlantic Coast drainages from the St. Lawrence and Hudson River drainages in New York south to the Cape Fear River drainage in North Carolina. A disjunct Atlantic Coast population is known from the Savannah River drainage (Fuller 1971). Disjunct populations also occur in the Kanawha River system of the Ohio River drainage in North Carolina, Virginia, and West Virginia (Clarke 1985, Pinder et al. 2003); the Tennessee River drainage, eastern Tennessee and western North Carolina (Parmalee and Bogan 1998; R.S. Butler pers. comm.); and the Apalachicola Basin, Alabama and Georgia (Brim Box and Williams 2000)" (p. 408).

Habitat:

This species inhabits medium-sized rivers and creeks, and strongly prefers calm, relatively shallow waters (Strayer and Jirka 1997). It is most often found in hydrologically stable environments as it is intolerant of frequent flooding and drying or changes in water flow (NatureServe 2009). It prefers gravel and sand substrates and high water quality (NatureServe 2008).

Ecology:

Lasmigona subviridis is a non-migratory species; adults are virtually sessile, though passive dispersal downstream may occur (NatureServe 2008). The green floater's life history is similar to that of many freshwater mussels: juveniles are incubated within females from August to the following June, when they are released and attach to their glochidial hosts (host species are as yet unidentified). Juveniles are parasitic, and adults feed on benthic detritus (James 1987). This species is one of a few hermaphroditic freshwater mussel species; large population sizes may therefore be less critical to reproductive success and persistence.

Populations:

Though the green floater is still present across a wide geographical range, many populations have been extirpated, and others are experiencing precipitous declines (NatureServe 2008). Between 21 and 80 occurrences are estimated, though sites generally contain few individuals of this species: the most populous surveyed site in New York found only 22 individuals (Strayer and Jirka 1997). Global abundance is unknown.

The green floater is extirpated from many historical sites, and few new populations are being found; most occurrences are thought to be marginally viable (NatureServe 2008). Few sites remain in New York: it has been extirpated from the Hudson and Mohawk Rivers, and is rare in the Oswego, Genesee, and Susquehanna basins (Strayer and Jirka 1997). In New Jersey, it was historically found in the middle Delaware and Raritan Rivers, and remains only in Stony Brook (Cordeiro 2003). The green floater was once present throughout much of Pennsylvania, but is

now found only in the Susquehanna, Pine, Lower Juniata, and Sinnemahoning Rivers (Ortmann 1919, Bogan 1993, PA NHP as cited in NatureServe 2008). In Maryland, populations exist in the upper and middle Potomac, and some Washington Metro drainages (Bogan and Proch 1995). West Virginia populations are limited to the upper Potomac, Kanawha, New, Cascapon, and Greenbrier Rivers (Taylor 1987, pers. comm. as cited in NatureServe 2009). In North Carolina, this species is found in the Watauga, New River, Roanoke, Tar, Neuse, and Cape Fear River basins (Bogan 2002), and in Allegheny, Ashe, Durham, Edgecombe, Granville, Halifax, Johnston, Nash, Northhampton, Orange, Person, Rockingham, Stokes, Wake, and Watauga Counties (LeGrand et al. 2006). In Tennessee, it is known in the Watauga River and Johnson County (Parmalee and Bogan 1998). Populations were once extant in Georgia's Flint River, but more recent surveys have not confirmed this species in historical locations (Athearn 1992). The green floater is known at three sites in Virginia's New River drainage, and in the Holston and Clinch Rivers (Pinder et al. 2002). Though it was historically present in several sites in the Apalachicola Basin, recent surveys did not find this species at any documented historical locations (Brimbox and Williams 2000, Mirarchi 2004).

Population Trends:

NatureServe (2008) reports that the green floater has declined by up to 50 percent in the long-term, and by up to 30 percent in the short-term, stating:

“Few large populations remain and there has been a sharp decline in numbers where present (e.g., North Fork Shenandoah River) and it is extant at very few historic occurrences. Good populations, such as the West fork Greenbrier either shift or are lost after major flood events. Populations of this species were probably never very good . . . Although once widespread and common in the Susquehanna River drainage in New York, populations have declined in recent years, probably due to pollution (Strayer and Jirka, 1997) . . . In New York, it is extirpated in the Hudson River, Mohawk River, and is rare in the Oswego, Genesee, and Susquehanna basins (Strayer and Jirka, 1997).”

This species also appears to be extirpated from Georgia and Kentucky (NatureServe 2008) and from the Apalachicola Basin and the Tennessee River drainage of Alabama (Williams et al. 2008).

Status:

NatureServe (2008) lists the green floater as critically imperiled in Maryland, New Jersey, and North Carolina, imperiled in Pennsylvania, Tennessee, Virginia, and West Virginia, and reports that it is likely extirpated from Washington, D.C., and extirpated from Alabama, Georgia, and Kentucky. It is listed as threatened by the state of Virginia, and as endangered by New Jersey, and North Carolina. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The green floater is threatened across its range by habitat loss and degradation resulting from riparian zone removal for agriculture and development, water-level fluctuations resulting from impoundment and diversion, and increased siltation resulting from a variety of activities including instream gravel mining (NatureServe 2008, Kentucky Department of Fish and Wildlife Resources 2005, Virginia Department of Conservation and Recreation 2009). The Virginia Department of Conservation and Recreation (2009) reports that this mussel's habitat in the North and South Fork Shenandoah Rivers is threatened by degradation from impoundment, flow alteration, agriculture,

and industry. The New York State Dept. of Environmental Conservation (2005) reports that this mussel is threatened by instream gravel mining and dams. The Virginia Dept. of Game and Inland Fisheries (2010) reports that this mussel is threatened by sediment load and turbidity alteration from agriculture and forestry, and hydrologic regime alteration from municipal development.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the green floater. NatureServe (2009) reports that few occurrences of the green floater are appropriately protected or managed. Maryland's highest quality occurrence (most valuable population) is located within a watershed designated as a Nature Conservancy Bio-Reserve. It is listed as threatened or endangered in several states including Virginia, New Jersey, and North Carolina, but this designation does not protect the species' habitat.

Other factors:

Pollution is cited as one of the primary causes of this species' decline (Strayer and Jirka 1997). The green floater is threatened by increased siltation, algal blooms, cold-water releases from dams, and other causes of water quality degradation (NatureServe 2008, Virginia Department of Conservation and Recreation 2009). The Virginia Department of Conservation and Recreation (2009) reports that this mussel's habitat in the North and South Fork Shenandoah Rivers is threatened by agricultural runoff, arsenic contamination from past pesticide use, and mercury contamination from factory spills. The Virginia Dept. of Game and Inland Fisheries (2010) reports that this mussel is threatened by "complications due to small populations," toxins from roadways and municipal development, and water temperature regime alteration.

The green floater may also be threatened by the Asian clam (*Corbicula fluminea*), zebra mussel (*Dreissena polymorpha*), and quaga mussel (NatureServe 2008, Virginia Department of Conservation and Recreation 2009).

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Scientific Name:

Laterallus jamaicensis

Common Name:

Black Rail

G Rank:

G4

IUCN Status:

NT - Near threatened

Range:

During the breeding season, the eastern black rail occurs on the Atlantic Coast from Connecticut to southern Florida, along the Gulf Coast to Texas, in the West Indies and down through Mexico to Central America (Eddleman et al. 1994). Throughout this range, the species is irregular and rare (Ibid.) It historically bred in Massachusetts and inland in Colorado, Kansas, Oklahoma, Minnesota, Michigan and east to Connecticut, but has not been documented to breed in these areas since the 1930s (Eddleman et al. 1994).

Habitat:

The eastern black rail nest in high portions of salt and freshwater marshes, wet meadows and flooded grasslands (Eddleman et al. 1994). Water depth is considered a key habitat component with black rails selecting areas with shallower water than other rails and infrequent tidal inundation or flooding (Todd 1977, Eddleman et al. 1988, Eddleman et al. 1994, Legare and Eddleman 2001). The species is found in sites with moist soils and pools and vegetation dominated by cordgrass (*Spartina patens*, *S. alterniflora*, *S. cynosuroides*, *S. bakeri*) and other high marsh species (Eddleman et al. 1994). Nests are built on the ground in dense vegetation (Eddleman et al. 1988). Black rails use wet prairie or grassland habitat as staging grounds along their migration route (Eddleman et al. 1988).

Ecology:

This species feeds on invertebrates and the seeds of aquatic vegetation and forages by probing substrate with its bill, or gleaning items from the water's surface (Terres 1980, Ehrlich et al. 1988). Mating occurs in late April – mid-May, and eggs may hatch anytime between late May and early August (Harrison 1975, Ehrlich et al. 1988). Clutch size is 6-8 eggs; both males and females incubate and care for offspring (Audubon 2009).

Populations:

Total population size of the eastern black rail is unknown as secretive habits and a dearth of information from most of this species' range make estimation difficult, but likely rare and irregular (Eddleman et al. 1988, Eddleman et al. 1994, NatureServe 2008).

Population Trends:

NatureServe (2008) cites a number of studies showing downward trends, noting that a 1988 census in southern New Jersey found rails at only 14 of 59 sites (Kerlinger and Sutton 1989), that "black rails were absent from many historical sites in Atlantic barrier island marshes, and observers attribute their disappearance to intense wetland alteration and human intrusion" (Ibid.), and that

black rails have nearly disappeared in the Prime Hook National Wildlife Refuge/Broadkill Beach, Delaware area (Armistead 1990).

Status:

Though breeding populations are present in much of North America, populations are relatively small and declining. NatureServe (2008) lists the black rail as critically imperiled in Connecticut, Delaware, Illinois, Kansas, Maryland, Missouri, Nebraska, New York, Oklahoma, and Tennessee, imperiled in Florida, Georgia, New Jersey, Texas, and Virginia, vulnerable in North Carolina. It is not ranked in Alabama, Arkansas, Louisiana, Mississippi, and South Carolina.

It is state-listed as endangered in Illinois, Indiana, New York, Delaware, and Connecticut, threatened in New Jersey, and as a species of special concern in North Carolina, Maryland, and Virginia.

Eddleman et al. (1988) concluded that "The status of the midwestern population of inland breeding Black Rails is unknown, but sightings and calls have been alarmingly low in the last 40 years in breeding areas and in Gulf Coast wintering areas."

Habitat destruction:

Loss and degradation of habitat is the greatest threat to the continued existence of the eastern black rail (Todd 1977, Tiner 1984, Kerlinger and Sutton 1989, Kerlinger and Wiedner 1990, Eddleman et al. 1994, NatureServe 2008). As a wetland-obligate species, eastern black rails have become increasingly threatened by agricultural development and urbanization in the 20th century. Several states that comprise a substantial portion of the black rail's historical range have lost 70% or more of their wetlands; Connecticut and Maryland stand out particularly (Dahl 1990). Citing a number of sources, NatureServe (2008) noted large loss of wetland habitats for the black rail, stating:

"According to Tiner (1984), only 46% of the original 87 million ha of wetlands in the U.S. remained by the mid-1970s. Between the mid-1950s and the mid-1970s, 7,300 ha of estuarine and 178,000 ha of palustrine wetlands were lost each year. Most of this national wetland loss was attributed to agricultural development. The coastal marshlands of several Northeastern states diminished by hundreds to thousands of hectares each year in the 1970s (Tiner 1984). New Jersey and New York, suffered significant wetland loss from dredge and residential development in coastal areas (Tiner 1984, Kerlinger and Sutton 1989). Connecticut also lost approximately half of its original wetland acreage (Tiner 1984)."

There are no indications that loss of habitat for the eastern black rail has ceased or that extensive areas have been restored.

Overutilization:

Black rails have not been considered a game species in decades, but are occasionally taken in hunts for other rails (Eddleman et al. 1994, NatureServe 2008).

Disease or predation:

Predation by various species is well documented, and is most likely to occur when high tides force black rails from dense vegetative cover, exposing them to predators (Evens and Page 1986). Common predators include great egrets (*Ardea alba*), great blue herons (*Ardea herodias*), and

northern harriers (*Circus cyaneus*), several owl species, ring-billed gulls (*Larus delawarensis*), and domestic cats (NatureServe 2008). Other possible predators include foxes, snakes, snapping turtles (*Chelydra serpentina*), and raccoons (*Procyon lotor*).

Inadequacy of existing regulatory mechanisms:

There are few to no protections specifically targeted towards the eastern black rail. NatureServe (2008), for example, concluded:

"No state in the Northeast presently implements any management procedures or programs specifically designed to protect or enhance populations or breeding areas (Davidson 1992). Many breeding areas in the region are located on state wildlife areas and national wildlife refuges. However, large areas of high marsh on these public lands have been impounded and are managed primarily for waterfowl. Water levels in these areas are typically too high to support the required habitat."

The black rail is state-listed as endangered in Illinois, Indiana, New York, Delaware, and Connecticut, threatened in New Jersey, and as a species of special concern in North Carolina, Maryland, and Virginia. However, these designations afford the black rail no substantial regulatory protection for the rail's habitat despite the fact that the species is primarily threatened by habitat destruction.

Other factors:

The eastern black rail faces a number of other threats to its continued existence, including invasive species, pollution, climate change and burning of marshes. The common reed, (*Phragmites* spp.) is a weedy, invasive species that threatens the integrity of many tidal wetland or marsh communities. Anthropogenic disturbance and development near these habitats facilitates the incursion of *Phragmites*, particularly by increasing nitrogen availability through runoff from agricultural and urban or suburban areas (King et al. 2007). Disturbed and stressed wetlands are known to be most vulnerable to *Phragmites* invasion (NatureServe 2008). *Phragmites* outcompetes native plants, replacing them with dense, monospecific stands that are thought to be unsuitable as black rail habitat (Audubon 2009). While the specific effects of *Phragmites* on black rail populations are as yet unknown, this invasive plant is widely cited as a plausible contributor to the black rail's decline. The black rail also appears to be threatened by red fire ants (*Solenopsis invicta*). Legare and Eddleman (2001) observed that fire ants constructed mounds under nests and killed one hatchling.

Chemical contamination is reported in several other marsh bird species (e.g., Stendell et al. 1980) and is thought to have a potentially significant impact on the health of some black rail populations. Toxins may come from agricultural runoff (pesticides, fertilizers), recreation (lead shot), or other human activities.

Because climate change is projected to increase the frequency of major weather events and cause rising sea levels, it presents a serious threat to the black rail because of its use of coastal habitats and sensitivity to flooding.

Finally, many salt marshes in the eastern coastal plains are burned annually, a practice that may inadvertently cause habitat loss as the dead vegetation used by black rails for nesting is removed

(NatureServe 2008).

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Scientific Name:

Lepidostoma morsei

Common Name:

Morse's Little Plain Brown Sedge

G Rank:

G2

Range:

Morse's little plain brown sedge is a species of caddisfly endemic to the southeastern United States. It occurs in Florida, Mississippi, New Jersey, and Texas, though it is known from only a very few locations within this range and is considered rare (NatureServe 2008).

Habitat:

This caddisfly occurs in flowing water habitats and is most commonly sighted among dead plant material (NatureServe 2008). In Florida, this species is associated with blackwater (softwater) stream habitat, a unique ecosystem threatened by a multitude of factors (Florida FWC 2009).

Ecology:

Larvae are aquatic, and as members of the Lepidostomatidae, are tube-case makers, constructing encasements of sand, vegetative matter, or other available material that protect them from predators for the duration of their aquatic stage (NatureServe 2008, Grimaldi and Engel 2005). Emergent adults do not generally disperse great distances from their site of emergence, and dispersal distance is negatively correlated with vegetation density around the emergence site, emphasizing the importance of habitat continuity and integrity (Collier and Smith 1997, LaFontaine 1981). The Morse's little plain brown sedge does not migrate.

Populations:

Total global abundance is estimated to be less than 1000 individuals (NatureServe 2008). Until 2004, only two occurrences of Morse's little plain brown sedge were known: one in Little Alaquia Creek (Walton County, Florida), and one in Stone County, Mississippi. Cosgrove (2004 as cited in NatureServe 2008) reports an occurrence in New Jersey, and NatureServe (2008) reports another in Texas. Clearly, these occurrences are highly disjunct, and while other populations may exist, the viability of such isolated populations is likely to be quite low. This species is known from only two specimens in Florida, one from 1970 and one from 1984 (Rasmussen et al. 2008).

Population Trends:

Population trends, both short- and long-term, are unknown due to the rarity of this species (NatureServe 2008).

Status:

NatureServe (2008) lists Morse's Little Plain Brown Sedge as critically imperiled in Florida, and its status is under review in Mississippi, New Jersey, and Texas. It is state-listed as endangered in Ohio, Alabama, and New Jersey, and as a species of special concern in Maryland. The Florida Committee on Rare and Endangered Plants and Animals ranks this species as threatened (Rasmussen et al. 2008).

Habitat destruction:

This caddisfly is sensitive to siltation and pollution (NatureServe 2008) and is thus threatened by a variety of factors including unsustainable forestry practices; conversion of habitat to agriculture,

industrial, and residential development; surface water diversion; groundwater withdrawal for irrigation, municipal, and other uses; resource extraction, and unsustainable recreational activities (Florida Wildlife Conservation Commission 2009).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that no occurrences are appropriately protected or managed. The range of this species in Florida is on Eglin Air Force base, which provides some habitat protection but does not necessarily protect this species from pollution, a primary threat.

Other factors:

This caddisfly is threatened by water pollution (NatureServe 2008). The Florida Wildlife Conservation Commission (2009) reports that the softwater streams which support this species are threatened by contamination by toxins and chemicals, eutrophication and nutrient loading from municipal and agricultural sources, and invasive species.

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Scientific Name:

Leptoxis arkansensis

Common Name:

Arkansas Mudalia

G Rank:

G1

Range:

The Arkansas Mudalia occurs in several creeks in Arkansas and in the North Fork of the White River basin watershed in Missouri (Wu et al. 1997). Its occurrence in Missouri was confirmed in 2006 (P. Johnson, AL DCNR, pers. comm., November 2006 cited in NatureServe 2008). It was thought to be extirpated in Arkansas, but was redetected in 2009 (Hayes et al. 2009).

Populations:

There are fewer than five populations of this snail (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this snail very rapidly declining (decline of 50-70 percent). It was thought to be extirpated in Arkansas (Wu et al. 1997) but was recently redetected at several historical sites in the state (Hayes et al. 2009).

Status:

NatureServe (2008) ranks the Arkansas Mudalia as critically imperiled in Arkansas (G1S1) and vulnerable (S3) in Missouri. It is a Species of Conservation Concern in Missouri.

Habitat destruction:

The Arkansas Mudalia is extremely vulnerable to habitat loss and degradation due to its limited range. The Missouri Dept. of Conservation (2001) reports that the North Fork of the White River watershed is threatened by water quality degradation due to high fecal coliform levels, nutrient loading, and sediment deposition. Activities such as gravel dredging, indiscriminate land clearing, livestock grazing in riparian zones, and contamination from septic systems and municipal discharges all threaten this species' habitat (Missouri Dept. of Conservation 2001).

Mining is also a threat to the Arkansas Mudalia. The Missouri Department of Natural Resources, Division of Geology and Land Survey, has identified 23 active mines and 137 past producers within the North Fork Watershed in Missouri, including gravel removal operations, limestone quarries, and iron mines, all of which threaten water quality (Missouri Dept. of Conservation 2010).

Recreational activities and developments also threaten this snail. The North Fork Watershed is heavily used for fishing and floating. Bank and shoreline development continues to occur in some areas on the major streams of the watershed, with housing construction on the North Fork River downstream of the Mark Twain National Forest being one example. Problems associated with recreational activities and developments include destabilization of stream banks and flood plains due to vegetation removal, increased sediment loading, and water quality impacts from poorly treated sewage (Missouri Dept. of Conservation 2010).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this snail. It is a Missouri Species of Conservation Concern but this does not convey regulatory protection. It has no status in Arkansas (Anderson 2006).

Other factors:

This species is threatened by pollution from a variety of sources.

References:

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Wu, S.-K., R.D. Oesch, and M.E. Gordon. 1997. Missouri Aquatic Snails. Natural History Series, No. 5. Missouri Department of Conservation: Jefferson, Missouri. 97 pp.

Scientific Name:

Leptoxis picta

Common Name:

Spotted Rocksnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Spotted Rocksnail is 100-250 square km in Alabama. This species occurred historically in the main channel of the Alabama River in Monroe County, the main stem of the Cahaba in Dallas County, and the Coosa to the foot of the rapids in Elmore County (Goodrich 1941). Burch (1989) describes this snail's range as the Alabama River from the Coosa to Monroe County and the Coosa as far up as the gravel bars below the last series of rapids below Wetumpka. This species may now be extirpated in the Coosa and the Cahaba (NatureServe 2008). This snail was recently detected in a small section of the Alabama River downstream from Jones Bluff, Millers Ferry, and Claiborne Locks and Dams in Autauga, Dallas, Wilcox, Monroe, and Clark counties (Mirarchi et al. 2004).

Habitat:

The Spotted Rocksnail uses gravel, cobble, or bedrock substrate in flowing water (Mirarchi et al. 2004). This snail is associated with limestone outcroppings (Mirarchi et al. 2004).

Ecology:

Little is known about the ecology of the Spotted Rocksnail, but it is thought to be somewhat sedentary, moving little within appropriate habitats (Mirarchi et al. 2004).

Populations:

NatureServe (2008) estimates that there are from 6-20 populations of Spotted Rocksnail, but this may not reflect suspected extirpation from the Coosa and Cahaba (Bogan and Pierson 1993). This snail has been recently reported only from three short reaches of the Alabama River (Mirarchi et al. 2004). It appears to be doing well below Claiborne Dam, but is uncommon below Millers Ferry Dam. In the early 1990s, it was locally abundant downstream of Claiborn Lock and Dam, but more recent surveys detected only a few specimens (Mirarchi et al. 2004). Total population size is crudely estimated at 250 - 10,000 individuals (NatureServe 2008).

Population Trends:

The Spotted Rocksnail is rapidly declining (decline of 30-50 percent) in the short-term and has experienced a substantial long-term decline of 50-75 percent (NatureServe 2008). The decline may be even greater than this, in light of recent information on extirpations in the Coosa, Cahaba, and portions of the Alabama (Mirarchi et al. 2004).

Status:

The Spotted Rocksnail is critically imperiled (G1S1) (NatureServe 2008). Stein (1976) reports it as endangered in Alabama (Mirarchi 2004). It is categorized by the IUCN as vulnerable.

Habitat destruction:

Habitat loss and degradation from impoundment and pollution have already extirpated this species from much of its former range, and remain ongoing threats to its survival, particularly non-point source pollution from agriculture. Extensive portions of this snail's habitat in the Alabama and Coosa were lost to impoundment. Alterations in flow and water quality from the operation of

Jordan Dam contributed to extirpation in the lower Coosa. Water quality deterioration likely extirpated this snail from the lower Cahaba and portions of the Alabama River. Snail fauna in this region have been adversely affected by changing patterns of land use, particularly intensive agriculture and ensuing siltation and pollution (Dillon and Lydeard 1998).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species.

Other factors:

Limited distribution and rarity make this snail vulnerable to extinction (Mirarchi et al. 2004).

References:

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Scientific Name:

Leptoxis virgata

Common Name:

Smooth Mudalia

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The range of the Smooth Mudalia encompasses 250-1000 square km (NatureServe 2008). This Tennessee River drainage endemic historically occurred from the headwaters of the Tennessee downstream to Jackson County, Alabama, and in the Holston River drainage from Sullivan to Knox counties, TN (Burch 1989). This snail still occurs in the Clinch, Powell, French Broad, Holston, Nolichucky, and Hiwassee river drainages in Tennessee, and potentially in North Carolina and Virginia (Mirarchi et al. 2004).

Habitat:

The habitat requirements of this snail have not been studied, but based on its extirpation in polluted waters and waters that have been dammed, it requires clean, flowing water (NatureServe 2008).

Populations:

NatureServe (2008) reports that there are from 6-20 extant populations of the Smooth Mudalia. Overall population size is unknown. "Fairly dense" populations are present in sections of the Hiwassee River drainage.

Population Trends:

The Smooth Mudalia has been extirpated from much of its historical habitat. Remaining populations in the Tennessee River headwaters appear to be secure, but their survival depends on maintaining suitable habitat conditions.

Status:

The Smooth Mudalia is critically imperiled in Tennessee and presumed extirpated in Alabama (NatureServe 2008). NatureServe (2008) ranks the snail as SNA (Not Applicable) in North Carolina and Virginia, and reports of populations from those states may be erroneous (Mirarchi et al. 2004, LeGrand et al. 2006). It is classified as Vulnerable by the IUCN. Many populations have been extirpated and the snail's historical range has been dramatically reduced.

Habitat destruction:

The Smooth Mudalia is dependent on unpolluted, flowing water for survival. Much of this snail's historical range has been polluted or inundated by the creation of reservoirs, resulting in population extirpation (NatureServe 2008). This species is threatened by any activity which degrades water quality, including logging, mining, runoff, pollution, and disruption of flow regime. This snail potentially occurs within the project area of a proposed industrial development by the Chicago Bridge and Iron Company on the bank of the Tennessee River and Guntersville Reservoir in Marion County (TVA 2009). This snail is also potentially threatened by the development of a commercial marina on the bank of the Tennessee River in Marion County (U.S. ACOE 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms for the protection of the Smooth Mudalia. Johnson et al. (2005) reported populations of this species in and adjacent to the Cherokee National Forest in Polk Co., Tennessee, so some habitat for this species is under federal management, but this does not convey habitat protection.

Other factors:

Any factor which degrades water quality will negatively affect the Smooth Mudalia, including pollution, alteration of hydrologic regime, introduction of invasive species, drought, etc. The North Fork of the Holston River has been severely impacted by mercury releases (Stansberry and Clench 1975, Neves 1991 in Flebbe et al. 1996).

References:

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Scientific Name:

Leuctra szczytkoi

Common Name:

Louisiana Needlefly

G Rank:

G2

IUCN Status:

NE - Not evaluated

Range:

This stonefly, also known as the Schoolhouse Springs Leuctran Stonefly, is found in the Red River Drainage of central and north central Louisiana (NatureServe 2008). Its range is less than 100-250 square km (less than about 40 to 100 square miles).

Habitat:

The Louisiana needlefly is found in slow moving streams with tea colored water, abundant attached microbes, sandy substrate, and abundant woody debris (NatureServe 2008). It prefers areas that are shaded by overhanging hardwoods (USFS 2007).

Populations:

This species is known from four sites, one of which is now historical. There is a high probability more occurrences exist within its very limited global range (Red River Drainage of LA only). NatureServe (2008) estimates that this species could potentially have up to 20 genuinely discrete occurrences, but there is currently no data to confirm this assumption. This species can be locally abundant in appropriate habitat.

Population Trends:

Trend has not been quantified for this species. It is known from only four sites, and appears to have been extirpated from one of them. This would indicate a 25 percent decline, but this species is expected to be found in more locations following future surveys.

Status:

This species is known from only three sites, has an extremely limited range, and specialized habitat. It is ranked as imperiled by NatureServe (2008). It was a Federal C-2 and C-3B candidate species before those lists were abolished.

Habitat destruction:

NatureServe (2008) reports that this species is threatened by alteration of habitat by heavy siltation, dams, and diversions. This stonefly is also threatened by pesticide runoff, and could become threatened by Dimilin used in gypsy moth eradication efforts.

Leuctra szczytkoi faces habitat destruction from ATV use on the Kisatchie National Forest in Louisiana: "Heavy ATV use in the watershed could result in harmful scouring of the substrate, reducing available habitat for the Schoolhouse Springs leuctran stonefly. The Swafford, Beaver, and Jordon Creeks on the Catahoula District are three of the four places the Schoolhouse Springs leuctran stonefly has been found. Continued off-route motorized use ... could therefore pose a threat to the Schoolhouse springs leuctran stonefly. The prohibition of off-route motorized travel ... would benefit the stonefly" (USFS 2007).

Inadequacy of existing regulatory mechanisms:

The Nature Conservancy now owns the type locality for this stonefly, but this species no longer occurs there (NatureServe 2008).

Leuctra szczytkoi occurs in the Kisatchie National Forest in Louisiana, where it is a Regional Forester Sensitive Species. It has been collected from Loving Creek on the Evangeline Unit; and Swafford Creek, Beaver Creek, and Jordon Creek on the Catahoula District (USFS 2007). Protection offered to sensitive species is discretionary, and even on national forest lands this species is threatened by logging projects and recreation, particularly from ATV use.

No existing regulatory mechanisms adequately protect this species.

References:

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Scientific Name:

Libellula jesseana

Common Name:

Purple Skimmer

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

This species is endemic to Florida (NatureServe 2008). It is found in two disjunct areas, nine counties on the eastern side of Florida Peninsula, and Bay/Washington Counties in the Florida Panhandle.

Habitat:

This dragonfly inhabits clear-water, sand-bottomed lakes, of the most infertile type, edged with sparse maiden-cane grass and St. John's Wort shrubs (NatureServe 2008). Adults forage in open woodland or shrubland.

Ecology:

In polluted lakes, this species is displaced by the common species *L. Auripennis*.

Populations:

This species was previously known from 15 lakes, but NatureServe (2008) cites a personal communication from Jerrell Daigle (2006) stating that this species is now only detected regularly at a single lake. It is thought that this dragonfly might occur in a few lakes in adjacent Georgia and Alabama, but to date there have been no detections outside Florida. This species is abundant in appropriate habitat.

Population Trends:

NatureServe (2008) reports a short term decline of 10-30 percents, and projects a long-term decline of over 75 percent because this species cannot persist in developed or polluted habitats. Paulson (2007) reports that recent information indicates the species has disappeared from all of its known former locations in the Florida Panhandle and has not been seen recently at some former locations in the Florida Peninsula.

Status:

According to NatureServe (2008), this species was previously ranked as imperiled based on recently known occurrences, at the suggestion of Sid Dunkle. Although it was suspected that additional occurrences probably existed, the rank also reflected the rapid development of sand bottom lakes in Florida and the sensitivity of this species to eutrophication. However according to Jerrell Daigle as of 2006 there is only one lake in a state park where this species can still be found regularly, and this species is now ranked as critically imperiled (G1S1). It is ranked as vulnerable by the IUCN. This dragonfly is highly threatened by lakeshore development and resultant pollution.

Habitat destruction:

This species is threatened by the explosive population growth in Florida, especially around the type of lake where this species occurs (NatureServe 2008). This dragonfly is highly sensitive to eutrophication, and sand-bottomed lakes in its habitat are being developed rapidly. Lake eutrophication caused by lawn fertilizers and septic tank outflows allows *L. Auripennis* to outcompete *L. jesseana*. NatureServe (2008) states: "relatively slight eutrophication of a lake would eliminate this species."

Paulson (2007) reports that "[e]utrophication and other types of water pollution from human settlement at and near lakes, ongoing in much of *L. jesseana* range in Florida, continue to threaten the habitat. Ground-water depletion because of irrigation could dry up some of the shallower ponds, which is also continuing to happen on the sandy ridges of Florida.... Recent information indicates the species has disappeared from all of its known former locations in the Florida Panhandle and has not been seen recently at some former locations in the Florida Peninsula. Although no exhaustive surveys have been conducted recently, right now anyone who wants to see the species is directed to a single location, Scheeler Lake in Gold Head Branch State Park, Clay County, as no one knows of other locations for certain. However, even this location may not be suitable in the future as natural succession may make it uninhabitable for this sufficiently specialized species."

According to the Florida Department of Environmental Protection (2006), the Site 1 Impoundment Project of the Central And Southern Florida Project Comprehensive Everglades Restoration Plan will destroy the habitat of *L. jesseana*. "The purple skimmer dragonfly (*Libellula jesseana*) is a rare odonate species recorded from aquatic areas throughout Palm Beach County... The project site is not expected to support a highly diverse aquatic invertebrate community due to the lack of habitat in the canalized canal and potential water quality issues in the existing pond and small lake on the project site (USFWS 2004)... Fish and wildlife habitat at the proposed impoundment site is expected to be adversely impacted by future residential development."

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this dragonfly. It occurs in a state park and on the Ocala National Forest.

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Scientific Name:

Lilium iridollae

Common Name:

Panhandle Lily

G Rank:

G2

Range:

Lilium iridollae is endemic to the western Florida panhandle and southern Alabama (Chafin 2000). The range of this species is contested. Some say it ranges from northwest Florida and south Alabama to Virginia, though its distribution is discontinuous within this area. But others consider those in the sand hills of the Carolinas and Virginia to be a separate species, *L. pyrophilum* (NatureServe 2008). Accepting *L. pyrophilum* as distinct, *L. iridollae* is limited to the Gulf Coastal Plain, and its range encompasses fewer than 250 square km (100 square miles) (NatureServe 2008). It is found in Escambia, Okaloosa, Santa Rosa, and Walton counties, the four westernmost counties in Florida, and in two counties in adjacent Alabama (Chafin 2000, Coile 2000).

Habitat:

The Panhandle lily is a wetland obligate, meaning it occurs almost always in a wetland habitat (Tobe et al. 1998). It can be found in baygalls, swamps and bogs, wet savannas, seepage slopes, floodplain and bottomland forests, wet flatwoods, stream banks, and banks of blackwater creeks (Chafin 2000, Kral 1983, NatureServe 2008, Tobe et al. 1998). Seepage slopes are located where water flowing downhill is forced to the surface by an impermeable layer of clay or rock. Baygalls are seepage depressions at the base of slopes, with a canopy of dense, evergreen hardwoods, an open understory, and acidic, peaty soil. Floodplain and bottomland forests, located in stream corridors, have a closed canopy of hardwoods and a dense to open understory and experience occasional to frequent flooding. Soil types here vary and can consist of clay, organic materials, sand, and alluvials (NatureServe 2008). *L. iridollae* is often located at the edges of these areas or in clearings with full sunlight or semi-shade (Adams and Dress 1982, Kral 1983). The panhandle lily prefers moist, loamy, acidic soils, often low in nutrients, consisting of organic muck, sphagnum peat, and sand (Kral 1983, NatureServe 2008).

Ecology:

L. iridollae is an herbaceous, bulbous, perennial (Barrows 1989, Kral 1983). It reaches heights of 3 to 5 feet, and it flowers from July to August (Chafin 2000, Henry 1946). Its capsules ripen in mid-October, producing flat, winged, wind-dispersed seeds (Adams and Dress 1982, NatureServe 2008). Flowers and leaves provide a natural food source for herbivores and insects (Barrows 1989). *L. iridollae* prefers full or partial sunlight because shade and root competition cause it to lose vigor and eventually die (Kral 1983). Its habitats are fire dependent, with seepage slopes burning every five years and baygalls every 50. Fires act to reduce tree invasion and shrub height, and in areas where fire is less frequent, panhandle lily is limited to the margins (NatureServe 2008).

Populations:

Between six and 20 occurrences of *L. iridollae* are estimated by NatureServe (2008). Seventy sites were known in Florida in 2000, but over half of all known sites are considered to have poor viability, and are composed of fewer than ten plants (Chafin 2000, NatureServe 2008). There is

only one known large occurrence, located in Alabama, and most populations have only one or two stems reported (NatureServe 2008). Fewer than 1,000 individuals are estimated (NatureServe 2008).

Population Trends:

Lilium iridollae was already rare when first discovered in 1946 (Henry 1946).

Status:

NatureServe (2008) ranks the Panhandle lily as critically imperiled in Alabama and South Carolina, and imperiled in Florida. It has not been ranked in Virginia.

Habitat destruction:

The panhandle lily is threatened by habitat loss and degradation from several factors. Fire suppression in nearly the entire longleaf pine region has rendered unsuitable many panhandle lily habitats. Even in areas where appropriate fire regime has been reintroduced, in situ seeds may no longer be viable in many areas, so lilies would need to be reintroduced. Fire breaks in ecotones which prevent fire from reaching wetland habitats have already caused a decline in this species' viability and will continue to do so (Chafin 2000). Drainage of wetlands and conversion of vast areas to agricultural lands and pine plantations has resulted in the loss of many suitable sites. As panhandle lilies are dependent on inundated soils, draining wetlands for any type of land conversion is deleterious to this species (NatureServe 2008). Changes in hydrology resulting from logging, construction, and development also threaten this species (Chafin 2000). Extensive loss of habitat to housing and industrial tracts has had a permanent impact. Lilies can survive in powerline and gasline corridors, which need to be surveyed. In these areas, lilies may be threatened by herbicide applications. The construction of dams on streams where *L. iridollae* occurs has impacted at least one population in Florida, and the construction of farm and recreation ponds on dozens of streams has possibly impacted plants in the Carolina Sandhills. Drainage, bulldozing, root raking, bedding, chopping, and grazing can all lead to the elimination of this species (Kral 1983). *L. iridollae* is extremely sensitive to grazing pressure. Its leaves and stems are highly palatable to cattle and deer, and hogs uproot the bulbs (Henry 1946, Kral 1983). Grazing by deer in natural landscapes may be deleterious where their populations are not kept in check by hunting or natural predators. Kral (1983) states that "All lilies are highly palatable to both deer and cattle and are the first to go with any grazing pressure."

Overutilization:

Overutilization is a documented threat to this species. A population was removed by horticultural collectors from Conecuh National Forest (White et al. 1992). The Panhandle lily is "large and showy" and "collecting may be more common than currently believed, especially among those people desirous of possessing such a rare entity" (White et al. 1992, NatureServe 2008). The threat posed to this rare species by overcollection is magnified by the threats of habitat loss and predation from grazing.

Disease or predation:

The panhandle lily is threatened by predation from cattle, deer, hogs, and possibly insects (Henry 1946, Kral 1983, Barrows 1989). Barrows (1989) reports predation by an unknown animal and herbivory by insects. In conjunction with other threats, and given the drastically reduced range of this species, even natural levels of predation could increasingly threaten this species.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that no occurrences of this species are appropriately protected. Even on public lands where the lily is protected from development, it is threatened by fire suppression

and by collection (White et al. 1992, Chafin 2000). This lily is documented on Eglin Air Force Base, Blackwater River State Park and Blackwater River State Forest in Florida, and in Conecuh National Forest, Alabama.

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- White, D.L., T.E. Ostertag, and R.A. Hilsenbeck. 1992. Draft final status survey report for the panhandle lily (*Lilium iridollae* Henry). U.S. Fish and Wildlife Service Cooperative Agreement No. 14-16-0004-89-961.

Scientific Name:

Lindera subcoriacea

Common Name:

Bog Spicebush

G Rank:

G2

Range:

Lindera subcoriacea is endemic to the Southern Coastal Plain and occurs in southeast Virginia, Florida, Louisiana, Alabama, Georgia, Mississippi, North Carolina, and South Carolina (Weakley 2004).

Habitat:

L. subcoriacea prefers shrub-dominated seepage wetlands that remain moist or wet year-round (Gordon et al. 1986). It was first identified in the evergreen peat bogs of southern Mississippi and southeastern Louisiana (Bridges and Orzell 1989, Wofford 1983). Within the Gulf Coastal Plain, this species inhabits pitcher plant bogs or quaking bogs on flat ground, and hillside seepage bogs on moderate slopes. In the more northerly portion of its range, the Sandhill region of the Carolinas and Georgia, it is found in pocosin swamps - forested wetlands that border stream headwaters (NatureServe 2008). Habitats are often fire-maintained (Wofford 1983). Soils in the southern part of this species' range are high in organic matter, acidic, and permanently saturated (Gordon et al. 1986). Further north, soils are drier but still consistently moist. *L. subcoriacea* requires significant solar exposure, so limited canopy cover is essential (NatureServe 2008).

Ecology:

L. subcoriacea is a dioecious, deciduous, perennial shrub; flowering occurs in mid-March and fruits mature in late fall (Wofford 1983). This species often reproduces clonally, sending out new shoots to replace older stems (Gordon et al. 1986). Rangewide, it is often found with *Magnolia virginiana*, *Myrica heterophylla*, and *Rhus vernix* (Bridges and Orzell 1989).

Populations:

Few occurrences of this species remain, and most are of low quality because of small population size or unequal sex distribution (NatureServe 2008). Because *L. subcoriacea* reproduces clonally, most populations have notably low genetic diversity, generally consisting of just 1-5 genetically distinct individuals. There are currently fewer than 115 individuals in Mississippi, fewer than 150 in North Carolina, and fewer than 50 in the rest of the species' known range (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species is rapidly declining, largely because of habitat loss, although establishing longterm trends is challenging as the species was first described in 1983.

Status:

This species is naturally restricted to a small range because of its habitat specificity, and habitat loss and degradation are driving further range contraction. Remaining populations are very small and suffer from low genetic diversity, exposing them to the perils faced by all similar populations: e.g., stochastic extinction, inbreeding depression.

NatureServe (2008) reports that the bog spicebush is critically imperiled in Alabama, Florida, Georgia, and Louisiana, imperiled in Mississippi and North Carolina, and vulnerable in South Carolina. It is listed as endangered in North Carolina and Florida, and is a sensitive species or species of special concern in several other states where it occurs.

Habitat destruction:

Fire suppression is a major cause of the bog spicebush's decline, as this species' preferred habitat is maintained by fire. Without periodic fires, shrubs and trees encroach upon *L. subcoriacea*'s habitat, outcompeting and excluding the spicebush. Prescribed burns have been proposed as a possible management solution, but as residential and agricultural development fill the longleaf pine/wiregrass upland areas surrounding swampland habitat, burns become a less viable and less popular option (NatureServe 2008). Siltation and pollution from development, agriculture, and other industry (primarily timber harvesting) degrade and destroy the bog spicebush's habitat, as do dams, diversions, and drainage of bogs and wetlands. It is estimated that 97 percent of Gulf Coast bog habitats have been considerably altered or destroyed by anthropogenic activities (Wofford 1983).

Inadequacy of existing regulatory mechanisms:

L. subcoriacea has only been recently described (1983) and so has not received as much attention as its conservation status may warrant. No existing regulatory mechanisms adequately protect this species. It is listed by the states of Florida and North Carolina as endangered, and as a species of special concern in several other states, but these designations offer the spicebush no substantial regulatory protection. Additionally, most populations occur on private lands (NatureServe 2008).

Other factors:

The bog spicebush has high environmental specificity (narrow habitat preferences) and occupies habitat that is naturally rare; it is therefore highly sensitive to further habitat losses (NatureServe 2008).

References:

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Scientific Name:

Linum westii

Common Name:

West's Flax

G Rank:

G2

Range:

Restricted to northern portion of Florida, this plant occurs in Jackson, Gulf, Franklin, and possibly Liberty and Bay Counties. Reports from Mississippi and Georgia are thought to be misidentifications (NatureServe 2008).

Habitat:

This plant occurs along shallow pond margins within slash pine (*Pinus elliottii*) - saw palmetto (*Serenoa repens*) flatwoods, bogs, wet prairies or depression marshes. It is also found on the margins of cypress ponds and occasionally in ditches (NatureServe 2008).

Ecology:

This plant is perennial, and is thought to be fire-maintained.

Populations:

Only 14 occurrences of this species were reported as of 1998, all in Florida, but this flax may be under-reported because of the difficulty of field identification. It is not abundant at any known location (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that West's flax is declining across its range.

Status:

NatureServe (2008) ranks *L. westii* as imperiled in Florida, where it is also listed as endangered.

Habitat destruction:

This species' habitat is threatened by wetland conversion to other uses, fire suppression, and the establishment of timber plantations (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in Florida, this designation affords West's flax no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species or its habitat.

References:

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Scientific Name:

Lirceus culveri

Common Name:

Rye Cove Isopod

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

Lirceus culveri is confined to the hydrology of McDavids Cave in Scott County, Virginia.

Habitat:

The Rye Cove isopod inhabits gravel or fused gravel substrate in areas of streams marked by riffles (Estes and Holsinger 1976)

Ecology:

This species is not tolerant of stream perturbation or groundwater pollution (NatureServe 2008). According to the Va. DCR (1997), “[t]he isopod plays an important role in the ecosystem by removing bacteria and fine organic matter from the aquifer. It provides a food source for salamanders, fish and crawfish. Cave isopods also serve as natural indicators of water quality in that they can survive in only the cleanest karst systems.”

Populations:

This species occurs at a single site. Surveys in other caves and drainages in Rye Cove and surrounding areas have not produced this species, therefore it is presumed to occur only at the type locality, McDavids Cave. It is found in large numbers in its proper habitat within the hydrology of McDavids Cave.

Population Trends:

According to NatureServe(2008), this species is currently stable. Population may fluctuate naturally but the species is stable providing water quality in its cave system is not degraded.

Status:

Virginia classifies this crayfish as a Species of Special Concern. It was a Federal C2 Candidate species until that list was abolished. It is ranked by NatureServe (2008) as critically imperiled and by the IUCN as vulnerable.

Habitat destruction:

Development of Rye Cove or perturbation/pollution of ground or surface water in McDavids Cave could lead to the decline in population or extinction of this isopod (NatureServe 2008).

The VA DCR (1997) reports that “The Rye Cove isopod is threatened by contamination of the groundwater flowing into its habitat. In cave country, or karstlands, surface water sinks quickly into underground channels with minimal natural filtration. Contaminated runoff from land-clearing activities can introduce fertilizers, pesticides, herbicides and sediment into the cave system that can travel downstream for thousands of feet, and even for miles. Threats to the water supply also exist from chemical spills, septic systems, leaking fuel tanks, and debris and trash dumps.”

This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota

(Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), McDavids Cave is privately owned. Acquisition or closing of McDavids Cave in conjunction with protection of watershed are recommended. No existing regulatory mechanisms protect this species.

References:

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Wood, D. 2009. Memorandum to J. Bailey, J. Wirts, and P. Campbell. Evidence for Secretary Randy Huffman. June 30, 2009. West Virginia Department of Environmental Protection, Charleston, WV.

Scientific Name:

Lithasia curta

Common Name:

Knobby Rocksnail

G Rank:

G1

IUCN Status:

DD - Data deficient

Range:

Historically the Knobby Rocksnail occurred in Alabama, Kentucky, and Tennessee, with records from Muscle Shoals and adjacent Shoal Creek in Lauderdale County, Alabama (Burch 1989). This snail has not been detected in Alabama since the construction of Wilson Dam (Mirarchi et al. 2004). The current range of this Tennessee River endemic covers less than 100 square km, as the species is only known to be extant in the tailwaters of the Kentucky dam, having last been reported in 1986 (Mirarchi et al. 2004).

Habitat:

This species is only known to be extant in the tailwaters of the Kentucky Dam, where the current is moderate to strong (Mirarchi et al. 2004).

Populations:

There is only one known extant population of Knobby Rocksnail, in the tailwaters of the Kentucky dam (Mirarchi et al. 2004). Total population size for this species is unknown, as is exact occurrence information (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that the Knobby Rocksnail has declined very rapidly in the short-term (50-70 percent decline), and has experienced large long-term decline of 75-90 percent.

Status:

NatureServe (2008) ranks the Knobby Rocksnail as critically imperiled globally, and as extirpated in Alabama and Tennessee, and not evaluated in Kentucky. It is classified as data deficient by the IUCN. It is on the Alabama Natural Heritage Program Tracking List. It was formerly a federal candidate for ESA protection (FWS 1994).

Habitat destruction:

The majority of the Knobby Rocksnail's habitat has already been lost (NatureServe 2008). Once distributed in three states, this snail is now extant only in the tailwaters of the Kentucky Dam (Mirarchi et al. 2004). This snail's habitat in Alabama was destroyed by the construction of Wilson Dam (NatureServe 2008). Because this species' distribution is already so severely restricted, it is highly vulnerable to further habitat disturbances.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Knobby Rocksnail. It is on the Alabama Natural Heritage Program Tracking List, but this does not convey legal protection. It was formerly a candidate for ESA protection (FWS 1994).

Other factors:

The Knobby Rocksnail is threatened by any factor which decreases water quality. Because this species is only known to be extant in a single location, it is vulnerable to stochastic genetic and environmental events.

References:

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Scientific Name:

Lithasia duttoniana

Common Name:

Helmet Rocksnail

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The range of the Helmet Rocksnail is less than 100 square km in the Duck River basin in Tennessee (NatureServe 2008). Van der Schalie (1973) reports that this snail was historically collected in the Duck River in Marshall, Maury, and Humphreys counties. Bogan and Parmalee (1983) report this snail from the Harpeth River in Davidson County. Burch (1989) cites two tributaries to the Duck River in Bedford County, and the Duck River mainstem from Bedford County to Humphreys County. Dillon (1989) lists occurrences from the Duck River north of Farmington in Marshall County. The Southeast Aquatic Resources Partnership (2005) lists this species as occurring in the Upper and Lower Duck mainstems, the Buffalo River Mainstem, and Eastern Highland Streams.

Habitat:

This snail occupies riffle systems with rocky substrate and has also been detected on bedrock (NatureServe 2008).

Populations:

NatureServe (2008) estimates that there are from 6-20 populations of this snail. Total population size is unknown. Bogan and Parmalee (1983) report fewer than 10 localities.

Population Trends:

This species has very rapidly declined (decline of 50-70 percent) in the short-term, and has experienced a large long-term decline of 75-90 percent (NatureServe 2008).

Status:

The Helmet Rocksnail is classified as vulnerable by the IUCN. It is ranked as imperiled (G2S2) by NatureServe 2008.

Habitat destruction:

This snail is threatened by logging, mining, cattle grazing, and oil and natural gas development (Cumberland HCP 2009). The Northern Cumberlands are threatened by logging and rapid conversion of native hardwood forests to industrial pine plantations. Almost every coal seam within the region has undergone some degree of surface mining and surface mining is ongoing. A large number of mines have been abandoned and cause serious water quality issues. Streams in the region are threatened by sedimentation and water quality degradation from agriculture and cattle grazing (Cumberland HCP 2009). Oil and natural gas wells are also prevalent throughout the region and potentially threaten aquatic habitats (Cumberland HCP 2009). Mussel species in Eastern Highland Streams where the Helmet Rocksnail occurs have been in decline for several decades due to instream habitat alterations and poor instream habitat conditions, which likely threaten this snail as well (Ahlstedt et al. 2004, Southeast Aquatic Resources Partnership (SARP) 2005). Mollusks in the Upper Duck mainstem are threatened by alteration of flow conditions, substrate destabilization, and manganese precipitates created by the operation of Normandy Reservoir (SARP 2005). Aquatic species in the Lower Duck mainstem are threatened by

agricultural activities and livestock grazing. Mollusks in the Buffalo River mainstem have experienced dramatic decline due to siltation, substrate destabilization, and chronic chemical pollution which are an ongoing threat for mussels there and thus threaten this snail as well (Ahlstedt et al. 2004, SARP 2005).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species.

References:

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Scientific Name:

Lobelia boykinii

Common Name:

Boykin's Lobelia

G Rank:

G2

Range:

Boykin's lobelia, *Lobelia boykinii*, is a perennial herb present in parts of Alabama, Florida, Georgia, Mississippi, New Jersey, North Carolina, South Carolina (NatureServe 2008).

Habitat:

Once widespread in the mid-Atlantic and southeastern United States, this species has become increasingly imperiled as a result of the loss of its wetland habitat. It is native to coastal plains and inhabits cypress-gum depressions of ponds, pine savannahs, clay-based Carolina bays, and other seasonal or permanent wetland habitat (Godfrey and Wooten 1981).

Populations:

Total population size is unknown, but is estimated to be between 1,500 and 10,000 individuals (NatureServe 2008). The largest known occurrences contain approximately 1,000 individual plants.

Population Trends:

NatureServe (2008) reports that population size and resilience of Boykin's lobelia has declined substantially over recent decades, and continues to decline rapidly.

Status:

This species is restricted to scattered populations in the southeastern Coastal Plain with a few disjunct occurrences in New Jersey and Delaware. The species' wetland habitats were once common in the southeast but are now limited in number due to drainage for agriculture and development. In addition, many southeastern wetlands are threatened by a drawdown in the regional water table, a result of intensive development over the last 10-20 years. Populations in New Jersey have declined for unknown reasons.

NatureServe (2008) lists Boykin's lobelia as critically imperiled in Alabama, Florida, Mississippi, and New Jersey, imperiled in Georgia, North Carolina, and South Carolina, and extirpated from Delaware.

Habitat destruction:

This plant's habitat is vulnerable to drainage for irrigation or other human uses (many populations have been lost this way), and to conversion of habitat to tree farms or agriculture. Habitat is also threatened by the suppression of natural disturbances that promote succession (Southern Appalachian Species Viability Project 2002). Though this species was once widely distributed throughout the mid-Atlantic and southeastern states, the vast majority of its historical range has been altered or lost, largely because of drainage for agricultural and residential development and conversion of habitat to agricultural lands (croplands and tree plantations are common) (NatureServe 2008). It is estimated that over 97 percent of herbaceous seeps and wet savannas, two types of habitat favored by *L. boykinii* within the southeastern coastal plain, no longer exist (Harper et al. 1998). Anthropogenic suppression of natural processes leading to disturbance also threatens this species' habitat; natural succession following disturbances opens space for new

colonizers and creates the spatial heterogeneity necessary for diverse native plant communities (NatureServe 2008). The wet savannas where this species thrived historically burned at regular intervals as a result of lightning strikes, but the 1920s marked the beginning of a period of widespread fire suppression. This suppression allowed dense forests to develop to the exclusion of early-successional herbaceous species like *L. boykinii* (Harper et al. 1998). The creation of plowlines (fire ditches) interrupts and compromises the hydrology of the ecosystems where *L. boykinii* is found (Harper et al. 1998). Livestock grazing and siltation from upland activities may also threaten this species.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect Boykin's lobelia.

Other factors:

Currently, this species is limited to the southeastern coastal plain, with some outlier populations in Delaware and New Jersey (NatureServe 2008). Because seep and wetland ecosystems are naturally somewhat rare, populations of species endemic to these ecosystems are naturally small and often reliant on gene flow among adjacent populations within a landscape (Harper et al. 1998). Anthropogenic alteration of the landscape, manifested primarily in habitat loss and fragmentation, exacerbates the vulnerability of these populations to stochastic extinction, inbreeding depression, and other perils associated with a loss of connectivity among populations. Many remaining occurrences of *L. boykinii* are isolated and thus of uncertain long-term viability.

References:

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- NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: August 4, 2009).
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Scientific Name:

Ludwigia brevipes

Common Name:

Long Beach Seedbox

G Rank:

G2

Range:

Also known as the Long Beach primrose-willow, this plant is endemic to the eastern Coastal Plain of the United States: it is found in Arkansas, Georgia, Maryland, North Carolina, New Jersey, South Carolina, and Virginia (NatureServe 2008). It is known historically from Ocean County in New Jersey, and from Craven, Currituck, Dare, Gates, Harnett, Hyde, Johnston, Robeson, Sampson, and Wayne Counties in North Carolina but is considered extirpated from these locales now, and currently known from Baltimore County in Maryland, from Isle of Wight, Northumberland, Southampton and Virginia Beach Counties in Virginia, from Brunswick, Columbus, and Cumberland Counties in North Carolina, and from one unlisted county in Georgia (NatureServe 2008).

Habitat:

This plant inhabits shallow wetlands and the shores of lakes, ponds, marshes, swamps, blackwater rivers, swales, ditches, and impoundments (NatureServe 2008).

Populations:

It is unknown how many extant populations of this species remain or overall population size (NatureServe 2008).

Population Trends:

Populations are declining, but precise trends are not specified (NatureServe 2008).

Status:

NatureServe (2008) lists the Long Beach Seedbox as critically imperiled in Arkansas, North Carolina, and South Carolina, and imperiled in Virginia. It is reportedly extirpated from New Jersey, and its status is under review in Florida, Georgia, and Maryland. NatureServe (2008) reports that the Long Beach seedbox is imperiled or critically imperiled throughout its range because of its rarity and disappearance from historical occurrences.

Habitat destruction:

The Long Beach seedbox has been extirpated in numerous historic locations, though no specific cause for this range loss is cited (NatureServe 2008). Extensive loss of wetland habitat likely contributed significantly to this species' decline.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect *Ludwigia brevipes*. Though it is listed as a species of special concern in some states (Carroll County Forest Conservation Technical Manual 2007, VA DCR 2001), this attention affords it no significant regulatory protection.

References:

Carroll County, Maryland Forest Conservation Technical Manual. 2007. Carroll County Commissioners, Westminster County, MD. Accessed online August 13, 2009 <<
<http://ccgoverment.carr.org/ccg/resmgmt/forconsmanual.pdf>>>

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: August 11, 2009).

Virginia Department of Conservation and Recreation: Division of Natural Heritage. 2001. Conservation Plan for the Southern Watershed Area. Richmond, VA. Accessed online August 13, 2009 << http://www.dcr.virginia.gov/soil_and_water/documents/15-SWAMP-2001.pdf>>

Scientific Name:

Ludwigia ravenii

Common Name:

Raven's Seedbox

G Rank:

G1

Range:

Also known as Raven's primrose-willow, *Ludwigia ravenii* is reported from a patchy distribution within the Coastal Plain area of Virginia, North Carolina, South Carolina, and Florida (NatureServe 2008, Chafin 2000). Reports from Georgia are not confirmed. Most historical occurrences are now thought to be extirpated.

Habitat:

This plant occurs in open, wet, peaty habitat, usually at the margins of swamps, ponds, or bogs. It is a wetland obligate (Peng 1984). This plant is frequently encountered in utility corridors, roadside verges, and ditches (NatureServe 2008).

Ecology:

This plant is perennial, flowers from July-September, and fruits August-October (Peng 1984).

Populations:

There are currently ten or fewer known occurrences of this plant, and populations are generally small (NatureServe 2008).

Population Trends:

NatureServe (2008) determined that *L. ravenii* is experiencing moderate declines in the short term, but does not report long-term trends. Based on the disappearance of numerous historical occurrences, it seems that long-term trends are also indicative of a substantial decline.

Status:

NatureServe (2008) ranks *L. ravenii* as critically imperiled in Florida, North Carolina, and Virginia, and unrated in South Carolina.

Habitat destruction:

Raven's seedbox is threatened by habitat loss from drainage or other hydrological alteration, and conversion of its habitat to timber plantations and agricultural uses. Populations in disturbed habitat (e.g., ditches, utility corridors) are vulnerable to continued disturbance, herbicide use, and excavation or other construction (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect the Raven's seedbox.

Other factors:

Because this plant is self-compatible and frequently autogamous, its long-term viability may be compromised by low genetic diversity (Peng 1984)

References:

Chafin, L. G. 2000. Field guide to the rare plants of Florida. Florida Natural Areas Inventory, Tallahassee.

Kartesz, J.T. 1994. A synonymized checklist of the vascular flora of the United States, Canada, and Greenland. 2nd edition. 2 vols. Timber Press, Portland, OR.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed February 2, 2010).

Peng, C.I. 1984. *Ludwigia ravenii* (Onagraceae), a new species from the Coastal Plain of the southeastern United States. *Systematic Botany*. 9(2): 129-132.

Weakley, A.S. 1996. Flora of the Carolinas and Virginia: working draft of 23 May 1996. The Nature Conservancy, Southeast Regional Office, Southern Conservation Science Dept., Chapel Hill, North Carolina. Unpaginated.

Scientific Name:

Ludwigia spathulata

Common Name:

Spathulate Seedbox

G Rank:

G2

Range:

Also known as the spoon primrose-willow, *L. spathulata* is endemic to the southeastern United States and occurs in a small range in South Carolina, Georgia, Florida, and Alabama (NatureServe 2008). Natural heritage records place this species in Alabama's Covington, Geneva, Houston, and Mobile Counties, in Georgia's Baker and Meriwether Counties (extirpated from Decatur County), and in South Carolina's Aiken, Allendale, Barnwell, Richland, and Saluda Counties (NatureServe 2008).

Habitat:

This species is found in periods of low water in exposed habitat around sinkhole ponds, cypress-gum bogs, and depression meadows (NatureServe 2008, Godfrey and Wooten 1981).

Ecology:

This plant is perennial, reproduces vegetatively and probably self-pollinates (Chafin 2008).

Populations:

Five occurrences are known in Georgia, few in Alabama and Florida, and more in South Carolina's Aiken Plateau, but size of individual occurrences is not reported (NatureServe 2008).

Population Trends:

This plant is rare and declining across its range largely because of habitat loss and degradation (Chafin 2008)

Status:

NatureServe (2008) ranks this species as critically imperiled in Alabama and Florida, and imperiled in Georgia and South Carolina.

Habitat destruction:

Threats to *L. spathulata* include clearing, drainage, and filling of wetland habitat or other activities that alter local hydrological patterns, fire suppression, conversion of wetland habitat to timber plantations, fragmentation by agriculture and silviculture, and numerous other direct or incidental effects of human activity (Southeastern Species Viability Project 2002, Chafin 2008). Its Carolina bay habitat is especially vulnerable as a target for in-filling to support various land-use practices (B. Sorrie pers. comm. as cited in NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Chafin (2008) reports that four occurrences in Georgia are protected, but others are susceptible to the threats previously discussed. No existing regulatory mechanisms adequately protect the spathulate seedbox or its habitat.

References:

Chafin, L. G. 2008. Species account for *Ludwigia spathulata* for Georgia Department of Natural Resources website.

http://georgiawildlife.dnr.state.ga.us/georgiaanimalsandplants_conservation.aspx

Godfrey, R.K., and J.W. Wooten. 1981. Aquatic and wetland plants of southeastern United States: Dicotyledons. Univ. Georgia Press, Athens. 933 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 15, 2010)

Sorrie, Bruce. Consultant, Longleaf Environmental. Whispering Pines, NC 28327

Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

Weakley, A.S. 1996. Flora of the Carolinas and Virginia: working draft of 23 May 1996. The Nature Conservancy, Southeast Regional Office, Southern Conservation Science Dept., Chapel Hill, North Carolina. Unpaginated.

Scientific Name:

Lythrum curtissii

Common Name:

Curtis' Loosestrife

G Rank:

G1

Range:

Curtis' loosestrife is a rare plant endemic to the Florida panhandle and southwestern Georgia - it is confirmed from Liberty, Franklin, and Gadsden Counties and reported in Bay, Calhoun, and Levy Counties in Florida, and confirmed in Calhoun, Decatur, Early, and Miller Counties, Georgia. Total range size is likely less than 100 square miles (NatureServe 2008).

Habitat:

This plant is found in silt, fine sand, peat bogs, seeps, and clearings on the edges of acidic or calcareous swamps, karst ponds, floodplains, or streambanks (NatureServe 2008).

Ecology:

Curtis' loosestrife is a perennial herb with a shrub-like form that flowers from June to August (NatureServe 2008).

Populations:

Eight occurrence records exist for Florida, though one has not been confirmed since 1954, and 4 exist for Georgia. Populations at some sites were comprised of between 50 to 200 individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species is in severe decline as a result of habitat loss.

Status:

This species has a small range within which few occurrences are known, and is experiencing major decline because of extensive habitat loss. NatureServe (2008) reports that the species is critically imperiled in both Florida and Georgia.

Habitat destruction:

The severe decline of Curtis's loosestrife is attributed to extensive habitat loss: clearcutting, conversion of natural habitat to timber plantations, dams, diversions, or other anthropogenic alterations of regional hydrology, and agricultural and residential development are among the primary activities responsible for this loss (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

References:

Allison, J.R. 1991. The status of *Cacalia diversifolia* and *Lythrum curtissii* in southwestern Georgia. Unpublished report for the U.S. Fish and Wildlife Service, Jacksonville, Florida.

Clewell, A.F. 1985. Guide to vascular plants of the Florida panhandle. Florida State Univ. Press, Tallahassee, Florida. 605 pp.

Godfrey, R.K., and J.W. Wooten. 1981. Aquatic and wetland plants of southeastern United States: Dicotyledons. Univ. Georgia Press, Athens. 933 pp.

Kartesz, J.T. 1994. A synonymized checklist of the vascular flora of the United States, Canada, and Greenland. 2nd edition. 2 vols. Timber Press, Portland, OR.

Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.

Patrick, T.S., J.R. Allison, and G.A. Krakow. 1995. Protected plants of Georgia: an information manual on plants designated by the State of Georgia as endangered, threatened, rare, or unusual. Georgia Dept. Natural Resources, Wildlife Resources Division, Georgia Natural Heritage Program, Social Circle, Georgia. 218 pp + appendices.

Scientific Name:

Lythrum flagellare

Common Name:

Lowland Loosestrife

G Rank:

G2

Range:

This plant is restricted to an increasingly small range in peninsular Florida. It has been recently confirmed in Charlotte, Collier, Dade, DeSoto, Glades, Henry, Lee, Manatee, Okechobee, and Sarasota Counties (NatureServe 2008).

Habitat:

Lowland loosestrife is found in muck or sand-peat-muck soil along the margins of ponds and cypress depressions or other similar habitat (Kral 1983).

Ecology:

This plant is perennial.

Populations:

No comprehensive survey data are available for this species.

Population Trends:

Trend information is not available for this species, but it is likely in decline as a result of widespread habitat loss (NatureServe 2008).

Status:

NatureServe (2008) reports that *L. flagellare* is imperiled in Florida, where it is also listed as state-endangered.

Habitat destruction:

Much of the habitat used by *L. flagellare* has been destroyed by drainage or other alterations to regional hydrology, logging, and fire suppression (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in Florida, this designation offers *L. flagellare* no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species or its habitat.

References:

Godfrey, R.K., and J.W. Wooten. 1981. Aquatic and wetland plants of southeastern United States: Dicotyledons. Univ. Georgia Press, Athens. 933 pp.

Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. Accessed December 17, 2009.

Scientific Name:

Macbridea caroliniana

Common Name:

Carolina Birds-in-a-nest

G Rank:

G2

Range:

Also known as the Carolina bog-mint, *M. caroliniana* ranges across the southern Coastal Plain from southeastern North Carolina to southern Georgia; reports from Florida, Alabama, and Mississippi are not confirmed (LeBlond 2002). Natural heritage records indicate it is present in Georgia's Berrien, Marion, McDuffie, and Richmond Counties, in North Carolina's Bladen, Brunswick, Columbus, Harnett, Johnston, Jones, Pender, Robeson, and Sampson Counties, and in South Carolina's Aiken, Barnell, Colleton, Dillon, Edgefield, Florence, Hampton, Jasper, Marion, Richland, and Williamsburg Counties (NatureServe 2008). It has been extirpated from some of its historical range.

Habitat:

Carolina birds-in-a-nest are found in wet longleaf pine (*Pinus palustris*) or pond pine (*P. serotina*) savannas, and in blackwater swamp forests with swamp blackgum (*Nyssa sylvatica*), red maple (*Acer rubrum*), and tulip poplar (*Liriodendron tulipifera*), in Atlantic wide cedar swamps, and in roadside ditches within the above habitats (NatureServe 2008).

Ecology:

This perennial herb flowers mid-July through September (or first frost) (NatureServe 2008). This species is not autogamous and is dependent on pollinators to set fruit (Weeks 2009).

Populations:

A 2001 USFWS survey (LeBlond and Sorrie 2002) found 36 occurrences, three in Georgia, 15 in South Carolina, and 18 in North Carolina; two more populations were found in South Carolina in 2003-2004 - one contained approximately 6,000 stems and the other close to 200 (DeGarady 2006). This species' rarity is particularly remarkable because its preferred habitat is so common (NatureServe 2008).

Population Trends:

NatureServe (2008) determined that many but not all populations of *M. caroliniana* are declining; the species was absent from several historical sites in recent surveys and up to one-third of rangewide populations may already have been lost to habitat loss (LeBlond and Sorrie 2002).

Status:

This species occurs sporadically across the southern Coastal Plain, and many populations are in decline as a result of habitat loss and degradation. NatureServe (2008) ranks the Carolina birds-in-a-nest as critically imperiled in Georgia, imperiled in North Carolina, and vulnerable in South Carolina. The species is listed as threatened in North Carolina, as a species of special concern in South Carolina, and rare in Georgia.

Habitat destruction:

Though its preferred habitat type (swamp forest) is extensive, human impacts are significant; logging and correspondent declines in water quality, silvicultural plantations, and fire suppression are cited as the primary threats to the Carolina birds-in-a-nest (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Six populations, including the largest populations and highest-quality habitat, occur on federal lands (the largest is found in Congaree National Park), but may still be vulnerable to human activities if management for their viability is not the highest priority (NatureServe 2008, Weeks 2009). Though it is listed as threatened in North Carolina and as a species of special concern in South Carolina, these designations offer *M. caroliniana* no substantial regulatory protections.

Other factors:

Rooting activity by feral hogs may harm this species and/or its habitat in some areas (Weeks 2009).

References:

Chafin, L., Hancock, J.C., Nourse, H., and C. Nourse. 2007. Field guide to the rare plants of Georgia. University of Georgia Press, 526 pp.

DeGarady, D. Mead Westvaco and TNC cooperative research: The impacts of intensive forest management on *Macbridea caroliniana*. Progress Report. The Nature Conservancy -South Caroling Chapter. Nov. 3, 2006.

LeBlond, R. J. and B. A. Sorrie. 2001. Additions to and noteworthy records for the flora of the Coastal Plain of North Carolina. *Castanea* 66: 288-302.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: November 30, 2009).

Weakley, A.S. 1996. Flora of the Carolinas and Virginia: working draft of 23 May 1996. The Nature Conservancy, Southeast Regional Office, Southern Conservation Science Dept., Chapel Hill, North Carolina. Unpaginated.

Weeks, K.F. 2009. Population ecology of the floodplain herb *Macbridea caroliniana* (Lamiaceae) with investigations on the species' habitat, breeding system, and genetic diversity. PhD dissertation, Clemson University, 115pp.

Scientific Name:

Macromia margarita

Common Name:

Mountain River Cruiser

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

This dragonfly is recorded from Alabama, Georgia, North Carolina, South Carolina, Tennessee and Virginia (Roble 1997). It was formerly thought to be endemic to the southern Appalachians (Carle in Terwilliger 1991), but several recent records exist for the Piedmont of North Carolina as well as west-central Tennessee and northwestern Alabama (Roble 1997). Morse et al. in Benz and Collins (1997) reported that this species is known from only 6 or 7 localities, but Roble (1997) listed twice that many recent records.

Habitat:

M. margarita lives in small streams to large rivers, usually rocky but with silt deposits. Typical habitat is small mountain streams but it is also recorded from several Piedmont localities in North Carolina. The eggs are scattered in water, larvae sprawl among bottom debris, and adults forage widely. This species is associated with forested watersheds and is an indicator of high water quality, being less tolerant of pollution than its congeners.

Populations:

NatureServe (2008) reports that there are fewer than 20 occurrences known, but more may be discovered. This dragonfly is abundant in appropriate habitat and is a fast-flying species that is difficult to capture.

Population Trends:

NatureServe (2008) reports that this species is probably declining as water quality is degraded. Exact population data are not available, but NatureServe reports a large to moderate long-term decline of 25-90 percent for this species.

Status:

NatureServe (2008) ranks this species as critically imperiled in Georgia and Virginia, imperiled in North Carolina and Tennessee, and not rated in Alabama or South Carolina. It was a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

The Mountain River cruiser is more intolerant of pollution than its congeners and is an indicator of high habitat quality. Degradation of water quality is the primary threat to its survival and it is specifically threatened by siltation and pollution of rivers by logging, second home development, and pesticide runoff. This species is associated with forested watersheds and logging is thus a primary threat.

Individuals of *M. margarita* and its habitat were likely impacted by the Upper Creek Timber Sale on the Grandfather Ranger District of the Pisgah National Forest (USFS 2005). The same is true of the Old House Gap timber sale on the same Ranger District (USFS 2006).

Inadequacy of existing regulatory mechanisms:

This dragonfly occurs on National Forests, but occurrence on public lands does not necessarily protect this species from the impacts of logging and herbicide applications.

References:

- Benz, G. W. and D. E. Collins (editors). 1997. Aquatic Fauna in Peril: the Southeastern Perspective. Southeast Aquatic Research Institute Special Publication 1. Lenz Design & Communications, Decatur, Georgia. 554 pp.
- Bick, G.H. 1983. Odonata at risk in conterminous United States and Canada. *Odonatologica* 12 (3):209-226.
- Needham, James G., and Minter J. Westfall, Jr. 1954. A Manual of the Dragonflies of North America (Anisoptera). University of California Press, Berkeley, California. 615 p.
- Paulson, D.R. and S.W. Dunkle. 1999. A Checklist of North American Odonata. Slater Museum of Natural History University of Puget Sound Occasional Paper Number 56:86 pp.
- Roble, S. M. 1997. Status survey for the mountain river cruiser (MACROMIA MARGARITA) in Virginia, and a rangewide assessment of the status of the species. Natural Heritage Technical Report 97-17. Virginia Department of Conservation and Recreation, Division of Natural Heritage, Richmond. Unpublished report to U.S. Fish and Wildlife Service. 9 pp.
- Terwilliger, Karen. 1991. Virginia's Endangered Species: Proceedings of a Symposium held at Va. Tech. April 1989. The McDonald and Woodward Publishing Company, Blacksburg.
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- U.S. Forest Service. 2006. Environmental Assessment, Old House Gap Project. Available online at http://www.cs.unca.edu/nfsnc/nepa/grandfather/old_house_gap_ea.pdf. Last accessed March 20, 2010.

Scientific Name:

Marshallia grandiflora

Common Name:

Large-flowered Barbara's-buttons

G Rank:

G2

Range:

The large-flowered Barbara's buttons is a perennial herb endemic to the central Appalachians (also known as the Monongahela Barbara's buttons, NatureServe 2008). It occurs in Kentucky, Maryland, Pennsylvania, Tennessee and West Virginia, and is considered extirpated from North Carolina. Its present range includes Fayette, Somerset and Allegheny Counties in Pennsylvania, Barbour, Nicholas, Preston, Randolph, Upshur, Greenbrier, Fayette, Webster, Summers, Marion, and Monongahela Counties in West Virginia, McCreary County in Kentucky, Morgan, Roane and Scott Counties in Tennessee, and Garrett County in Maryland (NatureServe 2008).

Habitat:

It is found along the flood-scoured banks of large, fast-flowing rivers or creeks, along lakeshores, and on bluffs or flood plains. It prefers moist sandy soil, sand or cobbled alluvial matter, and also grows in bedrock crevices (NatureServe 2008). It is somewhat shade-tolerant, but grows best in full sunlight (pers. comm. as cited in NatureServe 2008).

Populations:

NatureServe (2008) estimates that there are up to 80 occurrences of this species across its range of 11 watersheds. Many remaining populations are considered marginally viable as they are isolated by 1.5 km or more of unsuitable habitat and comprised of only a few individuals.

Population Trends:

NatureServe (2008) reports that populations are generally considered stable, though many have not been evaluated recently.

Status:

NatureServe (2008) lists the Large-flowered Barbara's buttons as critically imperiled in Kentucky and Pennsylvania, imperiled in Tennessee and West Virginia, and possibly extirpated from North Carolina. Its status is under review in Maryland. This species is state-listed as threatened in West Virginia and endangered in Tennessee.

Habitat destruction:

The most significant threats to this species are changes to hydrological flow and flood regime: floods provide the necessary scouring and deposition of sand and gravel that maintains their preferred riverbank habitat (NatureServe 2008). Flood control projects threaten many major populations (NatureServe 2008). Protection from anthropogenic disturbance is essential, as the effects of recreation (particularly motorized recreation) can destroy these fragile populations. All historically documented populations in North Carolina have been extirpated (NatureServe 2008). This species is also threatened by mountaintop removal coal mining (EPA 2005). In mountaintop removal, over 1000 feet of mountain can be blown up and dumped into adjacent streams, which annihilates this plant and its habitat.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms afford adequate protection to *Marshallia grandiflora*. Though it

is listed as threatened or endangered in some states in which it occurs, these designations do not significantly protect the large-flowered Barbara's buttons from the activities that threaten it, particularly altered hydrologic regime.

References:

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: August 11, 2009).

U.S. Environmental Protection Agency. 2005. Mountaintop Mining/Valley Fills in Appalachia Final Programmatic Environmental Impact Statement. Appendix F Federally Listed Threatened and Endangered and Species of Concern. Region 3, Philadelphia. EPA 9-03-R-05002.

Scientific Name:

Marstonia agarhcta

Common Name:

Ocmulgee Marstonia

G Rank:

G1

IUCN Status:

EN - Endangered

Range:

The range of the Ocmulgee Marstonia is less than 100 square km in Georgia (NatureServe 2008). This species occurs in Bluff Creek, a tributary of the Ocmulgee River (Thompson 1969, Hershler 1994, Burch 1989) and at House Spring in Wilcox County, 30 km southeast of Bluff Creek (Watson 1999, 2000, Dillon et al. 2006).

Habitat:

This snail occurs on silt, diatomaceous ooze, or submerged logs and leaves (O'Connor 1975, Watson 2000).

Populations:

There are two populations of this species, and it is abundant within its limited range (NatureServe 2008).

Population Trends:

A new population of this species was recently detected, making the population trend for this snail increasing (Watson 2000).

Status:

The Ocmulgee Marstonia is critically imperiled (G1S1) (NatureServe 2008). It is classified as endangered by the IUCN.

Habitat destruction:

The Georgia Dept. of Natural Resources (2009) reports that the spring habitats this species is associated with are threatened by development and groundwater pumping. The Wilcox County Comprehensive Plan (2005) reports that sensitive habitat areas, such as the habitats which harbor this snail, are increasingly threatened by the encroachment of people and development, by the sale of riverfront acreage from timber companies to developers, and by improperly sited or improperly operated septic tanks. Sedimentation from logging in riparian areas also potentially threatens this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species, and no occurrences are appropriately protected and managed (NatureServe 2008).

References:

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Dillon, R.T., Jr., W.K. Reeves, and T.W. Stewart. 2006 [2007]. The freshwater gastropods of Georgia. Created 26 August 2003. Last updated September 2007. Available online: <http://www.cofc.edu/~fwgna/FWGGA/index.html>.

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Scientific Name:

Marstonia castor

Common Name:

Beaverpond Marstonia

G Rank:

G1

IUCN Status:

DD - Data deficient

Range:

The total range of the Beaverpond Marstonia consists of less than 4 square km in a single creek in Georgia (NatureServe 2008). This snail is only known from the type locality, Cedar Creek in Crisp County in the Flint River drainage (Burch 1989, Watson 2000).

Habitat:

This snail's only known habitat is a quiet, clear, cold creek where it is found on aquatic plants (O'Connor 1975).

Populations:

There is only one known population of this species, and population size is unknown but low (NatureServe 2008). A survey of 22 sites on tributaries of the Flint River between Andersonville and Albany, Georgia were unsuccessful in detecting additional populations of this species (Watson 2000).

Population Trends:

NatureServe (2008) reports that this snail is very rapidly declining (decline of 50-70 percent) in the short term, and has experienced a long-term decline of 75 to over 90 percent.

Status:

This snail is critically imperiled (G1S1) (NatureServe 2008).

Habitat destruction:

Because the total known global range of this snail consists of less than four square kilometers, it is extremely vulnerable to habitat loss and degradation. The Georgia Dept. of Natural Resources (GDNR 2009) reports that this species' Southeastern Plains habitat is threatened by agriculture, withdrawal of ground and surface waters, development, recreation, and dams. Agriculture and other ground-disturbing activities result in erosion and degradation of water quality. Groundwater and surface water withdrawals for agriculture substantially reduce stream flow, and agriculture contributes to nutrient and silt loading which stresses aquatic organisms (GDNR 2009). Development increases sediment levels in streams (GDNR 2009). Unmanaged recreation and ATV use destabilizes streambanks, increases sedimentation, pollutes water with fuel, and crushes aquatic organisms outright (GDNR 2009). Dams and other structures which alter stream flows on the Southeastern Plains cause significant problems for high priority species such as this snail (GDNR 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Beaverpond Marstonia. This snail is considered to be a high priority species for conservation by the state of Georgia, but this does not convey any regulatory protection.

Other factors:

This snail is threatened by water pollution from many sources. Because this species exists as a single population, it is vulnerable to extinction from stochastic genetic and environmental events.

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Scientific Name:

Marstonia ozarkensis

Common Name:

Ozark Pyrg

G Rank:

G1

IUCN Status:

DD - Data deficient

Range:

The range of this species is less than 100 square km on the Arkansas-Missouri border (Hershler 1994, NatureServe 2008). It survives in a single location on the North Fork of the White River above Norfolk.

Populations:

There is only one surviving population of this species and it occurs on the North Fork of the White River in Ozark County, Missouri (Wu et al. 1997, NatureServe 2008). This species has not been detected at other localities despite search efforts (MO NHP, pers. comm., November 2006 cited in NatureServe 2008). This snail has likely been extirpated from all former sites in Arkansas including the type locality (Wu et al. 1997).

Population Trends:

This species has severely declined in the short term, with a decline of more than 70 percent, and has declined by more than 90 percent in the long term (NatureServe 2008).

Status:

This snail is classified as critically imperiled in Arkansas (S1?) and not assessed in Missouri (SNA) (NatureServe 2008).

Habitat destruction:

With only one surviving population in Missouri, this snail is exceedingly vulnerable to habitat degradation. This snail has likely been extirpated from all former sites in Arkansas (Wu et al. 1997). The Missouri Dept. of Conservation (2001) reports that the North Fork of the White River watershed is threatened by water quality degradation due to high fecal coliform levels, nutrient loading, and sediment/gravel deposition. Activities such as gravel dredging, indiscriminate land clearing, livestock grazing in riparian zones, and contamination from septic systems and municipal discharges all threaten this species' habitat (Missouri Dept. of Conservation 2001). Mining is also a threat to the Arkansas Mudalia. The Missouri Department of Natural Resources, Division of Geology and Land Survey has identified 23 active mines and 137 past producers within the North Fork Watershed in Missouri, including gravel removal operations, limestone quarries, and iron mines, all of which threaten water quality (Missouri Dept. of Conservation 2010).

Recreational activities and developments also threaten this snail. The North Fork Watershed is heavily used for fishing and floating. Bank and shoreline development continues to occur in some areas on the major streams of the watershed, with housing construction on the North Fork River downstream of the Mark Twain National Forest being one example. Problems associated with recreational activities and developments include destabilization of stream banks and flood plains due to vegetation removal, increased sediment loading, and water quality impacts from poorly treated sewage (Missouri Dept. of Conservation 2010).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species.

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Wu, S.-K., R.D. Oesch, and M.E. Gordon. 1997. Missouri Aquatic Snails. Natural History Series, No. 5. Missouri Department of Conservation: Jefferson, Missouri. 97 pp.

Scientific Name:

Medionidus conradicus

Common Name:

Cumberland Moccasinshell

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The total range of the Cumberland Moccasinshell encompasses 250-1000 square km in Kentucky, Tennessee, Alabama, Georgia, North Carolina, and Virginia (NatureServe 2008). This mussel occurs in the Tennessee River drainage in Virginia, Tennessee, and Alabama, and in Cumberland river drainages in Kentucky and Tennessee including the Duck River (Burch 1975, Johnson 1977, Parmalee and Bogan 1998). Johnson (1977) listed this species in the Powell River drainage in Virginia, Clinch River drainage in Virginia and Tennessee, Holston River drainage in Virginia and Tennessee, French Broad River drainage in North Carolina, Little River drainage in Tennessee, Little Tennessee River drainage in Tennessee, Hiwassee River drainage in Tennessee, Chickamauga Creek drainage in Georgia, Paint Rock River drainage in Alabama, Flint River drainage in Alabama, Elk River drainage in Tennessee, Blue Water Creek drainage in Alabama, Shoals Creek drainage in Alabama, Tennessee River drainage in Alabama, Duck River drainage in Tennessee, Rockcastle River drainage in Kentucky, Cumberland River drainage in Kentucky, South Fork drainage in Kentucky, Beaver Creek drainage in Kentucky, Obey River drainage in Tennessee, Roaring River drainage in Tennessee, Caney Fork drainage in Tennessee, Stones River drainage in Tennessee, and Red River drainage in Kentucky.

In the Cumberland drainage, this mussel occurs downstream of Cumberland Falls in Kentucky and Tennessee (Cicerello et al. 1991, Parmalee and Bogan 1998). In the Tennessee River drainage, it occurs from eastern Tennessee, southwest Virginia, and western North Carolina downstream to Muscle Shoals (Ahlstedt 1992, Parmalee and Bogan 1998). In the Alabama and Mobile Basin, Williams et al. (2008) state that this species probably occurred in the Tennessee River across northern Alabama, but that the only records are from Muscle Shoals and from tributaries to the Tennessee. In Alabama, it is known to be extant only in a tributary of Spring Creek in Colbert County, and in the Paint Rock River System (Williams et al. 2008).

Habitat:

This mussel inhabits sand and gravel substrates in small streams, generally in headwaters, and can be found under rocks or in cracks (Johnson 1977, Parmalee and Bogan 1998). Mirarchi et al. (2004) describe its habitat as "usually moderate to strong current, generally in small streams to medium rivers, and often under large, flat rocks (Parmalee and Bogan 1998). However, its presence at Muscle Shoals, prior to impoundment of Tennessee River (Ortmann 1925) indicates ability to exist in large rivers under certain conditions" (p. 64).

Ecology:

This mussel is a long-term brooder which spawns in July, with females exhibiting slow, continual, long-term discharge. Females brood mature glochidia from September through late May. Glochidia are present in stream drift during every month but July and August. Fish hosts include rainbow, fantale, redline, and striped darters (Mirarchi et al. 2004).

Populations:

This mussel is known from Citico Creek, inside and adjacent to the Cherokee National Forest in Monroe County, Tennessee (Johnson et al. 2005). It occurs in Powell, Clinch, Holston, Emory, Watauga, Little Pigeon, Little Tennessee, Tellico, Duck, and Little Rivers, Conasauga Creek (Hiwassee River basin), main Tennessee River, and various small streams in upper east Tennessee, and in the Cumberland River basin in the Obey, Collins, Roaring, West Fork Stones, and Stones Rivers (Parmalee and Bogan 1998). It occurs occasionally to sporadically in the lower and upper Cumberland River below Cumberland Falls in Kentucky (Cicerello and Schuster 2003). In Alabama, it is known to be extant only in the Paint Rock River system, and Foxtrap Creek in Colbert County (Mirarchi et al. 2004). In Virginia, this mussel was recently detected in the upper Clinch (Jones et al. 2001) and in Copper Creek in the Upper Clinch drainage (Fraley and Ahlstedt 2000). Jones and Neves (2007) report its occurrence in the upper North Fork Holston River in Virginia as river kilometers 135.8 to 190.7. Total population size of this species is unknown.

Population Trends:

The Cumberland Moccasinshell is declining in the short term (decline of 10-30 percent), and moderately declining in the long term (decline of 25 - 50 percent). This bivalve was common in the upper and lower Tennessee and Cumberland River systems before impoundments including just below Cumberland Falls (1948) and Beaver Creek and the Rockcastle River as far up as Laurel Fork (Johnson 1977). This mussel is extirpated in Georgia and in North Carolina where it formerly occurred in Buncombe Co. in the French Broad River (LeGrand et al. 2006), and there is evidence of decline in some Tennessee River drainages (NatureServe 2008). Garner (2008) reports that the viability of the Foxtrap Creek population is questionable.

Status:

The Cumberland Moccasinshell is critically imperiled in Alabama, extirpated in Georgia and North Carolina, vulnerable in Tennessee and Virginia, and apparently secure in Kentucky, though Cicerello and Schuster (2003) describe its Kentucky distribution as occasional to sporadic (NatureServe 2008). It is ranked as Near Threatened by the IUCN. It is a Species of Greatest Conservation Need in Alabama and Kentucky. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Mirarchi et al. (2004) state that the Cumberland Moccasinshell is vulnerable to extirpation due to susceptibility to habitat degradation. Threats to this species' habitat include impoundment, sedimentation, and mining for gravel and coal (Parmalee and Bogan 1998, USFWS 2003). Impoundment acts as a barrier to dispersal, blocks recolonization following population extirpation, isolates populations, inundates habitat, and alters water quality. Sedimentation, resulting from agriculture, forestry, storm water runoff, and other factors, interferes with respiration and feeding and can smother populations. Gravel mining directly destroys mussel habitat and coal mining introduces sediment and pollutants (NatureServe 2008). Warren et al. (2001) report that in the Little South Fork this mussel is threatened by strip mining and additional impacts. Mussels in the Little South Fork are also threatened by oil extraction (Warren and Haag 2005). The Kentucky Dept. of Fish and Wildlife Resources (2005) reports that this mussel is threatened by aquatic habitat degradation from gravel and sand quarrying and from point and non-point source pollution from acid mine drainage and agricultural runoff, and from siltation and increased turbidity resulting from coal mining, agriculture, road construction, urbanization, and recreation. The Alabama Natural Heritage Program (2003) identifies nonpoint source pollution as a

threat to aquatic species in the Paint Rock River watershed.

Disease or predation:

Neves and Odom (1989) cite muskrat predation as a threat to imperiled mussels in the North Fork of the Holston in Virginia.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Cumberland Moccasinshell, and it is unknown whether any occurrences are appropriately protected (NatureServe 2008). In Tennessee there is an occurrence of this mussel in Citico Creek, inside and adjacent to the Cherokee National Forest in Monroe Co., (Johnson et al. 2005), but this does not provide habitat protection. It is a Species of Greatest Conservation Need in Alabama and Kentucky, but this does not provide any regulatory protection. It has no special status in Tennessee or Virginia.

Other factors:

Mirarchi et al. (2004) state that the Cumberland Moccasinshell is vulnerable to extirpation due to limited distribution and rarity. Any factor which degrades water quality threatens this species including toxic pollution, altered pH, and heavy metals from mining waste, industry spills, urban runoff, and agriculture (Parmalee and Bogan 1998, USFWS 2003). The North Fork of the Holston River has been severely impacted by mercury releases (Stansberry and Clench 1975, Neves 1991 in Flebbe et al. 1996). This mussel is threatened by accidental chemical spills. In 2004, 657 individuals of this species were estimated to be killed in the Clinch River when a tanker truck overturned and released 1,350 gallons of toxic liquid that killed wildlife for seven miles downstream (FWS 2004).

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Scientific Name:

Medionidus walkeri

Common Name:

Suwannee Moccasinshell

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

EN - Endangered

Range:

The range of the Suwannee Moccasinshell is 100-250 square km in the Suwannee River system in Florida, with 11 historical occurrences in the Withlacoochee, Suwannee, and Santa Fe drainages (Johnson 1977). It is known from the lower Withlacoochee, from its confluence with the main channel downstream to approximately Levy County, and from above the sinks in the upper Santa Fe river system including both the main channel and New River sites. Its occurrence is sporadic, especially in the main channel of the Suwannee (NatureServe 2008).

Habitat:

This mussel is known from medium-sized creeks and rivers in areas of moderate current with mud, sand, muddy sand, or sand and gravel substrate (Johnson 1977, Heard 1979, Deyrup and Franz 1994). It occurs most often in coarser sediments in mid-channel habitats (J. Brim Box, pers. obs. cited in NatureServe 2008). This mussel requires high water quality (NatureServe 2008).

Populations:

There are fewer than five populations of this mussel. It is known from 11 historical occurrences--four on the main stem of the Suwannee River, one on the Withlacoochee River, five in the Santa Fe River and one in the New River. This mussel has only been detected live recently only in the Santa Fe River in 1981, and in the New River in 1987 and 1994. In addition, a dead shell was located at the type locality in the Suwannee River in 1981. It may be extirpated from the Withlacoochee River and the main channel of the Suwannee River. Total population size of this species is estimated at 1-1000 individuals. In 1977 it was considered to be abundant only at the type locality in the mainstem of the Suwannee, and was uncommon at other sites. Of 14 historical records, only one site had over 20 specimens. In the late 1980's, the last location that harbored sizeable populations yielded only three specimens. Only one live mussel has been detected recently throughout this species' historic range (NatureServe 2008).

Population Trends:

The Suwannee Moccasinshell has declined by 75-90 percent (NatureServe 2008). It is "exceedingly rare and in significant decline," with only one individual having been detected alive recently (J. Brim Box, pers. comm. cited in NatureServe 2008).

Status:

This mussel is ranked by NatureServe (2008) as critically imperiled in Florida. It is classified as endangered by the IUCN. Its status was changed from threatened (1993) to endangered (2010) by the American Fisheries Society (Draft, in review). As only one mussel has been detected alive recently, this species is in dire need of Endangered Species Act protection. Its rank is being changed from threatened (Williams et al. 1993) to endangered by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

This mussel is threatened by sedimentation due to agricultural and silvicultural activities, by phosphate mining on the upper Suwannee River main channel, by industrial pollution from a pulp mill in the Withlacoochee watershed, and by localized municipal pollution. It is also threatened by eutrophication from residential development (NatureServe 2008). The Florida Wildlife Conservation Commission (2005) reports that this species' stream habitat in Florida is threatened by chemical pollution, sedimentation, development, logging, mining, invasive species, agriculture, and eutrophication. The Florida Dept. of Environmental Protection (2002) reports that water quality in the Suwannee is threatened by large-scale chicken farming operations and by large-scale water withdrawals.

Overutilization:

Overutilization threatens the survival of this species. Only one individual has been detected alive recently, making this species exceedingly vulnerable to overutilization. NatureServe (2008) states, "Overharvest by shell collectors and biologists has been a distinct possibility; in the past 20 years, more than 20 specimens of this threatened species have been retained for collections at the GEXEMPSITE alone. Given already stressed populations throughout most or possibly all of its range, overcollecting can potentially contribute significantly to this species' decline."

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that currently protect this species.

Other factors:

This mussel is potentially threatened by competition from the Asiatic clam (NatureServe 2008).

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Scientific Name:

Megaceros aenigmaticus

Common Name:

A hornwort

G Rank:

G2

Range:

This hornwort species is found in Georgia, North Carolina, and Tennessee; natural heritage records indicate that it is present in Georgia's Fannin County, North Carolina's Cherokee, Clay, Graham, Haywood, Macon, and Swain Counties, and Tennessee's Blount, Cocke, Monroe, Polk, and Sevier Counties (NatureServe 2008). The largest populations are found in the Joyce Kilmer-Slickrock Wilderness in western North Carolina's Nantahala National Forest.

Habitat:

This species forms dense mats on rocks in small, clear, shaded streams, springs, or waterfall spray zones, often at river headwaters and generally within mixed hardwood forests (NatureServe 2008). Seasonal inundation is necessary for survival and reproduction, though colonies may be consistently submerged in 1-2 inches of water (Schuster 1992).

Ecology:

A dioecious species, *M. aenigmaticus* reproduces primarily by marginal fragmentation of the thallus (Hicks and Amoroso 1996). Seasonal inundation is necessary for reproduction and colonization of new habitat (Schuster 1992). It is the only species in the *Megaceros* genus known to occur north of Mexico.

Populations:

Populations are small, as is total global range (Schuster 1992).

Population Trends:

Populations are in decline as a result of anthropogenic habitat disturbance or destruction (Schuster 1992).

Status:

NatureServe (2008) reports that *M. aenigmaticus* is critically imperiled in Georgia, and imperiled in North Carolina and Tennessee. Remaining populations are small and geographically isolated (Schuster 1992).

Habitat destruction:

This species is very sensitive to changes in water quality resulting from sedimentation, agricultural or industrial runoff, and the increase in water temperature that accompanies canopy clearing within riparian zones (NatureServe 2008, Hicks and Amoroso 1996). Thriving populations are found in undisturbed waters, while the species is absent from ecologically similar streams in nearby developed areas.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this hornwort; though it is listed as a species of special concern in Tennessee, this designation offers it no substantial regulatory protections from the habitat destruction that threatens its persistence.

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Scientific Name:

Megaleuctra williamsae

Common Name:

Smokies Needlefly

G Rank:

G2

Range:

NatureServe (2008) states: "This stonefly is known from the highest elevations of Virginia (Mt Rogers National Recreation Area, Grayson Co.) and immediate vicinity of the Smoky Mt National Park (North Carolina: Graham Co., Haywood Co., Jackson Co., Macon Co., Yancey Co.) and Tennessee (Sevier Co.). LeGrand et al. (2006) cite UT Cullasaja River in Macon Co., Cove Creek in Haywood Co., Mull Creek in Jackson Co., Beech Flats Prong in Swain Co., North Carolina."

Habitat:

This stonefly is found in higher elevation springs, seeps, and creeks.

Populations:

Megaleuctra williamsae is known from fewer than 20 occurrences (NatureServe 2008). According to the Kondratieff, in SC Department of Natural Resources (2005), M. williamsae "occurs as small populations, usually less than 20 nymphs per site."

Population Trends:

Morse et al. (1993) report that this species is rare and vulnerable throughout its range.

Status:

NatureServe (2008) ranks the Smokies Needlefly as critically imperiled in North Carolina, Tennessee, and Virginia, and unranked in South Carolina. It was a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

The springs and seeps required for survival of M. williamsae are all potentially impacted by logging, acid deposition and development (NatureServe 2008). Morse et al. (1993) report that this species is particularly vulnerable to habitat degradation, being restricted to isolated springbrooks that are subject to threats of drought and development.

M. williamsae and its habitat will be adversely impacted by the implementation of projects under the Jefferson National Forest Plan (USFS 2008). The Wet Face timber sale on the Nantahala Ranger District in North Carolina will adversely impact M. williamsae and its habitat (USFS 2009).

Kondratieff, in SC Department of Natural Resources (2005), states that "A major challenge to the Smokies needlefly is deforestation, which would result in opening of the canopy of seeps and springs, increasing water temperature and likely reducing food inputs. Acid deposition, primarily from precipitation, may alter pH conditions of the habitats, potentially eliminating populations. Diversions of surface waters or removal of ground water may alter below ground hydrological patterns of the seeps and springs."

Inadequacy of existing regulatory mechanisms:

M. williamsae occurs in the Great Smoky Mountains National Park (Parker et al. 2007), which

protects some occurrences of this species, but the species' lacks protection in the rest of its limited range.

Other factors:

The invasive Hemlock Woolly Adelgid may impact individuals of *M. williamsae* (USFS 2005).

This species is also vulnerable to drought (Morse et al. 1993).

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Scientific Name:

Minuartia godfreyi

Common Name:

Godfrey's Stitchwort

G Rank:

G1

Range:

Natural heritage records indicate that Godfrey's stitchwort is or was present in Pickens and Munroe Counties, Alabama, Madison and Taylor Counties, Florida, Craven and Jones Counties, North Carolina, Horry County, South Carolina, and Carter and Johnson Counties, Tennessee, though recent confirmation of existing populations is not available for all locations (NatureServe 2008, ANHP 2006). This rare plant is sporadically distributed throughout its range.

Habitat:

The stitchwort is found on moist creek banks, roadside ditches, freshwater tidal marshes, wet saline prairies, open mesic meadows, and Delta post oak (*Quercus stellata*) flatwoods (NatureServe 2008).

Ecology:

This perennial herb flowers in April (FNA 2005).

Populations:

This plant is known from very few widely scattered occurrences, and total number of populations and global population size are not known (NatureServe 2008, Kral 1983).

Population Trends:

This plant is in decline and has been extirpated from several historical occurrences (NatureServe 2008).

Status:

Godfrey's stitchwort is rare, in decline, and has been extirpated from several historical occurrences across its narrow range. NatureServe (2008) ranks this species as critically imperiled in Florida, Georgia, North Carolina, and Tennessee, and reports that it may be entirely extirpated from Alabama and South Carolina. It is listed as endangered in Florida, North Carolina, and Tennessee.

Habitat destruction:

Habitat destruction is the primary threat to Godfrey's stitchwort: the conversion of forests and wetlands to residential or agricultural development and replacement of natural forests with commercial forest plantations are major factors in this species' imperilment (Southern Appalachian Species Viability Project 2002).

Inadequacy of existing regulatory mechanisms:

Though *M. godfreyi* is listed as endangered in Florida, North Carolina, and Tennessee, these designations afford the species and its habitat no substantial regulatory protections; no existing regulatory mechanisms adequately protect the Godfrey's stitchwort.

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Weakley, A.S. 1996. Flora of the Carolinas and Virginia: working draft of 23 May 1996. The Nature Conservancy, Southeast Regional Office, Southern Conservation Science Dept., Chapel Hill, North Carolina. Unpaginated.

Scientific Name:

Moxostoma robustum

Common Name:

Robust Redhorse

G Rank:

G1

AFS Status:

Threatened

Range:

Historically, the robust redhorse likely occurred from the Piedmont and upper Coastal Plain areas of the Altamaha River drainage in Georgia through the Carolinas to at least the Pee Dee River (Oppermann 2000). Today the species is restricted to the Altamaha, Savannah, and Pee Dee river systems in North Carolina, South Carolina, and Georgia (Jenkins and Burkhead 1994, Rohde et al. 1994, Bryant et al. 1996, Menhinick and Braswell 1997, Straight and Freeman 2003, Grabowski and Isely 2007). There are four known extant wild populations found in a limited portion of the Oconee River between Milledgeville and Dublin, lower segment of the Yadkin-Pee Dee River system below Blewett Falls Dam (North Carolina/South Carolina), and in the Savannah River in the Fall Line Zone around and below Augusta, Georgia, and North Augusta, South Carolina (Straight and Freeman 2003). The Savannah River population is restricted to the lower 300-km reach below New Savannah Bluff Lock and Dam, the terminal dam located in Augusta, Georgia (Grabowski and Isely 2007). Populations of this fish have been introduced from Oconee stock into the Broad and Ogeechee rivers in Georgia, and refugial populations have been established in ponds at the Piedmont National Wildlife Refuge near Round Oak, Georgia, and several hatcheries in Georgia and South Carolina (Bryant et al. 1996, Jimmy Evans, pers. comm., 1998 cited in NatureServe 2008). In 2002, fish were released into the Ocmulgee River (Straight and Freeman 2003).

Habitat:

The redhorse spawns over gravel substrate in shallow flowing water (Grabowski and Isely 2007).

Adults in the Oconee River are associated with swift, moderately deep waters in areas of accumulated woody debris (Hendricks 2002). Radio-tracked fish in the Savannah River were consistently found along the outer edge of river bends in association with woody debris and gravel streambed sediments (Grabowski and Isely 2006).

Populations:

Prior to its rediscovery in 1991, the robust redhorse had not been recognized for 122 years. In 1994 a small population was detected in the Oconee River, then in 1998, 5 fish were discovered in the Savannah River (Oppermann 2000). There are four extant native populations (Hendricks 2002). Total adult population size is unknown, but based on the small numbers of adults that have been observed, it is very likely quite small (RRCC 2001, Hendricks 2002, NatureServe 2008, RRCC Yadkin-Pee Dee 2009). The largest known population occurs in the Oconee River and is estimated to consist of fewer than 500 adults (RRCC 2001). Other populations are estimated to be considerably smaller. The population in the Pee Dee for example, is estimated to include fewer than 50 adults (RRCC Yadkin-Pee Dee 2009). The few number of populations and the small size of these populations suggests the robust redhorse is critically endangered.

Population Trends:

The robust redhorse's overall range and populations are presumed to be greatly reduced from

Status:

The robust redhorse now exists in remnant low abundance populations in a restricted range which faces multiple threats. NatureServe (2008) ranks this species as critically imperiled in Georgia and North Carolina and unrated in South Carolina. The American Fisheries Society (Jelks et al. 2008) rank the Pee Dee, Altamaha, and Savannah River populations of this fish as endangered due to habitat loss and degradation and narrow range. The redhorse is listed as endangered by the states of Georgia and North Carolina. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the robust redhorse is endangered (SFC and CBD 2010).

Habitat destruction:

Dams were a primary contributor to the decline of this species and pose an ongoing threat to its survival. In all three drainages where the redhorse survives, hydroelectric dams have destroyed and degraded habitat, restricted range, and disrupted spawning migrations (Straight and Freeman 2003). Altered flows caused by dams negatively effect the growth and survival of larvae (Weyers et al. 2003). In the Savannah River, more than half of the observed nest sites in a main-channel gravel bar were either completely dewatered or left in near zero-flow conditions for several days due to dam operations (Grabowski and Isely 2007). Decreased flow reduces the amount of available spawning habitat, and increases the risk of disturbance of preexisting nest sites by spawning adults (Grabowski and Isely 2007).

The habitat of the redhorse is also threatened by several other factors. Channel straightening has resulted in fewer channel bends and caused the loss of spawning habitat (Meyer et al. 2003). Sedimentation from agriculture and deforestation likely contributed to the early decline of this species and is an ongoing threat (Hendricks 2002). Urbanization is a threat within the restricted remaining habitat (Bryant et al. 1996). Kaolin mining also threatens this species (Lasier et al. 2004). The proposed construction of two new nuclear reactors at Plant Vogtle on the Savannah River also threatens the redhorse due to dredging impacts to allow the barging of construction materials, flow alterations and thermal pollution that would result from operation of the reactors, and the cumulative impact of having four operating reactors on the Savannah River in conjunction with water withdrawals for other uses (Barczak and Young 2009).

Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

Overutilization:

Historical overfishing by settlers during spawning migrations likely contributed to the decline of this species (Hendricks 2002).

Disease or predation:

The introduction of non-indigenous, predatory fish species likely contributed to the decline of this species (Hendricks 2002). Most rivers in the redhorse's historical range are now inhabited by flathead catfish, and predation by flathead catfish and blue catfish poses an ongoing threat (Marcy 2005).

Inadequacy of existing regulatory mechanisms:

The redhorse is listed as endangered by the states of North Carolina and Georgia, but this designation does not provide meaningful regulatory protection for the species' habitat.

In 1995, a voluntary partnership called the Robust Redhorse Conservation Committee (RRCC) was created through a Memorandum of Understanding (MOU) between state and federal resource agencies, private industry, and the conservation community to improve the status of the redhorse. Under the auspices of the RRCC, captive rearing programs have been established and attempts have been made to reintroduce the species into new habitats (Lawrence et al. 2007). It is likely to early to determine if these efforts will be successful. There have also been efforts to change dam management to benefit the species by, for example, limiting the practice of load following through daily fluctuations in flow (SFC and CBD 2010).

Although we applaud these efforts, they have to date not been effective in recovering the species. Moreover, the formation of the committee and the MOU do not provide regulatory protection for the species or its habitat and thus cannot be relied on by the Service to deny the species listing. Participation on the committee is voluntary, and actions taken or funds expended to implement the agreement are contingent upon appropriations, priorities, and other constraints. A Candidate Conservation Agreement with Assurances has been developed for this species, but this collaborative effort has not effectively prevented the ongoing degradation of redhorse habitat, which is already severely reduced from historical levels. In 2005, for example, 50 percent of observed nest sites of the redhorse were completely or nearly dewatered for several days at a time (Grabowski and Isely 2007). Given the magnitude of the decline of this species, the ongoing threats to its survival, and the uncertainty of implementation and effectiveness of voluntary agreements, Endangered Species Act protection is necessary to ensure the survival of the redhorse.

Other factors:

Several other factors threaten the robust redhorse. Water pollution from sedimentation and contaminants is a primary threat to this species. Five major tributaries draining urban and agricultural watersheds carry permitted municipal and industrial effluents into the Oconee River, and sediments in the river have elevated levels of chromium, copper, mercury, and zinc. Lasier et al. (2004) state, "Sediments in the lower Oconee River appear to be impaired due to metal contamination and could pose a threat to organisms, such as the robust redhorse, that are closely associated with this matrix during their life cycle." Fine sediment particles settle in gravel and can entrap and suffocate eggs and larvae (Marcy 2005). Jennings et al. (2010) report that fine sediment pollution of gravel substrates threatens eggs and larvae in the Oconee River. They found that eggs incubated in gravel substrates infested with varying levels of fine sediment experienced severe reductions in survival when fine sediment levels were greater than 15 percent in the laboratory. Fine sediment concentrations in spawning substrates at known spawning locations in the Oconee River are well above this level (Jennings et al. 2010). Lazier et al. (2004) state: "Soil erosion and sedimentation contribute a major stress to the (Oconee) system. Early-life stages of the robust redhorse may be particularly affected because fine sediments clog gravel bars occupied during early development reducing the availability of dissolved oxygen and providing a route of exposure to sediment-associated contaminants. Sediments are repositories for contaminants released to the environment (Lee and Jones, 1984, Salomons et al. 1987), and fine materials within the sediment matrix tend to accumulate the majority of contaminants by virtue of the chemical and physical characteristics inherent to their large surface areas (O'Conner 1990). Robust redhorse eggs are deposited and develop in gravel bars that receive significant amounts of fine sediment. Exposure of the earlylife stages to these sediments and associated contaminants may be limiting recruitment of this species."

The redhorse is particularly vulnerable to extinction due to limited range, low abundance, skewed population age toward older individuals, and little evidence of substantial recruitment in any surviving population (Hendricks 2002, Marcy 2005). Predation from invasive catfish is also a threat (NatureServe 2008).

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Scientific Name:

Moxostoma sp. 2

Common Name:

Sicklefin Redhorse

G Rank:

G2

Range:

The sicklefin redhorse once inhabited the majority of rivers and streams in the Blue Ridge portion of the Hiwassee and Little Tennessee River systems in North Carolina, Tennessee, and Georgia (Jenkins 1999, FWS 2009). Currently, there are only two metapopulations of the sicklefin redhorse known to survive – one in the Hiwassee River system and one in the Little Tennessee River system (Jenkins 1999, FWS 2009). In total, the species is estimated to be eliminated from nearly 60 percent of its historic range (FWS 2009).

Habitat:

The sicklefin redhorse occupies riffles, runs and flowing pools with gravel, cobble, boulder and bedrock substrates with little to no silt in rivers and creeks with moderate velocities (Jenkins 1999, FWS 2009). During early life stages, the sicklefin redhorse has also apparently adapted to near shore areas of reservoirs (Ibid.)

Populations:

The sicklefin redhorse still occurs in the main stem of the Hiwassee River between Mission Dam and Hiwassee Lake in North Carolina (approximately 9.0 miles), in Brasstown Creek (approximately 16.9 miles), a tributary to the Hiwassee River in North Carolina and Georgia, the main stem of the Valley River, between the community of Buffalo and backwaters of Hiwassee Lake (approximately 22.3 miles) in North Carolina, in Hanging Dog Creek (approximately 3.0 miles), a tributary to Hiwassee River (at Hiwassee Lake) in North Carolina and a short reach of the Nottley River (approximately 2-3 miles) between the cold water discharge from Nottely Reservoir and the backwaters of Hiwassee Reservoir in North Carolina (References cited in FWS 2009). In total, the species is found in only roughly 53 river miles of the Hiwassee River system and 42 river miles of the Little Tennessee River system, as well as in some reservoirs (FWS 2009).

Population Trends:

The sicklefin redhorse has undergone substantial long-term decline (Jenkins 1999, FWS 2009).

Status:

The sicklefin redhorse is considered critically imperiled in both Georgia and North Carolina (NatureServe 2008), threatened by the American Fisheries Society (Jelks et al. 2008), a candidate species for listing by the U.S. Fish and Wildlife Service (FWS 2009), threatened by the state of North Carolina and endangered by the state of Georgia (Albanese 2008).

At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the sicklefin redhorse should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

The sicklefin redhorse has been severely impacted by impoundments, as well as urban sprawl, agriculture, logging and other activities that contribute sediment and other pollutants to streams where

the species occurs (Jenkins 1999, Albanese 2008, FWS 2009). Albanese (2008), for example, concluded:

"Historically, impoundments have destroyed a large amount of adult feeding and breeding habitat throughout the range of the sicklefin redhorse. Impoundments also fragment populations, which eliminates opportunities for gene flow, colonization after local extinction, and migration to upstream spawning habitats. Failure to follow agricultural best-management practices results in sedimentation and bank destabilization in Brasstown Creek. Commercial and residential development in the North Georgia mountains is also a significant threat."

Likewise, FWS (2009) concluded:

"Many populations of the species were apparently extirpated when large portions of suitable habitat in the upper Tennessee River system were destroyed as a result of impoundments created when dams were constructed (Jenkins 1999, p. 26). These impoundments also resulted in fragmentation and isolation of the remaining populations, making them more vulnerable to extirpation from other environmental impacts. In addition to impoundments, other factors contributing to habitat destruction and modification that resulted in population losses and curtailment of the range of this species are believed to include inadequate erosion/sedimentation control (Jenkins 1999, p. 27) during agricultural, timbering, and construction activities; run-off and discharge of organic and inorganic pollutants (Jenkins 1999, p. 27) from industrial, municipal, agricultural, and other point and nonpoint sources; habitat alterations associated with channelization and instream dredging/mining activities; and other natural and human-related factors that adversely modify the aquatic environment. As described below, many of these factors continue to threaten the surviving populations."

Disease or predation:

FWS (2009) identify introduction of blueback herring as a potentially serious threat to the sicklefin redhorse, stating:

"Recently, non-native blueback herring (*Alosa aestivalis*) were introduced to Hiwassee Reservoir, presumably by angler bait release. NCWRC biologists have documented a collapse of natural reproduction of walleye (*Sander vitreus*) and white bass (*Morone chrysops*), concurrent with increases in blueback herring densities. Heavy predation of drifting eggs and early juveniles of both walleye and white bass by blueback herring has been observed in the transition zone between the free-flowing Hiwassee and Valley rivers and Hiwassee Reservoir. Blueback herring have been observed several miles upstream in Valley River and have unobstructed access to the Hiwassee River, Mission Dam, and lower Brasstown Creek. Blueback herring have also been observed congregating at the mouths of other tributaries to Hiwassee Reservoir in March and April (above is condensed from personal observations by A.P. Wheeler, D.L. Yow, and S.J. Fraley NCWRC 2005-2006). The presence of large numbers of known predators of drifting fish eggs and larvae at or near the time of spawning and hatching of sicklefin redhorse poses a potentially significant threat. Further investigation is required to determine the degree of threat posed to sicklefin redhorse survival and recruitment in the Hiwassee River system. To date, no Blueback herring have been collected from Fontana Reservoir or elsewhere in the Little Tennessee River system upstream from Fontana Dam."

Inadequacy of existing regulatory mechanisms:

FWS (2009) concluded that the sicklefin redhorse is not adequately protected by existing regulatory mechanisms, stating:

"The sicklefin redhorse does not currently have any official status in North Carolina; however, the North Carolina Non-Game Advisory Committee has recommended that the species be state-listed as threatened. It is anticipated that the listing will become official in the coming year. In Georgia, the sicklefin redhorse is state-listed as endangered. Both states prohibit the collection of the fish for scientific purposes without a valid State collecting permit. However, this requirement does not protect the species from 'incidental' harm, injury, death (impacts resulting from activities not specifically intend to the harm the species) or provide any protection to the species' habitat except on state-owned lands."

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SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Najas filifolia

Common Name:

Narrowleaf Naiad

G Rank:

G1

Range:

The narrowleaf naiad occurs in peninsular Florida and the Florida panhandle, as well as in parts of southwestern Georgia. It was once reported in Mississippi, but that report is now considered a misidentification (Kartesz 1998 as cited in NatureServe 2008). Natural heritage records exist for Alachua, Highlands, Lake, Leon, Marion, and Santa Rosa Counties, Florida, and Decatur County, Georgia, but as of 2000, extant populations were reported only from Santa Rosa and Leon Counties, Florida, and Decatur County, Georgia (FNA 2000).

Habitat:

The naiad is found in freshwater lakes, rivers, and basin marshes, generally in blackwater habitats which contain a high concentration of leached organic acids (NatureServe 2008, FNAI 2009).

Ecology:

This submerged annual flowers in late summer (FNA 2000).

Populations:

This species is known from just four locations-- two in Florida and two in Georgia (FNA 2000). Population sizes are not reported.

Population Trends:

Population trend has not been reported for this species, but the decline in reported locations suggests a rangewide decline.

Status:

Known from just four populations throughout its apparently contracting range, this species is widely threatened by hydrological alterations. NatureServe (2008) ranks the narrowleaf naiad as critically imperiled in both Florida and Georgia. It is listed as threatened in Florida.

Habitat destruction:

This species is threatened primarily by damming, diversion, and other anthropogenic alterations to hydrology that imperil its habitat and allow encroachment by woody upland species (FNAI 2009).

Disease or predation:

The naiad may be threatened by the grass carp (*Ctenopharyngodon idella*), which was introduced to parts of the Southeast as part of an aquatic weed control program but is now considered an invasive species in many states (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as threatened in Florida, this designation offers *N. filifolia* no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Necturus alabamensis

Common Name:

Black Warrior Waterdog

G Rank:

G2

IUCN Status:

EN - Endangered

Range:

The Black Warrior Waterdog occurs above the Fall Line in the Black Warrior River Basin in Alabama (NatureServe 2008). This salamander is patchily distributed in the Appalachian portions of the Black Warrior drainage (Bart et al. 1997, Bailey 2005). There are known populations in Sipsey Fork and Brushy Creek in Winston County, in Locust Fork and Blackburn Fork in Blount County, in Mulberry Fork, Blackwater Creek, and Lost Creek in Walker County, in the North River and in Yellow Creek in Tuscaloosa County (USFWS 1999, Bailey and Moler 2003).

Habitat:

This benthic salamander occurs in medium to large permanent streams with logs, submerged ledges, rocks, and other features which provide cover (Ashton and Peavy 1986). It uses semipermanent leaf beds where they are available. Its historical range likely included streams 10 meters or more wide, with moderate flows and alternating rapids and pools (Ashton and Peavy 1986, Bailey 1992).

Populations:

There are from 9-14 surviving populations of this species. Bailey and Moler (2003) and Bailey (2005) report this salamander from 9 stream segments. Bailey and Guyer (2004) state that the species is "presently known from 14 scattered locations." Guyer (1997) sampled 120 sites for this salamander from 1990-1997, including all localities within its range that approached or intersected roads and had appropriate habitat, with only an 8 percent success rate. Based on a statistical analysis of the data, Guyer concluded that waterdogs were unlikely to have been missed if they were present. Waterdogs were detected at only ten sites in four counties in surveys conducted in 1990, 1991, 1992, 1994, 1996, 1997, and 1998 (Bailey 1995, Guyer 1997, 1998).

The total population size of Black Warrior Waterdog is unknown. This species is now rare and occurs sporadically within its range (Guyer 1997). Population density is low even at the best localities (Bailey and Guyer 2004). A 1990-1992 survey detected only a few individuals in four localities, including six adults and one larva in Sipsey Fork, one adult in Lost Creek, one larva in North River, and one subadult in Yellow Creek (Bailey 1992). During a 1996-1997 survey, 18 individuals were detected in Sipsey Fork and 11 individuals were detected in Brushy Creek (Guyer 1997).

Population Trends:

Even though this salamander was extensively surveyed from 1990 to 1997, population abundance was too low to determine short-term population trend. Guyer and Durflinger (1999) report that abundance may fluctuate from year to year, but only low numbers of this species were detected throughout the 1990's. Over the long-term, this species has declined by 50-75 percent (NatureServe 2008). This salamander has been reduced or extirpated over much of its historic range due to habitat degradation (Bailey 1992).

Status:

The Black Warrior Waterdog is imperiled in Alabama (G2S2) (NatureServe 2008). It is ranked as Endangered by the IUCN. It is a federal candidate for ESA listing and is in dire need of full ESA protection.

Habitat destruction:

Habitat degradation has resulted in population reduction or extirpation over much of the historical range of this salamander (Bailey 1992). The Black Warrior Waterdog now exists only in highly fragmented populations where it occurs at low densities and is extremely vulnerable to further habitat degradation (NatureServe 2008). Habitat conditions are expected to worsen for this species (NatureServe 2008). Pollution and sedimentation from mining, forestry, agricultural (especially poultry farms and cattle feedlots), and industrial and residential sewage effluent have contributed to the extirpation of this species over much of its range and pose an ongoing threat to its survival (Bailey 1995, Bailey and Guyer 2004).

There are widespread and numerous sources of point and nonpoint source pollution in this species' habitat. Most of the streams which once supported this species now show evidence of deteriorated water quality and many appear biologically depauperate (Bailey 1992, 1995, Guyer 1997). Industrial plants, landfills, sewage treatment plants, and drain fields from private residences are known sources of pollution in this salamander's habitat (FWS 1998), as are poultry and cattle feedlots (Deutsch et al. 1990). Urban runoff from Birmingham, Tuscaloosa, and Jasper also threatens this species (Mettee et al. 1989, U.S. Fish and Wildlife Service 1990).

The Black Warrior Waterdog is also threatened by surface mining for coal, which causes erosion, sedimentation, hydrological alteration, declining groundwater levels and general water quality degradation (Bailey 1995, FWS 1998). Logging also threatens this salamander (Dodd et al. 1986, Hartfield 1990, FWS 1998). Waterdogs are vulnerable to sedimentation and pollution because they spend virtually all of their lives on the stream bottom and are in contact with any toxic sediments that are present (Bailey 1995).

Impoundments also threaten this species. Impoundments in the Black Warrior basin have flooded thousands of hectares of previously suitable habitat and fostered populations of predatory fishes. There are no records of Black Warrior waterdogs occurring in impoundments (Bailey, pers. comm., 1999 cited in NatureServe 2008). Hartfield (1990) reported that the entire main channel of the Black Warrior River has been affected by impoundments, as have reaches of the Locust Fork, Mulberry Fork, Sipsey Fork, and North River. The Sipsey Fork is perhaps the best remaining locality for the Waterdog (Guyer 1998), but habitat quality there is known to be declining (Bailey and Guyer 1998).

Remaining Waterdog populations are isolated from each other by unsuitable habitat caused by impoundments, pollution, and other factors. Habitat fragmentation causes surviving isolated populations to be vulnerable to catastrophic events including floods, droughts, or chemical spills. Even if stream quality improves within portions of the basin, impoundments and polluted segments will act as barriers that prevent population rescue and reestablishment (NatureServe 2008).

Overutilization:

Due to its increasing rarity and the low abundance of surviving populations of this species,

overutilization by herpetological collectors increasingly threatens the survival of this salamander.

Inadequacy of existing regulatory mechanisms:

Despite this species' status as a federal candidate for Endangered Species Act protection, NatureServe (2008) reports that no occurrences are appropriately protected and managed. The State of Alabama provides no protection for this endemic species, categorizing it only as a Species of Greatest Conservation Need. The Federal Surface Mining Control and Reclamation Act of 1977 and the Clean Water Act of 1972 have been ineffective in preventing the continued decline of this salamander in the Black Warrior basin (Dodd et al. 1986, Mettee et al. 1989, Hartfield 1990, Bailey and Guyer 1998, U.S. Fish and Wildlife Service 1998). This species occurs on Bankhead National Forest, with the remaining 90 percent of occurrences being on private land. Due to ongoing habitat degradation, the Black Warrior Waterdog needs full Endangered Species Act protection before it is driven to extinction while waiting on the candidate list.

Other factors:

Water pollution threatens the Black Warrior Waterdog. Guyer (1997) found that this salamander is associated with substrates that lack silt and that have increased abundance of snails and *Desmognathus* salamanders, both of which are sensitive to water quality degradation. Guyer (1997) also found that this salamander occurs more often in sites with decreased abundance of invasive *Corbicula* clams, indicating that the spread of this invasive clam may somehow threaten the Waterdog via unknown mechanisms.

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Scientific Name:

Necturus lewisi

Common Name:

Neuse River Waterdog

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

Neuse River Waterdogs (*Necturus lewisi*) only occur in the Neuse and Tar river systems in the Piedmont and Coastal Plain regions of North Carolina (AmphibiaWeb 2009).

Habitat:

Neuse River Waterdogs are permanently aquatic stream dwellers that require relatively high water quality and high levels of dissolved oxygen (Ashton 1990). They occur in areas of submerged leaf litter in eddies and backwaters (Bury et al. 1980 in NatureServe 2008). Juveniles have been encountered sheltering under granite boulders on sand/gravel substrate and in leaf beds (Petranka 1998 in AmphibiaWeb 2009). This salamander constructs retreats under cover objects, and construct retreats entrances on the downstream side of rocks (Ashton 1985 in AmphibiaWeb 2009).

AmphibiaWeb (2009) provides the following description of Neuse River Waterdog habitat: "While Bishop (1943) notes animals generally are found in backwaters off the main current, where substrates are sandy or muddy, Braswell and Ashton (1985) found animals to be most abundant in streams greater than 15 m wide and 1 m deep, with flow rates of greater than 10 cm/s. Further, Braswell and Ashton (1985) found more animals associated with clay or hard soil substrates, while Brimley (1924) and Martof et al. (1980) found animals associated with leaf beds (see also Petranka, 1998). Neuse River waterdogs are distributed from larger headwater streams in the Piedmont to coastal streams up to the point of saltwater intrusion (Braswell and Ashton, 1985)."

Ecology:

AmphibiaWeb (2009) provides the following details on the ecology of Neuse River Waterdogs:

Adults are active at night, and daytime activity is limited, as is activity when water temperatures are greater than 18°C. Adults remain active at temperatures as low as 0°C (Braswell and Ashton, 1985; Petranka, 1998). Home range size was determined to be 16–19 m² for two females, and 49–90 m² for three males (Ashton, 1985), with males also moving greater distances between captures. Eggs are attached to the underside of cover objects such as large rocks in the water in moderate currents in water depths of 25–41 cm. Breeding occurs in the spring. Detected nest sites were in areas that received only a few hours of direct sunlight per day. Clutch size has been reported at 19–35 eggs. Nests are guarded by females and potentially by males. Larvae feed on leaf-litter invertebrates (Braswell and Ashton, 1985; see also Petranka, 1998). Females and males defend their retreat sites. In the spring and fall activity levels are highest and activity increases following moderate rainfall, when barometric pressure is low or falling, and during the new moon. In spring adults move from winter shelters in leaf beds, river banks, and under rocks to boulders or outcrops in fast currents with high dissolved-oxygen content to nest and spend the summer. This salamander becomes sexually mature at about 100 SVL (Cooper and Ashton, 1985; see also Petranka, 1998). Age at maturity is estimated at 5.5 yr for males, 6.5 yr for females (Cooper and

Ashton, 1985; see Petranka, 1998). Adult waterdogs eat lampreys, ostracods, copepods and cladocerans, snails, annelids, fishes, other species of salamanders, adult eastern worm snakes (*Carphophis amoenus amoenus*), isopods, slugs, spiders, crayfish, centipedes, millipedes, and insects such as mayflies, true flies, beetles, dragonfly and damselfly naiads, hellgrammites, caterpillars, and caddisflies (Braswell and Ashton, 1985; Petranka, 1998).

Populations:

Number of populations and overall population size are unknown for this species (NatureServe 2008). This salamander may be locally abundant at certain sites (Bury et al. 1980).

Population Trends:

The Neuse River Waterdog is known to be declining due to water quality degradation, but quantitative data are unavailable. Some populations are known to have been eliminated due to water pollution. AmphibiaWeb (2009) states: "Historical versus current abundance is unknown, but if high levels of pollution eliminate populations (Braswell and Ashton, 1985; see also Petranka, 1998), low levels of pollution may reduce abundances within remaining populations."

Status:

The Neuse River Waterdog is vulnerable in North Carolina (NatureServe 2008). It is ranked as Near Threatened by the IUCN. It lacks legal protective status.

Habitat destruction:

Habitat destruction is a major threat to the Neuse River Waterdog. Dodd (1997) lists habitat alteration as a threat to this species. This salamander is threatened by water development projects such as channelization and impoundments, and by industrial and urban development (Bury et al. 1980; Braswell and Ashton 1985; Braswell 1989; H. LeGrand, pers. comm., 1997 in NatureServe 2008). Bury et al. 1980 state, "Extensive plans for dams above the fall line threaten nearly all of the localities where this species is abundant. It is threatened by both habitat destruction and pollution" (p. 16). NatureServe (2008) reports that a significant portion of the habitat in the upper Neuse drainage has been destroyed or degraded (Braswell 1989), and that ongoing development threatens this species. Aquatic habitats in the Neuse River basin have been extensively degraded by pollution from confined animal feeding operations and runoff from ongoing development (North Carolina Office of Environmental Education 2008). The North Carolina Wildlife Resources Commission (2005) reports that aquatic species in the Neuse Basin are threatened by agriculture, forestry, impoundments, water withdrawals for irrigation, development, wastewater discharges, and increasing human population. The human population within the basin is expected to grow by more than 867,000 by 2020 to almost 3 million people.

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous" (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: “There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranks et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations” (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: “Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species” (p. 178).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranks et al. 1993)” (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007). Dodd (1997) states: “In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: “Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was

rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

Native amphibians in the Southeast potentially face predation pressures from introduced species of fishes and from cattle egrets, armadillos, and wild hogs (Dodd 1997). Amphibian populations can also be negatively affected by increases in populations of native predators such as raccoons (Dodd 1997) and corvids (Liebezeit 2002). In conjunction with other threats, natural predation could negatively impact populations.

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, reviewed in AmphibiaWeb 2009). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and it is imperative that equipment be disinfected so that research efforts to protect species do not inadvertently introduce this fungus or other pathogens to imperiled amphibian populations.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that no occurrences of this species are appropriately protected. Neuse River Waterdogs are considered a Species of Special Concern in North Carolina, but this designation does not provide the species with any regulatory protection.

Other factors:

The Neuse River Waterdog is threatened by factors which degrade water quality, including pollution from agricultural runoff, hog farm wastes, pesticides, and industrial and urban development (Bury et al. 1980; Braswell and Ashton 1985; Braswell 1989; H. LeGrand, pers. comm., 1997 in NatureServe 2008). AmphibiaWeb (2009) reports that severely polluted streams are known to have lost their populations (Braswell and Ashton, 1985; see also Petranka, 1998). Hall et al. (1985) found metabolites of DDT and PCBs in Neuse River Waterdog tissue from the Tar and Neuse Rivers in North Carolina-- DDE, DDD, dieldrin, cis-chlordane, trans-nonachlor, and PCP 1254 were detected (in AmphibiaWeb 2009). The North Carolina Wildlife Resources Commission (2005) reports that aquatic species in the Neuse Basin are threatened by non-point source pollution from agriculture, forestry, animal waste byproducts, bank erosion, and development. Point source pollution also threatens aquatic species. There are over 400 point source waste discharge permits in the Neuse basin.

Other factors which threaten imperiled amphibian populations in the Southeast include water

pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

During the past few decades, levels of UV-B radiation in the atmosphere have significantly increased. For amphibians, UV-B radiation can cause direct mortality as well as sublethal effects including decreased hatching success, decreased growth rate, developmental abnormalities, and

immune dysfunction (Dodd 1997, AmphibiaWeb 2009: <http://amphibiaweb.org/declines/UV-B.html>).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians.

Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Notropis ariommus

Common Name:

Popeye Shiner

G Rank:

G3

AFS Status:

Vulnerable

Range:

Historically, the popeye shiner occurred in most of the principal drainages of the Ohio River basin and in the western part of the Lake Erie drainage (Maumee River, Ohio) (Burkhead and Jenkins 1991). It is now spottily distributed in the Ohio River basin including the Tennessee River drainage. It has been extirpated from much of its former range (Boschung and Mayden 2004).

Habitat:

This fish occurs in warm, relatively clear flowing waters of large creeks and small to medium rivers, and is closely associated with gravel substrate (NatureServe 2008). It is typically found in runs, backwaters near appreciable current, and the head of pools (Burkhead and Jenkins 1991).

Populations:

NatureServe (2008) estimates that there are probably between 21 and 100 extant occurrences of this fish, but this needs to be verified. Some of the best remaining populations occur in the Clinch and Duck rivers in Tennessee (Boschung and Mayden 2004). Outside Kentucky and Tennessee, it is only known from a few sites, and some of these populations have been extirpated. This fish is seldom very common (Lee et al. 1980).

Population Trends:

NatureServe (2008) reports that this fish is declining throughout much of its range, and estimates a decline of up to 30 percent.

Status:

The American Fisheries Society (Jelks et al. 2008) ranks the popeye shiner as vulnerable due to habitat loss and degradation and limited range. NatureServe (2008) ranks this species as extirpated in Alabama, Indiana, and Pennsylvania, critically imperiled in Georgia, and Ohio, imperiled in Virginia and West Virginia, and vulnerable in Kentucky and Tennessee. This species is spottily distributed and much of its habitat has been degraded or destroyed by siltation, pollution, and impoundment (Burkhead and Jenkins 1991). Some peripheral occurrences have been extirpated.

Habitat destruction:

Several occurrences of the popeye shiner have been extirpated due to habitat loss and degradation, and remaining populations are threatened by ongoing habitat degrading activities. In the upper Tennessee River drainage, habitat has been degraded or destroyed by siltation, pollution, and impoundment (Burkhead and Jenkins 1991). Boschung and Mayden (2004) state, "It owes its demise in Alabama to siltation resulting from the inundation of large, gravel-bottomed creeks by impoundment of the Tennessee River." This species was impacted by a toxic spill into the Clinch River in 1967, from which it has not recovered well (NatureServe 2008). It has also been negatively impacted by toxic pollution in the North Fork of the Holston (Burkhead and Jenkins 1991).

Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

EPA (2002) reports that coal mining activities and agricultural practices, past and present, are having adverse impacts on stream habitats in the Clinch watershed, where some of the best populations of this species remain (Boschung and Mayden 2004). The popeye shiner is threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect this species, and NatureServe (2008) reports that it is unknown whether any occurrences are appropriately protected.

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Scientific Name:

Notropis ozarcanus

Common Name:

Ozark Shiner

G Rank:

G3

AFS Status:

Vulnerable

Range:

This fish occurs in the Ozark Uplands in southern Missouri and northern Arkansas. Most localities are in the White and Black river systems in the White River drainage (Robison and Buchanan 1988, Pflieger 1997). This species was formerly known from the headwaters of the St. Francis River in southeastern Missouri. A survey in Arkansas in the mid-1990s indicated that populations still occur in the Buffalo, Spring, and Strawberry river systems, but this species is possibly extirpated from the upper White River above or below Beaver Reservoir, War Eagle Creek, North Fork of the White River below Lake Norfork, Eleven Point River, and the Current River (Robison 1995).

Habitat:

This fish occurs in high-gradient small to medium clear rivers with permanent strong flow. It is associated with riffles, runs, and flowing pools in slight to moderate current over firm silt-free substrates. In midwater, it occurs in schools. It has been eliminated from many impounded areas (NatureServe 2008).

Populations:

In Missouri, this species was historically known from 5 principal drainages (Pflieger 1997). Currently large numbers exist in only one drainage, and there are an estimated 6-20 extant occurrences. Pflieger (1997) mapped 19 pre-1945 collection sites and 23 post-1945 sites. Lee et al. (1980) mapped 54 collection sites. This fish is extant in at least a few river systems in Arkansas, where Robison and Buchanan (1988) mapped 33 collection sites for 1960-1987 and 9 pre-1960 sites.

Total population size is unknown. This fish can be locally common, especially in the upper Current River, but typically occurs in low abundance (Page and Burr 1991, Robison 1995). In Arkansas, an extensive status survey with 104 collection samples from 1994-1995 resulted in the collection of 91 individuals, 67 of which were taken from the Buffalo River (Robison 1995). Robison (1995) summarized the number of individuals in museum collections that were taken from Arkansas by decade: 242 individuals during 1938-1939; 121 individuals during 1950-1959; 143 individuals during 1960-1969; 426 individuals during 1970-1979; 13 individuals during 1980-1989; and 201 individuals during 1990-1995.

Population Trends:

The Ozark shiner is declining in Missouri, with large numbers now occurring in only one of its 5 historically occupied drainages (J. Sternburg, pers. comm., 1997 cited in NatureServe 2008). In the White River, which was a former stronghold for this fish, it is now on the verge of extirpation. It may be declining in the St. Francis River, and it is probably extirpated in the Eleven Point and Black rivers (Robison 1995, Pflieger 1997, J. Sternburg, pers. comm., 1997 cited in NatureServe 2008). This fish has also declined in range and abundance in Arkansas, where it has been extirpated from several streams. The Buffalo River continues to support a rather large and

widespread population (Robison 1995). In the short-term this species has declined by up to 30 percent, and it has experienced a long-term decline of 25-75 percent (NatureServe 2008).

Status:

This fish is spottily distributed in small populations, is declining in some areas and has been extirpated from numerous locations. It is ranked by NatureServe (2008) as imperiled in Arkansas and Missouri. The American Fisheries Society (Jelks et al. 2008) classify this species as vulnerable due to habitat loss and degradation.

Habitat destruction:

The primary threat to the Ozark shiner is impoundments. Impoundments destroyed habitat for this species and their operation continues to threaten this fish through siltation and releases of cold water (Robison and Buchanan 1988, Pflieger 1997). This fish was extirpated from a number of stream reaches that have been impounded and also from downstream reaches miles downstream which are affected by cold water releases (Robison and Buchanan 1988). The shiner is also threatened by increased turbidity and siltation from surrounding land practices such as clearcutting, farming, development, and road building (NatureServe 2008). Gravel removal operations and increased resource demands driven by human population growth also threaten this fish (NatureServe 2008). The Arkansas Game and Fish Commission (2005) report that this fish is threatened by habitat destruction from dams, resource extraction, and road construction, and by sedimentation from forestry, grazing, and resource extraction. The Missouri Dept. of Conservation (2010) reports that fish in the White River watershed are threatened by urbanization, livestock grazing, gravel mining, and reservoir operations. Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect this species. NatureServe (2008) reports that few to several occurrences may be appropriately protected, because much of Jack's Fork and the Current River are part of the Ozark National Scenic Riverways (J. Sternburg, pers. comm., 1997), and the Buffalo River is protected by the National Park Service (Robison 1995).

Other factors:

The Ozark shiner is threatened by water pollution from nutrient enrichment from poultry and swine operations, and by siltation from impoundments and a variety of land use activities (Arkansas Game and Fish Commission 2005, NatureServe 2008, Missouri Dept. of Conservation 2010).

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Scientific Name:

Notropis perpallidus

Common Name:

Peppered Shiner

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The peppered shiner is patchily distributed within its narrow range in tributaries of the Red and Ouachita rivers in southeastern Oklahoma and southern Arkansas. In the Red River drainage, it is known from the Little and Kiamichi rivers. In the Ouachita drainage, it is known from the Saline, Ouachita, Caddo, and Little Missouri rivers (Page and Burr 1991, Lee et al. 1980). Despite extensive surveys, Robison (2006) did not detect this species in Oklahoma, and only detected it in the Ouachita mainstem and the Saline River system in Arkansas.

Habitat:

This fish occurs in pools and slow runs of warm, clear, small to medium rivers with gravel substrate, frequently near aquatic plants. It is associated with lees of islands and other obstructions out of the main current (Lee et al. 1980, Page and Burr 1991), and with deeper (more than 50 cm), slower (less than 0.3 cm/sec) areas (Wagner et al. 1987).

Populations:

Lee et al. (1980) mapped 19 collection sites for this species. As of 1981, there were 26 documented occurrences in Arkansas, and 38 collection sites in Oklahoma, but Robison (2006) did not detect this fish at all in Oklahoma, and only detected it in two river systems in Arkansas. Despite 81 collection efforts, Robison (2006) did not document any extant populations in Oklahoma or in the Little Missouri River, Caddo River, Kiamichi River, Mountain Fork River, or Glover River in Arkansas. Robison (2006) states: "After careful review of all of the major museum holdings of the peppered shiner available, 2 years of intensive field work collecting peppered shiners, review of all pertinent literature, and discussions with virtually all of the major collectors of peppered shiners in Arkansas, it is apparent that the peppered shiner has declined in abundance throughout its historical range in Arkansas. No specimens of the peppered shiner were collected in Oklahoma."

Total population size is unknown. This fish was historically rare and occurs in small populations at low densities (Wagner et al. 1987). Robison (2006) captured only 17 peppered shiners in 81 sampling efforts in Oklahoma and Arkansas.

Population Trends:

NatureServe (2008) reports that this species has declined by up to 30 percent, but Robison (2006) did not find this species at any of its historical occurrences in Oklahoma or in the Little Missouri River, Caddo River, Kiamichi River, Mountain Fork River, or Glover River in Arkansas. This fish has never been common and has declined over the past 30 years in both Arkansas and Oklahoma (Robison 2006).

Status:

This fish has a narrow range, occurs in low densities, and has been extirpated from many historical locations (Robison 2006). NatureServe (2008) ranks this fish as imperiled in Arkansas and Oklahoma. Robison and Buchanan (1988) categorized this fish as threatened in their discussion of

rare and endangered fishes in Arkansas. The peppered shiner is classified as vulnerable the American Fisheries Association (Jelks et al. 2008) due to habitat loss and degradation.

Habitat destruction:

The peppered shiner is very susceptible to environmental disturbance (Robison and Buchanan 1988). Jester et al. (1992) consider the peppered shiner to be intolerant to degradation in habitat and water quality. Much of the shiner's habitat has been destroyed and modified by impoundments and reservoir construction (Arkansas Game and Fish Commission 2005, NatureServe 2008). Cold water releases from the dams are an ongoing threat (Robison 2006). This fish is also threatened by increases in turbidity and siltation which have occurred in the upland streams it inhabits due to poor land use practices such as road building, farming, clearing of land for pasture, clearcutting, destruction of riparian buffer strips and other human perturbations which continue in these watersheds (Robison 2006). In a number of streams, the shiner is also threatened by gravel removal operations (Filipek and Oliver 1994) and by intensive silviculture (C. Taylor 1997 pers. comm. cited in NatureServe 2008). Jelks et al. (2008) list habitat loss and degradation as a threat to this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect the peppered shiner, and no occurrences are protected (NatureServe 2008).

Other factors:

The peppered shiner is threatened by pollution from nutrient enrichment from the enormous increase in poultry and swine operations surrounding its habitat, and from siltation from impoundments and from a variety of land use activities (Robison 2006).

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Scientific Name:

Notropis suttkusi

Common Name:

Rocky Shiner

G Rank:

G3

AFS Status:

Vulnerable

Range:

The rocky shiner is endemic to the Ouachita Uplands, including tributaries of the Red River in southeastern Oklahoma and southwestern Arkansas (Humphries and Cashner 1994, Wood et al. 2002, Miller and Robison 2004). Populations are known from the Blue, Kiamichi, Little and Muddy Boggy rivers (Humphries and Cashner 1994).

Habitat:

The rocky shiner is found in clear rivers and creeks of moderate to high gradient with gravel and rubble substrates (Humphries and Cashner 1994).

Populations:

Humphries and Cashner (1994) documented 19 sites in four drainages. More recent information is not available.

Population Trends:

There is no information on population trends in the rocky shiner.

Status:

According to Humphries and Cashner (1994), "the restricted range" of the rocky shiner is cause for concern over the long-term survival of its populations. Jelks et al. (2008) list the species as vulnerable.

Habitat destruction:

Humphries and Cashner (1994) identify impoundment of rivers, farmland runoff, gravel operations, and stream channelization as factors in the diminishment of habitat for gravel dependent species like the rocky shiner. Jelks et al. (2008) identify the present or threatened destruction, modification or reduction of habitat or range as a factor in the rocky shiner being vulnerable.

Inadequacy of existing regulatory mechanisms:

There is no legal or regulatory protection for the rocky shiner.

Other factors:

Jelks et al. (2008) identify a narrow, restricted range as a factor in the rocky shiner being considered vulnerable.

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Scientific Name:

Noturus fasciatus

Common Name:

Saddled Madtom

G Rank:

G2

AFS Status:

Vulnerable

Range:

The saddled madtom has a very small range in the Duck River system and adjacent western tributaries of the Tennessee River in Hardin and Wayne counties, Tennessee (Burr et al. 2005). It was historically recorded in additional areas, including a few records from the mainstem Duck River in Bedford, Henry, and Marshall counties, five localities in the Indian Creek system and one locality in Rogers Creek (Burr et al. 2005).

Habitat:

Burr et al. (2005) state that "critical habitat for *Noturus fasciatus* includes second and third order streams with clear water, dark gravel and slabrock substrates, and abundant riffle habitat." During the day, the species is typically found buried in gravel, cobble, rubble, or slate substrates in riffle habitats, whereas at night the species is thought to forage in pools or pool margins (Burr et al. 2005).

Populations:

Burr et al. (2005) extensively sampled for the saddled madtom from 1992-1994 and found the species at "few sites" and a "low number of specimens per site (mean= 2.1)," leading the authors to conclude that either the madtom had "declined dramatically in abundance and range over the past 10-20 years; or our seasonal timing, collecting techniques, and efforts differ significantly relative to those of previous collectors." They further speculated that one reason they may have undersampled the madtom was that they had sampled during the day, rather than at night when the species is easier to capture (Burr et al. 2005). Despite this limitation, however, Burr et al. (2005) state that "repeated diurnal collections by BMB have consistently yielded ten or more *N. fasciatus* from several localities in the previous 15 years," indicating that their surveys do accurately document that "the species has disappeared from some sites over the past 10-20 years."

Population Trends:

NatureServe (2008) concludes that the saddled madtom has experienced a long-term, moderate decline of 25-50 percent, consistent with findings of Burr et al. (2005) that the species has disappeared from some sites.

Status:

NatureServe (2008) lists the saddled madtom as imperiled in Tennessee because of its "restricted range in the Duck River drainage, Tennessee," because it is "known from only 13 sites since 1992," and because "numbers very low where found." Jelks et al. (2008) list the madtom as vulnerable because of the present or threatened destruction, modification, or reduction of habitat or range and because of a narrow, restricted range.

Habitat destruction:

Jelks et al. (2008) classify the species as vulnerable in part because of the present or threatened destruction, modification, or reduction of habitat or range. Burr et al. (2005) identify "channelization, removal of riparian vegetation, and agricultural runoff" as potential threats to the

saddled madtom, which they note are "all common occurrences in eastern North American streams," and moreover that "these disturbances have the greatest impact on small, high quality streams, critical habitat of *Noturus fasciatus*."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) determines that "few occurrences" are "appropriately protected and managed." Tennessee lists the species as threatened. This designation, however, provides no regulatory protection for the madtom's habitat.

Other factors:

Other threats to the continued existence of the saddled madtom include a narrow restricted range, drought, and organic pollution (Burr et al. 2005, Jelks et al. 2008, NatureServe 2008).

Recognizing that factors contributing to range decline of *Noturus fasciatus* were not investigated, Burr et al. (2005) observed that "severe drought in the late 1980s could have contributed to local extirpation" because "peak spawning for *N. fasciatus* is probably in June and July," when low flows "might have disrupted nesting and reduced recruitment, especially in smaller tributaries lacking permanent spring input." This indicates the saddled madtom is potentially threatened by future droughts and increasing water demands from a growing human population.

Burr et al. (2005) also cited organic pollution as a potential threat, stating: "the wide variety of complex organic chemicals added to streams may interfere with the highly developed olfactory sense of madtoms, disrupting behavioral patterns important for survival."

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Scientific Name:

Noturus furiosus

Common Name:

Carolina Madtom

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

DD - Data deficient

Range:

The Carolina madtom occurs in the Neuse and Tar river drainages in North Carolina in the Piedmont and inner Coastal Plain. Most records are from the vicinity of the Fall Line (Lee et al. 1980). It has been severely reduced in the Tar drainage and is mostly eliminated from the Neuse.

Habitat:

Lee et al. (1980) report that this fish usually occurs over fine to coarse sand substrate in very shallow water with little or no current. Page and Burr (1991) describe its habitat as sand-, gravel-, and detritus-bottomed riffles and runs in small to medium rivers. Midway (2008) reports that it is found under cover in moderately flowing, sand and gravel-lined streams and rivers, with cobble being the most frequent cover structure. Burr et al. (1989) report that nests have been found in cans and bottles in pools and runs (Burr et al. 1989).

Populations:

The Carolina madtom was detected at 42 distinct localities during the early 1980's, 33 of which have been recollected since 1982. The madtom is difficult to detect during high water conditions, and may not have been detected at some sites where it was present (Burr and Lee 1985). More recent surveys have revealed fewer occurrences of this species (Starnes 2002). Total population size is unknown. Lee et al. (1980) describe this species as generally uncommon or rare. Page and Burr (1991) state that it can be locally common, but is disappearing from some localities. Population densities are, for the most part, unknown and assumed to be low (Midway 2008). Burr and Stoeckel (1999) note that, *Noturus* spp. densities never reach those associated with most other stream-dwelling fishes. This species is mostly eliminated from the Neuse Basin.

Population Trends:

The Carolina madtom has declined by up to 30 percent in the short-term and has undergone a long-term decline of 25-75 percent (NatureServe 2008). Midway (2008) did not detect this species at 2 of 3 sampled reaches in the Neuse Basin, and reports that the Neuse population has shown recent significant decline. Recent work by the North Carolina Wildlife Resources Commission found Carolina madtom abundance in the Neuse Basin to be much lower than historical records indicate, suggesting local extirpations (Midway 2008). NatureServe (2008) states, "It appears that this madtom has severely declined in the Neuse basin and thus may be effectively extirpated from over half of its overall range."

Status:

The Carolina madtom is declining within its very limited range. It is ranked as imperiled by NatureServe (2008). The Southeastern Fishes Council (2007) recommends threatened status for this fish due to limited overall range and impending threats. The American Fisheries Society (Jelks et al. 2008) rank the Carolina madtom as threatened due to habitat loss and narrow range. This fish is listed as threatened by the state of North Carolina. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the Carolina Madtom should be listed as endangered (SFC and CBD 2010).

Habitat destruction:

The Carolina madtom is particularly sensitive to habitat loss and degradation because of its restricted range and small clutch size (Angermeier 1995, Burr and Stoeckel 1999). Within its limited range, this species faces many threats.

Populations in the Neuse drainage have been negatively affected by the construction of Falls Lake, which has significantly altered water temperatures below the dam. Thermal alteration and general pollution problems around Raleigh have reduced habitat in the upper Neuse River (NatureServe 2008). The Neuse is routinely considered to be an endangered basin (American Rivers Foundation 2007) with impacts such as urban wastewater, fertilizer, industrial development and animal operations all contributing to eutrophication (Pinckney et al. 1997, Paerl et al. 1998). In-stream habitat in the Neuse Basin has been lost and degraded by forestry, urban and residential development, impoundments, and effluent (North Carolina Department of Environment and Natural Resources 2002). Agriculture and farming operations have contributed to habitat degradation, and development is rapidly increasing (Midway 2008). The North Carolina Wildlife Resources Commission (2005) reports that aquatic species in the Neuse Basin are threatened by agriculture, forestry, impoundments, water withdrawals for irrigation, development, wastewater discharges, and increasing human population. The human population within the basin is expected to grow by more than 867,000 by 2020 to almost 3 million people.

Development, confined animal feeding operations, and forestry also threaten aquatic species in the Tar River basin, but to a lesser extent than the Neuse (Starnes 2002). Aquatic species in the Tar River basin are threatened by erosion, sedimentation, channelization, agriculture, irrigation withdrawals, confined animal feeding operations, and increasing human population growth and development pressure (North Carolina Wildlife Resources Commission 2005b).

Jelks et al. (2008) list habitat loss as a threat to this species.

Disease or predation:

In conjunction with habitat loss and degradation, the Carolina madtom is increasingly threatened by predation from the introduced flathead catfish (*Pylodictis olivaris*) (Guier et al. 1981, Thomas 1993, Brewster 2007, Pine et al. 2007, Midway 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect the Carolina madtom. This fish is listed as threatened by the state of North Carolina, but this designation does not provide the species with substantial regulatory protection. NatureServe (2008) reports that no occurrences are appropriately protected and managed.

Other factors:

This fish is threatened by point and non-point source pollution from a variety of sources, especially poultry and hog farms, rampant development, and forestry (North Carolina Wildlife Resources Commission (2005a, 2005b). Braswell (1989) did not detect this fish in a historical location that has been impacted by municipal and industrial effluents. Midway (2008) suggests that increasing predation from introduced flathead catfish may also be threatening the Carolina madtom, stating: “[A] second potential cause of Carolina madtom decline in the Neuse Basin is the recent introduction of flathead catfish *Pylodictis olivaris*. North Carolina Wildlife Resource Commission biologists working in these systems have noted Carolina madtom declines in the

basin's larger river segments that have historically held populations. Flathead catfish typically inhabit these large rivers and have been documented to forage on *Noturus* spp. (Guier et al. 1981; Brewster 2007); in some cases near eradication of native ictalurid species have been recorded (Thomas 1993). Further, simulation modeling suggests that flathead catfish suppress native fish abundance in streams by 5–50% through predatory and competitive interactions (Pine et al. 2007).”

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Scientific Name:

Noturus gilberti

Common Name:

Orangefin Madtom

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The orangefin madtom occurs in the Ridge and Valley and upper Piedmont regions of the upper Roanoke River drainage, including the Roanoke River proper and the Pigg, Mayo, and Dan systems, in Virginia and North Carolina (Burkhead and Jenkins 1991).

Habitat:

This fish occurs in swift riffles with small cobble substrate. Because it occupies the interstitial spaces among the cobbles, it is not found in areas with large amounts of sand and silt (Simonson and Neves 1992). Burkhead and Jenkins (1991) describe this species' habitat as medium-sized, moderate gradient, montane and upper Piedmont streams, with the largest populations in streams that are clear. Eggs are presumably deposited under loose rubble.

Ecology:

The orangefin madtom consistently co-occurs with the Roanoke darter (*Percina roanoka*) (Simonson and Neves 1992).

Populations:

Lee et al. (1980) mapped 13 collection sites for this fish. Butler (2002) reports 5 sites for this species in North Carolina, all in the Little Dan River, 23 sites from the upper Roanoke River system in Virginia, and 13 sites in the upper James River system, where this fish was likely introduced. Burkhead and Jenkins (1991) list viable populations as those in the Roanoke River from Salem upstream, through the South Fork of the Roanoke River, into the lower Bottom and Goose creeks; lower Big Chestnut Creek and a nearby Pigg River site; the Dan River from its gorge in the Blue Ridge downstream into North Carolina; and the South Mayo River and North Fork of South Mayo River within or just above Stuart.

Total population size is unknown. Jenkins & Burkhead (1991) state that this fish is rare to uncommon in portions of the Roanoke River drainage, and uncommon to common in Craig Creek in the James River drainage.

Population Trends:

North Carolina's Wildlife Action Plan (2005) reports that this species is declining in North Carolina. Butler (2002) reports that it is declining in its native range, but expanding in the area where it has been introduced. Most populations apparently are stable, but Jenkins and Burkhead (1994) report significant changes in abundance and distribution in recent decades. The orangefin madtom is apparently extirpated in the heavily silted lower North Fork of the Roanoke River and in the lower Roanoke River below Salem, lower Little Dan River, and upper Smith River (Burkhead and Jenkins 1991).

Status:

This species is ranked as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and limited range. Etnier (1997) states that it warrants federal protective status. It is

listed as endangered by the state of North Carolina, and as threatened by the state of Virginia. NatureServe (2008) ranks it as critically imperiled in North Carolina and imperiled in Virginia.

Habitat destruction:

Within its limited range, this species faces multiple threats. Habitat suitability for this fish is marginal in the South Mayo River and the upper Roanoke River system, particularly in the North Fork of the Roanoke River (Simonson and Neves 1992). The orangefin madtom is threatened by channelization, impoundment, and dewatering (NatureServe 2008). In North Carolina, this fish is restricted to the upper Dan River drainage where it is threatened by cold water releases from dams, which could disrupt its reproductive cycle (North Carolina Wildlife Resources Commission 2005). Fish in the Roanoke Basin are threatened by sedimentation from agriculture, forestry, and construction. Fish and other aquatic species in the basin are also threatened by increasing human population growth and demand for fresh water. Demand for water is expected to increase as much as 55 percent by 2020 (North Carolina Wildlife Resource Commission 2005). Fish in Virginia's Northern Ridge and Valley province are threatened by siltation, mine wastes, industrial and municipal effluent pollution, and agricultural and urban runoff (Virginia Department of Game and Inland Fisheries 2006). Jelks et al. (2008) list habitat loss as a threat to this species.

Overutilization:

Burkhead and Jenkins (1991) report bait-seining as a threat to the orangefin madtom.

Inadequacy of existing regulatory mechanisms:

This fish is listed as endangered by the state of North Carolina, and as threatened by the state of Virginia but these designations do not convey substantial protection to the species or its habitat. It is a federal Species of Concern, but this category carries no regulatory protection.

Other factors:

The orangefin madtom is threatened by pollution from sedimentation, various forms of chronic pollution, and catastrophic chemical spills (NatureServe 2008). There are fish consumption advisories in the Roanoke Basin due to contamination from dioxin, selenium, and mercury from coal ash ponds and other sources (North Carolina Wildlife Resource Commission 2005). Elevated selenium concentrations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Fish in the basin are also threatened by pollution from municipal wastewater treatment plants, industrial facilities, and stormwater runoff.

Populations of orangefin madtom are particularly susceptible to extirpation due to this species' low reproductive rate and short life span (Simonson 1997, Simonson and Neves 1992, Simonson 1987).

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Scientific Name:

Noturus gladiator

Common Name:

Piebald Madtom

G Rank:

G3

AFS Status:

Vulnerable

Range:

The piebald madtom is a recently described species that is limited to the coastal plain of Tennessee and Mississippi (Thomas and Burr 2004). The species historically occurred in seven river systems, but is now limited to the Wolf, Hatchie and Obion River systems (Thomas and Burr 2004). It is likely extirpated from the Loosahatchie River (last record, 1954), Yazoo River drainage (last record, 1978), and Big Black River drainage (last record, 1983) (SFC and CBD 2010).

Habitat:

According to Thomas and Burr (2004), the piebald madtom is found in the mainstem of small to medium sized rivers and the lower reaches of their tributaries. They state, "[i]n our sampling experience, we have observed that the species is most commonly associated with moderate velocities, moderate depth (about 60 cm), clean sand or clay substrata, and cover in the form of leaf packs, brush, and log jams."

Populations:

Thomas and Burr (2004) determine that "[m]ost collections have been from the relatively less disturbed portions of the Hatchie, Wolf, and Obion River drainages. Although never locally abundant, it has been taken with greatest consistency in the middle and upper Hatchie and Wolf River drainages."

Population Trends:

The piebald madtom has been extirpated from four of the seven river systems it is historically known from (Thomas and Burr 2004).

Status:

Jelks et al. (2008) list the piebald madtom as vulnerable because of habitat loss and a small range. NatureServe (2008) lists it as vulnerable in Tennessee and critically imperiled in Mississippi, further noting that the species has been "extirpated in some areas" and that a "significant portion of historical range has degraded habitat conditions." It is state listed as endangered by the Kentucky Nature Preserves Commission and is a species of "greatest conservation need" in Kentucky's SWG Wildlife Action Plan. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was support for listing this species as threatened (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) list the piebald madtom as vulnerable because of the present or threatened destruction, modification or reduction of habitat or range. NatureServe (2008) cites Rakes and Shute (2001) as concluding that the Tuscumbia River and lower Hatchie River sites are "severely degraded by poor agricultural and forestry practices." The species has been extirpated from four of the seven river systems in which it formerly occurred likely because of degradation of watershed conditions.

Primary threats are channelization and removal of riparian vegetation that results in increased sedimentation and decrease in instream woody debris cover. Primary activities associated with this disturbance are poor agricultural and forestry practices (SFC and CBD 2010). Watersheds in Mississippi where this madtom occurs are tremendously degraded from erosion and headcutting and heavily altered stream hydrology related to impoundments, channelization and other factors

Inadequacy of existing regulatory mechanisms:

The Piebald Madtom is listed as a species “Deemed in Need of Management” by the Tennessee Natural Heritage Program and endangered by the state of Kentucky, but these designations do not require a recovery plan, critical habitat designation, or agency consultation. Apart from the Hatchie National Wildlife Refuge, lands containing habitats throughout the species’ range are under private ownership (SFC and CBD 2010).

Other factors:

Jelks et al. (2008) list the piebald madtom as vulnerable because of a narrow, restricted range. Similarly, Thomas and Burr (2008) conclude that “[b]ecause *N. gladiator* has a relatively small geographic range, exists in naturally low population numbers, and there has been deterioration in significant portions of its habitat, we recommend a conservation status of vulnerable, based on national and global criteria.” Thomas and Burr (2004) further observe that the species is vulnerable to local extinctions because of poor environmental quality in the lower reaches of streams it inhabits, and because its ‘equilibrium’ life history strategy (small clutch sizes, large eggs, extreme parental care, and small body size) makes it susceptible to sudden changes in flow regimes, spates of siltation in potential nesting reaches, and a concomitant loss in recruitment from the relatively few large males that nest and reproduce.

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Scientific Name:

Noturus lachneri

Common Name:

Ouachita Madtom

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Ouachita madtom has a very small, discontinuous range in the upper Saline River system and a small unnamed tributary of the Ouachita River below Rammel Dam, in central Arkansas (Robison and Harp 1985, Robison and Buchanan 1988).

Habitat:

The madtom occurs in clear, cool, moderate- to high-gradient creeks and small rivers with gravel-rubble-sand substrates, and alternating pools and riffles. This fish is usually found in gravel/cobble or debris in shallow pools, but also occurs in very shallow riffles under large rocks (Robison and Allen 1995). Spawning likely occurs in smaller tributaries, as juveniles have been detected over shale bedrock in small tributaries (Robison and Harp 1985).

Populations:

Robison and Harp (1985) mapped 17 collection sites for this madtom which probably represent not more than 15 distinct occurrences. Total adult population size is unknown and is difficult to estimate due to difficulty of detection. This species is not abundant at any locality (Robison and Harp 1985).

Population Trends:

Trends are not well documented for the Ouachita madtom, but this species may be declining (NatureServe 2008).

Status:

The Ouachita madtom has a very restricted range where it faces multiple threats. It is categorized as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and degradation and narrow range. It is ranked as imperiled by NatureServe (2008). The Arkansas Department of Planning (1974) described the madtom as endangered, stating, "N. lachneri is not abundant at any locality. Because of its small numbers, the constant threat of damming or channelization activities in the area, and the nearby construction of a massive new planned community in the Saline River watershed (Hot Springs Village), this species is endangered."

Habitat destruction:

The Ouachita madtom is threatened by degradation and loss of habitat from logging, commercial gravel operations, housing developments, and bridge and road building (NatureServe 2008). The construction and repair of bridges has decimated local populations (NatureServe 2008). Habitat has been degraded by stream channelization, gravel mining, and clearcut logging (Robison and Buchanan 1988). Potential impoundments to supply water for Benton and Little Rock are also a threat (Robison and Buchanan 1988). The Arkansas Dept. of Planning (1974) reported damming, channelization, and residential development as threats to the madtom. The Arkansas Game and Fish Commission (2005) reports that this species is threatened by habitat destruction from dams, diversions, resource extraction, and forestry. Jelks et al. (2008) list habitat loss as a threat to this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. It is a designated Species of Concern in Arkansas, but this does not provide any regulatory protection.

Other factors:

The madtom is threatened by water pollution from sedimentation resulting from forestry, gravel mining, and urbanization (Arkansas Game and Fish Commission 2005).

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Scientific Name:

Noturus munitus

Common Name:

Frecklebelly Madtom

G Rank:

G3

AFS Status:

Vulnerable-Endangered

IUCN Status:

NT - Near threatened

Range:

The frecklebelly madtom now occurs as four isolated populations found in the upper Tombigbee River in Alabama and Mississippi, upper Coosa River in Georgia and Tennessee, upper Alabama and Cahaba in Alabama, and Pearl River in Mississippi and Louisiana (Boschung and Mayden 2004). It was historically known from the Pearl, Bogue chitto, and Strong Rivers in Mississippi and Louisiana, the Tombigbee River and several of its major tributaries, upstream of the junction with the Warrior River, the Cahaba River downstream of the Fall line at Centreville, and from the main channel of the Alabama River, and in the upper Coosa River system in the Conasauga River and the Etowah River upstream of Allatoona Reservoir in Georgia (SFC and CBD 2010).

Habitat:

The frecklebelly madtom occurs in free-flowing riffles and rapids of medium to large rivers often in areas where there is an abundance of river-weed (Boschung and Mayden 2004). It does not occur in areas of chronic siltation and sedimentation or in impounded rivers (Boschung and Mayden 2004, NatureServe 2008).

Populations:

Based on a comprehensive review of all population surveys to date, museum records, and new field work, Bennet et al. (2008) conclude:

"*Noturus munitus* was once fairly abundant in appropriate habitat throughout its range, with night-time collections on large-river gravel shoals before the late 1960s regularly producing large collections of specimens in the hundreds (Supplementary Table 1, available only online at <http://dx.doi.org/10.1656/S593.s1>; Piller et al. 2004). One of the most extensive analyses of historic population trends was conducted by Piller et al. (2004) using museum collection data from the Pearl River from 1950 to 1988. They found a precipitous decline in the *N. munitus* population in the Pearl River after 1964, coinciding with many human-induced river modifications, despite the fact that sampling effort (number of samples per year) was higher after 1964. While this study focused only on the Pearl River, the same decline in abundance of *N. munitus* associated with river modification has occurred across its range, as the surveys discussed above document. Examination of available museum records reveals a similar pattern in the Alabama and Tombigbee rivers (Table I), with few collections after 1970 producing specimens, in contrast to the Cahaba River collections, in which *A. munitus* seems to have remained fairly common. While these museum datasets undoubtedly omit some records, a general trend similar to the findings of Piller et al. (2004) is apparent."

A complete discussion of the available information on the status of populations of the madtom in all of the four major systems in which it occurs can be found in Bennett et al. (2008). On balance, these data show the species to be exceedingly rare in places where it was once abundant. For example, Bennett et al. (2008) observe that the species was not found in the mainstem of the Pearl River and only eight specimens were found in tributaries in 1999 (Piller et al. 2004), was

found only at 47 of 113 sites in the Mobile Basin in 1996 and 1997, half of which were in the Cahaba River (Shepard et al. 1997), and is extirpated from the Alabama River (Shepard et al. 1997). These data lead the authors to conclude, "Our review indicates that *N. munitus* is currently greatly reduced from its former range, and is in decline in most of the drainages it still inhabits. We recommend federal protection for the species under the Endangered Species Act."

The following detailed summary was provided by the Southeastern Fishes Council at a meeting of the Gulf Slope Group with CBD:

"There are records of the frecklebelly madtom from four major tributaries of the upper Tombigbee River in Alabama and Mississippi: Sipsey River, Luxapallila Creek, Buttahatchie River, and Bull Mountain Creek. The lower section of Sipsey River is characterized by high-quality gravel shoals, and the population there is apparently stable. Although the lower reach of Luxapallila Creek has produced several large series of *N. munitus*, the portion of the stream downstream of Columbus, MS was channelized for flood control in 1995, eliminating the stable gravel shoal habitat needed by the species. The area upstream of the Alabama state line is channelized and has not produced the species. *N. munitus* is now probably limited to a short unchannelized stretch of Luxapallila Creek near Steens, MS. The strongest remaining population of frecklebelly madtoms in the upper Tombigbee River system is in the Buttahatchie River where the species has been collected from the first shoal upstream of the embayment Columbus Lock and Dam upstream to Hamilton, AL in most stable gravel shoals. In-stream gravel mining in the lower section of the river has disrupted some shoals eliminating frecklebelly madtoms. Bull Mountain Creek produced *N. munitus* in 1980-81 at several stations, however, repeated sampling recently at numerous localities throughout the creek has failed to produce additional specimens, and it may now be extirpated. The population may have been adversely effected when the lower section of the creek was cut off by construction of the Tennessee-Tombigbee Waterway. In the Cahaba River, frecklebelly madtoms have recently been documented from four miles downstream of the Highway 80 bridge in Dallas County upstream to near the Fall Line at Centreville in Bibb County. Abundance in the Cahaba River is closely related to the condition and stability of the gravel shoals. Shoals which are embedded with sand and silt are typically not inhabited by the species. Frecklebelly madtoms were collected at 7 stations in the main channel of the Alabama River in Wilcox and Monroe counties in the 1960's before the completion of Jones Bluff, Millers Ferry, and Claiborne Locks and Dams. The species has now apparently been eliminated there due to sedimentation, gravel removal, and altered flow regimes."

Population Trends:

NatureServe (2008) classifies the frecklebelly madtom as "severely to rapidly declining" at a rate of 30 to more than 70 percent, stating: "This species has declined precipitously in recent years, particularly in Mobile Bay drainage where it is approaching extirpation (Lee et al. 1980). Habitat modifications have apparently eliminated or greatly reduced abundance throughout much of former range (Shephard et al. 1996). Declining in Louisiana, possibly rapidly (S. Shively, pers. comm., 1997). Declining rapidly; Tennessee-Tombigbee waterway construction in the early 1980s probably destroyed much of the habitat in the Tombigbee River; majority of extant populations may occur in Mississippi (P. Shute, pers. comm., 1997). Apparently extirpated from Bull Mountain Creek in the Tombigbee River System and the Alabama River (Shephard et al. 1996)."

Although *Noturus munitus* was once widespread and abundant on the expansive gravel shoals that

characterized the main channel of the upper Tombigbee River, the species has now been eliminated there by channelization and impoundment to create the Tennessee-Tombigbee Waterway (SFC and CBD 2010).

Status:

This summary clearly demonstrates that the frecklebelly madtom has been lost from many This species is spottily distributed in Louisiana, Mississippi, Alabama, and Tennessee, has historic locations because of habitat degradation, including in the recent past. significantly declined in the Mobile Bay drainage, and is threatened by various alterations to its habitat. It is ranked by NatureServe (2008) as critically imperiled in Georgia and Tennessee, and imperiled in Alabama, Mississippi, and Louisiana.

Jelks et al. (2008) list the populations in the Tombigbee and Coosa as endangered and the populations in the Cahaba and Pearl as vulnerable. It is listed as threatened by the state of Tennessee, endangered by the states of Mississippi and Georgia, and as a species of greatest conservation need in Alabama. Bennett et al. (2008) conclude that this species warrants listing under the federal Endangered Species Act as threatened, stating: "While it remains widely distributed across the southeastern US, it has declined precipitously from historic abundance since the late 1960s and is currently found in abundance in only the Cahaba and Buttahatchee rivers. Further, its dependence on large-river gravel shoal habitat makes it vulnerable to river modification that will likely continue into the foreseeable future." At a meeting of the Southeastern Fishes Council and CBD there was general support for listing this species as threatened (SFC and CBD 2010).

Habitat destruction:

The frecklebelly madtom occurs in main channel shoal habitats, and is very intolerant of sedimentation (Shepard 2004, SFC and CBD 2010). Its habitat requirements make it very vulnerable to practices which disturb substrate integrity such as in-stream gravel mining, channelization, and sedimentation due to nonpoint source pollution (Ibid.) Jelks et al. (2008) list the species as vulnerable or endangered, depending on which system, because of the present or threatened destruction, modification, or reduction of habitat or range.

The freckleberry madtom is considered to be very threatened by several factors including impoundment, channelization, gravel mining, altered flow regimes, agriculture, and logging (Mettee et al. 1996, Shephard et al. 1996, Piller et al. 2004, Bennett et al. 2008, NatureServe 2008). Piller et al. (2004), for example, conclude: "the Pearl River experienced numerous human caused disturbances since the 1950s, and it is difficult to attribute the decline of the frecklebelly madtom to any one of these factors. Rather, it is likely that all of the disturbances contributed to the widespread problem of geomorphic instability in the river, and this in turn is depressing populations of gravel-dependent species such as the frecklebelly madtom." Likewise, Bennett et al. (2008) list impacts to the species in most watersheds where it historically occurred. For example, they specifically list construction of the Tennessee-Tombigbee Waterway with 10 lock and dam structures, which led to the "probable extirpation" of the species in the main channel, construction of three dams on the Alabama River, which led to the extinction of the species in this river, construction of one dam on the Etowah River, which "likely affected" the frecklebelly madtom, and poor land-use practices in the Conasauga, leading to the frecklebelly madtom being "greatly reduced from their former extent and perhaps extirpated" (Bennett et al. 2008). With a growing population in the region, new dams are continuing to be planned. A dam, for example, is being planned on Shoal Creek, which is a tributary to the Etowah (see <http://www.dawsonnews.com/news/archive/2321/>). There are also plans for a large coal mine on the Buttahatchee River (SFC and CBD 2010).

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) concludes that none to few occurrences of this fish are appropriately protected. They note, however, that the "most of the headwaters of the Conasauga River are protected by high quality watershed management in the Cherokee National Forest" (NatureServe 2008). The frecklebelly madtom is state-listed in Tennessee, Georgia and Mississippi, and is a species of greatest conservation need in Alabama, but none of these designations provide regulatory protection to the madtom or its habitat.

Other factors:

Jelks et al. (2008) cite narrow restricted range as a threat to this species. The madtom is threatened by water pollution, primarily from siltation (NatureServe 2008).

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Scientific Name:

Noturus taylori

Common Name:

Caddo Madtom

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

This endemic fish has a small range in the upper Ouachita, Caddo, and Little Missouri rivers of the Ouachita River drainage in southwestern Arkansas (Lee et al. 1980, Robison and Buchanan 1988, Page and Burr 1991). The majority of occurrences are in the Ouachita and Caddo rivers. This fish was known from a single locality in the Little Missouri River, but during recent surveys was not detected there (Turner and Robison 2006).

Habitat:

This headwater stream specialist uses shallow, gravel-bottomed pools or shoals near the shoreline of clear, small to medium-sized upland rivers. It is associated with well-compacted gravel areas below riffles, and also occurs under rocks, beneath large gravel, or among rubble (Robison and Harris 1978, Lee et al. 1980, Robison and Buchanan 1988, Page and Burr 1991, Robison and Allen 1995, Turner and Robison 2006).

Populations:

In 1988, 15 coarse-scale collection sites were mapped for this species (Robison and Buchanan 1988). Turner and Robison (2006) surveyed the majority of the known range of this fish but detected it at only two localities each in the Ouachita and Caddo drainage, and did not detect it in the Little Missouri despite extensive sampling effort. Total adult population size is unknown. Page and Burr (1991) stated that this species is locally common, but Robison and Buchanan (1988) categorized it as rare. Turner and Robison (2006) surveyed most of the known range of the Caddo madtom yet obtained relatively small numbers of individuals at each locality (8.75 individuals, on average).

Population Trends:

The Caddo madtom has declined by up to 30 percent (Robison and Buchanan 1988, Turner and Robison 2006, NatureServe 2008). The population in the Little Missouri may no longer be extant (Turner and Robison 2006).

Status:

The Caddo madtom is restricted to a few rivers in Arkansas where it is rare and apparently declining. Because of their specific habitat requirements and limited occurrence, Caddo madtoms are considered critically imperiled both statewide and globally (Throneberry 2010, NatureServe 2008). Robison (1974) describes this species as rare and endangered. Warren et al. (2000) categorize this species as threatened. The American Fisheries Society (Jelks et al. 2008) categorize this species as threatened due to habitat loss and degradation and narrow range.

Habitat destruction:

The Caddo madtom is particularly vulnerable to habitat loss and degradation due to its limited range. Robison and Buchanan (1988) stated that "this rare species should be considered threatened due to loss of habitat." This fish appears to be vulnerable to local extirpation by small scale disturbances (Turner and Robison 2006). Because this fish is a headwater specialist, it is

particularly vulnerable to local extirpation because natural recolonization from adjacent rivers is unlikely (Turner and Robison 2006). Williams et al. (1999) report that aquatic habitats in this region are negatively impacted by local human activities such as development, logging, and gravel mining. The Arkansas Wildlife Action Plan (2005) reports that this species is threatened by dams, resource extraction, forestry, road construction, sedimentation, and hydrological alteration. Throneberry (2010) reports that this species is threatened by hydrologic alteration and sedimentation. Jelks et al. (2008) list habitat loss as a threat to this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. NatureServe (2008) reports that no occurrences are appropriately protected and managed.

Other factors:

The Caddo madtom is threatened by pollution, particularly by sedimentation from a variety of activities (Arkansas Wildlife Action Plan 2005, Throneberry 2010).

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Scientific Name:

Nuphar lutea ssp. *sagittifolia*

Common Name:

Cape Fear Spatterdock

G Rank:

T2

Range:

The Cape Fear spatterdock, also known as the yellow pond lily, is an aquatic plant endemic to the Coastal Plains of North Carolina, South Carolina, and Virginia. Natural heritage records show this species is present in Charles City, James City, and New Kent Counties in Virginia - records for North and South Carolina are not available (NatureServe 2008). North Carolina had 24 reported occurrences at last inventory (1987), and the species is reportedly rare in both South Carolina (present in one county), and Virginia (present in the Chickahominy River estuary) (NatureServe 2008).

Habitat:

This lily is primarily found in coastal-plain blackwater streams or tidal estuaries, but also occasionally in lakes or brownwater streams. It is often found co-occurring with cypress (*Taxodium*) (NatureServe 2008).

Ecology:

This plant may hybridize with *N. lutea advena* in the Chickahominy River estuary (Fernald 1950).

Populations:

Global population size is not known for this species, but approximately 26 occurrences have been reported in the last two decades (NatureServe 2008). This species is locally abundant at some sites, but total range is small, and occurrences are sparse throughout its range.

Population Trends:

NatureServe (2008) reports that the Cape Fear spatterdock is declining across its range, largely as a result of habitat loss.

Status:

NatureServe (2008) ranks this species as critically imperiled in Virginia, imperiled in North Carolina, and its status is under review in North Carolina. This species has a narrow range throughout which it is sparsely distributed, and populations are being extirpated by residential development along river shores.

Habitat destruction:

Degradation in water quality as a result of pollution or siltation from industry, development, and other anthropogenic activities threaten this species, as does recreational boating, and on-shore development (NatureServe 2008). Several occurrences in Virginia have already been lost to development along river shorelines.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Cape Fear spatterdock or its habitat.

Other factors:

The spatterdock is threatened by siltation from a variety of sources (NatureServe 2008).

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Scientific Name:

Nuphar lutea ssp. *ulvacea*

Common Name:

West Florida Cow-lily

G Rank:

T2

Range:

This water lily is reported from Escambia, Santa Rosa, Okaloosa, Washington, Jackson, and Calhoun Counties, Florida, Baldwin County, Alabama, and possibly from sites in southern Mississippi, though these are not confirmed (Kral 1983, NatureServe 2008, Godfrey and Wooten 1981).

Habitat:

The cow-lily is found in a variety of flow regimes, in shallow, clear, or tannic-acid tinted (blackwater) waters, and is often rooted in sandy substrate (NatureServe 2008).

Ecology:

This plant exhibits both floating and submerged leaves.

Populations:

This species is poorly known, it has not been extensively sampled and is rarely collected in surveys. Neither the number of occurrences nor total population size are known (NatureServe 2008).

Population Trends:

Trend has not been reported for this rare species.

Status:

NatureServe (2008) ranks the West Florida cowlily as critically imperiled in Alabama and imperiled in Florida.

Habitat destruction:

The West Florida cow-lily is extremely sensitive to changes in hydrology and water quality and is threatened by logging and wetland conversion to grazing, agriculture, and development (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

This species occurs on Eglin Air Force Base where it is protected from some threats. No existing regulatory mechanisms adequately protect this species or its habitat.

References:

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Scientific Name:

Nyssa ursina

Common Name:

Bear Tupelo

G Rank:

G2

Range:

Also known as dwarf blackgum, the bear tupelo is a small flowering shrub endemic to a small portion of the Apalachicola River Delta in Florida (Small 1933, Clewell 1985). Natural heritage records indicate this species' presence in Bay, Franklin, Gulf, and Liberty Counties (NatureServe 2008).

Habitat:

This species is found in fire-prone savannas, open herb bogs, and along the wet edges of pineland swamps (Nelson 1996).

Ecology:

This species is small in stature (seldom greater than 1.5 m tall), individuals are dioecious, and fruits are approximately 10-15 mm long (Clewell 1985, Nelson 1996).

Populations:

The Florida Natural Areas Inventory reports 28 occurrences of this species (FNAI 2001 as cited in NatureServe 2008). Global population size is not known, but where found this species is common to abundant (FNAI 2001).

Population Trends:

Though the precise level of decline is not reported, it is believed that habitat loss is driving the decline of this species across its already small range (NatureServe 2008).

Status:

NatureServe (2008) ranks the bear tupelo as imperiled in Florida. This species has an extremely restricted range; further reductions in the extent or quality of habitat may be expected to cause local extirpations.

Habitat destruction:

Habitat loss is the primary threat to this species: logging in pine flatwoods outrightly destroys habitat, and siltation from upstream logging, agriculture, or development alters wetland habitat, making it unsuitable for species like the bear tupelo.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the bear tupelo from habitat destruction or other threats. NatureServe (2008) reports that few occurrences are appropriately protected or managed: the species has been observed on Tyndall Air Force Base, in the Apalachicola National Forest, Tate's Hell State Forest, and other public lands, but protections on these lands are minimal.

Other factors:

Siltation from a variety of activities threatens this plant (NatureServe 2008).

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Scientific Name:

Obovaria subrotunda

Common Name:

Round Hickorynut

G Rank:

G4

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

This freshwater mussel species is present in Alabama, Arkansas, Georgia, Illinois, Indiana, Kentucky, Michigan, Mississippi, Ohio, Pennsylvania, Tennessee, West Virginia, and Ontario. Only 100 occurrences have been reported since 1970, the majority of which were from Indiana (NatureServe 2008). In Indiana, it is found in the Muscatatuck, Tippecanoe, and St. Joseph drainages (Harmon 1989, Cummings and Berlocher 1990, Pryor 2005). In Ohio, it is found in many high quality rivers, including Lake Erie (Watters 1992, 1995, Lyons et al. 2007), in Tennessee, it occurs in the Obey, Stones, Harpeth, and Red Rivers, and the main stem of the Cumberland River, thought it was once more widespread (Parmalee and Bogan 1998). In Alabama, it is restricted to the Paint Rock River system and Bear Creek (Mirarchi et al. 2004, Williams et al. 2008), and in Mississippi, it occurs in the Mississippi River South, Big Black, and Yazoo drainages (Jones et al. 2005). The round hickorynut occurs occasionally in Tennessee's lower Cumberland drainage, including the Middle Green and South Fork Kentucky (Cochran and Layzer 1993, Evans 2008). Though it was once considered extirpated in Pennsylvania, it is likely still extant in the Shenango River (Bursey 1987). It is currently thought to be extirpated from New York (Strayer and Jirka 1997). In West Virginia, it is found in the Upper Ohio/Kanawha and Mud Rivers (Zeto et al. 1987, Schmidt and Zeto 1986). Michigan's populations are confined to the Pine and Belle River drainages (Badra and Goforth 2003). In Canada, specimens were most recently found Ontario's East Sydenham River and the St. Clair Delta in Lake St. Clair (Metcalf-Smith et al. 2003).

Habitat:

This species is found in large streams and medium-sized to large rivers with sand and gravel substrate, typically in areas of moderate flow at depths of less than six feet (Cummings and Mayer 1992, Parmalee and Bogan 1988). It also occurs in Lake Erie and Lake St. Clair (COSEWIC 2003).

Ecology:

Females discharge glochidia in early to mid-summer; glochidial hosts are not known. Little other data on this species' life history is available (NatureServe 2008).

Populations:

Just over 100 occurrences of the round hickorynut have been reported since 1970, and total population size is thought to number at least 100,000 (NatureServe 2008). Numerous historical occurrences have been extirpated, and many remaining populations are small and isolated.

Population Trends:

Though this species was formerly wide-ranging and comprised of large, healthy populations, it has disappeared from several historical locations, and remaining populations continue to decline, with changes particularly evident in populations in Canada, where it has lost approximately 90 percent of its historical range, and in western Pennsylvania and New York (NatureServe 2008, COSEWIC 2003). NatureServe (2008) reports that the round hickorynut has experienced a long-

term decline of up to 50 percent, and that it has continued to decline by up to 30 percent in the short-term.

Status:

NatureServe (2008) reports that the round hickorynut is critically imperiled in Arkansas, Indiana, Michigan, Pennsylvania, and Ontario, imperiled in Alabama, Mississippi, and Tennessee, and vulnerable in West Virginia. It is listed as endangered in Illinois, Michigan, and Canada. Its rank is being changed from special concern (Williams et al. 1993) to threatened by the American Fisheries Society (draft 2010, in review).

Habitat destruction:

Numerous historic populations of round hickorynut have been extirpated by habitat loss and degradation, and threats to the species are ongoing. The round hickorynut is threatened by impoundments, dredging and channelization, gravel and sand quarrying, urban and industrial development, agriculture, forestry, oil and gas drilling, and coal mining (Carman 2001, Kentucky Department of Fish and Wildlife Resources 2005, Michigan Dept. of Natural Resources 2006, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the round hickorynut from the threats it faces across its range. It is listed as endangered by several states, but these designations do not protect the species habitat from destruction, which is the greatest threat to its survival.

Other factors:

Invasive aquatic species, such as the zebra mussel, *Dreissena polymorpha*, and Asian clam, *Corbicula fluminea*, have wreaked havoc on native mussel populations in the Eastern and Central United States. Roughly 64 percent of historical records for *O. subrotunda* are from waters now infested with zebra mussels; some sources suggest this is the greatest threat to the round hickorynut (e.g., COSEWIC 2003).

The round hickorynut is threatened by any factor which threatens the host fishes on which it depends for reproduction.

This mussel is also threatened by altered sediment loads, pesticides, herbicides, fertilizers, urban, municipal and industrial pollution, acid mine drainage, waste water discharge, and accidental chemical spills (Kentucky Department of Fish and Wildlife Resources 2005, Michigan Dept. of Natural Resources 2006, NatureServe 2008).

Habitat fragmentation and small population size also limit gene flow and threaten this species (Kentucky Department of Fish and Wildlife Resources 2005, Michigan Dept. of Natural Resources 2006, NatureServe 2008).

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Scientific Name:

Obovaria unicolor

Common Name:

Alabama Hickorynut

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The Alabama Hickorynut occurs in Alabama, Louisiana, Mississippi, and possibly Oklahoma (NatureServe 2008). This mussel is found in eastern Gulf Coast drainages from the Mobile Basin west to the Lake Pontchartrain drainage of Louisiana and Mississippi (Williams et al. 2008). This mussel is found primarily below the Fall Line in the Alabama, Coosa, Cahaba, Tombigbee, and Black Warrior River drainages (Williams et al. 2008). There is some confusion regarding the range of this species, as it is easily confused with *Obovaria jacksoniana*, and both species are reported from the Pascagoula, Pearl, and Amite River systems in Mississippi and Louisiana (Mirarchi et al. 2004). Mirarchi et al. (2004) question occurrences in the Coosa and in Oklahoma.

Habitat:

This mussel occurs in medium to large streams below the Fall Line, generally in areas with sandy substrate and low flow, but individuals have been detected in many habitat types in appropriate streams including gravel-bottomed swift shoals, deep gravel and sand-bottomed runs, the silty margins of streams, pools, backwater sloughs, and high-water side channels (Mirarchi et al. 2004). USFS (2007) states that this mussel requires habitat stability, including substrate and water quality.

Ecology:

The Alabama Hickorynut is a long-term brooder with females releasing glochidia from April to June. Glochidial hosts include naked sand, southern sand, and red spotted darters, with marginal hosts including Johnny, Gulf, blackbanded, and dusky darters (Haag and Warren 2003).

Populations:

It is estimated that there are from 21-80 populations of Alabama Hickorynut (NatureServe 2008). Williams et al. (1992) report that the species was recently collected from the Black Warrior River in Tuscaloosa and Greene/Hale counties, and in the upper Tombigbee River in Sumter and Greene counties prior to impoundment. Vidrine (1993) reports this mussel from a few drainages in eastern Louisiana including the Pearl, Tangipahoa, Tchefah, and Amite rivers. Brown and Banks (2001) report 1990s records for the Amite and Tangipahoa rivers, and historical occurrences in the Pearl River. Johnson and Ahlstedt (2005) report this mussel from the Luxapallila drainage on the Mississippi/Alabama border. Jones et al. (2005) report the Mississippi distribution as the Pearl, Pascagoula, and Tombigbee River drainages. Kennedy and Haag (2005) report specimens from the Sipsey River in Alabama.

This species is common only in the Sipsey River in Alabama (Mirarchi et al. 2004) and is generally uncommon or rare throughout the remainder of its range (NatureServe 2008).

Population Trends:

Although this mussel has a fairly wide distribution, it is in decline. The Alabama Hickorynut is declining in the short term (decline of 10-30 percent) and moderately declining (decline of 25 - 50 percent) in the long-term (NatureServe 2008). USFS (2007) states that this species is

currently declining globally and is generally uncommon. Williams et al. (2008) report that the distribution of this mussel has declined since the mid-1900s, and that in the Alabama and Mobile Basin, it is only extant in a few tributaries of the upper Tombigbee.

Status:

NatureServe (2008) ranks the Alabama Hickorynut as critically imperiled in Louisiana and Oklahoma, imperiled in Alabama, and vulnerable in Mississippi. This mussel is a Species of Greatest Conservation Need in Alabama and Mississippi. Although this species still maintains a fairly wide range, declines are evident rangewide. Over 100 occurrences probably still exist but some have questionable viability (NatureServe 2008). This species is ranked as near threatened by the IUCN. In 1993, the American Fisheries Society classified this mussel as Special Concern (Williams et al.), but its status is being changed to Endangered (draft, in review).

Habitat destruction:

This mussel is threatened by impoundment, siltation, channelization of large stream habitat, and declining water quality (NatureServe 2008). Stream impoundments, channelization, and water quality degradation have extirpated this species from most of its historical range. Habitat loss and degradation is the greatest threat to the survival of mussels in the southeastern United States (Neves et al. 1997). The Alabama Hickorynut is sensitive to water quality degradation and sedimentation from ground-disturbing activities within a watershed (USFS 2007). This species occurs in the Bankhead National Forest and is thus threatened by silvicultural and recreational activities. USFS (2007) cites heavy recreational use of habitat as a threat to this species. The Alabama Hickorynut is also threatened by habitat inundation, alterations in the timing and duration of flow, blocked dispersal, and interrupted connectivity due to the operation of the Martin Hydroelectric Project (Takats 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Alabama Hickorynut, and no occurrences are appropriately protected and managed (NatureServe 2008). NatureServe (2008) states: "No protected sites are known. The species is protected from harvest in most areas but generally there is no protection from upstream siltation or pollution sources." This mussel is a Species of Greatest Conservation Need in Alabama and Mississippi, but these designations do not confer regulatory protection. It has no state status in Oklahoma or Louisiana.

Other factors:

Any factor which degrades water quality is a threat to the Alabama Hickorynut. This species is also threatened by population isolation, reduced abundance, and questionable viability of some populations. The Hickorynut is also threatened by any factor which threatens host fish populations.

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Williams, James D, Melvin Warren Jr., Kevin Cummings, John Harris and Richard Neves. 1993. Conservation Status of Freshwater Mussels of the United States and Canada. *Fisheries*, Vol. 18, No. 9: 6-22 + errata

Scientific Name:

Oecetis parva

Common Name:

Little *Oecetis* Longhorn Caddisfly

G Rank:

G1

IUCN Status:

NE - Not evaluated

Range:

Historically this rare caddisfly had been collected only from Alabama where it is known from one male that was collected in Wright's Creek, and from three sites in Florida-- near Gainesville in Alachua County, near Kissimmee in Osceola County, and from Lucas Lake in Washington County (Floyd 1995). Prior to 1991, there were no records of this species since 1907. It is possibly extirpated from Alabama. Rasmussen et al. (2008) report that recent light-trapping surveys have recovered *O. parva* at a number of lakes and ponds in Florida, as well as the Wekiva River in Seminole County. It is now known from 8 new sites including the Apalachicola, Choctawhatchee, Kissimmee, Oklawaha, St. Johns (upper) and St. Marks Rivers in Alachua, Jackson, Lake, Leon, Marion, Osceola, Putnam, Seminole, and Washington Cos.

Habitat:

This species is restricted to natural lakes, ponds, and spring runs (NatureServe 2010).

Populations:

Rasmussen et al. (2008) report 8 new occurrences of *O. parva*, which brings known occurrences to approximately 12. Data on population size are not available. In healthy habitats, this species can be abundant.

Population Trends:

Rasmussen et al. (2008) report this species as stable. It is likely extirpated from Alabama.

Status:

This caddisfly is critically imperiled in Florida and state historical in Alabama (NatureServe 2008). It was a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

Rasmussen et al. (2008) believe *O. parva* is an excellent bioindicator of lake health in Florida, as it is abundant in the healthiest lakes. This indicates that this species is threatened by any factor which negatively affects water quality.

The Florida Wildlife Conservation Commission (2005a, b) reports that this species' freshwater marsh and wet prairie habitat is highly threatened by altered hydrologic regime, altered water quality, and altered species dominance, and that this species' seepage habitat is highly threatened by altered hydrologic regime. Marsh, seepage, and lake habitats are all threatened by agriculture, urban development, forestry, recreation, water withdrawals, and nutrient loading (FWCC 2005).

Inadequacy of existing regulatory mechanisms:

Rasmussen et al. (2008) indicate that populations of *O. parva* are especially abundant in some of the lakes and ponds within the Ocala National Forest, but this provides no regulatory habitat protection. No existing regulatory mechanisms protect this species. Rasmussen et al (2008) state that "[p]rotection of Florida's natural lakes is essential to ensuring the long-term health of this species."

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Scientific Name:

Oncidium undulatum

Common Name:

Cape Sable Orchid

G Rank:

G4

Range:

The Cape Sable orchid is rare and sparsely distributed across southern Florida, and though its range outside the U.S. has not been formally estimated, it is reportedly found in parts of the Caribbean, Mexico, and Central America (Luer 1972, NatureServe 2008). Natural heritage records show this species to be present in Florida's Collier County, and other accounts also report infrequent occurrences in remote sloughs within Big Cypress National Park (NatureServe 2008).

Habitat:

This orchid is found in buttonwood (*Platanus* spp.) stands and also reported occasionally from remote cypress sloughs in Big Cypress National Park (NatureServe 2008).

Ecology:

This orchid is epiphytic and somewhat frost-tolerant (NatureServe 2008).

Populations:

Total population size is not known for the Cape Sable Orchid, nor is a precise number of occurrences available, though the species is reportedly locally abundant in small buttonwood (*Platanus* spp.) strand communities (Wunderlin 1998, NatureServe 2008).

Population Trends:

Trend information is not available for this species.

Status:

The orchid has a highly rare and localized distribution in south Florida where it is vulnerable to overcollection by orchid enthusiasts. NatureServe (2008) reports that this species is critically imperiled (N1) in Florida.

Overutilization:

Overcollection is the primary threat to this rare orchid. It is highly threatened by orchid collectors in south Florida as specimens are of great economic value (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Cape Sable orchid from overcollection, and NatureServe (2008) reports that few populations are appropriately protected, though some occur in Everglades or Big Cypress National Parks. Collectors are a consistent threat, and location in remote, inaccessible areas is the only form of protection currently afforded to this species.

References:

Ackerman, J.D. 2000. Note on the Caribbean orchid flora. II. *Lindleyana* 15(2): 89-95.

Craighead, Frank C. 1963. Orchids and Air Plants of the Everglades Natl. Pk. Everglades Nat. Hist. Assoc. and U. of Miami Press. 125 pp.

Luer, C. A. 1972. The native orchids of Florida. New York Botanical Garden, New York. 293 pp.

Scientific Name:

Ophiogomphus australis

Common Name:

Southern Snaketail

G Rank:

G1

Range:

This dragonfly is known from eastern Louisiana and western Mississippi (Mauffray, 1997; Carle, 1992). Specimens from Eglin AFB, Okaloosa County, Florida, were previously misidentified as *O. incurvatus*, but are in fact *O. australis* (Jerrell Daigle, pers. comm. to Dave Almquist, 2008). NatureServe (2008) estimates the total range to be 100-250 square km (less than about 40 to 100 square miles). *Ophiogomphus australis* could be a subspecies of *O. incurvatus*, and the Center thus requests the Service to recognize this petition for either the species or the subspecies, should it be validated.

Habitat:

The Southern snaketail is found near gravel-bottomed streams (NatureServe 2008). It requires "good water quality and stable stream flow" (NatureServe 2008).

Populations:

The Southern snaketail is known from three rivers and streams in eastern Louisiana and adjacent Mississippi (Mauffray 1997) and also from Eglin Air Force Base. It is known from about 50 miles of stream, with probably thousands in each of the three streams (NatureServe 2008). According to Frank Carle (cited in IUCN 2010) there is much negative survey data.

Population Trends:

According to NatureServe (2008), the Southern snaketail is undoubtedly declining in the short term because of susceptibility of *Ophiogomphus* larvae to flood scouring and pollution. NatureServe estimates a decline of 10-30 percent.

Status:

O. australis has a limited range, with susceptibility to both pollution and perturbation of stream flow. (NatureServe (2008) ranks this species as critically imperiled globally, but it has not been ranked in FL, LA, or MS. IUCN (2010) now classifies *O. australis* as Endangered, stating that it might be in danger of extinction due to habitat degradation.

Habitat destruction:

O. australis faces threats from gravel mining, siltation, pesticides, and clear cutting/deforestation that impact water quality (NatureServe 2008). There are probably other threats related to flood scour. The scope of threat could be higher than "moderate".

IUCN (2010) reports that "the pristine nature of the streams inhabited by this species were so degraded that the species might be in danger of extinction. The habitat of this species is being rapidly reduced by gravel removal and farm water run-off."

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

References:

Carle, F. L. 1992. OPHIOGOMPHUS (OPHIONURUS) AUSTRALIS spec. nov. from the Gulf Coast of Louisiana, with larval and adult keys to American OPHIOGOMPHUS (Anisoptera: Gomphidae). Odonatologica 21(2):141-152.

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Paulson, D.R. and S.W. Dunkle. 1999. A Checklist of North American Odonata. Slater Museum of Natural History University of Puget Sound Occasional Paper Number 56:86 pp.

Scientific Name:

Ophiogomphus edmundo

Common Name:

Edmund's Snaketail

G Rank:

G1

IUCN Status:

EN - Endangered

Range:

Edmund's snaketail is currently known from four rivers and streams in eastern Tennessee, adjacent areas of North Carolina, and Georgia. The one Pennsylvania record is based on a female collected in Dauphin County and is thought to be the common *O. Aspersus* instead (NatureServe 2008).

Habitat:

This species lives near clear moderately flowing mountain streams and rivers (NatureServe 2008).

Populations:

According to NatureServe (2008) there are fewer than 5 populations with up to 10,000 total individuals of this species. It is currently known from four rivers and streams in five counties. Within its limited range and in appropriate habitat, this species is abundant, and there are probably hundreds to thousands in each stream.

Population Trends:

NatureServe (2008) indicates that this species is declining in the short term by up to 30 percent, undoubtedly because of a general sensitivity of *Ophiogomphus* larvae to flood scouring and pollution.

Status:

NatureServe (2008) ranks this species as critically imperiled globally and in Georgia, North Carolina, and Tennessee. It is a Tennessee Tier 2 Species of Greatest Conservation Need, in North Carolina it is Significantly Rare, and in Georgia it is state listed as Endangered. It was a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

NatureServe (2008) reports threats to this species from deforestation and clear cutting, siltation, pesticides, and development.

Individuals of *O. edmundo* and its habitat were likely impacted by the Tsali Forest Health Project on the Cheoah Ranger District of the Nantahala National Forest (USFS 2001). This is also true of the Upper Creek Timber Sale on the Grandfather Ranger District of the Pisgah National Forest (USFS 2005). *O. edmundo* may be in the area of and affected by the Armuchee Ridges Timber Sale on the Consuaga Ranger District of the Chattahoochee National Forest (USFS 2007).

According to Vogt (1995), "[t]he most vulnerable life stage from a conservation perspective is that of the nymph. Odonata nymphs are vulnerable to a wide variety of threats directly or indirectly affecting their aquatic habitats. Examples include impoundments, channelization, siltation, and water pollution. Because the nymphs live in highly aerated riffles, they may be especially vulnerable to low dissolved oxygen levels".

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), the two known North Carolina streams are in Pisgah National Forest, and the species is a Regional forester's Sensitive Species. This designation provides only discretionary protection for this species, however, and logging is a primary threat to this species. This species is state-listed in Georgia, but this designation provides no habitat protection.

References:

Bick, G.H. 1983. Odonata at risk in conterminous United States and Canada. *Odonatologica* 12 (3):209-226.

Carle, F.L. 1981. A new species of *OPHIOGOMPHUS* from eastern North America, with a key to the regional species (Anisoptera: Gomphidae). *Odonatologica* 10(4):271-278. December 1, 1981.

Needham, James G., and Minter J. Westfall, Jr. 1954. *A Manual of the Dragonflies of North America (Anisoptera)*. University of California Press, Berkeley, California. 615 p.

Paulson, D.R. and S.W. Dunkle. 1999. *A Checklist of North American Odonata*. Slater Museum of Natural History University of Puget Sound Occasional Paper Number 56:86 pp.

U.S. Forest Service. 2001. Decision Notice for the Tsali Forest Health Project. Available online at http://www.cs.unca.edu/nfsnc/nepa/cheoah/tsali_dn.pdf. Last accessed March 8, 2010.

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Vogt, T. E. 1995. Rediscovery of Edmund's snaketail dragonfly *OPHIOGOMPHUS EDMUNDO* Needham. Final Report. Submitted to U.S. Fish and Wildlife Service, Per Agreement No. 3, April 1995. Cooperative Agreement No. 14-48-0005-93-9002. 20 pp.

Vogt, T. E. 1995. Rediscovery Of Edmund's Snaketail Dragonfly *Ophiogomphus Edmundo* Needham. Report to U.S. Fish and Wildlife Service, Asheville, NC.

Scientific Name:

Ophiogomphus incurvatus

Common Name:

Appalachian Snaketail

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

This species occurs in the foothills on either side of the Appalachian Mountains: it is found in Alabama, Georgia, Kentucky, Maryland, North Carolina, Pennsylvania, Virginia, and West Virginia (NatureServe 2008). It is known from only 20 streams within this range. In North Carolina, it occurs in Alexander, Burke, Cabarrus, Caldwell, Caswell, Catawba, Cleveland, Davidson, Forsyth, Gaston, Guilford, Iredell, Lincoln, McDowell, Mecklenburg, Richmond, Rockingham, Rutherford, Stokes, Union, Wilkes and Yadkin Counties. In Pennsylvania, it occurs in York County. In West Virginia, it occurs in Monroe County. In Virginia, it occurs in Russell, Giles, Floyd, Charlotte, and Bedford Counties. In Tennessee, it occurs in Sevier County. In South Carolina, it occurs in Aiken and Barnwell Counties. In Maryland, it occurs in Anne Arundel, Baltimore, and Howard Counties. In Georgia, it occurs in Talbot and White Counties. In Alabama, it occurs in Blount, Clay, Cleburne and Tuscaloosa Counties (OdonataCentral 2008 as cited in NatureServe 2008).

Habitat:

O. incurvatus prefers clear stream habitat with sand or gravel riffles (NatureServe 2008).

Ecology:

This dragonfly breeds in May and June (NatureServe 2008).

Populations:

Though this species has a wide range, it is restricted to only 20 streams in eight states. It is widespread in distribution, but sparse in abundance. The Appalachian snaketail is globally rare and characterized as "uncommon" by Dunkle (2000). No estimates of total population size are available, and it is likely that some occurrences are not viable (NatureServe 2008).

Population Trends:

This dragonfly has declined by up to 90 percent in the long-term, primarily due to land-use changes in the Piedmont portion of its range. The Appalachian snaketail is also declining in the short-term because the larval stage is unusually susceptible to flood scouring and pollution.

Status:

NatureServe (2008) lists the Appalachian Snaketail as critically imperiled in Virginia, imperiled in Maryland, and vulnerable in North Carolina. It is not ranked in Alabama, Georgia, Kentucky, South Carolina, or West Virginia. It is classified as near threatened by the IUCN.

Habitat destruction:

Habitat loss and degradation is a primary threat to the Appalachian Snaketail, as larvae are highly sensitive to changes in stream flow and water quality caused by impoundment, deforestation, agriculture, and residential or commercial development. Threats are particularly severe in the Piedmont portion of this species' range, where agriculture has greatly changed the landscape in the past century (NatureServe 2008). Population viability of for this species requires connectivity of healthy riparian and terrestrial habitats, due to the distinct habitat requirements of larvae and

adults. Thus fragmentation or degradation of either aquatic or forested habitat may threaten this species (Dunkle 2000).

Overutilization:

This species is sought by dragonfly collectors, as evidenced by this blog account of a collector actively seeking this species:

"Weather is always a major factor in any dragonfly collecting trip and this one was no different as I zigged and zagged trying to get behind storm fronts and into the sun. Giff gave me a location for Appalachian Snaketail, *Ophiogomphus incurvatus*, in Georgia and Jerrell gave me one in North Carolina. I got to Giff's on midday Thursday, but large cumulus clouds kept the temperature down and I saw little but a few Ashy Clubtails, *Gomphus lividus*. The next day I was at Country Line Creek in NC but found neither Skillet Clubtails, *Gomphus ventricosus*, along the power line cut or Appalachian Snaketails along the creek" (Accessed March 31, 2010 at: http://homepage.mac.com/edlam/dragonflyroad/5_07SE.html).

The extent to which collecting affects populations has not been assessed, but given the rarity of this species, and in conjunction with other threats such as pollution and habitat loss, collecting could increasingly threaten this dragonfly.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few occurrences of this species are appropriately protected as they occur on lands at least partially under the jurisdiction of wildlife management agencies: the Pisgah National Forest, Patuxent Wildlife Research Center, and a Clemson University research area. However, these protections do not cover enough of this species' range to constitute substantial regulatory protection. Other populations have no regulatory protection whatsoever.

Other factors:

Siltation and contamination by pesticides, fertilizers, and other anthropogenic pollutants are primary threats to this species, as they are intolerant of pollution and insecticides (Corbet 1999, NatureServe 2008). Chemical control of gypsy moth outbreaks threatens this dragonfly when Dimilin is used (NatureServe 2008). The snaketail is vulnerable to flood scouring and is thus threatened by severe storm events. The snaketail may also be threatened by limited gene flow as populations are increasingly isolated.

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Scientific Name:

Orconectes blacki

Common Name:

Calcasieu Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

All sites confirmed to have *Orconectes blacki* are found in Beauregard and Calcasieu Parishes, Louisiana according to NatureServe (2008).

Habitat:

NatureServe (2008) indicates that this species is found in moderately flowing, small or medium-sized streams with detritus.

Populations:

The species is known from only six localities and according to Walls (1972: 455), intergrading occurs with *O. d. hathawayi* "in Calcasieu River drainage of Allen, Beauregard, Calcasieu, and Vernon Parishes, Louisiana." In his revision of the genus *Orconectes*, however, Fitzpatrick (1987) recognized no geographic races of *Orconectes* (*H.*) *difficilis*, according to Walls' subspecies specific rank. NatureServe (2008) estimates total population size at 1000-2500 total individuals.

Status:

NatureServe (2008) ranks this species as imperiled. The IUCN ranks it as vulnerable. The American Fisheries Society considers this species to be threatened due to habitat loss and limited range.

Habitat destruction:

According to NatureServe (2008), *Orconectes blacki* is threatened by habitat loss and direct take of the species from the rapidly developing oil industry in SW Louisiana and SE Texas. These impacts could seriously endanger this species.

The Louisiana Department of Wildlife and Fisheries (2005) reports that this species is threatened by degradation or alteration of habitat and chemical pollution, and is especially sensitive because of a limited distribution.

Inadequacy of existing regulatory mechanisms:

This species occurs on the Kisatchie National Forest, where it is a USFS Sensitive Species, but this designation does not provide regulatory protection for this species or its habitat (USFS 2005). The Nature Conservancy has adopted *O. blacki* as an Animal Conservation Target with a goal of 7 viable occurrences in its land portfolio (TNC 2003).

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Scientific Name:

Orconectes burri

Common Name:

Blood River Crayfish

G Rank:

G1

Range:

This species is endemic to the Blood River drainage, a Tennessee River tributary in western Kentucky and northwest Tennessee (Taylor and Schuster 2004).

Habitat:

It prefers sand and gravel substrate in medium and small size streams (Williams and Bivens 2001). Taylor and Sabaj (1998) report that *O. burri* occurs in lowland stream habitat with minimal gradient. It is found in small to medium-sized streams with substrates of gravel and sand, only in flowing water. It was captured from under tree roots and in accumulated woody debris piles or wood vegetation, or in sand and gravel substrate, sand in slow-flowing runs and pools, and gravel in shallow riffles. The stream banks were usually steep and vegetated.

Populations:

This rare species occurs in a single drainage. No estimates of population size or trend are available (NatureServe 2008).

Status:

NatureServe (2008) ranks this species as imperiled in Kentucky and Tennessee. The State of Kentucky lists *Orconectes burri* as Threatened. In Tennessee, the Blood River crayfish is a Species of Greatest Conservation Need. The American Fisheries Society lists *Orconectes burri* as Endangered due to habitat loss and restricted range (Taylor et al. 2007).

Habitat destruction:

NatureServe (2008) states: "Suitable habitat has been lost in the lower part of the drainage due to impoundment. . . There is heavy recreational fishing pressure in the area and the nearby Kentucky Lake is a popular destination for fishing. Further, there is a lot of channelization in Kentucky and if the decision was taken to rechannelize this drainage, there could be negative impacts on the species."

Inadequacy of existing regulatory mechanisms:

This crayfish is listed as threatened in Kentucky, but this designation provides no habitat protection.

Other factors:

Orconectes burri is potentially threatened by the introduction of invasive species which are used as bait for fishing in the area where it occurs (NatureServe 2008).

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Scientific Name:

Orconectes eupunctus

Common Name:

Coldwater Crayfish

G Rank:

G2

AFS Status:

Special Concern

Range:

Orconectes eupunctus has a range less than 100-250 square km (less than about 40 to 100 square miles) according to NatureServe (2008). It is restricted to the Spring and Eleven Point Rivers in southeastern Missouri and northeastern Arkansas (Pfleiger 1996). It occurs in two small drainages that cross the state line between Missouri and Arkansas (NatureServe 2008). Each state may be counting extensions of the same populations as occurrences, causing a cumulative error in estimating the number of rangewide occurrences. The Eleven Point River and Spring River drainages are fed by Greer Spring and Mammoth Springs, two of the largest Ozark springs. In Eleven Point River, it does not inhabit tributaries and does not ascend the river itself much above Greer Spring. In the Spring River drainage it occurs in the Greer Spring Branch and West Fork of Spring River (Pfleiger 1996). The Missouri Natural Heritage Program has recorded seven extant occurrences from two watersheds. These occurrences are all believed to be in good condition (although last surveyed in late 1980's).

In Missouri *Orconectes eupunctus* is found in Oregon and Howell Counties (Missouri Fish and Wildlife Information System 2009) and it is very localized where found. The species is considered the most abundant crayfish in the Eleven Point River and most common crayfish in the Greer Spring Branch and West Fork of Spring River (Pfleiger 1996). It has a highly restricted range with large local populations.

Habitat:

The Coldwater crayfish is found in rivers in coarse gravel and rock substrates in swift, shallow water (Pfleiger 1996).

Populations:

According to NatureServe (2008), *Orconectes eupunctus* has as many as 6-20 populations with a total of 2500-10,000 individuals. Crandall (pers. comm. 1998 cited in NatureServe 2008) feels the population size is probably smaller than 10,000 individuals.

Population Trends:

In the short term, this species is declining by 10-30 percent (NatureServe 2008). Recently abundance has declined to the point of near extirpation from the West Fork Spring River (Black) in Arkansas. It is also declining in Missouri where it was once (circa 1984) a dominant portion of the crayfish community, due to invasion of *Orconectes neglectus* into the system (Magoulick and DiStefano 2007).

Status:

NatureServe (2008) ranks this species as critically imperiled in Arkansas and imperiled in Missouri. It is ranked as Special Concern by the American Fisheries Society. It is listed as rare in Missouri, indicating a narrow distribution and restricted habitat specificity (Crandall 1998).

Habitat destruction:

This species requires clean, cold streams which are becoming increasingly rare in its range (Missouri Natural Heritage Program 2009).

Allert et al (2005) studied metal contamination of crayfish habitat in Missouri. *O. eupunctus* was found in one stream used as a reference. Allert found that “mining-derived metals represent a significant threat to functionally important crayfish communities in areas downstream of lead-zinc mines.” Furthermore, “Crayfish were absent or had significantly lower densities at mining affected sites. Crayfish survival was also lower at mining-affected sites in both laboratory and in-situ toxicity tests, and was negatively correlated with metal concentrations in detritus and crayfish tissue.”

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

Other factors:

This crayfish is highly threatened by invasive crayfish. It is nearly extirpated from the West Fork Spring River (Black) in Arkansas and Missouri due to invasion of *Orconectes neglectus* into the system (Magoulick and DiStefano 2007). Displacement of this species by *Cambarus neglectus chaenodactylus* has been well studied (Rabalais and Magoulick 2006; Magoulick and DiStefano 2007; Larson and Magoulick 2008, 2009). Rabalais and Magoulick suggests that microhabitat competition may be at work, while Larson and Magoulick (2009) suggest differential predation or reproductive interference.

Due to a highly restricted range, this species could also be eliminated by catastrophic events (NatureServe 2008).

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Scientific Name:

Orconectes hartfieldi

Common Name:

Yazoo Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Yazoo crayfish is found in the Yazoo River basin in Calhoun, Carroll, Panola, Tallahatchie, and Yalobusha counties, Mississippi (Fitzpatrick and Suttkus 1992).

Adams (2008) reports "In 2007, I collected a female *O. hartfieldi* from the Yocona River drainage, the first record for Lafayette County, MS. The Mississippi Museum of Natural Science database indicates a female collected in 1997 from the extreme headwaters of the Yockanookany River in the Pearl River basin in Choctaw County, MS, which, if valid, is a considerable range extension."

Habitat:

O. Hartfieldi inhabits small creeks with moderate flow and a firm bottom; it seems to prefer pools (NatureServe 2008). Adams (2008), citing Fitzpatrick and Suttkus (1992), indicates that: "Orconectes hartfieldi has been collected from streams with sand/silt or compacted clay substrates in channels up to 15 m wide. Streams were shallow (several cm) to deep (>1 m) with wetted widths of 2 - 10 m at low flows and slow to moderate velocities. At least two stream sites where the species lived were used by cattle, with water temperatures at one site as high as 28 C, suggesting the species can tolerate somewhat degraded conditions. Some individuals were collected under ledges in plunge pools formed on the downstream side of road bridges"

Populations:

NatureServe (2008) roughly estimates fewer than 20 populations with 1000-2500 total individuals of *O. hartfieldi*, based principally on its wide geographic range. There are only 5 known sites, but probably more can be found. It is known from only 11 specimens and is rare where it does occur.

Status:

NatureServe (2008) ranks *Orconectes hartfieldi* as imperiled. The State of Mississippi classifies *O. hartfieldi* as a Tier 1 Species in Need of Immediate Conservation Action. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

Concerning threats to this species, NatureServe (2010) states: "It seems to be able to tolerate somewhat degraded conditions, as two of the streams it inhabits are used by small holder livestock farming and have water temperatures up to 28C (Fitzpatrick and Suttkus 1992). It is likely to be affected by row-crop agriculture, deforestation, roads, channelization, and headcutting. Large reservoirs currently fragment the species range, however it occurs throughout a primarily rural area."

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that no occurrences are appropriately protected and managed.

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Scientific Name:

Orconectes incomptus

Common Name:

Tennessee Cave Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Although previously observed in only 4 caves, *Orconectes incomptus* is now known from 12 caves with a fragmented range in Jackson, Putnam, and Smith counties in Tennessee (Buhan and Crandall 2008).

Habitat:

The Tennessee Cave crayfish is found in shallow pool areas in subterranean streams (NatureServe 2008).

Populations:

This species is known from 12 locations and occurs in small populations (Buhay and Crandall 2008).

Population Trends:

NatureServe (2008) reports that this species faces a short term decline of 10-30 percent, and that it is stable to moderately declining in the long term (25 percent change to 50 percent decline).

A recent study by Buhay and Crandall (2005) showed this species exhibited little difference between historical and current genetic diversity estimates but there is inferred decline in quality of cave habitat (Buhay and Crandall 2008).

Status:

NatureServe ranks this species as critically imperiled. The State of Tennessee lists it as Endangered. It is also ranked as endangered by the American Fisheries Society.

Habitat destruction:

NatureServe (2010) states: "*Orconectes incomptus* is threatened due to its severely restricted habitat and the fragmentation of the habitat. Few individuals were found in the survey and they occur on both sides of the Cumberland River. The restricted cave environment presents a number of potential threats. Caves are often subject to surface runoff and can easily bring in contaminants such as sewage or fecal contamination, pesticides or herbicides, and hazardous materials via accidental spills or deliberate dumping such as road salting (Lewis 2001). Sedimentation resulting in habitat alteration is a common threat caused by construction, farming, logging, and other development that disturbs the groundcover. It can block recharge sites in caves and alter flow velocity and volume (Lewis 2001). Flooding can be a serious threat to cave dwelling species as it changes stream flow. Stream back-flowing is another source of contamination introduction into cave habitats, as is local exploration for oil, water or gas, which may encounter cave passages (Lewis 2001)."

Inadequacy of existing regulatory mechanisms:

This species is listed as endangered by the state of Tennessee, but this designation does not convey habitat protection.

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Scientific Name:

Orconectes jonesi

Common Name:

Sucarnoochee River Crayfish

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Sucarnoochee River crayfish is found in the Sucarnoochee River drainage in west central Alabama and east central Mississippi. More populations may be detected, expanding the range (Fitzpatrick 1996).

Habitat:

O. jonesi is found in moderate to swiftly flowing streams, usually in riffle areas with emergent aquatic vegetation and sandy bottoms (NatureServe 2008).

Populations:

NatureServe (2008) crudely estimates 21 - 80 populations with 1000-2500 individuals of *Orconectes jonesi*. In Alabama it is known only from the Sucarnoochee River drainage in Sumter Co. (Mirarchi et al., 2004; appendix 1.2 pub. separately; Schuster and Taylor, 2004).

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks this species as vulnerable in Mississippi and not ranked in Alabama. The State of Mississippi classifies it as a Tier 2 Species of Greatest Conservation Need, while Alabama calls it a Priority 2 GCN Species. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

Concerning threats to this species, NatureServe (2010) states: "*Orconectes jonesi* is threatened by the extensive agricultural land use which occurs in the Sucarnoochee river basin. This has caused there to be high levels of sedimentation, chlorophyll and nitrogen present in the water (Schuster et al., 2008). *O. jonesi* are smaller, with lower fecundity and smaller eggs than most other species from the subgenus. At one site, several ovigerous females carried empty eggshells and one male had deformed gonopods, suggesting possible water quality problems."

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that no occurrences of this species are protected.

Other factors:

This crayfish is threatened by water pollution from agriculture.

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Scientific Name:

Orconectes maletae

Common Name:

Kisatchie Painted Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Kisatchie Painted crayfish is known from only seven localities in Natchitoches and Sabine parishes, Louisiana, and Upshur County, Texas. Specimens from Coal County, Oklahoma, were interpreted by Walls (1972) as intergrades between this crayfish and *Orconectes (H.) difficilis*. The freshwater crayfish, *Orconectes difficilis*, formerly had four subspecies (*O. difficilis blacki*, *O. difficilis maletae*, *O. difficilis hathawayi*, *O. difficilis difficilis*) (Walls, 1985), all of which have been elevated to full species status (see Fitzpatrick, 1987; Hobbs, 1989). Texas records are *O. maletae* and Louisiana records are *O. blacki* and *O. hathawayi*.

Habitat:

O. maletae is found in streams of varying sizes and bottoms, almost always with leaf litter.

Populations:

NatureServe (2008) estimates that there are 6-20 total populations of *O. maletae* with 1000-2500 total individuals. It is not uncommon where found, and is known from 7 localities.

Population Trends:

Trend is unknown, but site level extirpation has occurred in Louisiana (NatureServe 2008).

Status:

This species is critically imperiled in Texas and imperiled in Louisiana (NatureServe 2008). The State of Louisiana has classified *O. maletae* as a Rare Animal of Conservation Concern. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

According to NatureServe (2008), historical stresses to this species include clear-cutting practices and the conversion of forests to agricultural use. Current stresses include clear-cutting and the resulting increase in silt and loss of shade, and impoundments for recreational use. NatureServe (2008) states: "This species has a relatively restricted and disjunct range . . . There is a clear deterioration in habitat quality in the Louisiana portion of its range, where site level extirpation has occurred." NatureServe states that this species' habitat also needs to be monitored for industrial development.

The Louisiana Natural Heritage Program (2005) reports that threats to this species include conversion of forests to agricultural uses, practices that lead to increased sediment loads in streams, practices that lead to loss of shade in the water, and impoundments.

Inadequacy of existing regulatory mechanisms:

This species is found on the Kisatchie National Forest in Louisiana and is a Forest Service Sensitive Species (USFS 2005) but this designation provides only discretionary levels of protection. The Nature Conservancy has adopted *O. maletae* as an Animal Conservation Target with a goal of 1 viable occurrence in its land portfolio (TNC 2003). No existing regulatory

mechanisms protect this species.

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Scientific Name:

Orconectes marchandi

Common Name:

Mammoth Spring Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

The Mammoth Spring crayfish is known from 20 locations in 8 sub-watersheds of the Spring River watershed in southwest Missouri and two northwest Arkansas counties (Flinders and Magoulick 2005).

Habitat:

Warm Fork in Missouri is a medium-sized, clear Ozark stream with well defined riffles and runs (Pflieger, 1996). Flinders and Magoulick (2005) found this species almost exclusively in smaller moving tributary streams of the Spring River, predominantly in shallow, slow-moving water in gravel and pebble substrates compared to previous studies that found it in faster moving riffle habitats. Flinders and Magoulick (2007) found small individuals were more broadly distributed across pools, backwaters, stream margins, and vegetated habitats. Small individuals also strongly selected vegetated, backwater and stream margin habitats at all sites and times even though these habitat types comprised only 15 percent of the available habitats.

Populations:

This species is known from 20 locations in a single watershed.

Population Trends:

NatureServe (2008) indicates that this species is increasing in the short term, and relatively stable to increasing in the long term.

Several new localities were recently found in slower moving tributaries in Spring River drainage (Flinders and Magoulick 2005).

Status:

NatureServe (2008) ranks *O. marchandi* as imperiled in Arkansas and critically imperiled in Missouri. It is ranked as threatened by the American Fisheries Society (Taylor et al. 2007). It is listed as endangered in Missouri. The primary threat to this species is displacement by an invasive crayfish.

Habitat destruction:

The Arkansas Wildlife Action Plan (2008) reports that this species faces threats from chemical alteration and sedimentation of habitat due to recreation, and riparian habitat destruction from channel alteration.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that at least one locality occurs in a state park. Though this species is listed by the state of Missouri, this designation conveys no habitat protection.

Other factors:

This species occurs in a single watershed, and the greatest threat to its survival is the spread of an invasive crayfish species. NatureServe (2008) states: "An invasive species *O. neglectus* is reported to be in western part of the drainage in south fork Spring River, entering the system some time

between 1996 and 2000, and has been moving at a rate of 28 km over 10 years. This invasive

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Scientific Name:

Orconectes packardii

Common Name:

Appalachian Cave Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that this species is found in 16 caves in the Cumberland River basin in southeastern Kentucky. Hobbs Jr. (1989) reports that the species is found intergraded with the nominate subspecies in Wayne County, Kentucky, and Fentress County, Tennessee, but no "pure" *packardii* forms have been found in Tennessee yet (Taylor and Schuster, 2004). This "intergrade" has now been afforded species status, *Orconectes barri* (Buhay and Crandall, 2008).

Habitat:

According to NatureServe (2008), the Appalachian Cave Crayfish inhabits quiet pools of subterranean streams.

Populations:

NatureServe (2008) indicates that this species has between 6 and 20 populations in 16 caves in the middle Cumberland River drainage (on both sides of the Cumberland River) in McCreary, Pulaski, Rockcastle and Wayne Cos. It is likely that there are more populations to be found (Taylor and Schuster, 2004). Buhay and Crandall (2005; 2008) list only Rockcastle, Pulaski, and Wayne Cos., Kentucky because individuals at the other locations have been reassigned to a new species, *O. barri*.

Status:

This crayfish is listed as threatened by the American Fisheries Society and by the state of Kentucky. It is ranked as imperiled by NatureServe (2008) and as vulnerable by the IUCN.

Habitat destruction:

The subterranean cave habitat used by this species is susceptible to groundwater contamination from agriculture (NatureServe 2008). According to Buhay and Crandall (2008), major road construction is an imminent threat to many populations of this species.

Taylor et al. (2007) state that this species is threatened by habitat degradation and loss, as well as limited range.

According to Dickson and Franz (1980) "[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality."

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species, and no populations are appropriately protected. Although it is listed as threatened by the state of Kentucky, this designation provides no protection for the species' habitat.

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Scientific Name:

Orconectes sheltae

Common Name:

Shelta Cave Crayfish

G Rank:

G1

Range:

Orconectes sheltae is endemic to a single location, Shelta Cave in Huntsville, Alabama (Cooper and Cooper 1997).

Habitat:

This species lives in subterranean pools in a single cave (Cooper and Cooper 1997).

Ecology:

This crayfish may be dependent on nutrient input from gray bats.

Populations:

There is only one population of this species. J.E. and M.R. Cooper estimate population size to be 96-102 individuals, based on 942 plus person-hrs of study in the cave (Cooper 1975, Cooper and Cooper 1997). Prior to 1975 only 17 individuals had ever been collected and later 97 individuals were examined and released.

Population Trends:

NatureServe (2008) reports a severe short-term decline of greater than 70 percent for this species (Cooper and Cooper 1997, Buhay and Crandall 2008). Over the long-term the species has declined by 75 to over 90 percent.

Status:

NatureServe (2008) ranks this species as critically imperiled. The State of Alabama has classified it as a Priority 1 Species of Special Concern. AFS lists it as Endangered (Taylor et al. 1997). The National Speleological Society owns the single cave where this species occurs, and in erecting a gate to prevent vandalism, incidentally cut off nutrient input from bats. This crayfish is highly threatened by the loss of bat guano, disturbance from school groups, and pollution from residential run-off.

Habitat destruction:

Concerning threats to this species, NatureServe (2010) states: "This species is impacted by a variety of factors namely land development and vandalism, cave abandonment by Gray Bats, insecticides and water level fluctuations. In the 1960s there was extensive vandalism in the cave and the surrounding land was developed with residential housing (Cooper and Cooper, 1997). In 1967, the cave was purchased by the National Speleological Society which owns the entrances and controls access to the cave. The Headquarters of the NSS has been built above the cave. In an attempt to curb vandalism, cave gates were built at the entrances to the caves in 1968, but these were not conducive for Gray Bats. By 1970, the bats had abandoned the cave, reducing the amount of nutrients available for *O. sheltae*. Overall cave biodiversity has declined since the Gray Bats left the cave (Cooper and Cooper, 1997). In 2002, a fence was erected 20 feet around the cave to encourage the bats to return, but to continue to deter vandalism (Cooper and Cooper, 1997). Some water chemistry analysis has been conducted at the cave and indicated high levels of insecticide heptachlor epoxide in 1989 presumably from surrounding residential gardens.

Additionally, this area of Alabama due to geological circumstances is prone to having high levels of radon gas. Levels in the cave were very high at 400-500 pCi/L which must be having an adverse impact on this species. Finally, the species is sensitive to water level fluctuations as a result of droughts . . . Attempts have been made to encourage the bats to return, but so far these attempts have proved unsuccessful. A cave fence has been erected to reduce vandalism, which has been successful. The NSS is controlling the number of visitors to the cave, but still allowing school groups to visit, thus continuing to cause disturbance to *O. sheltae*'s habitat. However, no attempts have been made to improve the water quality of the cave, by reducing insecticide run off from nearby gardens."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that access to Shelta Cave is strictly controlled by the owner (National Speleological Society, managed by Huntsville Grotto). NSS has also a specific management plan for the cave. Yet private ownership of the cave has not succeeded in protecting the crayfish. School tours are still authorized in the cave, causing ongoing disturbance. Erection of a fence to prevent vandalism blocked bats from the cave, fundamentally altering its ecology and threatening this crayfish. Private ownership also does not protect the crayfish from on-going chemical pollution from surrounding residential runoff.

Other factors:

This species is threatened by residential run-off and by the loss of gray bats.

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Scientific Name:

Orconectes virginienensis

Common Name:

Chowanoke Crayfish

G Rank:

G3

AFS Status:

Currently Stable

Range:

Orconectes virginienensis is restricted to the Chowan River drainage in southeast Virginia (Fitzpatrick 1967) and extreme northeast North Carolina, plus three localities in the Roanoke drainage in Martin, Bertie, and Halifax counties, North Carolina (NatureServe 2008).

Habitat:

The Chowanoke crayfish is found in sluggish streams flowing through woodlands on sandy or gravelly substrates. Vegetation may be sparse (NatureServe 2008).

Populations:

LeGrand et al. (2006) cite streams and rivers in the Chowan and Roanoke drainages of Bertie, Granville, Halifax, Hertford, Martin, and Northampton Cos., North Carolina. Cooper and Braswell (1995) report it to be one of North Carolina's rarest crayfish. Abundance and range in Virginia are unknown, according to NatureServe (2008).

Status:

According to NatureServe (2008), this species has a status of vulnerable in North Carolina and imperiled in Virginia based on limited range. North Carolina Natural Heritage Program recognized this species as "Special Concern." *Orconectes virginienensis* was a C2 species under the Federal ESA until that list was abolished. It is now a Federal Species of Concern. It is ranked as stable by the American Fisheries Society.

Habitat destruction:

Orconectes virginienensis occurs within 6 miles of an Outlying Landing Field proposed by the U.S. Navy, and its habitat may be affected by increased runoff from this air field (Allie 2009).

Other factors:

This species is potentially threatened by invasive crayfish. According to the South Carolina Department of Natural Resources (2006): "The red swamp crayfish has been introduced to South Carolina and has been observed at several locations in the southeastern plains and coastal plain, but it is unclear how widespread it is in the state. The lack of survey work since its introduction and the difficulty distinguishing the red swamp crayfish from a native crayfish have made it particularly difficult to determine the extent of its introduced range. In North Carolina, it has become established in all drainages in the coastal plain and eastern piedmont plateau and appears to have extirpated all the native crayfish at one location (Cooper 2003). Introduced crayfish are thought to be the biggest threat to native crayfish species (Lodge et al. 2000 a,b) and the risk to our native species is great if further introductions or extensive spread on non-indigenous crayfish occurs."

According to the North Carolina Wildlife Resources Commission (2000): "Nonindigenous crayfishes can affect natives via competition, predation, genetic dilution, and by serving as disease vectors. Further, introductions of nonindigenous crayfishes can enhance the negative effects of environmental change on native species because non-natives are often more tolerant to

environmental degradation. Lodge et al. (2000a) consider nonindigenous crayfish introductions to be the single greatest threat to native crayfish biodiversity worldwide. In Europe, nonindigenous crayfishes have contributed to serious declines and even local extinctions of its 5 native species. In several areas of North America, combinations of environmental degradation and introductions of non-native crayfishes have led to declines in native species, and to the extinction of at least one native crayfish in northern California (Lodge et al. 2000a). During recent decades, at least 3 exotic crayfish species have been introduced into North Carolina; therefore, we are concerned about potential impacts to our ecosystems and native crayfish species.”

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Scientific Name:

Orconectes wrighti

Common Name:

Hardin Crayfish

G Rank:

G2

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Known from only four historical sites prior to 1995, the Hardin crayfish has recently been confirmed at 19 streams (including two of the four historic sites) in Hardin and McNairy Cos., Tennessee (Rohrbach and Withers 2006). NatureServe (2008) reports its range as 100-250 square km (about 40-100 square miles).

Habitat:

The bottom of Robinson Creek is red clay and gravel with a few rocks forming riffles and flow is very slow with large pools of quiet water. Streams are 10-15 feet wide, heavily shaded, with some wayside vegetation and some exposed gravel bars are present. The species occurs in streams dominated by deposits of alluvial gravel. Substrate varies from sand to cobble but sand is never more than co-dominant (Rohrbach and Withers 2006).

Populations:

This species is known from 19 streams in two counties, and total population size is crudely estimated at 1000 - 2500 individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports a short-term increasing trend and a long-term stable trend for this species.

Status:

NatureServe (2008) ranks this species as critically imperiled. The State of Tennessee has listed the species as Endangered. It is ranked as vulnerable by the IUCN and as endangered by the American Fisheries Society.

Habitat destruction:

Rohrbach and Withers (2006) note that many streams in this species' habitat appear potentially and severely impacted by poor agricultural practices, limited riparian buffers, and improper ATV use.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species. Though it is listed by the state of North Carolina, this designation provides no habitat protection. Rohrbach and Withers (2006) report that despite extensive surveys, this species was not found on the Shiloh NMP, although it was expected. They also report that the Robinson Creek population is only marginally protected by Pickwick Landing State Park and TVA Pickwick Reservoir Reservation, and that the same is true for the Chambers Creek population (Rohrbach and Withers 2006).

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Scientific Name:

Oryzomys palustris pop. 1

Common Name:

Pine Island *Oryzomys*

G Rank:

T1

Range:

The total range of the Pine Island *Oryzomys* is less than 250 square km on Pine Island and the adjacent mainland near Ft. Myers in Florida (NatureServe 2008).

Habitat:

The Pine Island *oryzomys* has been detected in marsh habitat with dense emergent vegetation (*Spartina patens*), and in a garbage dump adjoining the wetland (NatureServe 2008). It has also been detected using runways with cotton rats (Layne 1978).

Populations:

The Pine Island *oryzomys* is known from only two elemental occurrences, and has possibly been extirpated from one of the two (NatureServe 2008).

Population Trends:

Populations of the Pine Island *oryzomys* have decreased due to elimination of habitat (NatureServe 2008).

Status:

This mammal is critically imperiled (T1S1) (NatureServe 2008). It is known from only two elemental occurrences, has possibly been eliminated from one of them, and occurs in a habitat and area subject to human population growth and habitat destruction (NatureServe 2008).

Habitat destruction:

This mammal is threatened by habitat destruction from the filling and draining of wetlands for development (NatureServe 2008). Its habitat is also threatened by the invasion of woody plants (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. It occurs on Charlotte Harbor State Reserve.

Other factors:

This mammal is threatened by the spread of woody plants into its marsh habitat (NatureServe 2008). It is also potentially vulnerable to predation from the spread of giant constrictors (Reed and Rodda 2009).

References:

Layne, J. N., editor. 1978. Rare and endangered biota of Florida. Vol. 1. Mammals. State of Florida Game and Freshwater Fish Commission. xx + 52 pp.

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Scientific Name:

Oryzomys palustris pop. 2

Common Name:

Sanibel Island *Oryzomys*

G Rank:

T1

Range:

This mammal occurs only on Sanibel Island in Lee County, Florida (NatureServe 2008).

Habitat:

The Sanibel Island *Oryzomys* occurs near the water's edge in swales and cattail stands in freshwater swamps of artesian origin (NatureServe 2008).

Populations:

All of the elemental occurrences for this mammal are on one barrier island, but there may be several small populations (NatureServe 2008).

Population Trends:

Abundance information is not available for this mammal. Its population has declined by an unknown extent due to habitat destruction.

Status:

This critically imperiled population (T1S1) is known from only one Florida island that is being extensively developed (NatureServe 2008). It is considered to be a Species of Special Concern by the state of Florida.

Habitat destruction:

Habitat destruction is the greatest threat to this mammal which is threatened by the draining and filling of marshes for development and by groundwater withdrawal for human consumption (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species. It is unknown whether any occurrences are appropriately protected and managed (NatureServe 2008). This mammal might occur on Ding Darling National Wildlife Refuge.

Other factors:

The Sanibel Island *oryzomys* is threatened by woody plant invasion of its habitat (NatureServe 2008). It is also potentially threatened by the spread of invasive giant constrictors (Reed and Rodda 2009).

References:

Reed, R.N., and G.H. Rodda. 2009. Giant constrictors: biological and management profiles and an establishment risk assessment for nine large species of pythons, anacondas, and the boa constrictor: U.S. Geological Survey Open-File Report 2009–1202, 302 pp.

Scientific Name:

Oxyethira setosa

Common Name:

Setose Cream and Brown Mottled Microcaddisfly

G Rank:

G2

Range:

The setose cream and brown mottled microcaddisfly is a rare invertebrate endemic to the southeastern United States. It is known from Florida, Alabama, Georgia, and was recently discovered in New Jersey (NatureServe 2008, Cosgrove 2004 as cited in NatureServe 2008). Natural heritage records indicate this species is present in Florida's Liberty, Santa Rosa, and Walton Counties, though Rasmussen (2004) collected just one specimen from a single site in Eglin Air Force Base, in Alabama's Autauga, Covington, Lauderdale, Lowndes, Marion, Mobile, Monroe, and Tuscaloosa Counties, and from an unspecified location near Georgia (NatureServe 2008).

Habitat:

It is found in small streams with high water quality and moderate stream flow gradients, often found below small impoundments (NatureServe 2008).

Populations:

There are an estimated 6-80 occurrences of this species, and total population size is thought to be at least 1000 individuals (NatureServe 2008). The species is considered to be extremely rare in Florida and Georgia, and uncommon in Alabama (NatureServe 2008).

Population Trends:

Population trends are unknown.

Status:

NatureServe (2008) reports that this species is critically imperiled in Florida, but not ranked in Alabama, Georgia, or New Jersey.

Habitat destruction:

Loss and degradation of this species' aquatic habitat are the primary threats to its persistence – pollution and siltation from various anthropogenic sources destroys water quality, and dams and diversions alter hydrological patterns, rendering habitat unsuitable (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), few occurrences are appropriately protected or managed: one population occurs on Eglin Air Force Base, but is not formally protected (Harris et al 1982).

No existing regulatory mechanisms adequately protect this microcaddisfly from the habitat loss that imperils its persistence; though it is considered a species of special conservation concern and is admittedly very rare, this recognition affords the setose cream and brown mottled microcaddisfly no substantial protections.

Other factors:

This microcaddisfly is threatened by water pollution (NatureServe 2008).

References:

Harris, S.C., P.K. Lago, and J.F. Scheiring. 1982. An annotated list of Trichoptera of several streams on Elgin Air Force Base, Florida. *Entomological News* 93(3): 79-84.

Rasmussen, A.K. 2004. Species diversity and ecology of Trichoptera (caddisflies) and Plecoptera (stoneflies) in ravine ecosystems of northern Florida. Unpublished PhD. Dissertation, University of Florida. 130 pp.

Scientific Name:

Percina bimaculata

Common Name:

Chesapeake logperch

AFS Status:

Endangered

Range:

The Chesapeake logperch was historically distributed in the lower Susquehanna River Basin of Pennsylvania and Maryland and the middle to lower Potomac River Basin of Maryland, Virginia and the District of Columbia, USA, but is currently found only in the lower Susquehanna River Basin (Near 2008).

Habitat:

The Chesapeake logperch is found in large rivers or the mouths of tributaries flowing into large rivers. Neely and George (2006) observed the Chesapeake logperch near the mouth of Conowingo Creek, Maryland, where the species was found in a riffle with a steep gradient with large and small boulders over a bedrock substrate.

Populations:

The Chesapeake logperch has been collected in the lower Susquehanna River basin in Pennsylvania and Maryland, including Conowingo, Deer, Broad and Octoraro creeks, as well as Winters Run and the Northeast River, which flow into the Chesapeake Bay (Near 2008). Near (2008) observed that "the species has been sporadically collected in tributaries and the main stem of the lower Susquehanna River in Maryland and Pennsylvania."

Population Trends:

The Chesapeake logperch formerly occurred in the middle and lower Potomac River basin, including frequently in the District of Columbia, but has not been observed in this system since the 1930s (Near 2008). Near (2008) observed that the failure to observe the species in the Potomac since the 1930s "contrasts sharply with Smith and Bean's (1899:186) statement that the species is common 'in gravelly streams' that flow through the District of Columbia."

Status:

Near (2008) concluded that "[g]iven the restricted distribution of the species and the apparent extirpation from a substantial portion of its historical distribution, *P. bimaculata* would be a worthy candidate for protection under the United States Endangered Species Act of 1973." Jelks et al. (2008) classify the Chesapeake logperch as threatened. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the Chesapeake logperch should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) consider the Chesapeake logperch to be threatened based on the present or threatened destruction, modification or reduction in range.

Inadequacy of existing regulatory mechanisms:

The Chesapeake logperch was only recently redescribed (Near 2008) and thus is not afforded regulatory protection. Supporting this conclusion, Near (2008) concluded

"The lack of a sustained concern for the extirpation of *P. bimaculata* from the Potomac River and limited action from state and federal agencies are clearly the result of the species being considered a synonym of *P. caprodes* for over 130 years. *Percina bimaculata* is appropriately regarded as a species driven to obscurity by a taxonomic oversight. This status had removed the species from the working lexicon of ichthyologists and evolutionary biologists working with the diverse North American freshwater fish fauna, as well as appropriate governmental agencies that can initiate important protection and conservation measures."

Other factors:

The Chesapeake logperch has a restricted range in a heavily developed portion of the country.

References:

Jelks, H.J., S.J. Walsh, N.M. Burkhead, S. Contreras-Balderas, E. Díaz-Pardo, D.A. Hendrickson, J. Lyons, N.E. Mandrak, F. McCormick, J.S. Nelson, S.P. Platania, B.A. Porter, C.B. Renaud, J.J. Schmitter-Soto, E.B. Taylor, and M.L. Warren, Jr. 2008. Conservation Status of Imperiled North American Freshwater and Diadromous Fishes. *Fisheries*, V. 33(8): 372-407.

Near, T.J. 2008. Rescued from synonymy: a redescription of *Percina bimaculata* Haldeman and a molecular phylogenetic analysis of logperch darters (Percidae: Etheostominae). *Bulletin of the Peabody Museum of Natural History* 49(1):3–18

Neely, D. A. and A. L. George. 2006. Range extensions and rapid dispersal of *Etheostoma blennioides* (Teleostei: Percidae) in the Susquehanna River drainage. *Northeastern Naturalist* 13:391-402.

SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Percina brevicauda

Common Name:

Coal Darter

G Rank:

G2

Range:

The coal darter was historically distributed in the Coosa, Cahaba, and Black Warrior river systems in the eastern part of the Mobile Bay basin in Alabama (Suttkus et al. 1994). The darter's stronghold is now the main channel of the Cahaba River, primarily above the Fall Line. The coal darter was last collected in the main channel of the Coosa River in 1950 and presently occurs in that system only in Hatchet Creek where it is very rare (Boschung and Mayden 2004). The coal darter has not been seen in the Black Warrior River at Tuscaloosa since 1889, and the only remaining populations in the Black Warrior River system occur in the upper Locust Fork (Boschung and Mayden 2004).

Habitat:

This darter's habitat consists of shallow gravel bars which are commonly blanketed with aquatic plants such as river-weed (*Podostemum ceratophyllum*) and water-willow (*Justicia americana*). It also occurs in shoots and troughs worn in bedrock at the foot of rapids (Boschung and Mayden 2004).

Populations:

This species is known from three river systems, which may represent three separate occurrences. Only the Cahaba River system seems to support a robust population. Populations from the Black Warrior River may be extirpated, but there may be extant populations in the upper Locust Fork (Boschung and Mayden 2004). There are only a few records from the Coosa River, and the status of this population is unknown (Bernie Kuhajda, pers. comm., 1998 cited in NatureServe 2008). The coal darter was last collected in the main channel of the Coosa River in 1950 and presently occurs in that system only in Hatchet Creek where it is very rare (Boschung and Mayden 2004).

A summary provided by the Southeastern Fishes Council for a meeting with Center for Biological Diversity concluded (SFC and CBD 2010): "In a 2001 status survey in Locust Fork by the GSA, Coal darters were found at 11 stations from the first shoal upstream of Bankhead Lake upstream to the new Nectar bridge, and at three stations in Blackburn Fork for a total range of 65 river miles. In a 1998 biological assessment of Locust Fork, coal darters were relatively common at several stations, with up to 40 individuals collected at one station in Locust Fork, at Warrior-Kimberly Road, in 30 minutes. Far fewer coal darters were collected at sampling stations in the 2001 survey. The highest number collected was six taken at Deans Ferry Bridge in 30 minutes."

Population Trends:

Trend is unknown, but this species is extirpated or nearly extirpated from the Black Warrior and Coosa River systems. Impoundments have extirpated populations of this species and separated remaining populations (Boschung and Mayden 2004). A viable population remains in the Cahaba River, but elsewhere populations are small and isolated. The remaining population in the Cahaba is thought to be declining to stable (NatureServe 2008). The Alabama Dept. of Conservation and

Natural Resources (2008) reports that this species may require population augmentation and/or reintroduction to suitable habitats to maintain viability.

Status:

NatureServe (2008) ranks the coal darter as imperiled. The coal darter is ranked as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat degradation and narrow range. Williams et al. (1989), Warren et al. (2000), and Boschung and Mayden (2004) also categorize this species as threatened. At a meeting of the Southeastern Fishes Council and the Center for Biological Diversity, there was agreement that the coal darter should be listed as threatened (SFC and CBD 2010). Shepard (2004) concluded: "Has a disjunct distribution in only three river systems. As a main channel species, vulnerable to chronic water quality and habitat degradation as well as acute impacts such as toxic chemical spills. A single major spill could potentially eliminate this species from Cahaba River, Locust Fork, or Hatchet Creek."

Habitat destruction:

The coal darter is threatened by impoundments, urbanization, forestry, and coal mining (Shepard 2004, NatureServe 2008, SFC and CBD 2010). Impoundments have eliminated populations of this species and continue to isolate remaining populations (Suttkus et al. 1994, Boschung and Mayden 2004). Ongoing urbanization and resulting non-point source pollution and demand for freshwater threaten the remaining viable population(s) in the Cahaba (Shepard 2004, NatureServe 2008). FWS (2007) states that physical alteration of the Cahaba River and water quality degradation present significant challenges to the survival of aquatic biota, citing dams, channelization, dredging, and coal mining as specific threats. Physical alterations to the river have degraded substrates and have led to temperature fluctuations, changes in sediment transport, water depth, and variable stream velocity, and variable dissolved oxygen and pH (FWS 2007). The Cahaba River is also threatened by rapid urbanization and commercial development in Jefferson, Shelby and St. Clair Counties (Ibid.). FWS (2007) states that rampant development of Jefferson and Shelby Counties, and decades of coal mining have degraded river water quality and hydrologic flows that continue to place stress on aquatic species. Jelks et al. (2008) list habitat loss as a threat to this species.

Shepard (2004) concluded that:

"Cahaba River system and Locust Fork system are both experiencing increasingly degraded water quality and habitat conditions. Species has only been collected sporadically in Hatchet Creek in recent years and status of population there unclear. In Cahaba, greatest threats from eutrophication and sedimentation related to urban sprawl in watershed. In Locust Fork system, agricultural activities and surface mining for coal impact habitat and water quality."

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this darter. NatureServe (2008) reports that no occurrences are protected. The darter is a Species of Conservation Need in Alabama, but this designation does not confer regulatory protection.

Other factors:

The major remaining population of this species is threatened by severe pollution (NatureServe 2008). During low water periods especially, the Cahaba River has serious water quality problems. The upper Cahaba River receives approximately half of its flow from wastewater treatment plants,

and encompasses approximately two-thirds of the remaining range of this species (NatureServe 2008). Water quality degradation from impoundments, and siltation from urbanization, silviculture, and mining are known threats (NatureServe 2008).

The species also has a narrow range limited to three systems, where as an annual species it is sensitive to any major disturbances (Shepard 2004).

References:

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- Boschung, H. T., and R. L. Mayden. 2004. Fishes of Alabama. Smithsonian Institution Press, Washington, D.C.
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- Suttkus, R. D., B. A. Thompson, and H. L. Bart, Jr. 1994. Two new darters, *Percina* (*Cottogaster*), from the southeastern United States, with a review of the subgenus. *Occasional Papers of the Tulane Museum of Natural History* 4:1-46.
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Last accessed June 12, 2009.
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Scientific Name:

Percina cymatotaenia

Common Name:

Bluestripe Darter

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

EN - Endangered

Range:

The bluestripe darter occurs in the Osage and Gasconade river drainages in the northern Ozarks of south-central Missouri (NatureServe 2008). It was widely distributed in the Gasconade River system and in the Niangua River, but now inhabits only six streams: Big Piney River, Gasconade River, Roubidoux Creek, Osage Fork, Whetstone Creek, and Niangua River (Page and Burr 1991, Burr and Page 1993, Pflieger 1997).

Habitat:

This species occurs in quiet pools and backwaters with sandy substrate and abundant cover in small to medium rivers. It prefers areas of submerged vegetation or organic debris. It also occurs in areas of submerged vegetation over mud substrate, and is occasionally found along sand or gravel bars in areas of emergent vegetation (Page and Burr 1991). It prefers very slight currents and water depths of 25-140 cm. It has been associated with plant species including *Justicia*, *Ranunculus*, *Myriophyllum*, *Potamogeton*, and *Heteranthera* (Pflieger 1984). Spawning apparently takes place over gravel riffles (NatureServe 2008). Novinger (2006) describes this species' habitat as clear, moderate to swift flowing streams in rocky habitats that are largely free of silt and with sufficient riffle habitats for spawning, where this fish occurs along the periphery of slow runs and pools in aquatic vegetation over silt-free substrate.

Populations:

There are less than 20 extant populations of this species (NatureServe 2008). For the period 1945-1995, Pflieger (1997) mapped approximately 29 collection sites, and several additional historical locations which probably no longer support populations. This species has been recently detected in several distinct occurrences in six streams. Total population size is estimated at 10,000 to more than 50,000 fish, based on the approximate average number of fish seined per 100 linear feet of stream (4.08 darters) in known occupied habitat, and on total occupied stream miles (240 mi) (Pflieger 1984). It is unclear whether occupied stream miles includes intervening inappropriate habitat, which would put the population estimate at the lower end of the range, probably more than 10,000.

Population Trends:

This species is declining in the short-term (10-30 percent), and has experienced a substantial long-term decline of 50-75 percent (NatureServe 2008). It declined rapidly in the first half of the 20th century, but few data are available on recent decades. Based on sampling in 1969 and 1980, Pflieger (1984) concluded the species was in slow decline.

Status:

This fish has been extirpated from several historical locations (Pflieger 1997). It is ranked as imperiled by NatureServe (2008) and by the state of Missouri. Warren et al. (2000) categorized this species as threatened. It is classified as threatened by the American Fisheries Society (Jelks et al. 2008). It is classified as endangered by the IUCN. It is a Federal Species of Concern.

Habitat destruction:

The bluesripe darter requires high water quality and minimally altered hydrologic regime and is thus highly vulnerable to habitat loss and modification (Novinger 2006). This species has a high degree of impending threats associated with loss of stream habitats due to livestock impacts, erosion, urban development, and hydrologic alteration (Novinger 2006). It is threatened by increasing development and conversion of forest to pasture (Pflieger 1984). There is a general trend in the rural Gasconade River watershed toward increased cattle numbers per pastured acre (Blanc 2001). Sand and gravel mining are also a threat (Blanc 2001). The U.S. Forest Service (2009) reports threats to this fish as channelization, impoundment, and gravel dredging. Jelks et al. (2008) list habitat loss as a threat to this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species, and no occurrences are appropriately protected and managed (NatureServe 2008). It is protected as a nongame species by the state of Missouri, but does not have habitat protection in the state. NatureServe (2008) recommends that the Gasconade River be protected from impoundment, and that state water quality standards be strengthened and enforced.

Other factors:

Non-point source pollution threatens this species, particularly from cattle manure, but also from landfills, farms, mining operations, construction sites, forestry, residential septs, and impervious surface in urbanized areas (Blanc 2001). Water quality in the Lower Gasconade River has been rated as poor due to the lack of forested stream corridor (Blanc 2001). This species is also threatened by accidental toxic spills. In December 1988, an oil spill from a broken pipeline near Vienna released hundreds of thousands of gallons of crude oil into the main stem of the Gasconade River (Blanc 2001). This fish is also potentially threatened by pollution from smokes and obscurants used in military training on Fort Leonard Wood (Cropek et al. 2008).

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Scientific Name:

Percina kusha

Common Name:

Bridled Darter

G Rank:

G2

AFS Status:

Endangered

Range:

The bridled darter is endemic to the headwaters of the Coosa River in Georgia and Tennessee, where it is found in the main channel of the Conasauga River in Murray and Whitfield counties, Georgia, and Bradley and Polk counties, Tennessee, three tributaries of the Conasauga River, including Holly Creek, Murray County, Georgia; and Ball Play and Minnewauga creeks, Polk County, Tennessee, in the main channel of the Etowah River in Dawson and Lumpkin counties, Georgia, and in several tributaries of the Etowah, including Amicalola, Little Amicalola, Cochran and Shoal creeks, Dawson County, Georgia (Williams et al. 2007).

Habitat:

Williams et al. (2007) list habitat as small rivers and lower reaches of tributaries with moderate gradient, good water quality, and sand, gravel, cobble and bedrock substrates, adding that the species "is usually found in flowing pools and backwaters adjacent to runs." Within pools, the bridled darter has been observed hovering over underwater objects, such as boulders or woody debris (Etnier and Starnes 1993, Williams et al. 2007). Etnier and Starnes (1993) emphasized that the species is found in small rivers with "exceptional water quality."

Populations:

Williams et al. (2007) show 12 locations for the species and observe that the species "naturally occurs in low abundance."

Population Trends:

Although the historic extent of the species is unknown, Williams et al. (2007) state that "its association with slow-flowing habitats" suggests that the species "could have occurred throughout the Conasauga and Etowah rivers, and possibly in the geographically intermediate Coosawattee River, below the gorge now impounded by Carters Dam and Reservoir." If this is the case, the species has experienced substantial decline.

Status:

Williams et al. (2007) conclude that this species is endangered, stating "considering its very limited distribution in portions of two small rivers and threats to its habitat from municipal and industrial development and forestry and agriculture activities we consider *P. kusha* to be endangered." Likewise, Jelks et al. (2008) list the bridled darter as endangered because of threats to habitat and a narrow and restricted range. NatureServe (2008) lists the species as critically imperiled in both Tennessee and Georgia. The state of Georgia also lists the species as endangered (Albanese 2008). At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the bridled darter should be listed as endangered (SFC and CBD 2010). Albanese (2008) concluded: "This species has very small populations and very limited range within each of the three areas (Etowah River, Conasauga River, and Talking Rock Creek)."

Habitat destruction:

NatureServe (2008) concludes that the bridled darter's habitat "is threatened by municipal and industrial development and forestry and agriculture activities." AFS (Jelks et al. 2008) conclude that the bridled darter is endangered because of the present or threatened destruction, modification, or reduction of the species range. Some populations of this species may have been extirpated by impoundment (Williams et al. 2007). Threatened by urban sprawl and pollution from Atlanta (SFC and CBD 2010). Albanese (2009) concluded:

"Limited geographic range and the species' restriction to clear flowing pools in medium-sized rivers make the bridled darter vulnerable to habitat degradation. Land disturbance associated with residential and urban development in the north Georgia mountains could threaten populations, especially in the upper reaches of the Etowah River and Long Swamp Creek where development is imminent. Failure to follow agricultural best-management practices is a threat to the Conasauga River population."

Inadequacy of existing regulatory mechanisms:

There are few to no regulatory protections for the bridled darter. It is listed as threatened (as *P. macrocephala*) in Tennessee and endangered in Georgia, but these designations fail to provide any protection for the species' habitat. Albanese (2008) concluded that "Public lands in the headwaters of the Etowah and Conasauga river systems provide partial but not complete protection for these two populations." A draft HCP was prepared for the Etowah River that includes the bridled darter, but has never been finalized (Available at <http://www.etowahhcp.org/documents.htm>).

Other factors:

The bridled darter is threatened by pollution from a variety of sources (Williams et al. 2007).

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Scientific Name:

Percina macrocephala

Common Name:

Longhead Darter

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The longhead darter is a small freshwater fish species endemic to the central Appalachian region, New York, and Pennsylvania. It is found in substantial numbers in West Virginia's Elk River system (NatureServe 2008) and relatively common in the Allegheny River in Pennsylvania, but less so elsewhere in the state (Felbaum et al. 1995, Page and Near 2007, NatureServe 2008), rare in Kentucky, and rare and extirpated from many locations in Tennessee (Burr and Warren 1986, Etnier and Starnes 1993). Page and Near (2007) observed that the species "has been extirpated from much of its range" and "appears to be common only in the Allegheny River, Pennsylvania."

Habitat:

The longhead darter is found primarily in creeks and medium-sized rivers with moderate flow gradients, often in pool or riffle habitat (NatureServe 2008). This species favors boulder- and cobble-strewn pools above or below deep, fast-flowing riffles (Burr and Warren 1986). It is intolerant of polluted conditions (PA Fish and Boat Commission 1997).

Ecology:

Spawning takes place in spring (March-May) in gravel shoal habitat; eggs are buried in the substrate and adults do not engage in any parental care (NatureServe 2008). Sexual maturity is reached at approximately 2 years of age. Total lifespan is approximately 3-4 years (Page 1978, Etnier and Starnes 1973, Jenkins and Burkhead 1994). Both adults and juveniles consume invertebrates, including crayfish and insect larvae, foraging primarily in benthic habitat beneath rocks and in substrate (Page 1978, 1983). The longhead darter is a non-migratory species (NatureServe 2008).

Populations:

NatureServe (2008) estimates that there are approximately 25 existing occurrences of *P. macrocephala* across its range. The total adult population size of *P. macrocephala* is not known. This species is generally described as widespread but rare and highly localized (Page and Burr 1991, Etnier and Starnes 1993). It was recently established that the populations formerly considered to be *P. macrocephala* included a separate species, *P. williamsi*, reducing the total population size of the longhead darter (Page and Near 2007). Even before this discovery, Etnier and Starnes (1993) asserted that *P. macrocephala* warranted "threatened" status across its range. Because of temporally varied observations of population size, it has been suggested that the population dynamics of this species may be somewhat cyclic, which could lead to erroneous estimates of population density or viability (NatureServe 2008).

Population Trends:

The species was extirpated from the Cumberland by impoundment in the 1930s and more recently other locations by habitat degradation (Page and Near 2007, NatureServe 2008).

Status:

NatureServe (2008) lists the longhead darter as critically imperiled in Kentucky, and New York, imperiled in Pennsylvania, Tennessee, and West Virginia, and reports that it is extirpated from Ohio. The longhead darter is state-listed as endangered in KY, threatened in TN, NY, and of special concern in NC. Although the precise degree of decline is unknown, the extent of occurrence, total area of occupancy, number of subpopulations, and population size have all declined over the long term (Page 1978, Trautman 1981, Burr and Warren 1986, NatureServe 2008). This species is likely extirpated from the Cumberland and Kentucky Rivers in Kentucky (Burr and Warren 1986). It has also been extirpated from several rivers and streams in Tennessee, including the Cumberland River (Page 1978, Etnier and Starnes 1993). Jelks et al. (2008) list the species as vulnerable.

Habitat destruction:

Widespread threats to the longhead darter include increased pollution, turbidity, and siltation from agriculture, industry, and residential development, and habitat fragmentation and degradation by the construction of impoundments or channelization of rivers or streams (Page and Near 2007, NatureServe 2008). The clearing of woody debris from riverbanks or channels to facilitate boat passage also degrades this species' habitat (NatureServe 2008).

The degradation of riparian and aquatic habitat by livestock poses a threat in Kentucky, Tennessee, New York, and Pennsylvania (NatureServe 2008). Populations of the longhead darter in New York are most threatened by silt deposition from agricultural runoff that smothers eggs and juvenile life stages (NYSDEC pers. comm. as cited in NatureServe 2009). Acid mine drainage has degraded significant habitat in the Ohio River Basin (PA Fish and Boat Commission 1997). More southerly populations are also affected by agriculturally related pollution, but mining (particularly coal mining) is an unparalleled threat to aquatic species in the areas where it occurs (West Virginia), even more so since the advent of mountaintop removal (MTR) (NatureServe 2008). Numerous populations in the southern portion of this species' range are also isolated by impoundments, dams, and other barriers to dispersal (NatureServe 2008).

This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Jelks et al. (2008) classify the species as vulnerable based on the present or threatened destruction, modification, or reduction in habitat or range.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the longhead darter from the variety of threats it currently faces. Though it is listed as endangered, threatened, or as a species of special concern in several states, these designations have little meaning in terms of substantial regulatory protection for the species' habitat. NatureServe (2008) reports that few occurrences are protected or managed adequately.

Other factors:

This species' narrow habitat preference reduces its resilience to habitat loss and degradation, making the restoration and protection of suitable habitat critical to its persistence (NatureServe 2009).

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Scientific Name:

Percina nasuta

Common Name:

Longnose Darter

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The longnose darter is sporadically distributed in the St. Francis, White, Arkansas, and Ouachita river drainages in the Ozark and Ouachita uplands of southern Missouri, northern Arkansas, and eastern Oklahoma (Page and Burr 1991). In Arkansas, it occurs in the Ouachita, Arkansas, Little Red, and White river systems, and in Frog Bayou/Clear Creek, Mulberry River, War Eagle Creek, Big Piney Creek, Illinois Bayou Drainage, Caddo River, and South Fourche la Fare River (Robison 1992a and 1992b). It occurs in Lee Creek in Arkansas and Oklahoma. In Missouri, it occurs in the St. Francis River.

Habitat:

This fish occurs in clear, small to medium rivers. During spring it occurs in gravel and rubble riffles, but during fall moves to slower quieter waters over sand and silt. It has also been reported from an impoundment (NatureServe 2008).

Populations:

Prior to 1980 there were 21 collection sites for this species (Lee et al. 1980). In Arkansas, this species was documented at 39 sites and 21-100 estimated extant occurrences, but many of these records have been re-identified as a new species, decreasing the formerly widespread Arkansas range. In Oklahoma, 30 collection sites were recorded from 1939-1989, with an estimated 0-5 extant occurrences being in good condition (C. Vaughn, pers. comm., 1997 cited in NatureServe 2008), but Lee's Creek may be the only remaining population in Oklahoma (Wagner et al. 1984). In Missouri this species was last collected in 1987 when one individual was detected in the St. Francis River. This fish is extirpated in the White River in Missouri, and may also be extirpated in the St. Francis (J. Sternburg, pers. comm., 1997 cited in NatureServe 2008).

Total population size is unknown and is difficult to determine. This fish is uncommon (Page and Burr 1991), occurs in small localized populations, and is not abundant at any locality (Wagner et al. 1984, Robison 1992a and 1992b).

Population Trends:

The longnose darter has declined by up to 30 percent in the short-term and has experienced a long-term decline of 25-75 percent (NatureServe 2008). Buchanan (1984) noted that numbers have been drastically reduced and a number of populations have been extirpated over the past 22 years. In Oklahoma, this fish is extirpated from the Poteau River System and there is only one remaining population in the state (Robison 1992b). In Missouri, this species may be extirpated in the St. Francis, White, and Poteau river systems (Stewart 1993). It has not been detected in the White River watershed since the mid-1950's and was last seen in the St. Francis in 1987. The Missouri Dept. of Conservation (2010) states that the longnose darter is extremely rare and vulnerable to extirpation from the state. The longnose darter is believed to be stable in Arkansas, but may be extirpated in the Little Missouri River. In surveys in the early 1990's it was detected in all previously occupied river systems except for the Little Missouri; it might still be extant in the

Little Missouri but at reduced numbers (Robison 1992a).

Status:

The longnose darter is critically imperiled in Missouri and Oklahoma and imperiled in Arkansas (NatureServe 2008). This rare fish has been extirpated from entire river systems and is vulnerable to further extirpations. It is ranked as threatened by the American Fisheries Society (Jelks et al. 2008) due to habitat loss and degradation. It is listed as endangered by the state of Missouri.

Habitat destruction:

Impoundment is a primary threat to the longnose darter. The construction of reservoirs has extirpated or reduced populations of this species (Buchanan 1984, Wagner et al. 1984, Robison 1992a and 1992b, Stewart 1993). This species was likely extirpated from the Missouri portion of the White River watershed by Table Rock Dam. The range of the longnose darter has also been negatively impacted by the inundations of Beaver Lake (Missouri Dept. of Conservation 2010). Water quality changes resulting from existing impoundments and a proposed impoundment on Lee Creek are ongoing threats (Stewart 1993). This fish is also threatened by gravel and sand mining, and channel modification for flood control (Stewart 1993). The Arkansas Game and Fish Commission (2005) lists threats to this species as channel alteration, dams, sedimentation, grazing, resource extraction, and road construction. The Missouri Dept. of Conservation (2010) reports that fish in the White River watershed are threatened by urbanization, livestock grazing, gravel mining, and reservoir operations. Jelks et al. (2008) list habitat loss as a threat to this species.

Inadequacy of existing regulatory mechanisms:

The longnose darter is not protected by any existing regulatory mechanisms. It is listed as endangered by the state of Missouri, and as a Species of Special Concern in Arkansas, but these designations do not confer substantial regulatory protection to the species or its habitat. It occurs on Ouachita National Forest which conveys some level of protection, but leaves the species vulnerable to impacts from logging and recreation.

Other factors:

The longnose darter is threatened by pollution including pesticides, agricultural runoff, municipal and industrial discharges, and sedimentation (Buchanan 1984, Wagner et al. 1984, Robison 1992a, 1992b, Stewart 1993). The Missouri Dept. of Conservation (2010) reports that fish in the White River watershed are threatened by point and non-point source pollution, particularly from municipal sewage spills and poultry operations. This fish is threatened by past and ongoing metal contamination from the Big River Mine Tailings Superfund Site (FWS 2009).

This species is vulnerable to extirpation due to low population sizes and low densities, and is susceptible to stochastic events such as drought (Wagner et al. 1984, Robison 1992a, 1992b).

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Scientific Name:

Percina sipsi

Common Name:

Bankhead Darter

G Rank:

G1

AFS Status:

Threatened

Range:

The bankhead darter has a highly restricted distribution limited to four streams in the Sipsy Fork of the Black Warrior River, including Borden, Brushy and Caney Creeks, and the Sipsy Fork itself (Mettee et al. 1996, Boschung and Mayden 2004, Kuhajda 2004, Williams et al. 2007, NatureServe et al. 2008).

Habitat:

The bankhead darter occurs in large upland streams with moderate current and rocky substrates and is frequently associated with woody debris (Kuhajda 2004, Boschung and Mayden 2004, Williams et al. 2007).

Populations:

The bankhead darter is limited to four streams, where it is not abundant (Boschung and Mayden 2004, Kuhajda 2004, Williams et al. 2007).

Population Trends:

Jelks et al. (2008) listed the bankhead darter as declining and changed its status from threatened to endangered. Prior to recent declines, the species lost range to the Lewis Smith Reservoir (Kuhajda 2004, Williams et al. 2007).

Status:

NatureServe (2008) lists this fish as critically imperiled, noting that "abundance is low," that its "limited distribution makes it vulnerable to localized habitat alterations," and that "sedimentation from poor forestry management practices is a threat." Jelks et al. (2008) lists it as endangered. Williams et al. (2007) concluded:

"We consider *P. sipsi* to be highly endangered based on its restricted distribution, rarity within the occupied range, habitat vulnerability, and absence of downstream habitat for future recovery. *Percina sipsi* is extremely vulnerable and needs continuous monitoring and proactive management actions to prevent extinction."

At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was a strong consensus that the bankhead darter should be listed as endangered.

Habitat destruction:

Jelks et al. (2008) lists the bankhead darter as endangered because of the "present or threatened destruction, modification, or reduction of a taxon's habitat or range." The species' range was curtailed by construction of the Lewis Smith Dam in 1960, which flooded habitat in the Sipsy Fork (NatureServe 2008). Logging and road construction are both currently threats to the bankhead darter (Kuhajda 2004). Clearcutting and excessive sedimentation are the main threat to this species and warrant its listing as endangered (SFC and CBD 2010).

Inadequacy of existing regulatory mechanisms:

This species is found entirely on the Bankhead National Forest, which provides some level of protection (Williams et al. 2007), but does not necessarily protect this fish from the impacts of logging, roadbuilding, and recreation. Williams et al. (2007) describe this species as "extremely vulnerable" and in need of "continuous monitoring and proactive management actions to prevent extinction."

Other factors:

Jelks et al. (2008) list the bankhead darter as endangered because of "a narrowly restricted range."

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Scientific Name:

Percina sp. cf. palmaris

Common Name:

Halloween Darter

G Rank:

G2

AFS Status:

Vulnerable

Range:

The halloween darter is endemic to the Apalachicola River drainage in the Flint River system in Georgia and the Chattahoochee River system in Alabama and Georgia (Freeman et al. 2008). In the Flint, it occurs in the mainstem above and below the Fall Line and in at least four tributaries, including Lazer, Potato, Muckalee, and Ichawaynochaway Creeks (Ibid.) In the Chattahoochee, it occurs in two disjunct areas: one in the upper watershed in the mainstem of the river, as well as the Chestatee River and Sautee Creek, and another in the Uchee Creek system in Alabama (Ibid.)

Based on this disjunct distribution, Freeman et al. (2008) hypothesized that the halloween darter occurred more widely in the Chattahoochee prior to construction of a number of dams, and expansion of Atlanta with concurrent impacts on water quality. They also believe it may have occurred more widely on the Coastal Plain prior to clearing of the Chattahoochee and Flint Rivers of rock shoals and other obstacles to navigation.

Likewise, Johnston and Kuhadja (2002) concluded:

"Distribution significantly fragmented and restricted to four isolated stream reaches in Apalachicola River drainage. Assuming a continuous presettlement distribution between these four isolated populations, this species has disappeared from vast majority of former distribution primarily due to construction of impoundments."

Habitat:

The halloween darter is found in swift currents over bedrock or coarse boulder or gravel substrates, otherwise known as shoal habitats (Marcinek 2003, Freeman et al. 2008)

Populations:

The halloween darter is still abundant in shoals of the upper Flint above the Fall Line, but is less common and occurs in fewer localities in the lower Flint or in the Chattahoochee system (Marcinek 2003, Freeman et al. 2008).

Population Trends:

The halloween darter is believed to have been extirpated from a major portion of its range in the Chattahoochee River because of impoundments and channel modification for navigation (Johnston and Kuhajda 2002).

Status:

Both Warren et al. (2000) and Jelks et al. (2008) classified the halloween darter as vulnerable. Johnston and Kudajda (2002) classified it as a species of highest conservation concern. It is listed as threatened by the state of Georgia. NatureServe (2008) classifies the halloween darter as critically imperiled in Alabama and imperiled in Georgia. Population fragmentation caused by dams is considered a primary threat to the species (Johnston and Kuhajda 2002, Freeman et al.

2008). At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the halloween darter should be listed as threatened because of population fragmentation, range loss and severe threats (SFC and CBD 2010).

Habitat destruction:

The halloween darter faces numerous threats to its habitat, including water withdrawal, pollution and sedimentation related to urbanization and other land use (Johnston and Kuhajda 2002, Freeman et al 2008, SFC and CBD 2010). In particular, the halloween darter is threatened by urban sprawl from metropolitan Atlanta. Freeman et al. (2008), for example, state:

"Present threats to the persistence of *P. crypta* primarily consist of effects of urban growth on stream hydrology and water quality, particularly in the north Georgia mountains and in the vicinity of the Atlanta Metropolitan area. The Flint River headwaters originate in Atlanta, and population growth in the upper Flint River system is expected to place increasing demands on the river system for water supply and waste assimilation. Similarly, population growth in the Blue Ridge province of North Georgia will affect water availability and quality in the Chattahoochee River headwaters."

In relation to an increased human population and increased demand for water, a series of new impoundments are proposed for the Atlanta area, which further threaten the holiday darter. Johnston and Kuhajda (2002) further identified declining habitat quality in the Uchee Creek system as a serious threat to the species.

Inadequacy of existing regulatory mechanisms:

The halloween darter is considered a threatened by the state of Georgia and a species of highest conservation concern in Alabama (Freeman et al. 2009). Neither of these designations, however provide protection for the halloween darter's habitat. No other protections are in place for this species.

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Scientific Name:

Percina williamsi

Common Name:

Sickle Darter

G Rank:

G2

AFS Status:

Threatened

Range:

The sickle darter historically occurred in the upper Tennessee River of Tennessee, Virginia and North Carolina, including the French Broad, Emory, Holston and Clinch Rivers (Page and Near 2007). The species has been extirpated from streams where it was previously collected and is considered extirpated in North Carolina (Page and Near 2007).

Habitat:

The sickle darter occurs in flowing pools over rocky, sandy, or silty substrates in clear creeks or small rivers most often in association with woody debris, vegetation or boulders (Page 1978, Etnier and Starnes 1993, Jenkins and Burkhead 1994, Page and Near 2007). Page and Near (2007) note that: "as its fusiform shape suggests, it spends most of its time swimming in current in the water column" with the prominent black stripe on its side "characteristic of darters living near vegetation in flowing pools."

Populations:

According to Page and Near (2007), the sickle darter "can be observed with regularity in a few streams, but populations are widely scattered and the species has been extirpated from several streams where it was collected in the late 1800s and early to mid-1900s." In total, Page and Near (2007) identify a mere 15 localities where the species has been collected over the past roughly 30 years.

Population Trends:

The species is known to have disappeared from several streams and is considered extirpated in North Carolina, indicating species decline (Etnier and Starnes 1993, Page and Near 2007, NatureServe 2008).

Status:

The sickle darter is considered extirpated in North Carolina, critically imperiled in Virginia, and imperiled in Tennessee (Etnier and Starnes 1993, Jenkins and Burkhead 1994, NatureServe 2008). Jelks et al. (2008) list the species as threatened based on the present or threatened destruction, modification or reduction of habitat or range. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the sickle darter should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

Page and Near (2007) identify "increased turbidity and siltation resulting from agricultural, industrial, and municipal development" as likely threats to the sickle darter, noting that these factors are "the ultimate result of population growth in *Homo sapiens*." Other threats include chemical pollution and impoundment, which has isolated many populations (Burkhead and Jenkins 1991, NatureServe 2008). Jelks et al. (2008) identify the sickle darter as threatened based on the present or threatened destruction, modification or reduction in habitat or range.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) determines that "few occurrences are appropriately protected and managed," identifying a population in the Little River, Tennessee as receiving protection from Great Smoky National Park. This one population, however, is not sufficient to ensure the continued existence of the species. The species is listed as threatened by the state of Tennessee, but this designation does not provide any protection for the species' habitat.

Other factors:

The sickle darter is restricted to a small number of isolated locations in the upper Tennessee River and is thus highly vulnerable to stochastic genetic and environmental events. Water pollution also threatens this species (Page and Near 2007).

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Scientific Name:

Phaeophyscia leana

Common Name:

Lea's Bog Lichen

G Rank:

G2

Range:

Lea's bog lichen is a rare lichen found only in floodplains of the Ohio River Valley. It is found in Illinois, Kentucky, Pennsylvania, and Tennessee, and was once also present in Ohio (NatureServe 2008). It is currently known in Gallatin, Massac, Pope, and White Counties in Illinois, Posey County in Indiana, and Ballard and Livingston Counties in Kentucky (NatureServe 2008).

Habitat:

This lichen is epiphytic, growing below the spring high-water mark on the bark of several species of trees, including the eastern cottonwood, *Populus deltoides*, the bald cypress, *Taxodium distichum*, and species in the genera *Acer*, *Carya*, *Liquidambar*, and *Ulmus* in bottomland or floodplain forests, and along rivers or oxbow lakes (NatureServe 2008, Skorepa 1984). It reportedly grows only on the trunks of trees inundated by seasonal flooding (Skorepa 1984).

Ecology:

Reproduction is primarily asexual, and it is therefore unknown how many genetically distinct populations exist.

Populations:

There are eight sizable known populations of this species, each comprised of thousands of thalli, though the number of genetically distinct individuals in these populations is not known (NatureServe 2008).

Population Trends:

Long-term population trends are not known, but short-term fluctuations are reported (NatureServe 2008).

Status:

NatureServe (2008) ranks Lea's bog lichen as critically imperiled in Illinois, Kentucky, Ohio, and Tennessee. Its status is under review in Pennsylvania. This species is state-listed as threatened in Illinois.

Habitat destruction:

Populations of this species are declining because of heavy river traffic, flooding, and bank erosion that kills the trees that provide substrate for the Lea's bog lichen. The most significant threats include irregular major flooding and wakes left by river traffic, both of which erode riverbank habitat and kill the trees that host *P. leana* (Wilhelm and Masters 1994). These irregular floods are a result of alterations in hydrologic flow caused by dams, locks, or other impoundments, and are exacerbated by land clearing or wetland drainage that removes natural flood control mechanisms (Gillespie and Methven 2002). Many backwater sloughs and oxbow lakes that provide suitable habitat for *P. leana*'s host trees have been drained or are plagued by eutrophication or sedimentation; silt and sediment may coat tree surfaces or smother *P. leana* (Gillespie and Methven 2002). Reduced water flow may also compromise populations of *P. leana*:

periodic flooding must be adequate to suppress shrubby undergrowth that would otherwise preclude *P. leana*'s growth on the low parts of tree trunks (Wilhelm and Masters 1994). This species has been extirpated from Ohio, where it was historically present in several watersheds, although no sources report the specific cause of extirpation (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Lea's bog lichen; it is listed as threatened only in Illinois, a designation that nonetheless affords it no significant regulatory protection.

Other factors:

This lichen is threatened by sedimentation and eutrophication from flooding, impoundment, and other sources (Gillespie and Methven 2002).

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Scientific Name:

Phoxinus saylori

Common Name:

Laurel Dace

G Rank:

G1

AFS Status:

Endangered

Range:

The Laurel dace is only known from six streams on the Walden Ridge portion of the Cumberland Plateau in Tennessee, including Soddy, Horn Branch of Rock, Cupp, Youngs, Moccasin and Bumbee Creeks (Skelton 2001). It is extirpated from the Laurel Branch of Rock Creek with remaining populations extremely localized, occupying reaches of 0.3 to 8 km in length (Skelton 2001, Strange and Skelton 2005).

Habitat:

The Laurel dace occurs on cobble, rubble or boulder substrates in pools or slow runs associated with undercut banks or beneath boulders in small, cool (less than 26 degrees C), headwater streams surrounded by dense riparian vegetation (Skelton 2001).

Populations:

The laurel dace is limited to six discrete stream populations. Recent surveys of streams occupied by the laurel dace suggest serious cause for concern in three of the six creeks occupied by the species. Surveys in 2004 found a single juvenile in the 2.5 km section of Soddy Creek historically occupied by the species, a single juvenile in an unnamed tributary to Horn Branch, where no fish were found in the pool historically occupied by the species, and no individuals in Cupp Creek (Strange and Skelton 2005).

Population Trends:

The laurel dace has apparently experienced dramatic declines in the last 10-30 years in three of the six streams in which it occurs (Strange and Skelton 2005). In 1995-1996, for example, 19 laurel dace were collected in Cupp Creek, but in 2004, no laurel dace could be found. In both Soddy and Horn Branch Creeks, only one juvenile was found in each in 2004. By comparison, Skelton collected 52 laurel dace in 1993-1994 in Soddy Creek, as well as observing a number of the species in 1996 and 1997, and nearly 2,000 of what are now presumed to be laurel dace were collected from Horn Branch in 1976 with surveys by Skelton in the 1990s regularly producing 30 to 50 fish (Strange and Skelton 2005).

Status:

Jelks et al. (2008) list the species as endangered, the U.S. Fish and Wildlife Service lists the species as a candidate for listing, Tennessee lists it as endangered, and NatureServe (2008) lists it as critically imperiled. There can be no doubt that the laurel dace is in immediate danger of extinction. At a meeting of the Southeastern Fishes Council and Center for Biological Diversity, there was agreement that the laurel dace should be listed as threatened (SFC and CBD 2010).

Habitat destruction:

The laurel dace is well known to be threatened by logging, road construction, blockage of habitat by culverts, mining, agriculture, and clearance of riparian vegetation (Skelton 2001, Strange and Skelton 2005, NatureServe 2008). Skelton (2001), for example, states:

"Agriculture, mining, and timbering have been widespread on Walden Ridge, and it is likely that additional populations may have existed before these types of human activities began. Extensive timber harvesting and some agriculture are ongoing in the vicinity of some *P saylori* populations. A large area surrounding the headwaters of Horn Branch of Rock Creek has recently been clearcut, as has an approximately 200 m stretch adjacent to the type locality. Further cutting is proposed near the type locality in the next four years (W. Boyd, Bowater Newsprint, pers. comm.). Increased siltation from these activities is already evident and has the potential of destroying available spawning areas."

Skelton goes on to note that:

"An encouraging note about *P saylori* is that the species seems to be fairly tolerant of a wide range of physical conditions. They are apparently tolerant of low pH values (lowest recorded was 5.4 in Horn Branch) and presumably tolerant of some siltation. Most of the areas adjacent to streams where *P saylori* occurs have been clearcut at one time or another and at least some of the populations have survived. Currently, the individual populations appear to be fairly secure. However, since there are only six known populations, a single catastrophic event could significantly reduce the species range."

Conclusions about the tolerance of the laurel dace, however, may have been premature, as only one juvenile laurel dace was found in Horn Branch.

According to Strange and Skelton (2005) siltation is a threat to all six of the populations of laurel dace. They note, for example, that extensive clear-cutting and road construction adjacent to Bumbee Creek resulted in a heavy sediment load in the stream and that conditions deteriorated between 2002 and 2005.

FWS (2007) further notes that "habitat destruction and modification also stems from existing or proposed infrastructure development," and specifically identifies existing culverts proposed water lines and a proposed impoundment in the Soddy Creek watershed as all threatening the laurel dace.

The Laurel dace is also threatened by mountaintop removal coal mining which can fill in streams entirely and can cause significant downstream pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Elevated selenium concentrations downstream of mountaintop removal operations can cause teratogenic deformities in larval fish (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, eliminating food sources for fish (Wood 2009).

Consistent with these observations, Jelks et al. (2008) list the dace as endangered because of the present or threatened destruction, modification, or reduction of habitat or range.

Disease or predation:

FWS (2007) cited an observation by Skelton that declines of laurel dace in Horn Branch appeared to be correlated with an increase of green sunfish in the creek and that perhaps these predatory fish are a threat to the species in this and other creeks.

Inadequacy of existing regulatory mechanisms:

FWS (2007) concluded that there were no effective regulations for protecting the laurel dace from logging on the private lands covering most to all of its range. Specifically, they noted that landowners are exempt from obtaining permits for forestry activities, which "hinders TDEC-WPC's [Tennessee Department of Environment and Conservation, Division of Water Pollution Control] ability to target

forest operations from random inspections to ensure that BMPs are effective at preventing point source pollution from occurring due to forestry activities" They further note that "adherence to BMPs is strictly voluntary" and that "the only opportunity for enforcement would be after a violation has occurred."

FWS (2007) further notes that the laurel dace's listing as endangered under the Tennessee Nongame and Endangered or Threatened Wildlife Species Conservation Act of 1974 (Tennessee Code Annotated §§ 70-8-101-112) is "inadequate for the protection of" laurel dace because "it only requires parties to consider alternatives before knowingly destroying the habitat of it or other species listed by the State of Tennessee as threatened or endangered."

Other factors:

Jelks et al. (2008) list the laurel dace as endangered in part because it is limited to a narrow, restricted range. FWS (2007) concludes that "the laurel dace's limited geographic range and apparent small population size in half of the streams it inhabits leaves the species extremely vulnerable to localized extinctions from intentional or accidental toxic chemical spills or other stochastic disturbances and to decreased fitness from reduced genetic diversity," noting that a report of a fish kill in Cupp Creek by a local landowner provides evidence of the possibility for such disturbances. They also note that the restricted range of the species makes it "more likely to suffer loss of genetic diversity due to genetic drift, potentially increasing their susceptibility to inbreeding depression and decreasing their ability to adapt to environmental changes."

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Scientific Name:

Physostegia correllii

Common Name:

Correll's False Dragon-head

G Rank:

G2

Range:

This plant was formerly widespread throughout the Gulf Coast but is now declining. Correll's false dragon-head is a wetland perennial now known only from southern Louisiana and Texas; populations may still exist in northern Mexico (Coahuila, Nuevo Leon, and Sonora) but have not been recently confirmed (Center for Plant Conservation 2009, Irving 1980). The single remaining occurrence in Texas is found in Travis County (Singhurst 2001 as cited in Center for Plant Conservation 2009), and populations may still be found in Louisiana's Cameron, St. Charles, St. James, and St. Tammany Counties, though most of these occurrences have not been confirmed in the past decade (NatureServe 2008).

Habitat:

The false dragon-head is found in wet, silty clay loams along streamsides, creekbeds, irrigation channels and drainage ditches. This plant may establish in anthropogenic habitats if conditions are suitable (NatureServe 2008). It is often found in association with Johnson grass (*Sorghum* spp.), spike rush (*Eleocharis* spp.), alligatorweed (*Alternanthera philoxeroides*), and cottonwood (*Populus* spp.) (Irving 1980).

Ecology:

This plant is perennial, self-compatible, and often reproduces via rhizomes; populations may thus exhibit low genetic diversity. It is primarily bee-pollinated, and flowers in June and July (Center for Plant Conservation 2009).

Populations:

Fewer than 5 occurrences of this species have been confirmed in the past decade, and several historical occurrences have not been recently confirmed. Total population size is not known but is likely quite small (NatureServe 2008).

Population Trends:

Trend has not been formally reported for this species, but it appears to be in serious decline (NatureServe 2008, Center for Plant Conservation 2009).

Status:

This plant was formerly widespread, but its range appears to be contracting; most historical occurrences have not been recently confirmed. NatureServe (2008) ranks the Correll's false dragonhead as critically imperiled in Louisiana and imperiled in Texas.

Habitat destruction:

The wetland habitat preferred by *P. correllii* is threatened mainly by logging and/or conversion to silvicultural plantations; because this species often establishes in human-made habitats (drainage ditches, irrigation channels) it is exposed to frequent disturbance (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Correll's false dragon-head or its habitat.

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Scientific Name:

Plagiochila caduciloba

Common Name:

Gorge Leafy Liverwort

G Rank:

G2

Range:

The gorge leafy liverwort is endemic to a narrow range in the southern Appalachians in Tennessee, North Carolina, South Carolina, Georgia, and Kentucky. Natural heritage data show records of this species in Burke, Clay, Graham, Haywood, Jackson, Macon, Transylvania, and Yancey Counties, North Carolina (Boyer 1991), Rabun County, Georgia, and Oconee and Pickens Counties, South Carolina (NatureServe 2008). This species is most common in the core of its range, the Escarpment region of North Carolina (Boyer 1991, as updated 1992 and 1996).

Habitat:

The liverwort is most frequently found on vertical rock walls or on the underside of projecting ledges in damp areas near waterfalls, in sheltered sites within rocky gorges, ravines, or ridges (Schafale and Weakley 1990). It prefers shade and high humidity, and no direct sunlight. It is found near the spray zone, but not within it, and preferred rock substrate is not calcareous (Boyer 1991). Soils are rocky and acidic within this habitat, and forest canopy is dense and often dominated by birch (e.g., *Betula lenta*, *Betula alleghaniensis*), tulip (*Liriodendron tulipifera*), hemlock (*Tsuga canadensis*), red maple (*Acer rubrum*), and red oak (*Quercus rubra*), with a well-developed shrub layer and sparse herb layer. The liverwort occurs at elevations up to 1500 m (Hicks and Amoroso 1996).

Ecology:

The liverwort requires undisturbed, shaded, humid habitat (NatureServe 2008). It is largely maintained and propagated by asexual reproduction.

Populations:

This liverwort is currently known from approximately 21 occurrences-- one in Kentucky, two in Tennessee, four in South Carolina, and 13 in North Carolina (Hicks and Amoroso 1996). Global population size is not known.

Population Trends:

NatureServe (2008) reports that this species is in decline and has been extirpated from some historical sites.

Status:

This liverwort is endemic to a narrow range within which it is known from relatively few occurrences and reportedly in decline. NatureServe (2008) ranks the gorge leafy liverwort as critically imperiled in Georgia and South Carolina and imperiled in North Carolina and Tennessee.

Habitat destruction:

The liverwort's habitat is threatened primarily by silvicultural management: as an ecological specialist, this species is greatly affected by changes to its habitat (Boyer 1991, updated 1992, 1996, NatureServe 2008). Some individuals or populations may be destroyed by recreational trampling (Hicks and Amoroso 1996).

Inadequacy of existing regulatory mechanisms:

Some populations of this species are located in Great Smoky Mountains National Park, where they are protected from timber harvest but vulnerable to recreational impacts (Hicks and Amoroso 1996). Several populations occur on National Forest lands, where they are ostensibly protected but remain vulnerable to timber harvest activities (Boyer 1991). No existing regulatory mechanisms adequately protect this species or its habitat; though it is listed as a species of special concern in Georgia and as a sensitive species on the Nantahala National Forest, these designations offer it no substantial protections.

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Scientific Name:

Plagiochila sharpii ssp. *sharpii*

Common Name:

Sharp's Leafy Liverwort

G Rank:

T3

Range:

Sharp's leafy liverwort is endemic to the southern Appalachian region in North Carolina, Tennessee, and South Carolina's escarpment region (NatureServe 2008).

Habitat:

This liverwort forms dense mats on boulders and rock outcroppings in the escarpment gorges of the Whitewater and Chattooga Rivers, and may occur at lower abundance at other, less suitable sites (Hicks 1996).

Populations:

This liverwort is known from 42 historical sites, only ten of which are extant. Of the ten extant occurrences, there are two in Tennessee, four in North Carolina, one in Georgia, two in gorges on the state boundary between the Carolinas, and one in a gorge that forms the state boundary between South Carolina and Georgia (Hicks 1996).

Population Trends:

This liverwort is extant at only 10 of 42 historical sites, representing a decline of nearly 75 percent. There are robust occurrences at some undisturbed sites. This liverwort has been reported from the spruce-fir zone of the Appalachians but has not been located there, which could be due to it never having been there or to the dramatic changes in that habitat due to adelgid infestation (Hicks 1996).

Status:

The liverwort is nearly restricted to deep, dense forests of the southern Appalachians. It has not been ranked by NatureServe.

Habitat destruction:

This liverwort has disappeared from three-quarters of its historic locations, though no specific causes are cited. Any changes in the streams in the area of *Plagiochila sharpii* distribution would threaten this plant's habitat, including logging, clear cutting and roadbuilding. Impoundment is also a potential threat (Hicks 1996). If this species occurs in the spruce-fir zone where it has been reported but not confirmed, that habitat is widely threatened by adelgid infestation (Hicks 1996).

Inadequacy of existing regulatory mechanisms:

The majority of the occurrences of *Plagiochila sharpii* are in the Great Smoky Mountains National Park, where they are protected from development but vulnerable to recreational impacts. *Plagiochila sharpii* also occurs in Nantahalla National Forest and in the Ellicott Rock Wilderness which are protected from commercial development, but not protected from clearcutting or road building (Hicks 1996).

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Scientific Name:

Planorbella magnifica

Common Name:

Magnificent Rams-horn

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of the Magnificent Rams-horn consists of less than 100 square km in one to two lakes about 15 miles apart in the Lower Cape Fear River basin in Brunswick and New Hanover counties in North Carolina (Burch 1989, LeGrand et al. 2006).

Habitat:

This species' habitat is in two old human-made lakes where it inhabits sheltered areas with a diverse assemblage of aquatic plants (Scientific Council on Freshwater and Terrestrial Mollusks 1990). This snail has been detected on the stems and undersides of the floating leaves of Spatterdock (*Niiphar liiteum*) and Fragrant Waterlily (*Nymphaea odorata*) at a depth of approximately one meter with organic substrate. Both lakes were created early in the last century as a water source for rice agriculture (NatureServe 2008).

Populations:

Historically known from two sites, Ortan Pond and Greenfield Lake, this snail is now extant only in Ortan Pond (Fuller 1977, Adams and Gerberich 1988, Dillon et al. 2006, LeGrand et al. 2006). Total population size is crudely estimated at 50 - 2500 individuals.

Population Trends:

NatureServe (2008) reports that this snail is rapidly declining (decline of 30-50 percent) in the short-term and has experienced a long-term decline of 50 percent. This species has been extirpated at one of two historical locations (Adams and Gerberich 1988). It has not been reported in the wild since several hurricanes hit its remaining habitat in the late 1990s (Dillon et al. 2006).

Status:

This species was considered to be possibly extinct until it was redetected in 1986. It is critically imperiled (G1S1, NatureServe 2008). It is ranked as vulnerable by the IUCN.

Habitat destruction:

The Magnificent Rams-horn was extirpated from Greenfield Lake due to water quality deterioration resulting primarily from development. The watershed is "almost totally developed" and undergoes intensive management to control nuisance algae including algicide applications and drawdown (NatureServe 2008). This species' remaining site is privately owned and also potentially threatened by development from the expanding city of Wilmington. The lands surrounding the pond are currently managed for timber and wildlife, making sedimentation from logging a potential threat. This species is threatened by water quality degradation resulting from off-site impacts within the rapidly developing watershed (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species, which now occurs only in a single pond on private land.

Other factors:

The Magnificent Rams-horn is threatened by stochastic weather events, such as hurricanes, which are expected to increase in frequency and intensity as the result of global climate change (Field et al. 2006). This species has not been detected since its habitat was hit by several hurricanes during the late 1990s (Dillon et al. 2006).

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Scientific Name:

Plethobasus cyphus

Common Name:

Sheepnose

G Rank:

G3

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The sheepnose is a freshwater mussel species endemic to the southeastern and midwestern United States. It is present in Alabama, Illinois, Indiana, Iowa, Kentucky, Minnesota, Mississippi, Missouri, Ohio, Oklahoma, Pennsylvania, Tennessee, Virginia, West Virginia, and Wisconsin. Existing populations are known from 26 streams in the aforementioned states: the Mississippi, Kankakee, Ohio, and Wabash Rivers in Illinois (Sietman et al. 2001), the Ohio, Wabash, Tippecanoe, and Eel Rivers in Indiana, the Mississippi River in Iowa (Fisher 2006), the Ohio, Licking, Kentucky, Green, and Cumberland Rivers in Kentucky, the Mississippi and St. Croix Rivers in Minnesota, the Big Sunflower River in Mississippi (only in the Yazoo drainage, Jones et al. 2005), the Mississippi, Meramec, Bourbeuse, Osage Fork, and Gasconade Rivers in Missouri (commonly found only in the Meramec River, Oesch 1995), the Ohio and Muskingum Rivers in Ohio, the Allegheny River in Pennsylvania, the Tennessee, Holston, Clinch, and Powell Rivers in Tennessee, the Clinch and Powell Rivers in Virginia, the Ohio and Kanawha Rivers in West Virginia, and in the Mississippi, St. Croix, Chippewa, Flambeau, and Wisconsin Rivers in Wisconsin (Zeto et al. 1987, USFWS 2003, Cummings and Berlocher 1990, Butler 2003, Cochran and Layzer 1993). In Alabama, this species remains only in the tailwaters of the Guntersville and Wilson Dams (Williams et al. 2008).

The sheepnose has been extirpated from much of its former range – approximately 2/3 of the streams in which it was once reported no longer host this species. Though it is still widely distributed, remaining populations are small and isolated (Parmalee and Bogan 1998, Neves 1991).

Habitat:

Though it may also inhabit medium-sized rivers, the sheepnose is generally considered a large-river species (NatureServe 2008). It is most often reported from deep waters (greater than 2 m) and seems to be tolerant of a range of currents and substrate types (Gordon and Layzer 1989). It may also be adaptable to reservoir conditions, as it has been found in the upper Chickamauga Reservoir (Ahlstedt 1989).

Ecology:

This species is a short-term brooder, and known glochidial host species include the sauger, *Stizostedion canadense* and the central stoneroller, *Camptostoma anomalum* (Surber 1913, Wilson 1916, Watters et al. 2005). While juveniles are parasitic, adults are filter-feeders, primarily consuming detritus from the water column.

Populations:

The sheepnose is known to be extant in 26 streams, and total population size is thought to be at least 2500 individuals. This mussel occurs at very low abundance, and very rarely are more than a few individuals found at a particular site (NatureServe 2008).

Population Trends:

The sheepsnose has experienced a long-term decline of up to 75 percent, and has also undergone rapid short-term decline of up to 70 percent. NatureServe (2008) states: "The sheepsnose has been eliminated from two-thirds of the total number of streams from which it was historically known (26 streams currently compared to 77 streams historically). This species has also been eliminated from long reaches of former habitat in hundreds of miles of rivers such as the Illinois and Cumberland, and from several reaches of the Mississippi and Tennessee Rivers. In addition, the species is no longer known to occur in the State of Arkansas (see USFWS, 2003; Butler, 2003; Cummings and Mayer, 1997; Parmalee and Bogan, 1998). Sietman (2003) reports it extirpated from the lower Minnesota River in Minnesota."

Status:

NatureServe (2008) ranks the sheepsnose as critically imperiled in Alabama, Illinois, Indiana, Iowa, Kentucky, Minnesota, Mississippi, Ohio, Pennsylvania, Virginia, West Virginia, and Wisconsin, and imperiled in Missouri and Tennessee. It is listed as endangered in Missouri, Mississippi, Illinois, Ohio, Indiana, and Virginia, and threatened in Pennsylvania. It is a federal candidate. Its rank is being changed from threatened (Williams et al. 1993) to endangered (2010 draft, in review) by the American Fisheries Society.

Habitat destruction:

Butler (2002), in a status review of this species, discusses primary threats to its survival across its range including impoundment, channelization, coal mining, gravel mining, agriculture, silviculture, and development.

Similarly, the Kentucky Department of Fish and Wildlife Resources (2005) lists threats to this species' habitat as dredging, gravel and sand quarrying, impoundments, channelization, urbanization, and agriculture.

This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009).

Concerning threats to this species' habitat, the Pennsylvania Fish and Boat Commission (2010) states: "[T]he lock and dam system in the Allegheny and Ohio Rivers, combined with maintenance/commercial sand and gravel dredging have destroyed Sheepsnose habitat, eliminated habitat continuity and genetically isolated subpopulations occurring in the Allegheny and Monongahela River systems. Sedimentation from oil and gas developments, forestry and agricultural practices could have an adverse effect on mussel/host interactions and reduce Sheepsnose recruitment. The Sheepsnose produces narrow, red lanceolate packets of glochidia called conglutinates. These conglutinates resemble fish prey items, specifically worms. Excessive turbidity associated with increased sedimentation would likely alter host numbers or behavior (such as, ability of fish to find and consume conglutinates) thereby reducing Sheepsnose recruitment."

Overutilization:

The sheepsnose has been harvested commercially in the past for buttons and jewelry, and though it

is not currently a commercially valuable species, it may be inadvertently harvested as by catch or by inexperienced musselers. An increasingly rare species like the sheepsnose may increasingly be sought by collectors. Although collecting is not thought to represent a significant threat, localized populations could become impacted and possibly extirpated by overcollecting (Butler 2002).

Inadequacy of existing regulatory mechanisms:

Butler (2002) states: "Most states with extant sheepsnose populations prohibit the taking of mussels for scientific purposes without a State collecting permit. However, enforcement of this permit requirement is difficult. Furthermore, State regulations do not generally protect mussels from other threats . . . Existing authorities available to protect riverine ecosystems may not have been fully utilized, such as the Clean Water Act (CWA), which is administered by the Environmental Protection Agency and the Corps. This may have contributed to the general habitat degradation apparent in riverine ecosystems and loss of populations of aquatic species in the Southeast and Midwest. Although the sheepsnose coexists with other federally listed mussels and fishes throughout a portion of its range, listing under the Endangered Species Act (Act) would provide additional layers of protection. Federal permits would be required to take the species, and Federal agencies would be required to consult with the Service when activities they fund, authorize, or carry out may adversely affect the species."

Other factors:

The sheepsnose is threatened by invasive aquatic species, such as the zebra mussel, *Dreissena polymorpha*, the Asian clam, *Corbicula fluminea*, and the black carp, *Mylopharyngodon piceus*, which have caused the local extirpation of many native mussel species in the eastern United States (Butler 2002, NatureServe 2008). For example, zebra mussels have colonized the Allegheny and Ohio Rivers, and sheepsnose mortality from zebra mussel infestation is expected (Pennsylvania Fish and Boat Commission 2010).

This mussel is threatened by habitat fragmentation and population isolation. Remaining populations are small, isolated, and thought to be below effective population size, making them more susceptible to extirpation by stochastic events and less capable of repopulation following any loss (Soule 1980, Butler 2002, Kentucky Department of Fish and Wildlife Resources 2005, NatureServe 2008). The Pennsylvania Fish and Boat Commission (2010) states that anthropogenic disturbances such as acute or chronic pollution events could destroy the remaining Allegheny River Sheepsnose subpopulation. Small populations are also more vulnerable to inbreeding depressions and other risks conferred by low genetic diversity.

The sheepsnose is threatened by any factor which threatens the host fishes on which it is dependent for reproduction.

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Scientific Name:

Pleurobema athearni

Common Name:

Canoe Creek Pigtoe

G Rank:

G1

Range:

The range of the Canoe Creek Pigtoe covers less than 100 square km in northeast Alabama (NatureServe 2008). It is known only from the Big Canoe Creek watershed, a western tributary of the Coosa River (Gangloff et al. 2006).

Habitat:

This mussel uses shoal habitat in a medium to large river tributary, and prefers gravel substrate (Williams et al. 2008).

Ecology:

The detection of a gravid female in May suggests that this species is a short-term brooder (Gangloff et al. 2006).

Populations:

The Canoe Creek Pigtoe is restricted to roughly six sites in a single small (less than 500 square km) watershed in northeast Alabama (NatureServe 2008). Only 19 individuals are known, but only one-third of these are from recent collections. Four live individuals were found from 2000-2004, including a gravid female, which indicates that *P. athearni* remains reproductively viable (Gangloff et al. 2006).

Population Trends:

The Canoe Creek Pigtoe is declining in the short-term (decline of 10-30 percent), and the long-term trend is unknown as this mussel was first described in 2006 (Gangloff et al.). Of 19 known individuals, approximately 6 are from recent surveys. Williams et al. (2008) describe this mussel as extremely rare in Big Canoe Creek, and report that it appears to be extirpated in the Coosa River proper.

Status:

NatureServe (2008) ranks the Canoe Creek Pigtoe as critically imperiled in Alabama, stating, "This recently described species has an extremely limited distribution and range with few recently collected specimens; and habitat degradation is a continuing threat. Although declining, the extent of the decline is not known because the species is known from fewer than two dozen specimens, only one-third of which are recent." This snail is on the Alabama Natural Heritage Program Tracking List. It is being ranked as endangered by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

Habitat loss and modification is the greatest threat to the Canoe Creek Pigtoe (NatureServe 2008). NatureServe (2008) states that there are substantial, imminent, high-level threats to this species. Mussel habitat in the Coosa River ecosystem is highly reduced and fragmented with only five tributary sub-basins supporting near-historic levels of mollusk species richness (Gangloff et al. 2006). NatureServe (2008) states, "Protection of these few remaining fragments is critical to preserving populations of mussels and other aquatic species in the Mobile Basin." Because this

species only occurs in a single, small watershed, it is particularly vulnerable to habitat degradation.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Canoe Creek Pigtoe, and no occurrences are appropriately protected and managed (NatureServe 2008). It has no state protective status.

Other factors:

The Canoe Creek Pigtoe is threatened by any factor which degrades water quality or threatens host fish populations. It is also inherently vulnerable to extinction because of its small population size and very restricted range.

References:

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Scientific Name:

Pleurobema oviforme

Common Name:

Tennessee Clubshell

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

VU - Vulnerable

Range:

The Tennessee Clubshell once ranged through most of the Cumberlandian region of the Tennessee River drainage, but is considered extirpated in the Tennessee River system in Mississippi, and now only occurs in small, scattered, disjunct populations in the Clinch, Duck, Elk, Holston, Powell, and Paint Rock river systems (Jones et al. 2005, NatureServe 2008). Records for this species in the Cumberland River system are possibly erroneous (NatureServe 2008).

Habitat:

The Tennessee Clubshell occurs near riffles and shoals on mixed sand-gravel substrate, sometimes on mud or between slabs of bedrock. This mussel generally does not occur in deeper water, but was detected in deep water below Watts Bar Dam (Ahlstedt 1989). It occurs directly above riffles or in flats in small rivers and creeks, and generally prefers at least moderate flow (NatureServe 2008). Mirarchi et al. (2004) describe this species' habitat as "creeks and small to large rivers in shoals and riffles with substrata of coarse gravel and sand (Parmalee and Bogan 1998)."

Ecology:

The Tennessee Clubshell is a short-term brooder. Fish hosts include short-tail and common shiners, central stoneroller, and fantail darter, and possibly other related species of stonerollers and shiners (Parmalee and Bogan 1998, Weaver et al. 1991, Mirarchi et al. 2004).

Populations:

There are from 21-80 extant populations of the Tennessee Clubshell. This mussel's current distribution is highly restricted and fragmented in comparison to its historical range. Extant populations are disjunctly scattered in tributaries of the Tennessee River in the Cumberlandian Region and are often limited to short sections of headwater streams. Populations of the large river form of this species which are surviving in low numbers at a few sites below dams likely consist of relict, non-reproducing individuals (Ahlstedt 1988). In Mississippi this species appears to be extirpated. This mussel was once widespread in the Tennessee River system in Alabama, but is now restricted to the Paint Rock River drainage in Jackson County, and a few tributaries to the Tennessee River in northern Alabama which are likely nonviable (Mirarchi et al. 2004). In Tennessee this mussel occurs in undammed portions of the Clinch, Powell, Hiwassee, Duck, Little Pigeon, Big South Fork Cumberland, Tellico, Elk, and Stone rivers (Parmalee and Bogan 1998). Within and near the Cherokee National Forest in Tennessee, this mussel occurs in Citico Creek in Monroe County and in the Hiwassee River in Polk County (Johnson et al. 2005). In North Carolina this mussel is still extant in Cherokee and Transylvania counties in the French Broad, Hiwassee, and Little Tennessee rivers (Bogan 2002, LeGrand et al. 2006). In Kentucky this mussel sporadically occurs below Cumberland Falls in the lower and upper Cumberland (Cicerello and Schuster 2003). In Virginia, the Tennessee Clubshell occurs in the upper Clinch drainage in Copper Creek (Fraley and Ahlstedt 2000, Jones et al. 2001) and in Smyth and Bland counties in a short section of the upper North Fork Holston River (Jones and Neves 2007). Overall population size of this mussel is crudely estimated at 10,000-100,000 individuals, but

most remaining populations of this species have very low densities. This mussel was "quite common" historically (NatureServe 2008).

Population Trends:

In the short term, the Tennessee Clubshell has declined rapidly, from 10-50 percent, and this mussel has experienced a long-term decline of 25-50 percent (NatureServe 2008). The Tennessee Clubshell has experienced widespread range reduction and extant populations appear to be in decline. Abundance at extant sites is typically low, and many occurrences are likely not viable. NatureServe (2008) states, "All extant occurrences are potentially in jeopardy."

Status:

The Tennessee Clubshell is critically imperiled in Alabama and Kentucky, is possibly extirpated in Mississippi, is imperiled in Tennessee and Virginia, and is unrankable in North Carolina (NatureServe 2008). It is considered to be endangered by the Kentucky State Nature Preserves Commission. It is a federal species of management concern. This species is classified as Vulnerable by the IUCN. Its rank is being changed from Special Concern (Williams et al. 1993) to Threatened by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

The Tennessee Clubshell is threatened by habitat loss and degradation from factors that reduce water quality and alter flow regime. The species is and has been impacted by channel alteration and inundation from reservoirs such as the Columbia Dam on the Duck River and the hydropower dam on the Little Tennessee River. Much of this species' former range has been inundated by reservoirs, and there are existing proposals for the construction of additional dams (NatureServe 2008). The species is also threatened by siltation and contamination from logging, coal mining, and agricultural run-off, from chemical and organic pollution, and from urban development (NatureServe 2008). NatureServe (2008) reports that declines continue from pollution, siltation, and poor land use practices. Mussels in the Little South Fork are threatened by strip mining and oil extraction (Warren et al. 2001, Warren and Haag 2005). The Kentucky Dept. of Fish and Wildlife Resources (2005) lists the following conservation issues for this mussel: gravel and sand removal, impoundments, stream channelization, agriculture, development, coal mining, acid mine drainage, sewage discharge, oil and gas drilling, pesticides and runoff, siltation, and silviculture. Mussels in the Clinch and Powell watershed are threatened by coal mining and agricultural practices (U.S. EPA 2002). This species is also threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates (Wood 2009).

Overutilization:

NatureServe (2008) reports that the Tennessee Clubshell may be threatened by commercial clamming in some reservoirs of the Tennessee River.

Disease or predation:

Neves and Odom (1989) cite muskrat predation as a threat to imperiled mussels in the North Fork of the Holston in Virginia.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Tennessee Clubshell. This species is listed as Endangered by the state of North Carolina but this designation does not provide the

mussel with any substantial regulatory protection. It is a species of Greatest Conservation Need in Alabama and Kentucky, and a species of Special Concern in Virginia, but these listings do not protect the species. It has no state status in Tennessee or Mississippi.

A few locations of this species are in protected areas, but there are no sites which are adequately protected (NatureServe 2008). This mussel occurs on The Nature Conservancy's Pendleton Island Preserve and in an area administered by the National Park Service in the Little River at Foothills Parkway bridge. This species occurs in the Cherokee National Forest in Tennessee in Citico Creek and in the Hiwassee River. NatureServe (2008) states: "All populations should receive protection through acquisition, easement, registry, and working with local, state, and federal government agencies on issues relating to development, water quality, river designation, etc. Instigation of watershed management plans for soil conservation and maintenance of water quality essential. Excellent chance to protect and promote recovery of a species before it goes beyond the point of saving."

Other factors:

The Tennessee Clubshell is threatened by any factor which alters flow conditions or reduces water quality. The North Fork of the Holston River has been severely impacted by mercury releases (Stansberry and Clench 1975, Neves 1991 in Flebbe et al. 1996).

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Scientific Name:

Pleurobema rubellum

Common Name:

Warrior Pigtoe

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EX - Extinct

Range:

The range of the Warrior Pigtoe covers less than 100 square km in Alabama, Georgia, and historically, in Tennessee. It is endemic to the Black Warrior, Coosa, and Cahaba River systems (Parmalee and Bogan 1998), but has been extirpated from much of its historic range (NatureServe 2008).

Habitat:

This species is known from headwaters and shoals and is thought to use mixed coarse sand, gravel and cobble substrates (Conrad 1834, van der Schalie 1938, 1981).

Populations:

There are less than five extant populations of Warrior Pigtoe, and total population size is unknown, but is very low. This mussel formerly occurred in the Conasauga River in Tennessee, but is now extinct in the state (Parmalee and Bogan 1998, Simpson 1914). In the Coosa River basin in Georgia it occurred historically in the Etowah, Conasauga, and Coosawattee River drainages but has not been recently detected (Williams and Hughes 1998), except for a specimen on the Coosa/Etowah confluence in Georgia (J. Williams, pers. comm., 2007 cited in NatureServe 2008). In Alabama, it was recently detected at Brushy Creek in Winston County (David Campbell, University of Alabama pers. comm., February 2004, J. Cordeiro cited in NatureServe 2008). Williams et al. (2008) report that in Alabama it is only extant in the headwaters of Sipsey Fork in the Bankhead National Forest and in the North River upstream of Lake Tuscaloosa.

Population Trends:

The Warrior Pigtoe is severely declining in the short-term (decline of more than 70 percent) and has experienced a very large long-term decline of more than 90 percent (NatureServe 2008). It was considered to be extinct until two recent detections, one in Alabama and one in Georgia.

Status:

NatureServe (2008) ranks the Warrior Pigtoe as critically imperiled in Alabama, extirpated in Tennessee, and unranked in Georgia. It is classified as Extinct by the IUCN. This species merits immediate protection under the Endangered Species Act. It is ranked as endangered by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Impoundment poses the greatest threat to the Warrior Pigtoe. This species was formerly considered extinct due to damming. Because only two disjunct populations of this species remain, any modification of existing habitat could drive the species to extinction. This species' habitat is threatened by any activity which degrades water quality, increases sedimentation, or threatens host fish populations (Neves et al. 1997). One of the two recent detections of this mussel is at the confluence of the Etowah and Coosa Rivers (J. Williams, pers. comm., 2007 cited in NatureServe 2008). Mussels in the Etowah are threatened by impoundments, sedimentation, harmful

agricultural practices, urbanization, pollution, and large-scale water withdrawal (Burkhead et al. 1997). Threats to mussels in the Coosa are well known and include impoundment, sedimentation, eutrophication, and water quality degradation (Alabama Dept. of Conservation and Natural Resources 2005).

Overutilization:

Because so few individuals of Warrior Pigtoe are still extant, any amount of collection could drive this species to extinction.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Warrior Pigtoe and no occurrences are appropriately protected and managed (NatureServe 2008). It is a Species of Greatest Conservation Need in Alabama and Tennessee but these designations do not provide this mussel with any substantial regulatory protection.

Other factors:

The Warrior Pigtoe is threatened by any factor which degrades water quality. This species is highly vulnerable to extinction because of drastically reduced range and population size. It is vulnerable to stochastic genetic and environmental events, and existing remnant populations may not be viable.

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Scientific Name:

Pleurobema rubrum

Common Name:

Pyramid Pigtoe

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

The pyramid pigtoe is a freshwater mussel species native to the southeastern and central United States. It is currently found in Alabama, Arkansas, Kentucky, Louisiana, Mississippi, Nebraska, Ohio, Oklahoma, Tennessee, and Virginia, and though it was historically present in these states, is thought to be extirpated from Iowa, Illinois, Indiana, and Pennsylvania (NatureServe 2008).

Williams et al. (2008) state: "P. rubrum is widespread in the Mississippi Basin from southwestern Wisconsin south to Louisiana, and from Ohio River headwaters in western Pennsylvania west to eastern Kansas. It is known from the Cumberland River drainage downstream of Cumberland Falls, Kentucky and Tennessee. In the Tennessee River drainage, P. rubrum occurs from headwaters in southwestern Virginia downstream to the mouth of the Tennessee River, Kentucky" (p. 565).

Habitat:

The pyramid pigtoe is found primarily in medium to large rivers with low to moderate flow gradients, often in riffles or shoals (NatureServe 2008). It has also been identified below some dams or reservoirs in lotic environments.

Ecology:

Adults consume organic matter, mainly filter-feeding detritus from the water column. Very little is known about the reproductive ecology of P. rubrum, but like other freshwater mussel species, juveniles (larvae) are parasitic on various fish species. Identified host species for P. rubrum include the spotfin shiner (*Cyprinella spiloptera*), the streamline chub (*Erimystax dissimilis*), the scarlet shiner (*Lythrurus fasciolaris*), and the silver shiner (*Notropis photogenis*) (Culp et al. 2006).

Populations:

NatureServe (2008) reports that there 21-80 occurrences of this species, and total population size is at least 2,500 individuals. This mussel has been extirpated from much of its historical range, and occurrences are patchily distributed across its still-extensive range. The largest remaining populations are found in Kentucky's Green River system, and in Arkansas' Little Missouri, Ouachita, White, St. Francis, and Saline Rivers (Harris et al. 1997, Posey 1997, Ahlstedt and Jenkinson 1991, Harris and Gordon 1987, Anderson 2006).

Population Trends:

NatureServe (2008) reports that the pyramid pigtoe has experienced long-term decline of up to 50 percent, and has continued to decline by up to 30 percent in the short-term, stating: "A number of occurrences and abundance has and continues to decline dramatically. It is likely extirpated from Illinois where it once occurred in the Little Wabash River, as well as the Illinois River (pre-modern specimens found) (Cummings and Mayer, 1997). Parmalee and Bogan (1998) report it was known to inhabit the French Broad, Holston, and Little Tennessee Rivers prior to 1960 and in the Little Tennessee River prior to the closing of the Tellico Dam gates but no longer occurs there. It is likely extirpated from Indiana (IN NHP, pers. comm., 2009). This species is extirpated

in Pennsylvania (Bogan, 1993) where it formerly occurred in the Upper Ohio and Middle Allegheny-Redbank drainages (Ortmann, 1919). It has likely disappeared from nearly all of the Ohio River (Cicerello and Schuster, 2003; Watters, 1995). In Alabama, it historically occurred in the Tennessee River across northern Alabama and in the Paint Rock River and extreme lower Limestone Creek in Limestone Co. but is extant only in the tailwaters of Guntersville and Wilson Dams (Williams et al., 2008)."

Status:

NatureServe (2008) reports that the pyramid pigtoe is critically imperiled in Alabama, Kentucky, Mississippi, Ohio, and Virginia, and Tennessee, imperiled in Arkansas and Louisiana, and extirpated from Illinois, Indiana, Iowa, and Pennsylvania. It is not ranked or is under review in Nebraska and Oklahoma. Williams et al. (2008) state: "*Pleurobema rubrum* (as *P. pyramidatum*) was listed as endangered throughout its range by Stansbery (1970) and threatened throughout its range by Williams et al. (1993). In Alabama it was listed as extirpated by Stansbery (1976) and considered imperiled by Lydeard et al. (1999). Garner et al. (2004) designated *P. rubrum* a species of highest conservation concern in the state (Alabama)" (p. 566). It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

NatureServe (2008) reports that the pyramid pigtoe is sensitive to habitat perturbation and inundation and is threatened by channel alteration, impoundment, mining, and urban and industrial development. In the Clinch River, this species is extirpated below Norris Reservoir due to impoundment (Ahlstedt 1984), and NatureServe (2008) reports that "plans are underway to impound 54 miles of the Duck River in Tennessee where *P. rubrum* occurs." The Arkansas Fish and Game Commission (2005) reports that this mussel is threatened by channel alteration and maintenance, impoundments, and cattle grazing. The Kentucky Department of Fish and Wildlife Resources (2005) reports that the pyramid pigtoe is threatened by dredging, gravel and sand quarrying, impoundments, stream channelization, and riparian zone removal for agriculture and development. This mussel is also specifically threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, disrupting food web dynamics (Wood 2009).

Overutilization:

NatureServe (2008) reports that the pyramid pigtoe is a minor commercial species that is sometimes harvested for its pink shells, stating, "its occurrence with and resemblance to some commercial species results in its harvest by clambers." The Kentucky Department of Fish and Wildlife Resources (2005) reports that this mussel is threatened by "incidental mortality due to commercial fishing/musseling (mortality and overharvest)."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that few occurrences are appropriately protected or managed, though this species does occur in some preserves (the Nature Conservancy's Pendleton Island Preserve and some mussel refuges on the Tennessee and Cumberland Rivers), the protection they afford is not adequate.

This species is state-listed as endangered in Ohio, Mississippi, Kentucky, Indiana, and Virginia, but these designations do not afford it any substantial regulatory protection. No existing regulatory mechanisms offer sufficient protection to the pyramid pigtoe or its habitat.

Other factors:

Water pollution is a primary threat to the survival of the pyramid pigtoe, which is sensitive to declines in water quality. This species is threatened by siltation, mine run-off, fly ash and quarry runoff, sewage effluent, nutrient loading, and herbicides (Arkansas Game and Fish Commission 2005, Kentucky Department of Fish and Wildlife Resources 2005, NatureServe 2008). It is also threatened by any factor which threatens populations of its host fishes (NatureServe 2008).

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Scientific Name:

Pleurobema strodeanum

Common Name:

Fuzzy Pigtoe

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

VU - Vulnerable

Range:

The Fuzzy Pigtoe occurs in Florida where it is endemic to the Escambia and Choctawhatchee rivers, and Alabama where it occurs in the Yellow River (Mirarchi et al., 2004). The historical range of this species has been recently expanded (Williams et al. 2000, Blalock-Herod et al. 2005). In the Escambia drainage, this mussel occurs in the Escambia River in Escambia and Santa Rosa Counties in Florida, in the Conecuh River in Escambia, Covington, Crenshaw, and Pike Counties in Alabama, and in Murder, Sandy, and Burnt Corn Creeks in Conecuh County, Alabama, in the Sepulga River in Conecuh County, Alabama, in Pigeon Creek in Covington County, Alabama, in Patsaliga and Little Patsaliga Creeks in Crenshaw County, Alabama, and in Mill Creek in Pike County, Alabama. In the Yellow River drainage in Alabama, this mussel occurs in the Yellow River in Covington County. In the Choctawhatchee drainage, this mussel is known from the Choctawhatchee River in Washington, Walton, and Holmes Counties in Florida, from Limestone Creek in Walton County, Florida, from Wrights Creek in Holmes County, Florida, from Holmes Creek in Washington County, Florida, from the Choctawhatchee River in Geneva and Dale Counties in Alabama, from the Little Choctawhatchee River in Dale and Houston Counties, Alabama, from Panther Creek in Houston County, Alabama, from the West Fork Choctawhatchee River in Dale and Barbour Counties, Alabama, from the East Fork Choctawhatchee River in Henry County, Alabama, and the Pea River in Geneva, Dale, and Coffee Counties, Alabama (Williams et al. 2000, Blalock-Herod et al. 2005). Blalock-Herod et al. (2005) listed this species from 21 historical sites in the Choctawhatchee River drainage, detected this species at six historical sites, and detected 34 new scattered sites in the upper and lower portions of the river in Alabama and Florida.

Habitat:

The Fuzzy Pigtoe occurs in medium-sized creeks and rivers with slow to moderate current on sand and silty-sand substrates (Williams and Butler 1994, Williams et al. 2000). Mirarchi et al. (2004) describe this species' habitat as: "predominantly sand substrata in small to large streams with scattered gravel, woody debris, and moderate flow (Clench and Turner 1956)."

Ecology:

Mirarchi et al. (2004) state that nothing is known about the ecology of this species, but that it is presumably a short-term brooder similar to its congeners.

Populations:

NatureServe (2008) estimates that there from 21-80 populations of Fuzzy Pigtoe, providing the following details: "Recent mussel status surveys found that the populations of the fuzzy pigtoe (represented by live animals and shell material) have declined from: 31 historic sites to 18 currently active sites, 8 inactive, and 5 undetermined population status within the Escambia River drainage; 4 historic sites to 0 currently active sites within the Yellow River drainage; and 51 historic sites to 40 currently active sites, 7 inactive sites. In totality, the fuzzy pigtoe has declined from a total of 86 historic sites to its remaining distribution of 58 sites. It has been extirpated

from approximately 22% of its historic range. Only 4 populations were represented by 10 - 20 individuals, but most supported only 1 or 2 individuals (Williams et al., 2000; Blalock-Herod et al., 2005). At least some of the extant populations may be capable of reproducing, as one specimen was found with eggs partially in swollen marsupia during July (Williams et al. in review). Low-level recruitment may be occurring; however, long-term viability of the fuzzy pigtoe is questionable (see USFWS, 2003). Blalock-Herod et al. (2005) listed this species from 21 historical sites in the Choctawhatchee River drainage, relocated it at 6 and found it at 34 new sites scattered in the upper and lower portions of the river in Alabama and Florida. Pilarczyk et al. (2006) recorded recent collections (in 2004) of this species following surveys of 24 sites at 11 sites including West Fork Choctawhatchee River, Eightmile Creek (just over the border in Florida), Patsaliga Creek, Pea River, Pea Creek, East Fork Choctawhatchee River, and Murder Creek compared to Murder Creek, Bottle Creek, West Fork Choctawhatchee River, Patsaliga Creek, Pigeon Creek, Jordan Creek, Little Patsaliga Creek, Flat River, Eightmile Creek (Florida), Pea River, Pea Creek, Judy Creek, and Hurricane Creek in surveys of the same sites in the 1990s. White et al. (2008) utilized specimens from Eightmile Creek in Walton Co., Florida for host suitability studies." Total population size is unknown for this species. Extant populations in Alabama appear to be small (NatureServe 2008).

Population Trends:

The Fuzzy Pigtoe is declining (decline of 10-30 percent) in the short-term and moderately declining (25-50 percent) in the long-term. This mussel has experienced at least a 22 percent range reduction and now occurs at only 58 sites, many of which have very low abundance (FWS 2003, NatureServe 2008).

Status:

NatureServe (2008) ranks the Fuzzy Pigtoe as imperiled in Alabama and Florida. Recently discovered populations slightly expanded the overall range of this species, but abundance is low, populations appear to be declining, and there is general deterioration of habitat and water quality in some portion of its range (NatureServe 2008). This species is a federal Candidate and merits full ESA protection before long-term population viability is compromised. Its rank is being changed from special concern (Williams et al. 1993) to threatened by the American Fisheries Society (draft 2010, in review).

Habitat destruction:

Habitat loss and degradation pose the greatest threat to the survival of mussels in the southeastern United States including the Fuzzy Pigtoe (Neves et al. 1997). The Fuzzy Pigtoe is threatened by stream channelization and alteration, siltation and runoff from agriculture and silviculture, eutrophication from chicken farms, gravel and sand mining, oil and gas exploration, localized industrial pollution from pulp mills on the Escambia, municipal pollution, watershed development, and impoundment (NatureServe 2008). NatureServe (2008) states: "Silvicultural activities and chicken farms are expanding in southern Alabama. Twenty-five different impoundments have been proposed for the Choctawhatchee River system alone (Blalock et al., 1998). Bank sloughing has impacted habitat in the Escambia mainstem in Florida. The stream and river habitats are vulnerable to habitat modification, sedimentation, and water quality degradation from a number of activities. Highway and reservoir construction, improper logging practices, agricultural runoff, housing developments, pipeline crossings, and livestock grazing often result in physical disturbance of stream substrates or the riparian zone, and/or changes in water quality, temperature, or flow. Sedimentation can cause direct mortality of mussels by deposition and suffocation (Ellis, 1936; Brim Box and Mossa, 1999) and can eliminate or reduce the recruitment of juvenile mussels (Negus, 1966; Brim Box and Mossa, 1999). Suspended sediment can also

interfere with feeding activity of mussels (Dennis, 1984). Many of the confirmed extant populations of this species are in the vicinity of highway and unpaved road crossings due to ease of access for surveyors. Highway and bridge construction and widening could affect populations of these species unless appropriate precautions are implemented during construction to reduce erosion and sedimentation, and maintain water quality standards. The construction of reservoirs and the associated habitat changes (e.g., changes of sediments, flow, water temperature, dissolved oxygen) can directly impact mussel populations (Neves et al., 1997). Nutrients, usually phosphorus and nitrogen, may emanate from agricultural fields, residential lawns, livestock feedlots, poultry houses, and leaking septic tanks in levels that result in eutrophication and reduced oxygen levels in small streams.”

Disease or predation:

NatureServe (2008) states that disease or predation are poorly known for this species, but could contribute to further decline due to its restricted distribution and low numbers associated with extant populations.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Fuzzy Pigtoe, and no occurrences are appropriately protected and managed (NatureServe 2008). This species is a federal candidate and merits immediate ESA protection. It is a Species of Greatest Conservation Need in Alabama but this designation does not confer regulatory protection. It has no state status in Florida. It is possible that at least one occurrence of this species may border the Conecuh National Forest in Alabama, but this does not provide habitat protection. NatureServe (2008) provides the following management recommendations for this species: "protect populations through acquisitions and easements by working with government agencies and conservation organizations; establish buffers and streamside management zones for all agricultural, silvicultural, mining, and developmental activities; maintain high water and benthic habitat quality; consider propagation and reintroduction of cultured stock, and consider federal listing if populations decline further. Conservation activities have been limited to working with private landowners in south Alabama and west Florida to encourage the use of Best Management Practices to reduce the effects of agriculture and silviculture (see USFWS, 2003)."

Other factors:

Several other factors threaten the Fuzzy Pigtoe. This mussel is threatened by impacts from non-point source pollution and sedimentation (NatureServe 2008). It is particularly susceptible to catastrophic events because populations are generally small and geographically isolated. The Fuzzy Pigtoe is threatened by any factor which threatens its host fishes. Genetic inviability is a potential threat to this species as populations may be below effective population size to maintain long term viability. This mussels is also potentially threatened by invasive species such as Asiatic clam, zebra mussel, and black carp (USFWS 2003, NatureServe 2008).

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Scientific Name:

Pleurocera corpulenta

Common Name:

Corpulent Hornsnail

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

This snail is endemic to the Tennessee River in Tennessee and Alabama. This snail occurred in Bridgeport, TN and in Battle Creek in Marion County, TN, and also at Muscle Shoals and Florence, Alabama (Goodrich 1928, Burch and Totttnham 1980). It is only known to be extant in the tailwaters of Nickajack Dam in the vicinity of Bridgeport with a total range of less than 4 square km (Mirarchi et al. 2004).

Habitat:

This snail was associated with large river, high gradient riffles with gravel and cobble substrate in moderate to swift current. The only extant population is in the tailwaters of a dam at depths of two to four meters (Mirarchi et al. 2004).

Populations:

There is only one known extant population of this species, and population size is unknown but likely low.

Population Trends:

This snail has experienced a large long-term decline of 75 to 90 percent (NatureServe 2008).

Status:

The Corpulent Hornsnail is critically imperiled (NatureServe 2008). It is classified as vulnerable by the IUCN.

Habitat destruction:

Impoundment has reduced the range of this species to a single occurrence in the tailwaters of a dam. Because there is only one surviving population, it is very vulnerable to further habitat loss and degradation. The survival of this species is contingent upon high water quality and sound water release practices at the dam (NatureServe 2008). Several factors threaten to degrade water quality for this species. It is potentially threatened by the development of a commercial marina on the bank of the Tennessee River in Marion County (U.S. ACOE 2009). It also potentially occurs within the project area of a proposed industrial development by the Chicago Bridge and Iron Company on the bank of the Tennessee River and Guntersville Reservoir in Marion County (TVA 2009). The Alabama Dept. of Environmental Management (2003) reports that the Tennessee River basin has been widely degraded by nonpoint source pollution from many sources, particularly agriculture, urban development, logging, and surface coal mining (ADEM 2003). Agricultural runoff increases sedimentation and nutrient loading and degrades habitat quality for aquatic species such as the Corpulent Hornsnail. In addition, there are more than 130 confined animal feeding operations in the Tennessee River basin (ADEM 2003). Aquatic habitats in the basin are also degraded by water-related recreational activities and nonpoint source pollution from onsite residential sewage systems (ADEM 2003).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species.

Other factors:

Due to this snail's extremely limited distribution, specialized habitat requirements, and limited dispersal capability, it is vulnerable to random catastrophic events (NatureServe 2008).

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Scientific Name:

Pleurocera curta

Common Name:

Shortspire Hornsnail

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

This snail is endemic to the Tennessee River system in Kentucky, Tennessee, and Alabama (NatureServe 2008).

Populations:

NatureServe (2008) reports that there are from 6-20 populations of this species, and that total population size is unknown. Mirarchi (2004) reports that no extant populations of this species have been detected recently. Historically this snail occurred in the Duck River in Humphreys County, Tennessee (van der Schalie 1973) and in the Tennessee River and Shoal Creek in Florence, Alabama (Hinkley 1906). Goodrich (1928) cites the nominal subspecies as occurring from the Holston-Tennessee River from McMillan, Knox County, Tennessee, to the Muscle Shoals area in Alabama, and potentially below it. Goodrich also cites the Cumberland River in the vicinity of Nashville, Tennessee, and Caney Fork near Carthage, Tennessee, including sub-fossil specimens in Wayne County, Kentucky, and also just above the mouths of the Clinch, Little, and Little Tennessee Rivers, and Paint Rock and Flint Rivers in Alabama. Goodrich (1928) cites the subspecies *roanense* from the Emory River in Emory and Roane counties and from the Little River in Blount County, Tennessee. Branson and Batch (1987) report this species in Kentucky from the Red River in Logan and Todd counties and in the Rockcastle River in Laurel Co. and the Cumberland River in McCreary County (NatureServe 2008). Burch and Tottenham (1980) report that the range of this species is contracting.

Population Trends:

This snail has experienced a substantial long-term decline of 50 to more than 90 percent, and has declined in the short-term by 30 to over 70 percent (NatureServe 2008).

Status:

The Shortspire Hornsnail is critically imperiled (S1S2) in Alabama, and imperiled (S2) in Kentucky and Tennessee (NatureServe 2008). It is state listed as threatened by the state of Tennessee. It is categorized as vulnerable by the IUCN.

Habitat destruction:

This snail is threatened by habitat loss and degradation from a variety of sources. The Alabama Dept. of Environmental Management (2003) reports that the Tennessee River basin has been widely degraded by nonpoint source pollution from many sources, particularly agriculture, urban development, logging, and surface coal mining (ADEM 2003). Agricultural runoff increases sedimentation and nutrient loading and degrades habitat quality for aquatic species such as the Shortspire Hornsnail. In addition, there are more than 130 confined animal feeding operations in the Tennessee River basin (ADEM 2003). Aquatic habitats in the basin are also degraded by water-related recreational activities and nonpoint source pollution from onsite residential sewage systems (ADEM 2003).

Inadequacy of existing regulatory mechanisms:

This snail is listed as threatened by the state of Tennessee, but this designation does not confer regulatory protection. There are no regulatory mechanisms in place to protect this declining species.

References:

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Scientific Name:

Pleurocera pyrenella

Common Name:

Skirted Hornsnail

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The range of the Skirted Hornsnail is 100-250 square km in tributaries of the Tennessee River in Alabama and Georgia. This species is endemic to north-central Alabama and parts of Walker County, Georgia. There are historical reports from tributaries in portions of Limestone, Madison, and Morgan counties (Burch 1989). This species was not detected south of the Tennessee River in Morgan County in a mid-1990s surveys (Mirarchi et al. 2004).

Habitat:

This snail utilizes a variety of habitats, generally in areas that lack swift current. It occurs in pools, runs, and occasionally riffles, as well as in swampy streams and impounded springs, and appears to be tolerant to silty conditions (Mirarchi et al. 2004).

Populations:

NatureServe (2008) estimates that there are from 6 - 20 populations of this snail, but many of these are no longer extant. In Georgia, it was known from Crawford Creek in Walker County and from Peavine Creek and Little and West Chickamauga creeks in Catoosa County. Only the Chickamauga and West Chickamauga Creek populations have recently been documented as extant. In Alabama this species is known from a few sites in Limestone, Madison, and Morgan counties, but may no longer be extant in Morgan County.

Population Trends:

The Skirted Hornsnail is rapidly declining (decline of 30-50 percent) in the short-term, and has experienced a substantial long-term decline of 50-75 percent (NatureServe 2008). It is extirpated from several areas where it formerly occurred.

Status:

NatureServe (2008) categorizes the Skirted Hornsnail as imperiled (S2) in Alabama and historical in Georgia. It is ranked as vulnerable by the IUCN.

Habitat destruction:

Limited distribution and vulnerability to habitat degradation make this snail vulnerable to extinction (Mirarchi et al. 2004). It occurs in an area which is used almost exclusively for intensive agriculture and is thus threatened by water quality degradation from input of sediment, pesticides, and fertilizers (Mirarchi et al. 2004). Extensive irrigation is also a threat. This snail is further threatened by urban sprawl from Huntsville, which could result in direct habitat loss and nonpoint source pollution (Mirarchi et al. 2004). One example of the threat from development is Billy Christopher River Front Development on the bank of the Elk River and Wheeler Reservoir in Limestone County. This community water use facility includes dredging, construction of a boat dock, and a back-lying development, including boat storage, an inland lake, parking area, roads, culverts, and associated residential development (U.S. ACOE 2009). Runoff from this development is one example of the increasing threats in the area that are cumulatively degrading aquatic habitats for species such as the Skirted Hornsnail.

This snail occurs in waters in or adjacent to Wheeler National Wildlife Refuge Complex. The Comprehensive Conservation Plan and Environmental Assessment for Wheeler (FWS 2007) states that habitat loss and fragmentation and the degradation of aquatic ecosystems are two of the most important ecological threats facing the complex. Habitat has been lost and degraded for development to support burgeoning human population. The region surrounding the refuge is one of the fastest growing areas in Alabama. Habitat has been lost and degraded for agriculture, flood-control projects, transportation corridors, and residential development (FWS 2007). The Conservation Plan states that aquatic ecosystems have been greatly deteriorated by human activities including impoundment and other activities that cause erosion and sedimentation.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this snail.

References:

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Scientific Name:

Polycentropus floridensis

Common Name:

Florida Brown Checkered Summer Sedge

G Rank:

G2

Range:

NatureServe (2008) estimates the range of *Polycentropus floridensis* as 100-250 square km (about 40-100 square miles). It is known only from Florida and southernmost Alabama.

Distribution in each state is limited to a few occurrences (Lago and Harris 1983, Rasmussen, 2004- 4 sites).

Habitat:

This caddisfly is found in small, clear streams with moderate flow in sandhills with a fairly heavy pine-oak canopy (NatureServe 2008).

Populations:

This caddisfly is known only from three occurrences: one in Alabama (Baldwin County) and two in Florida (Walton and Hamilton Counties-the latter being disputed). Rasmussen (2004) also found this species to be widespread, though not common, in Eglin Air Force Base in Florida.

Population Trends:

This species is believed to be relatively stable "as long as stream habitats supporting populations on Eglin AFB are protected" (Rasmussen et al. 2008).

Status:

NatureServe (2008) ranks this species as critically imperiled in Florida and not rated in Alabama. In Florida it is a Species of Greatest Conservation Need. According to Morse, in Deyrup (1994), this species is Threatened.

Habitat destruction:

According to NatureServe (2008), the habitat for this species is subject to pollution, siltation, and other forms of environmental degradation. The wellbeing of this species is largely dependent on the quality of its stream habitat at Eglin Air Force Base (Rasmussen et al. 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this caddisfly. It is thought to be stable, largely based on the current condition of its stream habitat at Eglin Air Force Base. Given, however, that issues of national security are prioritized over species protection, its occurrence on the Base does not ensure its continued survival.

References:

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Scientific Name:

Potamogeton floridanus

Common Name:

Florida Pondweed

G Rank:

G1

Range:

This plant is known from a single drainage, the Blackwater River, in Santa Rosa County, Florida (NatureServe 2008).

Habitat:

This plant is found in ponds and along river margins (NatureServe 2008).

Ecology:

The pondweed is sometimes water-pollinated, with dispersal occurring passively by downstream flow.

Populations:

As of 1997, four occurrences were reported in the Blackwater River (NatureServe 2008). Global population size is not known.

Population Trends:

Trend information is not available for this species.

Status:

The pondweed is known from an extremely small range. NatureServe (2008) ranks the Florida pondweed as critically imperiled in Florida, where it is also listed as endangered.

Habitat destruction:

Within its extremely limited range, this plant is threatened by recreation (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in Florida, this designation affords the Florida pondweed no significant regulatory protections; no existing regulatory mechanisms adequately protect the Florida pondweed.

References:

NatureServe. 2008. NatureServe explorer: an online encyclopedia of life. Available at: <http://www.natureserve.org/explorer>. Accessed December 3, 2009.

Scientific Name:

Potamogeton tennesseensis

Common Name:

Tennessee Pondweed

G Rank:

G2

Range:

Tennessee pondweed is an aquatic herb endemic to a small area within the Appalachian region of the southeastern United States. According to natural heritage records, it is currently known in the following counties: Jackson, Pike, and Vinton Counties in Ohio, Fayette and Fulton Counties in Pennsylvania, Blount, Cumberland, Monroe, Morgan, Polk, and Scott Counties in Tennessee, Bath County in Alabama, and Harrison and Tucker Counties in West Virginia (NatureServe 2008).

Habitat:

This plant occurs in ponds and slow-moving, acidic rivers or streams, generally in shallow waters (TN NHP 2008).

Ecology:

Pondweed is aquatic, perennial, and produces both submerged and floating leaves. It flowers May-September, and fruits mature August-September (NatureServe 2008).

Populations:

Total population size is unknown, but there are reportedly 5 occurrences in Tennessee, 1 in Pennsylvania, 2 in Virginia, and 7 in West Virginia, though more were known historically (NatureServe 2008). Population size is unknown.

Population Trends:

Tennessee pondweed appears to be in decline.

Status:

NatureServe (2008) ranks the Tennessee pondweed as critically imperiled in North Carolina, Pennsylvania, and Virginia, and imperiled in Tennessee and West Virginia.

Habitat destruction:

Because this species is sensitive to changes in water level, it is threatened by impoundments and diversions. Individual populations may also be threatened by the commercial development of habitat, land-use conversion, agriculture, and habitat fragmentation (Southern Appalachian Species Viability Project 2002).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Tennessee pondweed; though it is listed as threatened in Tennessee and widely recognized as rare or in need of conservation, these designations offer it no substantial regulatory protections.

Other factors:

Tennessee pondweed is sensitive to water quality and is highly threatened by agricultural run-off and other pollutants (Southern Appalachian Species Viability Project 2002).

References:

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Scientific Name:

Problemata bulenta

Common Name:

Rare Skipper

G Rank:

G2

IUCN Status:

NE - Not evaluated

Range:

This butterfly is present in Delaware, Georgia, Maryland, North Carolina, New Jersey, South Carolina, and Virginia, and ranges from Delaware Bay and the Mullica estuary in New Jersey to the Savannah River in Georgia. Natural heritage records indicate that the species is present in Delaware's Kent County, Maryland's Dorchester County, North Carolina's Brunswick and New Hanover Counties, New Jersey's Atlantic, Burlington, Cape May, Cumberland, and Salem Counties, and in Virginia's James City, King William, New Kent, and Surry Counties (NatureServe 2008). Distribution across its range is patchy and inconsistent.

Habitat:

This species is typically found in brackish wetlands along tidal rivers, though individuals may also occupy salt or freshwater marshes. Populations are present along the coastline and up to three miles upriver. Adults leave marshes to feed, traveling up to half a kilometer or more, and are most often observed foraging along roadsides. It is associated with *Zizaneopsis* in the southerly portion of its range, and with *Spartina cynosuroides* in New Jersey and Maryland. Suitable habitat must include nectar-bearing plants or nearby access to nectar foraging grounds. Preferred breeding habitat is unknown. Though the species is non-migratory, adults are powerful fliers and disperse relatively long distances to feed.

Ecology:

Adults are diurnal and feed exclusively on nectar: preferred nectar plants are swamp milkweed (*Asclepias incarnata*), common milkweed (*Asclepias syriaca*), dogbane (*Apocynum androsaemifolium*), and buttonbush (*Cephalanthus occidentalis*). The juvenile form (larvae) is herbivorous, and is often found on *Spartina cynosuroides* (Cromartie and Schweitzer 1993). Larvae overwinter in one of the mid instars: larval period with diapause is approximately August to June in the northern portion of this species' range (NatureServe 2008).

Populations:

Total adult population size is unknown for this species: adults may be sparsely distributed over large areas of habitat, which makes censusing difficult. There are roughly 20 known occurrences, 9 of which are in New Jersey (NatureServe 2008). This species is rare throughout its range.

Population Trends:

Population trends are unknown.

Status:

NatureServe (2008) reports that *P. bulenta* is critically endangered in Delaware, Maryland, Virginia, North Carolina, South Carolina, Georgia, and imperiled in New Jersey.

Habitat destruction:

This species is threatened by river impoundments and diversions which threaten riparian habitat (Shepherd 2005). Management activities can also threaten this species' habitat. Prescribed

burning can destroy breeding habitat and kill larvae, and mowing of roadsides and fields destroys foraging habitat (Shepherd 2005, NatureServe 2008). Shepherd (2005) reports that it is probable that this species is threatened by development and by recreational activities. LeGrand (2006) reports that in North Carolina, construction work on the dikes at Eagle Island has removed most of the verbena used as a nectar source by this species.

Overutilization:

This species may be threatened by overcollection in some parts of its range, most particularly Maryland and New Jersey (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in Delaware and threatened in Maryland, these designations afford the rare skipper no significant regulatory protections. No existing regulatory mechanisms adequately protect the rare skipper from the threats it faces.

Other factors:

Shepherd (2005) reports that spraying for mosquito control is a significant threat to this species, especially in Delaware. NatureServe (2008) reports that insecticide applications may exclude this species from some habitat, and that the use of Dibrome and other highly toxic biocidal chemicals are known threats at several occurrences (NatureServe 2008). This butterfly is also threatened by invasive species. Invasion by *Phragmites* spp. (common reed) may exclude native foodplants at some sites, and require significant management action (NatureServe 2008). Walton (2000) cites invasive *Phragmites australis* as a threat to the rare skipper in Virginia. LeGrand (2006) also reports that in North Carolina this species is threatened by the spread of common reed, which may displace preferred plants. This species is also threatened by stochastic weather events, as extreme storms likely eliminate small populations of this butterfly (Shepherd 2005).

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Scientific Name:

Procambarus acherontis

Common Name:

Orlando Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

NatureServe (2008) estimates that the total range of *P. acherontis* is less than 100-250 square km (less than about 40 to 100 square miles). It is endemic to central peninsular Florida. All element occurrences lie within a small limestone region on the south side of the Wekiva River, in the vicinity of metropolitan Orlando in Seminole and Orange counties.

Habitat:

The Orlando Cave crayfish occupies karst aquifers (NatureServe 2008). It has been collected from mouths of springs, sinkholes, underground streams, wells, and caves. It frequents both the lighted and deeper, dark portions of such systems. Deyrup and Franz (1994) state that "[t]his crayfish is restricted to groundwater sites associated with spring caves and wells. It has been reported from the lighted portions of sinkholes and from the vicinity of spring openings at several sites. At the Apopka site, divers reported finding specimens throughout the cave system, as well as in the lighted 'blue hole' portion of the sinkhole entrance. Presumably these white crustaceans are vulnerable to fish predation in the lighted vents of spring caves, such as those at Wekiva and Palm springs."

Ecology:

According to Deyrup and Franz (1994), "This cavernicolous crayfish is of particular interest because it represents an aberrant, probably relictual, species with no surviving surface relatives... Hobbs (1942) suggests that this group of crayfishes was derived from the earliest crayfish stocks that invaded the Florida peninsula."

Populations:

NatureServe (2008) reports that there are approximately four occurrences, all near Orlando, Florida. The type locality, a well, has collapsed. There are estimated to be fewer than 5 populations with less than 1000 total individuals. The largest known population contains less than 50 adults.

Population Trends:

Trend information is not available for this rare species. The type locality was lost when a well collapsed.

Status:

Procambarus acherontis is endemic to a very narrow region of Florida with a rapidly expanding human population. There are very few occurrences, and the species is critically imperiled (NatureServe 2008). Florida lists it as a Species of Greatest Conservation Need. The Florida Committee on Rare and Endangered Plants and Animals designates *P. acherontis* as Rare. In 1985 the U.S. Fish and Wildlife Service found that listing *Procambarus acherontis* as Endangered was warranted by the available scientific information (50 FR 19761). The listing was precluded by other FWS actions, and the species languished on the C-2 Candidate List until that list was abolished. It is ranked as endangered by both the IUCN and the American Fisheries Society. NatureServe (2010) recommends state and federal listing.

Habitat destruction:

NatureServe (2010) states that this species is likely susceptible to many forms of disturbance and is threatened by human population growth in the rapidly expanding city of Orlando, and that increasing development may negatively affect hydrological conditions and threaten aquatic cave environments.

Deyrup and Franz (1994) report that "the extensive urbanization in this area is affecting both water quality and quantity of local groundwater reserves."

In 1985 the Orlando Sentinel reported that "[*Procambarus acherontis*) is one variety that has found itself in the worst position -- living in wells and underwater caves that straddle the Orange-Seminole county line, where growth is erupting in once-rural areas." Furthermore, the Sentinel quotes Richard Franz, "[b]esides the direct effect of development replacing crayfish territory, the creature may be threatened by water quality deterioration caused by storm water runoff and groundwater pollution... It is in a very tenuous situation."

According to the Florida Springs Task Force (2000), the entire range of the Orlando Cave Crayfish (*P. acherontis*) lies within heavily urbanized areas that are at risk for groundwater pollution.

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that none to few (0-3) occurrences of this species are appropriately protected and managed. There might be an occurrence at Wekiva Springs State Park, but it was not detected by Franz during 2002 surveys. NatureServe recommends preservation of existing occurrences, state/federal listing, and the acquisition of Apopka Blue Sink and buffer.

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Scientific Name:

Procambarus apalachicola

Common Name:

Coastal Flatwoods Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

Procambarus apalachicola is known from the coastal flatwoods of Bay and Gulf counties, Florida (NatureServe 2008).

Habitat:

Procambarus apalachicola is found in Florida's coastal flatwoods in lentic situations and burrows (Crandall and Fetzer 2003).

Populations:

This species is known from two counties, and information is not available on population size or trend.

Status:

Procambarus apalachicola has a restricted range, and is threatened by commercial and residential development (NatureServe 2008). Its status in Florida is imperiled (NatureServe 2008). AFS lists this species as Threatened due to habitat loss and limited range.

Habitat destruction:

Procambarus apalachicola is facing habitat loss threats from continued development of coastal property in and around Panama City, Florida (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Procambarus attiguus

Common Name:

Silver Glen Springs Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

CR - Critically endangered

Range:

The Silver Glen Springs Crayfish is known only from the type locality, which is Silver Glen Springs, in Marion County, Florida (Hobbs and Franz 1992). This species has an Area of Occupancy of less than 10 sq. km (NatureServe 2008).

Habitat:

P. attiguus inhabits a subterranean karst stream with a moderately strong discharge through springs and sand boils (NatureServe 2008). The spring is currently used for recreation (swimming). According to NatureServe (2008), most specimens were observed "sequestered in small crevices in the walls [of the cave]".

Deyrup and Franz (1994) state that "this crayfish was collected in a large cavern, about 213 m (700 ft) inside the main spring entrance. Specimens have been found in flocculence of reddish organic material, possibly bacterial growth, on a breakdown slope near the floor of the cavern, and floating about 30 m (100 ft) above the floor in the water column, presumably displaced from the ceiling by bubbles of air escaping from the diver's regulator (Hobbs and Franz 1992)."

Populations:

This species is known from a single site. Although only three animals have been collected, Hobbs and Franz (1992) report that the collectors observed several more sequestered in small crevices in the walls of the cave.

Status:

NatureServe (2008) ranks this species as critically imperiled. The State of Florida lists it as a Species of Greatest Conservation Need. It is ranked by AFS as endangered and by the IUCN as critically endangered.

Habitat destruction:

The survival of this crayfish is threatened by recreation. It occurs in a single cave in the Ocala National Forest which is owned by the St. Johns River Water Management District and is managed as a public recreation area. This species is threatened by water pollution or disturbance from tourists. One solution tube has been roped off to protect wildlife, but this ultimately does not stop tourists from entering the cave. Snorkelers and scuba divers are able to access many of the cave solution tubes which lead to the chamber where *P. attiguus* is present.

Inadequacy of existing regulatory mechanisms:

According to the USFS (2005), *P. attiguus* is found in the Ocala National Forest, where it is a USFS Sensitive Species, but this designation offers the species no regulatory protection.

Other factors:

Due to the low levels of nutrients reaching the cave chamber due to the strong outflow current, it can be assumed that this species has a late reproductive maturity and a long life history making it susceptible to any loss of individuals (NatureServe 2008).

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Scientific Name:

Procambarus barbiger

Common Name:

Jackson Prairie Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

The Jackson Prairie crayfish is confined to the Jackson Prairie area in Mississippi.

Habitat:

P. barbiger has been found in deep, simple burrows in well-drained prairie soils that are rich in organic material; it burrows generally to bedrock and is intolerant of cultivation (NatureServe 2008). The Mississippi Department of Wildlife, Fisheries and Parks (2005) notes that the species occupies Jackson Prairie and associated pine seeps.

Populations:

NatureServe (2008) reports about 6-20 populations of P. barbiger with a total of 1000-2500 individuals. A recent study by MS NHP has greatly increased the number of known populations of this species.

Population Trends:

According to NatureServe (2008) this species is presently secure, but fragile.

Status:

Procambarus barbiger is ranked as imperiled (NatureServe 2008). The State of Mississippi has designated it as a Tier 1 Species of Greatest Conservation Need. It was also a Federal C-2 Candidate Species before that list was abolished. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

The Jackson Prairie crayfish is threatened by development. NatureServe (2008) states: "The TVA is upgrading a section of transmission line in Scott county, which will see heavy equipment moving through the Jackson Prairie area. The presence of heavy vehicles will cause the soils to compact, crushing the burrows of this species. Additionally, the construction work that will take place, will cause increased run-off making the water turbid and increasing sedimentation. Conversion of prairie to agricultural land leads to extirpation of this species. Re-establishment of prairie does not see the return of this species (Fitzpatrick 1996). Land use may pose a significant threat to this species. . . . Data suggest that when prairie is disturbed (e.g., by farming) the species disappears and is replaced by other species when disturbance ceases."

Inadequacy of existing regulatory mechanisms:

Procambarus barbiger occurs on the Bienville National Forest, where it is considered a Forest Service Sensitive Species (USFS 2008), but the protection offered under this designation is discretionary. No existing regulatory mechanisms protect this species.

Other factors:

This crayfish is threatened by pollution from agriculture and development, particularly sedimentation (NatureServe 2008).

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Scientific Name:

Procambarus cometes

Common Name:

Mississippi Flatwoods Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

All known sites for this species are within ten miles of Starkville in Lowndes and Oktibbeha counties, Mississippi (NatureServe 2008).

Habitat:

The Mississippi Flatwoods crayfish is found in relatively simple burrows in flatwood and meadows; it occupies rich, well-drained soils with bedrock approximately seven meters maximum below the surface (NatureServe 2008).

Populations:

Less than ten occurrences of this species are known. NatureServe (2010) estimates global abundance at 250-2500 individuals.

Population Trends:

NatureServe (2010) reports a short-term decline of 10-30 percent for this species, stating: "The complex of species within "hagenianus" (of which cometes is one), was historically abundant in Mississippi, and in some cases even an agricultural pest. The decline appears to be associated with increased chemical use in agricultural activities. Urbanization and construction would also have local impacts on species, however the species within this complex are poorly known (Hartfield pers. comm. 1995). The species no longer occurs at the type locality (B. Jones, pers. comm., 2009)."

Status:

NatureServe (2010) ranks this species as critically imperiled. It was a Federal C-2 Candidate species before that list was abolished. The State of Mississippi classifies it as a Tier 1 Species of Greatest Conservation Need. This means that it is in need of immediate conservation action and/or research because of extreme rarity, restricted distribution, unknown or decreasing population trends, specialized habitat needs and/or habitat vulnerability. It is ranked as vulnerable by the IUCN and as endangered by the American Fisheries Society.

Habitat destruction:

NatureServe (2010) reports that this species is susceptible to habitat modification, and that it was extirpated from its type locality due to habitat modification. It is threatened by increased chemical use in agricultural activities, urbanization, and construction (NatureServe 2010).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

Other factors:

NatureServe (2010) states that this species "has been poisoned owing to the problems they caused to crops."

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Scientific Name:

Procambarus delicatus

Common Name:

Bigcheek Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

CR - Critically endangered

Range:

Procambarus delicatus is restricted to one site (Alexander Springs Cave) in Lake County, Florida, USA (NatureServe 2008).

Habitat:

This species lives in subterranean pools in aquatic caves characterized by low energy input, according to NatureServe (2008). The springs are "surrounded by cypress, cabbage palmettoes, and a number of hardwood trees and shrubs" (Hobbs and Franz 1986). According to Deyrup and Franz (1994), "the first two specimens reportedly were collected at the mouth of a small vent and in the main spring boil at Alexander Springs ... while the third specimen was taken in an algal covered area at a depth of a little less than two meters and about 15 meters from the nearest vent" (Internal citations omitted).

Populations:

The Bigcheek Cave Crayfish is only known from the type locality, Alexander Springs, as reported by NatureServe (2008). Fewer than 10 specimens have been taken in the 15 plus years its existence has been known. This may be related to the fact that its cave habitat at Alexander Springs is inaccessible to humans. Hobbs and Franz (1986) postulate that the low population of *P. delicatus* (and *P. horsti* from Big Blue Springs) is linked to the low energy input into springs, as compared to the larger amount of energy available in sinks via organic inputs.

Population Trends:

Trend information is not available for this rare species.

Status:

Only one occurrence of this species is known (NatureServe 2008), giving it a Florida state status of critically imperiled. AFS lists this species as Endangered due to its extremely limited range. Florida lists it as a Species of Greatest Conservation Need. It is ranked as critically endangered by the IUCN.

Habitat destruction:

There is an extensive recreation area at Alexander Springs, including camping, boating, swimming, and other activities (Waymarking.com 2007). This has the potential to reduce the water quality of the Springs.

According to Dickson and Franz (1980) "[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality."

The Florida Department of Community Affairs (2008) states that Florida's freshwater springs system is

threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

According to Walsh (2001):

“Perhaps the most serious potential threat to Florida’s hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythropus*) and three troglaphiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

Inadequacy of existing regulatory mechanisms:

According to NatureServe(2008), the only occurrence at Alexander Springs is on land belonging to the U.S. Forest Service (Ocala National Forest). The Ocala National Forest Land and Resource Management Plan does not mention *Procambarus delicatus*. It is a Forest Service Sensitive Species, but this designation provides no regulatory protection and this crayfish is threatened by recreation.

Alexander Springs is designated as a Wild River for 3 miles and a Scenic River for 7 miles under the U.S. Wild and Scenic Rivers Act (USFS 1999 at p. 4-10). The goal of the Forest Service is to "provide an essentially unmodified natural environment along wild segments of wild and scenic rivers and a predominantly natural environment along scenic segments." Id. at p. 4-11.

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Scientific Name:

Procambarus econfinae

Common Name:

Panama City Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

Procambarus econfinae has a range less than 100 square km (less than about 40 square miles). It is known only from a small area on the peninsula that now supports Panama City, Bay County, Florida. Within this area, the species' distribution is patchy. Range is bounded on three sides by St. Andrews Bay system, and on the fourth (east) by Callaway Creek and Bayou.

Habitat:

NatureServe (2008) reports that the Panama City crayfish lives in ditches and temporary ponds in pine flatwoods in which the water table is near the surface. The species is a secondary burrower. It appears that the species has been driven from its natural habitat (depressions in pine flatwoods) by habitat alteration and now survives largely or almost entirely in disturbed habitats. Power line rights-of-way now support the largest populations. Importantly, the species is restricted to certain soil types and habitats within its range.

In dry periods, the species inhabits simple burrows that it constructs in wet pine flatwoods soils; burrows are marked by short chimneys of stacked mud balls. During times of higher water, [Panama City] crayfish leave the burrows and inhabit the flooded flatwoods as well as adjacent drainage ditches (Florida FWC 2001). According to Florida FWC (2001), *P. econfinae* occupies sites year-round, but its seasonal presence above ground is tied to periods of high water.

Ecology:

Females are known to reproduce in late spring and early summer.

Populations:

According to NatureServe (2008), there are less than five populations of *P. econfinae*. While slightly more than two dozen sites are known, all are from the same local area and essentially represent parts or fragments of a larger metapopulation. Each local population occupies less than 1.5 acres in a small area of Bay County, Florida. Florida FWC (2001) reports that this species is known only from two localities on the small peninsula on which Panama City, Bay County, is located.

Population Trends:

NatureServe (2008) warns that this species is being extirpated rapidly. It is facing a very rapidly decline (decline of 50-70 percent) in the short term. The loss of populations and decline in area of occupancy between 2000 and 2010 is projected to exceed 50 percent. Most known sites are threatened by road widening, pollution, pipeline installation, drainage, and other forms of disturbance.

Status:

This species is classified as critically imperiled. According to NatureServe (2008) it has a very limited range and high threats to nearly all remaining occupied habitat. Despite one petition to change its Florida State status to Threatened due to a tiny range and ongoing significant habitat

loss (Keppner 2001b), and another to declassify it entirely because its natural habitat is completely eliminated (Bingham 2003), *P. econfinae* remains a Florida Species of Special Concern, as it has been since 1987. It is ranked as endangered by both the IUCN and the American Fisheries Society.

Habitat destruction:

Land development is the primary cause of the decline of this species (Anonymous 2006). Restriction to a rapidly growing urbanized area severely endangers this species with habitat loss, drainage, and pollution. Most of the known occurrences are imminently threatened by highway expansion and infrastructural and other forms of development. Silvicultural activities have also heavily impacted the species (NatureServe 2008).

Deyrup and Franz (1994) state that "[u]ntil recently, it was feared that this species had become extinct as a result of wetland drainage and the impacts of increased urbanization. However, recent collections in the vicinity of the type locality (near junction of County Roads 389 and 390) indicate that the species is still extant, but due to its small range it should be considered extremely vulnerable to extinction because of continued drainage projects and development in the Panama City area."

Florida FWC (2001) warns that wetland drainage and urban and residential development have greatly reduced and continue to threaten the remaining habitat of this species, stating: "[t]here is a dire need to protect from development and drainage any wet flatwoods habitat that is known to or which may harbor this species."

Inadequacy of existing regulatory mechanisms:

NatureServe (2009) reports that there is no known protection for this species, and strongly recommends the following: "Maintain natural hydrologic regimes of all pine flatwoods and included ephemeral wetlands in area; this entails absolutely no drainage, ditching, and filling. Protect sites from pollutants, including runoff from roads and leakage from septic tanks. Avoid dredging and installation of box culverts at locations of roadside populations."

NatureServe (2009) reports that a CCAA may be in development for this species.

Other factors:

This crayfish is threatened by pollution from development and silviculture (Anonymous 2006).

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Scientific Name:

Procambarus erythropterus

Common Name:

Santa Fe Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

NatureServe (2008) reports that the Santa Fe Cave crayfish has a range less than 100-250 square km (less than about 40 to 100 square miles), being known only from southern Suwannee County, Florida.

Habitat:

Procambarus erythropterus inhabits subterranean pools. It occurs near entrances of flooded sinkholes and caves on accumulations of detritus. Deyrup and Franz (1994) state: "*Procambarus erythropterus* occupies debris cones under large flooded sinkholes. As yet, no colonies have been found in association with bat colonies. Little or no water flow, other than vertical movements associated with local changes in the water table, has been noted in cave sites where this species occurs. This suggests that this species, like others in the lucifugus complex, prefers the more stagnant portions of cave systems where detrital material can accumulate without being washed away."

Ecology:

The species is long-lived for a crayfish with animals marked before August 1978 collected during 1994 in a cave in Suwannee Co., Florida (Streever 1996).

Populations:

NatureServe (2008) estimates 1 - 5 populations with less than 2500 individuals of *P. erythropterus*. It is known from several caves and sinks, probably interconnected, in Suwannee County, Florida. All occurrences may be interconnected and function as one. Only two of the known sites (Sims and Azure Blue sinks) contain substantial populations. There are an estimated 500 individuals at Sims Sink, with other populations believed to be much smaller.

Status:

Florida lists this crayfish as a Species of Special Concern. NatureServe (2008) ranks it as critically imperiled. It is ranked as endangered by both the IUCN and the AFS.

Habitat destruction:

NatureServe (2008) warns that this species is potentially threatened by changes in hydrology and detrital flow. All known occurrences possibly may function as one through interconnection of the local aquifer. Contamination from runoff and alteration of the local water table may pose potential problems. One site where this species occurred, Hildreth Cave, became a garbage dump in the early 1970s (NatureServe 2008).

According to Walsh (2001): "Perhaps the most serious potential threat to Florida's hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglomorphic Santa Fe Cave Crayfish (*Procambarus erythropterus*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been

notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation. These threats could be avoided by state acquisition of the springs, or through comprehensive land use planning.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) recommends instigating measures to protect the local aquifer throughout the entire area encompassing known occurrences. According to Deyrup and Franz (1994) "Sims Sink recently was secured by The Nature Conservancy and will be managed to protect this species. The conservation status at most other sites is undocumented, although no crayfishes have been seen at Hildreth Cave after it became a garbage dump in the early 1970s." No existing regulatory mechanisms adequately protect this species.

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Scientific Name:

Procambarus fitzpatricki

Common Name:

Spinytail Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

P. fitzpatricki is restricted to extreme southern Mississippi between the Wolf and Pascagoula rivers. It occurs in George, Harrison, Jackson, and Stone Cos., Mississippi (S. Adams, pers. comm., 2009 cited in NatureServe 2010).

Habitat:

The Spinytail crayfish has been found in moderately complex burrows in sandy soil, usually with a high water table (NatureServe 2008).

Populations:

There are fewer than 20 known occurrences of this species. Recent interest in this crayfish by the Mississippi Natural Heritage Program has greatly increased the number of known populations of this species. Most colonies are of moderate size for burrowers.

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks this species as imperiled. It was a Federal C2 Candidate Species until that list was abolished. The State of Mississippi classifies it as a Tier 1 Species of Greatest Conservation Need. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

According to NatureServe (2008) this species has been historically threatened by lowering of the water table and to a lesser extent overall toxic accumulation from industry. Currently it is threatened by development and by food processing industries and chemical industries (Fitzpatrick pers. comm. 1995 cited in NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

This species occurs on the DeSoto National Forest in Mississippi, where it is considered a Forest Service Sensitive Species (USFS 2008) but this provides only discretionary levels of protection.

NatureServe (2010) reports that no occurrences of this species are appropriately protected and managed.

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Scientific Name:

Procambarus franzi

Common Name:

Orange Lake Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

This species is known only from three caves in the vicinity of Orange Lake, north-central Marion County, Florida, USA.

Habitat:

This species is found in pools under bat roosts in the dark zones of caves (NatureServe 2008). According to Deyrup and Franz (1994), "two of the three sites are caves that house nursery colonies of the southeastern bat (*Myotis austroriparius*). The third is a flooded cave system that is entered through a big sink that accumulates a large quantity of detritus." Deyrup and Franz (1994) report that "this cavernicolous crayfish focuses its activities at major sources of detrital input."

Populations:

According to NatureServe (2008) there are less than five populations with fewer than 1000 total individuals of *Procambarus franzi*. There are only three known sites, all in the vicinity of Orange Lake, Marion County, Florida; these may be interconnected and act as one occurrence. All known populations are small.

Status:

NatureServe (2008) ranks this species as critically imperiled, stating: "The limited populations sizes, limited distribution, and fluctuation in water levels are all threats to this species." Florida lists it as a Species of Greatest Conservation Need. It is ranked as endangered by both the IUCN and the American Fisheries Society.

Habitat destruction:

NatureServe (2010) reports that potential threats include pollution and changes in hydrology, stating: "The type locality is impacted by quarrying activities, and it is unknown what impacts this will be having on the groundwater quality and general disturbance. The species is known to occupy only one chamber in its type locality, making it sensitive to any threat which disturbs this chamber."

Deyrup and Franz (1994) state that "Since the species has a very restricted distribution and is dependent on accumulations of detritus, it is vulnerable to habitat changes from both within caves and around their entrances. Presumably, changes in groundwater quality and quantity, as well as disruption of associated bat colonies, could lead to the extirpation of important crayfish colonies."

According to Walsh (2001): "Perhaps the most serious potential threat to Florida's hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythropterus*) and three troglaphiles that may have been due to physicochemical changes associated with flushing of

contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

According to Dickson and Franz (1980): “Because troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations, troglobitic species may be susceptible to subtle changes in water quality.”

Inadequacy of existing regulatory mechanisms:

According to Deyrup and Franz (1994) "all known localities are in private ownership." NatureServe (2010) reports that no occurrences are appropriately protected.

Other factors:

Because this species is closely associated with bat guano, it is threatened by any factor which threatens bat populations (NatureServe 2008).

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Scientific Name:

Procambarus horsti

Common Name:

Big Blue Springs Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

This species is endemic to northern Florida (NatureServe 2008). It has been found at less than five sites in Jefferson, Leon and Wakulla counties. The entire area is less than 100 square km (less than about 40 square miles).

Habitat:

This troglobitic species occurs in aquatic caves at the bottom of limestone springs, and has been seen in the twilight zone between light and dark, according to NatureServe (2008). Deyrup and Franz (1994) state that *P. horsti* was "seen in the boil area of springs as well as in caves at water depths of 21-26 m (70-80 ft)."

Ecology:

Caine (1978) believes the specialized habitat of *P. horsti*, a fast-flowing freshwater spring, has caused it to adapt its behaviour to attacks. Display differences and the lack of a swimming response are adaptations to the fast flow, contrasting with the behaviour of surface species.

Hobbs and Means (1972) report that when they observed *P. horsti*, gastropods were the only obvious form of food. The species was inactive during daytime and very active at night.

Populations:

NatureServe (2008) states that this species is known from three sites in 3 adjoining counties, but all occurrences are within a 12 mile radius. Reports of a fourth occurrence in Wakulla County (Sally Ward Springs) requires verification.

NatureServe (2008) estimates less than 1000 total members of this species. Hobbs and Means indicated in 1972 that the Big Blue Spring population may have been very large at that time.

Hobbs and Franz (1986) postulate that the low population *P. horsti* (and *P. delicatus* from Alexander Springs) is linked to the low energy input into springs, as compared to the larger amount of energy available via organic inputs into sinks.

Population Trends:

Trend is unknown.

Status:

Endemic to a very localized region in northern Florida, with no more than five known occurrences, according to NatureServe (2008). This species may be sensitive to changes in water levels and quality. Florida lists it as a Species of Greatest Conservation Need.

IUCN lists this species as endangered, as does the American Fisheries Society. The Florida State Rank is critically imperiled, while the Florida Committee on Rare and Endangered Plants and Animals lists *Procambarus horsti* as Rare.

Deyrup and Franz (1994) state that "[t]his crayfish, like other cave species, is dependent on organic detritus from the surface for food. Changes in land use on the surface and presumably groundwater contamination could impact these subterranean crustaceans."

Habitat destruction:

NatureServe (2008) states that *Procambarus horsti* is very likely susceptible to habitat damage or destruction from pollution of groundwater or aquifer.

The Florida Department of Community Affairs (2008) states that Florida's freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

According to Dickson and Franz (1980) "[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality."

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Overutilization:

According to the Florida DEP (2007), in the Wakulla Springs State Park, "blind crayfish [such as *P. orcinus* and *P. horsti*] are vulnerable to over collecting. Since the 1987 dive project, no specimen collections of any troglodytic fauna have been authorized."

Inadequacy of existing regulatory mechanisms:

This species enjoys some protection in the following sites in Florida: Shepherd Spring in the St. Marks National Wildlife Refuge, Wakulla County; Big Blue Spring, Wacissa River, Jefferson County; and, if species' presence is confirmed, Wakulla/Sally Ward Springs, Wakulla County (NatureServe 2008). However, site protection alone does not assure protection of species, as the water quality in the aquifer is subject to external influences.

The upper Wacissa River and an upland buffer is protected by the State of Florida, following purchase by the Nature Conservancy (Business Wire 2000).

Deyrup and Franz (1994) suggest that "upstream drainage basins of the Wacissa River in Jefferson County and Spring Creek in the St. Marks area should be protected.

Reports of *P. horsti* from Wakulla and Sally Ward springs should be investigated, and voucher specimens from these sites should be deposited at the Smithsonian Institution, where there is comparative material available for study. There should be an intensive survey of springs and caves in the Tallahassee limestone area to find additional localities where this species exists."

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Scientific Name:

Procambarus lagniappe

Common Name:

Lagniappe Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008), Procambarus lagniappe is known from seven localities in Kemper County and one location in Lauderdale County, Mississippi, and one location in Sumter County, Alabama.

Habitat:

The Lagniappe crayfish occupies clear, swift, cool streams with firm sandy bottoms and emergent vegetation (NatureServe 2008).

Populations:

This species is estimated to have less than 5 total populations with less than 1000 individuals (NatureServe 2008). It is known from nine localities. There are fewer than 50 specimens known.

Status:

The limited range and small population of this species gives it a status of critically imperiled in Alabama and Mississippi (NatureServe 2008). In Alabama it is categorized as a Priority 2 - High Conservation Need Species, while in Mississippi it is Tier 1 - "in need of immediate conservation action and/or research because of extreme rarity, restricted distribution, unknown or decreasing population trends, specialized habitat needs and/or habitat vulnerability." It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

The main threat to this species is water quality degradation through urbanization, deforestation, and agriculture (McGregor et al. 1999). NatureServe (2008) states, "Lots of deforestation and agriculture occur in this species range in Mississippi."

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) notes that P. lagniappe is recognized by MS NHP, but there are no known no specific sites which are protected.

Other factors:

This species is threatened by water pollution. In the area where P. lagniappe occurs, infertile eggs of a related species, P. jonesi, have been detected, and the empty eggs are possibly attributed to water quality problems (NatureServe 2008).

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Scientific Name:

Procambarus leitheuseri

Common Name:

Coastal Lowland Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

NatureServe (2008) reports that the total range of the Coastal Lowland Cave crayfish is less than 100-250 square km (less than about 40 to 100 square miles). It is known only from Pasco and Hernando counties, Florida, USA. This is the southwestern limit of troglobitic members of this subgenus in peninsular Florida.

Habitat:

Procambarus leitheuseri is found in flooded limestone cave systems (karst), at least some of which open to the surface via sinkhole ponds (NatureServe 2008). It has been found at depths of 16.7 to 69.9 m. It is usually associated with silt on cave floors. One site is a freshwater lens within a salt marsh. Most of the known sites show some tidal influence. Franz and Hobbs (1983) report that this species was discovered in flooded cave system in Hernando County, Florida, with floors made up of coarse sand and large blocks of breakdown in rooms and tunnels, thick layers of organic silt in entrance shafts and deeper sections of the caves. It was only observed in the freshwater zone above the halocline. The silt in its habitat is deposited on the cave floors in thick layers, accumulating under vertical shafts or dispersing into the caves, depending on water movement. The organic fraction of the silt is generated from biotic production in surface ponds. The crayfish are associated with the silt, but are not found in shafts or surface ponds. According to Deyrup and Franz (1994), "[T]his crustacean has been recovered from vertical sinkholes at water depths in excess of 60 m (200 ft). It has been observed in these caves only in the freshwater layer that lies above salt water zone. Most of the sinks in which this crayfish has been collected show some tidal influences."

Populations:

The Coastal Lowland Cave crayfish is known from eight sites, five of which are within a 3-mile radius and may constitute one occurrence (i.e., common aquifer).

Status:

The American Fisheries Society lists this species as Endangered due to limited range and habitat loss. It has also been classified as Rare by the Florida Committee on Rare and Endangered Plants and Animals. Florida lists it as a Species of Greatest Conservation Need. It is ranked as vulnerable by the IUCN and as critically imperiled by NatureServe (2008).

Habitat destruction:

Procambarus leitheuseri is potentially threatened by changes in hydrology, with possible saltwater intrusion into aquifer at some sites as a consequence of withdrawal of fresh water by humans in this rapidly developing area (NatureServe 2008). Deyrup and Franz (1994) state that "[t]he species is vulnerable to salt water intrusion and other changes in water quality. As a result of rapid urbanization in Hernando and Pasco counties, the surface area above these deep caves could be affected by changes in land use practices. Alteration of sinkhole ponds that connect with these vertical tubes could change the type, quality, and quantity of detrital matter that enters these caves, thus impacting the crayfish's food supply."

According to Dickson and Franz (1980) “[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality.”

According to Walsh (2001): “Perhaps the most serious potential threat to Florida’s hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythrops*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) states that there are probably no protected occurrences. One occurrence is just south of Chassahowitzka Swamp CARL project within the Southwest Florida Water Management District's Weekiwachee Riverine System project. NatureServe suggests that it is essential to protect or acquire at least some occurrences (e.g., Eagles Nest and Die Polder) with adequate buffers and consider state listing. This includes protect the local aquifer from pollution and over-consumption. According to Hicks (1993), "None of the [eight] caves [inhabited by *P. leitheuseri*] is protected, but one is within the Save Our Rivers' Weeki Wachee study area for possible purchase."

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Scientific Name:

Procambarus lucifugus

Common Name:

Florida Cave Crayfish

G Rank:

G2

Range:

The total range of *Procambarus lucifugus* is less than 100-250 square km (less than about 40 to 100 square miles) as determined by NatureServe (2008). The Florida Cave crayfish is restricted to Florida in an arc about 80 miles long. *P. lucifugus lucifugus* (type locality: Sweet Gum Cave, Citrus County) has been recorded from Citrus and Hernando Counties, northward to Marion County, where it intergrades with *P. lucifugus alachua* (type locality: Hog Sink, Alachua County); the latter continues into Alachua, Gilchrist, and Levy counties.

Habitat:

This species occupies subterranean/karstic fresh waters near surface openings of sinks, solution chimneys, and caves, according to NatureServe (2008).

Ecology:

It is usually associated with bat roosts and roost-related debris. If the local bat fauna is depleted this species could face population declines.

Populations:

NatureServe (2008) reports that there are 20-25 known elemental occurrences of this species. Population size and trend information is unavailable.

Status:

According to NatureServe (2008), this species is endemic to small region of Florida, with no known protected occurrences, and many populations are easily accessible to humans. Its status within Florida is imperiled. Florida lists it as a Species of Greatest Conservation Need.

Habitat destruction:

NatureServe (2008) states that alteration in hydrology or flow of detritus could destroy populations of this species, and that it is likely that it is being impacted by urbanization, alterations to the hydrological regime and water pollution.

The Dudley Farm Historic State Park has several caves that contain *Procambarus lucifugus*, and the caves have been impacted by heavy visitation including “modern garbage.”

According to Dickson and Franz (1980) “[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality.”

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The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation. These threats could be avoided by state acquisition of the springs, or through comprehensive land use planning.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) recommends protection and/or aquisition of populations of both subspecies and that appropriate authorities should consider state and federal listing because no populations of this species are currently protected.

Other factors:

Because this crayfish is usually associated with bat roosts and roost-related debris, it is threatened by declines in bat populations.

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Scientific Name:

Procambarus lucifugus alachua

Common Name:

Alachua Light Fleeing Cave Crayfish

G Rank:

T2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

This species is found exclusively in Florida where it is known from 11 or more caves in Southwestern Alachua, western Gilchrist, and northern and eastern Levy counties, intergrading with *P. (O.) lucifugus lucifugus* in Marion County.

Habitat:

The Alachua Light Fleeing Cave Crayfish lives in limestone sinks common to Florida. Some can live in direct light, some require total darkness (NatureServe 2008). According to Deyrup and Franz (1994), "these crayfishes apparently require unlighted groundwater habitats associated with caves and sinks that attract large quantities of organic detritus, particularly bat guano, which they use as food."

Populations:

There are less than 30 known populations of this species, and the total count of individuals is unknown. It is known from 13 caves, while intergrade forms of this species are known from 14 additional caves (Walsh, 2001). Hobbs et al. (1977) found 11 localities in Alachua and Gilchrist Cos., Florida.

Population Trends:

Trend is unknown.

Status:

This species has a restricted range and restricted habitat according to NatureServe (2008). It is imperiled in Florida (NatureServe 2008) where it is also a Species of Greatest Conservation Need. It is classified as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

According to NatureServe (2008), groundwater contamination negatively affects habitat quality and food sources for this crayfish.

Deyrup and Franz (1994) report that *P. l. alachua*'s "dependence on supplies of concentrated detrital material from the surface and on guano from bats makes these crayfishes vulnerable to changes in above ground land use. They also are vulnerable to groundwater pollution and other changes in water quality and quantity."

According to Dickson and Franz (1980) "[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality."

According to Walsh (2001):

“Perhaps the most serious potential threat to Florida’s hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythrops*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species. It occurs in the same location as the Squirrel Chimney cave shrimp (*Palaemonetes cummingi*), which is listed under the Federal ESA as Threatened (55 Fed. Reg. 25588, June 21, 1990). Therefore *P. l. alachua* in Squirrel Chimney Cave benefits from the ESA habitat protections afforded to the cave shrimp.

The Dudley Farm Historic State Park has several caves that contain *Procambarus lucifugus*, but rather than being protective these caves are threatened by recreational impacts.

Deyrup and Franz (1994) recommend that “[c]ertain sites, particularly those at Sunday Sink in Marion County and Hog and Goat sinks in Alachua County, are in need of protection.”

Other factors:

Because this species is dependent on guano as a food source, it is threatened by declines in bat populations. Extirpation of bats by urban development impacts the species in the Gainesville metropolitan area.

The Dudley Farm Historic State Park has several caves that contain *Procambarus lucifugus*. The caves have been impacted by heavy visitation including “modern garbage.” Fencing some caves is recommended. Pollution from large community septic systems could also harm the aquatic cave species.

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Scientific Name:

Procambarus lucifugus lucifugus

Common Name:

Florida Cave Crayfish

G Rank:

T2

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

NatureServe (2008) reports that the total range of this species is about 100-250 square km (about 40-100 square miles). *P. (O.) l. lucifugus* is found in Citrus and Hernando counties northward to Marion County, Florida, where it intergrades with *P. (O.) l. alachua*.

Habitat:

P. l. lucifugus occupies subterranean/karstic waters near sites of detrital input, particularly large sinkholes and areas under bat roosts in caves (NatureServe 2008). Deyrup and Franz (1994) report that "*Procambarus l. lucifugus* is associated with a large nursery colony of southeastern bats (*Myotis austroriparius*) at Sweet Gum Cave. This cave is subject to extreme fluctuations in the local water table. During periods of low water, incredible numbers of this crayfish occur under the traditional bat roost in the rear of the cave. During periods of flooding, crayfishes rarely are seen in the accessible areas near the entrance, even when baited traps are used. This suggests that the residual population remains in deeper portions of the cave where food supplies can be limited during periods when this area is not accessible to bats."

Ecology:

This cave crayfish lives on the floor of pools thick with organic sediments. It is also dependent on the presence of bats for resources (NatureServe 2008).

Populations:

Hobbs et al. (1977) includes 8 localities of this species in Citrus, Hernando, Lake, and Marion Cos., Florida. Walsh (2001) lists only two but includes 16 additional localities of intergrades between subspecies. NatureServe (2008) estimates 6-20 populations with an unknown number of individuals.

Status:

Procambarus lucifugus lucifugus is critically imperiled in Florida, based on restricted range and restricted habitat (NatureServe 2008). The State of Florida classifies it as Rare, and lists it as a Species of Greatest Conservation Need. It is listed as endangered by the American Fisheries Society and by the IUCN.

Habitat destruction:

NatureServe (2008) states that this species is threatened by groundwater contamination, and is most likely intolerant of disturbances.

According to Deyrup and Franz (1994) "Its presence at Sweet Gum has been documented with collections that span nearly 100 years. The cave is in private ownership, and the current owner is trying to protect the cave. However, since the crayfish is known only from this site, it remains vulnerable to changes in local land use and encroaching urbanization, and with its dependence on bat guano for food, it could be seriously impacted with changes in the bat population."

According to Dickson and Franz (1980) “[b]ecause troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations troglobitic species may be susceptible to subtle changes in water quality.”

According to Walsh (2001): “Perhaps the most serious potential threat to Florida’s hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill Florida recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythropus*) and three troglaphiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this species.

Other factors:

The Florida cave crayfish is closely associated with bat populations and is thus threatened by any factor which threatens bat populations.

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Scientific Name:

Procambarus lylei

Common Name:

Shutispear Crayfish

G Rank:

G2

AFS Status:

Special Concern

Range:

Procambarus lylei is restricted to small headwaters streams of the Yalobusha River in Calhoun, Grenada, Montgomery, Yalobusha and Webster counties, Mississippi.

Habitat:

The Shutispear crayfish is found in headwaters of streams with cool, firm sand bottoms, moderate to swift flow, and with trapped leaf litter (NatureServe 2008).

Populations:

This species is known from 17 localities across a five-county area and is never plentiful. A recent study by the MS NHP did not significantly increase its range, number of known populations, or population size elements.

Population Trends:

This species is still present at historical sites that have been revisited and is not considered to be declining in range. Busack (1988) suggested that the species' range was contracting due to habitat degradation, including hydrologic and stream channel alterations and loss of cover, resulting primarily from stream channelization and agriculture, but Fitzpatrick and Suttikus (1992) report that the species is still present at re-visited sites, but may be reduced in abundance. At the most agriculturally impacted site, *P. lylei* density was extremely low, and only juveniles were captured so identification was not definitive (USFS 2009). NatureServe (2010) reports that recent "improvement" of at least one site seems to have extirpated the species there.

Status:

NatureServe (2008) ranks *P. lylei* as critically imperiled. The State of Mississippi classifies it as a Tier 1 Species of Special Concern. AFS lists *P. lylei* as Vulnerable (Taylor et al 2007). It was a Federal C2 Candidate Species before that list was abolished.

Habitat destruction:

The shutispear crayfish is vulnerable to habitat loss and degradation because of its limited range, and because it seems intolerant of channelizing or deepening of its habitat (NatureServe 2008). Fitzpatrick and Suttikus (1992) speculate that the species does not tolerate low oxygen levels or eutrophication. Busack (1988) reports that this species is threatened by hydrologic and stream channel alterations and loss of cover, resulting primarily from stream channelization and agriculture. *P. lylei* density was found to be extremely low at sites heavily impacted by agriculture (Fitzpatrick and Suttikus 1992, USFS 2009). NatureServe (2008) reports that an additional conservation concern is that the already limited range is fragmented by Grenada reservoir and probably by channelized portions of the Yalobusha River and its major tributaries, presumably isolating populations in the tributary systems from one another. Recent "improvement" of at least one site seems to have extirpated the species there.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species, and NatureServe (2008) reports that no occurrences are appropriately protected and managed.

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Scientific Name:

Procambarus milleri

Common Name:

Miami Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

EN - Endangered

Range:

This species is known from only one locality in Miami, Dade County, Florida.

Habitat:

NatureServe (2008) indicates that there is little known about the habitat requirements of *P. milleri*. Specimens collected came from a well drilled into oolitic limestone to a depth of 22 feet. Deyrup (1994) reports that individuals were pumped from solution channels in the Biscayne aquifer.

Ecology:

According to Loftus and Bruno (2006), "[t]he origin of *P. milleri* as a subterranean organism is recent. In fact, it has not yet acquired all the morphological traits, such as blindness and lack of pigmentation, of truly subterranean organisms. Our data indicate that this rare crayfish is endemic to southern and central Miami-Dade County."

Populations:

With only one population known from 18 specimens, found in a well at Little Bird Nursery and Garden Store, Miami, Dade County, Florida, NatureServe (2008) estimates less than 1000 total individuals for the Miami cave crayfish. Loftus and Bruno (2006) found *P. milleri* in "13 new locations on the Atlantic Coastal Ridge. Most collections were from wells in the Fort Thompson limestone formation between seven to eleven-meters deep, although one collection was made at three meters."

Status:

This species is ranked as critically imperiled by NatureServe (2008), as a Species of Greatest Conservation Need by the state of Florida, and as endangered by the IUCN and the American Fisheries Society. Its occurrence only in Miami endangers it because of the multiplicity of threats to the local aquifer from the burgeoning human population and resulting water demands. Protection will be especially difficult because it occurs in a heavily utilized aquifer.

Habitat destruction:

Deyrup (1994) states that *P. milleri* "is probably extremely vulnerable to groundwater contamination. Since it is restricted to one of the fastest growing urban areas in the United States, the Miami cave crayfish probably has little chance of survival, particularly in light of the impacts of groundwater pumping, salt water intrusion, and the introduction of other toxins."

Loftus and Bruno (2006) report that "its small population and limited range make it vulnerable to human activities that affect groundwater, including pumping of water from the aquifer, degradation of water quality, and limestone removal."

The Florida Springs Task Force (2000) reports that the entire range of the Miami Cave Crayfish (*P. milleri*) lies within heavily urbanized areas that are at risk for groundwater pollution and salt

water intrusion.

Loftus et al (2001) report that: "The Atlantic Coastal Ridge is another area affected by urbanization and changing hydrologic management. Aquatic habitats, such as the transverse glades that cut through the Ridge, have been replaced by canals and will not be restored. Ground-water habitats and animal communities may have been less affected. As in karst areas elsewhere, deeper geological formations (>5 m) beneath the Rocky Glades and the Atlantic Coastal Ridge have voids of various dimensions known to house truly subterranean aquatic species. These include the Miami Cave Crayfish (*Procambarus milleri*), known only from a few wells in southern Florida."

Inadequacy of existing regulatory mechanisms:

There are no protected populations of this species, as reported by NatureServe (2008). Protection/aquisition of the only EO and as much buffer as possible is recommended. Authorities should consider state/federal listing.

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Scientific Name:

Procambarus morrissi

Common Name:

Putnum County Cave Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

CR - Critically endangered

Range:

Procambarus morrissi is known only from a single sinkhole aquatic cave (Devil's Sink) near Interlachen, in Putnam County, Florida.

Habitat:

The Putnam County cave crayfish occupies karst aquatic caves associated with sinkholes in sandy ridges of the Southern Trail Ridge.

According to Deyrup and Franz (1994), "[l]arge numbers of crayfishes were found in a small cave at the bottom of a deep water-filled sinkhole at a water depth in excess of 100 ft."

Populations:

There is only one known occurrence of this species. No estimate of population size is available, but known habitat is very limited in size. The species is known only from 15 specimens, from one collection of seven, and a second collection of eight individuals.

Status:

Florida lists this crayfish as a Species of Greatest Conservation Need. It is ranked as critically imperiled by NatureServe (2008), as critically endangered by the IUCN, and as endangered by the American Fisheries Society. This species is direly threatened. According to the Florida Springs Task Force (2000), "human-caused erosion will soon seal the entrance to the cave where the only known population of the Putnam County Cave Crayfish (*Procambarus morrissi*) is found, and may extinguish the species. "

Habitat destruction:

NatureServe (2008) warns that the only known population is disturbed by recreational use and dumping. The local ground water is subject to pollution and withdrawal for human consumption.

According to the Florida Springs Task Force (2000), "human-caused erosion will soon seal the entrance to the cave where the only known population of the Putnam County Cave Crayfish (*Procambarus morrissi*) is found, and may extinguish the species. "

Deyrup and Franz (1994) report that "[t]he only known site for this species is located on private land in the lake district near the town of Interlachen. This area currently is subject to land speculation and development, particularly around the lakes. The sink is used by locals as a swimming hole and by SCUBA divers. Divers probably do not pose any threat to these crustaceans, although none of these crayfishes should be collected except in connection with scientific studies. Divers report junk cars and other trash in the bottom of the sink. There is a real possibility that toxic chemicals could be dumped into the sink, killing the crayfishes in the cave."

Inadequacy of existing regulatory mechanisms:

The only known population is not protected, according to NatureServe (2008).

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Scientific Name:

Procambarus orcinus

Common Name:

Woodville Karst Cave Crayfish

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

According to NatureServe (2008), the Woodville Karst Cave crayfish has a range less than 100-250 square km (less than about 40 to 100 square miles). It occurs only in the aquifer and sinkholes of a very small area of the Woodville Karst Plain, on the border of Leon and Wakulla counties, Florida.

Habitat:

Procambarus orcinus occupies flooded caves, twilight zones, especially the deep parts of caves (NatureServe 2008). It is often found clinging upside-down on ceilings or head-down on vertical walls. According to Deyrup and Franz (1994) "[t]he Woodville cave crayfish is reported to cling upside down to the ceiling and head down on the vertical walls of flooded caves. Individuals are most numerous along the walls, especially where there are cracks and fissures near the floor. They are seen commonly in both the twilight areas and the dark portions of flooded caves."

Populations:

There are approximately 12-15 known occurrences, many of which may be interconnected, all in Leon and Wakulla counties, Florida. All occurrences lie within a single, relatively small aquifer.

Population Trends:

Trend is unknown.

Status:

NatureServe (2008) ranks this species as critically imperiled. The State of Florida lists it as a Species of Greatest Conservation Need. It is ranked as vulnerable by the IUCN and as threatened by the American Fisheries Society.

Habitat destruction:

Foot and vehicular traffic have caused erosion around at least one occurrence at Gopher Sink (NatureServe 2008). All populations are prone to potential pollution and detrital change. There is concern that this aquifer may be receiving pollutants from the Tallahassee area.

Inadequacy of existing regulatory mechanisms:

NatureServe (2010) reports that none to few (0-3) occurrences are appropriately protected and managed, stating, "Wakulla Springs is a state park, but even it is unable to provide adequate protection to the aquifer in which this crayfish lives." This species is also known from Emerald Sink, Apalachicola National Forest, where it is a Sensitive Species, but this provides only discretionary protection.

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Scientific Name:

Procambarus pallidus

Common Name:

Pallid Cave Crayfish

G Rank:

G2

AFS Status:

Special Concern

Range:

According to NatureServe (2008), *Procambarus pallidus* has an estimated range of 250-1000 square km (about 100-400 square miles). It is restricted to Florida, occurring along the upper Suwannee River and some tributaries (lower Withlacoochee and lower Santa Fe rivers), as well as in some sinkholes that probably connect to them. It can be found in Alachua, Columbia, Gilchrist, Hamilton, Lafayette, Levy, Madison, and Suwannee counties.

Habitat:

Deyrup and Franz (1994) report that this cavernicolous species is associated with groundwater habitats in caves that are not as heavily enriched with organic debris as those that attract members of the cavernicolous lucifugus complex. Divers have reported that this species is most strongly associated with caves that have high flow in newly emerging karst areas, particularly along the upper Suwannee River area. The crayfish commonly ventures out into the lighted portions of 'bluehole' sinks. NatureServe (2008) reports that for *P. pallidus*, the occupied water is usually clear, but in one instance it was "coffee colored."

Populations:

NatureServe (2008) estimates 6 - 80 populations of the Pallid Cave crayfish. There are at least 82 known caves, but many of these may be interconnected.

Status:

NatureServe (2008) ranks this species as imperiled. Florida lists it as a Species of Greatest Conservation Need. It is ranked as vulnerable by the American Fisheries Society (Taylor et al. 2007). *P. pallidus* was a Federal C-2 Candidate Species until that list was abolished.

Habitat destruction:

Concerning threats to this species, NatureServe (2008) states: "This species is potentially threatened by pollution of the aquifer. Reported major crayfish kills in spring caves along the upper Suwannee River may reflect pollution events. The species is impacted by urban development, groundwater pollution and human disturbance. A large number were killed by a flood from an unconfined aquifer (Abell et al., 2007). Another site is threatened by the construction of a proposed industrial park (Abell et al., 2007). Some of the caves are listed as being good caves for diving and this will cause disturbance to the species. "

According to Deyrup and Franz (1994) "*P. pallidus* may be sensitive to toxic chemicals, which may have been responsible for major crayfish kills reported by divers in spring caves in the upper Suwannee River basin."

Streever (1995) found that following the 1991 *P. pallidus* decimation at Peacock Springs, Suwannee County, "recently collected census data are not significantly different from data collected before the 1991 kill (Paired t-test, $P > 0.1$). However, crayfish numbers have not returned to their pre-1991 levels in the cave passage where the highest crayfish density occurred

before the kill. Also, the scarcity of small (lt 1.5 cm total length) crayfish suggests that the return to pre-1991 levels may reflect dispersal of animals from inaccessible portions of the cave and not replacement of crayfish through reproduction.”

According to Walsh (2001): “Perhaps the most serious potential threat to Florida’s hypogean and spring faunas is ground-water pollution and/or saltwater intrusion as land surface is developed and aquifer resources are increasingly tapped. Streever (1992, 1995) reported on a kill and post-kill recovery of the troglobitic Santa Fe Cave Crayfish (*Procambarus erythrops*) and three troglophiles that may have been due to physicochemical changes associated with flushing of contaminants and/or Suwannee River water during a flood event. In recent years, there have been notable increases in contaminants and nutrients within some Florida ground-water sources (e.g., Katz and others, 1999). Eutrophication in spring habitats may result in greater algal growth, increased turbidity, and physicochemical and biological changes that can be detrimental to native species.”

The Florida Department of Community Affairs (2008) states that Florida’s freshwater springs system is threatened. Major causes of problems in springs include landscaping, development and urban sprawl, water consumption, dumping in sinkholes, agriculture and livestock, golf courses and other recreation.

Dickson and Franz (1980) state that: “Because troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations, troglobitic species may be susceptible to subtle changes in water quality.”

Inadequacy of existing regulatory mechanisms:

Deyrup and Franz (1994) state that “[m]any cave sites where this species has been collected are currently protected within county and state parks along the Suwannee and Santa Fe rivers.” These sites remain vulnerable to recreational impacts and groundwater pollution. No existing mechanisms adequately protect this species.

Other factors:

This species is threatened by groundwater pollution (NatureServe 2008).

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Scientific Name:

Procambarus pictus

Common Name:

Black Creek Crayfish

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

VU - Vulnerable

Range:

This species is found in northeastern Florida, principally in the Black Creek (including North and South forks) and Etoniah Creek drainages in Clay, Duval, and Putnam counties. It is also found in one additional small stream in Duval County (NatureServe 2008).

Habitat:

NatureServe (2008) reports this species is found in cool, flowing, tannin-stained headwater streams and larger tributaries. According to Deyrup and Franz (1994) "this colorful crayfish inhabits cool, flowing, tannic-stained streams where it hides by day in submerged detritus, tree roots, and vegetation. At night, it is found crawling on the sandy bottoms of streams. Its coloration fits the background colors of the sand and detritus."

Populations:

The Black Creek crayfish has been found in three stream systems: Black and Etoniah creeks, and a small stream near Ft. Caroline (NatureServe 2008). There have been more than 30 occurrences, mostly in Black Creek. Burgess and Franz (1978) include headwaters and tributaries of Black Creek in Florida. Potential gene flow probably occurs among most or all sites within each system.

Status:

Procambarus pictus is endemic to small region of northeastern Florida, with all occurrences are restricted to two or possibly three drainages. Current populations are viable, but all are threatened by heavy development pressure in this region. The NatureServe (2008) state status of this species is imperiled. It was a Candidate 2 species under the Federal ESA when that list was maintained. It is listed as threatened by the American Fisheries Society and as vulnerable by the IUCN.

Florida lists the Black Creek crayfish as a Species of Special Concern due to "significant vulnerability to habitat modification, environmental alteration, human disturbance, or human exploitation which, in the foreseeable future, may result in its becoming a threatened species unless appropriate protective or management techniques are initiated or maintained."

Habitat destruction:

The Black Creek crayfish is threatened by habitat destruction caused by development, alteration of drainage patterns, pollution, and runoff including siltation (NatureServe 2008). All of these activities pose potential, and in some cases, real threats. Studies by Franz and Franz (1979) and Brody (1990) report apparent extirpation of species at some disturbed sites.

Camp Blanding habitat is threatened by timber harvest, while habitat within Jennings State Forest is at risk from offsite development, feral hogs, and human disturbance (Pranty 2002).

Franz and Franz (1979) reported that this species is of special concern due to its absence from

most of Black Creek where development increased siltation, nutrients, and channelization while reducing stream flow.

Brody (1990) failed to observe the species at several of the Franz and Franz (1979) collection sites. At these sites, development has been intensive.

Deyrup and Franz (1994) warn that "the species is susceptible to siltation, pollution, and other changes in water quality. With the expansion of Orange Park and Middleburg, urbanization is reaching southward along State Route 21. A toll road and an associated highspeed rail system have been proposed to come through the heart of the Black Creek country, which could potentially impact water quality and the long-term rural aspect of this area." Deyrup and Franz (1994) state that the species is threatened by expanding urbanization and by chemical spills associated with mining activities.

According to Dickson and Franz (1980): "Because troglobitic organisms have evolved in relatively constant environments, many of their adaptations may be highly specialized allowing existence only under prevailing ambient conditions. The reduction of O₂ consumption and energy turnover of gill tissues reported in this study gives evidence of the highly specialized nature of physiological and biochemical adaptations in troglobitic organisms. Because of these adaptations, troglobitic species may be susceptible to subtle changes in water quality."

Inadequacy of existing regulatory mechanisms:

There are a few (1-3) occurrences protected by state or federal ownership (NatureServe 2008). The species is widespread in parts of the Black Creek drainage that lie within the Camp Blanding Training Site and presumably Jennings State Forest as well. Camp Blanding is a military base, and most of its streams are relatively pristine.

Etoniah Creek runs through Etoniah Creek State Forest (8769 acres), which was acquired by the state in 1996 as part of the Cross Florida Greenway Conservation and Recreation Lands (CARL) project (Florida Dept. Ag. 2009). The quality of habitat on Etoniah Creek is superb, as seen from the list of endangered species found there. "Species found on the (Etoniah Creek State) forest that are listed as endangered, threatened or species of special concern include eastern indigo snake, gopher tortoise, Florida scrub jay and red-cockaded woodpecker. Etonia rosemary (*Conradina etonia*) is an endangered plant that was first described in 1991 and is found mostly in scrub habitat. Etoniah Creek State Forest contains the only known population of Etonia rosemary found on public land." Id.

This species is protected from collection in Florida, but no mechanisms adequately protect its habitat.

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Scientific Name:

Procambarus pogum

Common Name:

Bearded Red Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

Procambarus pogum is endemic to Mississippi (NatureServe 2008). The range of this species is very limited, with only two locations identified within 10 miles of each other.

Habitat:

NatureServe (2008) states that this species is found in relatively simple burrows in flatwoods, near margins; sometimes found in riparian areas that are near small streams.

Populations:

NatureServe (2008) reports that this species has an extremely limited population, with less than 15 individuals identified in only two locations. One of these locations is not specific enough to identify again.

Population Trends:

Trend information is not available for this very rare species.

Status:

This critically imperiled (S1) species has very restricted habitat and an extremely small total population. It is listed as endangered by the American Fisheries Society and as vulnerable by the IUCN. In the state of Mississippi, it is listed as a Tier 1 Species of Greatest Conservation Need, meaning it is "in need of immediate conservation action and/or research because of extreme rarity, restricted distribution, unknown or decreasing population trends, specialized habitat needs and/or habitat vulnerability. Some species may be considered critically imperiled and at risk of extinction/extirpation." The Bearded Red crayfish was formerly considered a Candidate 2 species by USFWS until that list was abolished. Fitzpatrick (2000) identified Procambarus pogum as endangered.

Habitat destruction:

NatureServe (2008) states that this species faced minor impacts from cotton farming until the 1950's. This land is now mostly pasture, making the crayfish vulnerable to impacts from runoff and potentially trampling of habitat and/or organisms (Fitzpatrick pers. comm. 1995 cited in NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No populations of this very rare species are appropriately protected (NatureServe 2008).

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Scientific Name:

Procambarus regalis

Common Name:

Regal Burrowing Crayfish

G Rank:

G2

AFS Status:

Special Concern

Range:

The Regal Burrowing crayfish is known only from the Ouachita and Red River drainages in Nevada, Howard, and Sevier counties, Arkansas (NatureServe 2008).

Habitat:

P. regalis inhabits simple burrows in colonies that may be extremely large, in southwestern Arkansas (Hobbs and Robison 1988).

Populations:

It is known from eight sites, and future work will most likely reveal additional localities. It can occur in 'extremely large' colonies (Robison and Allen 1995).

Status:

This species is ranked by NatureServe (2008) as imperiled in Arkansas (G1S2S3) and under review (SNR) in Texas. AFS lists this species as Vulnerable (Taylor et al 2007).

Habitat destruction:

According to the Arkansas Wildlife Action Plan (2008), *P. regalis* is threatened by chemical alteration and habitat destruction due to road construction, and recommends protecting this species from construction activities and herbicide applications.

NatureServe (2010) states that it is likely to be undergoing localized declines due to urbanization, alterations to the hydrological regimes and water pollution.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Procambarus reimeri

Common Name:

Irons Fork Burrowing Crayfish

G Rank:

G1

AFS Status:

Endangered

IUCN Status:

VU - Vulnerable

Range:

The Irons Fork Burrowing crayfish has a range less than 100-250 square km (less than about 40 to 100 square miles). According to NatureServe (2008), all localities are in the Ouachita River basin in Polk Co, AR.

Habitat:

P. reimeri digs relatively simple burrows in sandy clay soil in roadside ditches, low wet seepage areas, and riparian areas.

Populations:

According to NatureServe (2008), *Procambarus reimeri* is known from only six localities, where only 20 adults and 99 juveniles were identified. Thus, there are estimated to be less than 5 populations and less than 1000 total individuals extant.

Status:

NatureServe (2008) ranks this species as critically imperiled. It is rated as vulnerable by the IUCN and as endangered by the American Fisheries Society.

Habitat destruction:

This crayfish is vulnerable to habitat degradation and occurs in a limited area (Crandall et al. 2009). According to the Arkansas Wildlife Action Plan (2008), *P. reimeri* faces threats from habitat destruction or conversion caused by forestry activities and road construction, and could be harmed by toxins/contaminants used in forestry activities.

Procambarus reimeri occurs on the Mena and Oden Ranger Districts of the Ouachita National Forest (U.S. Forest Service 2008). The Forest Service is planning to cut over 2000 acres of this forest including 300 acres of clearcuts, and construct or reconstruct 11.8 miles of roads in the range of this species.

Inadequacy of existing regulatory mechanisms:

Procambarus reimeri is found on the Mena Ranger District, where it is a USFS Sensitive Species (USFS 2008), but this designation provides only discretionary protection, and the FS is planning a large timbercut including a clearcut and roadbuilding in this species' habitat.

Other factors:

This crayfish is threatened by pollution from logging and forestry activities.

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Scientific Name:

Pseudanophthalmus avernus

Common Name:

Avernus Cave Beetle

G Rank:

G1

Range:

This beetle is found only in the Avernus Cave, Rockingham County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting low level of available food.

Populations:

There is only one population of this species, in a single cave (Holsinger and Culver 1988). Only one individual plus the type series have been collected and identified, and it is uncommon.

Population Trends:

Population size is very low, and trend is unknown.

Status:

This beetle is critically imperiled (NatureServe 2008) and is a Virginia Species of Concern.

Habitat destruction:

The primary threat to this beetle is commercial recreation in the lone cave where it occurs, but the only known locality of the beetle within the cave is not part of the commercial tour route (NatureServe 2008).

In general, cave beetles are threatened by toxic chemical spills, pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

Avernus Cave is privately owned, current owners are conservation minded, according to NatureServe (2008). The cave is gated and protected.

Other factors:

This species is potentially threatned by Diflubenzuron or other biocides applied against gypsy moths (NatureServe 2008).

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Scientific Name:

Pseudanophthalmus colemanensis

Common Name:

Coleman Cave Beetle

G Rank:

G1

Range:

This beetle occurs in a single cave in Montgomery County, Tennessee (NatureServe 2008).

Ecology:

This beetle may be dependent on nutrient input from gray bat guano.

Populations:

One occurrence was extant in 1999 (NatureServe 2008). Population size is unknown and is likely low.

Population Trends:

NatureServe (2008) reports that this species is stable in the short term. Given loss of the gray bat colony and other changes, this population has probably declined over the last century.

Status:

USFWS considers this species extant based on a 1999 observation (NatureServe 2008). It is probably limited to a single cave. It has some protection especially from direct disturbances, but threats may exist and there has been past habitat alteration and loss of former nutrient input from bat guano. It is a Tennessee Species of Greatest Conservation Need, is ranked as critically imperiled by NatureServe (2008), and is a Candidate with Listing Priority of 11 under the Federal ESA.

Habitat destruction:

This beetle is somewhat protected from habitat degradation because it occurs in a cave owned by The Nature Conservancy, but its habitat remains vulnerable to pollution (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), this species has some protection under a Cooperative Management Agreement with The Nature Conservancy at its only known occurrence (USFWS, 2004).

Other factors:

One of the primary threats to this beetle is loss of nutrient input from gray bat guano. It is threatened by any factor which threatens gray bats.

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Scientific Name:

Pseudanophthalmus cordicollis

Common Name:

Little Kennedy Cave Beetle

G Rank:

G1

Range:

P. cordicollis is endemic to Wise County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food (NatureServe 2008).

Populations:

The Little Kennedy Cave beetle has been found in only one cave to date (Holsinger and Culver, 1988). NatureServe (2008) estimates fewer than 1000 individuals exist. It was collected and/or observed in low numbers.

Population Trends:

According to NatureServe (2008), there does appear to be a stable, or at least extant population based on a recent (1995) observation.

Status:

Pseudanophthalmus cordicollis is endemic to one cave in Wise County, Virginia (NatureServe 2008). Given the fragility of cave habitats and the known utilization of this cave, it is ranked as critically imperiled. It is a Virginia Species of Greatest Conservation Need.

Habitat destruction:

The cave inhabited by *P. cordicollis* may be used for recreational spelunking (NatureServe 2008). According to the U.S. Forest Service (2004), *P. cordicollis* is found within the 5th Level HUC Watersheds where Federal Oil and Gas development may occur. This species is also threatened by mountaintop removal coal mining (EPA 2005).

Inadequacy of existing regulatory mechanisms:

The Little Kennedy Cave is privately owned (NatureServe 2008). No existing regulatory mechanisms protect this species.

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Scientific Name:

Pseudanophthalmus egberti

Common Name:

New River Valley Cave Beetle

G Rank:

G1

Range:

This beetle is found in only two caves in Giles County, VA, approximately five kilometers apart (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

This beetle is known from two caves and eight individuals (Holsinger and Culver, 1988).

Status:

Pseudanophthalmus egberti is known from only two caves and has not been seen since 1958. However, surveys have been limited so if the habitat is intact the population may persist. (NatureServe 2008). It is a Virginia Species of Concern, and is critically imperiled (NatureServe 2008).

Habitat destruction:

Caves inhabited by *P. egberti* may be used for recreational spelunking (NatureServe 2008). This species may also be threatened by general threats to cave beetles including toxic chemical spills, pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Pseudanophthalmus hirsutus

Common Name:

Cumberland Gap Cave Beetle

G Rank:

G1

Range:

This beetle is also known as the Lee County cave beetle. According to NatureServe (2008), the range of this species is less than 100-250 square km (less than about 40 to 100 square miles). It is found in only four caves (two of which are connected and are considered one occurrence) in two adjacent counties of Virginia and Tennessee. Less than 20 kilometers separates the most distant sites.

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting low level of available food.

Populations:

As reported in NatureServe (2008) there are fewer than 5 populations with likely far less than 1000 individuals of *P. hirsutus*, as only a few individuals are known. It is found in four caves to date (Holsinger and Culver 1988) (two of these are connected and are considered one occurrence). All occurrences are in the Cumberland Plateau physiographic province of Virginia and Tennessee. Only seven individuals plus the type series have ever been collected.

Population Trends:

While NatureServe (2008) reports a short term trend of severely to rapidly declining (decline of 30 to greater than 70 percent), stating that trend cannot be determined from available data.

Status:

Pseudanophthalmus hirsutus has been found in only a few localities and only a few individuals have ever been identified (NatureServe 2008). Given the fact that some threats exist and the known sites are in relatively close proximity to one another, this species is ranked as critically imperiled in both Tennessee and Virginia. It is a Tennessee Species of Greatest Conservation Need, and a Virginia Species of Concern.

Habitat destruction:

The caves where this species occurs may be used for recreational spelunking. One entrance of the connected caves (Cudjos Cavern) was used as a commercial cave in the past, but is now managed by Cumberland Gap National Historic Park. The other entrance (Cumberland Gap Saltpetre Cave) is vertical (ca. 75 foot drop) and receives little caving traffic. Indian Cave receives the most traffic currently of the three Virginia sites (NatureServe 2008).

This beetle may be threatened by pollution from mountaintop removal coal mining (EPA 2005). This species is also threatened by general threats to cave beetles including toxic chemical spills,

pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

The two connected caves where this species occurs and a third collection site are within one mile of each other and all are within Cumberland Gap National Historical Park boundary (NatureServe 2008). Ownership of the Tennessee site is unknown.

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Scientific Name:

Pseudanophthalmus hubbardi

Common Name:

Hubbard's Cave Beetle

G Rank:

G1

Range:

Pseudanophthalmus hubbardi is endemic to a single cave in Page County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

Hubbard's Cave beetle is found in only one cave to date (Holsinger and Culver, 1988). Likely very few exist.

Status:

P. hubbardi is known from only one cave with known potentially destructive activities (tours) according to NatureServe (2008). It is a Virginia Species of Concern, and is classified as critically imperiled.

Habitat destruction:

This beetle's habitat is threatened by recreation. Hubbard's Cave is a major commercial tour cave (NatureServe 2008). Most of the passage in the cave is part of the commercial tour route. This species may also be threatened by general threats to cave beetles including toxic chemical spills, pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which protect this species. Cave access is restricted to guided tourists, and Hubbard's Cave is designated as a national natural landmark (NatureServe 2008).

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Scientific Name:

Pseudanophthalmus hubrichti

Common Name:

Hubricht's Cave Beetle

G Rank:

G1

Range:

P. hubrichti is endemic to Russel County, Virginia (Holsinger and Culver, 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

This species has been found in only one cave to date (Holsinger and Culver, 1988). NatureServe (2008) estimates fewer than 1000 individuals.

Population Trends:

A 1991 observation may indicate some stability in the population (NatureServe 2008).

Status:

Very few specimens have ever been seen, according to NatureServe (2008). It is a Virginia Species of Concern, classified as critically imperiled by NatureServe (2008). It was a Federal C2 Candidate species until that list was abolished.

Habitat destruction:

This beetle may be threatened by recreation, as Hubricht's Cave may be used for recreational spelunking (NatureServe 2008).

This beetle may be threatened by pollution from mountaintop removal coal mining (EPA 2005). This species is also threatened by general threats to cave beetles including toxic chemical spills, pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species. Hubricht's Cave is privately owned (NatureServe 2008).

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Arnett, Jr., Ross H., ed. 1983. Checklist of the Beetles of North and Central America and the West Indies. Flora and Fauna Publications, Gainesville, Florida. 24 P. (Pertains to all subsequent fascicle updates as well).

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Scientific Name:

Pseudanophthalmus intersectus

Common Name:

Crossroads Cave Beetle

G Rank:

G1

Range:

The Crossroads Cave beetle is known from only two caves in Bath County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting low level of available food.

Populations:

This beetle is known from only two caves and is extremely rare where it occurs, with only seven individuals collected and identified to date.

Population Trends:

Trend is unknown due to extremely low population size.

Status:

This beetle is critically imperiled and is known from few individuals at only two caves which lack protection and are extremely fragile (NatureServe 2008). It is a Virginia Species of Concern, and it was a Federal C-2 Candidate species until that list was abolished.

Habitat destruction:

This beetle's habitat is threatened by recreational spelunking (NatureServe 2008). In general, cave beetles are threatened by toxic chemical spills, pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

The caves inhabited by *P. intersectus* are privately owned (NatureServe 2008). No regulatory mechanisms protect this species.

References:

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Scientific Name:

Pseudanophthalmus limicola

Common Name:

Maddens Cave Beetle

G Rank:

G1

Range:

This beetle is known from only three caves in Shenandoah county, Virginia (Holsinger and Culver 1988), within approximately eight kilometers of each other.

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

According to NatureServe (2008), this species has fewer than 5 populations with less than 1000 total individuals. It is known from only three caves (Holsinger and Culver 1988).

Status:

P. limicola is known from only three locations and hasn't been seen since 1962 (NatureServe 2008). despite a lack of recent surveys however, if the habitat quality persists, the populations may also persist. It is a Virginia Species of Concern and is ranked as critically imperiled by NatureServe (2008).

Habitat destruction:

Caves inhabited by *P. limicola* may be used for recreational/commercial spelunking (NatureServe 2008). This species may also be threatened by general threats to cave beetles including toxic chemical spills, pollution, trash dumping, vandalism, disruption of nutrient input, alteration of entrances, or the creation of new entrances (FWS 2009).

Inadequacy of existing regulatory mechanisms:

The cave inhabited by Maddens Cave beetle is privately owned (NatureServe 2008). No existing regulatory mechanisms protect this species.

References:

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Scientific Name:

Pseudanophthalmus montanus

Common Name:

Dry Fork Valley Cave Beetle

G Rank:

G1

Range:

Pseudanophthalmus montanus is known only from the following four West Virginia caves: Bennett Cave; Cave Hollow-Arbogast System; Lambert Cave, Tucker County; and Rich Mountain Cave, Randolph County. This range may expand as additional caves are surveyed.

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting low level of available food.

Populations:

This beetle is known from only four caves in two counties in West Virginia. Population was estimated at less than 1,000 individuals by the West Virginia Natural Heritage Program in January 1991.

Population Trends:

This species is assumed stable as long as there are no major changes in cave habitats.

Status:

P. montanus is located in only four caves in West Virginia (NatureServe 2008). Three of the caves are located in close proximity and are therefore vulnerable to localized destructive events. Its status in West Virginia is critically imperiled.

Habitat destruction:

The three cave systems in Tucker County are concentrated in a small area, and would be highly vulnerable to a localized destructive event, according to NatureServe (2008). Rich mountain Cave in Randolph County is located near a quarry. This species, or its prey may be vulnerable to changes in water quality and/or quantity. Any land use practices that negatively impact groundwater quality or hydrology may be a threat to populations (Culver, pers. comm. cited in NatureServe 2008). Gating may be a threat since this beetle preys on species that rely on transitory organic matter from sporadic cave visitors (Culver, pers. comm. cited in NatureServe 2008).

According to Lewis (2001), *P. montanus* (and other *Pseudanophthalmus* cave beetles) face many threats. Specifically:

"Unfortunately, contaminants may be introduced with equal ease, with devastating effects on cave animals. Potential contaminants include (1) sewage or fecal contamination, including sewage plant effluent, septic field waste, campground outhouses, feedlots, grazing pastures or any other source of human or animal waste; (2) pesticides or herbicides used for crops, livestock, trails,

roads or other applications; fertilizers used for crops or lawns ; (3) hazardous material introductions via accidental spills or deliberate dumping, including road salting. Habitat alteration due to sedimentation is a pervasive threat potentially caused by logging, road or other construction, trail building, farming, or any other kind of development that disturbs groundcover. Sedimentation potentially changes cave habitat, blocks recharge sites, or alters flow volume and velocity. Pesticides and other harmful compounds like PCB's can adhere to clay and silt particles and be transported via sedimentation. Impoundments may detrimentally affect cave species. Flooding makes terrestrial habitats unusable and creates changes in stream flow that in turn causes siltation and drastic modification of gravel riffle and pool habitats. Stream back-flooding is also another potential source of introduction of contaminants to cave ecosystems."

"Smoke is another potential source of airborne particulate contamination and hazardous material introduction to the cave environment. Many caves have active air currents that serve to inhale surface air from one entrance and exhale it from another. Potential smoke sources include campfires built in cave entrances, prescribed burns or trash disposal. Concerning the latter, not only may hazardous chemicals be carried into the cave environment, but the residue serves as another source of groundwater contamination. Numerous caves have been affected by quarry activities prior to acquisition. Roadcut construction for highways passing through national forest land is a similar blasting activity and has the potential to destroy or seriously modify cave ecosystems. Indirect effects of blasting include potential destabilization of passages, collapse and destruction of stream passages, changes in water table levels and sediment transport . Oil, gas or water exploration and development may encounter cave passages and introduce drilling mud and fluids into cave passages and streams. Brine produced by wells is extremely toxic, containing high concentrations of dissolved heavy metals, halides or hydrogen sulfide. These substances can enter cave ecosystems through breach of drilling pits, corrosion of inactive well casings, or during injection to increase production of adjacent wells. Cave ecosystems are unfortunately not immune to the introduction of exotic species. Out-competition of native cavernicoles by exotic facultative cavernicoles is becoming more common, with species such as the exotic millipede *Oxidus gracilis* affecting both terrestrial and aquatic habitats. With the presence of humans in caves comes an increased risk of vandalism or littering of the habitat, disruption of habitat and trampling of fauna, introduction of microbial flora non-native to the cave or introduction of hazardous materials (e.g., spent carbide, batteries). The construction of roads or trails near cave entrances encourages entry." (Internal citations omitted).

Inadequacy of existing regulatory mechanisms:

The entrances to the Cave Hollow-Arbogast System are in the Monongahela National Forest and protected by eight-foot, chain-link fences (NatureServe 2008). The primary concern of the management program is to control access. Casual visitors are discouraged, and most visits are limited to ones with a scientific or conservation purpose. It is a U.S. Forest Service Regional Forester Sensitive Species, but this protection is discretionary and may not protect water quality in the cave from activities permitted on the forest such as logging and oil and gas drilling.

Lewis (2001) reports that "[t]he existing (1985) Monongahela Land and Resource Management Plan does not provide management direction for caves although they are being considered in the Forest Plan revision currently underway. A Forest Plan Amendment in progress for Threatened and Endangered Species will include management for the caves on the forest."

The Revised Monongahela National Forest Plan (USFS 2006) requires the following management for caves:

"Cave entry during closed periods for scientific study and observation may be permitted by Forest Supervisor's written approval and permit from USFWS or delegated authority. Gates or fences installed at cave entrances shall allow free entry and exit by TEP species and shall not restrict normal airflows. Gate installation that disturbs a cave feature or floor must have an archaeological survey prior to disturbance. Gates and fences shall be monitored and maintained. Base monitoring frequency on past cave visits, access, and potential for disturbance. Maintenance and repair of gates shall be undertaken within a reasonable time frame from vandalism discovery."

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Scientific Name:

Pseudanophthalmus pontis

Common Name:

Natural Bridge Cave Beetle

G Rank:

G1

Range:

This beetle occurs in a single cave in Rockbridge County, Virginia (Holsinger and Culver 1988), it was not found in nearby caves that have been surveyed.

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

Found in only one cave to date (Holsinger and Culver 1988), this species is known from only five individuals (Barr 1965).

Population Trends:

Alterations to the cave have likely been detrimental to the population, but trend is not quantified because this species is known from few individuals (NatureServe 2008).

Status:

P. pontis is critically imperiled (NatureServe 2008) and is a Virginia Species of Concern. It is endemic to one cave which is known to have been altered and has not been reported since 1965 (NatureServe 2008).

Habitat destruction:

The Natural Bridge Cave has been drastically altered in the past by a private owner (NatureServe 2008). This cave may be used for recreational spelunking in addition to commercial operations.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species which occurs in a single privately owned cave.

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Scientific Name:

Pseudanophthalmus potomaca

Common Name:

South Branch Valley Cave Beetle

G Rank:

G3

Range:

P. potomaca is known from five to six caves in three adjoining counties of West Virginia and Virginia. The caves (Holsinger and Culver, 1988) span approximately 50 kilometers (NatureServe 2008).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food (NatureServe 2008).

Populations:

NatureServe (2008) estimates that less than 5 populations with fewer than 1000 individuals of this species exist. *P. potomaca* is known from five to six caves in three adjoining counties of West Virginia and Virginia. Nominant subspecies are known from three to four caves (one in West Virginia and two to three in Virginia).

Status:

NatureServe (2008) ranks this species as critically imperiled in West Virginia and imperiled in Virginia. It is a Virginia Species of Concern, and a West Virginia Species of Greatest Conservation Need.

Habitat destruction:

Although one site occupied by *P. potomaca* is closed due to visitation pressures, there remain threats to water quality via pollution of ground water sources (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

The entrance to one Virginia cave occupied by this species is closed (NatureServe 2008). No regulatory mechanisms currently protect this species.

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Scientific Name:

Pseudanophthalmus praetermissus

Common Name:

Overlooked Cave Beetle

G Rank:

G1

Range:

The Overlooked Cave Beetle is endemic to Scott County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food (NatureServe 2008).

Populations:

This species is known from four individuals that were collected from one cave (Holsinger and Culver 1988).

Status:

P. praetermissus is endemic to one cave and hasn't been seen in 20 years, but no recent surveys are known (NatureServe 2008). It is ranked as critically imperiled (NatureServe 2008), and is a Virginia Species of Concern.

Habitat destruction:

The cave inhabited by the Overlooked cave beetle may be used for recreational spelunking (NatureServe 2008). This species is also threatened by pollution from mountaintop removal coal mining (EPA 2005).

Inadequacy of existing regulatory mechanisms:

The cave occupied by this species is privately owned (NatureServe 2008). No existing regulatory mechanisms protect this species.

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Scientific Name:

Pseudanophthalmus sanctipauli

Common Name:

Saint Paul Cave Beetle

G Rank:

G1

Range:

The St. Paul Cave beetle is endemic to Russell and Scott Counties, Virginia. Known localities are separated by about 13 kilometers (NatureServe 2008).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

NatureServe (2008) reports that *P. sanctipauli* has been found in only two locations to date, with only five individuals ever collected and identified.

Status:

This species is limited to two localities in fragile cave habitat (NatureServe 2008). It is a Virginia Species of Concern classified as critically imperiled by NatureServe (2008).

Habitat destruction:

Caves inhabited by Saint Paul Cave beetles may be used for recreational spelunking (NatureServe 2008). This species is also threatened by mountaintop removal coal mining (EPA 2005).

Inadequacy of existing regulatory mechanisms:

St. Paul Cave is privately owned, and no regulatory mechanisms currently protect this species.

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Scientific Name:

Pseudanophthalmus sericus

Common Name:

Silken Cave Beetle

G Rank:

G1

Range:

Pseudanophthalmus sericus is endemic to Scott County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

This beetle occurs in a single cave (Holsinger and Culver, 1988). Nearby caves are inhabited by *P. thomasi*. Only ten individuals have ever been collected and identified.

Population Trends:

This beetle was not found during three surveys in the 1990's.

Status:

P. sericus is endemic to one cave and hasn't been seen in 30 years, according to NatureServe (2008). Given the fragility of cave habitat, it is ranked as critically imperiled (NatureServe 2008). It is a Virginia Species of Concern.

Habitat destruction:

Caves inhabited by the Silken Cave beetle may be used for recreational spelunking (NatureServe 2008). This species is also threatened by mountaintop removal coal mining (EPA 2005).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), the cave occupied by *P. sericus* is privately owned. The landowner expressed some desire to protect this cave in the past. The primary threat to this species may be pollution from outside the cave, particularly from surface coal mining. No existing regulatory mechanisms protect this species.

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Scientific Name:

Pseudanophthalmus thomasi

Common Name:

Thomas' Cave Beetle

G Rank:

G1

Range:

All known localities of *Pseudanophthalmus thomasi* are within about 10 kilometers of each other in Scott County, Virginia (Holsinger and Culver 1988).

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food (NatureServe 2008).

Populations:

Thomas' Cave beetle is only known from two localities (Holsinger and Culver 1988). Only eight individuals have ever been collected and identified.

Status:

NatureServe (2008) ranks this species as critically imperiled, and it is a Virginia Species of Concern.

Habitat destruction:

This species is threatened by mountaintop removal coal mining (EPA 2005). It is possibly threatened by occasional spelunking at one site, but the owner restricts access making outside pollution the greatest threat to this species.

Inadequacy of existing regulatory mechanisms:

Both caves occupied by this species are privately owned. The owner restricts access to Blair-Collins Cave to speleological studies only. No regulatory mechanisms protect this species.

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Scientific Name:

Pseudanophthalmus virginicus

Common Name:

Maiden Spring Cave Beetle

G Rank:

G1

Range:

This beetle is endemic to one cave in Tazewell County, VA (Holsinger and Culver 1988). Nearby caves are inhabited by different species of cave beetles.

Habitat:

Beetles of this genus typically occur in the twilight zone or deeper in or on moist soil, often near streams or drip areas. They probably burrow some, especially larvae. They are often found under rocks or debris (NatureServe 2008).

Ecology:

Species of this genus seem to occur as sparse populations, probably reflecting a low level of available food.

Populations:

This species occurs in very low abundance in a single cave (Holsinger and Culver 1988). Ten surveys of Hugh-Young Cave have been conducted by J. R. Holsinger and his cave biology classes between 1976 and 1998 with no additional observations or collections. Other surveys by J. R. Holsinger have not been successful in locating this species. Only two specimens have ever been collected (Barr 1960, 1981) and identified despite multiple surveys.

Status:

Pseudanophthalmus virginicus is apparently endemic to one cave and is very rare in that cave (NatureServe 2008). It hasn't been seen since 1966 despite frequent survey efforts and may be extinct. However, the condition of the cave is good, so there is good potential for eventual rediscovery, particularly through the use of baiting techniques. It is a Virginia Species of Concern and is ranked as critically imperiled by NatureServe (2008).

Habitat destruction:

There is a stream in the Maiden Spring Cave that may be susceptible to pollution from ground water sources (NatureServe 2008).

This species is also threatened by mountaintop removal coal mining (EPA 2005).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), Maiden Spring Cave is privately owned. The owner is extremely restrictive on access, and permits entry by a few individuals only for speleological studies. No regulatory mechanisms protect this species, which is primarily threatened by pollution from sources outside the cave including coal mining.

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Wood, D. 2009. Memorandum to J. Bailey, J. Wirts, and P. Campbell. Evidence for Secretary Randy Huffman. June 30, 2009. West Virginia Department of Environmental Protection, Charleston, WV.

Scientific Name:

Pseudemys nelsoni pop. 1

Common Name:

Florida Red-bellied Turtle - Florida Panhandle

G Rank:

T2

Range:

The range of this turtle encompasses less than 100-250 square km (about 40-100 square miles) in the Florida Panhandle. It is found in the lower Apalachicola/Chipola River drainage and associated delta and offshore islands, disjunct from the main population in peninsular Florida (NatureServe 2008).

Habitat:

This turtle occurs in ponds, lakes, and sluggish portions of rivers. It uses adjacent upland habitat for nesting, typically in sunny locations. It potentially uses alligator nests for nesting (NatureServe 2008).

Populations:

There are only six known occurrences of this turtle, and local populations are thought to be small. Total population size is estimated at 250-2500 individuals. It occurs in a relatively small area that is disjunct from the main population of the species (NatureServe 2008).

Population Trends:

No data on population trend are available.

Status:

Pseudemys nelsoni pop. 1 is imperiled in Florida (T2S2) (NatureServe 2008).

Habitat destruction:

Because there are only six known localities of this turtle, it is especially vulnerable to habitat loss. The Florida Natural Areas Inventory (2001) reports that the Chipola River is threatened by habitat degradation from pollution, siltation, impoundment, water withdrawal, drought, and other disturbances, including logging, livestock grazing, and development (http://www.myfwc.com/docs/FWCG/chipola_slabshell.pdf).

Overutilization:

This turtle may be harvested for use as food by turtle trappers (NatureServe 2008). Given its small overall population size, limited distribution, and life-history strategy which depends on adult survivorship, any level of collection makes populations vulnerable to extirpation. Studies have shown that the removal of long-lived, slow-growing animals with life history traits designed for replacement reproduction spread out over the course of a lifetime results in population decline (Congdon et al. 1993, 1994). The elimination of individuals from populations that are already threatened because of habitat degradation is an additive impact on already stressed populations. Buhlmann and Gibbons (1997) state that even presently abundant species are of concern because of the vast numbers of freshwater turtles being removed from the wild and shipped to other countries. The Florida Fish and Wildlife Conservation Commission reports that demand for freshwater turtles is increasing. In recent decades heavy commercial harvest of southeastern freshwater turtles has occurred to meet foreign demand for turtles for use as meat, pets, and in traditional medicine. Over 13 million adult turtles were being sold annually in Asian countries by

the late 1990s. Even limited take of turtles is unsustainable because of the key role of large adult female turtles in sustaining populations
(http://myfwc.com/docs/CommissionMeetings/2009/2009_Apr_FreshwaterTurtle.pdf).

Disease or predation:

Predation, especially in conjunction with drought, poses a serious threat for this turtle. Nonriverine populations may be extirpated by predation during drought (NatureServe 2008). At the local level, the effects of predation can be severe, especially on small populations or populations that are stressed by other factors. Raccoons are known to prey heavily on both eggs and young turtles, and fish crows depredate nests (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this turtle. It lacks state status. It occurs on St. Vincent National Wildlife Refuge in Franklin County.

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Scientific Name:

Pseudemys rubriventris

Common Name:

Northern Red-bellied Cooter

G Rank:

G5

IUCN Status:

NT - Near threatened

Range:

The northern red-bellied cooter, *Pseudemys rubriventris*, is a species of freshwater turtle endemic to the eastern United States. It is found in Delaware, Washington, D.C., Maryland, Massachusetts, New Jersey, New York, North Carolina, Pennsylvania, Virginia, and West Virginia (NatureServe 2008).

Habitat:

This turtle primarily inhabits herbaceous wetlands or riparian areas, and large, deep bodies of water: medium-sized to large rivers with low to moderate flow gradients, marshes, and ponds or lakes (NatureServe 2008). Though it is a freshwater species, it may also be found in brackish waters. *P. rubriventris* prefers habitat with soft benthic substrate and plentiful aquatic vegetation (NatureServe 2008). The northern red-bellied cooter is terrestrially active in spring and fall, and may utilize sand dune habitat adjacent to its preferred aquatic habitat for burrowing as it aestivates in extremely hot conditions (NatureServe 2008). Both in terms of terrestrial and aquatic habitat, this species relies on fallen logs, large rocks, or other debris for diurnal basking (NatureServe 2008). This species hibernates at the bottom of ponds or other bodies of water during the winter months; in Massachusetts, it is reportedly active between March and October (USFWS 1981).

Ecology:

P. rubriventris reaches sexual maturity between the ages of 5-9 years (USFWS 1981, DeGraaf and Rudis 1983). Females lay clutches of 8-20 eggs in June or July, nesting in soft soil or sandy habitat, generally within 100 m of water; incubation time is between 10 and 15 weeks (US FWS 1981). After hatching, hatchlings often overwinter in the nest and emerge in spring. Juveniles are essentially omnivorous in most locations, consuming invertebrates, plant material, and fish, while adults are almost exclusively herbivorous (Ernst and Barbour 1972, Mitchell 1994).

Populations:

NatureServe (2008) reports that there are many occurrences of *P. rubriventris* in the core of its range (Maryland, Virginia, Delaware, New Jersey), but that populations are small elsewhere.

Population Trends:

NatureServe (2008) reports that this species is experiencing significant decline.

Status:

NatureServe (2008) lists the Northern Red-bellied Cooter as imperiled in Pennsylvania and West Virginia, vulnerable in North Carolina, apparently secure in Washington, D.C., New Jersey, and Virginia, and secure in Delaware and Maryland. Its status is under review in New York.

It is state- listed as threatened in West Virginia.

Habitat destruction:

Populations along the Delaware River in Pennsylvania have declined because of various effects of industrial expansion, wetland drainage, water pollution, and mosquito control efforts (pesticide contamination, Ernst et al. 1994).

Residential development threatens this species in parts of its range; road construction is particularly devastating to slow-moving species that range across the landscape as *P. rubriventris* does because of their vulnerability to road mortality. The loss of mature individuals is devastating to turtle populations because of their slow maturation, and on-road mortality has been linked to significant changes in population structure in some turtle species (Steen and Gibbs 2004, Gibbs and Shriver 2002).

Overutilization:

This species was widely collected and marketed as food in the late 19th century, causing the decline of several populations in the East (PA NHP 2009).

Disease or predation:

The northern red-bellied cooter has several natural predators, which, under normal circumstances, do not exert significant pressure on turtle populations. However, residential and agricultural development fragment natural habitat, exposing populations of *P. rubriventris* to increased nest predation by raccoons, foxes, and other egg predators (PA NHP 2009).

Inadequacy of existing regulatory mechanisms:

Though this species is listed as threatened or endangered in a few states, these designations do not afford the northern red-bellied cooter any substantial regulatory protection. The Massachusetts population (subspecies *P. r. bangsi*) is federally endangered, but this does not in any way protect the remainder of the population.

Other factors:

Mercury contamination may threaten this species in some locations, though its dietary habits make it less likely to bioaccumulate high levels of mercury than other more carnivorous turtle species (Bergeron et al. 2007).

This species' life history, as characterized by slow maturation and low recruitment of juveniles, makes it especially vulnerable to steep population declines; populations grow slowly, and do not rebound easily from the loss of mature individuals (Nelson et al. 2009, Gibbs and Shriver 2002).

Isolated incidents like the one reported by Saba and Spotila (2003), in which an oil spill affected several freshwater turtle species in a wildlife refuge in southeastern Pennsylvania, may have lasting consequences for reproduction and recruitment that were not explored by this study.

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Scientific Name:

Pseudobranchius striatus lustricolus

Common Name:

Gulf Hammock Dwarf Siren

G Rank:

T1

Range:

The Gulf Hammock Dwarf Siren is known only from the coastal area of Levy and Citrus counties in Florida. The reported range is very small and is impinged upon by other *Pseudobranchius* taxa (NatureServe 2008).

Habitat:

This salamander's habitat consists of wetlands within hydric hardwood hammock (Gulf Hammock) areas, including stagnant bogs and decaying organic mucks associated with weedy cypress and flatwoods ponds, ditches, and small floodplain lakes (NatureServe 2008). This species is associated with cypress (*Taxodium* sp.) or gum (*Nyssa* sp.) ponds and other shallow, acidic, flatwood wetlands, floating mats of frog's-bit (*Limnobium spongium*), decaying bottom vegetation, and the soft, mucky soils of pond margins (Le Conte 1824, Goin and Crenshaw 1949, Moler and Kezer 1993, in AmphibiaWeb 2009).

Ecology:

Conant and Collins (1991) describe dwarf sirens as small, aquatic eel-like salamanders with tiny forelegs and external gills the size of which depends upon water temperature and other conditions.

Dwarf Sirens prey upon aquatic invertebrates and insects (Conant and Collins 1991). Eggs are deposited singly or in small bunches among aquatic vegetation (Noble 1930), and clutch size is unknown (AmphibiaWeb 2009). Ashton and Ashton (1988) indicate *Pseudobranchius* larvae make take 2 yr to reach sexual maturity (AmphibiaWeb 2009). Potential predators of this species include Southern banded water snakes (*Nerodia fasciata*), black swamp snakes (*Seminatrix pygaea*), mud snakes (*Farancia abacura*), and crayfish snakes (*Regina* sp.), various species of wading birds, and predaceous fishes. Predation levels are likely intensified when dwarf sirens are concentrated by falling water levels (AmphibiaWeb 2009).

Populations:

Number of extant populations and population size are unknown for this species, which has not been detected in more than 40 years (NatureServe 2008).

Population Trends:

This salamander has declined to rarity or is possibly extirpated (NatureServe 2008).

Status:

The Gulf Hammock Dwarf Siren is critically imperiled in Florida (S1) (NatureServe 2008). It lacks legal protective status.

Habitat destruction:

The Gulf Hammock region has been severely degraded by commercial forestry (NatureServe 2008). Populations of Gulf Hammock Dwarf Siren have been lost as wetland habitats have been reduced through drainage of surface waters associated with residential, agricultural, and silvicultural development (AmphibiaWeb 2009). The Florida Wildlife Conservation Commission reports that the Gulf Hammock Dwarf Siren's lake habitat in Florida is highly threatened by altered hydrologic regime, altered landscape mosaic, altered species composition, and deterioration of water quality

(http://myfwc.com/docs/WildlifeHabitats/Legacy_Natural_Lake.pdf). The salamander's swamp habitat is threatened by conversion to agriculture, conversion to housing and urban development, groundwater withdrawal, insufficient fire regime, roads, water withdrawal and diversion, and eutrophication (http://myfwc.com/docs/WildlifeHabitats/Legacy_Bay_Swamp.pdf). The Service has reported that this salamander's habitat faces multiple threats including land conversion, logging, grazing, water diversion, pollution, and rising sea levels (Simons et al. 1989).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous" (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: "There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranks et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations" (p. 325-326).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: "Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranks et al. 1993)" (p. 327).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from coal mining activities in Alabama, Georgia, Kentucky, Tennessee, Virginia, and West Virginia, and by phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007).

Dodd (1997) states: "In many instances, mining occurs directly through small streams or ponds, and mine tailings are pushed into the larger rivers. . . Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers" (p. 180). Gore (1983) showed that low pH and high conductivity due to mining negatively affect the distribution of larval *Desmognathus* salamanders in streams on the Cumberland Plateau (in Dodd 1997).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can

disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: “Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

Because the Gulf Hammock Dwarf Siren is extremely rare, it is very vulnerable to collection. Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect this species, and no occurrences are appropriately protected (NatureServe 2008). Much of this species' range is in the Gulf Hammock Wildlife Management Area. NatureServe (2008) recommends protecting any habitat which is found to harbor this species, and considering state and federal listing.

Other factors:

Dodd (1997) lists rarity as a potential threat to the Gulf Hammock Dwarf Siren.

Other factors which threaten imperiled amphibian populations in the Southeast include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats. The salamander's swamp habitat is threatened by conversion to agriculture, conversion to housing and urban development, groundwater withdrawal, insufficient fire regime, roads, water withdrawal and diversion, and eutrophication (http://myfwc.com/docs/WildlifeHabitats/Legacy_Bay_Swamp.pdf).

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The

presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

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Scientific Name:

Pteronotropis euryzonus

Common Name:

Broadstripe Shiner

G Rank:

G3

AFS Status:

Vulnerable

Range:

The broadstripe shiner has a restricted range in tributaries of the middle Chattahoochee River system of Georgia and Alabama near and below the Fall Line (Lee et al. 1980, Page and Burr 1991, Freeman and Albanese 2009, Boschung and Mayden 2004).

Habitat:

The broadstripe shiner occurs in small, clear or tannin-stained streams with moderate current, in association with vegetation or logs (Lee et al. 1980, Page and Burr 1991, Boschung and Mayden 2004). It is generally found in headwaters (SFC and CBD 2010).

Populations:

Johnston (2004) notes that the broadstripe shiner has suffered a 70 percent reduction in its distribution in Alabama because of habitat degradation in Uchee Creek, and that only eight localities are known in Georgia. Boschung and Mayden (2004) show 23 localities in Alabama.

Population Trends:

The species has experienced a roughly 70 percent reduction in range in Alabama (Johnston 2004).

More specifically, in Uchee Creek between Auburn and Phoenix City broadstripe shiner have experienced declines during the last 30 years, and may be further stressed as the region develops in association with an influx of more personnel at Fort Benning (SFC and CBD 2010). Populations appear more stable in Georgia (SFC and CBD 2010).

Status:

NatureServe (2008) lists the broadstripe shiner as imperiled in both Georgia and Alabama and vulnerable over all. Johnston (2004) list the species as of high conservation concern, Freeman and Albanese (2009) list it as rare, and Jelks et al. (2008) list it as vulnerable based on the present or threatened destruction, modification or reduction of habitat or range. Although it apparently can be common in the small isolated reaches where it occurs (NatureServe 2008), the broadstripe shiner remains at risk because of its limited distribution and sensitivity to habitat alteration. Johnston (2004) observed that: "As a headwater stream specialist, populations in Alabama are almost certainly isolated from Georgia populations, and recolonization opportunities may not exist," adding that it is therefore "critical to protect species throughout its distribution." The broadstripe shiner was formerly considered a C2 candidate for listing by the U.S. Fish and Wildlife Service before this category was abolished. At a meeting of the Southeastern Fishes Council and CBD, there was tentative support for listing the broadstripe shiner as threatened based on its status in Alabama (SFC and CBD 2010).

Habitat destruction:

Jelks et al. (2008) identify the present or threatened destruction, modification or reduction of range as a factor in the broadstripe shiner being vulnerable. NatureServe (2008) cite information that the shiner is "moderately threatened, mainly due to siltation that affects aquatic vegetation." Johnston (2004) notes that the species has suffered a 70 percent distribution decline because of

habitat degradation in Uchee Creek. Freeman and Albanese (2009) observe that "The major threat to the survival of broadstripe shiners is water quality and habitat degradation in tributary streams to the Chattahoochee River." Taken together, this information demonstrates the broadstripe shiner is threatened by habitat degradation in a significant portion of range.

Water withdrawal, poor land management from agriculture, sod farms and pine conversion and development encroachment are the major sources of habitat degradation on the Uchee (SFC and CBD 2010). Expansion of Fort Benning poses an additional threat to the broadstripe shiner (Ibid.)

Inadequacy of existing regulatory mechanisms:

There are currently no efforts to protect or recover the broadstripe shiner and no populations are known to be protected (NatureServe 2008).

Other factors:

Freeman and Albanese (2009) note that "restricted range and localized distributions further contribute to the vulnerability of this species." This fish is threatened by water pollution, primarily from siltation (NatureServe 2008).

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SFC and CBD 2010. Meeting of the Southeastern Fishes Council and Center for Biological Diversity April 5-9, 2010. Auburn, AL, Knoxville, TN and Raleigh, NC.

Scientific Name:

Pteronotropis hubbsi

Common Name:

Bluehead Shiner

G Rank:

G3

IUCN Status:

DD - Data deficient

Range:

The bluehead shiner occurs west of the Mississippi River in Arkansas, Louisiana, Oklahoma, and Texas, and occurred historically in Illinois. This fish is sporadically distributed in the lowlands of the Red, Atchafalaya and Ouachita river systems in southern Arkansas and extreme southeastern Oklahoma (Taylor and Norris 1992), extreme northeastern Texas, and Louisiana. Most occurrences are in southern Arkansas and northern Louisiana. The apparent disjunction in the range between Illinois and the nearest Arkansas population is likely due to the destruction of much of the species' habitat before it was described in 1954 (NatureServe 2008).

Habitat:

This fish occurs in quiet backwater areas and pools with mud or mud-sand substrates in small to medium-sized, sluggish streams and oxbow lakes. It is associated with tannin-stained water and dense vegetation (Bailey and Robison 1978). In Illinois, it occurred in tannin-colored water along the lake margins of a single lake, over mud, decaying plants and submerged logs, and also in a few springs with dense submerged aquatic plants (Burr and Warren 1986). When disturbed, schools of this fish seek cover in vegetation (Bailey and Robison 1978). In Louisiana, this fish has been reported spawning in nests apparently built by warmouth sunfish among bald cypress roots in a side bay off a bayou. This fish also may spawn among other woody plant roots, and may not always use warmouth nests (NatureServe 2008).

Ecology:

This species has numerous fish associates (Bailey and Robison 1978). Predators include two pike (*Esox* spp.) and largemouth bass (*Micropterus salmoides*). Adults have been detected only rarely outside the breeding season (April-July) (NatureServe 2008).

Populations:

There are 32 known sites for this species, but the number of distinct occurrences has not been determined (NatureServe 2008). This fish is currently extant in only three river drainages. It is likely under-sampled as no systematic range-wide search has been conducted due to the difficulty of accessing its habitat. It is extirpated in Illinois, but a reintroduction attempt was made at Wolf Lake in 1992, but apparently failed (Ranvestel and Burr 2002). Only one population is known from Texas, at Caddo Lake. There are ten known locations in Louisiana but these may not all represent distinct populations. In Oklahoma, there are two known locations. In Arkansas, it is known from 18 collection stations in nine counties (NatureServe 2008).

The population at Caddo Lake in Texas is estimated to number in the thousands (Price 1992). Populations of this species show high levels of geographic and temporal variability, and conventional sampling methods can be ineffective in its densely vegetated habitat. Abundance from collections in the Ouachita River and nearby backwaters has fluctuated drastically. Collections from these sites from 1967-83 produced only 3 specimens, but 938 individuals were collected from 1984-91, but then from 1992-1995, no individuals were detected (Ranvestel and Burr 2002).

Population Trends:

Short-term trend information is unavailable for this species, but over the long-term it has experienced a decline of 25-75 percent (NatureServe 2008). It is likely extirpated in Illinois where it occurred historically at three locations but has not been detected since the mid-1970's, despite intensive sampling efforts (Ranvestel and Burr 2002). The Arkansas Game and Fish Commission (2005) report that it is declining in Arkansas. The population in the Ouachita River drainage in northeastern Louisiana expanded dramatically between 1983 and 1991, but wasn't detected at all from 1992-1995.

Status:

NatureServe (2008) ranks the bluehead shiner as extirpated in Illinois, critically imperiled in Oklahoma and Texas, imperiled in Louisiana, and vulnerable in Arkansas. It is classified as vulnerable by the American Fisheries Society (Jelks et al. 2008) due to habitat loss. It is listed by the state of Texas as threatened, and by the state of Illinois as endangered, but it is likely extirpated in Illinois. It is a Species of Special Concern in Arkansas and Oklahoma (Scharpf 2005).

Habitat destruction:

The Arkansas Game and Fish Commission (2005) reports that the bluehead shiner is threatened by sedimentation and habitat destruction caused by resource extraction, channel alteration, and forestry. This fish is threatened by the draining, filling, farming, and/or flooding of backwater swamp habitat, by gravel removal, stream-channel alteration, and by construction of small impoundments which block dispersal and migration (NatureServe 2008). Ranvestel and Burr (2002) provide the following description of threats to this species' habitat: "Habitat degradation caused by anthropogenic disturbance is probably the greatest threat to the persistence of healthy populations of *P. hubbsi* in the wild. In fact, Fletcher and Burr (1992) suggest that the large gap between the *P. hubbsi* population in southern Illinois and the next closest population in Arkansas is not due to lack of collecting in suitable habitat, as suggested by Bailey and Robison (1978), but rather due to relatively recent habitat alteration. Swamp habitat is disappearing quickly in southern Illinois (Phillippi et al. 1986), southeastern Missouri (Pfleiger 1997), western Kentucky (Burr and Warren 1986), western Tennessee, and northeastern Arkansas (Robison and Buchanan 1988) where *P. hubbsi* may have historically occurred. Many swamps have been channelized, dredged, cleared, drained, and converted to agricultural crops (Fletcher and Burr 1992). Lowland streams of the Ouachita River system, including the type locality of *P. hubbsi* in Arkansas, are threatened by gravel removal operations (Robison and Buchanan 1988)." Jelks et al. (2008) list habitat loss as a threat to this species.

Overutilization:

The Arkansas Game and Fish Commission (2005) reports that the bluehead shiner is threatened by commercial harvest. Because of their striking breeding colors and rarity in the wild, *P. hubbsi* are highly coveted in the aquarium trade. Overcollection of *P. hubbsi* from the wild, in conjunction with their short lifespan, disjunct distribution, and already frail status, leave populations highly vulnerable to decline (Scharpf 2002, Ravenstel and Burr 2002).

Disease or predation:

Bluehead shiners are heavily preyed upon in the wild, but the population effects of predation are not known. Predatory fishes commonly co-occur with *P. hubbsi*, and may or may not have noticeable negative effects on *P. hubbsi* populations. Fletcher and Burr (1992) documented *P.*

hubbsi larvae and adults inside the stomachs of pickerels (*Esox americanus*) and largemouth bass (*Micropterus salmoides*). Lemmons et al. (1997) suggest that predatory bowfin may exclude bluehead shiners from certain habitats. Warren et al. (1991) suggest that predation possibly contributed to the extirpation of this species in Illinois.

Populations of *P. hubbsi* can be heavily infested with parasites and disease. Necropsies of dead or dying individuals from a wild population of *P. hubbsi* revealed that gills, fins, and body surfaces were heavily infested with *Lernaea cyprinacea*, a copepod parasite. The gills of individuals were also infected with a *Trichodina*-like ciliate. Some individuals had columnaris disease caused by the bacterium *Flexibacter columnaris*. Within about two weeks, nine of 11 individuals placed in an aquarium died from these afflictions (Burr and Heidinger 1987).

In conjunction with other threats, disease and predation could increasingly threaten wild populations of this species.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect this species. It is listed as endangered by Illinois, but is likely extirpated in the state. It is listed as threatened by the state of Texas, but this designation does not confer substantial protection to the species or its habitat. Ranvestel and Burr (2002) state that they do not know of any aquatic preserves within the range of *P. hubbsi* that are designed especially to protect fish communities in swamp-like habitats. In Oklahoma, there is an occurrence in the Little River National Wildlife Refuge.

Other factors:

The bluehead shiner is particularly vulnerable to catastrophic events due to its short life span, limited distribution, and low genetic diversity (Warren et al. 1991). Populations of this fish fluctuate widely, apparently in response to altered hydrologic conditions, making it vulnerable to drought, flooding, and global climate change (Douglas 1992). Chronic and acute pollution events also threaten this fish, and likely contributed to its apparent extirpation in Illinois (Ranvestel and Burr 2002). In the 1970's, train derailments spilled hundreds of pounds of acids and toxic chemicals into the lake in Illinois where this fish formerly occurred (Smith 1979, Burr and Warren 1986). In 1975, an accidental spill reduced the lake pH to 3.1, further threatening aquatic life (Ranvestel and Burr 2002).

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Scientific Name:

Ptilimnium ahlesii

Common Name:

Carolina Bishopweed

G Rank:

G1

Range:

This species occurs very sporadically in freshwater tidal marshes from the outer coastal plain of southeastern North Carolina through South Carolina and into eastern Georgia (NatureServe 2008).

Habitat:

This plant is found in freshwater tidal marshes.

Ecology:

The Carolina bishopweed flowers from June-August.

Populations:

Only three occurrences of this plant are currently known, one in North Carolina's Cape Fear River marshes, one in Georgia's Savanna River, and one at the mouth of the Ashley-Cooper River near Charleston, South Carolina (NatureServe 2008). It seems likely that at least a few other occurrences may be present in nearby habitat. Population sizes are unknown.

Population Trends:

Trend information is not available for this species.

Status:

Only three disjunct occurrences of this species are known, and they are threatened by various factors, particularly non-native species and water pollution. NatureServe (2008) ranks the Carolina bishopweed as critically imperiled in North Carolina and possibly extirpated in Georgia (occurrences not recently confirmed). It is not ranked in South Carolina.

Habitat destruction:

The Carolina bishopweed is threatened by habitat loss and degradation caused by the deposition of dredge spoils and the intrusion of saltwater into freshwater tidal marshes caused by dredging, channelization, or other anthropogenic alteration of local hydrology (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though one occurrence is found within the Savanna River National Wildlife Refuge, no regulatory mechanisms adequately protect this species from the variety of threats it faces.

Other factors:

Invasion by *Phragmites* spp. has been reported in several parts of this species' range, including the Cape Fear River marshes (NatureServe 2008). This species is also threatened by water pollution from industry (NatureServe 2008).

References:

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Scientific Name:

Ptychobranthus jonesi

Common Name:

Southern Kidneyshell

G Rank:

G1

AFS Status:

Threatened

IUCN Status:

CR - Critically endangered

Range:

The range of the Southern Kidneyshell encompasses less than 100 square km in the Escambia and Yellow river drainages in Alabama, and the Choctawhatchee river drainage in Alabama and Florida (Williams and Butler 1994, Butler 1989). There are 23 known historical locations for this species-- seven in the Escambia River drainage, two in the Yellow River drainage, and 14 in the Choctawhatchee River drainage. The only recent detection in Alabama is from the west fork of the Choctawhatchee River (Mirarchi et al. 2004). Blalock-Herod et al. (2005) noted very severe decline and found live individuals at only one historical site within the Choctawhatchee River drainage.

Habitat:

The southern kidneyshell is known from medium-sized creeks to rivers in silty sand substrates with slow current and woody debris (Williams and Butler 1994). It has also been located in claystone depressions (Blalock-Herod et al. 2005).

Ecology:

Little is known about the ecology of this species; it is presumed to be a long-term brooder (Mirarchi et al. 2004).

Populations:

There are only from 1-5 extant populations of Southern Kidneyshell. Of 23 historical locations, three have unknown population status, 18 -19 are inactive, and only one to two are active, representing a 78 - 83 percent decline in the number of sites supporting this mussel. It is unknown if these remaining populations are capable of reproduction and recruitment (USFWS 2003). This mussel has been located at only 2 of 14 historical sites in the Choctawhatchee River drainage, one on the Pea River in Coffee County, Alabama, and one on the West Fork Choctawhatchee River in Barbour County, Alabama (Williams et al. 2000; Blalock-Herod et al. 2005). Johnson (1967) lists historical sites in the Pea River drainage in Alabama and the Choctawhatchee River drainage in Alabama (both Choctawhatchee River system). Population abundance is low with an average of 6 individuals detected per site (Blalock-Herod et al., 2005). Population status is undetermined at one site in the Escambia and one site in the Yellow River basins. The remaining sites in these two drainages are inactive and the southern kidneyshell may be extirpated from these basins. The Pea River population may have recently become extirpated. This population was assessed in the early 1990s, but when the locality was revisited in 1998, southern kidneyshells were not located (Blalock-Herod et al., 2005). Blalock-Herod et al. (2005) noted very severe decline and found live individuals at only one historical site within the Choctawhatchee River drainage as well as sites where the species was found in 1993 (finding none) and found no new sites. Pilarczyk et al. (2006) reports this species at only one of 24 surveyed sites.

NatureServe (2008) estimates total population size at 50 - 1000 individuals, but this may be an overestimate. At the best known site in 1988, ten individuals were detected, but only three were detected there in the later 1990's (Butler, pers. comm., 1998, cited in NatureServe 2008).

Blalock-Herod et al. (2005) noted very severe decline and found live individuals at only one historical site within the Choctawhatchee River drainage, and did not detect this species at sites where the species was extant in 1993.

Population Trends:

The Southern Kidneyshell is severely declining in the short term (decline of greater than 70 percent) and has experienced a very large long-term decline of greater than 90 percent (NatureServe 2008). This species has experienced severe range reduction and now occurs in low abundance within its limited range. There are only 1-2 sites with active populations of this species.

Status:

NatureServe (2008) ranks the Southern Kidneyshell as critically imperiled in Alabama (S1), and state historical in FLorida (SH). It is ranked as critically endangered by the IUCN. This mussel is in dire need of federal protection. NatureServe (2008) states: "This species is rapidly declining, has an extremely limited distribution (one or two sites), restricted habitat, low number of extant occurrences, overall deteriorating habitat and water quality, and reduction (perhaps to zero or one) of number of viable populations." Its rank is being changed from threatened (Williams et al. 1993) to endangered (2010 draft, in review) by the American Fisheries Society.

Habitat destruction:

Because there are only two remaining locations for this species, habitat loss and degradation is a dire threat to its survival. NatureServe (2008) states that the greatest threat to the Southern Kidneyshell is habitat loss and degradation, reporting the following threats: "siltation from poorly conducted agricultural and silvicultural activities; chicken farm litter nutrients (southern Alabama); localized gravel/sand mining, gas and oil exploration (Escambia River, Alabama); localized industrial (pulp mill on the Escambia River near the Alabama/Florida border) and municipal pollution; and general watershed development (e.g., transportation projects, dams). Logging and chicken farms are expanding industries in south Alabama. A proposed Army Corps of Engineers dam, being pushed by local politicians, threatens many miles of riverine habitat, particularly in Alabama. The stream and river habitats are vulnerable to habitat modification, sedimentation, and water quality degradation from a number of activities. Highway and reservoir construction, improper logging practices, agricultural runoff, housing developments, pipeline crossings, and livestock grazing often result in physical disturbance of stream substrates or the riparian zone, and/or changes in water quality, temperature, or flow. Sedimentation can cause direct mortality of mussels by deposition and suffocation (Ellis, 1936; Brim Box and Mossa, 1999) and can eliminate or reduce the recruitment of juvenile mussels (Negus, 1966; Brim Box and Mossa, 1999). Suspended sediment can also interfere with feeding activity of mussels (Dennis, 1984). Many of the confirmed extant populations of this species are in the vicinity of highway and unpaved road crossings due to ease of access for surveyors. Highway and bridge construction and widening could affect populations of these species unless appropriate precautions are implemented during construction to reduce erosion and sedimentation, and maintain water quality standards. The construction of reservoirs and the associated habitat changes (e.g., changes of sediments, flow, water temperature, dissolved oxygen) can directly impact mussel populations (Neves et al., 1997). Nutrients, usually phosphorus and nitrogen, may emanate from agricultural fields, residential lawns, livestock feedlots, poultry houses, and leaking septic tanks in levels that result in eutrophication and reduced oxygen levels in small streams."

Overutilization:

NatureServe (2008) reports that overharvest by shell collectors and biologists is a potential threat to this species. This species is not commercially valuable, but due to very low population size, any collection poses a dire threat to its survival.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Southern Kidneyshell, and no occurrences are appropriately protected and managed (NatureServe 2008). It is a federal Candidate species and is in dire need of ESA listing to protect the two remaining occurrences. It is a Species of Greatest Conservation Need in Alabama but this designation does not confer regulatory protection.

Other factors:

Several other factors threaten the Southern Kidneyshell. Any factor which degrades water quality threatens this species. In addition, it is vulnerable to catastrophic events because remaining populations are generally small and geographically isolated. The loss and decline of host fishes threatens this mussel. Small population size is a threat because this mussel may be below the effective population size to maintain long-term viability. Invasive species such as Asiatic clam, zebra mussel, and black carp are also a potential threat (USFWS 2003).

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Williams, James D., Ph.D. Biological Research Division, U.S. Geological Survey. 7920 NW 71st Street, Gainesville, FL 32606. (352) 378-8181. FAX (352) 378-4956.

Scientific Name:

Pyganodon gibbosa

Common Name:

Inflated Floater

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

NT - Near threatened

Range:

The range of the Inflated Floater covers 250-1000 square km (about 100-400 square miles) in Georgia. This mussel has been detected in the Little Ocmulgee River, Ochoopee River, Ocmulgee River and the Altamaha River (G. Keferl, pers. obs., 1998 in NatureServe 2008). Clench and Turner (1956) report this mussel from the Apalachicola River system, but Brim Box and Williams (2000) did not detect this species in the Apalachicola River system. Clench and Turner (1956) reported this species from the Ochlockonee River, Flint River, Uchee Creek, Chipola River and the Choctawhatchee River in Georgia, Florida and Alabama, but these records have not been substantiated and this mussel might be endemic to the Altamaha River system (NatureServe 2008).

Habitat:

The Inflated Floater inhabits soft substrates including mud, silts, and fine sands in rivers (NatureServe 2008).

Populations:

NatureServe (2008) reports that there are from 21 - 80 populations of Inflated Floater distributed through four rivers in Georgia: the Little Ocmulgee River, Ochoopee River, Ocmulgee River and the Altamaha River. NatureServe (2008) states: "In a survey of 131 stations (93 Altamaha River, 19 Ocmulgee River, 5 Oconee River, 4 Ochoopee River, 10 Little Ocmulgee River), 117 specimens were found at 29 stations (anonymous, 1995). It was found at 46 (16.8%) of the 273 sites examined from 1993-1997 (G. Keferl, pers. obs., 1998). It made up 1.2 percent of the 15,187 living mussels observed and 1.2 percent of the 3,155 shells collected."

Population Trends:

Based on a sample of a subset of known occurrences, the Inflated Floater is experiencing rapid short-term decline (decline of 30-50 percent). Wisniewsky et al. (2005) state that this mussel has undergone significant recent decline. Pre-2000 site occupancy in the lower Ocmulgee and Altamaha basin in Georgia is estimated at 17 percent, and post-2000 site occupancy is estimated at 6 percent, indicating a significant recent decline (Wisniewsky et al. 2005).

Status:

NatureServe (2008) ranks the Inflated Floater as Vulnerable in Georgia. It is classified as Near Threatened by the IUCN. It is endemic to one river system, with recent surveys indicating decline (from 17 to 6 of 21 sites) since 2000. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Any major changes to the Altamaha River system could negatively alter this mussel's distribution (NatureServe 2008). The Inflated Floater occurs in small population segments in backwater habitats and is threatened by activities which change sediment conditions such as building or dredging (NatureServe 2008). Land adjacent to the Altamaha is being cleared for agriculture, and

mussels there are threatened by excessive sedimentation as a result of poor land practices, pollution, eutrophication, extremely low water levels, destabilized banks, and bank and stream bed destabilization (NatureServe 2008, *Alasmidonta arcuata* species account). Keferl (1993) lists dams, sand and gravel mining, channelization, poor agricultural practices, poor timbering practices, siltation, increased run-off volume, municipal sewage, industrial wastes, and pesticides Wisniewski, J.M., G. Krakow, and B. Albanese. 2005. Current status of endemic mussels in the as threats to mussels in Georgia and the Carolinas, including the Altamaha River, stating, "It is lower Ocmulgee and Altamaha Rivers. In K.J. Hatcher (ed.) Proceedings of the Georgia Water possible that at least four of the seven endemics in the Altamaha River may be in trouble." The Resources Conference, 25-27 April 2005, Athens, Georgia. 2 pp.

Georgia Dept. of Natural Resources (2009) cites unmanaged recreation as a threat to rare mussels in the Altamaha drainage, stating: "unmanaged recreational use represents a serious problem. For example, ATV use in and adjacent to the Ochopee River may represent a threat to populations of rare mussels such as the Altamaha spinymussel. The potential impacts from this type of recreational use include destabilization of streambanks, excessive sedimentation, pollution from fuel spills, and direct mortality from vehicular impacts."

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species.

Other factors:

This mussel is threatened by loss of host fish populations, which are threatened by habitat degradation and predation from non-native flathead catfish in the Altamaha basin (Nature Conservancy and SARP 2005).

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Scientific Name:

Quadrula asperata archeri

Common Name:

Tallapoosa Orb

Range:

This mussel is restricted to the Tallapoosa River above the Fall Line. This distinctive form of *Quadrula asperata* was described as *Quadrula archeri* by Frierson (1905). It remains unclear whether this mussel is a full species or is a subspecies of *Q. asperata*, but the rarity of this mussel has precluded genetic analysis (Williams et al. 2008). Williams et al. (2008) state, "At a minimum it warrants subspecific status, as it is morphologically distinct, occurs in a geographically defined area and was isolated by the falls at Tallassee, Elmore County, Alabama, prior to the impoundment of the Tallapoosa River" (p. 648). The Center is hereby petitioning for this mussel as either a species or a subspecies.

Populations:

Freeman et al. (undated) state: "*Quadrula archeri* is endemic to the Tallapoosa River system and is only known from mainstem collections in the Piedmont portion of the system, including one locale near the Fall Line, two locales between Harris Dam and Lake Martin, and four Alabama locales upstream from Harris reservoir (Irwin et al. 1998, J. D. Williams, personal communication). The records upstream from Harris were collected in 1997 and include recent dead shell material (Irwin et al. 1998)."

Population Trends:

In a recent survey of mussels in the Tallapoosa River drainage, no live individuals or shells of *Q. asperata archeri* were encountered (Johnson and DeVries 2002). Freeman et al. (undated) report that the most recent records (Irwin et al. 1998) are from shell material collected in the mainstem upstream from Harris reservoir, and current records of live specimens are lacking.

Status:

The Tallapoosa orb is possibly extirpated and in dire need of ESA protection.

Habitat destruction:

This mussel is threatened by impoundments. Williams et al. (2008) state, "Evaluation of *Quadrula asperata archeri* is especially critical because additional impoundments in the upper Tallapoosa River drainage could drive it to extinction" (p. 648). Freeman et al. (undated) report that this mussel has experienced substantial habitat destruction and modification within its limited range, stating, "Construction of mainstem impoundments (Thurlow, Yates, Martin, Harris reservoirs) destroyed habitat likely occupied by, at least, *Cyprinella gibbsi*, *Fundulus bifax*, *Cottus* sp., *Percina* sp., *Etheostoma chuckwachatte*, *Etheostoma tallapoosae*, *Quadrula archeri* and *Elimia flava*; all of these species are known from mainstem habitats in the vicinity of the impoundments. . The range of *Quadrula archeri*, occurring upstream and downstream of the major reservoirs, strongly suggests that the species natively occurred in the now-impounded reaches. *Quadrula archeri* (and most likely *Lampsilis altilis*) has also experienced extensive habitat modification in the unimpounded mainstem between Harris dam and Martin reservoir. Flows and thermal regimes in this reach of river are strongly affected by operation of Harris dam, which results in rapidly fluctuating flow and water temperature corresponding to hydropower generation at the dam (Irwin and Freeman 2002). Cold-water releases from dams depress mussel growth and reproduction

(Layzer et al. 1993, Neves et al. 1997).”

This mussel is also threatened by urbanization and increasing demand for freshwater for human usage (Freeman et al. undated).

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Scientific Name:

Quadrula cylindrica cylindrica

Common Name:

Rabbitsfoot

G Rank:

T3

AFS Status:

Threatened

IUCN Status:

NT - Near threatened

Range:

Quadrula cylindrica cylindrica is a freshwater mussel found in Alabama, Arkansas, Illinois, Indiana, Kansas, Kentucky, Louisiana, Mississippi, Missouri, Ohio, Oklahoma, Pennsylvania, and Tennessee. Populations are present in 46 streams in the Ohio, Cumberland, Tennessee, lower Mississippi, White, Arkansas, and Red River systems, though historical reports place this species in 137 streams in 15 states (Butler 2005, NatureServe 2008).

It is found in 5 or 6 sites in Missouri's Tippecanoe River, and in the Vermillion, Lower Ohio, Spring, Black, and St. Francis Rivers, and likely extirpated from the Embarras and Wabash Rivers (Cummings and Berlocher 1990, Cummings and Mayer 1997, Oesch 1995). In Kansas it is rare in the Neosho and Spring Rivers, and extirpated from the Cottonwood River and Shoal Creek (Couch 1997). The rabbitsfoot is widespread but rare in Arkansas, with the most significant populations present in the lower Spring and Black Rivers, and others in the Ouachita and White River systems (Harris and Gordon 1990, Harris and Gordon 1987). It is patchily distributed in Kentucky's lower Ohio, Tennessee, lower Cumberland, and upper Green Rivers, though it has been extirpated from the remainder of the state (Cicerello et al. 1991, Cicerello and Schuster 2003). In Alabama, this species is present in the Paint Rock River system, and a small portion of Bear Creek (Mirarchi et al. 2004, Williams et al. 2008). In Tennessee, it is found in the Elk, Duck, the east fork Stones, and Tennessee Rivers, and likely extirpated from the Buffalo, French Broad, and Caney Fork Rivers (Parmalee and Bogan 1998). Populations are present in parts of Virginia's Clinch, Powell, and Holston Rivers (Parmalee and Bogan 1998), and in Louisiana's Bayou Bartholomeau and Ouachita Rivers, though it is likely extirpated from the upper Mississippi (Vidrine 1993). In Mississippi, it is known from the Yazoo, Tennessee, Lake Maurepas, and Tombigbee drainages (Jones et al. 2005). Though it was once widely distributed in Illinois's Vermilion, Wabash, and Ohio Rivers, it is now only sporadically noted in the North Fork Vermilion and Ohio Rivers (Cummings and Mayer 1997). It is largely extirpated from Indiana, where it was once widely present; small populations remain in the Tippecanoe and Eel Rivers (IN NHP as cited in NatureServe 2008), and the St. Joseph River (Watters 1988, Pryor 2005). Occurrences in Oklahoma are limited to the Upper Little, Glover, and Illinois drainages (Galbraith et al. 2008, Branson 1982). This species is largely extirpated from Pennsylvania, with remaining populations known only in the Shenango and French River drainages (Bursey 1987, PA NHP 2006 as cited in NatureServe 2008). Ohio's populations are found in Fish Creek, Little Darby Creek, and tributaries of the Scioto and Muskingum Rivers (Watters 1995).

Habitat:

The rabbitsfoot is found in large and medium-sized rivers with moderate gradients, often in shoal or riffle habitat (Gordon and Layzer 1989). In smaller streams it is most often found on sand or gravel bars or cobble close to areas of rapid current (Cummings and Mayer 1992).

Ecology:

Adults are filter feeders, consuming primarily organic detritus from the water column.

Populations:

It is estimated that there are 21-80 extant occurrences of this mussel, and total population is thought to number at least 10,000 individuals (NatureServe 2008). Populations are extant in 46 streams in 13 states. Many populations are small and isolated.

Population Trends:

The rabbitsfoot has experienced a long-term decline of up to 90 percent, and continues to decline very rapidly in the short-term by up to 70 percent (NatureServe 2008).

Status:

NatureServe (2008) lists the rabbitsfoot as critically imperiled in Alabama, Indiana, Kansas, Louisiana, Mississippi, Missouri, Ohio, Oklahoma, Pennsylvania, imperiled in Kentucky, and vulnerable in Tennessee. Its conservation status is not ranked in Illinois or Arkansas. Butler (2005) reports that the rabbitsfoot is present at less than one-third of historically occupied sites, and that total range and population losses likely exceed 90 percent. Where this species is still present, populations are restricted and highly isolated. Its persistence in Alabama, Kansas, Louisiana, Mississippi, and Missouri is highly endangered, and recent population reports from Nebraska, Michigan, Iowa, and New York are dubious (Butler 2005). It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Habitat loss to impoundments, channelization, chemical contaminants, mining, and sedimentation is widely cited as the primary cause of decline for this species (Butler 2005, Neves 1991, 1993, Williams et al. 1993, Neves et al. 1997, Watters 2000). Impoundments are the primary factor in the historical decline of the rabbitsfoot; dams disrupt natural hydrological processes by modifying flood pulses, altering water flow, sediment levels, nutrients, decreasing habitat heterogeneity, and isolating mussel populations from their glochidial host species (Neves 1993).

Impoundments also compromise riffle and shoal habitats that the rabbitsfoot relies on. The majority of large river habitat within the rabbitsfoot's range has been impounded, including the main stems of the Ohio, Cumberland, Tennessee, and White Rivers and their larger tributaries, leaving only short, isolated reaches that represent marginally suitable habitat (NatureServe 2008). Coal, gravel, and mineral mining threaten the rabbitsfoot in large portions of its range, through disrupted flow patterns, increased sedimentation and contamination, decline in macroinvertebrate prey base, and other changes. Agricultural activities contribute heavily to sedimentation and siltation, particularly in the Midwest and Southeast. Finally, residential and industrial development contribute to the degradation of aquatic habitat, both by outright destruction, increased regional water withdrawal, and pollutants, primarily in the form of stormwater runoff (NatureServe 2009).

Inadequacy of existing regulatory mechanisms:

Several populations occur within bioreserves owned by the Nature Conservancy, or within watersheds designated as protected by state legislation, but NatureServe (2008) still reports that few occurrences are adequately protected or managed. The rabbitsfoot is state listed as endangered in Ohio, Illinois, Mississippi, Indiana, and threatened in Kentucky, but these designations afford no substantial regulatory protection for the mussel or its habitat.

Other factors:

The rabbitsfoot is threatened by contaminants from both point and non-point sources which degrade water quality, increase sedimentation, and adversely affect or even completely destroy mussel populations. The effects of contaminants on juvenile mussels are especially acute. Runoff from coal mines is rich in heavy metals and has detrimentally affected many drainages that host rabbitsfoot populations, including but not limited to the upper Ohio River system in Kentucky, Pennsylvania, and West Virginia, the lower Ohio River system in Illinois, the rough River drainage in Kentucky, and the upper Cumberland River system in Kentucky and Tennessee (NatureServe 2008). The health of freshwater mussel populations reliant on glochidial hosts for larval development is clearly linked to the health of host fish populations, and the rabbitsfoot is thus threatened by any factor which threatens its host fishes.

The rabbitsfoot may also be threatened by invasive mussels. Zebra mussels are established in many rabbitsfoot streams, including the Ohio, Allegheny, Green, Tennessee, and White Rivers, and French and Bear Creeks. The Asian clam is also present in much of the rabbitsfoot's range, and has been identified as a competitor with native mussel species for food, nutrients, and space (Neves and Widlack 1987, Leff et al. 1990, Ricciardi et al. 1998).

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Scientific Name:

Fusconaia burkei

Common Name:

Tapered Pigtoe

G Rank:

G2

AFS Status:

Threatened

Range:

Also known as *Quincuncina burkei*, the range of the Tapered Pigtoe covers 250-1000 square km in Alabama and Florida. It is endemic to the Choctawhatchee River drainage (Clench and Turner 1956, Williams and Butler 1994, Blalock-Herod et al. 2005, USFWS 2003). NatureServe (2008) provides the following details on this species' distribution: "The historical distribution of the tapered pigtoe has recently been expanded, and a relic shell was found recently in Big Creek, Pike County, Alabama (Blalock-Herod et al., 2005). The tapered pigtoe is known from Horseshoe Lake (an oxbow lake with flowing connection to main channel of the Choctawhatchee River), Washington County; Limestone Creek, Walton County; East Pitman Creek, Holmes County; Choctawhatchee River, Washington, Walton, and Holmes Counties; Holmes Creek, Washington and Holmes Counties; and Tenmile Creek, Holmes County; all in Florida. In Alabama, the historical distribution of the tapered pigtoe included: Flat and Hurricane creeks, Geneva County; Pea River, Barbour, Coffee and Dale Counties; Choctawhatchee River, Dale County; Little Choctawhatchee River, Dale and Houston Counties; East Fork Choctawhatchee River, Dale County; Bear and Panther Creeks; Houston County; and West Fork Choctawhatchee River, Barbour County (Blalock-Herod et al., 2005). Blalock-Herod et al. (2005) list this species historically from 40 localities in the Choctawhatchee River drainage in Alabama and Florida (extant in 7) and 26 new locations in the drainage in isolated spots in the headwaters, the Flat Creek watershed, and the main channel and some tributaries in Florida."

Habitat:

The tapered pigtoe is found in medium-sized creeks to large rivers in slow to moderate current and stable sand or sand and gravel substrata, and occasionally in silty sand (Williams and Butler 1994).

Ecology:

Little is known about the ecology of this species, but it is thought to be a short-term brooder. Gravid females have been detected in May with all four gills used as marsupia and subcylindrical conglutinates (Ortmann and Walker 1922 in Mirarchi et al. 2004). Fish hosts are unknown (Mirarchi et al. 2004).

Populations:

NatureServe (2008) estimates that there are from 21-80 populations of Tapered Pigtoe, providing the following details: "The following locations known from historical museum records continue to support tapered pigtoe populations: Limestone Creek, Walton County; East Pittman Creek, Holmes County; Choctawhatchee River, Washington, Walton, and Holmes Counties; and Holmes Creek, Washington and Holmes Counties; all in Florida; and Flat Creek, Geneva County; Alabama (fide Blalock-Herod et al., 2005). During recent status surveys, the tapered pigtoe was found live and as shell material at 33 of 54 historical sites with an average of 7 individuals per site. Populations were inactive at 15 historical sites and status is undetermined at 6 sites. Four populations were represented by 10 - 20 individuals (fide Blalock-Herod et al., 2005). Recruitment status of the tapered pigtoe is unknown, and may be occurring at low levels within the

existing populations (USFWS, 2003; Williams and Butler, 1994; Blalock et al., 1998). In Alabama, it is extant in 9 locations scattered in tributaries of Choctawhatchee drainage, including headwaters of Pea River (Mirarchi et al., 2004). Blalock-Herod et al. (2005) list this species historically from 40 localities in the Choctawhatchee River drainage in Alabama and Florida (extant in 7) and 26 new locations in the drainage in isolated spots in the headwaters, the Flat Creek watershed, and the main channel and some tributaries in Florida. Pilarczyk et al. (2006) recorded recent collections (in 2004) of this species following surveys of 24 sites at four sites in Alabama including West Fork Choctawhatchee River, Eighmile Creek (in Florida), Pea Creek, and Big Creek compared to Flat Creek, Eightmile Creek (just over the border in Florida), Pea River, Pea Creek, Big Creek, West Fork Choctawhatchee River, and Judy Creek in surveys of the same sites in the 1990s. White et al. (2008) utilized specimens from Eightmile Creek in Walton Co., Florida for host suitability studies."

NatureServe (2008) states: "In 1987 at the the best known site, 37 specimens were found in one hour's time. However, its numbers are generally smaller, at least in Florida's recent records. During recent status surveys, the tapered pigtoe was found live and as shell material at 33 of 54 historical sites with an average of 7 individuals per site. Populations were inactive at 15 historical sites and status is undetermined at 6 sites. Four populations were represented by 10 - 20 individuals (fide Blalock-Herod et al., 2005). Pilarczyk et al. (2006) recorded recent collections (in 2004) of this species following surveys of 24 sites in Alabama at six sites historical and including West Fork Choctawhatchee River (5 live), Eighmile Creek in Florida (29 live), Pea Creek (5 live), and Big Creek (1 live)."

Population Trends:

The Tapered Pigtoe is declining in the short term (decline of 10-30 percent) and moderately declining in the long term (decline of 25 - 50 percent). This mussel has been extirpated from approximately 28 percent of its historic range. Populations appear to be extirpated from Hurricane Creek, Geneva County; Bear and Panther Creeks, Houston County; Little Choctawhatchee River, Houston and Dale Counties; Pea River, Coffee and Dale counties; Choctawhatchee River and East Fork Choctawhatchee River, Dale county, and probably Big Creek, Pike County, all in Alabama (USFWS 2003). Recruitment levels are thought to be low (NatureServe 2008).

Status:

NatureServe (2008) ranks the Tapered Pigtoe as critically imperiled in Alabama and imperiled in Florida. It is a Federal Candidate and merits immediate listing under the ESA. It appears to be extirpated from multiple, historical occurrences, and is confined primarily to main channel habitats within its narrow distribution. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

NatureServe (2008) provides the following information on threats to this species' habitat: "Habitat loss or degradation is the primary threat to this species. The stream and river habitats are vulnerable to habitat modification, sedimentation, and water quality degradation from a number of activities. Highway and reservoir construction, improper logging practices, agricultural runoff, housing developments, pipeline crossings, and livestock grazing often result in physical disturbance of stream substrates or the riparian zone, and/or changes in water quality, temperature, or flow. Sedimentation can cause direct mortality of mussels by deposition and suffocation (Ellis, 1936; Brim Box and Mossa, 1999) and can eliminate or reduce the recruitment of juvenile

mussels (Negus, 1966; Brim Box and Mossa, 1999). Suspended sediment can also interfere with feeding activity of mussels (Dennis, 1984). Many of the confirmed extant populations of this species are in the vicinity of highway and unpaved road crossings due to ease of access for surveyors. Highway and bridge construction and widening could affect populations of these species unless appropriate precautions are implemented during construction to reduce erosion and sedimentation, and maintain water quality standards. The construction of reservoirs and the associated habitat changes (e.g., changes of sediments, flow, water temperature, dissolved oxygen) can directly impact mussel populations (Neves et al., 1997). Nutrients, usually phosphorus and nitrogen, may emanate from agricultural fields, residential lawns, livestock feedlots, poultry houses, and leaking septic tanks in levels that result in eutrophication and reduced oxygen levels in small streams."

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Tapered Pigtoe, and it is unknown whether any occurrences are appropriately protected or managed as there are no known protected occurrences (NatureServe 2008). This mussel is a federal candidate. A population of this mussel may exist in Blue Springs State Park in Alabama. This mussel is a Species of Greatest Conservation Need in Alabama, but this designation does not confer regulatory protection. It has no state status in Florida. NatureServe (2008) provides the following management recommendations for the Tapered Pigtoe: "Protect populations through acquisitions and easements by working with government agencies and conservation organizations; establish buffers and streamside management zones for all agricultural, silvicultural, mining, and developmental activities; maintain high water and benthic habitat quality. Control/eradicate CORBICULA populations. Conservation activities have been limited to working with private landowners in south Alabama and west Florida to encourage the use of Best Management Practices to reduce the effects of agriculture and silviculture (see U.S. Fish and Wildlife Service, 2003)."

Other factors:

Any factor which degrades water quality threatens the Tapered Pigtoe. This species is also threatened by any factor which threatens the survival of host fishes. NatureServe (2008) states that deteriorating water quality throughout the Choctawhatchee drainage is a probable impact. Because remaining populations of Tapered Pigtoe are small and isolated, it is vulnerable to stochastic genetic and environmental events. This mussel is genetically vulnerable because some populations may be below effective population size to maintain long-term viability. The Tapered Pigtoe is also potentially threatened by invasive species such as Asiatic clam, zebra mussel, and black carp (USFWS 2003, NatureServe 2008).

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Scientific Name:

Rana okaloosae

Common Name:

Florida Bog Frog

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The Florida Bog Frog has a very narrow range in Florida, occurring at roughly 24 sites along tributaries of the East Bay, Shoal and Yellow rivers in Santa Rosa, Okaloosa, and Walton counties (NatureServe 2008). AmphibiaWeb (2009) reports that this species occurs only along small streams draining to Titi Creek, the East Bay River, or the lower Yellow River, all of which ultimately drain to Escambia Bay. The Titi Creek populations in Walton County, Florida, appear to be isolated by approximately 30 km from populations in the lower Yellow River basin.

Habitat:

The Bog Frog's habitat consists of early successional shrub bog communities. The species is found in or near shallow, nonstagnant, acidic (pH 4.1-5.5) seeps and along shallow, boggy overflows of larger seepage streams that drain extensive sandy uplands. This frog is associated with beds of sphagnum moss, black titi, and Atlantic white cedar. In mature forest areas, this species occurs in disturbed locations (Moler 1992). This frog breeds and permanently resides in the same habitats (NatureServe 2008, AmphibiaWeb 2009).

Ecology:

Rana okaloosae is syntopic with *Rana clamitans*, *Acris gryllus*, and sometimes *Hyla andersonii*. Breeding occurs from April–August, with occasional calling heard into early September (Moler, 1985, 1992). Eggs are laid in thin masses at the water surface in quiet pools in adult habitat. Males typically call from shallow water surrounded by sphagnum (Moler 1993). Some tadpoles overwinter (Moler, 1985, 1992). Bog Frogs have been observed eating moths attracted by photographers' lights at night. Predators likely include cottonmouths (*Agkistrodon piscivorus*) and southern water snakes (*Nerodia fasciata*) (NatureServe 2008, AmphibiaWeb 2009).

Populations:

The Florida Bog Frog is known from approximately two dozen sites. Population size is unknown (NatureServe 2008).

Population Trends:

Trend is unknown.

Status:

This species is imperiled in Florida (S2) (NatureServe 2008). It is ranked as Vulnerable by the IUCN. It lacks legal protective status.

Habitat destruction:

The greatest threats to the Florida Bog Frog are stream impoundment and habitat succession (Moler 1992). This frog is particularly vulnerable to habitat destruction and modification because of its limited range and habitat specificity (NatureServe 2008). This species' habitat has been degraded by improper watershed management, siltation stemming from poor road placement, and poor forest management in surrounding uplands (Moler 1992, NatureServe 2008). This frog is threatened by residential development of its habitat (Moler 1993).

Enge (2005) cites logging, groundwater use, siltation from dirt roads and cleared lands, impoundment, and poor management of adjacent upland habitat as threats to amphibian species in ravine habitats in the Florida Panhandle, including *R. okaloosae*.

The Florida Fish and Wildlife Conservation Commission (2009) cites threats to the Bog Frog as siltation, pollution, and excess surface runoff where roads cross slopes above streams, damming, and altered fire regime which allows hardwood succession along streams (http://www.fwc.state.fl.us/docs/FWCG/florida_bog_frog.pdf). The Commission cites altered fire regime, altered hydrologic regime, groundwater withdrawal, surface water diversion, and altered community structure as threats to the Bog Frog's habitat (http://myfwc.com/docs/WildlifeHabitats/Legacy_Shrub_Swamp.pdf).

The Florida Dept. of Environmental Protection lists the Florida Bog Frog as occurring at Rocky Bayou State Park where its habitat is threatened by potential loss of submerged and emergent vegetation due to increased residential housing along the preserve boundary, and by high use of the preserve as a water skiing area which may have an impact on the natural submerged and emergent vegetation. There are also recurring issues with high bacteria counts in the preserve waters adjacent to the state park (<http://www.dep.state.fl.us/coastal/sites/rocky/info.htm>).

There has been widespread destruction, degradation, and fragmentation of imperiled amphibian habitats in the Southeast (Vial and Saylor 1993, Pechmann and Wilbur 1994 in LaClaire 1997, p. 314). Dodd (1997) states: "The integrity of both aquatic and terrestrial habitats is important to amphibian survival, even among species that never venture beyond a single habitat type. Furthermore, the various life history stages (eggs, larvae, young, adults) may be differentially susceptible or sensitive to environmental perturbations . . . Although vast areas have been cleared in the Southeast for agriculture, industry, and urban use, there is virtually no assessment of the landscape effects of land conversion on amphibian populations. It seems evident, however, that habitat changes (see papers in Hackney et al. 1992, Boyce and Martin 1993), and with them changes in aquatic amphibian populations, have been enormous" (p. 177-8).

Habitat loss and degradation obviously negatively affects amphibian populations. LaClaire (1997) states: "There is a growing body of work documenting (amphibian) population declines on sites where habitats have been degraded or destroyed (Vickers et al. 1985, Enge and Marion 1986, Ash 1988, Dodd 1991, Raymond and Hardy 1991, Petranks et al. 1993, Phelps and Lancia 1995, Means et al. 1996). . . Clearly, when the habitat of a given population is destroyed, that population has gone or will shortly go extinct. Many species may be unable to recolonize areas after local extinctions, especially when unsuitable habitat exists between the extinct population and extant populations" (p. 325-326).

Habitat fragmentation can lead to amphibian population extirpation by disrupting metapopulation dynamics and preventing dispersal and rescue between source and sink habitat. Dodd (1997) states: "Land use patterns resulting in fragmentation can influence amphibian population genetic structure . . . if populations become overly fragmented, emigration and immigration may be inhibited or stopped, thus preventing recolonization from source populations. . . Small isolated populations are particularly susceptible to environmental perturbations and to stochastic variation in demography that can lead to extinction even without external perturbations. Isolation by habitat fragmentation thus becomes a threat to the regional persistence of species" (p. 178).

Aquatic amphibian populations are threatened by habitat destruction and water pollution from phosphate mining in Florida (Wallace et al. 1992, LaClaire 2007).

Dodd (1997) states: “Mining not only destroys aquatic amphibian habitats outright, it also results in toxic pollution, decreased pH, and siltation of streams and rivers” (p. 180).

Logging is detrimental for both aquatic and terrestrial amphibian habitat because it eliminates shade, increases soil and water temperature, alters stream flow, increases sedimentation, reduces the input of coarse woody debris and organic matter into streams, reduces forest floor litter (especially if litter is piled and burned), reduces soil moisture, reduces and eliminates burrows and hiding places, and destroys wetlands. Logging also frequently involves the use of herbicides which can be detrimental for amphibians (see <http://amphibiaweb.org/declines/ChemCon.html>). Logging is known to decrease amphibian abundance and reproductive success (Dodd 1997, LaClaire 1997). LaClaire (1997) states: “Habitat destruction and degradation resulting from timbering operations may create problems for long-term survival of imperiled amphibians (Kramer et al. 1993, Petranks et al. 1993)” (p. 327).

Road construction and repair and traffic degrade amphibian habitat. Roads can divide breeding locations from overwintering sites and increase mortality for migrating adults and dispersing juveniles, and can disrupt metapopulation dynamics and lead to population isolation, and light and noise from roads can disrupt breeding and feeding behaviors (Dodd 1997). Dodd (1997) states: “Transportation corridors, especially roads, can have serious deleterious effects on amphibian populations (Langton 1989). Road construction can lead to habitat destruction in both terrestrial and aquatic environments, and can negatively alter breeding habitats through increased siltation. Increased siltation can lead to increased amphibian mortality because of its own secondary effects. For example, nearly all aquatic life was eliminated downstream after U.S. HWY 441 was rebuilt in 1963 in the Great Smoky Mountains National Park” (p. 180).

Overutilization:

Amphibians are collected from the wild for use as food, pets, and for the biological and medicinal supply markets (AmphibiaWeb 2009: <http://amphibiaweb.org/declines/exploitation.html>). Dodd (1997) states: “Collecting specimens for the pet trade or biological laboratories probably has had some impact on local (Southeast) amphibian populations, but few data are available” (p. 183).

Disease or predation:

New diseases and increased susceptibility of amphibians to existing diseases are known to be contributing to the decline of amphibian species (Blaustein et al. 1994, Laurance et al. 1996, Berger et al. 1998, Daszak 2000, Kiesecker et al. 2001, reviewed in AmphibiaWeb 2009, <http://amphibiaweb.org/declines/diseases.html>). Stress from factors such as habitat loss and fragmentation, chemical pollution, climate change, invasion of exotic species, increased UV-B radiation, and natural population fluctuations may increase the susceptibility of amphibians to disease (Carey 1993, Dodd 1997, Fellers et al. 2001, Kiesecker et al. 2001, AmphibiaWeb 2009). Pathogens known to cause infectious disease in amphibians include bacterial, fungal, viral, metazoan, water mold, and trematode agents (Wright and Whitaker 2001 in AmphibiaWeb 2009). Chytridiomycosis (chytrid fungus, *Batrachochytrium dendrobatidis*), has had severe impacts on amphibian populations worldwide. Chytrid fungus is known to be present in the southeastern U.S. (AmphibiaWeb 2009) and potentially threatens the Florida Bog Frog. In addition to disease, there has been a widespread increase of amphibian deformities and malformations (<http://amphibiaweb.org/declines/deformities.html>).

Inadequacy of existing regulatory mechanisms:

The Florida Bog Frog is considered a Species of Special Concern in Florida, but this designation does not provide any regulatory protection for its declining habitat. Approximately 90 percent of the total range may be within Eglin Air Force Base, but national security concerns take precedence over wildlife management (NatureServe 2008).

Other factors:

Dodd (1997) lists rarity as a potential threat to the Florida Bog Frog. *Rana okaloosae* is potentially threatened by hybridization with *R. clamitans clamitans* (Gorman et al. 2009). Enge (2005) cites water pollution, recreation, and trash dumping as threats to amphibians in the Florida Panhandle. The Florida Wildlife Conservation Commission cites water pollution and invasive species as threats to the Bog Frog (http://myfwc.com/docs/WildlifeHabitats/Legacy_Shrub_Swamp.pdf). Enge (2005) cites feral hogs as a threat to amphibians in the Florida Panhandle.

Other factors which threaten imperiled amphibian populations in the Southeast include water pollution from acidification, toxins, and endocrine disrupting chemicals, reduced prey availability, climate change, UV-B radiation, invasive species, and synergistic effects from these and other threats.

Acidification of soils and water bodies is detrimental for amphibians. Acidification of amphibian habitat can result from acid precipitation and from acid mine drainage. Acid disrupts ion balance in both terrestrial and aquatic lifestages of amphibians, impairs chemosensory reception, and inhibits larval feeding (Dodd 1997). Embryos and larvae are particularly sensitive to decreased pH. Terrestrial salamanders avoid acidified soils. Acidification also has indirect effects which can kill embryos, larvae, and adults by interfering with egg development, disrupting trophic interactions, and inducing chronic environmental stress. Low pH also makes amphibians more susceptible to deleterious effects from heavy metals and increased UV-B radiation (Dodd 1997).

Environmental toxins pose a threat to amphibians in the Southeast due to lethal and sub-lethal effects which can include mortality, decreased growth rate, behavioral and developmental abnormalities, lowered reproductive success, weakened immunity, and hermaphroditism (see <http://amphibiaweb.org/declines/ChemCon.html>). Amphibians are particularly vulnerable to toxic substances because of the permeable nature of their skin. A wide range of chemical stressors are known to negatively affect amphibians including heavy metals, pesticides, phenols, carbon tetrachloride, nitrogen based fertilizers, and road salt (Dodd 1997, AmphibiaWeb 2009). The presence of toxins can also make amphibians more susceptible to disease (Dodd 1997).

Amphibians are also threatened by endocrine-disrupting chemicals in the environment (eg. Hayes et al. 2006). Dodd (1997) states: “Amphibians are likely to be especially sensitive to the action of endocrine mimics because they are in close direct contact with chemicals in their environment, and the amphibian skin and egg capsule are highly permeable. Because hormones normally function in minute quantities and are vital to normal development, susceptibility to xenobiotics could be devastating during the complex changes that occur during hormonally-induced amphibian metamorphosis” (p. 182).

Toxins and other chemicals can also harm amphibians by reducing food availability. Dodd (1997) states: “If species that are preyed upon by amphibians decline or disappear, amphibian populations

may be expected to follow suit. The use of pesticides and the influence of toxics, pH, and habitat alteration all may be expected to affect amphibian prey populations” (p. 184).

Climate change poses a threat for amphibians because it will alter rainfall and temperature patterns and affect soil moisture (Dodd 1997, Field et al. 2007). Amphibians are particularly sensitive to minute changes in moisture and temperature, and changes in climate can affect breeding behavior, reproductive success, and immune function (see <http://amphibiaweb.org/declines/ClimateChange.html>). Amphibians which breed in temporary ponds or in water bodies that are sensitive to changes in groundwater level are particularly susceptible to climate change effects. Drought can lead to localized extirpation, which combined with habitat fragmentation and impaired dispersal, can contribute to extinction (Dodd 1997).

During the past few decades, levels of UV-B radiation in the atmosphere have significantly increased. For amphibians, UV-B radiation can cause direct mortality as well as sublethal effects including decreased hatching success, decreased growth rate, developmental abnormalities, and immune dysfunction (Dodd 1997, AmphibiaWeb 2009: <http://amphibiaweb.org/declines/UV-B.html>).

Southeastern amphibians are also threatened by the invasion of non-native species which prey on or compete with native amphibians. Nonnative fishes can negatively affect amphibian populations through predation, competition, and disease introduction. Introduced nonnative amphibians such as the marine toad (*Bufo marinus*) and Cuban treefrog (*Osteopilus septentrionalis*) are potentially harmful for native amphibians in the Southeast. Rossi (1981) found that anuran species richness was reduced in an area where *B. marinus* was established (in Dodd 1997). Introduced mammals, such as armadillos and wild hogs, and introduced birds like cattle egrets “may exact a substantial toll on amphibian populations” (Dodd 1997). Invasive fire ants (*Solenopsis invicta*) are also a potential threat for Southeastern amphibians. Dodd (1997) states: “Ground dwelling vertebrates are especially sensitive to this ravenous predator, and fire ants have been reported to kill endangered Houston toads (*Bufo houstonensis*) as they metamorphose. Fire ants are especially abundant in the moist perimeter surrounding ponds and lakes, and they can float in mats across ponds from vegetation clump to vegetation clump. Fire ants have few predators and have expanded their range throughout the Southeast” (p. 183). See: <http://amphibiaweb.org/declines/IntroSp.html>.

Synergisms between multiple threats could contribute to the extinction of Southeast amphibians. Multiple factors acting together have both lethal and sublethal effects (<http://amphibiaweb.org/declines/synergisms.html>). For example, increased UV-B radiation increases the susceptibility of amphibians to the effects of contaminants, pathogens and climate change. Dodd (1997): “The amphibians of this area (the Southeast), and particularly the fully aquatic species, face a multitude of threats to their long-term existence. These threats generally do not act independently, but instead act in concert to have potentially serious long-term effects” (p. 185).

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Scientific Name:

Remenus kirchneri

Common Name:

Blueridge Springfly

G Rank:

G2

Range:

NatureServe (2008) reports that this species is endemic to the Blue Ridge mountains of southwestern Virginia.

Habitat:

This springfly inhabits small spring-fed streams and seeps in the Blue Ridge mountains.

Populations:

There are less than ten occurrences of this fly. Population data are not available.

Status:

NatureServe (2008) ranks this species as imperiled. It is considered a Species of Concern by the state of Virginia.

Habitat destruction:

This species is threatened by increasing development and poor land management practices (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

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Scientific Name:

Rhexia parviflora

Common Name:

Small-flower Meadow-beauty

G Rank:

G2

Range:

Known variously as the Apalachicola meadowbeauty, white meadowbeauty, or small-flower meadowbeauty, this species is known from the Florida panhandle, southeastern Alabama, and southern Georgia (Chafin 2000, Weakley 2007, Kartesz 1999). Natural heritage records exist for Covington, Escambia, and Geneva Counties, Alabama, and for Bay, Calhoun, Franklin, Gulf, Liberty, Okaloosa, Santa Rosa, and Walton Counties, Florida, though not all have been recently confirmed (NatureServe 2008). This species is likely extirpated in Georgia.

Habitat:

This flower occurs along the margins of ponds and shallow, wet depressions, hillside seeps, and evergreen shrub ponds (Chafin 2000). It is associated with pine-palmetto flatwoods and savannahs (Schotz 2008), and seems to prefer sandy peat soils (Kral 1983).

Ecology:

The meadow-beauty is perennial, and flowers June - August (NatureServe 2008).

Populations:

Schotz (2008) reports 43 occurrences, 39 in Florida and four in Alabama. Several of these are of poor viability.

Population Trends:

NatureServe (2008) reports that *R. parviflora* has experienced substantial declines in recent decades and continues to decline rapidly because of habitat loss.

Status:

This plant's range is highly restricted, its habitat requirements are narrow, and existing populations are notably small. The species has been virtually eliminated from private lands by logging and wetland drainage (Chafin 2000). NatureServe (2008) ranks *R. parviflora* as critically imperiled in Alabama, imperiled in Florida, and reports that it is likely extirpated in Georgia (no occurrences confirmed in recent years). This species is state-listed as endangered in Florida.

Habitat destruction:

Urbanization and fire suppression are the primary threats to *R. parviflora* (Schotz 2008). Drainage or other hydrological alteration, timber harvest, and road construction are also significant destroyers of this species' habitat (Chafin 2000, NatureServe 2008). Off-road vehicle (ORV) recreation also threatens some sites (Chafin 2000).

Inadequacy of existing regulatory mechanisms:

Populations of this species in Apalachicola National Forest and on Eglin Air Force Base are somewhat protected. Though it is listed as endangered in Florida, this designation confers no substantial regulatory protections to *R. parviflora*; no existing regulatory mechanisms adequately protect this species.

Other factors:

Very small population size may compromise the long-term viability of some occurrences (NatureServe 2008).

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Scientific Name:

Rhexia salicifolia

Common Name:

Panhandle Meadow-beauty

G Rank:

G2

Range:

Rhexia salicifolia is endemic to the Florida panhandle and southern Alabama (NatureServe 2008).

It has been identified in Bay, Calhoun, Leon (easternmost), Okaloosa (westernmost), Wakulla, Walton, and Washington counties in Florida and Covington and Houston counties in Alabama (Coile 2000, Ward 1979). It has also recently been identified at one site in Georgia (NatureServe 2008). Its range only encompasses 250-1000 square km (100-400 square miles) (NatureServe 2008).

Habitat:

R. salicifolia is a wetland obligate. Under natural conditions, it occurs almost exclusively in wetlands (Chafin 2000). It prefers moist sandy or peaty soils and full sunlight (Ward 1979, Kral 1983). It can be found on the edges and exposed bottoms of limestone lakes, sinkhole ponds, depressed marshes, karst ponds, flatwood ponds, and in interdunal swales along the Gulf Coast (Chafin 2000, Kral and Bostick 1969, Tobe et al. 1998, Ward 1979). The surrounding forest is often long leaf pine-deciduous scrub oak or pine-evergreen scrub oak, but *R. salicifolia* is not found in this dense shade. It is also not found where herbaceous vegetation along the shoreline becomes too dense (Kral 1983).

Ecology:

R. salicifolia is an herbaceous perennial 8 to 22 inches in height (Chafin 2000). Tubers at its root tips allow it to survive season to season (Ward 1979). It produces flowers June through September (Chafin 2000).

Populations:

Only around 50 locations are known for *R. salicifolia*, with 21 to 80 estimated occurrences (Chafin 2000). Individual populations are scattered (Ward 1979). Though populations can be locally abundant, there are only 1000 to 2500 individual plants (NatureServe 2008).

Population Trends:

Panhandle meadow-beauty has declined by 10-30 percent because of human activities (NatureServe 2008).

Status:

NatureServe (2008) ranks the meadow-beauty as critically imperiled in Alabama, imperiled in Florida, and not ranked in Georgia. It is listed as threatened by the state of Florida, and is a Federal Species of Concern.

Habitat destruction:

The most significant threat to *R. salicifolia* populations is habitat alteration due to human intervention. Converting land to agriculture or silviculture, fragmenting habitat, and individual disturbances threaten this meadow-beauty (NatureServe 2008). Lakeside development and pine plantations create erosion, runoff, and sedimentation, which damage the shoreline and alter the hydrology of karst ponds (Chafin 2000). Bulldozing, root raking, bedding, chopping, filling,

ditching, or draining wetlands is detrimental to *R. salicifolia* (Chafin 2000, Kral 1983). Recreational use of lakes and ponds leads to the clear cutting of pines and the removal of scrub oak, leading to erosion and disturbing shorelines (Kral 1983). The margins of privately owned ponds are often scraped to create artificial beaches, destroying all vegetation along the water's edge (Chafin 2000). Frequent mowing during summer and fall months can prevent new seeds from maturing (Kral 1983). ATV use in the Panhandle meadow-beauty's habitat will also destroy populations (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

R. salicifolia is listed as a Species of Concern by the U.S. Fish and Wildlife Service, but this provides it no federal protection. It is also listed as threatened by the state of Florida, but this only prevents harvest, injury, or destruction of *R. salicifolia* on public lands or on the private land of another party. Panhandle meadow-beauty has no protection on private land from the land's owner, according to the Preservation of Native Flora of Florida Act.

References:

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Scientific Name:

Rhodacme elatior

Common Name:

Domed Ancyloid

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The extant range of the Domed Ancyloid consists of 100-250 square km (40-100 square miles) in Alabama, Kentucky, and Tennessee (NatureServe 2008). Basch (1963) reported this species as extant in the Cahaba River in Alabama, and as formerly occurring in the Tennessee River system. Burch (1989) cites both the Tennessee and Cahaba River systems. Mirarchi (2004) states that it is poorly known but reported from the Cahaba River system and possibly from the Tennessee River system.

Habitat:

The Domed Ancyloid is found under boulders and slabs in fast to moderate current (NatureServe 2008). Branson and Batch (1970) collected this species from a stream that was 2.5 to 4 feet deep with sand, mud, and gravel substrate (cited in Bishop 2003).

Populations:

Pierson (1997 pers. comm. cited in NatureServe 2008) reports three extant occurrences of Domed Ancyloid. This species is known from one site in the Little Cahaba in Shelby County and from three sites in the main channel of the upper Cahaba, though these site may not represent separate populations (Bogan and Pierson 1993, NatureServe 2008). Total population size for this species is unknown with a very crude estimate of 50 to 10,000 individuals (NatureServe 2008).

Population Trends:

NatureServe (2008) reports that this species is severely to rapidly declining (decline of 30 percent to greater than 70 percent).

Status:

The Domed Ancyloid is critically imperiled in Alabama, Kentucky, and Tennessee (NatureServe 2008). The IUCN ranks this species as Vulnerable. It is described as very rare in Alabama, Kentucky, and Tennessee (NatureServe 2008). There are only three known extant occurrences of this species, not all of which may represent individual populations (Pierson 1997 pers. comm. in NatureServe 2008). Basch (1963) reports that this species may be extirpated from the Tennessee River system. This limpet is on the Alabama Natural Heritage Program Tracking List. It is a USDA Forest Service Sensitive Species.

Habitat destruction:

The watershed in Kentucky where the Domed Ancyloid occurs has been degraded by runoff from logging, agriculture, oil drilling, and coal mining (Bishop 2003). Concerning water quality in this watershed, USFS (2001) states: "Sedimentation and acid mine drainage from abandoned surface and underground coal mines, brine and oil residue from oil drilling, sedimentation and runoff of agricultural chemicals and animal wastes from farm land, discharge from domestic wastewater systems, and sedimentation from roads and timber harvest constitute the primary water quality issues." Habitat degradation resulting from recreational impacts also threatens this species (USFS 2001).

Herrig and Shute (2002) state that rare aquatic snails such as the Domed Ancyloid are threatened by impacts to their habitats from dams and sedimentation. Dams degrade water quality and isolate populations. Sedimentation inhibits the growth of algae on which snails depend for food (Neves et al. 1997), causes the erosion of snail shells, and negatively affects the survival of snail eggs (Hart and Fuller 1974). Sedimentation of snail habitat results from a variety of sources and land-use activities (Neves et al. 1997).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Domed Ancyloid, and no occurrences are appropriately protected and managed (NatureServe 2008). This species is on the Alabama Natural Heritage Program Tracking List, and is considered a Sensitive Species by the U.S. Forest Service, but these designations do not provide regulatory protection.

Other factors:

The Domed Ancyloid is threatened by water quality degradation. Runoff, chronic pollution, and pollution events threaten aquatic snails such as the Domed Ancyloid (Hart and Fuller 1974, Neves et al. 1997, Herrig and Shute 2002). Exotic species such as zebra mussels also threaten rare snail populations in the Southeast (Hart and Fuller 1974, Herrig and Shute 2002).

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U.S. Forest Service, Center for Aquatic Technology Transfer. 2001. An assessment and strategy for conservation of aquatic resources on the Daniel Boone National Forest, interim report, April 2001. Center for Aquatic Technology Transfer, VPI. Blacksburg, Va. 166 pp.

Scientific Name:

Rhynchospora crinipes

Common Name:

Hairy-peduncled Beakrush

G Rank:

G2

Range:

Endemic to the Southeastern Coastal Plain, *R. crinipes* has been recently observed in Hoke and Moore Counties, North Carolina, Santa Rosa and Okaloosa Counties in Florida, Greene and Wayne Counties in Mississippi, and in Baldwin, Conecuh, Covington, Escambia, Mobile, and Washington Counties, Alabama (TNC 1991-93, NCNHP 1993, Kral 1993, NatureServe 2008). It was formerly found in Appling and Turner Counties in Georgia, but no recent confirmation of these occurrences is available and the species is reported as likely extirpated from the state (NatureServe 2008). It is widely scattered across its diminishing range and most populations are small.

Habitat:

The beakrush is found along stream- and riversides on narrow banks, sand or clay bars, and infrequently in streambeds. Preferred substrates may be clay, peat or peaty silt, and gravel (NatureServe 2008). It commonly occurs within forests composed of cypress (*Chamaecyparis*), red maple (*Acer rubrum*), and swamp titi (*Cyrilla racemiflora*), and less commonly among various species of *Magnolia*, *Nyssa*, *Taxodium*, *Pinus*, and *Quercus* (Anderson 1988) with a shrub layer often composed of *Vaccinium*, *Rhododendron*, *Erigeron*, and *Carex* species. The beakrush receives little direct sunlight.

Ecology:

The beakrush is perennial and forms dense clumps reaching 1 m in height. It fruits summer through fall (NatureServe 2008).

Populations:

There are currently roughly 35 known populations of *R. crinipes*: 17 in Florida, 11 in Alabama, four in North Carolina, and six from Mississippi. Most occurrences are small and comprised of just a few individual plants (NatureServe 2008).

Population Trends:

This species is in decline; several historical occurrences are no longer extant (NatureServe 2008).

Status:

Several historical populations of this plant are no longer extant, and those remaining are small and generally scattered. Florida and Alabama now represent the core of this species' range. NatureServe (2008) ranks *R. crinipes* as critically imperiled in Alabama, Florida, Georgia, Mississippi, and North Carolina. Is listed as endangered in Florida.

Habitat destruction:

Habitat loss and degradation are the primary threats to this species, which is principally imperiled by various development and construction projects. Damming of the Little River (North Carolina) altered streambank habitat so as to render it unsuitable for *R. crinipes*; anthropogenic alteration of regional hydrological regime is the most salient rangewide issue for the species. Timber harvesting, road construction and use, and pollution by agricultural and industrial sources are also

cited as threats (NatureServe 2008). Dredging for sand and gravel and military training activities may also destroy habitat or individuals.

Inadequacy of existing regulatory mechanisms:

Several populations of this plant occur on Elgin Air Force Base (Santa Rosa County, FL), but they may be threatened by military training activities. No other occurrences in Florida or Alabama receive any protection (NatureServe 2008). Though it is listed as endangered in Florida, this designation affords *R. crinipes* no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species or its habitat.

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Sandhills Field Office. 1991-93. The Nature Conservancy's Rare and Endangered Plant Survey for Fort Bragg and Camp MacKall. Contract #M67004-91-D-0010. Personal observations of staff, Southern Pines.

The Nature Conservancy. 1993. Rare and endangered plant survey and natural area inventory of Fort Bragg and Camp MacKall military reservations, North Carolina. Final report by The Nature Conservancy, Sandhills Field Office, December 1993.

Scientific Name:

Rhynchospora thornei

Common Name:

Thorne's Beakrush

G Rank:

G3

Range:

Known from widely scattered sites in the Southeast, natural heritage records exist for this species in Bibb, Cherokee, and Geneva Counties, Alabama, Clay, Jackson, Putnam, St. Johns, and Wakulla Counties, Florida, Baker, Calhoun, and Floyd Counties, Georgia, and for Brunswick, Onslow, and Pender Counties, North Carolina (NatureServe 2008).

Habitat:

This plant occurs along the fluctuating shoreline of limesink ponds, on seeps over calcareous rock substrate, in wet pine savannahs, and in seasonally wet limestone or dolomite glades (FNA 2003, NatureServe 2008). It is also frequently recorded in disturbed sites, around agricultural ponds or in utility corridors.

Ecology:

This perennial plant fruits in late spring and summer (FNA 2003).

Populations:

33 occurrences of this plant were reported as extant in 2003 (NCNHP 2003). Population sizes have not been reported.

Population Trends:

Trends have not been reported for this species, but it is widely considered to be threatened (NatureServe 2008).

Status:

NatureServe (2008) ranks the Thorne's beakrush as critically imperiled in Alabama, Florida, and Tennessee, and imperiled in Georgia and North Carolina (not ranked in South Carolina). *R. thornei* is also state-listed as endangered in North Carolina.

Habitat destruction:

This species' habitat is widely threatened by land-use change and habitat fragmentation resulting primarily from urbanization and forest management (Southern Appalachian Species Viability Project 2002). Because many populations are found along roadsides, they are exposed to road construction, mowing, and herbicide application used for vegetation management or control and may be thus extirpated.

Inadequacy of existing regulatory mechanisms:

Though it is state-listed as endangered in North Carolina, this designation affords the Thorne's beakrush no substantial regulatory protections. No existing regulatory mechanisms adequately protect this species.

References:

Flora of North America. 2003. Species account for *Rhynchospora thornei*. Accessed online February 1, 2010 <<http://www.efloras.org/florataxon.aspx?flora_id=1&taxon_id=242357925>>

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Scientific Name:

Rudbeckia auriculata

Common Name:

Eared Coneflower

G Rank:

G2

Range:

This species is almost entirely restricted to the coastal plains of Alabama, Georgia, and Florida, though populations have occasionally been reported in adjacent areas of Appalachian Alabama (NatureServe 2008, Kral 1983). Natural heritage records indicate this species is present in Alabama's Barbour, Blount, Covington, Crenshaw, Geneva, Jefferson, Pike, Shelby, and St. Clair Counties, in Florida's Okaloosa County, and in Georgia's Webster County.

Habitat:

The coneflower is found in full sunlight in open bogs, swamps, ditches, swales or wet woodlands, occasionally in partial shade along edges of hardwood swamps with alder (*Alnus*), bayberry (*Myrica*), buttonbush (*Cephalanthus*), titi (*Cyrilla*), and various sedges (*Rhynchospora*). Soils in which this species are found are generally high in organic matter and acidity, though basic soils also host *R. auriculata* (Diamond and Boyd 2004). Is intolerant of closed canopy conditions (Schotz 2002).

Ecology:

This perennial species exhibits moderate environmental specificity in that it requires mesic or wet soils and full or near-full sunlight. It reproduces quickly in suitable growing conditions, sometimes clonally (NatureServe 2008).

Populations:

NatureServe (2008) reports that there are 32 known occurrences of this flower-- 30 in Alabama, 1 in Florida, and 1 in Georgia. The size of individual populations ranges from fewer than 50 to more than 1,000 individuals, but in a 2002 survey, roughly one-third of surveyed populations were comprised of more than 10 individuals (Diamond and Boyd 2004).

Population Trends:

NatureServe (2008) reports that the eared coneflower is currently experiencing substantial declines, though long-term population trends are unknown. Occurrences in natural habitats are in decline as a result of the effects of unsustainable forestry and agricultural practices, but numerous populations have established in disturbed sites, e.g., utility corridors, pastures, and roadside verges that experience periodic mowing; the largest occurrences now reportedly occur in these disturbed sites.

Status:

This species is in decline across its range: habitat loss and degradation have forced it from much of its natural habitat, but populations are becoming established in disturbed anthropogenic habitat. Most remaining populations are small, and very few are considered to be of high quality (Boyd and Diamond 2004). NatureServe (2008) ranks the eared cone-flowers as critically imperiled in Florida and Georgia and imperiled in Alabama.

Habitat destruction:

The eared coneflower is severely threatened by the conversion of habitat to agricultural use or to timber harvest plantations (Southern Appalachian Species Viability Project 2002). Changes to soil hydrology and successional patterns (encroachment of shrubs and trees into previously open areas) resulting from these land use practices are also of concern. Populations that become established in anthropogenic habitat are threatened by herbicide application and grazing.

Disease or predation:

Several populations of *R. auriculata* were found to be infected with a fungus, *Fusarium semitectum*, in the late 1990s, but this is no longer considered to be a major threat to this species (Diamond et al. 2006).

Inadequacy of existing regulatory mechanisms:

Only two populations of this species are reportedly protected at all (Diamond and Boyd 2004), and no existing regulatory mechanisms adequately protect the eared coneflower.

Other factors:

Invasive plant species, particularly privet (*Ligustrum sinense*) and Japanese stiltgrass (*Microstegium vimineum*) threaten *R. auriculata* in some parts of its range (Diamond and Boyd 2004).

References:

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Scientific Name:

Rudbeckia heliopsidis

Common Name:

Sun-facing Coneflower

G Rank:

G2

Range:

Also known as the Little River Black-eyed Susan, this species is endemic to the southeastern coastal plain, Piedmont, and Appalachian Plateau areas in Virginia, North Carolina, South Carolina, Georgia, and Alabama. Natural heritage records show this species in Prince George County, Virginia, Brunswick and Harnett Counties, North Carolina, Berkeley and Oconee Counties, South Carolina, Bartow, Floyd, Chattooga, and Chattahoochee Counties, Georgia, and in Dekalb, Cherokee, Macon, Monroe, and Lee Counties in Alabama (NatureServe 2008). This flower is rare throughout its range, and occurrences are widely disjunct.

Habitat:

This plant occurs in acidic swales, seeps, or bogs within pine-oak woodlands, peaty seeps in meadows, and sandy alluvium along streambanks, always within moist to wet habitat. It is also found in upland hickory-oak or oak-pine-hickory woodlands, or open pine/mixed hardwood forest. It is tolerant of a spectrum of light regimes from full sun to partial shade (Kral 1983, NatureServe 2008).

Ecology:

This flower is perennial and flowers July-September (Kral 1983).

Populations:

Currently confirmed occurrences include 17 in Alabama, 5 in Georgia, 5 in South Carolina, and none in North Carolina or Virginia where it occurred historically (NatureServe 2008). Population sizes are highly variable, and have not been reported for most populations. Some of the largest populations are located within utility corridors or along highway verges.

Population Trends:

The majority of recently confirmed occurrences are either stable or declining; fire suppression and habitat loss to development are cited as the principle causes of decline where it occurs (NatureServe 2008).

Status:

NatureServe (2008) ranks *R. heliopsidis* as critically imperiled in Georgia, Virginia, and South Carolina, and imperiled in Alabama. It is state listed as endangered in North Carolina.

Habitat destruction:

The primary threats to this species' habitat include the drainage of upland swales or ponds, fire suppression which leads to altered patterns of succession, construction and development, and other land management or land use change that destroys and degrades habitat (Kral 1983, Southern Appalachian Species Viability Project 2002, ALNHP 1994). Because some of the largest populations are located within utility corridors or along highway verges, they are potentially threatened by herbicide applications. Grazing by cattle, hogs, or other livestock may destroy habitat and/or individuals of *R. heliopsidis* (Kral 1983).

Inadequacy of existing regulatory mechanisms:

A few populations in Alabama and South Carolina occur on state or federal lands but these are not properly managed for the persistence and viability of *R. heliopsidis*. Though it is listed as endangered in North Carolina, this designation offers the sunfacing coneflower no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species or its habitat.

References:

Alabama Natural Heritage Program. 1994. Tri-state comprehensive study, Alabama-Coosa-Tallapoosa and Apalachicola-Chattahoochee-Flint River Basins: *Rudbeckia heliopsidis*.

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Scientific Name:

Salix floridana

Common Name:

Florida Willow

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The Florida Willow is endemic to the coastal plain of northern and central Florida and southern Georgia (Kral 1983, Ward 1979). It is known from Alachua, Columbia, Jackson, Jefferson, Lafayette, Lake, Levy, Marion, Orange, Polk, Putnam, Seminole, and Suwannee counties, Florida, from Covington County, Alabama, and from Pulaski and Early counties, Georgia (Godfrey 1988, NatureServe 2008). It has not been collected in Georgia since 1948 (Patrick 1995). It is patchily distributed across its range.

Habitat:

S. floridana is found in forested floodplains, swamps, and hydric hammocks, and along the banks of springheads, spring runs, streams, and ditches (Chafin 2000, Clewell 1985, Ward 1979). It prefers mature riparian corridors with minimal weedy species (NatureServe 2008). It occurs in soils that are calcareous and usually inundated, and is often found in sandy silt (Kral 1983). It is rarely found outside of wetlands (Chafin 2000). Florida willow is shade-intolerant, and seedlings require wet soil and ample sunlight. Saplings are often found in cleared areas, sunny banks or sandbars, or blowdowns where sunlight penetrates beyond the canopy (Kral 1983).

Ecology:

Florida willow is a perennial, deciduous understory shrub or small tree, rarely over 4 m tall (NatureServe 2008, Ward 1979). It begins flowering mid-February to early April and produces fruit from April to May (Patrick 1995). It is sexually dioecious, producing male and female catkins on separate trees (Chafin 2000, Ward 1979).

Populations:

There were 15 known populations of *S. floridana* as of 2000 (Chafin 2000). Fewer than 1,000 individuals are known, as populations are rarely large.

Population Trends:

NatureServe (2008) reports that populations of *S. floridana* are experiencing substantial declines.

Status:

The Florida willow is now absent from several historical sites and is declining in most locations where it remains. Distribution is patchy across its range because the habitat it requires is naturally somewhat rare and is being destroyed by anthropogenic activities. NatureServe (2008) ranks the Florida willow as critically endangered in Alabama and Georgia and imperiled in Florida. Populations around Marianna, Florida and along the Chattahoochee River have likely been extirpated by habitat loss resulting from energy development (Argus 1986).

Habitat destruction:

Anthropogenic alteration of regional hydrology harms Florida willow populations, which are highly sensitive to changes in hydrologic regime (Patrick 1995). Draining of floodplains and wet hammocks, clearing of ditches and streambanks, or other alteration to hydrological patterns within this species' habitat make conditions inhospitable (Chafin 2000). Silviculture and agriculture also threaten this species (Chafin 2000, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Of the 15 populations of *S. floridana* known in 2000, 10 are located on public lands: the Ocala National Forest, Ichetucknee Springs State Park, Cross Florida Greenway, Upper Lakes Basin Watershed, Spring Hammock Preserve, and Seminole State Forest host known populations (Chafin 2000, NatureServe 2008). It is listed as endangered in both Florida and Georgia, but this designation offers the Florida willow no substantial regulatory protections. No existing regulatory mechanisms adequately protect this species.

Other factors:

The use of herbicides, and pollution or sedimentation generated by agricultural or silvicultural land uses also significantly degrade habitat and may cause local extirpation (Chafin 2000, NatureServe 2008).

References:

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- Little, E.L., Jr. 1979. Checklist of United States trees (native and naturalized). Agriculture Handbook No. 541. U.S. Forest Service, Washington, D.C. 375 pp.
- NatureServe. 2008. NatureServe explorer: an online encyclopedia of life. Available at: <http://www.natureserve.org/explorer>. Accessed December 3, 2009.
- Patrick, T.S., J.R. Allison, and G.A. Krakow. 1995. Protected plants of Georgia: an information manual on plants designated by the State of Georgia as endangered, threatened, rare, or unusual. Georgia Dept. Natural Resources, Wildlife Resources Division, Georgia Natural Heritage Program, Social Circle, Georgia. 218 pp + appendices.
- Wildlife Resources Division, Georgia Rare Species and Natural Community Information. 2001. Special Concern Plant Species in Georgia. Georgia Department of Natural Resources. <<http://www.georgiawildlife.com/content/specialconcernplants.asp>>.

Scientific Name:

Sarracenia purpurea var. *montana*

Common Name:

No common name

G Rank:

T2

IUCN Status:

EN - Endangered

Range:

This plant is known from the Blue Ridge Mountains and adjacent piedmont area of North Carolina, South Carolina, and northeastern Georgia. Weakley (2000) reports this species from Greenville County, South Carolina, and from Rabun County, Georgia; counties are not listed for North Carolina.

Habitat:

This plant occurs in mountain and seepage bogs (Weakley 2000).

Ecology:

Sarracenia purpurea var. *montana* is carnivorous.

Populations:

"A few dozen" populations of this species have been reported (Weakley 2000). Total population size is unknown.

Population Trends:

Population trend has not reported for this carnivorous plant.

Status:

Rare throughout its small range, this species is threatened primarily by habitat loss and degradation resulting from human activities. NatureServe (2008) has not yet ranked this species. It is classified as endangered by the IUCN.

Habitat destruction:

Sarracenia purpurea var. *montana* is threatened by broad changes in regional hydrology, fire suppression, and outright habitat destruction (International Carnivorous Plant Society 2002).

Overutilization:

This carnivorous plant may be threatened by collection in some areas (Rice 2002).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

References:

International Carnivorous Plant Society. 2002. Available:
<http://www.carnivorousplants.org/conservation/projects.html>. Accessed August 5, 2002.

Rice, B.A. 2002. Carnivorous Plant FAQ v9.0. Available: <http://www.sarracenia.com/faq.html>. Accessed August 5, 2002.

Weakley, A.S. 2000. Flora of the Carolinas and Virginia: working draft of May 15, 2000. Unpublished draft, The Nature Conservancy, Southern Resource Office.

Scientific Name:

Sarracenia rubra ssp. *gulfensis*

Common Name:

Gulf Sweet Pitcherplant

G Rank:

T2

IUCN Status:

EN - Endangered

Range:

The Gulf sweet pitcherplant is restricted to the western portion of the Florida panhandle from approximately western Holmes County into Santa Rosa County (Schnell 1979). This plant's total range is likely less than 100 square miles (NatureServe 2008).

Habitat:

The pitcherplant is found in sandy springhead bogs, often along the headwaters of small streams or margins of small ponds or slow creeks and rivers. It prefers year-round inundation and full sunlight exposure (Schnell 1979).

Ecology:

The pitcherplant is an insectivorous perennial herb.

Populations:

Number of populations and total population size are not known for this species.

Population Trends:

The pitcherplant is in decline as a result of habitat loss (Schnell 1979, NatureServe 2008).

Status:

The pitcherplant is restricted to a very small range where it is threatened by anthropogenic habitat loss and is in decline. NatureServe (2008) ranks this subspecies as imperiled in Florida. It is ranked as endangered by the IUCN.

Habitat destruction:

Habitat loss threatens this species across its extremely narrow range. Anthropogenic changes to local hydrological patterns (dams, diversions, drainage), fire suppression, residential development, and conversion of habitat to agricultural or silvicultural uses are responsible for the habitat loss that imperils this species. Schnell (1979) reports that high quality occurrences have been extirpated by housing developments, shopping centers, road construction, and clearing for agriculture or forestry.

Inadequacy of existing regulatory mechanisms:

The pitcherplant occurs on Eglin Air Force Base (Santa Rosa County, FL) but may be threatened there by military training activities. No existing regulatory mechanisms adequately protect this species or its habitat.

References:

NatureServe. 2008. NatureServe explorer: an online encyclopedia of life. Available at: <http://www.natureserve.org/explorer>. Accessed December 3, 2009.

Schnell, D.E. 1979. *Sarracenia rubra* Walter ssp. *gulfensis*: a new subspecies. *Castanea*. 44(4):217-223.

Scientific Name:

Sarracenia rubra ssp. *wherryi*

Common Name:

Wherry's Sweet Pitcherplant

G Rank:

T3

IUCN Status:

EN - Endangered

Range:

This pitcherplant is known from a very small range in Mississippi, Alabama, and possibly Florida. Its distribution is sporadic and localized. Numerous historical occurrences are no longer extant (NatureServe 2008). Natural heritage records exist for the following counties: Baldwin, Covington, Escambia, Mobile, Munroe, and Washington Counties, Alabama, Greene and Wayne Counties, Mississippi, and Escambia County, Florida (NatureServe 2008, Wunderlin and Hansen 2002).

Habitat:

The pitcherplant most frequently inhabits drier microsites within seepage or pitcher plant bogs, and is also found in open savannas, bay head forests, and some anthropogenic habitats such as ditches. It has a narrow range of tolerance for soil moisture levels (NatureServe 2008).

Ecology:

This carnivorous plant flowers March-April.

Populations:

There were 80 extant occurrences of this species in Alabama as of 2002, though only 21 of those were ranked as having either good or excellent viability (NatureServe 2008). Six occurrences were reported as extant in Mississippi as of 1985. Population data for the other states in which these species occurs are not available.

Population Trends:

NatureServe (2008) determined that this species is in severe decline (defined as a decline of 70% or more in population, range, area occupied, and/or the number or condition of occurrences). Numerous historical occurrences are no longer extant, and habitat is widely threatened by various anthropogenic factors.

Status:

Few populations of this species have been recently confirmed; this species' range appears to be in decline and numerous records of the destruction of populations exist. NatureServe (2008) ranks this species as critically imperiled in Mississippi and vulnerable in Alabama. It is classified as endangered by the IUCN.

Habitat destruction:

Fire suppression is perhaps the greatest threat to *Sarracenia rubra* ssp. *wherryi*'s habitat; in the past 60 years, wildfires have been increasingly suppressed because of concerns about timber production, agriculture, and human safety. Fire-maintained species like *S. rubra* ssp. *wherryi* have experienced substantial declines as a result (Southern Appalachian Species Viability Project 2002). Wholesale habitat destruction by the establishment of timber plantations, agricultural, residential, and commercial developments, and road construction are also a major factor in this species' decline (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

One occurrence of this species is found in Alabama's Conecuh National Forest, and may be appropriately protected. No existing regulatory mechanisms adequately protect the Wherry's sweet pitcherplant.

References:

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 13, 2010)

Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

Wunderlin, R.P., and B.F. Hansen. 2002. Guide to Florida's vascular plants. University Press: Tampa, FL.

Scientific Name:

Schoenoplectus hallii

Common Name:

Hall's Bulrush

G Rank:

G2

Range:

Hall's bulrush is known from a fairly wide range throughout the eastern United States, but occurrences are widely disjunct and scattered, and the species is declining overall. Natural heritage records indicate that *S. hallii* is currently present in Alexander, Cass, Mason, and Morgan Counties, Illinois, Daviess, Lake, and Porter Counties, Indiana, Harper, Harvey, and Reno Counties, Kansas, Christian County, Kentucky, Allegan and Muskegon Counties, Michigan, Howell and Scott Counties, Missouri, Brown, Garfield, Holt, Loup, Rock, and Wheeler Counties, Nebraska, Comanche and Woods Counties, Oklahoma, and Dane County, Wisconsin (NatureServe 2008, Stebbins 2003). It was formerly present in Iowa, Massachusetts, and Georgia but has not been observed in those states since the early to mid-20th century (FNA 2002, O'Kennon and McLemore 2004).

Habitat:

This bulrush is generally found on the shores and bottoms of shallow, ephemeral pools, sinkhole ponds, coastal plain marshes, sandy swales, and comparable habitats where annually variable water levels keep the sandy banks free of other competing vegetation (FNA 2002, Crispin and Penskar 1990, NatureServe 2008).

Ecology:

Though it is often considered an annual, *S. hallii* does not germinate reliably in the same site each year: seeds remain viable in substrate for several years and only germinate under specific conditions. Populations in one location may be large in one year and non-existent the next, and the factors that induce germination are not well-understood.

In the northern part of its range, *S. hallii* fruits between late August and late September (Crispin and Penskar 1990).

Populations:

The total number of occurrences of this rush is not known, but recent surveys put estimates at roughly 100, with the majority found in Illinois (Stebbins 2003). Because of the annual variation in population size at any given site, a reliable estimate of global population size is not available.

Population Trends:

NatureServe (2008) reports that this species has experienced substantial declines in recent decades as a result of habitat destruction, though longer-term population trends are not known. The total number of sites observed in recent rangewide surveys is declining, but this may be attributable to the variable nature of annual germination rates.

Status:

Known from scattered locations across its range, this species is reportedly in decline and threatened by widespread habitat destruction, and has been extirpated from several states where it was historically present. Historical sites in Massachusetts were reportedly extirpated by sewage and industrial effluent, and sites in Kentucky were destroyed by construction of a truck stop

(Stebbins 2003). NatureServe (2008) ranks *S. hallii* as critically imperiled in Illinois, Indiana, Kansas, Kentucky, Michigan, Oklahoma, Texas, and Wisconsin, and imperiled in Missouri.

Habitat destruction:

Threats are variable across this species' range. Rangewide, the most significant threat is habitat destruction and/or degradation, largely caused by groundwater depletion for irrigation purposes, and dams, diversions, dredging, or other anthropogenic changes to regional hydrological patterns. Residential, commercial, agricultural, and recreational development are also substantial threats (McKenzie et al. 2007, Penskar and Higman 2002). ORV (off-road vehicle) recreation has caused significant damage to habitat occupied by *S. hallii* in Michigan and currently threatens the viability of several sites (Penskar and Higman 2002, MNFI 2000).

Disease or predation:

Excessive consumption by Canada geese (*Branta canadensis*) and mute swans (*Cygnus olor*) threatens some populations of *S. hallii* (McKenzie et al. 2007).

Inadequacy of existing regulatory mechanisms:

Populations occur in the Huron-Manistee and Mark Twain National Forests, on the Indiana Dunes National Lakeshore and in the Sand Prairie-Scrub Oak Nature Preserve (Illinois) - these receive some degree of protection (Stebbins 2003). NatureServe (2008) states: "All known populations should receive protection. Protection efforts must ensure the integrity of water within the watershed in which the element occurs. Sufficient buffer must exist to protect the site from herbicide drift, alterations in water table and similar potentially destructive actions."

Other factors:

Invasive plant species such as purple loosestrife (*Lythrum salicaria*) may outcompete or inhibit germination of *S. hallii*, and currently threaten sites in Indiana and Kansas (McKenzie 1998, Ostlie 1990). Population isolation is becoming an increasingly severe problem for *S. hallii* - isolated populations are more vulnerable to stochastic extinction and less resilient to environmental change because of low or nonexistent gene flow (McKenzie et al. 2007). Hybridization with *S. saximontanus* also threatens the integrity of this species in some areas (Stebbins 2003).

References:

Crispin, S., and M. Penskar. 1990. *Scirpus Hallii* Gray (Hall's clubrush). Unpublished abstract for Michigan Natural Features Inventory, Endangered Species Manual.

Flora of North America Editorial Committee. 2002. Flora of North America north of Mexico. Vol. 23. Magnoliophyta: Commelinidae (in part): Cyperaceae. Oxford Univ. Press, New York. xxiv + 608 pp.

McKenzie, P.M. 1998. Hall's bulrush (*Schoenoplectus hallii*) Status Assessment. US Fish & Wildlife Service. Columbia, MO. 46 pp.

McKenzie, P.M., S.G. Smith, and M. Smith. 2007. Status of *Schoenoplectus hallii* (Hall's bulrush) (Cyperaceae) in the United States. J. Bot. Res. Inst. Texas 1(1): 457-481.

Michigan Natural Features Inventory. 2000. Element Occurrence Records for *Scirpus hallii*. Michigan Department of Natural Resources. 2 pp.

- NatureServe. 2008. NatureServe explorer: an online encyclopedia of life. Available at: <http://www.natureserve.org/explorer>. Accessed December 3, 2009.
- O'Kennon, R.J., and C. McLemore. 2004. *Schoenoplectus hallii* (Cyperaceae), a globally threatened species new for Texas. *Sida* 21(2): 1201-1204.
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- Penskar, M.R., and P.J. Higman. 2002. Special plant abstract for *Schoenoplectus hallii* (Hall's bulrush). Michigan Natural Features Inventory, Lansing, MI. 4 pp.
[http://web4.msue.msu.edu/mnfi/abstracts/botany/Schoenoplectus_hallii.pdf]
- Stebbins, S. 2003. Conservation assessment for Hall's bulrush, *Schoenoplectus hallii*. USDA Forest Service, Eastern Region. Accessed online December 16, 2009
<<www.fs.fed.us/r9/wildlife/.../plant_Schoenoplectus_hallii-Halls_Bulrush.pdf>>
- Weakley, A. S. 2007. Flora of the Carolinas, Virginia, Georgia, and surrounding areas. Working draft of 11 January 2007. University of North Carolina Herbarium (NCU), North Carolina Botanical Garden, University of North Carolina at Chapel Hill. Online. Available: <http://www.herbarium.unc.edu/flora.htm> (accessed 2007).

Scientific Name:

Scutellaria ocmulgee

Common Name:

Ocmulgee Skullcap

G Rank:

G2

Range:

The Ocmulgee Skullcap is endemic to areas near major rivers along Georgia's Fall Line and in parts of neighboring South Carolina (Patrick et al. 1995).

Habitat:

This plant occurs in mesic hardwood or bluff forests along river banks and ravine slopes (GADNR 1996). It is associated with old growth hardwood forest, prefers rich soil, and is often found with geranium, heartleaf, and lop-seed (USACE 2008).

Ecology:

This perennial herb reproduces vegetatively and sexually, and blooms June-October (NatureServe 2008).

Populations:

This plant is known from approximately ten widely-scattered locations along the Oconee, Ocmulgee, and Savannah Rivers (GADNR 1996, NatureServe 2008). Population sizes have not been reported.

Population Trends:

Population trends have not been reported for this species, but major habitat loss is widely documented.

Status:

This plant is endemic to a small range, within which it is known from relatively few (10) scattered locations, and significant habitat loss is ongoing. NatureServe (2008) ranks the Ocmulgee skullcap as imperiled in Georgia, where it is state-listed as threatened.

Habitat destruction:

Significant habitat loss in this region is a major threat to the survival of this species. Riverside residential development and recreational activities are ongoing and extensive (Patrick et al. 1995, NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Though it is listed as threatened in the state of Georgia, this designation offers the Ocmulgee skullcap no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species from ongoing threats.

Other factors:

Invasive exotic species like the Japanese honeysuckle, *Lonicera japonica*, potentially threaten the skullcap (Patrick et al. 1995, NatureServe 2008).

References:

Georgia Department of Natural Resources. 1996. Piedmont ecoregional overview. Accessed online February 2, 2010 <<www1.gadnr.org/cwcs/PDF/11_Piedmont.pdf>>

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: February 2, 2010).

Patrick, T.S., J.R. Allison, and G.A. Krakow. 1995. Protected plants of Georgia: an information manual on plants designated by the State of Georgia as endangered, threatened, rare, or unusual. Georgia Dept. Natural Resources, Wildlife Resources Division, Georgia Natural Heritage Program, Social Circle, Georgia. 218 pp + appendices.

U.S. Army Corps of Engineers (USACE). 2008. Threatened and endangered species of the Upper Savannah River Basin. Accessed online February 2, 2010 <<www.sas.usace.army.mil/endspec/T&E%20Manual%202008.pdf>>

Scientific Name:

Sideroxylon thornei

Common Name:

Swamp Buckthorn

G Rank:

G2

Range:

Also called the Georgia bully, *S. thornei* is known from southern Georgia and a few scattered occurrences in adjacent parts of Alabama and Florida. Natural heritage records show this species was recently confirmed in Early, Calhoun, Baker, Miller, Decatur, and Liberty Counties, Georgia, in Franklin, Escambia, and Jackson Counties, Florida, and in Houston County, Alabama (Anderson 1996).

Habitat:

This plant is found in low-lying oak flatwoods where soils remain saturated for long periods after rain or flooding, and also in wetlands overlying limestone formations (Chafin 2007, NatureServe 2008).

Ecology:

The buckthorn flowers during May and June, and fruits August-October (Patrick et al. 1995).

Populations:

This species is reported from 12 locations in Georgia, one in Alabama, and four in Florida (Anderson 1996). The size of individual populations is not reported.

Population Trends:

NatureServe (2008) determined that the swamp buckthorn is experiencing very rapid decline due to habitat loss.

Status:

NatureServe (2008) ranks this species as critically imperiled in Alabama and Florida and imperiled in Georgia. It is state listed as endangered in Georgia.

Habitat destruction:

S. thornei has already experienced substantial habitat loss to wetland drainage and subsequent conversion of habitat to agricultural use or timber plantations (Patrick et al. 1995). Continuing threats include drainage, road construction or maintenance, and other activities that affect local hydrological regimes (NatureServe 2008). At the Fort Steward site in Georgia, this species is potentially threatened by hydrological impacts caused by road construction or expansion and deer browsing (K. Lutz, pers. comm. cited in NatureServe 2008).

Disease or predation:

Browsing by white-tailed deer (*Odocoileus virginianus*) may threaten some populations, as evidenced by low fruit set in these areas (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

Several occurrences in Georgia occur on protected lands, though the status of these populations is not reported. While it is listed as endangered in the state of Georgia, this designation affords the swamp buckthorn no substantial regulatory protections; no existing regulatory mechanisms adequately protect *S. thornei* or its habitat.

References:

- Anderson, L. C. 1996. New geographical and morphological data for *Sideroxylon thornei* (Sapotaceae). *Sida* 17(2): 343-348.
- Chafin, L.G. 2007. Field guide to the rare plants of Georgia. State Botanical Garden of Georgia, Athens, Georgia.
- McCollum, Jerry L., and David R. Ettman. 1977. Georgia's Protected Plants. Resource planning section, OPR; Endangered Plant Program, Georgia Dept. of Natural Res.; Soil Conservation Service, Atlanta, GA. 64 p.
- NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: November 9, 2009)
- Patrick, T.S., J.R. Allison, and G.A. Krakow. 1995. Protected plants of Georgia: an information manual on plants designated by the State of Georgia as endangered, threatened, rare, or unusual. Georgia Dept. Natural Resources, Wildlife Resources Division, Georgia Natural Heritage Program, Social Circle, Georgia. 218 pp + appendices.

Scientific Name:

Sigmodon hispidus insulicola

Common Name:

Insular Cotton Rat

G Rank:

T1

IUCN Status:

NT - Near threatened

Range:

The total range of the insular cotton rat is less than 250 square km in Lee, Sarasota, and Charlotte counties, Florida. This species occurs on Captiva Island, Sanibel Island, Pine Island, Little Pine Island, and on Chadwick Beach near Englewood, all near Charlotte Harbor (Layne 1978, NatureServe 2008).

Habitat:

The insular cotton rat occurs in tidal marshes and maritime hammock edges where it constructs runways among dense vegetation (Layne 1978). On Sanibel Island, the insular cotton rat uses varied habitats which include drier areas of freshwater marshes with tall, dense emergent vegetation, open dry grass fields, and mixed grass and brushlands. On Captiva Island, it occurs in grassy areas. On Pine and Little Pine Islands it occurs in dense areas of *Spartina patens*, in pine-palmetto stands, and in wet areas in garbage dumps (Layne 1978, NatureServe 2008).

Ecology:

Lactating and pregnant females have been detected in May and August, with litter sizes of 2-4. Populations of this species apparently undergo large annual fluctuations (Layne 1978).

Populations:

NatureServe (2008) estimates that there are 6-20 populations of this subspecies. Abundance information is not available.

Population Trends:

Population trend data are not available for this rare subspecies.

Status:

The insular cotton rat has a limited range in southern Florida. This critically imperiled (T1S1) subspecies occurs in a heavily developed area. It is ranked as near threatened by the IUCN and as a Species of Greatest Conservation Need in Florida.

Habitat destruction:

Habitat destruction for development is a major threat for the insular cotton rat, which occurs in an area heavily developed for retirement and resort use (NatureServe 2008). This subspecies' coastal marsh habitat is threatened by commercial and residential development, dikes and marsh impoundments, invasive species, and residential sewage discharge (Scott 2004). Its dry prairie habitat is threatened by overgrazing, altered fire regime, invasive vegetation and invasive hogs and armadillos, and increasing development pressure (Scott 2004).

The Florida Fish and Wildlife Conservation Commission (2005) reports that the cotton rat's salt marsh habitat is very highly threatened by fragmentation, coastal development, and sedimentation, and highly threatened by the construction of roads, bridges and causeways, incompatible industrial operations, dam operations and the incompatible release of water, climate variability, inadequate stormwater management, surface water withdrawal, channel modification, and incompatible

wildlife and fisheries management strategies.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which adequately protect the insular cotton rat. It is a species of greatest conservation need in Florida, but this designation conveys no regulatory protection. This animal is known to occur on Charlotte Harbor State Reserve, and it might occur on Ding Darling National Wildlife Refuge.

Other factors:

The insular cotton rat's coastal marsh habitat is threatened by sea-level rise resulting from global climate change (Scott 2004). Its habitat is also threatened by invasive vegetation and invasive hogs and armadillos (Scott 2004). Tidal inundation from tropical storms and hurricanes also threatens this subspecies (Enge et al. 2003).

References:

Enge, K. M., B. A. Millsap, T. J. Doonan, J. A. Gore, N. J. Douglass, and G. L. Sprandel. 2003. Conservation plans for biotic regions in Florida containing multiple rare or declining wildlife taxa. Final Report. Florida Fish and Wildlife Conservation Commission, Tallahassee, Florida, USA.

Florida Fish and Wildlife Conservation Commission. 2005. Florida's Wildlife Legacy Initiative. Florida's Comprehensive Wildlife Conservation Strategy. Tallahassee, Florida, USA.

Hall, E. Raymond. 1981. The Mammals of North America, Vols. I & II. John Wiley & Sons, New York, New York. 1181 pp.

Howell, A. H. 1943. Two new cotton rats from Florida. *Proc. Biol. Soc. Washington* 56:73-76.

Layne, J. N., editor. 1978. Rare and endangered biota of Florida. Vol. 1. Mammals. State of Florida Game and Freshwater Fish Commission. xx + 52 pp.

Peppers, L. L., and R. D. Bradley. 2000. Cryptic species in *SIGMODON HISPIDUS*: evidence from DNA sequences. *Journal of Mammalogy* 81:332-343.

Scott, C. 2004. Endangered and Threatened Animals of Florida and Their Habitats. University of Texas Press. 381 pp.

Scientific Name:

Simpsonaias ambigua

Common Name:

Salamander Mussel

G Rank:

G3

AFS Status:

Special Concern

IUCN Status:

CD - Conservation

Range:

The salamander mussel is a freshwater species native to the eastern United States; it is present in Arkansas, Illinois, Indiana, Kentucky, Michigan, Minnesota, Missouri, possibly New York, Ohio, Pennsylvania, Tennessee, West Virginia, Wisconsin, and Ontario. Though it is widely distributed and locally abundant in some areas, this species is considered rare and local extirpations have been so widespread as to constitute a decline in the total area of occupancy. It is thought to be extirpated from Iowa, and possibly from New York as well (NatureServe 2008). Historically, this species was found throughout the upper Mississippi River drainage, and as far south as Tennessee's Cumberland River drainage, though Parmalee and Bogan (1998) reported it extirpated from this drainage since no new specimens had been found since 1965. It is considered rare in Ohio, where it is known from the lower Little Scioto River and from Salt Creek (Watters 1992). In Pennsylvania it is known from the middle Allegheny-Redbank drainage, and possibly from the French and Lower Monongahela River drainages (PA NHP 2007 as cited in NatureServe 2008). In Missouri it is known only from the Bourbeuse River (Oesch 1995). In Illinois, it was known from the Kankakee and Vermillion River drainages, but it has not been collected for over 30 years (Cummings and Mayer 1997). In Kentucky, it is sporadically found in the upper Green River, and also occurs in the north fork of the Red River drainage (Cicerello and Schuster 2003, Clarke 1988). In Indiana, it is still present in some Wabash tributaries, Graham Creek, and in the Maumee and Tippecanoe Rivers (Fisher 2006, Harmon 1989, Harmon 1992, Cummings and Berlocher 1990). Arkansas' populations are limited to the Spring and Little Red Rivers (Harris and Gordon 1987, Harris et al. 1997). In Canada, the only known remaining population is found in the Sydenham River, though another may still be present in the Thames River based on a single recent specimen (Metcalf-Smith et al. 2003, Cudmore et al. 2004, Watson et al. 2000).

Habitat:

This species is primarily found in areas of swift current, in sand or silt substrate under large, flat stones (Buchanan 1980, Clarke 1985, Oesch 1984, 1995, Parmalee and Bogan 1998). Across its range, it also occurs in all types of freshwater habitat, including creeks, streams, and lakes (Cudmore et al. 2004).

Ecology:

Reproductive ecology is similar to that of most other freshwater mussels, but this mussel uses an amphibian as a glochidial host instead of a fish; the only known glochidial host for the salamander mussel is the mudpuppy, *Necturus maculosus* (Howard 1915, 1951, Bequaert et al. 1998).

Populations:

NatureServe (2008) estimates that there are 21-80 extant occurrences of this mussel, stating, "Although widely distributed and abundant in some areas, this species is still considered rare in all states where it is found and recently local extirpations have been occurring across nearly its

range to the point where declines in area of occupancy have occurred." In appropriate habitat this species can be locally abundant, and total population size is thought to be at least one million individuals. Numerous historical occurrences have been extirpated, and many remaining populations are small and isolated.

Population Trends:

NatureServe (2008) estimates that this mussel has declined by up to 50 percent in the long-term, and has continued to decline in the short-term by up to 30 percent, stating, "While this species is easily overlooked, intense searches in areas where the species has previously been indicate decline (Stansbery, 1970; Clarke, 1985). Sietman (2003) reports it extirpated from the Mississippi River below St. Anthony Falls and portions of the Minnesota River drainage in Minnesota. Cummings and Mayer (1997) report it may be extirpated in Illinois. In Canada, it has been extirpated from the Cedar and Detroit Rivers by the zebra mussel and only a single population remains in the Sydenham River in Ontario (Metcalf-Smith and Cudmore-Vokey, 2004) and possibly Lower Thames (Watson et al., 2000; Cudmore et al., 2004). In the 19th Century, it was collected from several sites near Buffalo including Lake Erie, Buffalo Creek [River], and Cayuga Creek at Lancaster in New York (Strayer and Jirka, 1997)."

The Pennsylvania Fish and Boat Commission (2010) reports that a projected 80 percent population reduction is expected in the state within the next 10 years.

Status:

Though it is still widely distributed, this species is considered rare across its range, and continuing declines have resulted in a decline in the total area of occupancy (NatureServe 2008). NatureServe (2008) reports that the salamander mussel is critically imperiled in Arkansas, Illinois, Michigan, Missouri, Pennsylvania, Tennessee, and West Virginia, imperiled in Indiana, Kentucky, Minnesota, and Wisconsin, and vulnerable in Ohio. It is endangered in Canada, and is ranked by the IUCN as "conservation dependent." It is a federal Candidate (Category 2), and is endangered in Illinois, Michigan, Pennsylvania, and Missouri, threatened in Wisconsin, and a species of special concern in Indiana and Ohio. Its rank is being changed from special concern (Williams et al. 1993) to threatened by the American Fisheries Society (draft 2010, in review).

Habitat destruction:

The salamander mussel has been extirpated from many historical locations due to habitat loss and degradation, and many activities pose an ongoing threat to the species. A primary threat to this mussel is impoundment. For example, in Minnesota, this mussel is threatened by high stream-flow variations on the St. Croix River caused by a hydroelectric dam operating on a seasonal peaking regime (Minnesota Department of Natural Resources 2010).

Other known threats to this mussel include channelization, dredging, industrial and residential development, agriculture, forestry, sand and gravel quarrying, oil and gas drilling, and coal mining (Carman 2002, Kentucky Dept. of Fish and Wildlife Resources 2005, FWS 2006, Michigan Natural Features Inventory 2007, Minnesota Dept. of Natural Resources 2010).

The Pennsylvania Fish and Boat Commission (2010) reports that the Allegheny River pool 5 subpopulation of this mussel "is under direct threat from proposed commercial sand and gravel operations. . . . the lock and dam system in the Allegheny and Ohio Rivers, combined with maintenance/commercial sand and gravel dredging, have altered and destroyed Salamander mussel

habitat, eliminated habitat continuity and genetically isolated subpopulations occurring in the Allegheny and Monongahela River systems. Allegheny River pool 5 has recently received authorization for dredging.”

Inadequacy of existing regulatory mechanisms:

Though it is listed by several states, these designations do not protect the salamander mussel's habitat, and habitat degradation is the primary threat to its continued existence. No existing regulatory mechanisms adequately protect this species from the multitude of threats it faces.

Other factors:

The salamander mussel is threatened by water pollution from many sources (NatureServe 2008). It is sensitive to siltation and water quality changes resulting from impoundment (Carman 2002). Known threats to this mussel include industrial and residential discharge, siltation, herbicide and surface run-off, acid mine drainage, wastewater discharge, animal wastes, and toxic chemical spills (Carman 2002, Kentucky Dept. of Fish and Wildlife Resources 2005, FWS 2006, Michigan Natural Features Inventory 2007). The Pennsylvania Fish and Boat Commission (2010) states: "Anthropogenic disturbances (that is, disturbances derived from human activities) such as acute or chronic pollution events could destroy remaining live Salamander mussels in Dunkard Creek or either Allegheny River subpopulation. Sedimentation from oil and gas developments, forestry and agricultural practices could have an adverse effect on mussel/host interactions and reduce Salamander mussel recruitment. The Salamander mussel is the only known North American mussel to use an amphibian as a host. Any alteration or reduction to host habitat (for example, loss of large flat rocks, sediment burial of large flat rocks) is likely to alter host numbers or behavior and reduce Salamander mussel recruitment."

Invasive mussel species are also a known threat to the salamander mussel (FWS 2006, Michigan Natural Features Inventory 2007). In the Mississippi River and its tributaries, the salamander mussel is also being impacted by the infestation of nonnative zebra mussels, which attach themselves in large numbers to the shells of native mussels, eventually causing death by suffocation (Minnesota Department of Natural Resources 2010). Zebra mussel invasion has contributed to the extirpation of the salamander mussel from the Cedar and Detroit Rivers (Metcalf-Smith and Cudmore-Vokey 2004). Zebra mussels have colonized the Allegheny River, Ohio River and French Creek, and salamander mussel mortality from zebra mussel infestation is expected (Pennsylvania Fish and Boat Commission 2010).

Any factor which threatens the mudpuppy also threatens the salamander mussel (Carman 2002, Michigan Natural Features Inventory 2007).

This mussel is also threatened by population isolation and low gene flow (Kentucky Dept. of Fish and Wildlife Resources 2005). The Pennsylvania Fish and Boat Commission (2010) reports that the Allegheny River pool 6 subpopulation of this mussel is threatened by genetic isolation, natural mortality, and the threat of catastrophic events.

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Scientific Name:

Solidago arenicola

Common Name:

Southern Racemose Goldenrod

G Rank:

G2

Range:

This flower is confirmed from a limited number of sites in Alabama and Tennessee. It is found along the Locust Fork River in Blount County, Alabama, and along Daddy's Creek, the Obed River, Clear Creek, the upper Emory River, and the Big South Fork River and its primary tributaries in Tennessee (D. Estes, pers. comm. as cited in NatureServe 2008). A closely related taxon in Kentucky has yet to be confirmed as *S. arenicola*, but if confirmed it would expand the species' range to include the Big South Fork, Rockcastle, and Cumberland Rivers in Kentucky (D. White pers. comm. as cited in NatureServe 2008).

Habitat:

In Alabama this plant occurs within a floodplain in shady, acidic woods in deep, sandy, alluvial soil. Its habitat is often inundated in winter and early spring, and is drier in summer and fall (Keener and Kral 2003). Tennessee occurrences are found on cobble bars in rivers. Possible Kentucky populations are found on boulder bars (NatureServe 2008).

Ecology:

This plant flowers from August-October (FNA 2006).

Populations:

Six occurrences of this flower are currently known, one in Alabama and five in Tennessee; total population size is not known (NatureServe 2008).

Population Trends:

Population trend is not reported for this rare species, but known populations appear to be healthy (NatureServe 2008).

Status:

NatureServe (2008) ranks *S. arenicola* as critically imperiled in both Alabama and Tennessee.

Habitat destruction:

Dredging, channelization, impoundments, and other human activities that result in altered hydrology may threaten this species' floodplain habitat (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect *Solidago arenicola* or its habitat.

Other factors:

Invasion of cobble or boulder bar habitat by *Mimosa* spp. or other changes in succession may be a threat to the goldenrod (D. White, pers. comm. as cited in NatureServe 2008).

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White, Deborah. Botanist, Kentucky Nature Preserves Commission, Frankfort, Ky.

Scientific Name:

Solidago plumosa

Common Name:

Yadkin River Goldenrod

G Rank:

G1

Range:

First discovered in 1894, *S. plumosa* was thought to be extinct for nearly 100 years until it was rediscovered in 1994. It is currently known from only two sites within the Yadkin River Gorge in North Carolina (NatureServe 2008). This species is also known as the plumed goldenrod.

Habitat:

This plant is found in rock crevices adjacent to rivers or streams (CPC 2004). It persists in areas that experience periodic scouring, and is not tolerant of longer periods of inundation (USFWS 2004).

Populations:

Only two occurrences of this species are known. One is composed of 62 juvenile and 3119 adult rosettes; the second contained only 9 rosettes in the most recent surveys (Bates 2004, 1998).

Population Trends:

This species has experienced major decline over the long-term; the construction of two dams on the Yadkin River were thought to have extirpated the species entirely until it was rediscovered in the early 1990s. Observational trends since its rediscovery also suggest that populations are declining (Bates 2004).

Status:

Known from just two populations of declining size and viability, this species merits protection against further losses and likely extinction. NatureServe (2008) ranks the Yadkin River goldenrod as critically imperiled. It is listed as endangered in North Carolina.

Habitat destruction:

Alteration to local flood regime is likely the greatest threat to this species; the construction of two hydroelectric dams destroyed historical populations. Future changes to these dams or the construction of other impoundments on the Yadkin River could extirpate this species. Recreational use of habitat may result in trampling of individual *S. plumosa* (Southern Appalachian Species Viability Project 2002).

Inadequacy of existing regulatory mechanisms:

Neither of the two sites where this species occurs is adequately protected, although USFWS is working with the relevant power companies to ensure that further habitat destruction does not occur. Though it is listed as a federal species of special concern and state-listed as endangered in North Carolina, these designations confer no substantive regulatory protections to the Yadkin River goldenrod; no existing regulatory mechanisms adequately protect this highly imperiled species.

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Weakley, Alan S. Former Botanist, North Carolina Heritage Program, now North Carolina Botanical Garden, Chapel Hill, N.C.

Scientific Name:

Somatochlora calverti

Common Name:

Calvert's Emerald

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

Somatochlora calverti has been found in the Florida Panhandle and adjacent Alabama, and there is a population in Allendale County, South Carolina that is probably disjunct (NatureServe 2008).

Habitat:

Larval and breeding habitat for this species is unknown, but is probably boggy forest seepages (NatureServe 2008). Adults feed over roads or among tree canopies. Mating pairs hang from tree twigs in the feeding areas.

Populations:

Eleven localities are known, but the species may occur in many small, discrete habitats. Population data are not available.

Population Trends:

In the short term, this species is considered stable, but over time small habitats will be likely destroyed, and adults may become more exposed to pesticides and loss of forest foraging habitat.

Status:

NatureServe (2008) ranks this species as critically imperiled in Alabama (S1S3), vulnerable in Florida, and in South Carolina it is not ranked. It is ranked as near threatened by the IUCN. Paulson (2007) reports that "S. calverti is relatively rare within its restricted range (it has an estimated Extent of Occurrence of less than 3,000 km². The species is rarely reported and its breeding habitat has not yet been determined. It remains poorly known but is sufficiently uncommon to be of some concern."

Habitat destruction:

NatureServe (2008) reports that this species is threatened by development, clear-cutting, and pesticide use.

Paulson (2007) states: "S. calverti is experiencing logging to the woodland where adults are seen and the threat of drought to small-sized breeding habitats is likely to occur in the future."

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), S. calverti occurs in Torreya State Park, Blackwater River State Forest, Apalachicola National Forest in Florida and Conecuh National Forest, Alabama. It is considered a Sensitive Species by the U.S. Forest Service (2007) but any protection afforded the species under this designation is discretionary. Logging is a primary threat to this species, and occurrences on state and national forests offer no actual protection from logging or clearcutting. This species may occur in the Nature Conservancy's Apalachicola Bluffs Preserve, but has not been confirmed.

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Scientific Name:

Somatochlora margarita

Common Name:

Texas Emerald

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

This dragonfly is found from East Texas to central Louisiana (NatureServe 2008). There are natural heritage records for the following Texas counties: Anderson, Houston, Sabine, San Augustine, San Jacinto, and Trinity.

Habitat:

NatureServe (2008) reports that larval habitat remains unknown, but is probably seepages and boggy forest trickles in loblolly and longleaf pine forests. This dragonfly probably breeds in small, discrete sites.

Populations:

S. margarita is known from ten sites in east Texas and two in Louisiana (NatureServe 2008).

Population Trends:

NatureServe (2008) reports a short term decline of up to 30 percent for the Texas Emerald, based on probable breeding seeps not being used when forest is clearcut.

Status:

This species is ranked as imperiled in Texas and not rated in Louisiana. It was a Federal C-2 Candidate Species until that list was abolished. It is ranked as vulnerable by the IUCN.

Habitat destruction:

This dragonfly is threatened by logging and agriculture (NatureServe 2008). It does not breed in areas that have been clearcut. The Boswell Timber Sale on the Sam Houston National Forest occurred in the habitat of the Texas Emerald, and impacted its habitat (USFS 2003).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), the Texas Emerald receives some protection because it occurs on National Forest lands. Occurring on National Forests, however, does not protect this species' habitat from logging. No existing regulatory mechanisms protect this species.

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Scientific Name:

Somatochlora ozarkensis

Common Name:

Ozark Emerald

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The Ozark Emerald is found in the Ozark and Ouachita Mountains of Arkansas, adjacent Oklahoma and Missouri, and eastern Kansas (NatureServe 2008).

Habitat:

This dragonfly is found in small forested streams (NatureServe 2008).

Populations:

This dragonfly is known from eight sites in Arkansas, two in Oklahoma, two in Kansas, and one in Missouri (NatureServe 2008). It is abundant in appropriate habitat.

Population Trends:

NatureServe (2008) reports that this species is stable in the short term, but will face an eventual decline from deforestation, development of vacation homes, and pollution.

Status:

NatureServe (2008) ranks this species as critically imperiled in Arkansas and Kansas, imperiled in Missouri, and not rated in Oklahoma. In Kansas, it is a Nongame Species in Need of Conservation (Kansas Admin. Regs. § 115-15-2 2010). It is ranked as near threatened by the IUCN.

Habitat destruction:

Deforestation, development, and pollution threaten the Ozark Emerald (NatureServe 2008).

The Kansas Department of Wildlife and Parks (2005) reports that "[s]tream channelization and clearing of riparian woodlands along streams are major threats to the Ozark Emerald Dragonfly."

Inadequacy of existing regulatory mechanisms:

S. ozarkensis can be found in Ozark and Ouachita National Forests, the Lake Sylvia Recreation Area in Arkansas, and in Woodson County State Lake Area in Kansas (NatureServe 2008). Occurrence on public lands does not necessarily protect this dragonfly from the threats of logging and pesticides, however.

References:

Bird, R.D. 1933. *SOMATOCHLORA OZARKENSIS*, a new species from Oklahoma. Occ. Pap. Mus. Zool. Univ. Mich. 261:1-7.

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Paulson, D.R. and S.W. Dunkle. 1999. A Checklist of North American Odonata. Slater Museum of Natural History University of Puget Sound Occasional Paper Number 56:86 pp.

Scientific Name:

Somatogyrus alcoviensis

Common Name:

Reverse Pebblesnail

G Rank:

G1

IUCN Status:

EX - Extinct

Range:

This species is known from only two sites in the upper Ocmulgee System in Georgia (Georgia Dept. of Natural Resources 2009). The range of the Reverse Pebblesnail is less than 100 square km in the Alcovy and Yellow Rivers in Newton County (Burch 1989, Watson 1999, 2000).

Habitat:

This snail is restricted to shoals in two small to medium sized rivers where it uses bedrock, cobble, or boulder substrate. It does not occur on silt substrates. It can also be found on vegetation such as mats of riverweed in rapidly flowing water (Watson 2000, NatureServe 2008).

Populations:

There are two extant populations of this snail. A 1995 survey of 21 sites detected this species only at the Alcovy River at Factory Shoals and at Cedar Shoals in the Yellow River (Watson 2000). Total population size is unknown.

Population Trends:

NatureServe (2008) reports that this species is relatively stable, but it was detected at only 2 of 21 sites in a 1995 survey (Watson 2000).

Status:

The IUCN classifies this snail as extinct, but this needs to be revised. NatureServe (2008) ranks it as critically imperiled (G1S1).

Habitat destruction:

Watson (2000) reports that growth and development in the Atlanta area potentially threaten this snail. Metropolitan areas in the southeast are among the fastest growing in the nation. The human population of Atlanta, GA expanded by 24 percent from 2000-2007 (U.S. Census Bureau 2009). The Georgia Dept. of Natural Resources (2009) reports that aquatic species in the Piedmont are increasingly threatened by the rapid pace of residential and commercial development. Expansion of the Atlanta area has resulted in the development of subdivisions, roads, utility corridors, and retail centers (GDNR 2009). Indiscriminant use of herbicides and excessive ground disturbance along roads and in utility corridors is impacting aquatic habitats. Direct habitat loss and increased non-point source pollution threaten this snail. Point source discharges into streams in the Piedmont region include wastewater industrial facilities and municipal treatment facilities making water quality degradation a threat to this snail. There has also been extensive conversion of forest and woodland habitats to agriculture, contributing heavy sediment loads to waterways (GDNR 2009). Unmanaged recreational use, particularly by ATVs, is also a threat to this snail, as shoals and other aquatic habitats are being heavily impacted by off-road traffic and litter in this region (GDNR 2009).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species.

References:

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Scientific Name:

Sporobolus teretifolius

Common Name:

Wire-leaved Dropseed

G Rank:

G2

Range:

Wireleaf dropseed is endemic to the Coastal Plain area of southeastern North Carolina, northeastern South Carolina, southern Georgia, and southeastern Alabama. Natural heritage records show this species has been recently confirmed in Houston County, Alabama, Berrien, Bulloch, Candler, Coffee, Colquitt, Crisp, Dodge, Emanuel, Jeff Davis, Jenkins, Screven, Telfair, Toombs, Treutlen, Turner, and Worth Counties, Georgia, in Brunswick and Columbus Counties, North Carolina, and in Georgetown and Horry Counties, South Carolina. It is reportedly extirpated from several counties where it was historically present (NatureServe 2008).

Habitat:

Found in permanently moist or wet savannas, this species' preferred habitat is usually underlain by clay soils. It is typically associated with a canopy complex of pond pine (*Pinus serotina*), longleaf pine (*Pinus palustris*) and sweetbay magnolia (*Magnolia virginiana*), though it may also occur in the ecotones between pine/oak/wiregrass and red maple/sweetgum/swamp tupelo communities (Weakley and Peterson 1998). In wetter habitat, *S. teretifolius* may be the dominant grass, or may be co-dominant with the Carolina dropseed (*Sporobolus pinetorum*), toothache grass (*Ctenium aromaticum*) and cutover muhly (*Muhlenbergia expansa*). It is occasionally found in seepage slopes or pitcherplant bogs (NatureServe 2008).

Ecology:

This species is perennial.

Populations:

There are approximately 46 currently known occurrences of this species, and 9 more may be extant but have not been confirmed for 30 years. Known populations are distributed as follows: Georgia: 26, South Carolina: 10, North Carolina: 9, and Alabama: 1 (NatureServe 2008). Population size varies widely across this species' range; at a few sites, *S. teretifolius* is dominant or co-dominant, while elsewhere it is sparsely distributed or sporadic. Abundance seems to be largely contingent on recent management actions (whether a site has been burned, mowed, cleared, or other).

Population Trends:

The total number of occurrences seems to be in decline based on county-level extirpations (NatureServe 2008).

Status:

Several historical occurrences of this species appear to have been extirpated. NatureServe (2008) ranks the wireleaf dropseed as critically imperiled in Alabama and South Carolina and imperiled in North Carolina and Georgia. It is state listed as endangered in North Carolina.

Habitat destruction:

The primary threat to this species is habitat destruction. The low-lying savannas preferred by *S. teretifolius* are frequently converted to pine plantations or agricultural fields, used as pasture, sited for development, or simply degraded by fire suppression and hydrological changes. Fire suppression is

particularly damaging because fire stimulates flowering in *S. teretifolius*; if fires do not occur at 3-5 year intervals, either reproductive failure or encroachment by woody vegetation may exclude this species (McIver 1981). Dredging, damming, filling, or other alterations to the wetland hydrology may be harmful to *S. teretifolius* if they inundate or dessicate its habitat. This species may establish in anthropogenic habitat (e.g., along roadside verges); if mowing occurs out of sync with the dropseed's reproductive cycle and/or period of dormancy (December-March), populations may be destroyed (Weakley, A.S. pers. comm. as cited in NatureServe 2008). Recreation also threatens this species. Individual plants may be crushed by visitors recreating in their habitat (McIver 1981). Plants can also be crushed by heavy equipment used in logging, military activities, or fire suppression (McIver 1981).

Inadequacy of existing regulatory mechanisms:

A few occurrences of this species are found on land owned by the Nature Conservancy in North Carolina, but most other populations occur on private lands where no protections are offered. Sustaining this species requires active management as it is clearly fire-adapted and highly sensitive to hydrological changes within its habitat (e.g., Cooper et al. 1977). Though it is listed as threatened in North Carolina, this designation offers *S. teretifolius* no substantial regulatory protections; no existing regulatory mechanisms adequately protect the wireleaf dropseed.

References:

Cooper, J.E., S.S. Robinson, and J.B. Funderburg (eds.). 1977. Endangered and threatened plants and animals of North Carolina. North Carolina State Museum of Natural History, Raleigh, North Carolina. 444 pp.

McIver, H. 1981. "Green Swamp Nature Preserve, Brunswick Company, North Carolina." Document prepared for North Carolina Field Office of The Nature Conservancy. February 1981.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 13, 2010)

Sorrie, B.A. 1995. Status survey for *Sporobolus teretifolius*. Prepared for the North Carolina Natural Heritage Program and the U.S. Fish and Wildlife Service, Asheville, NC.

Weakley, A. S. 2008. Flora of the Carolinas, Virginia, Georgia, northern Florida, and surrounding areas. Working Draft of 7 April 2008. University of North Carolina Herbarium (NCU), North Carolina Botanical Garden, University of North Carolina at Chapel Hill. Online. Available: <http://herbarium.unc.edu/flora.htm> (Accessed 2008).

Weakley, A.S. and P.M. Peterson. 1998. Taxonomy of the *Sporobolus floridanus* complex (Poaceae: Sporobolinae). *Sida* 18(1): 247-270.

Scientific Name:

Stellaria fontinalis

Common Name:

Water Stitchwort

G Rank:

G3

Range:

This regional endemic is restricted to the Interior Low Plateaus Province of central Tennessee to north-central Kentucky. It occurs along the Kentucky River and tributaries (NatureServe 2008).

Habitat:

This flowering plant occurs in open to partially-shaded wet areas with thin limestone soil. It is found where natural disturbances reduce competition from woody and weedy plants. It is associated with wetland plants, mosses, and algae, and occurs on stream banks, washouts, moss-covered cliffs overlooking streams, and calcareous seeps in glade woods.

Populations:

As of 1997, there were two extant populations in Kentucky, and 53 in Tennessee, but this number might be out of date (NatureServe 2008). This plant occurs in dense concentrations in very local areas.

Population Trends:

As this species has not been assessed since 1997, new populations may have been detected, and known populations have also likely been lost due to rampant development in its habitat (eg. Tennessee Valley Authority 2008, Elkhorn Intercounty Consortium and Conservation Districts 1995).

Status:

NatureServe (2008) ranks this species as imperiled in Kentucky and vulnerable in Tennessee, but this hasn't been updated since 1997 and there are only two known populations in Kentucky. It is listed as threatened by the states of Kentucky and Tennessee.

Habitat destruction:

Populations of this plant are particularly susceptible to being extirpated by habitat loss because it is distributed in dense concentrations in very localized areas. Industrial, residential, and commercial development is the greatest threat to this species. There is a known population of this plant which will be extirpated by a Tennessee Valley Authority (TVA) power supply improvement project substation, the Record of Decision for which was issued on June 5, 2008. In Tennessee, a population of this plant occurs within the area of a proposed vehicle manufacturing plant (U.S. DOE 2009). Another population in Tennessee occurs in the project area of a proposed transmission line (Tennessee Valley Authority 2007). In Tennessee, this plant also occurs within the project area of proposed natural gas transmission facilities (Midwestern Gas Transmission Company 2005). In Kentucky, one of only two known extant populations of this species is threatened by the proposed Smith coal-fired power plant in Clark County (Gilpin Group 2007, U.S. DOE 2002). Concerning development in a watershed where this species occurs in Kentucky, the Elkhorn Intercounty Consortium and Conservation District states, "The development activity in the watershed is practically increasing daily. This area is heavily used for industrial development and the expansion of existing factories." Impoundment also threatens this species, one population of which is threatened by a proposed TVA impoundment (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which currently protect this species. NatureServe (2008) reports that 1-3 occurrences are appropriately protected and managed. One population occurs in a State Natural Area in Tennessee. One of the two Kentucky occurrences is on a State Nature Preserve. This plant is listed as threatened by the states of Kentucky and Tennessee, but this designation does not confer regulatory protection, as numerous projects have been approved which will extirpate known populations of this plant.

Other factors:

Water stitchwort requires continual availability of water to survive and reproduce. It is thus threatened by drought, climate change, wetland draining and filling, and surface and groundwater withdrawal and diversion.

References:

Gilpin Group Environmental Consulting and Planning. 2007. Environmental Assessment for the Proposed Smith Station CT Units 9 and 10 and the Smith-West Garrard Electric Transmission Project. June 2007.

Midwestern Gas Transmission Company. 2005. Applicant Prepared Environmental Assessment. Eastern Extension Project. Docket No. CP05-372-000. Accessed Feb. 9, 2010 at: <http://www.mgt.oneokpartners.com/pdf/MGTVolumeVPublicInformation.pdf>

Tennessee Valley Authority. 2007. Final Environmental Assessment Murfreesboro-East Franklin and Pinhook Radnor 161-KV Transmission Lines. Accessed Feb. 9, 2010 at: http://www.tva.gov/environment/reports/murfreesboro_tl/ea.pdf

Tennessee Valley Authority. 2008. Rutherford-Williamson-Davidson Power Supply Improvement Project. Rutherford 500-kV Substation. Accessed Feb. 9, 2010 at: http://www.tva.gov/environment/reports/rutherford/RWD_FEIS_Ch4.pdf

U.S. Dept. of Energy. 2002. Kentucky Pioneer Integrated Gasification Combined Cycle Demonstration Project Final Environmental Impact Statement. Accessed Feb. 9, 2010 at: <http://www.netl.doe.gov/technologies/coalpower/cctc/cctdp/bibliography/demonstration/pdfs/cnen/KyPioneerEIS.pdf>

U.S. Dept. of Energy. Final Environmental Assessment for Department of Energy loan to Nissan North America, Inc. for advanced technology electric vehicle manufacturing project in Smyrna, Tennessee. DOE/EA-1678. Washington, DC. Accessed Feb. 9, 2010 at: <http://www.gc.energy.gov/NEPA/documents/EA-1678.pdf>

Scientific Name:

Stygobromus cooperi

Common Name:

Cooper's Cave Amphipod

G Rank:

G1

IUCN Status:

VU - Vulnerable

Range:

The range of Cooper's Cave amphipod is less than 100-250 square km (less than about 40 to 100 square miles). It is known only from the type locality in Berkeley Co., West Virginia (NatureServe 2008).

Habitat:

S. cooperi is found in mud-bottomed seep-fed cave pools, according to NatureServe (2008).

Populations:

S. cooperi is known only from one site in West Virginia. Only two specimens of this species have ever been collected, and it has not been reported in the last 20 years.

Status:

Stygobromus cooperi is critically imperiled (NatureServe 2008). It was a Federal C-2 Candidate Species until that list was abolished. In 1985, the US Fish and Wildlife Service determined that this species warranted listing under the Endangered Species Act, but was precluded by efforts to list other species (USFWS 1985). It is ranked as vulnerable by the IUCN.

Habitat destruction:

NatureServe (2008) reports that this species faces the possible threat of groundwater pollution or other disturbances. Recreation threatens this species. When not gated, Silers Cave is subject to significant accumulations of garbage and other pollution, and has been cleaned up by volunteers several times (National Speleological Society 2002, 2004).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

References:

Fitzpatrick, J.F., Jr. 1983. How to Know the Freshwater Crustacea. Wm. C. Brown Co. Publishers. Dubuque, Iowa. 277 pp.

Holsinger, J.R. 1978. SYSTEMATICS OF THE SUBTERRANEAN AMPHIPOD GENUS STYGOBROMUS (CRANGONYCTIDAE), PART II: SPECIES OF THE EASTERN UNITED STATES. SMITHSONIAN CONTRIBUTIONS TO ZOOLOGY, 266:1-144.

Holsinger, J.R. 1978. Systematics of the subterranean amphipod genus Stygobromus (Crangonyctidae). Part II: Species of the Eastern United States. Smithsonian Contributions to Zoology 266:1-144.

Holsinger, John R. 1976. The Freshwater Amphipod Crustaceans (Gammaridae) of North America. Biological Methods Branch, Environmental Protection Agency, Cincinnati, Ohio. 89 p.

National Speleological Society. 2004. Sligo Grotto History. Available online at <http://www.caves.org/grotto/sligo/history.htm>. Last accessed December 14, 2009.

National Speleological Society. Sept. 2002.Update!!! Gate Repaired. Available online at <http://www.caves.org/grotto/sligo/newgate2.htm>. Last accessed December 14, 2009.

U.S. Fish and Wildlife Service. May 10, 1985. Endangered and Threatened Wildlife and Plants; Findings on Pending Petitions and Description of Progress on Listing Actions. 50 Federal Register 19761.

Scientific Name:

Stygobromus indentatus

Common Name:

Tidewater Amphipod

G Rank:

G3

IUCN Status:

VU - Vulnerable

Range:

S. araeus is a blind, cavernicolous amphipod whose distribution is restricted to a small portion of the southeastern United States' Coastal Plain. Populations are known in North Carolina and Virginia, and current range is thought to extend from New Kent and Matthews Counties in Virginia southward to Gates County, North Carolina (Holsinger 1978, Terwilliger 1991).

Habitat:

S. araeus is found in shallow groundwater habitat in the interstitial pores of unconsolidated sediments; also found in wells, seeps, and drains (Holsinger 1969, 1978, Terwilliger 1991).

Ecology:

Though individuals are motile, the species is non-migratory and does not disperse great distances. Reproduction occurs in spring months (Holsinger 1972). Both adults and juveniles are detritivores, scavenging food from their subterranean aquatic environment.

Populations:

Overall abundance is unknown, but there are reportedly 7 known occurrences in Virginia and only 1 in North Carolina (NatureServe 2008).

Population Trends:

No reports on population trends are readily available, but the extent of habitat destruction suggests that decline is likely.

Status:

NatureServe (2008) reports that this amphipod is critically imperiled overall (N1), vulnerable in Virginia, and unranked in North Carolina. It is ranked as vulnerable by the IUCN.

Habitat destruction:

The limited range within which this species occurs (primarily the Tidewater region of Virginia) is undergoing rapid urbanization, which both outrightly destroys habitat and contributes to the decline of remaining habitat by increasing local water demands and lowering the regional water table (NatureServe 2008). This species is highly sensitive to habitat loss and degradation because it is so specialized and cannot readily disperse to new habitat patches.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect this tidewater amphipod.

Other factors:

Pollution of groundwater habitat in urbanized and periurban areas threatens this species (NatureServe 2008).

References:

Holsinger, J.R. 1969. The Systematics of the North American Subterranean Amphipod genus *Apocrangonyx* (Gammaridae) with Remarks on Ecology and Zoogeography. *American Midland Naturalist* 81:1-28.

Holsinger, J.R. 1972. The Freshwater Amphipod Crustaceans (Gammaridae), of North America. GPO. IDENT. MANUAL #5 PP. 1-89.

Holsinger, J.R. 1978. Systematics of the subterranean amphipod genus *Stygobromus* (Crangonyctidae). Part II: Species of the Eastern United States. Smithsonian Contributions to Zoology 266:1-144.

Holsinger, J.R. 1978. Systematics of the subterranean amphipod genus *Stygobromus* (Crangonyctidae). Part II: Species of the eastern United States. Smithsonian Contributions to Zoology, 266: 1-144.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: November 9, 2009)

Terwilliger, Karen. 1991. Virginia's Endangered Species: Proceedings of a Symposium held at Va. Tech. April 1989. The McDonald and Woodward Publishing Company, Blacksburg.

Scientific Name:

Stygobromus morrisoni

Common Name:

Morrison's Cave Amphipod

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The range of Morrison's cave amphipod is very disjunct, and extends from Bath Co., Virginia, north-northeast to Hardy Co., West Virginia, and covers a linear distance of approximately 161 km (100 mi). Sites are in two different river drainages, the upper James river and the upper Potomac River. Additional populations of this species may eventually be discovered in the large disjunctions between the presently known localities (Holsinger 1978).

Habitat:

Stygobromus morrisoni is found in subterranean gravel-bottomed streams to mud-bottomed lakes (NatureServe 2008).

Ecology:

In the type locality, *S. morrisoni* occurs syntopically with *S. mundus* (Holsinger 1976). In Kenny Simmons Cave (West Virginia), a specimen was collected along with two specimens of *S. Emarginatus*. In Dyers Cave (WV), a single specimen was collected from a pool which also contained several specimens of *S. Allegheniensis* (Holsinger 1978).

Populations:

This species is only known from 4 occurrences, 2 sites in WV and 2 in VA, only one of which has been seen within the last 25 years (NatureServe 2010).

Population Trends:

Trend is unknown, but in the past 25 years this species has only been detected at one of four known locations.

Status:

NatureServe (2008) ranks this species as critically imperiled in Virginia and West Virginia. It was a Federal C2 Candidate species until that list was abolished. It is ranked as vulnerable by the IUCN.

Habitat destruction:

NatureServe (2008) warns that potential threats include groundwater pollution, depletion of groundwater by human use, and disturbance or destruction by spelunkers.

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms protect this species.

References:

Fitzpatrick, J.F., Jr. 1983. How to Know the Freshwater Crustacea. Wm. C. Brown Co. Publishers. Dubuque, Iowa. 277 pp.

Holsinger, J.R. 1978. Systematics of the subterranean amphipod genus *Stygobromus* (Crangonyctidae). Part II: Species of the Eastern United States. Smithsonian Contributions to Zoology 266:1-144.

Holsinger, John R. 1976. The Freshwater Amphipod Crustaceans (Gammaridae) of North America. Biological Methods Branch, Environmental Protection Agency, Cincinnati, Ohio. 89 p.

Linzey, Donald W., ed. 1979. Endangered and Threatened Plants and Animals of Virginia. Virginia Polytechnic Institute and State University, Blacksburg, Virginia. 665 p.

Scientific Name:

Stygobromus parvus

Common Name:

Minute Cave Amphipod

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

The Minute cave amphipod is found in four caves in Randolph, Tucker and Pocahontas Counties, West Virginia in two or three drainages (Lewis 2001).

Habitat:

S. parvus occurs in mud-bottomed, drip, and seep pools in caves, as reported by NatureServe (2008). It is apparently tolerant of substrate but prefers standing water.

Ecology:

This species has been found to occur sympatrically with both *S. Emarginatus* and *S. nanus*. (Holsinger 1978).

Populations:

This species is known from 4 sites (Lewis 2001). It is not abundant at any site.

Population Trends:

Trend is unknown.

Status:

The status of *Stygobromus parvus* in West Virginia is critically imperiled. It was also a Federal C2 Candidate Species until that list was abolished. It is ranked as vulnerable by the IUCN.

Habitat destruction:

Lewis (2001) reports that *S. parvus* may be threatened by pollution of its cave pools due to sewage, agricultural chemicals, or chemical spills; road construction, logging, farming, and trail building near cave habitats; impoundments that backflood the caves; water contamination by smoke intrusion; quarrying and blasting; drilling for water, oil, or gas exploration; the introduction of exotic species; and vandalism caused by cave explorers.

Inadequacy of existing regulatory mechanisms:

All four caves where this species is known to occur are in the Monongahela National Forest, where the species is considered a Sensitive Species by the Regional Forester, but this designation confers no regulatory protection for the species habitat, and the amphipod could be threatened by authorized activities on the forest including logging and oil and gas exploration.

References:

Fitzpatrick, J.F., Jr. 1983. How to Know the Freshwater Crustacea. Wm. C. Brown Co. Publishers. Dubuque, Iowa. 277 pp.

Holsinger, J.R. 1978. SYSTEMATICS OF THE SUBTERRANEAN AMPHI- POD GENUS STYGOBROMUS (CRANGONYCTIDAE), PART II: SPECIES OF THE EASTERN UNITED STATES. SMITHSONIAN CONTRIBUTIONS TO ZOOLOGY, 266:1-144.

Holsinger, J.R. 1978. Systematics of the subterranean amphipod genus *Stygobromus* (Crangonyctidae). Part II: Species of the Eastern United States. *Smithsonian Contributions to Zoology* 266:1-144.

Holsinger, John R. 1976. The Freshwater Amphipod Crustaceans (Gammaridae) of North America. Biological Methods Branch, Environmental Protection Agency, Cincinnati, Ohio. 89 p.

Lewis, J.J. 2001. Conservation Assessment for Minute Cave Amphipod (*Stygobromus parvus*). Prepared for U.S. Forest Service. Available online at http://www.fs.fed.us/r9/wildlife/tes/ca-overview/docs/invertebrate_Stygobromus_parvus-minutecaveamphipod.pdf. Last accessed January 13, 2010.

Scientific Name:

Stylurus potulentus

Common Name:

Yellow-sided Clubtail

G Rank:

G2

IUCN Status:

VU - Vulnerable

Range:

This dragonfly is found in the Florida panhandle and coastal Mississippi (NatureServe 2008).

Habitat:

S. potulentus occupies pristine sand-bottomed forest streams and rivers. Adults forage low along shady forest edges (NatureServe 2008).

Populations:

NatureServe (2008) reports that this species is known from seven streams and one river in southern Mississippi and the Florida Panhandle. It is known from perhaps 200 miles of stream. It is estimated that there are probably hundreds to thousands of individuals per stream.

Population Trends:

NatureServe (2008) reports that *S. potulentus* is likely severely to rapidly declining (decline of 30 percent to more than 70 percent) in the short term. The actual trend is unknown and this estimate is based on the extreme sensitivity of this species to any alteration of water quality.

Status:

Stylurus potulentus is subject to a limited range, rarity, and susceptibility to alterations in stream flow and water quality (NatureServe 2008). It is ranked as vulnerable by the IUCN and as imperiled by NatureServe (2008).

Habitat destruction:

This species is extremely sensitive to water quality degradation is threatened by development, pollution, clearcutting, and pesticides (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

According to NatureServe (2008), this species occurs in a state forest in Florida, but this does not necessarily offer any protection from clogging or pesticides. No existing regulatory mechanisms protect this species.

References:

Bick, G.H. 1983. Odonata at risk in conterminous United States and Canada. *Odonatologica* 12 (3):209-226.

Needham, James G., and Minter J. Westfall, Jr. 1954. *A Manual of the Dragonflies of North America (Anisoptera)*. University of California Press, Berkeley, California. 615 p.

Paulson, D.R. and S.W. Dunkle. 1999. *A Checklist of North American Odonata*. Slater Museum of Natural History University of Puget Sound Occasional Paper Number 56:86 pp.

Scientific Name:

Symphyotrichum puniceum var. *scabriceule*

Common Name:

Rough-stemmed Aster

G Rank:

T2

Range:

The rough-stemmed aster is endemic to a small range in east-central Texas, and is possibly also found in Mississippi and Louisiana but is not confirmed in these states. Natural heritage records show this species was present, as of 2009, in Anderson, Franklin, Freestone, Henderson, Hopkins, Smith, Van Zandt, and Wood Counties (TPWD 2009), though NatureServe (2008) reports it only in Anderson, Smith, Van Zandt, and Wood Counties.

Habitat:

The aster is found in seepage bog or pond habitat (NatureServe 2008).

Ecology:

This perennial flower may reproduce clonally via rhizomes (NatureServe 2008).

Populations:

The rough-stemmed aster is known from eight small populations (size unreported) (NatureServe 2008).

Population Trends:

Trend information has not been reported for this rare species.

Status:

Known from just eight small populations in a small range in Texas, this flower is restricted to seepage bogs which are threatened by various human activities. NatureServe (2008) ranks the rough-stem aster as imperiled (T2).

Habitat destruction:

Conversion of wetland/seep habitat to pasture is a major threat to the rough-stemmed aster and has reduced available suitable habitat for this species substantially (USFWS 1997).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the rough-stem aster or its habitat.

References:

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. (Accessed: January 19, 2010).

Texas Parks and Wildlife Department. 2009. Rough-stem aster. Accessed online January 19, 2010
<<<http://gis.tpwd.state.tx.us/TpwEndangeredSpecies/DesktopDefault.aspx?tabindex=0&tabid=9&type=map&cname=Rough-stem%20aster&desc=relatively%20open%20sites%20in%20saturated%20soils%20associated%20with%20seepage%20areas,%20bogs,%20marshes,%20ponds,%20drainages,%20and%20degraded%20wetland%20remnants%20on%20the%20Queen%20City,%20Carrizo,%20and%20Sparta%20sand%20formations;%20flowering%20late%20September-early%20November&parm=PDAST0T2L4&sname=Symphyotrichum%20puniceum%20var%20scabricaule&usesa=&sprot=>>>>>

U.S. Fish and Wildlife Service. 1995. Category and Listing Priority Form.

Scientific Name:

Tallaperla lobata

Common Name:

Lobed Roachfly

G Rank:

G2

Range:

This stonefly is known only from Washington and Russel counties, Virginia (NatureServe 2008). There are natural heritage records for the North Folk Holston and Upper Clinch watersheds.

Habitat:

Tallaperla lobata is restricted to small pristine headwater streams in forested areas (NatureServe 2008).

Populations:

NatureServe (2008) reports that T. lobata is known from fewer than ten occurrences in Washington Co., Virginia.

Population Trends:

Trend has not been quantified for this species.

Status:

NatureServe (2008) ranks this species as critically imperiled (S1S2). This stonefly is restricted to pristine headwater streams and is threatened by logging and recreation within its very limited habitat.

Habitat destruction:

This species requires pristine forested headwater habitat and is threatened primarily by logging and recreation (NatureServe 2008).

Tallaperla lobata was collected from Little Moccasin Creek near Low Gap, but most of the original forest has been cleared for upland pasture (Kondratieff and Kirchner 1991).

Inadequacy of existing regulatory mechanisms:

The Lobed roachfly occurs primarily on the Jefferson National Forest (NatureServe 2008). Despite its occurrence on public land, this species is threatened by logging and recreation. The Forest Service maintains a Sensitive Species list, but protections offered to sensitive species are discretionary. No existing regulatory mechanisms adequately protect this species.

References:

Kondratieff, B. C. and R. F. Kirchner. 1991. Stoneflies. Pp. 214-225. In: Virginia's Endangered Species. K. Terwilliger (ed.). McDonald & Woodward Publ. Co., Blacksburg, VA.

Stark, B. P. 1983. The Tallaperla maria Complex of Eastern North America (Plecoptera: Peltoperlidae). Journal of the Kansas Entomological Society, Vol. 56, No. 3 pp. 398-410.

Stark, B.P. 1996. Last updated 16 February 2001. North American Stonefly List. Online. Available: <http://www.mc.edu/campus/users/stark/Sfly0102.htm>. Last accessed March 16, 2010.

Scientific Name:

Thalictrum debile

Common Name:

Southern Meadowrue

G Rank:

G2

Range:

Southern meadowrue is known from a few scattered occurrences in Mississippi, Alabama, and Georgia. Natural heritage records show that the southern meadowrue has been recently confirmed in Pickens, Sumter, and Wilcox Counties, Alabama (extirpated from Colbert, Greene, Lawrence, and Madison Counties), in Gordon County, Georgia (extirpated from Floyd County), and in Lowndes, Oktibbeha, and Wayne Counties, Mississippi (extirpated from Noxubee County) (NatureServe 2008).

Habitat:

This plant is found in rich, rocky, limestone floodplain forest, often close to streams (Patrick et al. 1995, Chafin 2007).

Ecology:

This perennial plant blooms March-April, and fruits March-May (NatureServe 2008).

Populations:

Southern meadowrue is known from approximately 10 extant occurrences: total population size is not reported (NatureServe 2008).

Population Trends:

NatureServe (2008) determined that *T. debile* is significantly declining, largely due to habitat destruction.

Status:

This plant is known from very few widely scattered occurrences, threatened by invasive exotics and habitat destruction, and several populations have been recently extirpated. NatureServe (2008) ranks this species as critically imperiled in Georgia and Mississippi and imperiled in Alabama. It is state listed as threatened in Georgia.

Habitat destruction:

Activities associated with road construction have destroyed one population of southern meadowrue and threaten others (Chafin 2007). Additionally, any activities that threaten the integrity of local hydrological processes may harm this sensitive plant species.

Inadequacy of existing regulatory mechanisms:

Though it is listed as threatened in Georgia, this designation affords the southern meadowrue no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species.

Other factors:

Invasive exotics like Japanese honeysuckle (*Lonicera japonica*) outcompete and destroy populations of *T. debile* and represent an increasing threat across this species' range (Patrick et al. 1995).

References:

Chafin, L.G. 2007. Field guide to the rare plants of Georgia. State Botanical Garden of Georgia, Athens, Georgia.

Jones, S.B., Jr., and N.C. Coile. 1988. The distribution of the vascular flora of Georgia. Dept. Botany, Univ. Georgia, Athens. 230 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. Accessed January 13, 2010.

Patrick, T.S., J.R. Allison, and G.A. Krakow. 1995. Protected plants of Georgia: an information manual on plants designated by the State of Georgia as endangered, threatened, rare, or unusual. Georgia Dept. Natural Resources, Wildlife Resources Division, Georgia Natural Heritage Program, Social Circle, Georgia. 218 pp + appendices.

Weakley, A. S. 2007. Flora of the Carolinas, Virginia, Georgia, and surrounding areas. Working draft of 11 January 2007. University of North Carolina Herbarium (NCU), North Carolina Botanical Garden, University of North Carolina at Chapel Hill. Online. Available: <http://www.herbarium.unc.edu/flora.htm> (accessed 2007).

Scientific Name:

Thamnophis sauritus pop. 1

Common Name:

Eastern Ribbonsnake - Lower Florida Keys

G Rank:

T1

Range:

The Lower Florida Keys population of the Eastern Ribbonsnake occurs on the Lower Keys, Monroe County. It is currently known from only a few of the mainline islands that are traversed by US highway 1, and is isolated from all other populations of this species (NatureServe 2008).

Habitat:

This snake is generally found along the edges of freshwater wetlands, but has also been detected in mangrove and spartina habitats (NatureServe 2008).

Populations:

NatureServe (2008) estimates that there are fewer than 20 populations of the Lower Florida Keys Eastern Ribbonsnake. It is known from fewer than ten islands in the Lower Keys. Total population size is unknown.

Population Trends:

It is estimated that this subspecies has declined by up to 30 percent due to continuing habitat destruction (NatureServe 2008).

Status:

This snake is critically imperiled (T1S1) (NatureServe 2008).

Habitat destruction:

Habitat loss and degradation poses the greatest threat to this species. This snake is associated with wetland habitats, which are vulnerable to destruction on all private lands within its very limited range (NatureServe 2008). Loss of habitats for urban and residential development is ongoing in the Keys (NatureServe 2008). The Florida Dept. of Environmental Protection reports that the Florida Keys has been a fast-growing area since the middle of the 20th Century and remains very vulnerable to habitat conversion for development (http://www.dep.state.fl.us/cmp/programs/files/final_proj_flkeys_08.pdf). In addition to habitat conversion, the wetland habitats which support this species are threatened by hydrologic changes and human use of freshwater resources (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect this snake. There are a few occurrences on protected lands, at Key Deer Wildlife Refuge and at Coupon Bight Aquatic Preserve.

References:

Florida Dept. of Environmental Protection. 2008. Florida Keys.
http://www.dep.state.fl.us/cmp/programs/files/final_proj_flkeys_08.pdf

Scientific Name:

Thoburnia atripinnis

Common Name:

Blackfin Sucker

G Rank:

G2

AFS Status:

Vulnerable

IUCN Status:

LC - Least concern

Range:

The blackfin sucker is restricted to headwater streams of the Barren River System of Kentucky and Tennessee, including Long, Salt, and Big Trace Creeks (Timmons et al. 1983, Page and Burr 1991).

Habitat:

This fish occurs in small creeks with clear water, typically in pools with rocky bottoms and overhanging vegetation. It spawns in swift water (Timmons et al. 1983).

Populations:

NatureServe (2008) cites information indicating 13 locations for the blackfin sucker.

Population Trends:

NatureServe (2008) describes this fish as potentially stable. AFS (Jelks et al. 2008) list population trends as mixed with some declining and some increasing.

Status:

Within its very narrow range, this fish can be locally common. It is considered imperiled in Kentucky and critically imperiled in Tennessee (NatureServe 2008). AFS (Jelks et al. 2008) list it as vulnerable because of the present or threatened destruction, modification, or reduction of its habitat or range and a narrow range.

Habitat destruction:

NatureServe (2008) list siltation and eutrophication from agricultural runoff, as well as stream channelization as the most serious threats to this species' habitat. Jelks et al. (2008) list it as vulnerable because of the present or threatened destruction, modification, or curtailment of habitat or range.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) states that no blackfin sucker populations are protected. It is deemed as in need of management in Tennessee and a species of greatest conservation need in Kentucky, neither of which provides any protection for the species.

Other factors:

Jelks et al. (2008) list this fish as vulnerable because of a narrow, restricted range. It is threatened by pollution from agriculture (NatureServe 2008).

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Scientific Name:

Toxolasma lividus

Common Name:

Purple Lilliput

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

NE - Not evaluated

Range:

This mussel, also known as *T. lividus*, is found in the lower Ohio River drainage from southwest Ohio downstream nearly to the mouth of the Ohio. West of the Mississippi River, it occurs in southern Missouri, northern Arkansas, and potentially in eastern Kansas. In the Cumberland River drainage, it is widespread but sporadic downstream of Cumberland Falls. This mussel is found in most of the Tennessee River drainage from southwest Virginia, western North Carolina, and east Tennessee downstream to the mouth of the Tennessee River. It occurs across northern Alabama in the Tennessee River and some tributaries (Williams et al. 2008).

Habitat:

The Purple Lilliput inhabits a diversity of substrates including fine-particles, mud, sand, gravel, cobbles and/or boulders in riffles or flats immediately above riffles (NatureServe 2008). It is known from the headwaters of small to medium sized rivers. *Toxolasma lividus* generally occurs at depths of less than 1 m, but can adapt to deeper lentic environments as evidenced by its occurrence in the Wheeler Reservoir in the Tennessee River Drainage (Roe 2002), but it is very rarely encountered in big river habitat or reservoirs (Gordon and Lazer 1989).

Populations:

Although this species has a wide geographic range, NatureServe (2008) estimates that there are only from 6-20 extant populations. The range of *T. lividus* is poorly understood because of confusion with *T. glans*, but both forms have undergone considerable declines in range. Total population size for this species is unknown. NatureServe (2008) reports that it is rarely detected in surveys, describing it as "quite rare but widely scattered," and providing the following details: "In the Maumee River drainage, it is rare and very sporadic in the headwater lakes of the St. Joseph River (Indiana/Ohio) (Grabarkiewicz and Crail, 2006). In Illinois, it is now restricted to the Little Wabash and Vermilion Rivers where it is sporadic but was formerly known from the Embarras River and Wabash River tributaries and Wabash River (Cummings and Mayer, 1997). In Missouri, it is known only in southern Missouri in a few sites (Oesch, 1995). In Arkansas, it is known from the Ouachita River system in South Fourche La Fave River, Poteau River, Illinois River but always in low population numbers (Harris and Gordon, 1997); also historically in the Cache River (Christian et al., 2005). In Tennessee, it was found throughout the upper Tennessee River system, including the Powell, Clinch, Emory, Holston, French Broad, Tellico, Little Pigeon, and Little Rivers, as well as the main channel of the Tennessee River below Knoxville. It was also found in the Duck and Elk Rivers and occurred in the Caney Fork, Stones and Harpeth Rivers and numerous tributaries of the Cumberland River system in Tennessee (Parmalee and Bogan, 1998). A recent study of the North Fork Holston River in Virginia (Jones and Neves, 2007) did not find this species and is likely extirpated there or is extremely rare. It was recently collected in the Middle Fork North Branch Vermillion River in Illinois and Jordan Creek in Indiana (Szafoni et al., 2000). In Indiana, Harmon (1989) reported it from seven of 12 sites surveyed in Graham Creek in the southeast portion of the state; as well as from Sugar Creek (east fork White River drainage) in central Indiana (Harmon, 1992) (most weathered shells but some living and fresh

dead) and Tippecanoe River (Cummings and Berlocher, 1990). It can still be found in Wabash River tributaries in Indiana (Fisher, 2006). In Ohio it is nearly extirpated (Watters, 1995) occurring in a few sites in the Little Miami and St. Josephs drainages as well as the Maumee drainage (Grabarkiewicz and Crail, 2006). In Kentucky, it is sporadic in the Green River and upper Cumberland River below Cumberland Falls (Cicerello and Schuster, 2003). In a 2004 survey of 24 sites in the Choctawhatchee, Yellow, and Conecuh-Escambia River drainages in southern Alabama, Pilarczyk et al. (2006) found this species (although acknowledged some confusion as to which species of *Toxolasma* it should be listed as) at 16 sites (including just over the border in Eightmile Creek in Florida). It is known from the Clinton River drainage in Michigan (Strayer, 1980)."

Population Trends:

The Purple Lilliput is declining (decline of 10-30 percent) in the short term and moderately declining (decline of 25 - 50 percent) in the long term (NatureServe 2008). In the Cumberlandian region, numbers and occurrences have declined drastically since 1979 and continue to decline. This mussel is extirpated in North Carolina in the French Broad River (LeGrand et al. 2006), and likely extirpated in Virginia from the Clinch (VA NHP, pers. comm., 2007 cited in NatureServe 2008) and the North Fork Holston (Jones and Neves 2006). Outside the Cumberlandian region, if considered to be the same species, it is secure but sporadically distributed with low densities at many sites.

Status:

NatureServe (2008) ranks the Purple Lilliput as extirpated in North Carolina, historical in Oklahoma and Georgia, critically imperiled in Illinois, Kentucky, Michigan, Ohio, Tennessee, and Virginia, and imperiled in Alabama, Arkansas, Indiana, and Missouri. It is classified as rare in Indiana and Missouri, and is state listed as endangered in Illinois, Kentucky, Michigan and Ohio. It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

NatureServe (2008) states that the Purple Lilliput has suffered "severe loss of habitat," reporting that this mussel's habitat has been degraded by "siltation from agriculture and clear-cutting, channel alteration and inundation, and acid coal mine run-off. Cattle wading in small streams have destroyed considerable habitat formerly used by this species." Roe (2002) states that this species is threatened by siltation, dams, and impoundments. The Michigan Natural Features Inventory (2007) reports that this mussel is threatened by natural flow alterations, siltation, channel disturbance, point and non-point source pollution, and exotic species. The Kentucky Dept. of Fish and Wildlife Resources (2005) reports that this species is threatened by gravel and sand removal and quarrying, coal mining, agricultural runoff, logging, urbanization, and impoundments. Martin (2008) reports that high fecal coliform levels, nutrient loading, and the potential disturbance of sediment and gravel budgets threaten mussels, including this species, in the South Fork of the Spring River in Arkansas (p. 12). Vana-Miller (2007) cites groundwater contamination, recreation, mining, invasive species, and channel alteration as threats to aquatic species such as the Purple Lilliput in the Ozarks. The Ohio Environmental Protection Agency (2007) identifies agricultural related channelization, streambank modification and destabilization, combined sewage overflows, thermal modification, development, and urban runoff as threats to water quality and aquatic species such as the Purple Lilliput in the Blanchard River. Virginia's Wildlife Action Plan (2006) identifies siltation, mine wastes, industrial and municipal effluent pollution, and agricultural and

urban runoff as threats to mussels in Virginia's northern ridge and valley province. This species is also specifically threatened by mountaintop removal coal mining (EPA 2005). Mountaintop removal can fill in streams entirely and can cause significant downstream and groundwater pollution. Pollutants associated with mine runoff can disrupt water and ion balance in aquatic biota (Palmer et al. 2010). Mountaintop removal operations can extirpate entire orders of aquatic macroinvertebrates, disrupting food web dynamics (Wood 2009).

Disease or predation:

Neves and Odom (1989) cite muskrat predation as a threat to imperiled mussels in the North Fork of the Holston in Virginia.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Purple Lilliput, and no occurrences are appropriately protected and managed (NatureServe 2008). This mussel is considered rare in Indiana and Missouri, and is state listed as endangered in Illinois, Kentucky, Michigan and Ohio, but these designations do not provide this species with any substantial regulatory protection or habitat protection. NatureServe (2008) states: "No site appears to be protected in any way," and recommends, "All populations should receive protection through acquisition, easement, registry, and working with local, state, and federal government agencies on issues relating to development, water quality, river designation, etc. Watershed management with particular emphasis on control of acid coal mine run-off and agricultural induced siltation is critical. . . Stream modifications such as dredging and impoundment should be avoided, as well as any modifications to the natural fish communities in areas where the species may occur. Construction, mining, and agricultural activities in stream watersheds should be closely monitored in order to minimize siltation and acid runoff to streams. Point sources should be closely checked to insure compliance with discharge permit regulations."

Other factors:

Any factor which degrades water quality or negatively impacts host fish populations threatens the Purple Lilliput. This mussel is impacted by chemical and organic pollution from agricultural, domestic, and industrial sources (Roe 2002, NatureServe 2008). The North Fork of the Holston River has been severely impacted by mercury releases (Flebbe et al. 1996). The Purple Lilliput is also threatened by invasive species such as the zebra mussel (Roe 2002, NatureServe 2008). Sweet (2003) reports that the lone surviving population of this species in Michigan is severely threatened by zebra mussels.

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Scientific Name:

Toxolasma pullus

Common Name:

Savannah Lilliput

G Rank:

G2

AFS Status:

Threatened

Range:

The Savannah Lilliput is known from the Neuse River in North Carolina south to the Altamaha basin in Georgia (Johnson 1967, 1970), but very few historically disjunct populations remain extant (Alderman 1994, Bogan and Alderman 2004, Bogan 2002). This mussel occurred historically in the Ocmulgee and Altamaha Rivers in Georgia, in the Savannah River and the Wateree River in South Carolina, and in the Catawba River, Beaver Creek, and Cape Fear river systems in North Carolina (Johnson 1970).

Habitat:

This mussel is found in silty sand or mud in shallow water, generally at the edges of streams, rivers and lakes. It has also been detected in backwaters, but is rarely found in deeper lake waters. If water levels fluctuate, it will move up and down in the water column (Bogan and Alderman 2004, Bogan 2002).

Populations:

NatureServe (2008) reports that there are from 6-20 populations of Savannah Lilliput, but many historical populations are no longer extant. As of 1994, Alderman reported that there were seven extant populations with this species remaining in the Oohoopee River in Georgia, the Savannah River in South Carolina and Georgia, and Richardson Creek in the Rocky River basin, Densons Creek and the Little River in the Pee Dee River basin, and Lake Waccamaw and University Lake in the Haw River basin in North Carolina. Of these populations, only three have shown any evidence of recent reproduction. In North Carolina, the only population that appears stable is at University Lake in Orange County (Hanlon and Levine 2004). Price (2005) reports that this population appears to have exhibited recent decline. The Waccamaw, Cape Fear, and Neuse River basin populations may be extirpated (Bogan 2002, LeGrand et al. 2006). This mussel was known from four locations in South Carolina primarily in the Saluda River basin, one of these now consists only of relict shells, and only the Lake Marion population has been reported as extant recently. A 2004 extensive survey at the type locality in the Wateree River in South Carolina in 2004 failed to find any surviving individuals (Jennifer Price, SC DNR, pers. comm., October 2005 cited in NatureServe 2008). Specimens were recently collected from a single site in the Ogeechee River in Georgia, this mussel may be hanging on in the Altamaha (SC DNR, pers. comm., 2005 cited in NatureServe 2008). Catena Group (2007) detected this mussel at a single site in the Savannah River. FWS (2006) reports this mussel from "several sites" in the Savannah. Its distribution is very localized, and in very few locations. In 1999, this mussel made up 3.18 percent (relative abundance) of 14,873 mussels collected in surveys of 46 sites in 12 tributary streams of the lower Flint River Basin, Georgia (Gagnon et al. 2006). In 2004, only 7 individuals were detected in Lake Marion in South Carolina and 3 in the Ogeechee River in Georgia in 2004 (J. Price, SC DNR, pers. comm., 2005 cited in NatureServe 2008).

Population Trends:

The Savannah Lilliput is very rapidly to rapidly declining (decline of 30-70 percent) in the short term, and has experienced a large long-term decline of 75-90 percent (NatureServe 2008). This mussel is likely extirpated from the Neuse and Waccamaw River basins (Bogan 2002). Only three of seven extant populations reported by Alderman (1994) showed any signs of reproduction, and the other four were declining and may now be lost (Hanlon and Levine 2004). NatureServe (2008) reports a personal communication from Keferl (1996) indicating that this mussel is very rare in the Oohopee River, but is reproducing. NatureServe (2008) states, "All the extant populations, except one, have very limited distributions and one change in the environment could cause a population to become extinct. Recent quantitative surveys indicate it represents only a few percent of the total individuals of all species of mussels present in the remaining river systems where it occurs."

Status:

The Savannah lilliput is a federal species of concern and a species of special concern in South Carolina, where it is ranked as critically imperiled by NatureServe. It is imperiled in Georgia and critically imperiled in North Carolina (NatureServe 2008). This mussel may now be limited to three or fewer reproducing populations (NatureServe 2008). It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The Savannah River population of this mussel is threatened by habitat loss and modification due to dredging by the U.S. Army Corps of Engineers to straighten the river for navigability (Alderman 1994). NatureServe (2008) states, "This dredging work seriously impacts the best populations in the world." The U.S. Army Corps of Engineers (2008) reports that this mussel's habitat in the Savannah is lost or degraded due to loss of connectivity with the main river at low flows, especially during warmer seasons when dissolved oxygen levels are low and cutoff bends and sloughs where this mussel occurs experience stagnant conditions. Because of their very localized distribution, the Clouds Creek and Asbill Pond populations are threatened by nearby dam operations. Changes in flow or siltation resulting from the dam could extirpate these populations (Alderman 1994). The Asbill Pond population is also threatened by eutrophication (Alderman 1994). The small population in Richardson Creek is threatened by road improvements, wastewater treatment discharge, and development (Alderman 1994). The small populations at Densons Creek and Little River are also threatened by wastewater treatment plant outfall. The Lake Waccamaw population, if still extant, is threatened by poor water quality (North Carolina Wildlife Resources Commission cited in Alderman 1994). The University Lake population is very vulnerable to habitat degradation due to its limited distribution, and is threatened by quick changes in water levels and compaction and sedimentation from off-road vehicle riding along the banks (Alderman 1994, Hanlon and Levine 2004). Price (2005) reports that this mussel's primarily shallow water distribution makes it particularly vulnerable to off-road vehicles, droughts, and drawdowns, citing a personal communication with Catena Group reporting numerous stranded mussels on the banks of Lake Marion when water levels were extremely low in 2005. Price (2005) also cites pollution as a threat to this species. Catena Group (2007) state that this mussel is threatened by channel modifications and altered river discharge patterns which create bank and sediment instability. The North Carolina Division of Water Quality (2009) reports that aquatic species in the Yadkin Pee-Dee River basin, including the Savannah Lilliput, are threatened by increasing development and decreasing water quality. The Georgia Dept. of Natural Resources (Wisniewski 2008) reports that

this mussel is threatened by excess sedimentation due to inadequate riparian buffer zones, and by all-terrain vehicles.

Disease or predation:

Alderman (1994) reports that this shallow water species is easy prey for raccoons which feed along the shoreline of various waterways (Alderman 1994). Because raccoons are a synanthropic species, this threat will increase as development increases. Hanlon and Levine (2004) report that predation is the greatest threat to the only remaining viable population in North Carolina at University Lake in Orange County. The Georgia Dept. of Natural Resources (Wisniewski 2008) reports that this mussel is threatened by direct and indirect competition and predation by the introduced flathead catfish which both consumes mussels and their host fishes.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms which afford this species with meaningful protection.

Other factors:

Because this mussel is distributed in small isolated populations, it is vulnerable to stochastic events. Price (2005) states, "The extremely low numbers of this species at so few sites make it particularly vulnerable; entire populations may be lost due to one smallscale event." The dispersal of this species is limited by dams and navigational structures, making it difficult to impossible to escape in the event of habitat degradation.

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Scientific Name:

Triaenodes tridentatus

Common Name:

Three-tooth Triaenodes Caddisfly

G Rank:

G2

IUCN Status:

EX - Extinct

Range:

NatureServe (2008) indicates that *T. tridentatus* is only known from Oklahoma (historical original description, Ross, 1934), the Florida panhandle (historical, 1938), and the coastal plain of Alabama (a handful of sites in the 1980s). Surveys in Oklahoma in the 1990s did not detect the species, and some believe that it may never have occurred in the state and that the type specimen was mislabeled. Harris collected 17 specimens in Little Bassett Creek and Little Stave Creek, Clarke County, AL in 1985 and two in the Cahaba River at Sprott, Perry County, AL in 1991.

Populations:

This species is likely extant only in one to a few populations in Alabama (Harris and Lago 1990).

Population Trends:

NatureServe (2008) reports that this species is very rapidly declining in the short term (decline of 50-70 percent).

Status:

This species was thought to be extinct but its status has been changed by NatureServe (2008) from historical to critically imperiled (AL (S1), FL (SH?), OK (SH)). It is still rated as extinct by the IUCN. It was last detected in 1991 and NatureServe states "If extant population found (last in 1991), protect at all costs."

Habitat destruction:

Caddisflies are threatened by land-disturbing activities and run-off from a variety of sources. Because of this species' extremely limited range, it is highly vulnerable to any form of habitat degradation.

Inadequacy of existing regulatory mechanisms:

NatureServe (2008) reports that no occurrences of this species are protected.

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Scientific Name:

Trillium texanum

Common Name:

Texas Trillium

G Rank:

G2

Range:

Also known as the Texas wakerobin, this species is restricted to a portion of east Texas and one neighboring Louisiana parish; natural heritage records show this species in Cass, Harrison, Nacagdoches, Rusk, Smith, and Wood Counties, Texas, and Caddo County, Louisiana. It is reportedly extirpated from Houston and Panola Counties, Texas (NatureServe 2008).

Habitat:

This flower occurs in low, swampy areas in hardwood forests, in baygalls and sandy uplands, and along the seep borders of woodland streams, often establishing in sphagnum mats (NatureServe 2008). In Louisiana, associated species include swamp blackgum (*Nyssa biflora*), sweetbay magnolia (*Magnolia virginiana*), sweetgum (*Liquidambar styraciflua*), bald cypress (*Taxodium distichum*), and various fern species (e.g., royal fern, *Osmunda regalis*, cinnamon fern, *O. cinnamomea*, and netted chain fern, *Woodwardia areolata*) (LNHP 2009).

Ecology:

The trillium flowers in March and April, before canopy has fully leafed out (LNHP 2009).

Populations:

There are eight existing occurrences of this flower in Texas, and three in Louisiana (NatureServe 2008). Population sizes are not specifically reported, but are known to be highly variable among sites.

Population Trends:

Trend has not been reported for this rare flower.

Status:

The Texas trillium is restricted to a relatively small range within which very few occurrences are known. Its habitat is widely threatened by conversion to timber plantations, and much has already been lost. NatureServe (2008) ranks this species as critically imperiled in Louisiana and imperiled in Texas.

Habitat destruction:

The trillium's habitat is widely threatened by drainage, clearcutting, and conversion to timber plantations, pasture, and other agricultural uses (LNHP 2009). Urbanization and unsustainable agriculture or forestry practices also cause watershed-wide erosion, which may eventually bury *T. texanum*'s streamside habitat (Kral 1982). Trampling by livestock permitted to graze in this species' habitat is significant (LNHP 2009).

Inadequacy of existing regulatory mechanisms:

No existing regulatory mechanisms adequately protect the Texas trillium.

Other factors:

The Texas trillium may be threatened by exclusion by invasive exotic plants in some areas (NatureServe 2008).

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Scientific Name:

Troglocambarus maclanei

Common Name:

Spider Cave Crayfish

G Rank:

G2

AFS Status:

Special Concern

Range:

T. maclanei is restricted to Florida, with all occurrences lying in a single arc about 80 miles long and extending from Suwannee County to Hernando County.

Habitat:

The Spider Cave crayfish occupies subterranean/karstic waters near sites of detrital input, particularly large sinkholes and areas under bat roosts in caves. Deyrup and Franz (1994) report that "Spider crayfishes have been found in caves, sinks, and spring caves. It has been suggested that the species is attracted to fine detritus that floats near the walls and ceilings of flooded cave passages."

Ecology:

Most of the time this species is observed hanging upside down from the ceilings of caves, rarely dropping to the floor. The cave floor is usually coated with fine silt and often with tree litter. According to Deyrup and Franz (1994) "[t]he species is cannibalistic in captivity and may be an active predator on small invertebrates in caves."

Populations:

Approximately sixteen occurrences of this species are known. Concerning population size, NatureServe (2008) states: "Population information is sketchy. In 1942, Hobbs recorded 42 *T. maclanei* in its type locality, but in a study by Doonan (2001), only two were recorded. Two individuals were recorded at a second cave also studied by Doonan (2001). There is no population data available for the remaining five caves, and no further studies have been conducted at the type locality or second cave in recent years."

Status:

NatureServe (2008) ranks this species as imperiled. Florida lists it as a Species of Greatest Conservation Need. AFS lists it as Vulnerable (Taylor et al. 2007).

Habitat destruction:

NatureServe (2008) reports that this species is susceptible to pollution of the aquifer and changes in inflow of detritus, and that it is threatened by urban development. The type locality is threatened by urban development of nearby Gainesville, which could be having adverse impacts on the groundwater quality. In at least three caves, it is threatened by disturbance by SCUBA divers (Doonan 2001). According to Deyrup and Franz (1994), "[t]his crayfish is restricted to groundwater habitats in caves, where it maintains small populations, usually in association with fine silt. The species is probably susceptible to groundwater pollution and may be affected by changes in land use."

Inadequacy of existing regulatory mechanisms:

T. maclanei occurs in the springs of Manatee Springs State Park (Florida DEP 2004). No existing regulatory mechanisms adequately protect this species.

References:

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Scientific Name:

Tsuga caroliniana

Common Name:

Carolina Hemlock

G Rank:

G3

IUCN Status:

NT - Near threatened

Range:

The Carolina hemlock is endemic to the Appalachian Mountains in North Carolina, Virginia, Georgia, South Carolina, and Tennessee; it has the most restricted range of any hemlock species in North America (Humphrey 1989, James 1959). Natural heritage records indicate the species is present in Georgia's Rabun County, and in Tennessee's Carter, Johnson, Sullivan, Unicoi, and Washington Counties (NatureServe 2008).

Habitat:

Carolina hemlock is generally considered a montane species, found on rocky slopes, cliffs, and ridges in the Appalachian Mountains (Humphrey 1989, USDA 2005). It is adapted to dry, exposed, and nutrient-poor habitats (Rentch et al. 2000). Carolina hemlock generally grows at elevations between 2,000 and 4,000 feet above sea level (NatureServe 2008, USDA 2005). It prefers acidic soils with low nutrient levels, often lithic, coarse, loamy, and containing a high percentage of cobbles and stones (Humphrey 1989, Rentch et al. 2000). Within this narrow habitat preference, *T. caroliniana* is generally dominant in the forest canopy over dense shrub strata and sparse herbaceous strata (NatureServe 2008, Rentch et al. 2000).

Ecology:

Carolina hemlock is a coniferous species that reaches between 40 and 70 feet in height. It begins producing wind-dispersed seeds at around 20 years of age. Hemlocks, including this one, only rarely layer and do not root-sprout. Cones are pollinated in March and April, ripen from August to September of the following year, and seed dispersal occurs from September until the end of winter (USDA 2005). They are most often found with *Acer rubrum*, *Quercus prinus*, and other maple or oak species (James 1959).

The Carolina hemlock exhibits ecological traits typical of slow-growth strategists: it is adapted to dry conditions, acidic, shallow soils low in nutrients, extreme temperatures, and thick shade. Its long life span, slow growth rate, and evergreen leaves make it well-adapted to harsh environments. Evergreen needles extend the growing season, minimize nutrient loss in the form of leaf litter, and reduce water loss through transpiration by reducing surface area (Rentch et al. 2000). Because of its long life span and slow growth rate, Carolina hemlock eventually replaces other canopy species, forming almost pure stands (Humphrey 1989, Rentch et al. 2000). Its shade tolerance allows it to establish itself even in dense shrub stratum (Humphrey 1989). Dominant in very late stages of succession, Carolina hemlock has a significant influence on local environmental conditions. As stands grow older, the slow release of nutrients from Carolina hemlock's leaf litter results in podzolization (USDA 2005).

Carolina hemlock is an important component of the forest ecosystem. Its seeds provide food for numerous birds and mammals, its bark is eaten by beavers, porcupines, and rabbits, and its foliage supplements the diet of white-tailed deer, providing shelter and bedding as well, during the winter months (USDA 2005).

Populations:

Though exact numbers are not known, the Carolina hemlock is of "limited occurrence and distribution" (Humphrey 1989). There is only one naturally occurring population left in Georgia (Ceska 2003).

Population Trends:

This species is reportedly in serious decline (NatureServe 2008).

Status:

The Carolina hemlock's range is restricted to five states in the Southeast, and its habitat is further restricted in this range to small mountainside areas (Elias 1980). Though still used in some capacity as an ornamental species, it is so limited in extent that the species is no longer considered commercially viable (USDA 2005). Though it dominates in late successional stages, these pure stands of Carolina hemlock are extremely rare and rapidly disappearing.

NatureServe (2008) ranks the Carolina hemlock as critically imperiled in Georgia and vulnerable in North Carolina, South Carolina, Tennessee, and Virginia.

Habitat destruction:

The Carolina hemlock is vulnerable to habitat destruction because it is somewhat of a habitat specialist, thus protection of the rocky outcroppings and slopes it prefers from oil or gas extraction, timber harvest, or other anthropogenic threats is essential (NatureServe 2008).

Disease or predation:

The hemlock woolly adelgid (*Adelges tsugae*), an introduced insect pest, is the greatest threat to Eastern hemlock populations. Since its introduction to the region, it has killed thousands of trees.

This aphid-like insect feeds on sap from hemlock foliage, simultaneously injecting toxins that accelerate dieback and decline; infested trees may be killed within four years of infection. The HWA is further dispersed by humans through logging, recreation, and by wind, birds, and other natural means (Malinoski 1998).

The Carolina hemlock is also threatened, though less so, by the elongate hemlock scale (*Fiorinia externa*), which depletes moisture reserves within needles, causing them to be prematurely dropped (VA NHP 2008).

Inadequacy of existing regulatory mechanisms:

The Carolina hemlock is listed as threatened in Tennessee, but this designation affords it no substantive regulatory protection; no existing regulatory mechanisms protect this tree from habitat loss, the hemlock woolly adelgid, or the various other threats it faces.

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Scientific Name:

Urspelerpes brucei

Common Name:

Patch-nosed Salamander

Range:

Camp et al. (2009) report this salamander from a single stream at the foot of the Blue Ridge escarpment in Stephens County, Georgia. It has since been detected at six additional sites in Georgia and South Carolina (C. Camp, pers. comm., January 2010).

Habitat:

This salamander was discovered in a first-order stream, either within or along the banks of the non-inundated portion of the streambed. It occurs under rocks and in loose leaf litter. This species was discovered during a severe drought and it is possible that it could utilize terrestrial microhabitats under suitably mesic conditions (Camp et al. 2009). Based on additional occurrences, it is associated with headwater streams in steep, mesic ravines (C. Camp, pers. comm., January 2010).

Populations:

Camp et al. (2009) report one known population of this salamander. The authors have since detected six other occurrences (C. Camp, pers. comm., January 2010).

Population Trends:

No trend information is available for this recently discovered species. Camp et al. (2009) state "It seems likely that this species may be extremely rare, perhaps occurring in such few numbers as to be in danger of extinction" (p. 93).

Status:

NatureServe (2009) classifies this salamander as critically imperiled (G1) in Georgia where it is apparently very rare.

Habitat destruction:

The Patch-nosed Salamander is very vulnerable to habitat loss and degradation due to its limited range. Because this salamander is associated with headwater streams in steep, mesic ravines, it is highly vulnerable to logging operations, which result in increased sedimentation, increased water temperature, and decreased water quality. The State of Georgia no longer requires stream buffer zone protections for headwater streams, heightening the threat to this rare species from timbering activities.

Overutilization:

Because this salamander is extremely rare, overutilization for scientific purposes or illegal harvest by herpetological collectors seeking rare species poses a serious threat to its survival.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this species. Concerning the need for regulatory protection for this newly discovered salamander, Camp et al. (2009) state: "It seems likely that this species may be extremely rare, perhaps occurring in such few numbers as to be in danger of extinction. Protection of this remarkable new species should be of paramount concern."

Other factors:

The Patch-nosed Salamander is inherently vulnerable to extinction because of its extremely limited range. Camp et al. (2009) state, "It seems likely that this species may be extremely rare, perhaps occurring in such few numbers as to be in danger of extinction." Any factor which decreases water quality also threatens the survival of this salamander.

References:

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Scientific Name:

Vicia ocalensis

Common Name:

Ocala Vetch

G Rank:

G1

Range:

The Ocala vetch has a very restricted range and is known only from Lake and Marion counties, Florida (NatureServe 2008).

Habitat:

This plant grows in sandy peat substrate in open, wet thickets, marshlands, or along streambanks (Chafin 2000, Wunderlin and Hansen 2003).

Ecology:

Ocala vetch is a perennial vine.

Populations:

Four occurrences of this plant had been reported as of 1997, one of which has not been recently confirmed (NatureServe 2008).

Population Trends:

Population trend has not been reported for this species.

Status:

This plant is restricted to an extremely small range, and only four populations are known. Its habitat is threatened by hydrological changes. NatureServe (2008) ranks the Ocala vetch as critically imperiled, and it is state listed as endangered in Florida.

Habitat destruction:

Because all known occurrences are found on National Forest land, this species is not as vulnerable to urbanization or agriculture as many other wetland species. Logging and related site preparation may still pose a threat, and any resultant hydrological changes could destroy *V. ocalensis*' limited habitat (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

All known occurrences are found in the Ocala National Forest and considered by resource management plans, but may still be vulnerable to hydrological changes that destroy habitat. Though it is state-listed as endangered, this designation offers the Ocala vetch no substantial regulatory protections; no existing regulatory mechanisms adequately protect this species.

Other factors:

Invasive exotic plants are problematic in parts of the Ocala National Forest and may eventually threaten this species (Miller 2002).

References:

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Scientific Name:

Villosa arkansasensis

Common Name:

Ouachita Creekshell

G Rank:

G2

AFS Status:

Special Concern

Range:

The Ouachita Creekshell occurs in the Ozark region (Johnson 1980), in the headwaters of the Red River including the Little River and the Kiamichi River, and the headwaters of the Ouachita River including the Saline River, and the Arkansas drainage (Anderson 2006, NatureServe 2008). It also occurs in the Glover River and the Mountain Fork River (Vaughn 2003, Spooner and Vaughn 2007) and in the Poteau River (Harris et al. 1997).

Habitat:

This mussel is found in headwater streams in flowing water conditions.

Populations:

There are an estimated 6-20 populations of this mussel (NatureServe 2008). In Arkansas, this species is extant in the Poteau, Ouachita, and Saline River systems (Harris et al. 1997). In Oklahoma, this mussel occurs in the headwaters of the Little River (C. Mather pers. comm. cited in NatureServe 2008, Vaughn and Taylor 1999, Vaughn 2000, Galbraith et al. 2008), eight sites in the Glover River (Vaughn, 2000, 2003), eight sites in the Mountain Fork River (Spooner and Vaughn 2007) and potentially in the Kiamichi River. Surveys in Arkansas found catch per unit effort ranges of 0.004 to 0.183 per minute for this mussel.

Population Trends:

This mussel is rapidly declining (decline of 30-50 percent) in the short term and has experienced a long-term decline of 25-50 percent (NatureServe 2008). A statistical test comparing 1988 to 2004 populations of this species found a significant decrease in creekshell population size, with 14 stations showing population decline (Seagraves et al. 2005). This species has become more rare across its range, and now occurs at fewer sites and in lower numbers than in previous surveys (Anderson 2006). At some sites, this species has become uncommon (NatureServe 2008).

Status:

This regional endemic is restricted to headwater streams in a handful of sites. It is critically imperiled in Oklahoma (S1S2) and imperiled in Arkansas (NatureServe 2008). It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

Vaughn (2003) states that habitat degradation and fragmentation threaten mussels in the Glover River, particularly siltation resulting from timber harvest and gravel mining. Vaughn states that gravel mining is occurring within 30 m of the richest mussel site in the Glover River, where this mussel was detected, posing a serious threat to the continued existence of the mussel bed.

Galbraith et al. (2008) report that mussel populations in the Little River are declining and are threatened by proposed reservoirs. Spooner and Vaughn (2007) report that in the Mountain Fork River, this mussel is threatened by siltation from timber harvest and runoff from agricultural activities and second homes. The Arkansas Wildlife Action Plan (2005) reports that this mussel is

threatened by pollution from municipal and industrial point sources, by recreation, development, nutrient loading, confined animal feeding operations, grazing, sedimentation, and road construction.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Ouachita Creekshell.

Other factors:

Harris et al. (1997) cite zebra mussel invasion as a threat to native mussels in the Arkansas and White Rivers.

References:

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Scientific Name:

Villosa choctawensis

Common Name:

Choctaw Bean

G Rank:

G2

AFS Status:

Threatened

IUCN Status:

NE - Not evaluated

Range:

The Choctaw Bean is endemic to the Escambia, Yellow, and Choctawhatchee River drainages in Alabama and Florida with one of the most restricted ranges for its genus (Johnson 1967, Williams and Butler 1994, NatureServe 2008). Recent surveys have expanded the historical range of this mussel (Williams et al. 2000, Blalock-Herod et al. 2005). In the Escambia watershed, it has now been detected in rivers in Escambia County, Florida, and in Conecuh, Crenshaw, and Butler counties in Alabama. In the Yellow River watershed, it has been detected in the main stem of the Yellow River in Okaloosa County, Florida, and in Covington County, Alabama. In the Choctawhatchee River watershed, it has been detected in the main channel of the Choctawhatchee River in Washington and Holmes counties in Florida, and in Geneva County, Alabama (Williams et al. 2000, Blalock-Herod et al. 2005).

Habitat:

The Choctaw Bean is found in large creeks and rivers with moderate current over sand to silty-sand substrates (Deyrup and Franz 1994, Williams and Butler 1994).

Ecology:

Little is known about the ecology of the Choctaw Bean, but it is presumed to be a long-term brooder. Gravid females have been detected in August. Fish hosts are unknown (Mirarchi et al. 2004).

Populations:

NatureServe (2008) estimates that there are from 21-80 populations of Choctaw Bean, providing the following details: "Johnson (1967) lists historical sites in: Choctawhatchee River System-Pea River drainage: Pea River, Alabama; Choctawhatchee River drainage: Florida. The Choctaw bean appears to be extirpated from Murder Creek, Conecuh County; Pigeon Creek, Butler County; and Little Patsaliga Creek, Crenshaw County, all Alabama, all Escambia River basin; and Choctawhatchee River, Holmes County, Florida. Butler (1989) listed the following sites: Yellow River mainstem, Alabama; east of Blackman, Florida. Butler (1989) also listed Escambia River localities including Pigeon Creek, Alabama; Patsaliga River, Alabama; Little Patsaliga Creek, Alabama. Due to recent status surveys the historical range of the Choctaw bean has been expanded (Williams et al., 2000; Blalock-Herod et al., 2005). Within the Escambia River drainage, it is known from the Escambia River, Escambia County, Florida; Murder Creek, Conecuh County, Patsaliga and Little Patsaliga Creeks, Crenshaw County; and Pigeon Creek, Butler County, all in Alabama. Within the Yellow River drainage, it is known from the main channel Yellow River in Okaloosa County, Florida, and Covington County, Alabama. Within the Choctawhatchee River drainage, the Choctaw bean is known from the Choctawhatchee River main stem in Washington and Holmes Counties, Florida; and the Pea River, Geneva County, Alabama (Williams et al., 2000; Blalock-Herod et al., 2005) (see USFWS, 2003; Butler, 1989). Recent mussel status surveys found that populations (live and shell material only) of the Choctaw bean have declined from 13 historic sites to 7 currently active sites, 4 inactive, and 2 with an undetermined population status within the Escambia River drainage; it has declined from 6

historic sites to 5 currently active sites and 1 with an undetermined population status within the Yellow River drainage; and from 26 historic sites to 22 currently active sites, 1 inactive site, and 3 sites with undetermined population status within the Choctawhatchee River drainage (fide Williams et al., 2000; Blalock-Herod et al., 2005). In totality, the Choctaw bean has declined from a total of 45 historic sites to its remaining distribution of 34 sites. It has been extirpated from approximately 11% of its historic range. An average of 2 individuals were found live per site (fide Williams et al., 2000; Blalock-Herod et al., 2005). Two gravid individuals have been detected, but recent recruitment has not been confirmed (fide Williams et al., 2000). The longterm viability of the Choctaw bean is questionable (see USFWS, 2003). Blalock-Herod et al. (2005) listed it in 6 historical (found in 2 of 3 recently resurveyed) and 20 new sites in the Choctawhatchee River drainage of Alabama (mostly) and Florida. Pilarczyk et al. (2006) recorded recent collections (in 2004) of this species following surveys of 24 sites at three sites in Alabama including West Fork Choctawhatchee River, Pea Creek, and East Fork Choctawhatchee River compared to Patsaliga Creek, Yellow River, Pea River, Pea Creek, West Fork Choctawhatchee River, Judy Creek, and East Fork Choctawhatchee River in surveys of the same sites in the 1990s."

Total population size of Choctaw Bean is estimated at to be at least 2500 individuals. At the best known site at the time in 1988, eleven per hour were collected (NatureServe 2008). This mussel is known from fair numbers from most sites represented in museum lots. Heard (1975) considered it to be rare in the Choctawhatchee River. Pilarczyk et al. (2006) found 31 live mussels in the West Fork Choctawhatchee River, 10 live mussels in Pea Creek, and 3 live mussels in the East Fork Choctawhatchee River. In 1990s surveys, an average of two live individuals were detected per site in Patsaliga Creek, Yellow River, Pea River, Pea Creek, West Fork Choctawhatchee River, Judy Creek, and the East Fork Choctawhatchee River (Blalock-Herod et al. 2005).

Population Trends:

The Choctaw Bean is declining in the short-term (decline of 10-30 percent) and moderately declining to relatively stable in the long-term (NatureServe 2008). Recent status surveys indicate that this species has experienced range reductions and occurs in low abundance within its limited range. Overall, the Choctaw bean has declined from a total of 45 historic sites to its remaining distribution of 34 sites. It has been extirpated from approximately 11 percent of its historic range (USFWS 2003, NatureServe 2008).

Status:

NatureServe (2008) ranks the Choctaw Bean as imperiled in Alabama and critically imperiled in Florida. This mussel has a limited distribution, restricted habitat, decreasing number of extant occurrences, and the viability of some occurrences is questionable (NatureServe 2008). It is a Federal Candidate for protection under the Endangered Species Act. It is a Species of Greatest Conservation Need in Alabama. It is ranked as threatened by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

The primary threat to the Choctaw Bean is habitat loss and degradation, with this mussel's habitat known to be deteriorating overall (NatureServe 2008). This species is threatened by sedimentation and water quality degradation from a number of activities including highway and reservoir construction, improper logging practices, agricultural runoff, housing developments, pipeline crossings, and livestock grazing which cause physical disturbance to stream substrates and riparian areas, and alter water quality, temperature, and flow (NatureServe 2008).

Sedimentation can cause direct mortality of mussels by suffocation and substrate alteration (Ellis 1936, Brim Box and Mossa 1999), can interfere with feeding (Dennis 1984), and can preclude or reduce juvenile recruitment (Negus 1966, Brim Box and Mossa 1999). Many of the extant populations of this mussel are in the vicinity of highway and unpaved road crossings, and highway and bridge construction and widening could thus negatively impact these populations. Impoundments and resulting changes in sediment, flow, water temperature, and dissolved oxygen threaten mussel populations (Neves et al. 1997). Nutrients from agricultural fields, lawns, feedlots, poultry operations, and leaking septic tanks cause eutrophication and reduced oxygen levels in small streams which also threaten mussel populations (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that adequately protect the Choctaw Bean, and no occurrences are appropriately protected and managed. This species is a federal Candidate and merits full ESA protection. It has no state status in Florida, and is a Species of Greatest Conservation Need in Alabama, but this designation does not confer regulatory protection. One occurrence may border the Conecuh National Forest, but this does not provide habitat protection. NatureServe (2008) provides the following management recommendations for this species: "Protect species by federal listing, acquisitions and easements by working with government agencies and conservation organizations; establish buffers and streamside management zones for all agricultural, silvicultural, mining, and developmental activities; propagate for reintroduction into restored habitats; maintain high water and benthic habitat quality; control/eradicate *Corbicula* populations. Conservation activities have been limited to working with private landowners in south Alabama and west Florida to encourage the use of Best Management Practices to reduce the effects of agriculture and silviculture (see U.S. Fish and Wildlife Service, 2003)."

Other factors:

Several other factors threaten the Choctaw Bean. Any factor which degrades water quality or negatively affects host fish populations is a threat to this mussel. This species is vulnerable to catastrophic events because populations are generally small and geographically isolated. Some populations may not be genetically viable in the long-term. Invasive species also potentially threaten the Choctaw Bean, including the Asiatic clam, zebra mussel, and black carp (U.S. Fish and Wildlife Service 2003, NatureServe 2008).

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Scientific Name:

Villosa fabalis

Common Name:

Rayed Bean

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

NE - Not evaluated

Range:

The rayed bean is present in Alabama, Illinois, Indiana, Kentucky, Michigan, New York, Ohio, Pennsylvania, Tennessee, Virginia, West Virginia, and Ontario. Current natural heritage records indicate that the rayed bean is present in Alabama's Lauderdale County, Indiana's Allen, Carroll, De Kalb, Johnson, Kosciusko, Pulaski, Tippecanoe, and White Counties, Michigan's Hillsdale, Oakland, St. Clair, and Wayne Counties, and from New York's Cattaraugus and Chautauqua Counties. Though it was historically present in these locations, it is now considered extirpated from Illinois' Vermilion County, Indiana's Delaware, Fulton, Hamilton, Huntington, LaGrange, Marshall, Miami, Posey, and Wabash Counties, Kentucky's Campbell, Gallatin, Grayson, Green, Hardin, Hart, Kenton, Owen, Pendleton, Scott, Spencer, and Warren Counties, and from Michigan's Lenawee, Macomb, and Monroe Counties.

Habitat:

The rayed bean is primarily found in small headwater creeks near shoal or riffle areas, and also occurs in the shallow areas of glacial lakes, including Lake Erie, where it is associated with islands. It prefers gravel and sand substrates, and is often associated with aquatic vegetation such as water willow *Justicia americana*, water milfoil, *Myriophyllum* spp., among whose roots it commonly buries itself (Butler 2003, Watters 1988, Parmalee and Bogan 1998, Watters et al. 2000).

Ecology:

This species is reportedly a long-term breeder, retaining glochidia over the winter and releasing them in the spring. The only confirmed glochidial host is the Tippecanoe darter, *Etheostoma tippecanoe*, which is itself imperiled (Strayer and Jirka 1997, Butler 2003).

Populations:

NatureServe (2008) estimates that there are 21-80 occurrences of rayed bean. This once widespread species is now limited to ten streams in the lower Great Lakes system, and twelve streams and one lake in the Ohio River system. Total abundance is estimated at only 1000-2500 individuals. Its current distribution is highly fragmented, and remaining populations are generally small and isolated.

Population Trends:

Over the long-term, the rayed bean has declined by over 75 percent. It has been extirpated from 78 percent of the total number of streams and other water bodies from which it was historically known (22 streams and a lake currently compared to 106 water bodies historically) (NatureServe 2008). It is also very rapidly declining in the short-term, by up to 70 percent (NatureServe 2008).

Status:

NatureServe (2008) reports that the rayed bean is critically imperiled in Indiana, Michigan, New York, Ohio, Ontario, Tennessee, and Pennsylvania, and likely extirpated from Alabama, Illinois, Kentucky, and Virginia. It is listed as endangered in New York, Ohio, and Virginia, and in Canada.

It is a federal candidate in dire need of ESA protection to ensure its survival. Its rank is being changed from special concern (Williams et al. 1993) to endangered by the American Fisheries Society (2010 draft, in review).

Habitat destruction:

Loss and degradation of habitat is the greatest threat to the rayed bean. Known threats to this mussel include impoundments, channelization, dredging, mining, agriculture, logging, and residential and industrial development (Williams et al., 1993; Neves, 1993; Neves et al., 1997; Watters, 2000). NatureServe (2008) states, "The threats to the rayed bean are significant and present throughout the species range, and thus are high in magnitude. Threats to the remaining populations are imminent and will likely not lessen in the future (Butler, 2003; U.S. Fish and Wildlife Service, 2003)."

Inadequacy of existing regulatory mechanisms:

Though it is listed as endangered in New York, Ohio, and Virginia, this designation affords the rayed bean no substantial regulatory protection. No existing regulatory mechanisms adequately protect this species or its habitat.

Other factors:

The rayed bean is threatened by point and non-point source pollution from many sources including industrial and residential discharge, siltation, herbicide and fertilizer run-off, and agricultural runoff and sewage discharge. Lowered dissolved oxygen levels and elevated ammonia levels are known to be lethal to some species of freshwater naiads (Horne and McIntosh 1979). Rotenone, a toxin used to kill undesired fish, can also kill mussels (Heard 1970). The rayed bean is also threatened by contaminants from mine runoff and other sources (NatureServe 2008).

In some parts of its range, this mussel is threatened by invasive species such as Asiatic clam, zebra mussel, and black carp. The rayed bean is also threatened by stochastic genetic and environmental events due to the geographic and genetic isolation of most extant populations (NatureServe 2008).

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Scientific Name:

Villosa nebulosa

Common Name:

Alabama Rainbow

G Rank:

G3

AFS Status:

Threatened

Range:

The Alabama Rainbow occurs in Alabama, Georgia, North Carolina, and Tennessee. In Alabama it occurs in the Mobile Basin in small streams upstream of the Fall Line (Mirarchi 2004). It does not occur in the Tallapoosa River system (Williams et al. 2008). Historically, this mussel was widespread in the Black Warrior, Cahaba, and Coosa River drainages above the Fall Line, but is now extant only in a few isolated tributary populations, including scattered tributaries of the Coosa and the headwaters of Sipsey Fork in the Bankhead National Forest (Williams et al. 2008). Johnson et al. (2005) report extant populations from the Conasauga River inside and adjacent to the Cherokee and Chattahoochee National Forests in Polk and Bradley counties in Tennessee, and in Murray County, Georgia. Johnson and Ahlstedt (2005) report this species from the Luxapallila drainage on the Mississippi/Alabama border. Parmalee and Bogan (1998) tentatively restrict the use of *Villosa nebulosa* to the species occurring in the headwaters of the Mobile Bay Basin, including the Conasauga River in the southeastern part of Tennessee.

Habitat:

NatureServe (2008) states that this mussel inhabits riffles in areas of moderate current with sand and gravel substrate. USFS (2007) states that the Alabama Rainbow primarily inhabits small headwater streams and likely requires clean gravel riffles, low turbidity, some flow, and habitat stability including substrate stability and water quality.

Ecology:

Haag and Warren (1997) found gravid females with mature glochidia from late February to early April in 8-13 degree C water. They identified the following fish hosts for *V. nebulosa* in the laboratory: *Lepomis megalotis*, *Micropterus coosae*, *M. punctulatus*, and *M. salmoides*.

Populations:

There are an estimated 21-80 populations of Alabama Rainbow (NatureServe 2008). It was historically widespread in the Black Warrior, Cahaba, and Coosa River drainages above the Fall Line, but survives in only a few isolated tributary populations (Williams et al. 2008). McGregor et. al (2000) report this species as absent from the Cahaba. Few live individuals have been reported recently in the Coosa Basin (Williams and Hughes 1998), with the exception of the Conasauga where it was recently detected (Johnson et al. 2005). A population was recently reported in the Luxapallila drainage on the border of Alabama and Mississippi (Johnson and Ahlstedt 2005). Total population size for this species is estimated at more than one million individuals.

Population Trends:

The Alabama Rainbow is considered to be stable in the short-term and relatively stable in the long-term (NatureServe 2008). Few live individuals have been recently reported from Georgia, except from the Conasauga River. It is absent from the Cahaba River in Alabama (NatureServe 2008). In the Alabama and Mobile Basin where it was once widespread, it is now extant only in a few isolated populations (Williams et al. 2008).

Status:

The Alabama Rainbow is ranked by NatureServe as imperiled in Tennessee and Georgia, vulnerable in Alabama, and not assessed in North Carolina. This mussel has a somewhat narrow range and is frequently uncommon to rare at any given locality. In 1993, the American Fisheries Society classified this mussel as threatened (Williams et al.), but its status is being changed to endangered (draft, in review).

Habitat destruction:

The Alabama Rainbow is threatened by impoundment; channelization and dredging operations; sedimentation from agriculture, silviculture, mining, urbanization, road construction, and land-use activities; and water withdrawals (Neves et al. 1997, Herrig and Shute 2002, NatureServe 2008). This species was extirpated from much of its habitat by impoundment (Williams et al. 2008). USFS (2007) states that the Alabama Rainbow is sensitive to water quality degradation and sedimentation from ground-disturbing activities within a watershed. This species occurs in the Bankhead National Forest and is thus potentially threatened by silvicultural and recreational activities.

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms to protect the Alabama Rainbow. It does not have protective status in any state. NatureServe (2008) reports that few occurrences of this species are appropriately protected and managed, stating that this mussel occurs in the Conasauga River inside and adjacent to the Cherokee and Chattahoochee National Forests in Polk and Bradley Cos., Tennessee, and Murray Co., Georgia (Johnson et al., 2005). Occurrence in a National Forest does not necessarily provide this mussel with habitat protection, and potentially places it at risk from habitat degradation due to silvicultural and recreational activities.

Other factors:

Other factors which threaten the Alabama Rainbow include degraded water quality and invasive species. Because the Alabama Rainbow is a filter feeder, it is highly susceptible to poor water quality. The Alabama Rainbow is threatened by petroleum spills; pesticide and nutrient run-off from agriculture, silviculture, and urban areas; chemical, manufacturing, and wood product wastes, industrial discharges; and highway salts (Abell et al. 2000, Hart and Fuller 1974, Neves et al. 1997, Herrig and Shute 2002). Herrig and Shute (2002) state that exotic mussel species, such as Asian clams and zebra mussels, directly compete with native mussels for food and space, attach to native mussels in large enough numbers to cause mortality, and accumulate in such high numbers that they can modify the physical characteristics of the substrate and water quality. The Alabama Rainbow is also threatened by any factor which threatens the host fish on which it is dependent for reproduction. Increasing population isolation (Williams et al. 2008) heightens the susceptibility of this species to extirpation from stochastic genetic and environmental events.

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Scientific Name:

Villosa ortmanni

Common Name:

Kentucky Creekshell

G Rank:

G2

AFS Status:

Special Concern

IUCN Status:

NE - Not evaluated

Range:

The range of the Kentucky Creekshell consists of 250-1000 square km in the Green River system in Kentucky where it inhabits a few sites in the Green River and in a direct tributary, as well as a limited number of tributaries of the Nolin, Rough, and Barren rivers, and peripheral sites which support a smaller, chunkier form in the Nolin, Gasper, and Red Rivers. This species occurred historically in Beaver Creek, but that population is likely extirpated (R. Cicerello, KY NHP, pers. comm., 1998, cited in NatureServe 2008).

Habitat:

This mussel occurs in small, medium-sized, and large rivers with gravel, cobble, sand, or mud substrate. In the Green River, this mussel was found in deep flowing riffles and runs with sand, gravel, and some cobble substrate (Cicerello and Hannan 1990, Cicerello and Schuster 2003).

Populations:

There are fewer than 20 populations of this mussel represented by over 100 occurrences in approximately 12 counties (R. Cicerello, pers. comm., 1998; KY NHP, pers. comm. 2007 cited in NatureServe 2008). Total population size is unknown.

Population Trends:

Within its extremely limited range, this mussel is declining in the short-term and relatively stable in the long-term. The Beaver Creek population is likely extirpated. The Kentucky State Nature Preserves Commission (2006) reports this species as declining.

Status:

The Kentucky State Nature Preserves Commission (2006) lists this species as endangered. It is ranked as imperiled by NatureServe (2008). It is ranked as special concern/vulnerable by the American Fisheries Society (Williams et al. 1993, 2010 draft, in review).

Habitat destruction:

NatureServe (2008) lists threats to this species as pollution, dredging, channelization, and quarrying, citing a personal communication from the Kentucky Natural Heritage Program (2007). The Kentucky State Nature Preserves Commission (2006) lists this species as endangered, citing habitat degradation and loss as the reason for this mussel's state status. Kentucky's Comprehensive Wildlife Conservation Strategy (2005) reports that this mussel is threatened by habitat degradation from gravel and sand removal and quarrying, stream channelization, and agricultural runoff. Suitable habitat for this mussel is fragmented (NatureServe 2008).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect this mussel.

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Scientific Name:

Villosa umbrans

Common Name:

Coosa Creekshell

G Rank:

T2

AFS Status:

Special Concern

Range:

Formerly known as *Villosa vanuxemensis umbrans*, the range of the Coosa Creekshell covers 100-250 square km (about 40-100 square miles) in Tennessee, Georgia, and Alabama. It is endemic to the upper Coosa River system occurring in the Conasauga River of the Coosa River basin in Polk Co. (Parmalee and Bogan 1998). This species was once fairly widespread, but now likely persists only in a few tributaries in the uppermost reaches of the system, primarily in Georgia (Mirarchi et al. 2004). McGregor et al. (2000) reported this species as absent from the Cahaba River, Alabama (NatureServe 2008). Williams et al. (2008) report that this mussel is known only from the Coosa River drainage above the Fall Line.

Habitat:

Mirarchi et al. (2004) describe the Coosa Creekshell's habitat as "creeks and small rivers, generally in gravel and sand substrata in shoal and riffle habitats, sometimes associated with water willow (*Justicia americana*) beds (Parmalee and Bogan 1998)." Williams et al. (2008) describe this species' habitat as small to medium rivers in mixtures of sand, gravel, and cobble substrate in moderate current.

Ecology:

The Coosa Creekshell is a long-term brooder. Known glochidial hosts include bluegill and banded sculpin, with higher transformation rates on bluegill (Mirarchi et al. 2004).

Populations:

NatureServe (2008) reports that there are from 6-20 populations of Coosa Creekshell. This mussel appears to be in decline rangewide (Mirarchi et al. 2004). It persists in the Coosa River drainage in Georgia, and might be extant in the Coosa in Alabama. In the Coosa River basin in Georgia, it is known historically from the Coosa, Etowah, Oostanaula, Conasauga, and Coosawattee River drainages (Williams and Hughes 1998). This species was recently reported from the Conasauga River inside and adjacent to the Cherokee and Chattahoochee National Forests, Polk and Bradley Cos., Tennessee, and Murray/Whitfield Cos., Georgia; as well as Holly Creek, adjacent to the Chattahoochee National Forest, Murray Co., Georgia (Johnson et al. 2005). McGregor et al. (2000) reported it absent from the Cahaba River, Alabama. Williams et al. (2008) report that it is extant only in small isolated populations in Coosa tributaries.

Population Trends:

The Coosa Creekshell is very rapidly declining (decline of 50-75 percent) in the short-term, and has also experienced a large long-term decline (decline of 75-90 percent). It appears to be in decline rangewide (Mirarchi et al. 2004).

Status:

NatureServe (2008) ranks the Coosa Creekshell as critically imperiled in Georgia, and imperiled in Alabama and Tennessee. This once widespread species now persists only in a few tributaries. It is a Species of Greatest Conservation Need in Alabama and Tennessee. Its rank is being changed

from special concern (Williams et al. 1993) to threatened (2010 draft, in review) by the American Fisheries Society.

Habitat destruction:

Mirarchi et al. (2004) state that specific habitat requirements make this species vulnerable to extinction. Many factors contribute to the loss and degradation of mussel habitat in the region where the Coosa Creekshell occurs. Flebbe et al. (1996) state: “The common factors affecting the status of aquatic species populations in the Southern Appalachians are habitat degradation and loss. Major threats to aquatic habitats and aquatic fauna include dams and the resulting reservoirs, channelization, sedimentation, and mining. Point source pollution, such as industrial waste, livestock feed lots, human sewage, and water treatment waste; and nonpoint source contaminants like fertilizer, pesticides, septic system leakage, household chemical waste, roadwash residues, and urban area runoff also contribute to the degradation and loss of aquatic resources.”

Swift et al. (1996) report that human activities which degrade mussel habitat in the Southern Appalachians include the development of human habitation and service facilities at urban, suburban, and rural sites; agricultural facilities and operations; construction, maintenance, and use of roads and highways; mining and petroleum extraction and processing sites; industrial facilities; water resources development; and forestry operations, with the majority of water quality degradation resulting from nonpoint sources, such as agricultural runoff, stormwater discharges, and landfill and mining leachate (Swift et al. 1996). The aquatic mollusk fauna in the Coosa River system has been decimated by dams, with many species having been pushed into very small ranges (Van der Schalie 1981, Neves et al. 1994, McDougal 1995 in Flebbe et al. 1996).

The Coosa Creekshell is declining on the Cherokee National Forest, where aquatic habitats have experienced degradation from logging and off-highway vehicle use (USFS 2006).

The Biological Assessment for the Alabama Power Company Coosa River Relicensing Projects states that the project is likely to adversely affect listed freshwater snails and mussels in the Coosa River, and that mussels in the Coosa have declined due to habitat modification, sedimentation, eutrophication, and water quality degradation (Alabama Power Company 2007).

Inadequacy of existing regulatory mechanisms:

There are no existing regulatory mechanisms that protect the Coosa Creekshell. It is a Species of Greatest Conservation Need in Alabama and Tennessee, but this designation does not provide the species with any regulatory protection. It has no state status in Georgia. It occurs in the Conasauga River inside and adjacent to the Cherokee and Chattahoochee National Forests in Tennessee and Georgia, but this does not necessarily confer any habitat protection (Johnson et al., 2005, NatureServe 2008).

Other factors:

The Coosa Creekshell is threatened by any factor which degrades water quality or negatively impacts host fish populations. This species is potentially threatened by invasive species. Flebbe et al. (1996) state: “Introduced species, such as the zebra mussel (*Dreissena polymorpha*), will play a major role in determining the composition and decline of native aquatic communities (in Southern Appalachia) in the future. Industrial pollution and contaminants also threaten mussels in the region where the Coosa Creekshell occurs (Swift et al. 1996, Flebbe et al. 1996). There is a PCB fish consumption advisory for the Coosa River in Alabama and Georgia (Swift et al. 1996).

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Scientific Name:

Waldsteinia lobata

Common Name:

Lobed Barren-strawberry

G Rank:

G2

Range:

Also known as the Piedmont barren strawberry, this species is endemic to a small range within the Piedmont and Blue Ridge Mountains of Georgia, adjoining areas of South Carolina, and Alabama. Historic records indicate *W. lobata* was once present in North Carolina, but recent confirmation is unavailable. This species is currently confirmed in Lee County, Alabama, Carroll, Dawson, DeKalb, Douglas, Fulton, Gwinnett, Habersham, Heard, Morgan, Pickens, Stephens, Taylor, Upson, and Wilkinson Counties, Georgia, and in Oconee County, South Carolina (NatureServe 2008, AL NHP 2008).

Habitat:

This strawberry is found in rocky, acidic woodlands along or above streams, and often forms part of the layer of moss that forms in conditions of high humidity and shade. It often occurs with *Rhododendron* spp. and mountain laurel (*Kalmia latifolia*) (NatureServe 2008). More rarely it can be found in drier upland oak-hickory-pine woodlands (Patrick et al. 1995).

Ecology:

This perennial berry blooms in May and June.

Populations:

No reports of total number of occurrences or overall population size are available.

Population Trends:

This species is in decline (NatureServe 2008).

Status:

This plant is endemic to a small range, declining, and threatened by numerous anthropogenic factors. NatureServe (2008) ranks *W. lobata* as critically imperiled in Alabama and North Carolina, imperiled in Georgia, and vulnerable in South Carolina. It is also listed as threatened in Georgia.

Habitat destruction:

This species is threatened by habitat destruction, primarily caused by logging, which in addition to outrightly destroying habitat, alters patterns of succession - weedy, fast-growing species favored by forest clearing outcompete *W. lobata* and contribute to local extirpation. Threats are especially severe on private lands (Southern Appalachian Species Viability Project 2002).

Inadequacy of existing regulatory mechanisms:

Though it is listed as threatened in Georgia, this designation affords *W. lobata* no significant regulatory protection; no existing regulatory mechanisms adequately protect this species.

Other factors:

This plant is threatened in parts of its range by invasive exotics such as Japanese honeysuckle (*Lonicera japonica*) (Southern Appalachian Species Viability Project 2002).

References:

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Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

Scientific Name:

Xyris longisepala

Common Name:

Kral's Yellow-eyed-grass

G Rank:

G2

Range:

This plant is endemic to a small range in the Florida panhandle and adjacent areas of Alabama. Most documented occurrences are from Washington and Bay Counties, Florida, but natural heritage records also show this species to be present in Leon and Okaloosa Counties, Florida, and in Covington County, Alabama (NatureServe 2008).

Habitat:

This grass is found on the moist or wet sandy shores of limesink lakes, sandhill upland lakes, and sinkhole ponds (Kral 1983). It occurs amongst other shrubby vegetation, though under suitable conditions, *X. longisepala* may form dense or more extensive meadows (NatureServe 2008).

Ecology:

This grass germinates easily during periods of low water, and may then become abundant at the waterline. It flowers July-November (NatureServe 2008).

Populations:

There seems to be no strong consensus on the the total number of occurrences of this species across its range, but certainly fewer than 100 are known, and there are probably fewer than 50. The vast majority of these populations are in Florida; only 2 occurrences are currently documented in Alabama (NatureServe 2008).

Population Trends:

Populations are in decline across this species' range, particularly in Alabama (NatureServe 2008).

Status:

This grass is restricted to a small range within which it is declining. Habitat specificity makes it highly sensitive to the loss of habitat. NatureServe (2008) ranks this species as critically imperiled in both Alabama and Florida. It is also listed as endangered in Florida.

Habitat destruction:

This species is threatened by a variety of anthropogenic factors that destroy and degrade habitat: conversion of habitat to residential developments, silvicultural plantations, or agricultural fields or pasture are the primary causes of outright habitat destruction, but negative ecological effects emanate from these land uses to degrade peripheral habitat through sedimentation, pollution, and dispersed human activity. Recreational ORV use on or near pond shores destroys habitat and/or individual plants, and is reportedly a significant threat to *X. longisepala* in some parts of its range (NatureServe 2008). Very restrictive habitat preferences limit this species' ability to recover from habitat loss.

Inadequacy of existing regulatory mechanisms:

This species occurs in the Apalachicola National Forest and on the Eglin Air Force Base, but these sites not be adequately protected from human disturbance. Though it is listed as endangered in Florida, this designation offers *X. longisepala* no significant regulatory protections; no existing

regulatory mechanisms sufficiently address the protection of this species or its habitat.

References:

Kral, R. 1983. A report on some rare, threatened, or endangered forest-related vascular plants of the South. U.S. Dept. of Agriculture Forest Service Technical Publication R8-TP2, Athens, GA. 1305 pp.

NatureServe. 2008. NatureServe Explorer: An online encyclopedia of life. Version 7.1. NatureServe, Arlington, Virginia. Available <http://www.natureserve.org/explorer>. Accessed December 16, 2009.

Southern Appalachian Species Viability Project. 2002. A partnership between the U.S. Forest Service-Region 8, Natural Heritage Programs in the Southeast, NatureServe, and independent scientists to develop and review data on 1300+ regionally and locally rare species in the Southern Appalachian and Alabama region. Database (Access 97) provided to the U.S. Forest Service by NatureServe, Durham, North Carolina.

Shale gas development has the potential to cause adverse health impacts.¹ But due to a well-orchestrated set of exemptions this industry received from key federal public health laws², these health issues have only recently begun to come to light.³

Reports of ill health in impacted people became evident over recent years, despite the lack of involvement from federal and state public health and environmental departments. Lists were generated by activists (List of the Harmed)⁴ and surveys compiled (Earthworks' Survey of Health Impacts)⁵. A Health Impact Assessment⁶ started in Battlement Mesa Colorado showed that air pollution was a stressor and particularly significant. And there is still no mechanism in place to monitor or track the health and environmental impacts from gas drilling operations, including the economic costs.

In 2012 Congress commissioned a report⁷ which found that accidents happen and violations occur in this industry frequently, and even the best regulations have not prevented environmental disasters.

Physicians, Scientists and Engineers for Healthy Energy published an analysis of the peer-reviewed literature in 2015. Their results, as of 2015, indicated that at least 685 papers have been published in peer-reviewed scientific journals that are relevant to assessing the impacts of unconventional natural gas development (UNGD). 84% of public health studies contain findings that indicate public health hazards, elevated risks, or adverse health outcomes; 69% of water quality studies contain findings that indicate potential, positive association, or actual incidence of water contamination; and 87% of air quality studies contain findings that indicate elevated air pollutant emissions and/or atmospheric concentrations.^{8 9} There are, as of yesterday, 1395 peer-reviewed studies on fracking in the PSE for Healthy Energy database.¹⁰

Concerned Health Professionals of New York just completed the fifth edition of a compendium on the risks and health impacts of fracking. It will be available on our site shortly; meanwhile, the fourth edition

¹ Shonkoff et al. April 2014. Environmental Public Health Dimensions of Shale and Tight Gas Development. EnvHealthPerspectives. Access at: <http://dx.doi.org/10.1289/ehp.1307866>

² <http://www.ewg.org/research/free-pass-oil-and-gas/oil-and-gas-industry-exemptions>

³ Rabinowitz et al. Sept 2014. Proximity to Natural Gas Wells and Reported Health Status: Results of a Household Survey in Washington County, Pennsylvania. EHP. Access at: <http://dx.doi.org/10.1289/ehp.1307732>

⁴ <http://pennsylvaniaallianceforcleanwaterandair.wordpress.com/the-list/>

⁵ Steinzor, N, et al., Investigating Links Between Shale Gas Impacts and Health through a Community Survey Project in Pennsylvania, New Solutions, Vol. 23(1) 55-83 (May 2013). Access at:

<http://www.earthworksaction.org/files/publications/SteinzorSubraSumiShaleGasHealthImpacts2013.pdf>

⁶ Witter R, et al, Battlement Mesa HIA 2011 <http://www.garfield-county.com/environmental-health/battlement-mesa-health-impact-assessment-draft2.aspx>

⁷ http://democrats.naturalresources.house.gov/sites/democrats.naturalresources.house.gov/files/2012-02-08_RPT_DrillingDysfunction.pdf

⁸ <http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0154164>

⁹ <https://www.psehealthyenergy.org/our-work/publications/archive/the-science-on-shale-gas-development/>

¹⁰ <https://www.psehealthyenergy.org/our-work/shale-gas-research-library/>

is there,¹¹ as well as a preview of the fifth edition's water section.¹² The Compendium of Scientific, Medical, and Media Findings Demonstrating Risks and Harms of Fracking (the Compendium) is a fully referenced compilation of the evidence outlining the risks and harms of fracking. It is a public, open-access document that is housed on the websites of Concerned Health Professionals of New York (www.concernedhealthny.org) and Physicians for Social Responsibility (www.psr.org). For this fifth edition of the Compendium, as before, we collected and compiled findings from three sources: articles from peer-reviewed medical or scientific journals; investigative reports by journalists; and reports from or commissioned by government agencies. Peer-reviewed articles were identified through databases such as PubMed and Web of Science, and from within the PSE Healthy Energy database. The studies and investigations referenced in the dated entries catalogued in Compilation of Studies & Findings are current through December 2017.

Following is an excerpt from the section titled **Emerging Trends: Fracking and the disposal of fracking waste threaten drinking water.**

"Cases of drinking water sources contaminated by drilling and fracking activities, or by associated waste disposal, are now proven. The U.S. Environmental Protection Agency (EPA)'s assessment of fracking's impacts on drinking water resources confirmed specific instances of water contamination caused by drilling and fracking-related activities and identified the various pathways by which this contamination has occurred: spills; discharge of fracking waste into rivers and streams; and underground migration of chemicals, including gas, into drinking water wells. Independently, researchers working in Texas found 19 different fracking-related contaminants—including cancer-causing benzene—in hundreds of drinking water samples collected from the aquifer overlying the heavily drilled Barnett Shale, thereby documenting widespread water contamination. In Pennsylvania, a solvent used in fracking fluid was found in drinking water wells near drilling and fracking operations known to have well casing problems. In California, state regulators admitted that they had mistakenly allowed oil companies to inject drilling wastewater into aquifers containing clean, potable water. A 2017 study found that fracking wastewater discharged to rivers and streams through treatment plants created dozens of brominated and iodinated disinfection byproducts that are particularly toxic and "raise concerns regarding human health." As we go to press, researchers report on the discovery of opportunistic, pathogenic bacteria in fracking-impacted water wells in Texas and raise questions about fracking's effects on the microbial ecology of aquifers."¹³

And in the section on water contamination, we write:

"Substantial evidence shows that drilling and fracking activities, and associated wastewater disposal practices, inherently threaten groundwater and have polluted drinking water sources, as confirmed by the U.S. Environmental Protection Agency's 2016 final report on the impacts of fracking on the nation's drinking water. Repudiating industry claims of risk-free fracking,

¹¹ <http://concernedhealthny.org/compendium/>

¹² http://concernedhealthny.org/wp-content/uploads/2018/01/Compendium5_0WaterExcerpt_Florida_Launch_FINAL.pdf

¹³ [Ibid.](#)

studies from across the United States present irrefutable evidence that groundwater contamination occurs as a result of fracking activities and is more likely to occur close to well pads. In Pennsylvania alone, the state has determined that more than 300 private drinking water wells have been contaminated or otherwise impacted as the result of drilling and fracking operations over an eight-year period. As determined by the U.S. Agency for Toxic Substances and Disease Registry, the chemical contamination of some private water wells in Dimock, Pennsylvania posed demonstrable health risks, rendering the water unsuitable for drinking.

“Evidence on instances and pathways of water contamination exist even though scientific inquiry is impeded by industry secrecy and regulatory exemptions. The 2005 Energy Policy Act exempts hydraulic fracturing from key provisions of the Safe Drinking Water Act. As a result, fracking chemicals have been protected from public scrutiny as “trade secrets.” The oil and gas sector is the only U.S. industry permitted to inject known hazardous materials near, or directly into, underground drinking water aquifers. At the same time, in most states where fracking occurs, routine monitoring of groundwater aquifers near drilling and fracking operations is not required, nor are companies compelled to fully disclose the identity of chemicals used in fracking fluid, their quantities, or their fate once injected underground.

“Nevertheless, of the more 1,000 chemicals that are confirmed ingredients in fracking fluid, an estimated 100 are known endocrine disruptors, acting as reproductive and developmental toxicants. Adding to this mix are heavy metals, radioactive elements, brine, and volatile organic compounds, which occur naturally in deep geological formations and which can be carried up from the fracking zone with the flowback fluid. As components of the fracking waste stream, these toxic substances also pose threats to surface water and groundwater. A 2017 study found that spills of fracking fluids and fracking wastewater are common, documenting 6,678 significant spills occurring over a period of nine years in four states alone. In these states, between 2 and 16 percent of wells report spills each year. About 5 percent of all fracking waste is lost to spills, often during transport. Spills and intentional discharges of fracking waste into surface water have profoundly altered the chemistry and ecology of streams throughout entire watersheds, increasing downstream levels of radioactive elements, heavy metals, endocrine disruptors, toxic disinfection byproducts, and acidity, and decreasing aquatic biodiversity and populations of sensitive fish species, such as brook trout. New studies documenting changes in the bacterial flora in groundwater following drilling and fracking operations represent an emerging area of concern.”¹⁴

Two years ago NY State DOH Commissioner, Dr Zucker, advised Governor Cuomo not to approve high volume hydraulic fracturing in NY because of the potential health risks, and he based it on the science.¹⁵ The State of Maryland permanently banned fracking after 2 years of study, based on the potential for

¹⁴ [Ibid.](#)

¹⁵ http://www.health.ny.gov/press/reports/docs/high_volume_hydraulic_fracturing.pdf

adverse public health and environmental impacts.¹⁶ The EPA HF study has been completed and shows that water has, in fact, been contaminated.¹⁷

Most importantly, there are many people who have already been impacted in states where gas extraction using high volume hydraulic fracturing is permitted. We must carefully study the scientific information that is fundamental to making informed decisions. As we review the studies already completed, and speak with impacted people, we are increasingly aware that fracking causes stressors on health that cannot be mitigated.

For these reasons (and with more detail provided below) the moratorium on gas extraction using high volume hydraulic fracturing must become permanent. That should include a prohibition on water withdrawals and the importation of fracking waste.

Rulemaking

The Commission is currently proposing to amend its Special Regulations by the addition of a section on hydraulic fracturing in shale and other rock formations, including the wise decision to prohibit high volume hydraulic fracturing in shale and other rock formations. However, the following two provisions: 1) water use for hydraulic fracturing; and 2) the management of produced water from hydraulic fracturing should be more protective. Both the water acquisition from the DRB and the importation of waste from hydraulic fracturing operations into the DRB should be prohibited.

In the Rulemaking Notice, the Commission writes: “The data available on produced water (including flowback) from hydraulically fractured wells in the Marcellus formation indicate that this waste stream is unlike other industrial and domestic waste streams treated and discharged in the Delaware River Basin, and that it poses significant risks to human health and the environment if improperly handled.”¹⁸ Yet, the DRBC is proposing a rule that “provides that this material may not be transferred to, treated by or discharged from or to a new or existing wastewater treatment facility located within the Delaware River Basin, at any volume or rate, **except** in accordance with an approval in the form of a docket issued by the Commission to the owner or operator of the wastewater treatment facility or in accordance with a state permit issued pursuant to a duly adopted administrative agreement between the Commission and the host state.”¹⁹ There is no good reason to accept fracking waste. It should not only be “discouraged” but prohibited.

The following is from the **Water withdrawals** section in the Rulemaking Notice, and the Commission makes a very good case for prohibition of water withdrawal.

“The acquisition of water for use in HVHF may result in modifications to groundwater levels, surface water levels, and stream flows. The Susquehanna River Basin Commission (SRBC) has reported that for the period 2008 through 2013 an average of 4.3 million gallons of water were injected per fracturing event in natural gas wells within the Susquehanna basin. During the same period, 84 percent of injected water was “fresh” water from surface water and groundwater sources, and the remaining 16 percent

¹⁶ <http://thinkprogress.org/climate/2015/05/29/3664098/larry-hogan-maryland-fracking-ban/>

¹⁷ <https://www.epa.gov/hfstudy>

¹⁸ <http://www.state.nj.us/drbc/library/documents/HydraulicFracturing/RulemakingNotice113017.pdf> p14.

¹⁹ Ibid.

was recycled produced water or flowback water. According to EPA, the median volume of water used per well fracturing event in Pennsylvania between January 2011 and February 2013 was 4.18 million gallons. EPA further reports that in at least 10 percent of cases, the water use in Pennsylvania during the same period was over 6.6 million gallons per well. EPA has reported that in the Marcellus formation in Pennsylvania, 82 to 90 percent of the base fluid used for hydraulic fracturing is fresh water that is naturally occurring and that the remaining base fluids (10 to 18 percent) are reused and recycled produced water. Advances in horizontal drilling technology are leading to longer drill paths and the need for more fracturing fluid volumes for each path. According to SRBC, when the industry began lengthening its lateral well bores in 2013, the average amount of water used per fracturing event increased to approximately 5.1 to 6.5 million gallons per fracturing event.²⁰

“Withdrawals from surface and ground water in the amounts required for HVHF may adversely affect aquatic ecosystems and river channel and riparian resources downstream, including wetlands, and may diminish the quantity of water stored in an aquifer or a stream’s capacity to assimilate pollutants. Because HVHF operations may significantly increase the volume of water withdrawn in a localized area, they may ultimately upset the balance between the demand on water resources and the availability of those resources for uses protected by the Commission’s comprehensive plan, particularly during periods of low precipitation or drought.”²¹

“Consumptive use - In contrast with most domestic and commercial water use, most water used for HVHF is used “consumptively,” meaning it is not returned to the basin’s usable ground or surface waters. According to the EPA, water accounts for 90 to 97 percent of all hydraulic fracturing fluids injected into a well for the purpose of extracting natural gas. EPA reports further that produced water, or water that flows from and through oil and gas wells to the surface as a by-product of oil and gas production over a ten-year operations period, makes up only 10 to 30 percent of the fluid injected. Accordingly, EPA estimates that 70 to 90 percent of the water used in high volume hydraulic fracturing is permanently removed from the water cycle. The SRBC’s estimate is higher. SRBC reports that approximately 96 percent of water withdrawn by the natural gas industry is consumptively used in the hydraulic fracturing process and that the balance of the water is consumptively used for other activities at the drilling pads, such as well drilling, preparation of drilling muds and grout, dust control, maintenance operations, and site reclamation.”²²

Wastewater handling and disposal, also in the Rulemaking Notice, is well-referenced (see the linked Notice for references) and again, makes a very good case for prohibition of this activity.

“Produced water” (including “flowback” water) refers to any water or fluid returned to the surface through the production well as a waste product of hydraulic fracturing. This material may be stored in tanks or other containers on the pad site before it is transferred for off-site treatment and/or disposal. The composition of produced water depends on the composition of the injected hydraulic fracturing fluid and the composition of the target formation. In the Marcellus region, produced water is generated in large quantities and often contains high concentrations of total dissolved solids (TDS or “salts”) and constituents that may be harmful to human health and the environment. Produced water from HVHF in the Marcellus formation has been found to contain: • Salts, including chloride, bromide, sulfate, sodium,

²⁰ <http://www.state.nj.us/drbc/library/documents/HydraulicFracturing/RulemakingNotice113017.pdf> pp6-7

²¹ Ibid.

²² Ibid.

magnesium, and calcium; • Metals, including barium, manganese, iron, and strontium; • Naturally-occurring organic compounds, including benzene, toluene, ethylbenzene, xylenes(BTEX), and oil and grease; • Radioactive materials, including radium; and • Hydraulic fracturing chemicals and their chemical transformation products.”²³

“The disposal of produced water poses a significant risk to the water resources of the basin if the wastewater is not properly managed. The concentration of TDS in produced water can be high enough that if discharged untreated to surface water, the potential exists to adversely affect designated uses of surface water, including drinking water, aquatic life support, livestock watering, irrigation, and industrial use. Because produced water contains high TDS and dissolved inorganic constituents that most publicly owned treatment works and other municipal wastewater treatment facilities are not designed to remove, these constituents can be discharged untreated from such facilities; can disrupt treatment processes, for example by inhibiting biological treatment; can accumulate in biosolids (sewage sludge), limiting their beneficial use; and can facilitate the formation of harmful disinfection byproducts. Where produced water has been discharged to domestic wastewater treatment facilities in the past, elevated concentrations of chloride and bromide have been documented in the receiving waters. The discharge of bromide upstream of drinking water intakes has led in documented instances to the formation of carcinogenic disinfection by-products at drinking water utilities.”²⁴

Of serious concern are the hundreds of chemicals used in gas exploration and production which are not disclosed and which include many toxic chemicals. Dr Theo Colborn²⁵ has written about the chemicals that are toxic. They include benzene (a known carcinogen), ethylbenzene, toluene (causes miscarriages, placenta previa), xylene, diesel (recently classified by WHO as a carcinogen), naphthalene (a neurotoxin and carcinogen), polynuclear aromatic hydrocarbons (carcinogens), formaldehyde (known carcinogen), 2-Butoxyethanol (hemopoietic dyscrasias; carcinogenesis), and 2-BE is the active component of Corexit which was used as a dispersant in the Exxon Valdez and BP Gulf disasters and is used in all phases of gas extraction.

Waste from fracking operations is exempt from federal oversight.^{26 27}

It has been documented that disposal in underground injection wells has caused earthquakes.^{28 29 30 31}

Our Compendium section on water contamination provides forty pages of references,³² the majority of which show definitive risk of contamination.

²³ <http://www.state.nj.us/drbc/library/documents/HydraulicFracturing/RulemakingNotice113017.pdf> p9.

²⁴ Ibid.

²⁵ <http://endocrinedisruption.org/assets/media/documents/cP02591Colborn20021022coalbedmethane2-BEcomments.pdf>

²⁶ <http://www.epa.gov/osw/nonhaz/industrial/special/oil/oil-gas.pdf>

²⁷ Horwitt, D. 2016. Toxic Secrets Companies Exploit Weak US Chemical Rules to Hide Fracking Risks. http://www.pfpi.net/wp-content/uploads/2016/04/PFPI_ToxicSecrets_4-7-2016.pdf

²⁸ Katie M. Keranen, Heather M. Savage, and Geoffrey A. Abers et al., “Potentially Induced Earthquakes in Oklahoma, USA: Links between Wastewater Injection and the 2011 Mw 5.7 Earthquake Sequence,” *Geology*, vol. 41, no. 3 (March 26, 2013)

²⁹ <http://geology.gsapubs.org/content/early/2013/03/26/G34045.1.abstract>

³⁰ <http://www.ldeo.columbia.edu/news-events/wastewater-injection-spurred-biggest-earthquake-yet-says-study>

³¹ <http://stateimpact.npr.org/texas/tag/earthquake>

³² http://concernedhealthny.org/wp-content/uploads/2018/01/Compendium5_0WaterExcerpt_Florida_Launch_FINAL.pdf

Radioactivity

Brown has reviewed the issue of radioactivity in waste.³³

The International Atomic Energy Agency³⁴ and the International Commission of Radiation Protection have recommendations regarding radioactivity at oil and gas mining sites, and most countries which are members adhere to the recommendations. The US is a member but has instead exempted from federal oversight through RCRA (Resource Conservation and Recovery Act) the materials that come from down-hole which are, in many cases, radioactive.³⁵

EPA region 3 reports that radium, measured as gross alpha and beta, in flowback water and produced waste in Pennsylvania wells, is significantly higher than in other shales.

The graphs found here, from a USGS report, illustrate the high radioactivity in Marcellus shale.³⁶

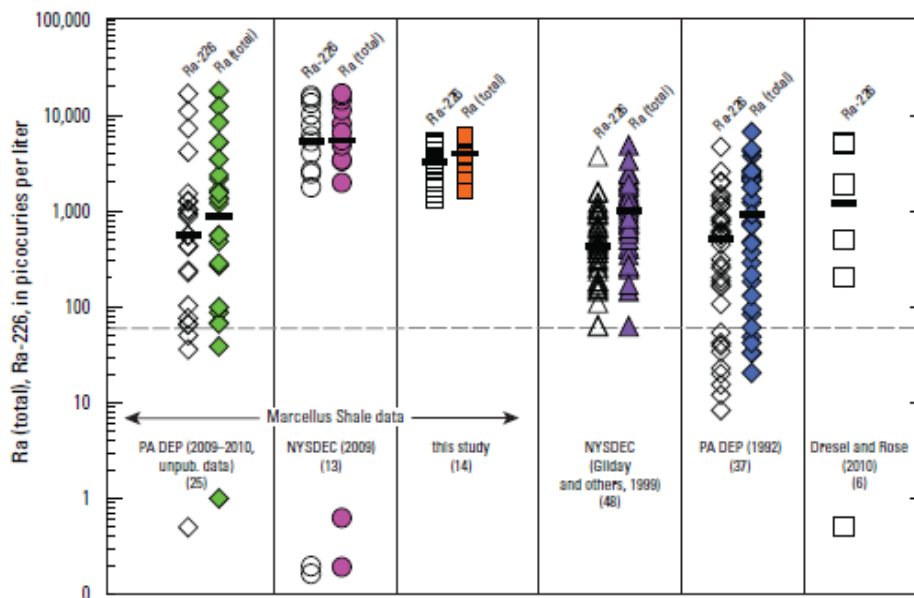


Figure 4. Measured activities for total radium (Ra-226 + Ra-228) and Ra-226 for each of the data sources used in the study. The three datasets for produced water from Marcellus Shale wells are shown on the left; the remaining three datasets are for non-Marcellus Shale wells. The number of points in each dataset is shown in parentheses, and the median values are plotted as heavy black lines. For reference, the dashed line shows the industrial effluent discharge limit (60 pCi/L) for Ra-226 (U.S. Nuclear Regulatory Commission, <http://www.nrc.gov/reading-rm/doc-collections/cfr/part020/appb/Radium-226.html>).

In the 2008 publication of the International Association of Oil & Gas Producers, the authors wrote: “During the production process, NORM flows with the oil, gas and water mixture and accumulates in scale, sludge and scrapings. It can also form a thin film on the interior surfaces of gas processing

³³ Brown VJ. 2014. Radionuclides in fracking wastewater: managing a toxic blend. *Environ Health Perspect* 122:A50–A55; <http://dx.doi.org/10.1289/ehp.122-A50>

³⁴ Recommendations from the International Atomic Energy Agency (IAEA) http://www-pub.iaea.org/MTCD/publications/PDF/TCS-40_web.pdf

³⁵ Federal exemption <http://www.epa.gov/osw/nonhaz/industrial/special/oil/oil-gas.pdf>

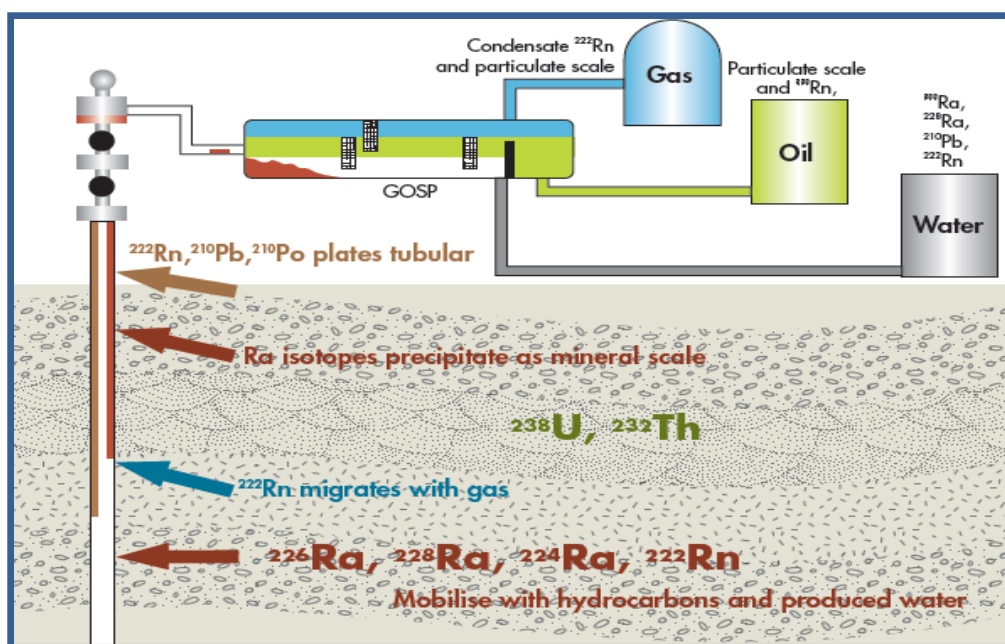
³⁶ <http://pubs.usgs.gov/sir/2011/5135/pdf/sir2011-5135.pdf>

equipment and vessels. The level of NORM accumulation can vary substantially from one facility to another depending on geological formation, operational and other factors... NORM may accumulate, e.g. at wellheads in the form of scale; at Gas/Oil Separation Plants (GOSP) in the form of sludge; and at gas plants the form of thin films as the result of radon gas decay.

"...radionuclides such as Lead-210 and Polonium-210 can...be found in pipelines scrapings as well as sludge accumulating in tank bottoms, gas/oil separators, dehydration vessels, liquid natural gas (LNG) storage tanks and in waste pits as well as in crude oil pipeline scrapings."³⁷

This graph from the same publication shows the origins of NORM, as well as where NORM can accumulate.

Figure 1.1 The origins of NORM, indicating where NORM may accumulate in the recovery process.



In January 2015, PA DEP released their TENORM report³⁸. The DEP was quick to issue a press memo assuring that "There is Little Potential for Radiation Exposure from Oil and Gas Development".³⁹ Upon careful review of the report and the appendices, it was clear that there were elevated levels of radium and radon which needed to be mitigated; some areas should even be posted as radioactive areas, as per OSHA regulations.⁴⁰ The report has since undergone changes.

³⁷ OGP, "Guidelines for the management of Naturally Occurring Radioactive Material (NORM) in the oil & gas industry" International Association of Oil & Gas Producers, Report No. 412, September 2008 <http://www.ogp.org.uk/pubs/412.pdf>

³⁸ <http://www.dep.pa.gov/Business/Energy/OilandGasPrograms/OilandGasMgmt/Oil-and-Gas-Related-Topics/Pages/Radiation-Protection.aspx>

³⁹ <http://files.dep.state.pa.us/OilGas/BOGM/BOGMPortalFiles/RadiationProtection/rls-DEP-TENORM-01xx15AW.pdf>

⁴⁰ <https://www.osha.gov/SLTC/radiationionizing/standards.html>

In the PA DEP report, wastewater treatment plants reported the following numbers for liquid waste Ra226:

Figure 4-1. CWT Influent and Effluent Liquid Ra-226 Minimum, Maximum, and Average

Wastewater Source	Filtered or Not	Min (pCi/L)	Max (pCi/L)	Ave (pCi/L)
Effluent	Filtered	18.0	14,900	2,100
Effluent	Unfiltered	42.0	15,500	1,840
Influent	Filtered	57.0	14,100	2,350
Influent	Unfiltered	17.5	13,400	1,870

It is clear that workers at wastewater treatment plants handling gas waste are being exposed to high radiation doses. “The maximum gamma radiation exposure rate measured was 502 μ rem/hr on contact with the outside of a wastewater tank. Work in proximity of the tank could potentially result in an exposure of 100 mrem in 200 hours of annual exposure or 10 percent of an employee’s 2,000-hour occupational year.”⁴¹

The method measuring Radium 226 and 228 and their progeny has recently received scrutiny, and a new set of methods has been developed by the EPA in collaboration with Nelson and Schultz at the University of Iowa⁴². The FPWHFO (flowback and produced water in hydraulic fracturing operations) matrix is considered to be a particularly challenging one due to its extremely high dissolved solids content and its complexity. This new method addresses that complexity.

In brief, the calculations done using the older EPA methods have likely significantly underestimated the radium content of flowback and produced water. Note that the methods used to detect radium in the USGS report⁴³ and in this recent PA DEP report on radioactivity⁴⁴ (using EPA methods 900 - 904⁴⁵) may have underestimated the radium content because of the high salinity in the samples.

The gas which enters the pipeline carries gaseous radon with it; and as radon decays within the pipeline, the solid daughter elements, polonium and lead, accumulate along the interior of the pipes. There is concern that the gas transiting, and being compressed, will have radioactivity levels which will be a risk not only to the workers at these stations and along the pipeline, but potentially also to the residents.

Radon was measured at various locations around POTW plants “...at various indoor locations such as break rooms, labs, offices, etc., ...The results ranged from 0.2 to 8.7 pCi/L.”⁴⁶

⁴¹ http://www.elibrary.dep.state.pa.us/dsweb/Get/Document-105822/PA-DEP-TENORM-Study_Report_Rev_0_01-15-2015.pdf pg 4-8

⁴² http://www2.epa.gov/sites/production/files/2014-08/documents/epa-600-r-14-107_-_gross_alpha_-_gross_beta_508_km_08-08-2014.pdf

⁴³ <http://pubs.usgs.gov/sir/2011/5135/pdf/sir2011-5135.pdf>

⁴⁴ http://www.portal.state.pa.us/portal/server.pt/community/oil_gas_related_topics/20349/radiation_protection/986697

⁴⁵ http://files.dep.state.pa.us/OilGas/BOGM/BOGMPortalFiles/RadiationProtection/Sampling_and_Analysis_Plan-Part-II-Quality_Assurance_Project_Plan.pdf

⁴⁶ Ibid pg 4-3

Radon has a short half-life (3.8 days) but its decay products, lead and polonium, have relatively long half-lives of 22.6 years and 138 days respectively. Lead causes neurologic and hematologic toxicity, and death; polonium causes cancer and death.⁴⁷ Radon and its radioactive decay products enter the body primarily through inhalation. Most of the radon is exhaled prior to radioactive decay but some of the solid radioactive polonium and lead remain in the lungs and may cause cancer. "Ninety-nine % of the health effects are caused by radon's daughter products; of most significance are the four short-lived ones, polonium-218 to polonium-214 inclusive, which are referred to as radon daughters, radon progeny, or radon decay products."⁴⁸

Following is a description of the fate of radon in a processing plant; however, similar activities occur at a compressor station. Both compressors and processing plants dot Pennsylvania's landscape. "Radon enters the ... piping where it decays into radioactive particulates that are deposited in the piping. During the working lifetime of a ... plant, radon is constantly entering the system and adding to the level of radioactive progeny. Most radon progeny are short-lived, so when a ... plant ceases operations, the short-lived progeny decay quickly. These short-lived radionuclides are the ones that produce the signature gamma ray spectrum that can be detected easily on the outside of the piping. As the short-lived radon progeny decays, it becomes more and more difficult to detect activity from the outside of pipes and tanks, even though there may be detectable radiation on the inside. As the short half-lived progeny decay away, the only radionuclides remaining are the relatively long-lived 210Pb (T_{1/2} 21 y) and its progeny. 210Pb emits a gamma ray at 47 keV and has a transmission of only about 10⁻⁷ to 10⁻⁶ through a schedule-40 pipe. Unless the pipe had an access point, internal contamination might not be detectable from the outside."⁴⁹

During production radon usually follows the gas stream. "If the natural gas is fractionated, a disproportionately high percentage of radon can concentrate in the propane streams and to a lesser degree in the ethane streams. Radon-222 produces, through natural decay, several radioactive nuclides (also known as radon progeny). Most radon progeny are short-lived, with the exception of Lead-210 and Polonium-210, which have relatively long half-lives.... Most of the radon decay products (90-99%) are attached to ambient aerosols, airborne particulates or surfaces. This can result in forming thin radioactive films on the inner surfaces of gas processing equipment such as scrubbers, compressors, reflux pumps, control valves and product lines."⁵⁰

⁴⁷ National Academy of Sciences 1988 report: Health Risks of Radon and Other Internally Deposited Alpha-Emitters: BEIR IV, page 5

⁴⁸ http://www.inive.org/medias/ECA/ECA_Report15.pdf pg 9

⁴⁹ Krieger. 2005. <http://radonattahoe.com/TENORM.pdf>

⁵⁰ OGP. 2006. <http://www.ogp.org.uk/pubs/412.pdf>

Activity concentration of ^{222}Rn , ^{210}Pb and ^{210}Po in natural gas (Reference 1)

Radionuclide	Reported Range (Bq/m ³)
^{222}Rn	5 – 200,000
^{210}Pb	0.005 – 0.02
^{210}Po	0.002 – 0.08

Activity concentration of ^{210}Pb and ^{210}Po in NGL/hydrocarbon condensate (Reference 1)

Radionuclide	Reported Range (Bq/l)
^{222}Rn (NGL)	0.01 – 1,500
^{222}Rn (C3 -liq)	0.01 – 4,200
^{210}Pb	0.3 – 230
^{210}Po	0.3 – 100

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In 2013, samples of natural gas were analyzed for Spectra and submitted to FERC (public record). The results are as follows:

Results of Samples for Spectra Energy

Date	Location	Analyzed ¹	Rn Conc (pCi/L) ²	MDC (pCi/L) ³
12/3/2013	Bechtelsville	12/4 - 12/5	29.9 ± 3.2	0.1
	Bechtelsville	12/11 - 12/12	29.4 ± 3.1	0.3
12/3/2013	Blank	12/4 - 12/5	0.16 ± 0.04	0.07
	Blank	12/11 - 12/12	0.19 ± 0.04	0.27
12/12/2013	Staten Island	12/13 - 12/14	20.6 ± 2.2	0.1
	Staten Island	12/23 - 12/24	20.5 ± 2.2	0.5
12/12/2013	Jersey City	12/13 - 12/14	20.7 ± 2.2	0.1
	Jersey City	12/23 - 12/24	20.4 ± 2.2	0.5
12/12/2013	Blank	12/27 - 12/28	-0.16 ± 0.04	6.69
	Blank	12/31 - 1/1/14	1.38 ± 0.15	12.86
12/16/2013	Ramapo	12/17 - 12/18	26.1 ± 2.8	0.1
	Ramapo	12/26 - 12/27	26.4 ± 2.8	0.4
12/16/2013	Mahwah	12/17 - 12/18	23.0 ± 2.5	0.1
	Mahwah	12/17 - 12/18	23.3 ± 2.5	0.4
12/16/2013	Blank	12/27 - 12/28	-0.23 ± 0.05	0.61
	Blank	12/31 - 1/1/14	0.14 ± 0.04	1.23
12/17/2013	Line 9	12/18 - 12/19	41.6 ± 4.4	0.1
	Line 9	12/30 - 12/31	41.8 ± 4.4	0.7
12/17/2013	Blank	12/18 - 12/19	0.22 ± 0.05	0.09
	Blank	12/30 - 12/31	0.60 ± 0.07	0.76

Radon concentrations between 20 and 41 pCi/L are elevated and could have significant human health impacts.

⁵¹ <http://www.ogp.org.uk/pubs/412.pdf>

Table 3-18. Natural Gas Samples from Production Sites

Sample ID	County	Gas Source	Radon Conc. +/- 2 S.D. (pCi/L)	MDA (pCi/L)
WP 08 RG	Washington	Marcellus Shale	79.6 +/- 0.800	0.300
WP 09 RG	Washington	Marcellus Shale	78.8 +/- 4.20	0.300
WP 22 RG	Tioga	Marcellus Shale	42.8 +/- 0.200	0.100
WP 23 RG	Tioga	Marcellus Shale	39.6 +/- 0.800	0.200
WP 24 RG	Tioga	Marcellus Shale	73.8 +/- 0.400	0.200
WP 25 RG	Tioga	Marcellus Shale	44.4 +/- 2.60	0.200
WP 26 RG	Lycoming	Oriskany Sandstone	19.9 +/- 0.200	0.200
WP 27 RG	Tioga	Marcellus Shale	38.4 +/- 3.40	0.300
WP 28 RG	Tioga	Marcellus Shale	40.8 +/- 5.20	0.400
WP 16 RG	Washington	Marcellus Shale	50.0 +/- 5.20	0.300
WP 17 RG	Washington	Marcellus Shale	49.5 +/- 5.80	0.500
WP 19 RG	McKean	Upper Devonian Shale	18.3 +/- 4.40	0.400
WP 20 RG	McKean	Upper Devonian Shale	88.2 +/- 10.6	0.700
WP 21 RG	Forest	Upper Devonian Shale	92.2 +/- 6.40	0.400
WP 04 RG	Tioga	Marcellus Shale	49.6 +/- 29.6	1.20
WP 05 RG	McKean	Marcellus Shale	148 +/- 15.6	1.50
WP 12 RG	Lycoming	Marcellus Shale	37.6 +/- 33.4	2.20
WP 11 RG	Tioga	Utica	5.70 +/- 1.20	0.500
WP 29 RG	Sullivan	Marcellus Shale	23.4 +/- 4.00	0.240
WP 30 RG	Bradford	Marcellus Shale	25.5 +/- 2.70	0.200
WP 31 RG	Bradford	Marcellus Shale	3.00 +/- 1.20	0.300
WP 14 RG	Jefferson	Marcellus Shale	5.60 +/- 0.100	0.140
		Average	47.9	
		Median =	41.8	
		Standard Deviation	34.5	

Note: All results adjusted to account for the fact that Rn was counted in methane, but the scintillation cells were calibrated for Rn in air. Range of α particles is greater in methane than in air. All results divided by 1.054, according to Jenkins et. al., Health Physics, Vol. 106, No. 3, March 2014.

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When it enters the environment, radon gas "... can move to air, groundwater, and surface water. Decay products of ^{222}Rn , such as ^{218}Po and ^{214}Pb , are solids that can attach to particles in the air and be transported this way in the atmosphere. They can be deposited on land or water by settling or by rain. Radon will undergo radioactive decay in the environment."⁵³

"...radon and subsequent decay product atoms are charged and tend to attach to aerosol particles. Radon progeny are similarly charged, readily aggregate, form clusters, and attach to dust particles in air. The main health problems arise when primarily those radon progeny that are attached to dust particles (termed the attached fraction) are inhaled, deposit in the airway (particularly the tracheobronchial tree), and irradiate nearby cells repetitively with alpha particles as each atom transforms through the decay chain..."⁵⁴

⁵² <http://www.elibrary.dep.state.pa.us/dsweb/Get/Document-112658/Pennsylvania%20Department%20of%20Environmental%20Protection%20TENORM%20Study%20Report%20Rev%201.pdf>

⁵³ <http://www.atsdr.cdc.gov/ToxProfiles/tp145.pdf>

⁵⁴ Ibid, pg 16

Regarding workers at gas operations sites and radon exposure, ATSDR notes: " ...exposure to high concentrations can occur in any location with geologic radon sources. A list of common occupations that have the potential for high radon and progeny exposure ... include mine workers ... employees of water treatment plants, and radioactively contaminated sites can include ... oil refineries, power plants, and natural gas and oil piping facilities."⁵⁵

The amount of radon released by natural gas operations is not insignificant: "Fishbein (1992) has reported that coal residue and natural gas emissions release 20,000 and 10,000 Ci of ²²²Rn each year, respectively..."⁵⁶

Interestingly, "Regulations regarding the land disposal of radionuclides, as set forth in 10 CFR 61 (USNRC 2008), do not apply to radium, radon, or its daughters...regulation of radon is up to the individual states."⁵⁷

The gathering of information about radon releases has been limited. "There is no information on releases of radon to the atmosphere from manufacturing and processing facilities because these releases are not required to be reported (EPA 1998)."⁵⁸ The DRBC regulations as proposed do not address radioactivity.



⁵⁵ <http://www.atsdr.cdc.gov/ToxProfiles/tp145.pdf>, pg 124

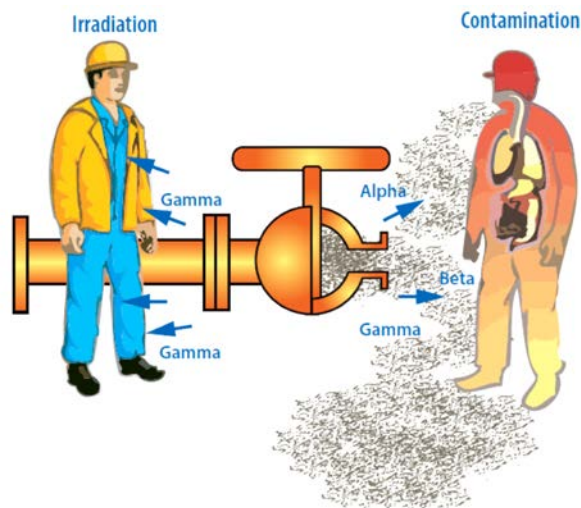
⁵⁶ <http://www.atsdr.cdc.gov/ToxProfiles/tp145.pdf>, pg 126

⁵⁷ <http://www.atsdr.cdc.gov/ToxProfiles/tp145.pdf>, pg 118

⁵⁸ Op cit, ATSDR, pg 124

As radon decays within the pipeline, the solid daughter elements, polonium and lead, accumulate inside the pipes. PCBs and other contaminants such as black powder,⁵⁹ and anaerobic microbes, do as well⁶⁰⁶¹. PIGs (Pipeline Inspection or Intervention Gauge/Gizmo/Gadget⁶²) inspect or clean out the pipe, and become repositories of these toxins. These PIGs, with pipe film, black powder, bacteria, scale and sludge, must be removed from the pipeline, stored and eventually disposed.^{63 64 65 66}

An industry video of pipeline cleaning (with PIGs) can be viewed here.⁶⁷



NORM materials may become an inhalation risk when the material is dislodged by mechanical forces, such as wire brushing, pipe rattling etc.

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At each step, precautions must be taken to avoid contaminating workers and residents.

"Natural gas plant scale typically consists of Rn decay progeny that accumulate on the interior surfaces of plant pipes and equipment ... As a result, the only radionuclides that remain and adhere to the interior surfaces of machinery/pipes are the Rn decay progeny Po-210 and Pb-210. These longer-lived

⁵⁹ Baldwin, Richard M. "Black powder problem will yield to understanding, planning." Pipeline and Gas Industry 82 (1999): 109-112. <http://muellerenvironmental.com/Documents/100-056-Black%20Powder.pdf> and Baldwin, Richard M. "Black powder control starts locally, works back to source." Pipeline & Gas Industry (1999): 81-87.

<http://www.muellerenvironmental.com/Documents/100-058%20Black%20Powder2.pdf>

⁶⁰ Mueller, Fred, and Mark Null. "Impurities in the Gas Stream." Mueller Environmental Designs, Inc. Technical Document, 2005. <http://www.muellerenvironmental.com/public/ProductDocuments.aspx>

⁶¹ Zhu, Xiang Y., John Lubeck, and John J. Kilbane. "Characterization of microbial communities in gas industry pipelines." Applied and environmental microbiology 69.9 (2003): 5354-5363. Access at <http://aem.asm.org/content/69/9/5354.full.pdf>

⁶² <http://en.wikipedia.org/wiki/Pigging>

⁶³ http://www.rigzone.com/training/insight.asp?insight_id=310&c_id=19

⁶⁴ http://www.pigtek.com/advanced_pipeline_cleaning.php

⁶⁵ Tsochatzidis, Nikolaos A., and Konstantinos E. Maroulis. "Methods help remove black powder from gas pipelines." Oil and Gas Journal 105.10 (2007): 52. <http://www.desfa.gr/files/dimosieyseis/Tsochatzidis%26MaroulisOGJMar2007.pdf>

⁶⁶ Lindner, Hubert. "A new cleaning approach for black powder removal." Piggings Products and Services Association, 2006.

<http://www.ppsa-online.com/papers/2006-Aberdeen-8-Lindner.Pdf>

⁶⁷ <http://www.cleanharbors.com/assets/downloads/videos/video-popup-pipeline-coating.html>

⁶⁸ <http://www.ogp.org.uk/pubs/412.pdf>

decay progeny are not readily detected on the outside of pipes. However, Pb-210 and Po-210 emit α and β radioactive particles that may be a potential inhalation or ingestion hazard when pipes and machinery are opened for maintenance and/or cleaning. Access to the internal surfaces of pipes and equipment for surveys of surface α and β activity was not available. However, the facility propenizer equipment opened and sampled during filter change-out is representative of interior conditions... A Pb-210 activity result of 3,580 pCi/g was identified.... The results confirm the build-up of the longer-lived Rn decay progeny in equipment and pipes. The concentration of Pb-210 identified may present a potential inhalation or ingestion hazard during routine system maintenance.”⁶⁹

Reviewer 6 of the PA DEP report wrote “...that maintenance workers at midstream facilities can also be exposed to Pb-210 and Po-210 when working on internals of pipe and equipment. Progeny tend to plate out on surfaces where there is turbulence in the flow. That would include pumps, elbows, pig launchers/catchers, etc., in addition to the compressor stations themselves.”⁷⁰

He continues: “It is the opinion of this reviewer that the alpha and beta contamination potential (and hazard) on well sites and compressor stations, gas plants, et al., is underestimated because there was no access to equipment internals. Also, Po- 210 does not appear to be considered, and that is an internal hazard. Maintenance workers, on and off site (e.g., at repair shops) could be exposed to significant contamination based on years of experience in the industry.”⁷¹

Conclusion

There is a growing but already significant body of scientific evidence showing harms to public health from gas development.

And yet, despite this evidence, the monetary costs associated with the health impacts--premature death, birth defects, prematurity of birth, cancer, autism, learning disabilities and other problems--have never been entered into an economic analysis of fracking.

Some have supported gas development for the purported economic boost. The contrary is true—the industry will not be a recession buster.⁷² From the peer-reviewed literature provided, it is also clear that the economic papers boasting a boon have been industry-sponsored, and have not taken into account the economic loss from existing economies like tourism and agriculture. In addition, the costs of health impacts have never been considered, and those will be significant. And, as former Congressman Hinchey said, the DRBC’s mission is the environment, not the economy; “...the DRBC’s role is to prohibit and control pollution of the waters of the basin.”

⁶⁹ <http://www.dep.pa.gov/Business/Energy/OilandGasPrograms/OilandGasMgmt/Oil-and-Gas-Related-Topics/Pages/Radiation-Protection.aspx> sec 6-3

⁷⁰ http://www.elibrary.dep.state.pa.us/dsweb/Get/Document-112656/Appendix_L-Peer%20Review%20Comment%20and%20Resolution%20Document.pdf Appendix L page 39 of original document

⁷¹ Ibid, pg L-42

⁷² <http://theconversation.com/the-false-promise-of-fracking-and-local-jobs-36459>

The DRBC Compact⁷³ states: “ Whereas some twenty-two million people of the United States at present live and work in the region of the Delaware River Basin and its environs, and the government, employment, industry, and economic development of the entire region and the health, safety, and general welfare of its population are and will continue to be vitally affected by the use, conservation, management, and control of the water and related resources of the Delaware River Basin...”

“Each of the signatory parties covenants and agrees to prohibit and control pollution of the waters of the basin according to the requirements of this compact and to cooperate faithfully in the control of future pollution in and abatement of existing pollution from the rivers, streams, and waters in the basin which flow through, under, into or border upon any of such signatory states, and in order to effect such object, agrees to enact any necessary legislation to enable each such party to place and maintain the waters of said basin in a satisfactory condition, available for safe and satisfactory use as public and industrial water supplies after reasonable treatment, suitable for recreational usage, capable of maintaining fish and other aquatic life, free from unsightly or malodorous nuisances due to floating solids or sludge deposits and adaptable to such other uses as may be provided by the comprehensive plan.”⁷⁴

From the references provided, it should be clear that gas exploration and production are inherently polluting and unsafe industrial practices, and have no place in a protected watershed. The Delaware River Basin Commission is responsible for protecting the 13,000 square mile watershed, and the drinking water of millions of people.

Some seven years ago, over 30,000 comments were received by the DRBC opposing gas development of the Delaware River Basin.⁷⁵ Today there are many peer-reviewed scientific studies documenting the harms and risks of fracking, including water impacts from withdrawal and waste disposal.^{76 77}

We ask that the DRBC fulfill its mission to protect the Delaware, a critical drinking water supply, and make permanent the moratorium on fracking in the watershed, as well as the prohibition of water withdrawals for an unpermitted industry and also the disposal of its waste.

Respectfully submitted,
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⁷³ DRBC Compact. 1961. Access at: <http://www.state.nj.us/drbc/library/documents/compact.pdf> pg2

⁷⁴ DRBC Compact. 1961. Access at: <http://www.state.nj.us/drbc/library/documents/compact.pdf> pg14

⁷⁵ <https://protectingourwaters.wordpress.com/2011/04/15/30000-oppose-gas-drilling-near-delaware-river/>

⁷⁶ <https://www.psehealthyenergy.org/our-work/shale-gas-research-library/>

⁷⁷ <http://concernedhealthny.org/compendium/>